# Impact of age-specific immunity on the timing and burden of the next Zika virus outbreak

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July 10, 2019

#### Abstract

The 2015–2017 epidemics of Zika virus (ZIKV) in the Americas caused widespread protective q immunity. The timing and burden of the next Zika virus outbreak remains unclear. We used an 10 agent-based model to simulate the dynamics of age-specific immunity to ZIKV, and predict the 11 future age-specific risk using data from Managua, Nicaragua. We also investigated the potential 12 impact of a ZIKV vaccine. Assuming lifelong immunity, the risk of a ZIKV outbreak will remain 13 low until 2035 and rise above 50% in 2047. The imbalance in age-specific immunity implies that 14 people in the 15–29 age range will be at highest risk of infection during the next ZIKV outbreak, 15 increasing the expected number of congenital abnormalities. ZIKV vaccine development and 16 licensure are urgent to attain the maximum benefit in reducing the population-level risk of 17 infection and the risk of adverse congenital outcomes. This urgency increases if immunity is not 18 19 lifelong.

#### 20 1 Introduction

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Zika virus (ZIKV) is a flavivirus, which is transmitted primarily by mosquitoes of the genus Aedes. 21 Before 2007, circulation of the virus only occurred sporadically in African and Asian countries 22 (Wikan and Smith, 2016; Kohl and Gatherer, 2015). Between 2007 and 2013, ZIKV caused large-23 scale epidemics in the populations of Micronesia (Duffy et al., 2009), French Polynesia (Cao-Lormeau 24 et al., 2014) and other Pacific islands (Wikan and Smith, 2016). ZIKV probably became established 25 in Aedes aegypti mosquitoes in the Americas between 2013-2014, (Faria et al., 2016; Zhang et al., 26 2017) and then spread rapidly across the continent. In 2015, doctors in Brazil started reporting 27 clusters of infants born with microcephaly, a severe congenital abnormality, and of adults with 28 Guillain-Barré syndrome, a paralyzing neurological condition, resulting in the declaration by the 29 World Health Organization (WHO) of a Public Health Emergency of International Concern (PHEIC) 30 (World Health Organization, 2016). WHO stated, in September 2016, that ZIKV in pregnancy was 31 the most likely cause of the clusters of microcephaly, and other adverse congenital outcomes (Krauer 32 et al., 2017; Counotte et al., 2018). The risk of an affected pregnancy appears highest during the first 33 trimester, with estimates between 1.0 and 4.5% (Cauchemez et al., 2016; Johansson et al., 2016). 34 By the beginning of 2018, over 220,000 confirmed cases of ZIKV infection had been reported from 35 Latin America and the Caribbean (PAHO, 2019), which is estimated to be only  $1.02\% (\pm 0.93\%)$  of 36 the total number of cases, based on mathematical modelling studies (Zhang et al., 2017). 37 Protective immunity conferred by infection, combined with high attack rates and herd immunity, 38

<sup>39</sup> can explain the ending of epidemics and the lack of early recurrence (Dietz, 1975), as has been seen

with ZIKV (Ferguson et al., 2016). The duration of protective immunity induced by ZIKV infection 40 remains uncertain, since immunity to ZIKV infection was not studied extensively before the 2013 41 outbreaks. Evidence from seroprevalence studies in French Polynesia and Fiji found that levels of 42 ZIKV neutralizing antibodies decrease with time (Henderson et al., 2019). If the fall in antibody 43 levels means that people become susceptible to infection again, population level ZIKV immunity 44 might be declining already. Even if protective immunity is lifelong, the risk of a new ZIKV outbreak 45 will rise as susceptible newborns replace older individuals, lowering the overall proportion of the 46 population that is immune. A modelling study, based on data from the 2013 epidemic in French 47 Polynesia, estimated that ZIKV outbreaks are unlikely to occur for 12 to 20 years, assuming lifelong 48 immunity (Kucharski et al., 2016). 49

A direct consequence of population renewal will be an unequal distribution of immunity by age 50 group, with younger age groups at higher risk from a new epidemic than older people (Ferguson 51 et al., 2016). That effect will be amplified if ZIKV attack rates are lower in children than adults. 52 Assessing the risk of ZIKV infection in women of reproductive age is essential because ZIKV infection 53 in pregnancy, leading to adverse congenital outcomes, has such important implications for individ-54 uals, for public health and for investment in surveillance and mitigation strategies, including vector 55 control, early warning systems, and vaccines (Abbink et al., 2018; World Health Organization, 2018). 56 However, currently no vaccine is available against ZIKV. Phase I clinical trials of ZIKV candidate 57 vaccines have shown levels of neutralizing antibody titers that were considered protective against 58 reinfection (Gaudinski et al., 2018; Modjarrad et al., 2018). Some vaccines have already entered 59 phase II trials (National Institute of Allergy and Infectious Diseases, 2018), but some companies 60 have stopped vaccine development (Cohen, 2018). 61

