

1 **Doxorubicin-loaded human serum albumin nanoparticles overcome transporter-**
2 **mediated drug resistance**

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19

20 **Abstract**

21 Resistance to systemic drug therapies is a major reason for the failure of anti-cancer therapies.
22 Here, we tested doxorubicin-loaded human serum albumin (HSA) nanoparticles in the
23 neuroblastoma cell line UKF-NB-3 and its ABCB1-expressing sublines adapted to vincristine
24 (UKF-NB-3^rVCR¹) and doxorubicin (UKF-NB-3^rDOX²⁰). Doxorubicin-loaded nanoparticles
25 displayed increased anti-cancer activity in UKF-NB-3^rVCR¹ and UKF-NB-3^rDOX²⁰ cells
26 relative to doxorubicin solution, but not in UKF-NB-3 cells. UKF-NB-3^rVCR¹ cells were re-
27 sensitised by nanoparticle-encapsulated doxorubicin to the level of UKF-NB-3 cells. UKF-NB-
28 3^rDOX²⁰ cells displayed a more pronounced resistance phenotype than UKF-NB-3^rVCR¹ cells
29 and were not re-sensitised by doxorubicin-loaded nanoparticles to the level of parental cells.
30 ABCB1 inhibition using zosuquidar resulted in similar effects like nanoparticle incorporation,
31 indicating that doxorubicin-loaded nanoparticles circumvent ABCB1-mediated drug efflux.
32 The limited re-sensitisation of UKF-NB-3^rDOX²⁰ cells to doxorubicin by circumvention of
33 ABCB1-mediated efflux is probably due to the presence of multiple doxorubicin resistance
34 mechanisms. So far, ABCB1 inhibitors have failed in clinical trials, probably because systemic
35 ABCB1 inhibition results in a modified body distribution of its many substrates including
36 drugs, xenobiotics, and other molecules. HSA nanoparticles may provide an alternative, more
37 specific way to overcome transporter-mediated resistance.

38

Keywords:

Nanoparticles; human serum albumin; transporter; ABCB1; cancer; doxorubicin

40 **Introduction**

41 According to Globocan [1], there "were 14.1 million new cancer cases, 8.2 million cancer
42 deaths and 32.6 million people living with cancer (within 5 years of diagnosis) in 2012
43 worldwide." Despite substantial improvements over recent decades, the prognosis for many
44 cancer patients remains unacceptably poor. The outlook is particularly grim for patients that are
45 diagnosed with disseminated (metastatic) disease who cannot be successfully treated by local
46 treatment (surgery, radiotherapy) and depend on systemic drug therapy, because the success of
47 systemic therapies is typically limited by the occurrence of therapy resistance [2-4].

48 Drug efflux mediated by transporters including ATP-binding cassette (ABC) transporters has
49 been shown to play a crucial role in cancer cell drug resistance [2,5]. ABCB1 (also known as
50 P-glycoprotein or MDR1) seems to play a particularly important role in cancer cell drug
51 resistance as a highly promiscuous transporter that mediates the cellular efflux of a wide range
52 of structurally different substrates including many anti-cancer drugs . Different studies have
53 reported that nano-sized drug carrier systems can bypass efflux-mediated drug resistance [6].
54 This includes various nanoparticle and liposome formulations of the ABCB1 substrate
55 doxorubicin [7-12].

56 Here, we here investigated the effects of doxorubicin-loaded human serum albumin (HSA)
57 nanoparticles in ABCB1-expressing neuroblastoma cells. HSA nanoparticles are easy to
58 produce [13-17], and HSA is a well-tolerated material. It is the most abundant protein in human
59 blood plasma and used in many pharmaceutical formulations, in particular as part of critical
60 care treatment [18].

61

62 **Materials and methods**

63

64 **Reagents and chemicals**

65 HSA and glutaraldehyde were obtained from Sigma-Aldrich Chemie GmbH (Karlsruhe,
66 Germany). Dulbecco's Phosphate buffered saline (PBS) was purchased from Biochrom GmbH
67 (Berlin, Germany). Doxorubicin was obtained from LGC Standards GmbH (Wesel, Germany).
68 All chemicals were of analytical grade and used as received.

69

70 **Human serum albumin (HSA) nanoparticle preparation by desolvation**

71 HSA nanoparticles were prepared by desolvation as previously described [13-17]. 100 μ L of a
72 1% (w/v) aqueous doxorubicin solution were added to 500 μ L of a 40 mg/mL (w/v) HSA
73 solution and incubated for 2 h at room temperature under stirring (550 rpm, Cimatic i
74 Multipoint Stirrer, ThermoFisher Scientific, Langenselbold, Germany). Then, 4 mL ethanol
75 96% were added at room temperature under stirring using a peristaltic pump (Ismatec ecoline,
76 Ismatec, Wertheim-Mondfeld, Germany) at a flow rate of 1 mL/min. After the desolvation
77 process, the resulting nanoparticles were stabilised/ cross-linked using different amounts of
78 glutaraldehyde that corresponded to different percentages of the theoretic amount that is
79 necessary for the quantitative crosslinking of the 60 primary amino groups present in the HSA
80 molecules of the particle matrix. The addition of 4.7 μ L 8% (w/v) aqueous glutaraldehyde
81 solution resulted in a theoretical cross-linking of 40% of the HSA amino groups, the addition
82 of 11.8 μ L 8% (w/v) aqueous glutaraldehyde solution in 100% cross-linking, and the addition
83 of 23.6 μ L 8% (w/v) aqueous glutaraldehyde solution in 200% cross-linking. After
84 glutaraldehyde addition, the suspension was stirred for 12 h at 550 rpm. The particles were
85 purified by repeating three times centrifugation at 16,000 g for 12 min and resuspension in
86 purified water. During particle purification the supernatants were collected, the drug content

87 was measured by HPLC, and the loading efficiency of doxorubicin to the nanoparticles was
88 calculated.

