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1	Photovoltaic stimulation efficiently evokes network-mediated activity of retinal ganglion cells
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9	Keywords: Retinal prostheses, POLYRETINA, Photovoltaic stimulation, Capacitive-like stimulation,
10	Network-mediated activity.
11	
12	Abstract
13	Objective. Photovoltaic retinal prostheses theoretically offer the possibility of standalone high-resolution
14	electrical stimulation of the retina. However, in artificial vision, achieving locally selective epiretinal
15	stimulation is particularly challenging, on the grounds of axonal activation and electrical cell coupling.
16	Approach. Here we show that electrical and photovoltaic stimulation of dystrophic retinal circuits with
17	capacitive-like pulses leads to a greater efficiency for indirect network-mediated activation of retinal ganglion
18	cells. In addition, a biophysical model of the inner retina stimulation is proposed to investigate the waveform
19	and duration commitments in the genesis of indirect activity of retinal ganglion cells.
20	Main results. Both in-vitro and in-silico approaches suggest that the application of long voltage pulses or
21	gradual voltage changes are more effective to sustainably activate the inner excitatory and inhibitory layers of
22	the retina, thus leading to a reproducible indirect response. The involvement of the inhibitory feedback from
23	amacrine cells in the forming of indirect patterns represents a novel biological tool to locally cluster the

24 response of the retinal ganglion cells.

Significance. These results demonstrate that recruiting inner retina cells with epiretinal stimulation enables not only to bypass axonal stimulation but also to obtain a more focal activation thanks to the natural lateral

- 27 inhibition. In this perspective, the use of capacitive-like waveforms generated by photovoltaic prostheses may
- 28 allow improving the neural response resolution while standing high-frequency stimulation.

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## 29 1. Introduction

30 Retinal dystrophies, such as age-related macular degeneration and retinitis pigmentosa, are ranked among the three leading causes of visual impairment worldwide (together with cataract and glaucoma) and are the primary 31 32 cause of visual deficit in middle income and industrialized countries, with a prevalence above 15 % [1-3]. 33 Though, inner and ganglion retinal neurons are known to be temporarily spared by the degeneration process 34 and to be electrically excitable to convey artificial visual inputs to the lateral geniculate nucleus [4–7]. Several 35 retinal prostheses have been developed in the past decade and demonstrated promising results to restore an 36 elementary form of vision, including discrimination of high-contrast gratings, reading of large prints, and 37 spatial orientation [7–13]. Nonetheless, current clinical implants provide limited visual acuity, and the sight 38 quality is still far away from being adequate in daily life [14]. Spatial resolution keeps being one of the biggest 39 challenges (together with the wideness of the visual field) to achieve a valuable artificial vision restoration. To 40 overcome those challenges, we have designed a wireless epiretinal prosthesis (POLYRETINA) able to restore 41 a theoretical visual acuity of 20/600 and a visual field of 46 degrees, thanks to miniaturized photovoltaic pixels 42 made of organic semiconductors [15].

43 The stimulation resolution in epiretinal configuration could be improved in various complementary ways: 44 minimizing the spread of the electric field generated by single electrodes to avoid stimulation crosstalk [15,16], 45 reducing the electrode to retinal ganglion cell (RGC) layer distance to enhance stimulation specificity [17–19], 46 increasing the charge injection capacity of microelectrodes [20–22], identifying the stimulation protocol able 47 to selectively activate RGCs nearest the electrode [23,24], or selecting the electrode array features curtailing 48 the activation of RGCs distal axon segments [25,26]. Indeed, the poor visual acuity reported in patients is often 49 associated with the perception of amplitude-dependent elongated phosphenes [27,28], attributed to the local 50 hyperpolarization of axons of passage located between the epiretinal array and the RGC layer [7,25,26]. This 51 local depolarization can be antidromically propagated until the ganglion cell soma located further away from 52 the electrode, leading to diffuse ovoid activation of the retinal map, thus making it difficult for an implanted 53 patient to perceive complex shapes [29,30]. Though the axon initial segment is the compartment exhibiting the 54 lowest activation threshold [29], in practice, its activation threshold is barely discriminable from the ones of 55 more distal axonal segments [26], making spatially selective stimulation of RGCs a challenge.

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56 To overcome this issue, a large share of effort has been directed towards the understanding of indirect 57 stimulation of RGCs [31,32], which arises from the activation of presynaptic neurons, such as bipolar cells 58 (BCs) and/or photoreceptors (PRs), which in turn lead to a secondary network-mediated excitation of RGCs 59 [31,33–37]. In general, subretinal prostheses are considered to be more efficient in targeting those presynaptic 60 neurons, due to their location [30,31]. However, the long-term efficacy of subretinal prostheses could be 61 limited by other aspects, such as a more complex surgical approach, the limited possibility of replacement, or 62 the presence of a glial seal upon PR degeneration. Nonetheless, although epiretinal arrays deliver stimuli from 63 the RGC side, they have also shown the ability to elicit indirect stimulation [31,33], which makes the epiretinal 64 configuration particularly advantageous to bypass the aforementioned problems while providing a network 65 integrated form of stimulation. Although no clear discrimination can be made between direct and indirect 66 activation thresholds for epiretinal configuration [15,31], it has been established that pulses of short duration 67 (shorter than 0.7 ms) preferentially directly activate RGCs (e.g. by activation of their axons), generating a 68 single action potential with high temporal precision [34,35]. On the contrary, pulses of longer duration also 69 lead to an additional indirect excitation of RGCs [31,33,35,36,38]. Indirect firing patterns have been reported 70 to occur within 10 to 70 ms after the stimulus delivery, with a great variation between experimental designs 71 [31–38]. Despite this apparent lack of temporal precision, it has been demonstrated that electrically induced 72 indirect responses of RGCs closely matches with the spatiotemporal complex light-evoked responses [39]. 73 Both the Argus<sup>®</sup> II retinal prosthesis and the Alpha-IMS implant, the two systems currently approved by 74 regulatory bodies, focus on temporal precision and respectively use pulses of 0.45 ms [8] and 1 ms [9]. 75 However, a recent study pointed out the relationship between the inner retina activation elicited by long pulses and the achieved spatial resolution both in-vitro and in patients implanted with the Argus® II device [24]. All 76 77 these pieces of evidence suggest a clinical relevance of indirect activity to improve the resolution of retinal 78 responses upon electrical stimulation. However, little is known about the exact mechanisms behind the 79 epiretinal indirect activation of RGCs. Converging evidence suggests the activation of the inner retina layer as 80 the main factor causing the biphasic spiking pattern of RGCs [15,31,36,40,41]. Because of their elongated 81 shapes, spared PRs are as well susceptible to be activated by extracellular voltage gradients around the 82 stimulation site. Moreover, taking into consideration the milliseconds latency between direct and indirect

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activities, together with the oscillatory spiking pattern reported notably in brisk-transient RGCs [38], it is likely
that the generation of a network-mediated response involves the coordinate activation of several cell types,
including the retinal inhibitory network.

86 Our previous work with POLYRETINA has shown that light sensitivity could be restored in retinal 87 degeneration 10 (rd10) mice retinas at advanced stages of degeneration thanks to photovoltaic stimulation [15]. 88 Consistently with other groups' findings, we reported both direct and indirect activation of targeted RGCs, 89 upon delivery of 10-ms light pulses. Although pulses with a rectangular shape are conventionally used in 90 retinal prostheses, our photovoltaic interfaces intrinsically generate non-rectangular capacitive-like 91 photocurrent and photovoltage pulses [15]. Growing insights suggest that non-rectangular pulses can elicit 92 stronger network-mediated RGC activity than rectangular ones; in fact, stimulus shapes with low charge 93 increase rates are able to elicit a stronger activation of the presynaptic neurons, leading to increased RGC 94 indirect firing activity. Conversely, low-pass filtering inner retinal cells are less activated by high-frequency 95 signals such as rectangular ones, more favourable to the direct depolarization of RGCs [42,43].

