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# Inferring the landscape of recombination using recurrent neural networks

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- 7 Abstract Accurately inferring the genome-wide landscape of recombination rates in natural
- 8 populations is a central aim in genomics, as patterns of linkage influence everything from genetic
- <sup>9</sup> mapping to understanding evolutionary history. Here we describe ReLERNN, a deep learning
- <sup>10</sup> method for accurately estimating a genome-wide recombination landscape using as few as four
- samples. Rather than use summaries of linkage disequilibrium as its input, ReLERNN considers
- columns from a genotype alignment, which are then modeled as a sequence across the genome
  using a recurrent neural network. We demonstrate that ReLERNN improves accuracy and reduces
- using a recurrent neural network. We demonstrate that ReLERNN improves accuracy and reduces
  bias relative to existing methods and maintains high accuracy in the face of demographic model
- misspecification. We apply ReLERNN to natural populations of African *Drosophila melanogaster* and
- show that genome-wide recombination landscapes, while largely correlated among populations,
- exhibit important population-specific differences. Lastly, we connect the inferred patterns of
- recombination with the frequencies of major inversions segregating in natural *Drosophila*
- <sup>19</sup> populations.

20

#### 21 Introduction

Recombination plays an essential role in the meiotic production of gametes in most sexual species, 22 and is often required for proper pairing and segregation of chromosomes (Hunter et al.. 2006: 23 Mather, 1938; Smith and Nicolas, 1998). During meiotic recombination, double-strand breaks are 24 resolved as crossover or non-crossover recombination events along the chromosome, and as 25 such, homologous chromosomes can exchange genetic information (reviewed in *Kirkpotrick, 2010*: 26 Zelkowski et al., 2019). Thus while recombination is often critical to development and reproduction, 27 it also has profound effects on both evolutionary and population genomics (Burt. 2000: Felsenstein. 28 1974; Haenel et al., 2018; Hartfield and Otto, 2011; Hill and Robertson, 1966; Kondrashov, 1982). 29 Indeed, the population recombination rate  $\rho = 4Nr$  is a central parameter in population and 30 statistical genetics (reviewed in *Hahn, 2018*), as  $\rho$  largely determines patterns of linkage disequi-31 librium (LD) across the genome. In regions of the genome where  $\rho$  is relatively small we expect 32 increased levels of LD, and conversely in genomic compartments with high  $\rho$  we expect little LD. 33 Deviations from our expected levels of LD given the local recombination rate can be illustrative of 34 the influence of other evolutionary forces such as selection or migration. For example, selective 35 sweeps are expected to dramatically elevate LD near the target of selection (Kim and Nielsen, 2004) 36 O'Reilly et al., 2008; Parsch et al., 2001). 37 Structural variation itself is expected to modulate the landscape of recombination along the chro-38 mosomes, as both crossovers and non-crossovers are predicated on the alignment of homologous 39 sequences, and structural rearrangements may directly impact those alignments. Chromosomal 40

inversions, long-known to suppress crossing over along a chromosome (e.g. *Sturtevant, 1921*), are

42 perhaps the most well-studied example of such structural variation. Inversion polymorphisms

<sup>43</sup> have been implicated in diverse evolutionary phenomena including local adaptation (Ayala et al.,

44 2013; Kirkpatrick and Barton, 2006; Lowry and Willis, 2010), reproductive isolation (Ayala et al.,

45 2013; Noor et al., 2001; Rieseberg, 2001), and the maintenance of meiotic drive complexes (Jaenike,

<sup>46</sup> 2001; Presgraves et al., 2009). As suppressors of recombination, we expect a priori that segregating

inversions should show distinct histories of recombination in comparison to standard karyotype
 chromosomes.

While recombination plays a central role in meiosis and reproduction, the frequency and distribution of crossovers along the chromosomes are themselves phenotypes that can evolve (reviewed in *Kirkpatrick, 2010; Ritz et al., 2017*). Importantly, recombination rate variation exists between species, among sexes of the same species (males generally having shorter maps than females), and extends even between individuals of the same sex (*Kong et al., 2010; Singh et al., 2013; Winckler et al., 2005*). Yet while there is abundant variation in the rate of recombination

within and between taxa, most methods for accurately measuring this variation involve painstaking
 experiments or large pedigrees. Thus genetics, as a field, would like to have a tool for directly
 estimating recombination rates from sequence data, without relying on pedigree genotyping or

<sup>58</sup> other ancillary information.