89

90 **Determination of particle size distribution**

91 Average particle size and the polydispersity were measured by photon correlation spectroscopy
92 (PCS) using a Malvern zetasizer nano (Malvern Instruments, Herrenberg, Germany). The
93 resulting particle suspensions were diluted 1:100 with purified water and measured at a
94 temperature of 22°C using a backscattering angle of 173°.

95

96 **Doxorubicin quantification via HPLC-UV**

97 The amount of doxorubicin that had been incorporated into the nanoparticles was determined
98 by HPLC-UV (HPLC 1200 series, Agilent Technologies GmbH, Böblingen, Germany) using a
99 LiChroCART 250 x 4 mm LiChrospher 100 RP 18 column (Merck KGaA, Darmstadt,
100 Germany). The mobile phase was a mixture of water and acetonitrile (70:30) containing 0.1%
101 trifluoroacetic acid [16]. In order to obtain symmetric peaks a gradient was used. In the first
102 6 min the percentage of A was reduced from 70% to 50%. Subsequently within 2 min the
103 amount of A was further decreased to 20% and then within another 2 min increased again to
104 70%. These conditions were held for a final 5 min resulting in a total runtime of 15 min. While
105 using a flow rate of 0.8 mL/min, an elution time for doxorubicin of $t = 7.5$ min was achieved.
106 The detection of doxorubicin was performed at a wavelength of 485 nm [19].

107

108

109 **Cell culture**

110 The MYCN-amplified neuroblastoma cell line UKF-NB-3 was established from a stage 4
111 neuroblastoma patient [20]. UKF-NB-3 sub-lines adapted to growth in the presence of
112 doxorubicin 20 ng/mL (UKF-NB-3^rDOX²⁰) [20] or vincristine 1 ng/mL (UKF-NB-3^rVCR¹)
113 were established by continuous exposure to step-wise increasing drug concentrations as
114 previously described [20,21] and derived from the Resistant Cancer Cell Line (RCCL)
115 collection [22].

116 All cells were propagated in Iscove's modified Dulbecco's medium (IMDM) supplemented
117 with 10% foetal calf serum, 100 IU/ml penicillin and 100 µg/ml streptomycin at 37°C. The
118 drug-adapted sub-lines were continuously cultured in the presence of the indicated drug
119 concentrations. Cells were routinely tested for mycoplasma contamination and authenticated
120 by short tandem repeat profiling.

121

122 **Cell viability assay**

123 Cell viability was determined by 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium
124 bromide (MTT) assay modified after Mosman [23], as previously described [Michaelis et al.,
125 24]. 2×10^4 cells suspended in 100 µL cell culture medium were plated per well in 96-well plates
126 and incubated in the presence of various drug concentrations for 120 h. Then, 25 µL of MTT
127 solution (2 mg/mL (w/v) in PBS) were added per well, and the plates were incubated at 37°C
128 for an additional 4 h. After this, the cells were lysed using 200 µL of a buffer containing 20%
129 (w/v) sodium dodecylsulfate and 50% (v/v) N,N-dimethylformamide with the pH adjusted to
130 4.7 at 37°C for 4 h. Absorbance was determined at 570 nm for each well using a 96-well
131 multiscanner. After subtracting of the background absorption, the results are expressed as
132 percentage viability relative to control cultures which received no drug. Drug concentrations

133 that inhibited cell viability by 50% (IC50) were determined using CalcuSyn (Biosoft,
134 Cambridge, UK).

135

136 **Statistical testing**

137 Results are expressed as mean \pm S.D. of at least three experiments. Comparisons between two
138 groups were performed using Student's t-test. Three and more groups were compared by
139 ANOVA followed by the Student-Newman-Keuls test. P-values lower than 0.05 were
140 considered to be significant.

141

142 **Results**

143 **Nanoparticle size, polydispersity and drug load**

144 HSA nanoparticles were prepared by desolvation as previously described [13-17]. The
145 nanoparticles were stabilised by the crosslinking of free amino groups present in albumin. Three
146 different nanoparticle preparations were produced using glutaraldehyde at amounts that
147 corresponded to a theoretical cross-linking of 40% (HSA 40% nanoparticles), 100% (HSA
148 100% nanoparticles), or 200% (HSA 200% nanoparticles) of the amino groups that are
149 available in the HSA molecules. A non-stabilised (0% cross-linking) formulation was used as
150 a control. The resulting particle sizes and polydispersity indices are shown in Table 1. HSA(0%)
151 nanoparticles displayed a large particle size of almost 1 μm range and a high polydispersity of
152 0.5, confirming that no stable nanoparticles had formed (Table 1). The three HSA nanoparticle
153 preparations stabilised by the different glutaraldehyde concentrations displayed similar
154 diameters between 460 and 500 nm and polydispersity indices in the range of 0.153 and 0.213
155 indicating a narrow but not monodisperse size distribution (Table 1).

156 While HSA(40%), HSA(100%), and HSA(200%) nanoparticles displayed similar drug loads
157 between 152 and 191 μg doxorubicin/ mg nanoparticle, HSA(0%) nanoparticles had bound
158 371 μg doxorubicin/ mg HSA (Table 1). This probably reflected the higher accessibility of
159 doxorubicin binding sites, which are known to be available on HSA [25], in HSA molecules in
160 solution compared to the accessible binding sites available in HSA nanoparticles.