96 Given the importance of selective network-mediated stimulation, we explored whether and how our 97 photovoltaic approach alters the response pattern of RGCs by favourably eliciting network mediated activity 98 instead of direct RGC depolarization. Our findings commit to being generalised to improve the spatial 99 selectivity of epiretinal prostheses.

100

## 101 **2.** Methods

#### 102 **2.1 Electrophysiology**

Experiments were conducted according to the ethical authorization GE3717 approved by the Département de l'emploi, des affaires sociales et de la santé (DEAS), Direction générale de la santé of the République et Canton de Genève, Switzerland. RGC activity was recorded from rd10 mice at post-natal days (P) 144  $\pm$  18.5 (mean  $\pm$  s.d). Eyes were enucleated from euthanized mice (sodium pentobarbital, 150 mg kg<sup>-1</sup>) and dissected in carboxygenated (95% O<sub>2</sub> and 5% CO<sub>2</sub>) Ames' medium (A1420, Sigma-Aldrich). Retinas were mounted ganglion cell down and maintained in contact with the substrate using a 1 mm nylon mesh. Retinas were

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109 continuously superfused with carboxygenated Ames' medium at 32°C and maintained under dim red light
110 during all the experiments.

111 Photovoltaic stimulation was carried out with the central part of the POLYRETINA photovoltaic array, 112 consisting of 80-µm diameter electrodes distributed with a 150-µm pitch [15]. Electrical stimulation was 113 carried out with a custom-made microelectrode array (MEA), consisting in a grid of 16 x 16 (256) titanium 114 electrodes (80-µm diameter) distributed with a 150-µm pitch. Retina explants were illuminated with an 115 inverted microscope (Ti-E, Nikon Instruments) and a LED illuminator (Spectra X, emission filter 560/32 nm, 116 Lumencor). The microscope was equipped with a dichroic filter (FF875-Di01 $-25 \times 36$ , Semrock) and 4x / 10x 117 / 20x objectives (diameter of the illumination spot 5.5, 2.2, and 1.1 mm respectively; CFI Plan Apochromat 118 Lambda). The stimulation protocol consisted of a repetition of 10 pulses at 1 Hz for each condition. Irradiance, 119 stimulus shape, and pulse duration were increased sequentially up to the condition eliciting the highest RGC 120 activity.

121 In experiments involving photovoltaic and electrical stimulation, the activity of RGCs was recorded 122 extracellularly with a sharp metal electrode (PTM23BO5KT, World Precision Instruments), amplified (Model 123 3000, A-M System), filtered (300 - 3000 Hz), and digitalized at 30 kHz (Micro1401-3, CED Ltd.). Spike 124 detection and sorting were performed by threshold detection using a MATLAB-based algorithm (Wave clus47 125 [44]); results were further processed with MATLAB (Mathworks). An exclusion period of  $\pm 1$  ms around light 126 onset and offset was applied and spikes detected in the first 10 ms after light onset were manually verified to 127 ensure a proper artefact rejection. Spikes raster from 10 consecutive sweeps were averaged and discretize to 128 compute 10-ms bins PSTHs. Spikes were classified into short, medium, and long latency (respectively SL, 129 ML, and LL) according to their timing after light onset, as in a previously described procedure [15]. The 130 electrical receptive fields from individual RGCs upon electrical stimulation were centred on the electrode 131 eliciting the maximal ML activity under 50-ms stimulation and normalized according to the ML firing rate 132 achieved. The electrical receptive field (eRF) diameters were calculated as the full width at median response 133 amplitude of experimental firing rates fitted distributions. For the assessment of the natural light responsivity, 134 retinas from rd10 mice at various ages were mounted on filter paper and placed ganglion cell down on a 135 transparent MEA with 256 electrodes (256MEA200/30iR-ITO, Multichannel Systems). The voltages of the

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- 136 256 recording electrodes were amplified, filtered (300 3000 Hz), and digitalized with a 10 kHz sampling
- 137 frequency (USB-MEA256-System, Multichannel Systems). Spike sorting from recordings were performed
- 138 with MC\_rack software (V 4.6.2, Multichannel systems); results were further processed with Neuroexplorer
- 139 (v4, Neuronexus) and MATLAB.

## 140 **2.2** Computational model

- 141 Biophysical retinal layers were modelled using Python-based NEST 2.14.0 Simulator tool [45]. A grid of 10
- 142 x 10 unspecific RGCs was modelled as Hodgkin–Huxley neurons, connected with gap junctions and placed
- 143 10 µm above an 80-µm diameter epiretinal electrode. Inner retinal cells were modelled as non-spiking
- 144 integrating neurons, whose parameters are summarised in Table 1.
- 145 **Table 1** Retinal layer's parameters

Neurons layer	RGC	AC	BC	HC
Ratio to RGC	1	5	4	1
Distance to electrode	10 µm	30 µm	49 µm	64 µm
Cell diameter	10 µm	5 µm	5 µm	10 µm
Threshold potential	-55 μV	-	-	-
Resting potential	-70 μV	-70 μV	-70 μV	-70 μV

146

147 The layers filters' time constants were calculated as in equation (1).

148 
$$RC = \left(R_{ex}.d + A.\frac{1}{g_{mb}}\right).\frac{1}{\frac{1}{c_{ex}.d} + \frac{1}{A.C_{mb}}}$$
 (1)

149 where *d* represents the cell distance to the electrode surface and *A* the area of bilayer membrane in the 150 ascending column between the targeted cell and the electrode, as in equation (2):

$$151 A = a. \rho. \frac{d}{D} (2)$$

152 in which  $\rho$  represents the horizontal cell density in a theoretical receptive field and D an average retinal cell

153 diameter. Parameters values are provided in table 2.

154 **Table 2** Biophysical parameters of the retinal network

R <sub>ex</sub>	C <sub>ex</sub>	C <sub>mb</sub>	$g_{mb}$	а	ρ	D

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Extracellular	Extracellular	Passive	Bilayer	Single	Cell density	Average
resistivity	capacitance	membrane	membrane	cell	in the	retinal cell
		capacitance	conductance	surface	receptive	diameter
					field	
$[\Omega m^{-1}]$	[Fm <sup>-1</sup> ]	[Fm <sup>-2</sup> ]	[ μΩ <sup>-1</sup> m <sup>-2</sup> ]	[µm <sup>2</sup> ]	[-]	[µm]
10	0.1	0.01	5	300,000	42.8	7

155

156 The voltage density probability around and above the epiretinal electrode was simulated with a finite element 157 analysis (FEA) method (COMSOL Multiphysics® v. 5.2.), with a stationary electric current study. The ground 158 was situated at the bath top and lateral walls, located respectively 2 mm and 1 mm away from the studied pixel. 159 The retinal tissue was placed from 10 to 110 µm above the electrode surface. The distribution of the voltage 160 densities in the retina was evaluated every 5 µm from the retinal surface. For each material, the conductivity 161 (S m<sup>-1</sup>) and relative permittivity values were set to: titanium ( $2.6 \times 10^6$  / 1), P3HT:PCBM (0.1 / 3.4), PEDOT:PSS (30 / 3), Saline (1 / 80), PDMS ( $2 \times 10^{-14}$  / 2.75), and retinal tissue (0.1 / 0.01). Prosthetic voltage 162 163 density for artificial neurons stimulation was spatially approximated as a Gaussian probability distribution and 164 temporally fitted as a first-degree exponential peaking at the FEA output values. The membrane potential of 165 the cells located in the centre of the stimulated electrode, the corresponding RGC PSTH, and the two-166 dimensional firing activity was directly assessed using the multimeter tool. The AC activity level was evaluated 167 with respect to the minimum AC membrane potential allowing network-mediated activity (-21.53 mV). The 168 spatial extent of the RGC activity was calculated as the full width at half maximum of the RGC population 169 activity gaussian fit. The spatial extent of the AC activity was assessed as the full width to AC membrane 170 potential activation threshold of the AC membrane potential fitted distribution.