Accordingly, there is a rich history of estimating  $\rho$  in population genetics, including efforts to obtain minimum bounds on the number of recombination events (*Hudson and Kaplan, 1985*; *Myers and Griffiths, 2003*; *Wiuf, 2002*), methods of moments estimators (*Hudson, 1987*; *Wakeley, 1997*), composite likelihood estimators (*Chan et al., 2012*; *Hudson, 2002*; *McVean et al., 2002*), and summary likelihood estimators (*Li and Stephens, 2003*; *Wall, 2000*). Recently, supervised machine learning methods for estimating  $\rho$  have entered the fray (*Gao et al., 2016*; *Lin et al., 2013*), and have proven to be competitive in accuracy with state-of-the-art composite likelihood methods such

<sup>66</sup> as LDhat (*McVean et al., 2002*), often with far less computing effort.

To this end, we sought to develop a novel method for inferring rates of recombination directly 67 from a sequence alignment through the use of deep learning. In recent years deep artificial neural 68 networks (ANNs) have produced remarkable performance gains in computer vision (Krizhevsky 69 et al., 2012; Szegedy et al., 2015), speech recognition (Hinton et al., 2012), natural language pro-70 cessing (Sutskever et al., 2014), and data preprocessing tasks such as denoising (Vincent et al., 71 2008). Perhaps most illustrative of the potential of deep learning is the remarkable success of con-72 volutional neural networks (CNNs: Lecun et al., 1998) on problems in image analysis. For example, 73 prior to the introduction of CNNs to the annual ImageNet Large Scale Visual Recognition Challenge 74 (Krizhevsky et al., 2012), no method had achieved an error rate of less than 25% on the ImageNet 75 data set. In the years that followed, CNNs succeeded in reducing this error rate below 5%, exceeding 76 human accuracy on the same tasks (Russakovsky et al., 2015). 77

In this study we focus our efforts on recurrent neural networks (RNNs), a promising network 78 architecture for population genomics, which has proven adept for analyzing sequential data of 79 arbitrary lengths (Graves et al., 2013). Unlike other machine learning methods, deep learning 80 approaches do not require a predefined feature vector. When fed labeled training data (e.g. a set 81 of haplotypes simulated under a known recombination rate), these methods algorithmically create 82 their own set of informative statistics that prove most effective for solving the specified problem. 83 By training deep learning networks directly on sequence alignments, we allow the neural network 84 to automatically extract informative features from the data without human supervision. Learning 85 directly from a sequence alignment for population genetic inference has recently been shown to be 86 possible using CNNs (Chan et al., 2018: Flagel et al., 2018), and as we show below, is also true for 87 RNNs. 88

Here we introduce **Re**combination Landscape Estimation using Recurrent Neural Networks, an
 RNN-based method for estimating the genomic landscape of recombination rates directly from a

phased genotype alignment. We found that ReLERNN is both highly accurate and out-performs

<sub>92</sub> competing methods at small sample sizes. We also show that ReLERNN retains its high accuracy in

- <sup>93</sup> the face of demographic model misspecification. We then apply ReLERNN to population genomic
- 94 data from African samples of Drosophila melanogaster. We demonstrate that the landscape of
- <sup>95</sup> recombination is largely conserved in this species, yet individual regions of the genome show
- <sup>96</sup> marked population-specific differences. Finally, we found that chromosomal inversion frequencies
- <sup>97</sup> directly impact the inferred rate of recombination, and we demonstrate that the role for inversions
- <sup>98</sup> in suppressing recombination extends far beyond the inversion breakpoints themselves.