161

162

163 **Doxorubicin sensitivity of the used neuroblastoma cell lines**

164 The parental neuroblastoma cell line UKF-NB-3 and its doxorubicin- (UKF-NB-3^{rDOX}²⁰) and
165 vincristine-adapted (UKF-NB-3^{rVCR}¹) sub-lines substantially differed in their doxorubicin
166 sensitivity (Figure 1). UKF-NB-3 displayed the lowest doxorubicin IC₅₀ (3.8 ng/mL). UKF-
167 NB-3^{rVCR}¹ was 4-fold more resistant to doxorubicin than UKF-NB-3 (doxorubicin IC₅₀:
168 15.5 ng/mL). UKF-NB-3^{rDOX}²⁰ showed the highest doxorubicin IC₅₀ (89.0 ng/mL) resulting
169 in a 23-fold increase in doxorubicin resistance compared to UKF-NB-3 (Figure 1, Suppl. Table
170 1).

171

172 **Effects of doxorubicin-loaded nanoparticles on neuroblastoma cells**

173 The effects of doxorubicin applied in solution or incorporated into HSA(0%), HSA(40%),
174 HSA(100%), or HSA(200%) nanoparticles on neuroblastoma cell viability are shown in Figure
175 2. The numerical values are presented in Suppl. Table 1. Empty control nanoparticles did not
176 affect cell viability in the investigated concentrations.

177 In the neuroblastoma cell line UKF-NB-3, the nanoparticle preparations displayed similar
178 activity as doxorubicin solution, with doxorubicin-loaded HSA(40%), HSA(100%), and
179 HSA(200%) nanoparticles potentially showing a trend towards a slightly increased activity
180 (Figure 2). However, the differences did not reach statistical significance. Similar results were
181 obtained in the doxorubicin-adapted UKF-NB-3 sub-line UKF-NB-3^{rDOX}²⁰, although the
182 difference between doxorubicin-loaded HSA(200%) nanoparticles and doxorubicin solution
183 reached statistical significance (Figure 2). Notably, non-stabilised doxorubicin-bound
184 HSA(0%) nanoparticles differed in their relative activity and did not reduce UKF-NB-3^{rDOX}²⁰
185 viability by 50% within the observed concentration range up to 200 ng/mL.

186 The vincristine-adapted UKF-NB-3 sub-line UKF-NB-3^rVCR¹ displayed decreased
187 doxorubicin sensitivity. However, doxorubicin-loaded HSA(40%), HSA(100%), and
188 HSA(200%) nanoparticles displayed a higher relative potency compared to doxorubicin
189 solution in UKF-NB-3^rVCR¹ (Figure 2, Figure 3). The fold sensitisation doxorubicin IC50
190 doxorubicin solution/ doxorubicin IC50 nanoparticle-bound doxorubicin for HSA(40%),
191 HSA(100%), and HSA(200%) nanoparticles (3.6 - 4.5-fold) was higher than for UKF-NB-3
192 (1.9 - 2.5-fold), and UKF-NB-3^rDOX²⁰ (2.1 - 2.9-fold). The differences between doxorubicin-
193 loaded HSA(40%) nanoparticles, HSA(100%) nanoparticles, and HSA(200%) nanoparticles
194 and doxorubicin solution reached statistical significance ($P < 0.05$) (Figure 2, Figure 3).
195 Doxorubicin encapsulation into HSA(40%), HSA(100%), or HSA(200%) nanoparticles
196 reduced the doxorubicin IC50 in UKF-NB-3^rVCR¹ cells to the levels of doxorubicin solution
197 in parental UKF-NB-3 cells (Figure 2, Suppl. Table 1). In contrast, the doxorubicin IC50 of
198 doxorubicin-loaded HSA nanoparticles remained clearly (8-11-fold) higher in UKF-NB-
199 3^rDOX²⁰ cells than the doxorubicin IC50 of doxorubicin solution in parental UKF-NB-3 cells.

200

201 **Effects of the ABCB1 inhibitor zosuquidar on the efficacy of nanoparticle-bound** 202 **doxorubicin in UKF-NB-3^rDOX²⁰ cells**

203 Doxorubicin is an ABCB1 substrate, and UKF-NB-3^rDOX²⁰ cells are characterised by high
204 ABCB1 expression [20,26]. Vincristine is also an ABCB1 substrate, and vincristine-adapted
205 cancer cell lines often display enhanced ABCB1 levels [20,26-29]. Accordingly, UKF-NB-
206 3^rVCR¹ cells are sensitised by the ABCB1 inhibitor zosuquidar [2-6] to doxorubicin to the level
207 of parental UKF-NB-3 cells (Suppl. Figure 1), which indicates that ABCB1 expression
208 contributes to the resistance phenotype observed in UKF-NB-3^rVCR¹ cells.

209 Doxorubicin bound to nano-sized drug carrier systems has been shown to bypass ABCB1-
210 mediated drug efflux [7-12]. In UKF-NB-3^{VCR}¹ cells, both zosuquidar and doxorubicin
211 encapsulation into HSA nanoparticles reduced the doxorubicin IC₅₀ to the level of parental
212 UKF-NB-3 cells (Figure 2, Suppl. Figure 1, Suppl. Table 1), which do not display detectable
213 ABCB1 activity [20,27,29]. Hence, the increased activity of nanoparticle-bound doxorubicin
214 that we observed in UKF-NB-3^{VCR}¹⁰ cells is likely to be attributed to the circumvention of
215 ABCB1-mediated doxorubicin efflux.

216 In UKF-NB-3^{DOX}²⁰ cells, however, the differences between doxorubicin solution and
217 doxorubicin nanoparticles only reached statistical significance for doxorubicin-loaded
218 HSA(200%) nanoparticles (Figure 2). Reasons for this may include that nanoparticle-
219 incorporated doxorubicin do not completely avoid ABCB1-mediated efflux from UKF-NB-
220 3^{DOX}²⁰ cells and/ or that doxorubicin resistance is caused by multiple resistance mechanisms
221 and that avoidance of ABCB1-mediated transport is not sufficient to re-sensitise UKF-NB-
222 3^{DOX}²⁰ cells to doxorubicin to the level of UKF-NB-3 cells.