Researchers in Managua, Nicaragua were the first to report the age-stratified seroprevalence 62 of ZIKV antibodies in population-based surveys (Zambrana et al., 2018). The first cases of au-63 tochthonous ZIKV infection in Nicaragua were reported in January, 2016, and an epidemic was 64 observed between July and December of that year. Through case-based surveillance, the public 65 health authorities of Nicaragua reported a total of 2,795 people with ZIKV detected by reverse tran-66 scriptase (RT) PCR over this period (PAHO, 2019). The number of symptomatic infections is likely 67 much higher, owing to under-reporting. Furthermore, ZIKV infection is asymptomatic in 33 to 87% 68 of cases [23], which are generally not identified by surveillance systems. Shortly after the end of the 69 2016 epidemic, Zambrana et al. analyzed sera from two large population-based surveys in Managua 70 to measure the prevalence of IgG antibodies against ZIKV in 2- to 14-year olds (N=3,740) and 15-71 to 80-year olds (N=2,147) (Zambrana et al., 2018). The authors reported ZIKV seroprevalence of 72 36.1% (95% confidence interval, CI: 34.5; 37.8%) among the 2-14 year age group and 56.4% (95% CI: 73 53.1; 59.6%) among the 15-80 year age group (Zambrana et al., 2018; Balmaseda et al., 2017). The 74 observed post-outbreak seroprevalence in adults is in line with findings from seroprevalence studies 75 from French Polynesia, Brazil, and Bolivia (Aubry et al., 2017; Netto et al., 2017; Saba Villarroel 76 et al., 2018). 77

In this study, we used data from the 2016 ZIKV epidemic in Managua and developed an agentbased model (ABM) to predict the evolution of age-specific protective immunity to ZIKV infection in the population of Managua, Nicaragua during the period 2017–2097. We assessed: 1) the risk of a future ZIKV outbreak; 2) the consequences of a future ZIKV outbreak on women of reproductive age; 3) the influence of loss of immunity on future attack rates; and 4) how vaccination could prevent future ZIKV outbreaks.

### $_{84}$ 2 Methods

#### 85 2.1 Modelling strategy

We assessed the consequences of future outbreaks of ZIKV infection in Managua, Nicaragua using a stochastic ABM. The model follows a basic susceptible-infected-recovered (SIR) framework and

Table 1: Parametrization of the agent-based model. <sup>*a*</sup>age-dependent parameters; <sup>*b*</sup>the different scenarios are discussed in the text in detail under the headings corresponding to the headings of this table.

Parameter	Comment	Source
ZIKV epidemic paramete		Source
Transmission rate <sup><math>a</math></sup>	Inferred from the 2016 epidemic	Zambrana et al. (2018)
Recovery rate	Inferred from the 2016 epidemic	Zambrana et al. $(2018)$
ZIKV immunity	<b>1</b>	( )
Initial immunity $a^{a}$	Inferred from the 2016 epidemic	Zambrana et al. (2018)
Duration of immunity	Lifelong or decaying with time	5 scenarios <sup><math>b</math></sup>
Demography		
Initial age distribution	_	World Bank (2019a)
Birth rate	_	World Bank (2019a)
Death rate <sup><math>a</math></sup>	_	World Health Organi-
		zation $(2019)$
Ageing	Linear ageing at each time-step	_
ZIKV reintroduction		
Delay until reintroduction	1 to 80 years	$80 \text{ scenarios}^b$
Cases reintroduced	1, 5  or  10  cases	$3 \text{ scenarios}^b$
Risk of adverse congenita	al event	
Exposure	Proportion of women in the first semester of pregnancy	World Bank (2019a)
Risk of microcephaly	Upon infection during exposure	Cauchemez et al.
	time (3 levels of risk)	(2016); Johansson
		et al. (2016)
Targeted vaccination		
Date of implementation	In 2021, 2025 or 2031	$3 \text{ scenarios}^b$
Effective coverage	Proportion of 15 year old girls vac- cinated $(0\% \text{ to } 80\%)$	$5 \text{ scenarios}^b$

integrates processes related to ZIKV transmission, immunity, demography, adverse congenital outcomes and vaccination (Table 1). We parameterized the model based on published estimates or
inferences from data about the 2016 ZIKV epidemic (Table 1). We considered different scenarios
about the duration of immunity, the timing and scale of ZIKV reintroductions in the population,
and the timing and scale of a hypothetical vaccination program targeted towards 15 year old girls.

#### 93 2.2 Model structure

We simulated a population of 10,000 individuals for 80 years (2017–2097). We assigned agents age 94 and ZIKV infection status (susceptible S, infected I or immune R). Initial conditions reflected the 95 situation in Managua, Nicaragua in 2017, when there was no documentation of active transmission. 96 In the outbreak-free period, we only considered demographic and immunity processes: births, deaths, 97 ageing and, if applicable, loss of immunity and vaccination. Given the scarcity of these events 98 at the individual level, we select a long time-step of seven days and stochastically applied the 99 transition probabilities at each time step for each agent. After a given time, ZIKV-infected cases 100 were reintroduced in the population. Upon reintroduction, the time step was reduced to 0.1 days, and 101 we evaluated the epidemic-related transition probabilities: Susceptible agents may become infected 102 at a rate  $\beta_a I/N$ , where  $\beta_a$  is the age-dependent transmission rate and N the total population size. 103 Infected individuals may recover with a rate  $\gamma$ . We ignored the influence of the vector population 104

and assumed that the force of infection is directly proportional to the overall proportion of infected individuals. We allowed six months for the outbreak to finish after introduction. Simulations were conducted independently for each combination of scenarios and repeated 1,000 times. In the baseline scenario, we assumed no vaccination, no loss of immunity and a reintroduction of 10 infected individuals.

We implemented the model in 'Stan' version 2.18 (Carpenter et al., 2017) and we conducted analyses with R version 3.5.1 (R Core Team and Team, 2008). The Bayesian inference framework Stan permits the use of probability distributions over parameters instead of single values, allowing for the direct propagation of uncertainty. Stan models are compiled in C++, which improves the efficiency of simulations. Algorithm 1 (Appendix A.1) describes the ABM in pseudo code. The model code and data are available from http://github.com/ZikaProject/SeroProject.