171

172 **3. Results** 

173 **3.1** Photovoltaic stimulation of the inner retinal network

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174 All the experiments have been performed with explanted retinas from rd10 mice, which is an established model 175 for retinitis pigmentosa [46-49]. However, in order to formally exclude any intrinsic light responsivity from 176 possible surviving PRs, we first assessed the time course of the light responsivity decay at the wavelength and 177 irradiances used for prosthetic stimulation with POLYRETINA, namely full-field light pulses of 10 ms at 560 nm, with irradiances ranging from 0.39 to 27.2 mW mm<sup>-2</sup> (Fig. 1a). The relative percental increase or decrease 178 179 in firing rate during a 180-ms time window after light onset (with respect to a 100-ms time window before the 180 light onset) has been measured to determine a light responsivity index accounting for both ON and OFF 181 transient and sustained responses (N = 4 retinas per timepoint, all RGCs recorded for each retina have been 182 averaged). Rapidly after the formation of functional PRs at P16, a rapid decay in the light responsivity index 183 has been observed up to P60, where it reaches its minimum value and remains constant around a baseline value 184 of 0 regardless of the irradiance used (Fig. 1b). The time course of this loss of light sensitivity is in line with 185 the reported anatomical changes in the outer nuclear layer of rd10 retinas [42,43]. In young retinas (from P16 186 to P45), mostly transient response patterns could be detected (Fig. 1a), with a mean ( $\pm$  s.d.) latency of 69.8  $\pm$ 187 10 ms. In such light-sensitive retinas, green light responsivity increases with stimulus intensity up to 1.3 mW mm<sup>-2</sup>; after which irradiance increase weakens the average retina's response, most probably because of M-188 189 cones saturation and eventually bleaching for the highest values of intensities tested (higher than 9 mW mm<sup>-</sup> 190 <sup>2</sup>), as visible at the P16 time point. In retinas over P60, no significant light responding RGC could be recorded. 191 Contrarily, the vast majority of RGCs from retinas explanted at those advanced stages of degeneration exhibits 192 a robust light-independent spontaneous activity pattern with a peak frequency of about 10 Hz, in line with 193 previous reports [48–50]. The more advanced the degeneration process of the recorded retinas, the higher the 194 number of cells presenting strong spontaneous activity could be observed, with a peak around P100. To ensure 195 a proper exclusion of intrinsic light-responses together with a proper detection of functional RGCs, further 196 experiments have been performed on rd10 retinas at late stages of degeneration (i.e. after P120).

197 Then, we investigated whether with the photovoltaic approach the duration of the light pulse alters the response 198 pattern of RGCs, similar to what has been reported for electrical stimuli [31,32]. Retinas have been explanted 199 over the POLYRETINA prosthesis with 80- $\mu$ m photovoltaic pixels in epiretinal configuration and the activity 200 of RGCs (n = 16 from N = 9 retinas) has been recorded upon light pulses of 10, 20, 50, and 100 ms, with

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irradiances ranging from 1.09 to 91.59 mW mm<sup>-2</sup>. Consistently to other reports [8,9,14,15], extracellular 201 202 recordings under photovoltaic stimulation reveal a spiking pattern of RGCs made of two to three waves of 203 activation, referred as SL, ML, and LL activities. Direct activity (SL) is theoretically elicited within a time 204 window of few milliseconds from the pulse onset. As we have previously documented with POLYRETINA, 205 such direct activation can be evoked from a low irradiance threshold (47.35 µW mm<sup>-2</sup>) [15]. Within the tested 206 irradiance range (from 1.09 to 91.59 mW mm<sup>-2</sup>) and pulse durations (from 10 to 100 ms), SL spikes are elicited 207 at an average ( $\pm$  s.e.m.) stable frequency of 36.9  $\pm$  8.25 Hz (Fig. 2d, top). Instead, indirect (ML and LL) 208 activity, originating from the activation of the upstream retinal network, strongly depends on the light 209 exposure, both on irradiance and on pulse duration (Fig. 2b-d). As previously reported [15,31], irradiance 210 thresholds for direct and indirect activities are barely discriminable, and ML activity could be recorded from 211 the lowest irradiance tested (1.09 mW mm<sup>-2</sup>). LL activity requires higher exposure to appear; it has been detected from an irradiance of 11.68 mW mm<sup>-2</sup>, for the longest pulses only (Fig. 2b, red arrow), or higher for 212 213 shorter pulse duration. The independent increase of either irradiance or pulse duration allows the strengthening 214 of the indirect responses (ML and LL). Over-threshold indirect activity (ML) rose up to  $68 \pm 8.3$  % (mean  $\pm$ 215 s.e.m) of its initial values with an exposure increase from 1.09 to 91.59 mW mm<sup>-2</sup> (average of all pulse 216 durations tested). Besides, over-threshold indirect activity rose by  $44 \pm 7.4\%$  (mean  $\pm$  s.e.m) when lengthening 217 the stimulation time from 10 to 100 ms (average of all irradiances tested). The highest indirect (ML) activity is recorded at maximal irradiance and pulse duration conditions (100 ms, 91.59 mW mm<sup>-2</sup>) and could go up 218 219 until  $135.83 \pm 8.25$  Hz (mean  $\pm$  s.e.m.). Noteworthy, not all the recorded RGCs showed a third wave of activity 220 (LL), independently of the animal age and retina quadrant recorded. It is also worth to mention that RGC 221 stimulation, if it does not completely abolish cells' spontaneous activity, disrupts the excitatory oscillation 222 during the evoked spiking pattern (Fig. 2a).

# 223 **3.2** Photovoltaic versus rectangular stimulation of the inner retinal network

Second, we investigated whether the specific pulse shape of the photovoltage delivered by POLYRETINA had an impact on its ability to activate the inner retinal network. Retinas have been explanted in epiretinal configuration over a custom-made MEA with 256 titanium electrodes of 80-µm in diameter and electrically stimulated with voltage-controlled pulses; the evoked spiking activity of RGCs has been recorded as before

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228 (Fig. 3a). The mean photovoltage profile (red curve in Fig. 3b, data profile obtained from [15]) generated by the photovoltaic pixels (light pulses of 10 ms and 0.94 mW mm<sup>-2</sup>, leading to a peak voltage of 179 mV) has 229 230 been scaled to generate capacitive-like voltage pulses of various peak amplitudes. In a first subset of retinas, 231 both 10-ms anodic and cathodic capacitive-like profiles of increasing peak voltages (8.95, 17.9, 35.8, 179, 368, 232 and 895 mV) were randomly injected through the MEA electrode closest to the monitored RGC (n = 10 cells 233 from N = 4 retinas). In agreement with the hypothesis that network-mediated activity is elicited by the direct 234 transmembrane depolarization of the inner retinal cells, the threshold for network-mediated activity is lower 235 in case of the cathodic profile injection with respect to the anodic one (-8.95 mV vs. +17.9 mV, respectively) 236 for ML activity (Fig. 3c, top). The LL activation thresholds did not show any clear trend due to LL high 237 intrinsic cell-to-cell variability (Fig. 3c, bottom). In a second subset of retinas (n = 13 cells from N = 11 retinas), 238 a wider range of cathodic capacitive-like pulses has been successively injected (from -8.95 to -1790 mV). No 239 statistical difference has been found between RGC activity elicited by photovoltaic stimulation (1.09 mW 240  $mm^{-2}$ ) and the corresponding capacitive-like voltage profile (Fig. 3d; SL: p = 0.17; ML: p = 0.16; LL: p =241 0.98; t-test).