223 To further study the role of ABCB1 as a doxorubicin resistance mechanism in UKF-NB-
224 3^{DOX}²⁰ cells, we performed additional experiments in which we combined the ABCB1
225 inhibitor zosuquidar and doxorubicin applied as a solution or nanoparticle preparations in UKF-
226 NB-3^{DOX}²⁰ and UKF-NB-3 cells. Zosuquidar (1 μM) did not affect the efficacy of
227 doxorubicin solution or nanoparticle-bound doxorubicin in parental UKF-NB-3 cells (Figure
228 4), which do not display noticeable ABCB1 activity [20,27,29]. These experiments also
229 confirmed that there is no significant difference in the anti-cancer activity between doxorubicin
230 solution and doxorubicin nanoparticles in UKF-NB-3 cells, despite an apparent trend in the first
231 set of experiments (Figure 2).

232 In UKF-NB-3^{DOX}²⁰ cells, addition of zosuquidar resulted in an increased sensitivity to free
233 doxorubicin (Figure 4). The doxorubicin IC₅₀ decreased by 2.5-fold from 91 ng/mL in the

234 absence of zosuquidar to 37 ng/mL in the presence of zosuquidar, but not to the level of UKF-
235 NB-3 cells (4.6 ng/mL) (Suppl. Table 2). This confirmed that ABCB1 is one among multiple
236 resistance mechanisms that contribute to the doxorubicin resistance phenotype observed in
237 UKF-NB-3^rDOX²⁰.

238 In this set of experiments, doxorubicin-loaded nanoparticles displayed a significantly increased
239 activity compared to doxorubicin solution in UKF-NB-3^rDOX²⁰ cells (Figure 4). This finding
240 together with the non-significant trend observed in the first set of experiments (Figure 2)
241 suggests that doxorubicin-loaded nanoparticles do indeed exert stronger effects against UKF-
242 NB-3^rDOX²⁰ cells than doxorubicin solution. Zosuquidar only moderately increased the
243 efficacy of doxorubicin nanoparticles further (1.1 - 1.8-fold) in UKF-NB-3^rDOX²⁰ cells (Figure
244 4, Suppl. Table 2). In particular, the anti-cancer effects of doxorubicin-loaded HSA(200%)
245 nanoparticles, the most active nanoparticle preparation in UKF-NB-3^rDOX²⁰ cells, displayed a
246 doxorubicin IC₅₀ of 20 ng/mL, which was not further reduced by addition of zosuquidar
247 (doxorubicin IC₅₀: 18 ng/mL) (Figure 4, Suppl. Table 2). Hence, the increased anti-cancer
248 activity of doxorubicin incorporated into HSA nanoparticles appears to be primarily caused by
249 circumventing ABCB1-mediated doxorubicin efflux in UKF-NB-3^rDOX²⁰ cells.

250

251 **Discussion**

252 The occurrence of drug resistance is the major reason for the failure of systemic anti-cancer
253 therapies [2]. Here, we investigated the effects of doxorubicin-loaded HSA nanoparticles on
254 the viability of the neuroblastoma cell line UKF-NB-3 and its sub-lines adapted to doxorubicin
255 (UKF-NB-3^rDOX²⁰) and vincristine (UKF-NB-3^rVCR¹), which both display ABCB1 activity
256 and resistance to doxorubicin. The HSA nanoparticles were prepared by desolvation and
257 stabilised by glutaraldehyde, which crosslinks amino groups present in albumin molecules [13-
258 17]. Glutaraldehyde was used at molar concentrations that corresponded to 40% (Dox
259 HSA(40%) nanoparticles), 100% (Dox HSA(100%) nanoparticles), or 200% (Dox HSA(200%)
260 nanoparticles) theoretical cross-linking of the amino groups available in the HSA molecules.
261 The resulting nanoparticle preparations had similar sizes of about 200 nm and low
262 polydispersity indices in the range of 0.2.

263 Doxorubicin-loaded nanoparticles displayed similar activity as doxorubicin solution in the
264 parental UKF-NB-3 cell line, but exerted stronger effects than doxorubicin solution in the
265 ABCB1-expressing UKF-NB-3 sub-lines. UKF-NB-3^rVCR¹ cells were similarly sensitive to
266 doxorubicin-loaded nanoparticles as parental UKF-NB-3 cells to doxorubicin solution (and
267 doxorubicin-loaded nanoparticles). This suggests that the doxorubicin resistance of UKF-NB-
268 3^rVCR¹ cells exclusively depends on ABCB1 expression. In concordance, the ABCB1 inhibitor
269 zosuquidar re-sensitised UKF-NB-3^rVCR¹ cells to the level of parental UKF-NB-3 cells.

270 UKF-NB-3^rDOX²⁰ cells displayed a more pronounced doxorubicin resistance phenotype than
271 UKF-NB-3^rVCR¹ cells and were neither re-sensitised by nanoparticle-encapsulated
272 doxorubicin nor by zosuquidar to the level of UKF-NB-3 cells. This suggests that UKF-NB-
273 3^rDOX²⁰ cells have developed multiple doxorubicin resistance mechanisms. In contrast,
274 adaptation of UKF-NB-3^rVCR¹ cells to vincristine, a tubulin-binding agent with an anti-cancer
275 mechanism of action that is not related to that of the topoisomerase II inhibitor doxorubicin,

276 did not result in the acquisition of changes that confer doxorubicin resistance beyond ABCB1
277 expression [2,20,30,31]. This indicates that the personalised use of nanoparticle-encapsulated
278 transporter substrates will benefit from the use of biomarkers that indicate drug-specific
279 resistance mechanisms in addition to transporter expression.