#### 116 2.3 Parametrization

#### 117 2.3.1 ZIKV epidemic parameters

<sup>118</sup> We inferred the probability distributions for the age-specific transmission rate  $\beta_a$  and the recovery <sup>119</sup> rate  $\gamma$  from data on the 2016 ZIKV epidemic in Managua, Nicaragua. We used surveillance data <sup>120</sup> (Zambrana et al., 2018), which give weekly numbers of incident ZIKV infections, confirmed by <sup>121</sup> RT-PCR (dataset A, n=1,165), and survey data on age-stratified ZIKV seroprevalence, measured <sup>122</sup> among participants of pediatric and household cohort studies in Managua during weeks 5–32 of 2017 <sup>123</sup> (dataset B, n=3,740 children and 1,074 adults) (Zambrana et al., 2018).

We conducted statistical inference using a deterministic, ordinary differential equation (ODE)based version of the ABM with three compartments (S, I and R) and two age classes  $(a \in \{1, 2\}$ corresponding to ages 0–14 and  $\geq 15$ ):

$$\frac{dS_a}{dt} = -\beta_a S_a \frac{\sum I_a}{N} \tag{1}$$

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$$\frac{dI_a}{dt} = \beta_a S_a \frac{\sum I_a}{N} - \gamma I_a \tag{2}$$

(3)

$$\frac{dR_a}{dt} = \gamma I_a$$

<sup>131</sup> We ignored demography in this model because it covers a short time span. We recorded the overall <sup>132</sup> cumulative incidence of ZIKV cases using a dummy compartment:

$$\frac{dC}{dt} = \sum_{a} \beta_a S_a \frac{\sum I_a}{N} \tag{4}$$

in order to compute the weekly incidence on week t:

$$D_t = C(t) - C(t-1)$$
(5)

<sup>136</sup> We fitted the model to weekly incidence data A using a normal likelihood after a square-root <sup>137</sup> variance-stabilizing transformation (Guan, 2009):

$$\Pr(\mathbb{A}|\beta_a, \gamma, \rho, \sigma) = \prod_t \mathcal{N}(\sqrt{\mathbb{A}}|\sqrt{\rho D}, \sigma)$$
(6)

where  $\rho$  is a reporting rate parameter and  $\sigma$  an error parameter. In addition, we also fitted the model to the number of individuals with anti-ZIKV antibodies at the end of the epidemic by age group  $\mathbb{B}_a$  using a binomial likelihood:

Pr(
$$\mathbb{B}|\beta_a, \gamma$$
) =  $\prod_a \mathcal{B}(\mathbb{B}_a|n_a, p_a)$  (7)

where  $\mathbb{B}_a$  the number of individuals with antibodies,  $n_a$  is the sample size in each age group, and 143  $p_a = R_a(t_{end})/N_a(t_{end})$  the proportion of immune at the end of the epidemic. The full likelihood 144 was obtained by multiplying Eq. 6 and Eq. 7. We chose weakly-informative priors for all parameters 145 and fitted the model in Stan (Table 2). We describe the calculation of the basic reproduction number 146  $\mathcal{R}_0$  in appendix A.2. We used one thousand posterior samples for  $\beta_a$  and  $\gamma$  obtained by Hamiltonian 147 Monte Carlo in the ABM model, ensuring the full propagation of uncertainty. Parameter values can 148 translate from deterministic to agent-based versions of an epidemic model if the time step is small 149 (Roche et al., 2011a), which was the reason for using a time step of 0.1 days. 150

Table 2: Parameter estimates inferred from incidence and sero-prevalence data on the 2016 ZIKV
epidemic in Managua, Nicaragua. CrI: Credible interval.

Parameter	Interpretation	Prior	Posterior
			(median and $95\%$
			CrI)
$\beta_1$	Transmission for age group 0-14	$\operatorname{Expon}(0.1)$	$0.19 \ (0.16; \ 0.22)$
$\beta_2$	Transmission for age group $\geq 15$	$\operatorname{Expon}(0.1)$	$0.32 \ (0.30; \ 0.36)$
$1/\gamma$	Duration of infectious period	$\operatorname{Gamma}(1, 0.1)$	4.8(4.3;5.4)
ρ	Reporting rate	Beta(1,1)	0.24% (0.21; 0.26)
I(0)	Initial number of infectious	Expon(0.1)	74(40; 134)
$\mathcal{R}_0$	Basic reproduction number	_	1.58 (1.56; 1.59)

#### 151 2.3.2 ZIKV immunity

We used the deterministic model, described in the previous section, to infer the proportion of people 152 with protective immunity within each age group at the end of the 2016 epidemic  $\tilde{p}_a$ . We used one 153 thousand posterior samples of  $\tilde{p}_a$  in the ABM to allow the propagation of uncertainty. Protective 154 immunity to ZIKV after infection was lifelong in our first scenario, so the reduction of the overall 155 proportion of immune individuals in the population decreased only because of population renewal. 156 Given the absence of evidence about the duration of immunity to ZIKV, we considered four scenarios 157 assuming exponentially distributed durations of immunity with means of 30, 60, 90, or 150 years. 158 These values correspond to a proportion of initially immune agents that loses immunity after 10 159 years of 28%, 15%, 11% or 6%, respectively (Appendix A.3). 160

#### 161 2.3.3 Demography

We based the initial age distribution of the population on data from the World Bank (World Bank, 2019b). We used age-dependent death rates for 2016 from the World Health Organization (World Health Organization, 2019). For births, we computed a rate based on an average birth rate in Nicaragua of 2.2 births per woman, which was uniformly distributed over the female reproductive lifespan (World Bank, 2019a). We defined the period of reproductive age between 15 and 49 years. The ageing process was linear, increasing the age of each agent by 7 days at each 7-day time step.