242 Next, we compared the spiking activity of RGCs (n = 13 cells from N = 11 retinas) evoked by rectangular 243 voltage pulses to the one evoked by capacitive-like pulses. Each RGC has been successively stimulated with 244 rectangular pulses and capacitive-like pulses of identical peak values and of three different durations: 10, 50, 245 and 100 ms. As before, to obtain capacitive-like pulses of 50 and 100 ms duration, the mean photovoltage 246 profile generated by the photovoltaic pixels with pulses of 50 and 100 ms (0.94 mW mm<sup>-2</sup>, data profiles from 247 [15]) have been scaled to generate capacitive-like voltage pulses of various peak amplitudes. Altogether, in 248 agreement with the previous set of experiments, direct activity (SL) could be elicited from an average  $(\pm s.e.m.)$ 249 voltage of  $151 \pm 12.9$  mV and saturated for stimulation amplitudes higher than 358 mV (Fig.4). Direct 250 activation threshold was not significantly different between capacitive-like and rectangular pulses (p = 0.37, t-251 test). On the contrary, indirect activity (ML and LL) is voltage and duration dependent. For identical pulse 252 durations, the mean ( $\pm$  s.e.m) voltage thresholds obtained with capacitive-like pulses (132  $\pm$  49.5 mV for 10-253 ms pulses;  $149 \pm 35.5$  mV for 50-ms pulses;  $170 \pm 46.1$  mV for 100-ms pulses) were significantly lower (p < 254 0.01 for all pulse durations, t-test) than the thresholds obtained with rectangular pulses ( $695 \pm 124$  mV for 10-

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255 ms pulses;  $559 \pm 128$  mV for 50-ms pulses;  $577 \pm 128$  mV for 100-ms pulses). Capacitive-like pulses elicited 256 a mean ( $\pm$  s.e.m) direct activity of 47.5  $\pm$  2.72 Hz, and rectangular pulses elicited a mean ( $\pm$  s.e.m) direct 257 activity of  $29.3 \pm 1.40$ . The mean ( $\pm$  s.e.m) ML and LL spiking activities elicited with capacitive-like profile 258 rose respectively to  $41.9 \pm 2.94$  Hz and  $28.5 \pm 3.44$  Hz, and to  $31.4 \pm 2.78$  Hz and  $26.3 \pm 2.29$  Hz with 259 rectangular ones (Fig.4c). The mean ( $\pm$  s.e.m) cells' indirect activity was inflated up to 16  $\pm$  3.9 % of its initial 260 values by increasing the applied peak voltage from the minimal condition eliciting indirect spikes to the 261 maximal tested condition (from 358 mV to 1790 mV, average of all pulse durations tested). Likewise, the 262 mean ( $\pm$  s.e.m) indirect activity rose by 25  $\pm$  4.0 % when lengthening the stimulation time from 10 to 100 ms 263 (average of all irradiances tested).

#### 264 **3.3 Computational model**

265 To provide a valid interpretation of the biophysical origin of the network-mediated spikes and its intricacy 266 with the stimulus features, we simulated the response of stratified retinal tissue under voltage driven epiretinal 267 stimulation. Four retinal cells layers have been modelled as a three-dimensional network (Fig. 5a): RGCs, 268 amacrine cells (ACs), BCs, and horizontal cells (HCs). The capacitive-like voltage pulse has been modelled 269 with a finite element analysis simulation as a local gaussian-shaped voltage increase, whose amplitude 270 decreases with the depth within the retina (Fig. 5d). Given the high electrical resistivity of the neural retina. 271 less than 5 % of the electric field reaches the PR layer when stimulating in epiretinal configuration, while only 272 31 % reaches the BC layer. Considering both the resistivity and the high capacitive properties of the neural 273 retina, each layer has been modelled as a low-pass filter whose impulse response varies according to its 274 distance from the stimulating electrode (Fig. 5b). The in-silico stimulation of the retina leads to a typical 275 spiking pattern including SL and ML activities. Due to both the layered organization of the retinal cell types 276 and the different low-pass filter they apply to the stimulus input, each layer reaches an activation peak in an 277 asynchronous manner, as it can be seen from the simulated membrane potential of an HC, BC, AC, and RGC 278 located above the centre of the stimulating electrode during a 20-ms rectangular stimulation (Fig. 5c). Direct 279 voltage injection to the RGC layer leads to an action potential initiation within a few milliseconds after the 280 stimulus onset (SL). However, since the stimulation does not have single cell resolution, the same stimulus 281 propagates to the neighbouring retinal columns, including the close inhibitory surround. As a result, the

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282 excitability of the directly stimulated RGCs is decreased by their surrounding ACs. Meanwhile, granted that 283 the input voltage is sufficient, upstream BCs are also depolarized and synaptically activating downstream 284 RGCs. Voltage loss and filtering together engender a slow trade-off phase between excitatory and inhibitory 285 backward inputs. The secondary activity latency is reproducible over stimulation conditions, as it depends on 286 the connection balance between excitation and inhibition, that is to say, on the network itself, and not on the 287 stimulation parameters. The burst of RGC secondary activity (ML) is voltage- and amplitude-dependent: it 288 appears above a flux threshold of 2.0  $\mu$ V s (Fig. 5e). Both BCs and ACs are necessary to produce a secondary 289 activity pattern with a latency in the order of hundredths of a second (Fig. 6).

290 We then stimulated the biophysical network with either rectangular or capacitive-like voltage pulses. The 291 increasing and decreasing phases of capacitive-like voltage pulse have been here fitted as one-term 292 exponentials. Membrane potentials' rise in ACs, BCs, and to a lower extent in HCs is observed to be slower 293 but of higher magnitude when the stimulus is capacitive-like shaped with respect to the rectangularly shaped 294 one (Fig. 7a). Rapid voltage transitions also generate fast interneurons membrane potential rise, but without 295 any sustained potential. As a consequence, capacitive-like pulses generate in RGCs an average (± s.e.m) 296 indirect firing activity of  $52.6 \pm 20.0$  Hz, while rectangular pulses generate an average ( $\pm$  s.e.m) indirect firing 297 activity of  $37.1 \pm 12.3$  Hz (Fig. 7b). For identical over-threshold conditions eliciting non-zero indirect activity 298 with both pulses' shapes, capacitive-like pulses generate an average ( $\pm$  s.e.m) indirect activity 66.6  $\pm$  11.7 % 299 higher than the one obtained with rectangular pulses. Direct activation of RGCs in-silico is not affected by the 300 stimulus shape.