280 Furthermore, zosuquidar did not increase the efficacy of doxorubicin-loaded HSA(100%) and
281 HSA(200%) nanoparticles and only modestly enhanced the efficacy of doxorubicin-loaded
282 HSA(40%) nanoparticles. Together, these data confirm that administration of doxorubicin as
283 HSA nanoparticles resulted in the circumvention of ABCB1-mediated drug efflux. The
284 difference between HSA(40%) nanoparticles and the other two preparations may be explained
285 by elevated drug release due to the lower degree of cross-linking.

286 Interestingly, high concentrations of the crosslinker glutaraldehyde did not affect the efficacy
287 of the resulting doxorubicin-loaded nanoparticles although high glutaraldehyde concentrations
288 might have been expected to affect drug release and/ or to bind covalently to doxorubicin via
289 its amino group.

290 Notably, the results differ from a recent similar study in which nanoparticles prepared from
291 poly(lactic-co-glycolic acid) (PLGA) or polylactic acid (PLA), two other biodegradable
292 materials approved by the FDA and EMA for human use [32,33], did not bypass ABCB1-
293 mediated drug efflux [34]. Differences in the mode of uptake and cellular distribution of
294 nanoparticles from different materials may be responsible for these discrepancies. HSA
295 nanoparticles may be internalised upon interaction with cellular albumin receptors [35,36].
296 Notably, nab-paclitaxel, an HSA nanoparticle-based preparation of paclitaxel (another ABCB1
297 substrate [26]), which is approved for the treatment of different forms of cancer [37], had
298 previously been shown not to avoid ABCB1-mediated drug efflux [38]. However, nab-
299 paclitaxel is not produced by the use of crosslinkers, and the interaction of paclitaxel with

300 albumin may differ from that of doxorubicin. Hence, variations in drug binding and drug release
301 kinetics may be responsible for this difference.

302 Despite the prominent role of ABCB1 as a drug resistance mechanism, attempts to exploit it as
303 drug target have failed so far, despite the development of highly specific allosteric ABCB1
304 inhibitors (of which zosuquidar is one) [5,26]. A number of reasons seem to account for the
305 clinical failure of ABCB1 inhibitors. ABCB1 is expressed at various physiological borders and
306 involved in the control of the body distribution of its many endogenous and exogenous
307 substrates. Systemic ABCB1 inhibition can therefore result in toxicity as consequence of a
308 modified body distribution of anti-cancer drugs (and other drugs that are co-administered for
309 other conditions than cancer), xenobiotics, and other molecules. In addition, cancer cells may
310 be characterised by multiple resistance mechanisms (including the expression of multiple
311 reporters) and targeting just one transporter may not be sufficient to overcome resistance (as
312 supported by our current finding that UKF-NB-3'DOX²⁰ cells cannot be fully re-sensitised to
313 doxorubicin by zosuquidar) [2,5,26]. Hence, the use of drug carrier systems to bypass ABC
314 transporter-mediated drug efflux is conceptually very attractive, because it can (in contrast to
315 specific inhibitors of ABCB1 or other transporters) overcome resistance mediated by multiple
316 transporters and does not result in the systemic inhibition of ABC transporter function at
317 physiological barriers.

318 In conclusion, doxorubicin-loaded HSA nanoparticles produced by desolvation and
319 crosslinking using glutaraldehyde overcome (in contrast to other nanoparticle systems)
320 transporter-mediated drug resistance.

321

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325

326 **Declarations of interest**

327 None

328

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- 432

433 **Table 1.** Nanoparticle diameter, polydispersity, and drug load.

Nanoparticles	Diameter (nm)	Polydispersity	Drug load (μg doxorubicin/ mg nanoparticle)
HSA(0%)	848.7	0.500	370.9
HSA(40%)	485.8	0.189	151.9
HSA(100%)	496.4	0.213	190.5
HSA(200%)	463.4	0.153	164.8

434

435

436 **Figure legends**

437 **Figure 1.** Doxorubicin sensitivity of UKF-NB-3, its doxorubicin-adapted sub-line UKF-NB-
438 3^rDOX²⁰ and its vincristine-adapted sub-line UKF-NB-3^rVCR¹. A) Doxorubicin concentrations
439 that reduce cell viability by 50% (IC50) as indicated by MTT assay after 120 h of incubation.
440 B) Fold change in doxorubicin sensitivity (doxorubicin IC50 UKF-NB-3 sub-line/ doxorubicin
441 IC50 UKF-NB-3). Numerical values are presented in Suppl. Table 1. * P < 0.05 relative to
442 UKF-NB-3