#### 168 2.3.4 ZIKV reintroduction

We reintroduced ZIKV in the population after a delay of  $d = \{1, \dots, 80\}$  years in independent simulations. We chose this approach rather than continuous reintroductions to remove some of the stochasticity and assess more clearly the association between immunity decay and risk of an outbreak. As the probability of an extinction of the outbreak depends on the number of ZIKV cases reintroduced in the population, we considered three different values for the seed (1, 5 or 10 cases) and compared the results (Appendix A.4). Simulations using continuous reintroductions each year are presented in the appendix A.5.

#### 176 2.3.5 Risk of adverse congenital outcomes

The estimated number of microcephaly cases resulting from the reintroduction of ZIKV depended 177 on the exposure, i.e. the number of pregnant women infected by ZIKV during their first trimester, 178 to which we applied three different levels of risk, based on published estimates (Cauchemez et al., 179 2016; Johansson et al., 2016). We obtained the number of ZIKV infections among women aged 180 15–49 years from ABM simulations. As gender was not explicitly considered in the model, we 181 assumed that women represented 50% of the population. We assumed a uniform distribution of 182 births during the reproductive period, and considered that the first trimester constituted a third 183 of ongoing pregnancies at a given time. We explored three different levels of risk of microcephaly 184 in births to pregnant woman with ZIKV infection during the first trimester, as reported by Zhang 185 et al., based on data from French Polynesia (0.95%, called low risk) and Brazil (2.19% and 4.52%, 186 called intermediate and high risk, respectively) (Cauchemez et al., 2016; Johansson et al., 2016). 187

#### 188 2.3.6 Vaccination

We examined the effects of a potential ZIKV vaccine, given to 15-year-old-girls. This vaccination 189 strategy was used for rubella virus, which also causes congenital abnormalities, before the vaccine 190 was included in the measles, mumps and rubella vaccine given in childhood (Vyse et al., 2002). The 191 main objective of vaccination would be the prevention of adverse congenital outcomes, including 192 microcephaly. We simulated this intervention in the ABM, assuming vaccine implementation starting 193 in 2021, 2025 or 2031. From that date, half of the agents reaching age 15, representing females, could 194 transition to immune status R regardless of their initial status, with an effective vaccination coverage 195 ranging from 20% to 80%. 196

#### <sup>197</sup> 2.4 Outcome analysis

From the simulations, we collected 1) the evolution of the age-specific ZIKV immunity in the population; 2) the attack rate resulting from the reintroduction of ZIKV at year d; 3) the age of newly infected individuals. We fitted a binary Gaussian mixture model to dichotomize the observed attack rates into either outbreaks or non-outbreaks. We defined the outbreak threshold as the 97.5% upper bound of the lower distribution. This corresponded to a threshold of 1%, so that attack rates  $\geq 1\%$ were considered as outbreaks. The age structure of newly infected individuals was used to compute relative risks of infection by age group.

#### 205 **3** Results

#### 206 3.1 2016 ZIKV epidemic

The fitted model (Figure 1), resulted in a reporting rate of 0.24% (95% credible interval, CrI: 0.21; 0.26). The transmission rate in the 0–14 age group was 42% (95% CrI: 35; 48) lower than in the  $\geq 1515$  age group. This corresponded to an overall basic reproduction number  $\mathcal{R}_0$  of 1.58 (95% CrI: 1.56; 1.59). The predicted percentage of immune at the end of the epidemic was 36% (95% CrI: 34; 38) for the 0–14 age group and 53% (95% CrI: 50; 57) for the  $\geq 15$  age group.

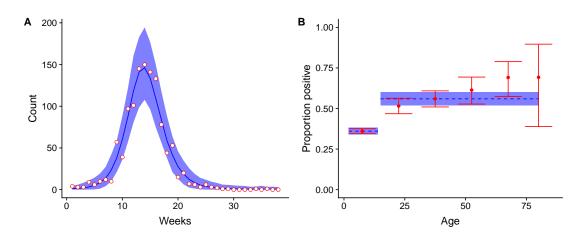


Figure 1: Model fit for (A) weekly incidence data and (B) post-epidemic sero-prevalence data from the 2016 ZIKV epidemic in Managua, Nicaragua. Data points are in red and the corresponding model fit (posterior median and 95% credible interval) is in blue.

#### <sup>212</sup> 3.2 Immunity and population

In our forward simulations, the expected population size increased by 42% between 2017 and 2097. Under the assumption that ZIKV infection results in lifelong protective immunity, population renewal will create an imbalance in the proportion immune in different age groups. We expect the overall proportion of the population with protective immunity to have halved (from 48% to 24%) by 2051 and to be concentrated among the older age classes (Fig. 2A). The 0–14 year old age group will become entirely susceptible by 2031 and the 15–29 year old age group by 2046.

#### 219 3.3 Future risk of ZIKV outbreak

Reintroductions of ZIKV in the population of Managua are unlikely to develop into sizeable outbreaks
before 2035, 24 years after the 2016 epidemic, assuming lifelong immunity for individuals infected in
2016 (Fig. 2B). After this point, attack rates resulting from ZIKV reintroduction will rise steeply.
By 2047, we predict that ZIKV reintroductions will have a 50% probability of resulting in outbreaks
with attack rates greater than 1% (Fig. 2C).