301 Recent evidence pointed out the challenge of stimulating from the epiretinal side the deep ganglion cell layer 302 without promoting local hyperpolarization of axons of passage [25,26]. Epiretinal indirect stimulation of RGCs 303 is, therefore, a promising strategy to overcome the problem of axonal depolarization and the resulting loss of 304 resolution. In this perspective, the use of non-rectangular pulses can successfully shift the RGC activation 305 pattern from direct to indirect activation. Fig. 7c shows a linear assumption of the indirect-to-direct firing rates 306 ratio for various pulse voltages and durations, either rectangularly or capacitive-like shaped. While rectangular 307 stimuli require high voltages (higher than 1 V) or alternatively very long durations (longer than 60 ms) to 308 maximize the indirect activity with respect to the direct one, similar activity ratios can be obtained with

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309 capacitive-like pulses at voltages one order of magnitude lower. Symmetrically, capacitive-like pulses allow 310 maximising the indirect-to-direct activity ratios with shorter pulses compared to those necessary with 311 rectangular pulses, which would be hardly compatible with high-frequency stimulation. Optimal parameters 312 for rectangular and capacitive-like pulses are highlighted respectively in black and red (**Fig. 7c**).

313 In addition, since capacitive-like pulses depolarize qualitatively longer the inner retinal cells and especially 314 BCs, it facilitates the temporal summation of repetitive stimuli (Fig. 8). The conventional stimulation 315 frequencies used in retinal prostheses range from 5 to 20 Hz [8,9.24,27,28], and recent evidence appoint 10 316 Hz as an optimal stimulation frequency for epiretinal prostheses within this range [43]. The trade-off between 317 stimulation frequency and stimulus duration becomes especially important when dealing with photovoltaic 318 electrodes. A compromise has to be found between maintaining a pulse short enough to avoid photothermal 319 damage to the retina and still enabling indirect activity and eventually temporal summation. Mastering the 320 decay speed of the voltage stimulus can be one approach to do so. Tuning the discharge capacitive properties 321 of the electrode/electrolyte interface can modulate the ML activity elicited by a pulse of identical duration and voltage (Fig. 9). For similar over-threshold single 10-ms pulses, doubling the decay phase time constant 322 increases the mean ( $\pm$  s.e.m.) ML activity of 39  $\pm$  12 % (Fig. 9b,c). No comparable modulation could be found 323 324 when varying the rising phase time constant. Last, shuffling the stimulating electrode from epiretinal to 325 subretinal side allowed to shorten the onset to indirect activity burst delay (Fig. 10), as previously reported in-326 vitro [31].

327

# 328 **3.4** Spatial selectivity of rectangular and capacitive-like voltage pulses

Ultimately, we addressed the spatial selectivity of capacitive-like voltage pulses using a computational/experimental hybrid approach. From two-dimensional activation plots of simulated RGC, AC, BC and HC populations, we estimated the spatial extent of the layers' response to electrical stimulation for rectangular and capacitive-like, short and long pulses, below or above the indirect activation threshold. **Fig. 11** shows the inverse relationship between the spatial extent of the AC layer activation and the spatial extent of the RGC spiking response to the stimulation. For similar voltage peaks and pulse durations, capacitive-like pulses can elicit not only higher membrane potential changes in the inhibitory interneurons than rectangular

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336 pulses, but also affect a wider pool of them, as a result of the gaussian-shaped voltage probability distribution. 337 This strengthening and widening of the AC response are again observed to be voltage, duration and shape-338 dependent (Fig. 11a,b). The wider activation of the recorded AC pool is observed under capacitive-like 50-ms 339 over-threshold stimulation, in which a 7.5 cells-diameter area is activated around the electrode. Though, while 340 lengthening the stimulation pulse, the gap between AC activation profiles triggered by one or the other pulse 341 shapes reduces, presumably due to the saturation of network response kinetics. Parallelly, the spatial extent of 342 RGC indirect activity is also observed to be voltage, duration and shape-dependent. Above the indirect 343 activation threshold, the AC activation spread and the RGC indirect response spread (estimated from a 344 Gaussian fit of the membrane potentials of the 10 x 10 cell population) can be linearly anticorrelated (Fig. 345 11c).

346 We then estimated the eRF of stimulated RGCs in-vitro. Rd10 retinas have been explanted on the custom 80-347 µm titanium MEAs and RGCs have been located by recording extracellularly their spontaneous activity. Each 348 RGC has been successively stimulated from the 20 nearest electrodes with rectangular and capacitive-like short 349 and long pulses. The portion of the MEA activating either directly or indirectly the recorded cell is labelled as 350 SL-eRF, ML-eRF, or LL-eRF. eRFs for long, short, capacitive-like, and rectangular pulses are shown in Fig. 351 12. Similar to previous experiments, capacitive-like stimuli delivered through the closest (central) electrode 352 could elicit stronger activity than rectangular stimuli. However, not only the closest electrode has been able to 353 activate the targeted RGCs. SL-eRFS notably present elongated shapes (Fig. 12c), presumably due to axonal 354 stimulation. An action potential generated in a distal axonal segment and antidromically propagated in less 355 than 10 ms, would indeed be classified as direct RGC activation (see Methods).

Regarding indirect eRFs, the stimulation conditions providing the most focused response are the onespreviously associated with a high indirect activity, namely short and long capacitive-like voltage pulses.

358 Besides the weak values of mean network-mediated activity (due to idiosyncratic preferred stimulation axis),

359 rectangular pulses exhibit broad indirect eRFs (Fig. 12b,c). Rectangular ML-eRFs and LL-eRFs had respective

360 diameters of 147 and 218  $\mu$ m (fit of n = 8 cells from N = 8 retinas, pulse duration 10 ms and amplitude 179

361 mV). On the contrary, capacitive-like pulses exhibit ML-eRFs and LL-ERFs clustered around the central

electrode, with respective diameters of 93 and 110  $\mu$ m (fit of n = 8 cells from N = 8 retinas, pulse duration 10

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363 ms and amplitude 179 mV). Furthermore, the longer the pulse duration, the more clustered indirect eRFs is

- 364 observed. The narrower eRFs (ML-eRF diameter =  $76 \mu m$ , LL-eRF diameter =  $86 \mu m$ ) has been observed for
- 365 capacitive-like stimulation of 50 ms.
- 366

## 367 4. Discussion

In this work, we used the POLYRETINA photovoltaic prosthesis [15], whose 80-µm pixels generate cathodic capacitive-like voltage pulses under green light stimulation, to generate network-mediated activation of RGCs from dystrophic light-insensitive retinas. In our experiments we have observed that the epiretinal photovoltaic stimulation of RGCs generates indirect responses 30 % (ML) and 8 % (LL) higher than indirect responses elicited by electrical stimulation with rectangular pulses under similar conditions (pulse duration and peak amplitude).

374 Overall, indirect network-mediated activity (ML and LL) could be enhanced by irradiance or voltage increase, 375 pulse lengthening, and substitution of rectangular pulses into non-rectangular capacitive-like pulses, all 376 resulting in larger activation of inner retinal interneurons. Both gradual voltage decrease and sustained voltage 377 delivery showed the ability to maintain non-spiking BCs and ACs active for tenths of seconds after the stimulus 378 onset, leading to the characteristic RGC activation pattern consisting in a direct single spike from local 379 membrane depolarization, an inhibition period, and a secondary wave of activation. The contribution of the 380 inhibitory network to this pattern allows a clustered RGC indirect activation, compared to the spatial extent of 381 the direct response.