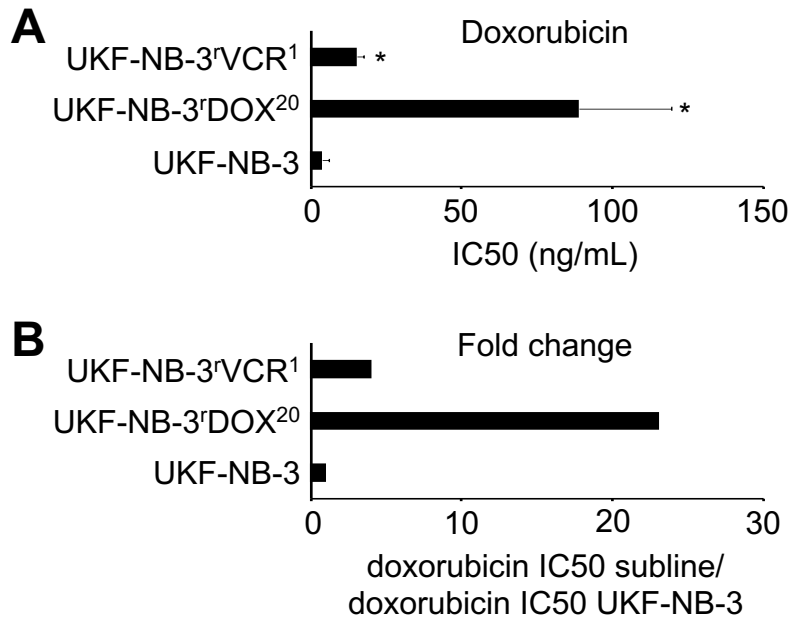
443 **Figure 2.** Effects of doxorubicin (Dox) applied as a solution or incorporated into human serum
444 albumin (HSA) nanoparticles on neuroblastoma cell viability. The investigated nanoparticles
445 differed in the amount of the cross-linker glutaraldehyde that was used for nanoparticle
446 stabilisation. The amount of glutaraldehyde corresponded to 40% (Dox HSA(40%) NP), 100%
447 (Dox HSA(100%) NP), or 200% (Dox HSA(200%) NP) theoretical cross-linking of the
448 available amino groups present on HSA. Preparations prepared without glutaraldehyde served
449 as a control (Dox HSA(0%) NP). Values are expressed as concentrations that reduce cell
450 viability by 50% (IC50) as determined by MTT assay after 120 h of incubation. Numerical
451 values are presented in Suppl. Table 1. Empty nanoparticles did not affect cell viability in the
452 investigated concentrations. * P < 0.05 relative to doxorubicin solution; # IC50 > 200 ng/mL

453 **Figure 3.** Fold sensitisation to doxorubicin by doxorubicin-bound nanoparticles (NP). Values
454 are expressed as fold changes doxorubicin (Dox) IC50 of doxorubicin solution/ doxorubicin
455 IC50 of doxorubicin-bound nanoparticles (NPs). Human serum albumin (HSA) nanoparticles
456 were stabilised by glutaraldehyde concentrations corresponding to 40% (Dox HSA(40%) NP),
457 100% (Dox HSA(100%) NP), or 200% (Dox HSA(200%) NP) theoretical cross-linking of the
458 available amino groups present on HSA.

459 **Figure 4.** Doxorubicin (Dox) concentrations that reduce neuroblastoma cell viability by 50%
460 (IC50) in the presence or absence of the ABCB1 inhibitor zosuquidar (1 μ M) as determined by
461 MTT assay after 120 h incubation. Doxorubicin was either applied as a solution or incorporated
462 into human serum albumin (HSA) nanoparticles which had been stabilised by addition of
463 glutaraldehyde concentrations corresponding to 40% (Dox HSA(40%) NP), 100% (Dox
464 HSA(100%) NP), or 200% (Dox HSA(200%) NP) theoretical cross-linking of the available
465 amino groups present on HSA. Zosuquidar (1 μ M) did not affect cell viability on its own.
466 Numerical data are presented in Suppl. Table 2. * P < 0.05 relative to the doxorubicin IC50 in
467 the absence of zosuquidar; § P < 0.05 relative to doxorubicin solution

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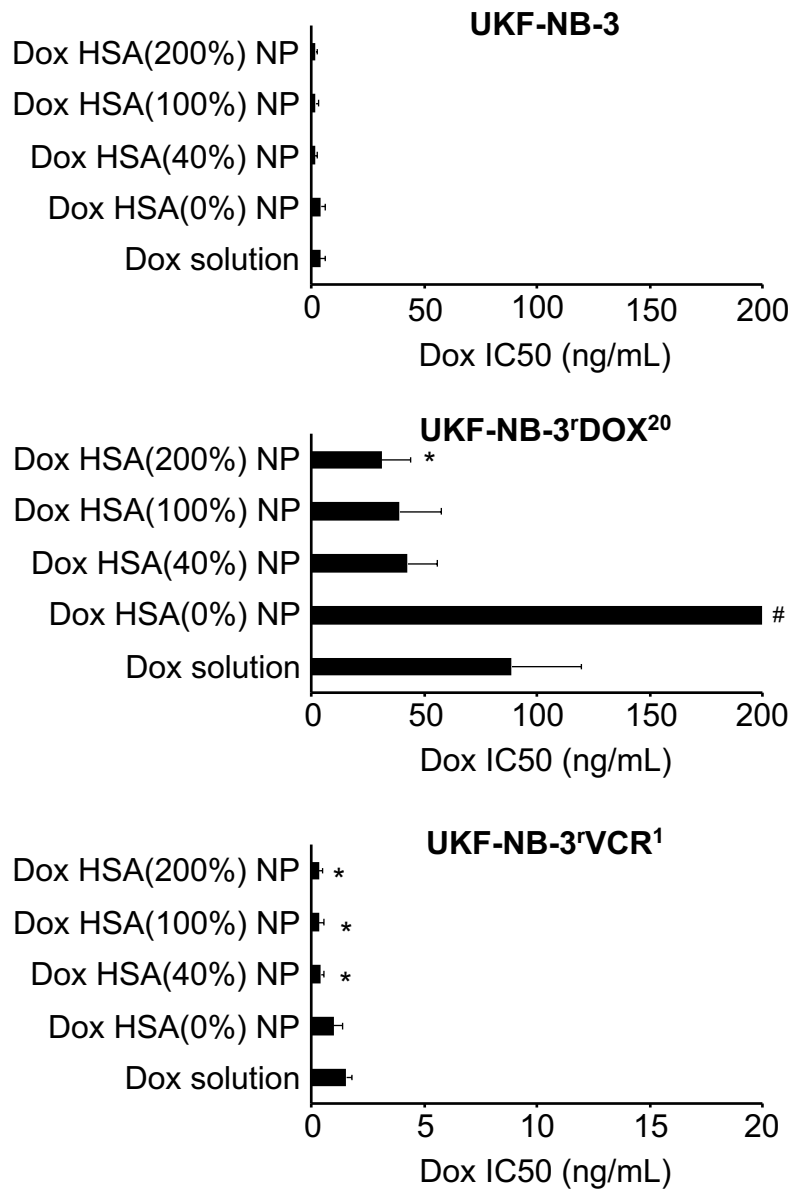
Figure 1