#### 99 Results

## ReLERNN: an accurate method for estimating the genome-wide recombination landscape

We developed Rel ERNN, a new deep learning method for accurately predicting genome-wide 102 per-base recombination rates from as few as four phased chromosomes. Briefly, ReLERNN provides 103 an end-to-end inferential pipeline for estimating a recombination landscape from a population 104 sample: it takes as input a user-filtered Variant Call Format (VCF) file of phased genotypes, and from 105 this estimates a set of simulation parameters reflective of the input samples. ReLERNN then uses 106 the coalescent simulation program, msprime (Kelleher et al., 2016), to simulate training, validation, 107 and test data sets under either a user-supplied or an inferred demographic history, seeking to 108 mimic population genetic properties of the empirical samples. ReLERNN trains a specific type 109 of RNN, known as a Gated Recurrent Unit (GRU), to predict the per-base recombination rate for 110 these simulations, using only the raw genotype matrix and a vector of genomic coordinates for 111 each simulation example (Figure 1). It then uses this trained network to estimate genome-wide 112 per-base recombination rates for empirical samples using a sliding-window approach. ReLERNN 113 can optionally estimate 95% confidence intervals around each prediction using a parametric boot-114 strapping approach, and it uses these bootstrap estimates to correct for inherent biases in the 115 training process (see Materials and Methods: Figure 1-Figure Supplement 1). 116

A key feature of Rel FRNN's network architecture is the bidirectional GRU layer (*Figure 1* inlay). 117 which takes advantage of the sequential nature of genomic data. While vanilla (feed-forward) 118 networks use as input a full block of data for each example, recurrent layers break sequence 119 data into time steps, and iterate over them sequentially. This process allows the gradient descent 120 algorithm, known as backpropagation through time, to share parameters across time steps as well 121 as make inferences based on the ordering of SNPs—i.e. to have a memory of allelic associations. The 122 bidirectional attribute of the GRU layer simply means that each example is duplicated and reversed. 123 so the sequence data are analyzed from both directions and then merged by concatenation. 124

#### 125 Performance on Simulated Chromosomes

As a proof of principle, we performed coalescent simulations using msprime (Kelleher et al., 2016) to 126 generate whole chromosome samples using a fine scale genetic map estimated from D. melanogaster 127 (Comeron et al., 2012). We then used ReLERNN to estimate the landscape of recombination 128 for these examples. ReLERNN is able to predict the per-base recombination landscape along a 129 simulated chromosome to a high degree of accuracy across a wide range of realistic parameter 130 values, assumptions, and sample sizes ( $R^2 > 0.82$ ; Mean absolute error (MAE)  $< 1.28 \times 10^{-8}$ ). 131 Importantly, the accuracy of ReLERNN is only modestly diminished when comparing predictions 132 based on 20 samples ( $R^2 = 0.93$ ;  $MAE = 3.72 \times 10^{-9}$ ; Figure 2) to those based on four samples 133  $(R^2 = 0.82; MAE = 6.66 \times 10^{-9};$  Figure 2-Figure Supplement 1). While ReLERNN retains accuracy at 134 small sample sizes, it exhibits somewhat greater sensitivity to both the assumed per-base mutation 135 rate and the assumed maximum ratio of  $\rho$  to the population mutation parameter,  $\theta$ —two mandatory 136 assumptions. 137

To assess the degree of sensitivity to these mutation rate assumptions, we ran ReLERNN on simulations using an assumed per-base mutation rate both 50% greater and 50% less than the simulated (true) mutation rate. In both scenarios, ReLERNN predicts crossover rates that are highly <sup>141</sup> correlated with the simulated rates ( $R^2 > 0.91$ ). However, in both scenarios *MAE* is inflated but still <sup>142</sup> modest, and the absolute rates of recombination are underpredicted ( $R^2 = 0.91$ : *MAE* =  $1.23 \times 10^{-8}$ :

Figure 2-Figure Supplement 2) and overpredicted ( $R^2 = 0.94$ ;  $MAE = 1.28 \times 10^{-8}$ ; Figure 2-Figure

144 **Supplement 3**) when assuming a mutation rate less than or greater than the true per-base mutation

rate, respectively. Together these results suggest that ReLERNN is in fact learning information about

the ratio of crossovers to mutations, and while ReLERNN is highly robust to errant assumptions when

predicting relative recombination rates within a genome, caution must be taken when comparing

absolute rates between organisms with large differences in per-base mutation rate estimates.