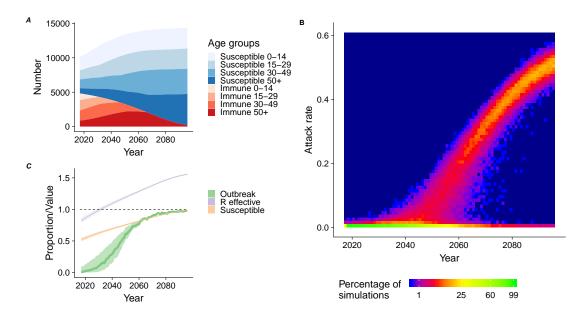


Figure 2: (A) The evolution of the immunity status per age group in a population of 10,000 agents for the next 80 years based on the demographic structure of Nicaragua. (B) Heat map of the distribution of the attack rates resulting from the reintroduction of ZIKV in the population at each year (1000 simulations for each year). (C) The evolution of the proportion of reintroductions resulting in outbreaks (with a threshold of 1%) with time (green), proportion of susceptible (orange), and effective reproduction number  $\mathcal{R}_e$  (purple).

# <sup>225</sup> 3.4 Risk of infection and microcephaly births in women of reproductive <sup>226</sup> age

The differences between age groups in both immunity and transmission will result in a dispropor-227 tionate burden of infection in the 15–29 age class. The relative risk of infection in this age group 228 ranges from 1.2 to 1.6, compared with the general population if an outbreak occurs during the pe-229 riod 2032–2075 (Fig. 3A). As most pregnancies occur in this age group, these women are also the 230 most likely to experience a pregnancy with an adverse outcome. The increased risk of infection in 231 this group implies that the number of adverse congenital outcomes resulting from a ZIKV outbreak 232 during this period is likely to be higher than expected with a homogeneous distribution of immunity 233 across ages. Assuming different values for the added risk of microcephaly after a ZIKV infection 234 during the first trimester, we expect the mean number of additional microcephaly cases due to ZIKV 235 infection resulting from the reintroduction of the virus in Managua, Nicaragua to reach 1 to 5 cases 236 per 100,000 population in 2060 (Fig. 3B). 237

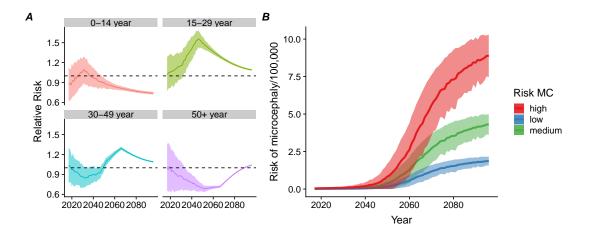
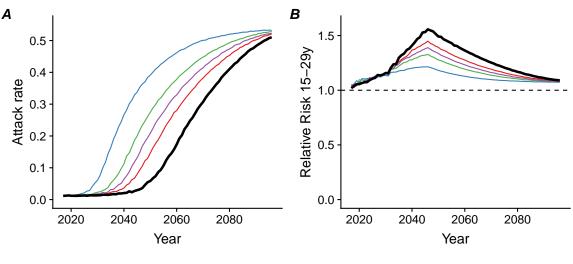


Figure 3: (A) Relative risk of ZIKV infection during a ZIKV outbreak per age group compared to the general population by year (median, interquartile range). (B) Expected number of additional microcephaly events associated with ZIKV infection during pregnancy per 100,000 total population according to three different risk scenarios.

#### 238 3.5 Loss of immunity

If protective immunity to ZIKV is not lifelong, the time window before observing a rise in the attack rates resulting from ZIKV reintroduction will shorten (Fig. 4A). For instance, if 15% of the those who were infected in 2016 lose their immunity after 10 years (a mean duration of immunity of 60 years), the time until the risk of outbreak upon reintroduction reaches 50% would be 14 years earlier (2033) than with lifelong immunity (2047). Loss of immunity over time would reduce the relative risk in the 15–29 year old age group (Fig. 4B).



Mean duration of immunity - 150 - 90 - 60 - 30 Years

Figure 4: Consequences of alternative scenarios regarding the mean duration of protective immunity (30, 60 and 150 years), compared with lifelong immunity (thick black line): (A) median attack rate of ZIKV among reintroductions resulting in outbreaks (with a threshold of 1%) and (B) relative risk of ZIKV infection during an outbreak in the 15–29 year age group compared with the general population.

#### 245 3.6 Targeted vaccination

The implementation of a vaccination program targeted towards 15 year old girls between 2021 and 246 2031 would reduce the risk of infection in women aged 15-29 years and would also indirectly reduce 247 the overall risk of a ZIKV outbreak in the population (Fig. 5). If effective vaccine coverage is 248 60–80% amongst 15 year old girls, the prolongation of herd immunity could effectively mitigate the 249 overall risk of a ZIKV outbreak in the population. The reduction in the number of microcephaly 250 cases would then exceed what would be expected by considering only the direct protection granted 251 by a vaccine to future mothers. A later implementation of the intervention would be less effective, 252 as it becomes more difficult to maintain the herd immunity (Fig. 5B). 253