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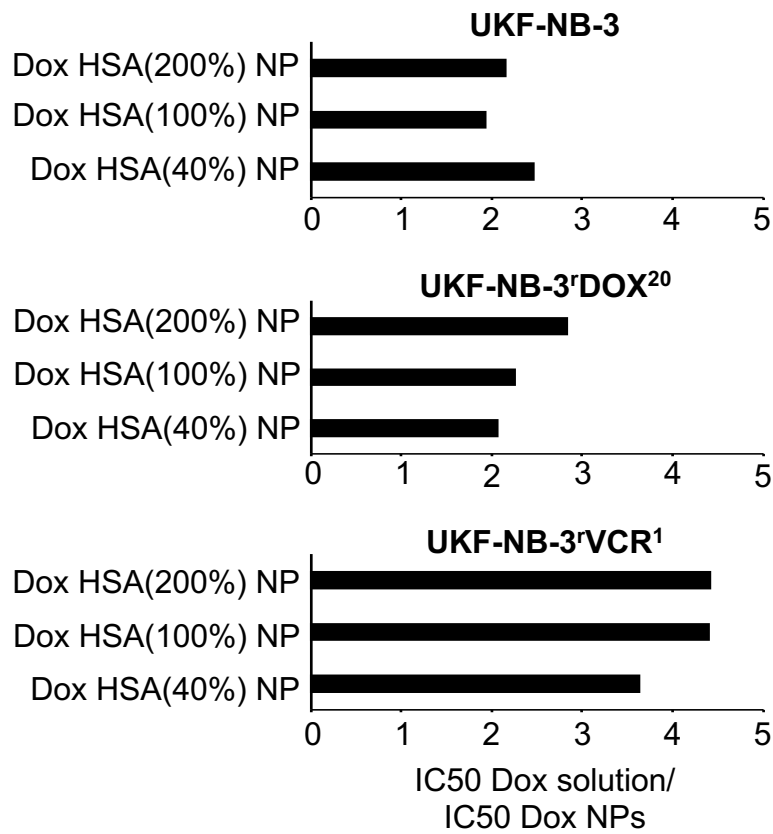
Figure 2



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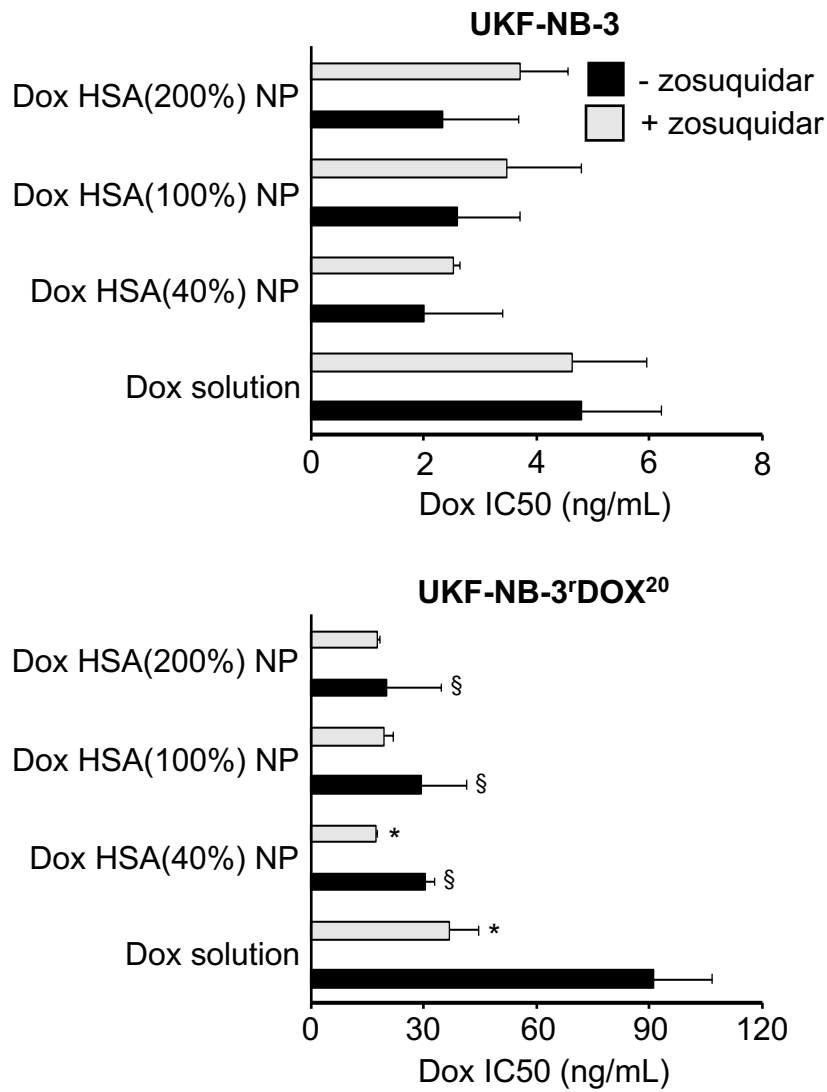
Figure 3