382 We have demonstrated that our photovoltaic approach, despite the apparent loss of temporal precision due to 383 the capacitive-like voltage transients, is efficient to trigger realistic network-mediated activity in blind retinas 384 upon epiretinal stimulation. The relevance of the voltage pulse shape and the charge delivery rate is in line 385 with recent reports about the efficiency of non-rectangular pulses to trigger network-mediated activity [42] and 386 the sensitivity to low-frequency domains of inner retinal cells [43]. Fast responding spike-encoding RGCs 387 show preferential sensitivity to rectangular stimuli, while the activation of voltage-encoding interneurons can 388 be modulated by slower voltage changes such as capacitive-like photovoltaic pulses. However, the biophysical 389 explanation for this frequency shift remains to be investigated. The indirect activity delay shrinkage that we

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observe when displacing the electrode towards the subretinal space (Fig. 10), as it was previously observed invitro [31], suggests that the input filters are not electrophysiological intrinsic properties of the cell types *per se*, but rather consequences of the layered structure of the retina and its electrical resistivity. Reports of direct
response within a timescale of several milliseconds in subretinal configuration, namely twice as long as delays
reported in epiretinal configuration direct stimulation, also supports this hypothesis [31,51].
The activation of axon bundles keeps being one of the most challenging aspects of epiretinal stimulation. Our

396 previous work with POLYRETINA revealed a direct firing probability of about 34 %, and we reported in the 397 present study a mean ( $\pm$  s.e.m) direct firing activity of 38.9  $\pm$  1.2 Hz. However, in the perspective of axons 398 stimulation avoidance, and given the ability of the photovoltaic approach to efficiently trigger indirect 399 activation, it seems more and more realistic to design a stimulation protocol for epiretinal indirect stimulation 400 thanks to capacitive-like waveforms and long pulse durations (longer than 20 ms).

401 In addition to medium latency spikes, a third indirect and further delayed RGC burst of activity (LL) was 402 reported in this work and others [31,38] at high stimulation voltages. The identification of sustained RGCs as 403 generators of successive oscillatory bursts under electrical stimulation, together with the absence of LL activity 404 in-silico suggests that they might have a functional type related origin. It remains still unclear how the 405 successive waves of activation would be further processed and interpreted by the visual cortices. The 406 perception of elongated ovoid phosphenes is imputed to the bidirectional depolarization of axonal fibres 407 [25,26], that is to say, the temporal precision separating orthodromic and antidromic followed by orthodromic 408 signals vanished with upstream signal integration. However, indirect waves with a delay in the range of tenths 409 of seconds may be more prone to reproduce the natural temporal structure of visual responses. Indeed, though 410 many individual spikes can be timed with millisecond precisions to the visual stimulus they encode, the relative 411 precision among the activated RGC population is a higher critique feature for information integration in the 412 thalamus [52]. Approaching the relative temporal structure of natural vision could provide an equally accurate 413 representation of the slowly changing visual word. The ability of non-rectangular pulses to generate a sustained 414 membrane potential rise in retinal interneurons also facilitate the temporal summation of under-threshold 415 stimuli (Fig. 8), making it conceptually feasible to abolish any direct depolarization of nearby RGC axon initial 416 or distal segment, while promoting indirect summed activity.

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417 Turning epiretinal stimulation into an explicitly indirect strategy is also promising for prosthetic response 418 resolution. Our results suggest a key role of ACs in the generation of a network-mediated temporal pattern of 419 activity. In addition, recruiting the natural lateral inhibition circuit allows to spatially cluster the eRFs of 420 targeted RGCs. Indeed, the assessment of RGCs' eRFs reveals disparities between the spatial distribution of 421 direct and indirect excitabilities. The clustering of the RGC eRF can notably be impaired by several factors; 422 the first of them being the local depolarization of the RGC axon by a peripheral electrode, as observed in the 423 direct individual eRFs and further cancelled out at the population level. The spatial resolution of the stimulation 424 itself is another spreading factor, considering that a single 80-µm diameter electrode can be estimated to cover 425 the natural RF of 15 to 20 RGCs in an intact mouse retina, but up to 160 RFs in the human fovea [53,54]; and 426 that the extra potential generated around the electrode can be estimated to cover 10 additional RFs. 427 Furthermore, gap junctions between RGCs and eventually aberrant connection with peers or other miswired 428 partners could alter the spatial clustering of the individual RGCs responses. Those three latest points can be 429 attenuated by decoupling the RGC eRFs ones from the others thanks to their respective inhibitory surrounds. 430 The sustained stimulation of the inner retina and the lateral inhibitory network, via long pulses or non-431 rectangular waveforms, contribute to the clustering of the indirect eRF.

432 The improvement of the response resolution can be achieved with non-rectangular pulses, but also with long 433 pulses (longer than 20 ms). Indeed, both strategies exploit the same interneuron's continuous electrical properties. Various evidence, including ours, demonstrates that long pulses elicit strong network-mediated 434 435 activity in RGCs. Our computational-experimental approach suggests that the RGC network-mediated activity 436 is necessarily associated with a partial activation of the AC layer and backwards inhibition of the eRF surround. 437 This clarifies the response resolution refinement obtained in-vitro and in-vivo with pulses exceeding 25 ms 438 [24]. In the above-mentioned study, the calcium imaging readout of spatial RGC activation in-vitro could be 439 clearly narrowed by the lengthening of electrical stimulation, as complementary do the indirect eRFs. 440 Similarly, the indirect eRFs clustering that we observed with capacitive-like pulses, that exploits the same 441 mechanisms, is expected to narrow the spatial extent of RGC layer activation, as computationally simulated. 442 However, pulses duration dramatically limits the affordable stimulation frequency: an optimal duration pulse 443 of 25 ms would theoretically limit the prosthesis operating range to 20 Hz (or less if we consider a safe interval

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between consecutive pulses), far below flicker fusion threshold. Non-rectangular waveforms such as our
capacitive-like stimuli allow reaching indirect firing rates comparable to those obtained with 20-ms rectangular
pulses with pulses two times shorter, thus increasing the theoretical stimulation rate limit. Along with this, for
identical pulses duration, the use of non-rectangular pulses enables to lower the voltage threshold necessary to
mobilize both excitatory and inhibitory inner retinal cells.
Hereabout, the direct as the indirect activation of rd10 RGCs is significantly higher when the explants have

450 been stimulated with capacitive-like pulses compared to rectangular stimuli. We classified spikes as direct

451 (SL) when they happen to occur within the first 10 ms; nevertheless, due to the stimulation artefacts, spikes

452 occurring within 1.5 ms after the stimulus onset could not be detected. Because slower charge increase delays

453 the direct spikes timing by up to 2 ms [42], the amount of undetected direct spikes minors with a capacitive-

- 454 like stimulus.
- 455

# 456 **5.** Conclusion

457 Sustained activity of inner retinal neurons can be achieved by delivering non-rectangular voltage pulses and/or
458 by lengthening the pulses' duration. Such stimulation paradigm allows to indirectly target RGC in a realistic
459 and focused approach, while benefiting from the implantation convenience of epiretinal devices.

Optimal pulse shape engineering is a complementary strategy to further promote network-mediated response without lengthening the stimulation pulses. A slower discharge rate of the electrode can notably sustain network excitation and increase resulting RGCs firing rate up to three times the rate recorded with comparable rectangular pulses. Moreover, our results demonstrate that recruiting inner retina cells with epiretinal stimulation enables not only to bypass axonal stimulation but also to obtain a more focal activation thanks to the natural lateral inhibition. Additional in-vitro evidence suggests a conjoint role of the ascending stimulus ramp on the ability to generate indirect activity [42].