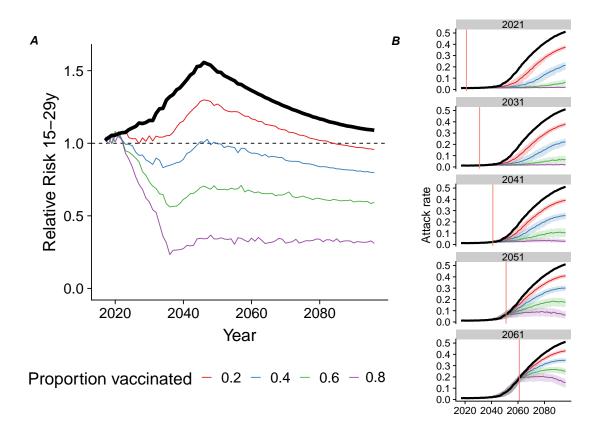


Figure 5: Consequences of implementing a targeted vaccination program among 15-year-old-girls from 2021 onwards with various levels of effective vaccination coverage (from 20 to 80%) compared with no vaccination (thick black line): (A) relative risk of ZIKV infection during an outbreak in the 15–29 year age group compared with the general population and (B) attack rate of ZIKV among reintroductions resulting in outbreaks (median, interquartile range, with a threshold of 1%), when vaccination is introduced from 2021, 2031, 2041, 2051 or 2061 onwards (red vertical line).

#### <sup>254</sup> 4 Discussion

In this mathematical modelling study, we show that a new ZIKV outbreak in Nicaragua would affect 255 proportionally more women in the young reproductive age range (15-29 years) than the general 256 population, owing to the age-dependent infection pattern and population renewal. The risk of a 257 new ZIKV outbreak in Nicaragua, after reintroduction, will remain low before 2035 because of herd 258 immunity, then rise to 50% in 2047. If protective immunity to ZIKV decays with time, ZIKV 259 recurrence could occur sooner. Timely introduction of targeted vaccination, focusing on females 260 aged 15 years would both reduce the risk of adverse congenital outcomes and extend herd immunity, 261 mitigating the overall risk of an outbreak and resulting in lower attack rates if an outbreak occurs. 262

#### <sup>263</sup> 4.1 Strengths and limitations of the study

A strength of our approach is that it allows for the full propagation of uncertainty from the initial data into the risk assessment, by transferring the posterior distributions of the parameters from the deterministic model fitted to surveillance and seroprevalence data on the 2016 epidemic into

the ABM used for simulations. Roche et al. showed that, when a sufficiently small time step was chosen, stochastic and deterministic models using the same parameter values led to similar results (Roche et al., 2011b). Additionally, we benefited from the availability of high quality data from population-based surveys that included participants from age 2 to 80 years in Managua, Nicaragua. The age-stratified seroprevalence data allowed us to investigate the risk in different age groups and better assess the evolution of the age-specific immunity, which is crucial when studying adverse congenital events caused by ZIKV infection during pregnancy.

We chose a simple approach based on an SIR structure, similar to the model used by Netto et al., 274 to focus on the dynamics of infection and immunity in the human population. We did not model 275 vector populations and behavior explicitly, as in some other studies (Kucharski et al., 2016; Cham-276 pagne et al., 2016; Ferguson et al., 2016). This simplification limits the mechanistic interpretation of 277 the epidemic parameters, but provides a phenomenological description of the transmission dynamics. 278 We believe that this approach is appropriate because our main objective was to determine the risk 279 of an outbreak after reintroduction of ZIKV, which is mostly influenced by the level of protective 280 immunity in the human population. We acknowledge that the future occurrence of ZIKV in the area 281 also depends on the presence of a competent vector. Our choice is supported by sensitivity analyses 282 that show that more complex model structures (delayed SIR and Ross-MacDonald-type models) 283 were not superior to a simple SIR structure in describing the 2016 ZIKV epidemic of Managua 284 (Appendix A.6). Similarly, Pandey et al. (2013) showed that additional model complexity does not 285 result in a better description of the dynamics of transmission of dengue virus (another Aedes-borne 286 virus) in a human population compared with a SIR model (Pandey et al., 2013). In our model, 287 the transmission rate  $(\beta_a)$  captures both human-mosquito and mosquito-human transmission; we 288 assumed a constant transmission rate, as observed in the 2016 outbreak. 289

Another limitation of our model is that we did not take migration or changes in population 290 distribution into account in our model. An influx of people with lower levels of protective immunity 291 or higher birth rates would increase the speed at which the population becomes susceptible again. 292 Nicaragua has an urbanization rate that exceeds the world average (Maria et al., 2017). If rural 293 populations have lower seroprevalence for ZIKV, as was shown in Suriname (Langerak et al., 2019), 294 an inflow of rural inhabitants into Managua could increase the risk of ZIKV outbreaks. Uncertainty 295 remains, as factors such as the political instability in Nicaragua could drive migration and influence 296 disease transmission, as we currently observe in Venezuela and bordering countries (Tuite et al., 297 2018).298