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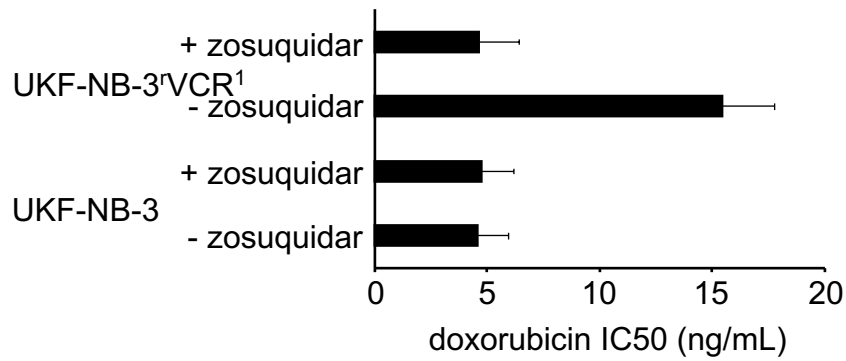
Figure 4



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Suppl Figure 1



Suppl. Figure 1. Doxorubicin concentrations that reduce neuroblastoma cell viability by 50% (IC50) in the absence or presence of the ABCB1 inhibitor zosuquidar (1 μ M).

477

478

479 **Suppl. Table 1.** Effects of doxorubicin (Dox) applied as solution or incorporated into human
 480 serum albumin (HSA) nanoparticles on neuroblastoma cell viability. The investigated
 481 nanoparticles differed in the amount of the crosslinker glutaraldehyde that was used for
 482 nanoparticle stabilisation. The glutaraldehyde amount corresponded to 40% (Dox HSA(40%)
 483 NP), 100% (Dox HSA(100%) NP), or 200% (Dox HDA(200%) NP) of the theoretical amount
 484 of available amino groups present on HSA. Preparations prepared without glutaraldehyde
 485 served as control (Dox HSA(0%) NP). Values are expressed as concentrations that reduce cell
 486 viability by 50% (IC₅₀) as determined by MTT assay after 120h of incubation.

487

	IC ₅₀ doxorubicin (ng/mL)		
	UKF-NB-3	UKF-NB-3 ^{DOX} ²⁰	UKF-NB-3 ^{VCR} ¹
Dox solution	3.85 ± 2.46	89.0 ± 30.8 (23.1) ¹	15.5 ± 2.3 (4.03) ¹
DoxHSA(0%)	4.20 ± 1.72 (1.09) ²	>200 ³ (>2.25) ²	9.88 ± 3.78 (0.64) ²
DoxHSA(40%)	1.55 ± 1.00 (0.40) ²	42.8 ± 13.3 (0.48) ²	4.25 ± 1.35 (0.27) ²
DoxHSA(100%)	1.98 ± 1.03 (0.51) ²	39.1 ± 18.6 (0.44) ²	3.52 ± 2.00 (0.23) ²
DoxHSA(200%)	1.78 ± 1.04 (0.46) ²	31.2 ± 12.9 (0.35) ²	3.51 ± 1.66 (0.23) ²

488

489 ¹ fold change in doxorubicin sensitivity relative to UKF-NB-3

490 ² fold change in doxorubicin sensitivity relative to doxorubicin solution

491 ³ cell viability in the presence of doxorubicin 200 ng/mL applied as non-stabilised HSA
 492 preparation: 81.9 ± 12.9% relative to untreated control

493

494 **Suppl. Table 2.** Effects of doxorubicin (Dox) applied as solution or incorporated into human
 495 serum albumin (HSA) nanoparticles on neuroblastoma cell viability in the absence or presence
 496 of zosuquidar (1 μ M). The investigated nanoparticles differed in the amount of the crosslinker
 497 glutaraldehyde that was used for nanoparticle stabilisation. The glutaraldehyde amount
 498 corresponded to 40% (Dox HSA(40%) NP), 100% (Dox HSA(100%) NP), or 200% (Dox
 499 HDA(200%) NP) of the theoretical amount of available amino groups present on HSA.. Values
 500 are expressed as concentrations that reduce cell viability by 50% (IC50) as determined by MTT
 501 assay after 120h of incubation.

502

UKF-NB-3		+ Zosuquidar (1 μ M)		
	Doxorubicin IC50 (ng/mL)	Zosuquidar alone ¹	Doxorubicin IC50 (ng/mL)	Fold change ²
Doxorubicin	4.80 \pm 1.41	107 \pm 24	4.64 \pm 1.33	1.04
Dox HSA (40%) NP	2.01 \pm 1.40	107 \pm 24	2.52 \pm 0.11	0.80
DOX HSA (100%) NP	2.61 \pm 1.11	107 \pm 24	3.48 \pm 1.31	0.75
DOX HSA (200%) NP	2.34 \pm 1.35	107 \pm 24	3.70 \pm 0.86	0.63

503

UKF-NB-3 ¹ DOX ²⁰		+ Zosuquidar (1 μ M)		
	Doxorubicin IC50 (ng/mL)	Zosuquidar alone ¹	Doxorubicin IC50 (ng/mL)	Fold change ²
Doxorubicin	91.0 \pm 15.9	112 \pm 17	36.9 \pm 7.7	2.47
Dox HSA (40%) NP	30.5 \pm 2.4	112 \pm 17	17.4 \pm 0.3	1.75
DOX HSA (100%) NP	29.3 \pm 12.2	112 \pm 17	19.3 \pm 2.5	1.52
DOX HSA (200%) NP	20.1 \pm 14.4	112 \pm 17	17.7 \pm 0.6	1.14

504

505

506 ¹ cell viability in the presence of Zosuquidar (1 μ M) expressed as % untreated control

507 ² doxorubicin IC50/ Doxorubicin IC50 in the presence of zosuquidar

508