In this perspective, the use of capacitive-like waveforms generated by photovoltaic prostheses (e.g.
POLYRETINA) may allow improving the neural response resolution while standing high-frequency
stimulation. At last, the relevance of the strategies for network-mediated activity enhancing to improve

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- 470 artificial vision resolution will require to be established by evaluating the restored visual acuity both in in-vitro
- 471 and in-vivo.

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- 475

# 476 Author contributions

- 477 N.A.L.C. designed the study, performed all the experiments and simulations, and wrote the manuscript.
- 478 M.J.I.A.L. fabricated the photovoltaic array and the custom MEA for electrical retinal stimulation and designed
- 479 FEA simulations. D.G. designed and led the entire study. All the authors read, edited and accepted the
- 480 manuscript.
- 481

# 482 Competing Financial Interests statement

- 483 The authors declare no competing financial interests. Correspondence and requests for materials should be
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### 486

**Figure 1** Light responsivity decay in rd10 retinas over the degeneration process. **a** Representative spiking activity from rd10 RGCs explanted at P16, P33, P60, and P130 in response to a light pulse (10 ms, 560 nm, 1.09 mW mm<sup>-2</sup>). **b** Mean ( $\pm$  s.e.m, N = 4 retinas) light responsivity index upon illumination (10 ms, 560 nm) of rd10 retinas recorded at P16, [P28-P38], P45, P60, P96, [P109-P116], [P128-P133], and [P153-P156]. For each RGC 10 sweeps have been averaged. Data have been shown only for five irradiances: 0, 1.09, 5.86, 17.6, and 27.2 mW mm<sup>2</sup>.

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Figure 2



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493

495 Figure 2 Photovoltaic stimulation elicits exposure-related activity in rd10 retinas. a Representative 496 extracellular spiking activity of a single RGC in response to 0, 10, 50, and 100 ms photovoltaic stimulation with POLYRETINA at 2.80 mW mm<sup>-2</sup>. The top row shows the electrophysiological recordings upon 497 498 illumination; the middle row the raster plot of 10 consecutive sweeps; the bottom row the PSTH (bin size 10 499 ms). The red raster lines correspond to the detection of the stimulus artefacts. **b** Mean ( $\pm$  s.e.m) PSTH (bin size 500 10 ms) of RGC activity upon 10, 20, 50 and 100 ms photovoltaic stimulation (n = 16, for each RGC 10 sweeps 501 have been averaged). Light pulses with increasing irradiances have been delivered successively at 1.09, 2.80, 5.87, 11.68, 17.60, 22.76, 27.17, 39.06, 58.32, 75.80, and 91.59 mW mm<sup>-2</sup>. The red arrow indicates the onset 502

22

- 503 of LL spikes. In **a** and **b**, the green bars correspond to the duration of the light pulse. **c** Heatmaps of SL (top),
- 504 ML (middle), and LL (bottom) mean firing rates upon 10, 20, 50, and 100 ms photovoltaic stimulation. The
- 505 irradiance increases from the top row towards the bottom. **d** Mean (± s.e.m) firing rates of SL (top), ML
- 506 (middle), and LL (bottom) responses, computed for all the tested exposures (n = 16, for each RGC 10 sweeps
- 507 have been averaged) and plotted as function of the radiant exposure ( $\mu$ J mm<sup>-2</sup>), obtained by multiplying the
- 508 irradiance ( $\mu$ W mm<sup>-2</sup>) per the pulse duration (s). Firing rates corresponding to 10, 20, 50, and 100 ms are
- 509 respectively plotted in blue, red, violet and cyan.



512 Figure 3 Electrical stimulation of rd10 retinas with capacitive-like voltage pulses. a Sketch of the stimulating 513 and recording set-up. b Capacitive-like waveforms used for electrical stimulation. The red trace corresponds to the mean photovoltage generated by a photovoltaic pixel of POLYRETINA upon a light pulse of 0.94 mW 514 515 mm<sup>-2</sup> at 565 nm for 10 ms (data profile obtained from[15]) with a peak amplitude of 179 mV. The black traces 516 show the capacitive-like voltage profiles obtained by scaling the peak amplitude to peak voltages of 8.95, 17.9, 517 35.8, 368, 895 and 1790 mV. c Mean (± s.e.m) ML and LL spiking activities in response to anodic (red) or 518 cathodic (blue) electrical stimulation with capacitive-like voltage pulses (n = 10, for each RGC 10 sweeps have 519 been averaged). d Mean (± s.e.m) SL, ML and LL activities upon photovoltaic stimulation (10 ms, 1.09 mW

- 520  $\text{mm}^{-2}$ ; n = 16, for each RGC 10 sweeps have been averaged) and electrical stimulation with capacitive-like
- 521 pulses (n = 13, for each RGC 10 sweeps have been averaged).

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a

10 ms Rect 10 ms Cap 100 ms Rect. 100 ms Cap. 50 µV \_ 50 ms 11 П Ш 111 50 Hz \_ 50 ms c 50 Hz 10 ms 20 ms 50 ms Capacitive-like - Rectangula 100ms 10 ms 20 ms 50 ms Ē 80 80 80 SL response (Hz) B 60 60 40 4 B 20 20 0 0 0 1000 1500 2000 0 500 1000 1500 2000 0 500 1000 1500 2000 500 Ē 70 70 70 60 50 40 ML response (Hz) 60 60 50 40 50 30 20 30 30 20 20 10 10 10 0 0 0 1000 1500 2000 1000 1500 2000 500 1000 1500 2000 0 500 0 500 70 70 60 70 60 50 40 30 60 50 LL response (Hz) 50 40 40 30 20 10 20 20 10 0 0 10 1000 1500 2000 0 500 1000 1500 2000 1000 1500 2000 500 0 500 Voltage (mV) Voltage (mV) Voltage (mV)

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524 Figure 4 Comparison of RGCs response to rectangular and capacitive-like electric pulses. a Representative 525 extracellular recordings of a single RGC in response to a 179 mV electrical stimulation with a 10 ms 526 rectangular pulse (left), a 10 ms capacitive-like pulse (middle left), a 100 ms rectangular pulse (middle right), 527 and a 100 ms capacitive-like pulse (right). The top row shows the electrophysiological recordings upon 528 electrical stimulation; the middle row the raster plot of 10 consecutive sweeps; the bottom row shows the 529 PSTHs (bin size 10 ms). The red raster lines correspond to the detection of the stimulus artefacts. **b** Mean ( $\pm$ 530 s.e.m) PSTHs of RGC activity upon 10, 20, and 50 ms stimulation with rectangular (top) and capacitive-like 531 (bottom) pulses (n = 13, for each RGC 10 sweeps have been averaged). The voltage pulses have been delivered 532 with amplitudes of 8.95, 17.9, 35.8, 179, 368, 895 and 1790 mV. In **a** and **b**, the violet bar corresponds to the 533 duration of the electric pulse. c Quantification of the mean (± s.e.m) firing rate of SL, ML and LL spikes upon



- 634 electrical stimulation with 10 ms (left), 50 ms (middle), and 100ms (right) rectangular or capacitive-like pulses
- 535 (n = 13, for each RGC 10 sweeps have been averaged).