#### <sup>299</sup> 4.2 Interpretation in comparison with other studies

This study shows that the lower attack rate of ZIKV in children than in adults will hasten the 300 emergence of a population that will be fully susceptible to infection, especially if immunity is not 301 lifelong. The advantage of our approach is that we used the age-specific attack rates to model 302 the processes of ageing in relation to protective immunity to ZIKV explicitly. Even with lifelong 303 immunity, our model predicts that children aged 0–14 years will become entirely susceptible by 2031 304 and 15–29 year olds by 2046. In future outbreaks, the attack rate will then be highest amongst 305 15–29 year olds, including women who will be at risk of ZIKV infection in pregnancy. If immunity 306 wanes, the time until the next ZIKV outbreak will be reduced and, in that case, the distribution of 307 infection risk would be more equal across age groups (Fig. 4). Several authors have studied the time 308 to a next ZIKV outbreak, but none studied the effect of the loss of immunity over time in relation to 309 age. Assuming lifelong immunity, our estimates of the time until the risk increases are similar to the 310 12–20 years before re-emergence estimated for French Polynesia (Kucharski et al., 2016). Netto et al 311 (2017) used an SEIR model to show that in Salvador, Brazil, the effective reproduction number was 312 insufficient to cause a new outbreak during the "subsequent years" (Netto et al., 2017). Lourenço 313 (2017) showed the same for the whole of Brazil: herd immunity should protect the population from 314 a new outbreak in the coming years (Lourenço et al., 2017). Ferguson et al. (2016) concluded that 315

the age distribution of future ZIKV outbreaks will likely differ and that a new large epidemic will be delayed for "at least a decade" (Ferguson et al., 2016).

Other ZIKV vaccination studies confirm our findings. However, they do not show the effect in 318 risk groups nor assume herd immunity from previous outbreaks like we did here; Durham et al. 319 (2018) showed that immunizing females aged 9 to 49 years with a 75% effective vaccine and a 320 coverage of 90%, would reduce the incidence of prenatal infections by at least 94%. Similarly, 321 Bartsch et al. (2018) showed that women of childbearing age or young adults would be an ideal 322 target group for vaccination.Valega-Mackenzie and Ríos-Soto (2018) formulated a vaccination model 323 for ZIKV transmission that included mosquito and sexual transmission. They found that vaccination 324 works if well administered, both when sexual transmission is most important and when vector-born 325 transmission is most important. 326

#### 327 4.3 Implications and future research

Our finding that people in the 15–29 age range are more at risk of infection implies that we expect 328 a higher number of congenital abnormalities due to ZIKV infection. Thus, vaccine development 329 efforts should be increased. Our conclusions are drawn based on data from Managua, Nicaragua, 330 but should be relevant to many regions in the Americas and the Pacific that have documented high 331 post-epidemic levels of seropositivity (Aubry et al., 2017; Netto et al., 2017; Saba Villarroel et al., 332 2018). In regions where ZIKV has not vet caused an epidemic but competent vectors are present, 333 vaccination would be in place as well. Further age-stratified seroprevalence studies, using sensitive 334 and specific tests and with longitudinal follow-up, are needed to improve our understanding of ZIKV 335 antibody distribution in populations and to quantify the duration of immunity. This information 336 will provide important information to improve mathematical modeling of ZIKV risk. 337

ZIKV vaccine development faces considerable hurdles. First, the evaluation of vaccine efficacy 338 has stalled because the reduced circulation of ZIKV has reduced the visibility of ZIKV-associated 339 disease (Cohen, 2018). Second, it remains unclear if neutralizing antibodies induced by vaccination 340 are sufficient to protect women against vertical transmission and congenital abnormalities (Diamond 341 et al., 2018). Third, it is not clear whether or how vaccine-induced antibodies against ZIKV will 342 cross-react with other flaviviruses. To move vaccine development forward, we need to find regions 343 where disease will occur to be able to conduct trials. This requires identifying populations that are 344 at risk, and implementing surveillance there. These can either be regions where ZIKV is endemic, or 345 where ZIKV outbreaks are likely to occur; throughout the Americas, there might be regions that did 346 not experience an outbreak, but do have suitable conditions such as competent vectors. Conducting 347 vaccine trials in disease outbreaks is complex, but there are tools to facilitate planning (Bellan et al., 348 2019). ZIKV in an endemic setting, such as in Africa and Asia, could prove a suitable setting as 349 well. However, ZIKV circulation in endemic setting is not well described and the occurrence of 350 adverse outcomes in this context is less documented Counotte et al. (2018). Further research in 351 understanding the transmission of the virus in an endemic context is therefore needed. 352

#### 353 4.4 Conclusion

Preparedness is vital; the time until the next outbreak gives us to opportunity to be prepared. The next sizeable ZIKV outbreak in Nicaragua will likely not occur before 2035 but the probability of outbreaks will increase. Young women of reproductive age will be at highest risk of infection during the next ZIKV outbreak. Vaccination targeted to young women could curb the risk of a large outbreak and extend herd immunity. ZIKV vaccine development and licensure are urgent to attain the maximum benefit in reducing the population-level risk of infection and the risk of adverse congenital outcomes. The urgency of ZIKV vaccine development increases if immunity is not lifelong.

## <sup>361</sup> 5 Acknowledgments

Calculations were performed on UBELIX (http://www.id.unibe.ch/hpc), the HPC cluster at the University of Bern. MJC received salary support from the Swiss National Science Foundation (project grant 320030 176233).