## ReLERNN compares favorably to competing methods, especially for small sample sizes and under model misspecification

To assess the accuracy of Rel FRNN relative to existing methods, we took a comparative approach 151 whereby we made predictions on the same set of simulated test chromosomes using methods 152 that differ broadly in their approaches. Specifically, we chose to compare ReLERNN against two 153 types of machine learning methods—a boosted regression method. FastEPRR (Gao et al., 2016). 154 and a convolutional neural network (CNN) recently described in *Flagel et al. (2018)*—and LDhat 155 (McVean et al., 2002), a widely cited approximate-likelihood method. We independently simu-156 lated 10<sup>5</sup> chromosomes using msprime (*Kelleher et al.*, 2016) (parameters:  $n \in \{4, 8, 16, 32, 64\}$ . 157 priorLowsRho = 0.0,  $priorHighsRho = 5e^{-8} \times 1.25$ ,  $priorLowsMu = 2.5e^{-8} \times 0.75$ ,  $priorHighsMu = 2.5e^{-8} \times 0.$ 158  $2.5e^{-8} \times 1.25$ . Chromosome Length =  $3e^{5}$ ). Half of these were simulated under demographic equilib-150 rium and half were simulated under a realistic demographic model (based on the out-of-Africa 160 expansion of European humans: see Materials and Methods). We show that ReLERNN outperforms 161 all other methods, exhibiting significantly reduced absolute error under both the demographic 162 model and under equilibrium assumptions (T < -31:  $P < 10^{-16}$ ; post hoc Welch's two sample t-tests 163 for all comparisons: *Figure 3*). Importantly, ReLERNN is also more accurate than all methods we 164 compared for each of the tested samples sizes, although all methods generally performed well with 165 larger sample sizes. 166

We also sought to assess the robustness of ReLERNN to demographic model misspecification. 167 whereby different generative models are used for simulating the training and test sets—e.g. training 168 on assumptions of demographic equilibrium when the test data was generated by a population 169 bottleneck. Methods robust to this type of misspecification are crucial, as the true demographic 170 history of a sample is often unknown and methods used to infer population size histories can 171 disagree or be unreliable (see *Figure 5-Figure Supplement 1*). Moreover, population size changes 172 alter the landscape of LD across the genome (e.g. Slatkin, 1994; Rogers, 2014), and thus have the 173 potential to reduce accuracy or produce biased recombination rate estimates. 174

To this end, we trained ReLERNN on examples generated under equilibrium and made pre-175 dictions on 5000 chromosomes generated by the human demographic model specified above 176 (and also carried out the reciprocal experiment). We compared ReLERNN to both the CNN and 177 LDhat, whereby all methods were similarly misspecified (see Materials and Methods). We found 178 that ReLERNN outperforms both the CNN and LDhat, exhibiting significantly lower absolute er-179 ror under both directions of demographic model misspecification ( $T \leq -26$ ;  $P_{WTT} < 10^{-16}$  for all 180 comparisons; Figure 4). Interestingly, we show that the error attributed to model misspecification 181 (termed marginal error: see Materials and Methods) is significantly greater when Rel FRNN was 182 trained on equilibrium simulations and tested on demographic simulations than under the recip-183 rocal misspecification (T = 26.3;  $P_{WTT} < 10^{-16}$ ; Figure 4-Figure Supplement 1). While this is true, 184 it is important to note that marginal error is quite modest in both directions of misspecification 185  $(< 1.30 \times 10^{-9};$  Figure 4-Figure Supplement 1), suggesting that the additional information gleaned 186 from an informative demographic model is limited. 187

Differences in the ratio of homologous gene conversion events to crossovers can also bias the inference of recombination rates, as conversion tracts break down LD within the prediction window (*Gay et al., 2007; Przeworski and Wall, 2001*). We treated the effect of gene conversion as

another form of model misspecification by training on examples that lacked gene conversion and 191 testing on examples that included gene conversion. As ReLERNN uses msprime for all training 192 simulations, and msprime cannot currently simulate gene conversion, we generated all test set 193 simulations with ms (*Hudson, 2002*). We found that including gene conversion in our simulations 194 biased our predictions, resulting in an overestimate of the true recombination rate (Figure 4-Figure 195 *Supplement 2*). Moreover, the magnitude of this bias increased with the ratio of gene conversion 196 events to crossovers. As expected, we also observed a similar pattern of bias for LDhat, although the 197 magnitude of bias for LDhat was somewhat less than that exhibited by ReLERNN (Figure 4-Figure 198 Supplement 2). 190