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Figure 5

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538 Figure 5 Biophysical model of the retinal layers under epiretinal stimulation. a Topology of the model. Circles 539 represent the excitatory cells (RGCs, BCs), while squares the inhibitory cells (HCs, ACs). The background 540 image shows a FEA simulation of the potential generated by an electrode placed in the centre of the sketched 541 network. b Normalized impulse responses of HC, BC, AC, and RGC layers. c Membrane potentials of HCs, 542 BCs, ACs, and RGCs located at the centre of the epiretinal electrode, upon a 50 ms / 180 mV rectangular 543 stimulation. d FEA simulation of the potential generated at the photovoltaic electrode when it is facing the 544 retinal tissue. The electrode is represented as a black bar. Each potential trace is separated from the previous 545 one by a 5-µm step. The green line shows the potential at 5-µm depth from the electrode surface, the blue line 546 at 10-µm depth, the red line at 20-µm depth, and the yellow line at 50-µm depth. e PSTH of a RGC located at 547 the centre of the epiretinal electrode, upon 10, 20, and 50 ms stimulation. Rectangular voltage pulses have 548 been delivered at 50, 100, 200, 500, and 1000 mV.

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Figure 6



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552 Figure 6 Modelling of RGC activity in the absence of ACs. PSTHs and membrane potentials of the RGC

553 located at the centre of the electrode, upon 10 and 20-ms capacitive-like stimulation at 250 mV, with (black)

and without (red) presynaptic inhibition from the ACs.

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Figure 7

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b Rect Capacitive-like Cap Rectangular - 0 - -50 ms 10 ms 20 ms 200 200 200 ML response (Hz) 150 150 150 100 100 100 50 50 50 500 1000 1500 500 1000 1500 0 500 1000 1500 Voltage (mV) Voltage (mV) Voltage (mV) 10 µV с capacitive-like 0.9 10 ms 0.8 0.8 rectangular 0.7 50 uV 0.6 ML-SL/ML+SL 0.6 0.4 0.5 10 ms 0.2 0.4 0 0.3 -0.2 60 0.2 100 Hz 1500 40 Duration (ms) 01 1000 Voltage (mV) 500 10 ms 0 0 0

556

Figure 7 Comparison of the retinal circuit response upon stimulation with rectangular and capacitive-like 557 558 voltage pulses. a PSTHs and membrane potentials of HCs, BCs, ACs, and RGCs located at the centre of the 559 epiretinal electrode, upon 180 mV / 20 ms, square (left) and prosthetic (right) stimulations. The top right corner 560 boxes show the normalized impulse response for each cell layer. b Indirect (ML) spiking activity generated in 561 the retinal ganglion cell located at the centre of the electrode by 10, 20, and 50 ms stimuli for both voltage 562 shapes. Delivered peak voltages ranged from 40 to 1500 mV. c Parametric model of the computationally 563 predicted RGC activity. The indirect over direct activity ratio has been modelled as a function of the delivered 564 pulse duration and voltage. The red and black squares respectively highlight the optimal parameters found for 565 capacitive-like and rectangular pulses (duration < 20 ms, ML-SL/ML+SL > 0.8).

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568

569 **Figure 8** Modelling of the retinal network activity upon repetitive stimulation. PSTHs and membrane potential

570 of HCs, BPc, ACs, and RGCs located at the centre of the electrode, upon repetitive rectangular (left) and

571 capacitive-like (right) stimulation. Voltage pulses of 10 ms at 20 mV pulses have been delivered at 20Hz.

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b 30 24 T = 2 msT = 12 msT = 24 ms18 12  $\square$ 6 2 20 ms 50 Hz 50 ms 50 mV 50 ms 100 mV 50 ms 100 Hz 50 ms

# 573

574 Figure 9 Comparison of network-mediated activity elicited by various capacitive-like stimulus shapes. a 575 Theoretical normalized photovoltage curves with various discharge time constants. The photovoltage 576 measured on top of photovoltaic pixels[15] is fitted by the green curve. b PSTHs and membrane potentials of 577 HCs, BPs, ACs, and RGCs located at the centre of the electrode, upon 20 ms capacitive-like stimulation at 250 578 mV, with discharge time constants of 2, 12, and 24 ms. The top right corner boxes show the normalized impulse 579 response for each cell layer. c PSTH of the RGC located at the centre of the electrode, upon 20 ms rectangular 580 and capacitive-like stimulations at 250 mV. Discharge time constant of the capacitive-like stimuli was set to 2 581 (light blue), 6 (blue), 12 (green), 18 (orange), 24 (red), and 30 (vinous) ms.



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583

### Figure 10



584

**Figure 10** Comparison of epiretinal and subretinal stimulation in-silico. PSTH and membrane potential of HCs, BCc, ACs, and RGCs located at the centre of the electrode, upon 10-ms capacitive-like stimulation at 250 mV from an epiretinal or a subretinal electrode. Top right corner boxes show the normalized impulse response for each cell layer. For subretinal stimulation, the electrode was placed 10 µm away from the horizontal cell layer.

590



Figure 11

593 Figure 11 Spatial modelling of the retinal circuit response. a Colour map of AC membrane potential rising 594 peak. A 10 x 10 pool of ACs located around the stimulation electrode has been recorded upon short (10 ms) 595 and long (50 ms) rectangular or capacitive-like voltage pulses at 140 mV (top) and 180 mV (bottom). Each 596 pixel represents the cell membrane potential difference with respect to the membrane potential threshold 597 required for the appearance of the ML activity. Positive differences are represented in white to red colours, 598 while negative (under-threshold) differences are represented in blue. The RGC indirect activity (ML) 599 corresponding to each condition is plotted on the top right corner of each colour map. The red circle indicates 600 the electrode location. **b** Mean normalized activation profile and Gaussian fit of the activity of inhibitory (AC) 601 cells upon 10 and 50 ms rectangular or capacitive-like voltage pulses peaking at 140 mV (top) and 180 mV

- 602 (bottom). 10 x 10 cells have been averaged over 4 directions. The blue line indicates the membrane potential
- 603 threshold for indirect activity. c Spatial extent of RGCs indirect response with respect to the extent of AC
- 604 activity. The spatial extent of the RGC response has been calculated as the full width at half maximum of its
- 605 activation profile fit, while the spatial extent of the AC activation has been calculated as the full width at the
- 606 threshold for indirect activity.

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Figure 12



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609 Figure 12 Electrical receptive fields of RGC upon rectangular and capacitive-like stimulation. a Sketch of the 610 stimulating and recording electrodes. The approximated soma location of the recorded cell is highlighted in 611 red. b Fit of the grand average SL (green), ML (red), and LL (blue) responses amplitudes. Experimental 612 measures obtained with individual electrodes stimulation have been averaged over horizontal, diagonal, and 613 vertical axis and fitted with a Gaussian function (n = 8, for each RGC 10 sweeps have been averaged). eRF diameters have been calculated as the minimal distance corresponding to the 3<sup>rd</sup> quartile of the activity 614 615 distribution. SL, ML, and LL eRF diameters have been quantified in 101, 107, and 218 µm with short 616 rectangular stimulation; 226, 111, and 220 µm with long rectangular stimulation; 82, 93, and 110 µm with 617 short capacitive-like stimulation; and 66, 76, 86 um with long capacitive-like stimulation. c Representative 618 heatmap of normalized SL, ML, and LL activities recorded with short (10 ms) and long (50 ms) rectangular or 619 capacitive-like, both with peak voltages of 180 mV (n = 1, 10 sweeps have been averaged). **d** Mean heatmap 620 of normalized SL, ML, and LL activities recorded with short (10 ms) and long (50 ms) rectangular or 621 capacitive-like pulses with peak voltages of 180 mV (n = 8, for each RGC 10 sweeps have been averaged). c

- 622 and **d** heatmaps were generated from linear interpolation of experimental SL, ML, and LL values recorded
- 623 from individual electrode stimulations.

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