# **6** Competing interests

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# 522 Appendices

# 523 A Appendix

#### 524 A.1 ABM algorithm

525 Here, we provide the pseudo code of the ABM (Algorithm 1).

#### Algorithm 1 ABM

1: ]	$\triangleright \text{ Add initial conditions S/R and sex per } n \text{ individes } n  indi$				
2:	for $n \leftarrow 1, popMax$ do				
3:	$R[n] \leftarrow \text{select random 1 or 0}$	with $probability(age[n])$			
4:	$S[n] \leftarrow 1 - R[n]$				
5:	$I[n] \leftarrow 0$				
6:	$sex[n] \leftarrow select random 1 or$	0 with probability 0.5			
7:	end for				
	end procedure				
	procedure SIMULATION	$\triangleright$ Simulation over $wkMax$ weeks			
10:	for $wk \leftarrow 1, wkMax$ do				
11:	for $n \leftarrow 1, popMax$ do	$\triangleright$ Loop over $popMax$ individuals			
12:	if individual is alive then				
13:	procedure POPULAT				
14:	Birth, Death, Age	ing			
15:	end procedure				
16:	procedure Loss of	•			
17:		ability RateToProb $(\xi)$			
18:	end procedure				
19:	procedure VACCINA				
20:		ability vaccination Prob, at $age[n]$			
21:	end procedure				
22:	procedure Infectio				
23:	· · · ·	ability RateToProb $(\beta, age[n])$			
24:		ability RateToProb $(\gamma)$			
25:	end procedure				
26:	end if				
27:	end for				
28:	procedure Start Outbrea	K ▷ Introduction of infection			
29:	$\mathbf{if} \ wk = introductionWk$				
30:	Change timestep: 7 d				
31:	Collect summary stat:	stics pre-outbreak			
32:	Introduce <i>introductio</i>	nN infections			
33:	end if				
34:	end procedure				
35:	total number alive	$\triangleright$ Collect summary of week $wk$ :			
36:	total number infected				
37:	end for				
38: <b>e</b>	38: end procedure				

#### 526 $\mathbf{A.2}$ $\mathcal{R}_0$

<sup>527</sup> We used the next generation matrix method described by Diekmann et al. to calculate  $\mathcal{R}_0$  (eq. 8 <sup>528</sup> - 10).  $\beta_1$  is the transmission rate for the 0–14 age group;  $\beta_2$  for the >15 group;  $\gamma$  is the common <sup>529</sup> recovery rate.

$$F = \begin{pmatrix} \beta_1 & \beta_1 \\ \beta_2 & \beta_2 \end{pmatrix} \tag{8}$$

$$V = \begin{pmatrix} -\gamma & 0\\ 0 & -\gamma \end{pmatrix} \tag{9}$$

$$\mathcal{R}_0 = \sqrt{eig(FV^-1)} = \sqrt{\frac{\beta_1 + \beta_2}{\gamma}} \tag{10}$$

#### 530 A.3 Loss of immunity scenarios

<sup>531</sup> We explored plausible scenarios of loss of immunity (Fig. A.1).

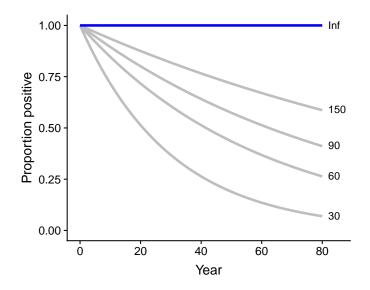


Figure A.1: Different scenarios of loss of immunity. No loss of immunity (blue) and scenarios explored (grey, exponential function with mean durations 30, 60, 90 and 150 years).

#### A.4 The number of infections introduced does influence the probability of an outbreak, but not the attack rate of successful outbreaks

The proportion of outbreaks (1% threshold) after introduction depends on the number of infections introduced; the attack rate of the successful outbreaks does not depend on the number of infections introduced (Fig. A.2).

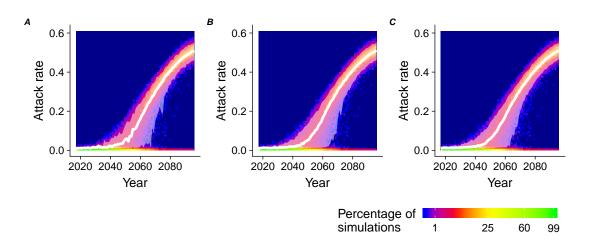


Figure A.2: Attack rate over time for the introduction of (A) n=1, (B) n=5, (C) n=10 infections.

#### A.5 Once per simulation introduction vs once per year introduction of infection

Using the ABM (model B) we explored the effect of yearly introduction of one infectious individual in the population (n=10,000). In the main text, we assumed a single introduction of n individuals per simulation. Here we introduce on a yearly basis the infectious individuals; previous outbreaks during the simulation affect the likelihood of a next outbreak and observed patterns are more stochastic. However, the pattern of the attack rate over time remains similar to the findings of the once/simulation introduction (Fig. A.3); the variation is larger due to a more stochasticity.

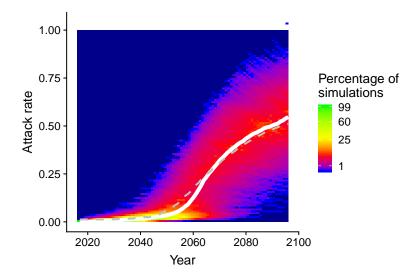


Figure A.3: Heat map of attack rate per time for simulations where every year one infectious individual is introduced in a population of 10,000. The median (white line) of this simulation is compared with the median of the simulation where once per simulation an infection is introduced (dashed grey line).

#### 545 A.6 Comparison of SIR model with SEIR model and the Pandey model.

<sup>546</sup> We compared the SIR model with a SEIR and model that explicitely models the vector; the Pandey
<sup>547</sup> 2013 model as implemented in Champagne et al. (2016) (Champagne et al., 2016). The model fit
<sup>548</sup> of the more complex models does not outperform the fit of the simplest (SIR) model (Table A.1),
<sup>549</sup> justifying the model choice.

Model	LOOIC $(SE)$	$\Delta \text{LOOIC}$ (SE)
SIR	95.2(8.7)	Ref.
SEIR	93.9(8.9)	-1.3(1.6)
Pandey	$99.5 \ (8.3)$	4.3(3.6)

Table A.1: Model comparison