# Recombination landscapes are largely concordant among populations of African *D. melanogaster*

Using our method, we characterized the genome-wide recombination landscapes of three popula-202 tions of African D. melanogaster (sampled from Cameroon, Rwanda, and Zambia), Each population 203 was derived from the sequencing of 10 haploid embryos (detailed in Lack et al., 2015; Pool et al., 204 2012), hence these data represent an excellent opportunity to exploit ReLERNN's high accuracy 205 on small sample sizes. We first sought to model the demographic history of each population, as 206 ReLERNN can simulate training data under demographic models inferred by three published soft-207 ware methods—stairwayplot (Liu and Fu, 2015), SMC++ (Terhorst et al., 2016), and MSMC (Schiffels 208 and Durbin, 2014). Using all three methods, we show that inferred historical population sizes are 209 unreliable for these populations—no two methods recapitualte the same history, and the histories 210 generated by MSMC vary dramatically depending on the number of samples used (Figure 5-Figure 211 Supplement 1, Figure 5-Figure Supplement 2). For these reasons, and because results from our 212 simulations suggest that marginal error due to demographic misspecification is guite low for our 213 method (above: Figure 4-Figure Supplement 1), we decided to simulate our training data under the 214 assumptions of demographic equilibrium. 215

Using ReLERNN, we discovered that the fine-scale recombination landscapes are highly corre-216 lated among all three populations of *D. melanogaster* (genome-wide mean pairwise Spearman's 217  $\rho = 0.76$ ;  $P < 10^{-16}$ ; 100 Kb windows; *Figure 5*). The genome-wide mean pairwise coefficient of 218 determination between populations was somewhat lower,  $R^2 = 0.63$  ( $P < 10^{-16}$ : 100 Kb windows). 210 suggesting there may be important population-specific differences in the fine-scale drivers of 220 allelic association. These differences may also contribute to within-chromosome differences in 221 recombination rate between populations. Indeed, we estimate that mean recombination rates are 222 significantly different among populations for all chromosomes with the exception of chromosome 223 3L ( $P < 3.78 \times 10^{-4}$ ; one-way analysis of variance). Post-hoc pairwise comparisons suggest that 224 this difference is largely driven by an elevated rate of recombination in Zambia, identified on all 225 chromosomes ( $P \le 8.21 \times 10^{-4}$ ; Tukey's HSD tests) except for 3L ( $P_{HSD} \ge 0.15$ ). ReLERNN predicts 226 the recombination rate in simulated test sets to a high degree of accuracy for all three populations 227  $(R^2 > 0.93; P < 10^{-16};$  Figure 5-Figure Supplement 3), suggesting that we have sufficient power to 228 discern fine-scale differences in per-base recombination rates across the genome. 229

When comparing our recombination rate estimates to those derived from experimental crosses 230 of North American D. melanogaster (reported in Comeron et al., 2012), we find that the coefficients 231 of determination averaged over all three populations were  $R^2 = 0.46, 0.70, 0.47, 0.08, 0.73$  for chro-232 mosomes 2L, 2R, 3L, 3R, and X, respectively (Figure 5-Figure Supplement 4: 1 Mb windows). These 233 results differ from those observed by Chan et al. (2012), who compared 22 D. melanogaster sampled 234 from the same Rwandan population to the ElvBase map and found  $R^2 = 0.55, 0.63, 0.45, 0.42, 0.41$  for 235 the same chromosomes. The minor differences we observed between methods for chromosomes 236 2L, 2R, and 3L can likely be attributed to the fact that we are comparing estimates from two different 237 methods, using different African flies, to a different experimentally derived map. However, the 238 larger differences found between methods for chromosomes 3R and the X seem less likely at-230 tributable to methodological differences. Importantly, African D. melanogaster are known to harbor 240

large polymorphic inversions (*Corbett-Detig and Hartl, 2012; Lack et al., 2015*), often at appreciable frequencies. For example, the inversion In(3R)K segregates in our Cameroon population at p = 0.9. It is potentially these differences in inversion frequencies that contribute to the exceptionally weak correlation observed using our method for chromosome 3R and the larger differences between methods for chromosome X.

An important cause of population-specific differences in recombination landscapes might be 246 population-specific differences in the frequencies of chromosomal inversions, as recombination is 247 expected to be strongly suppressed between standard and inversion arrangements. Segregating 248 inversions in *D. melanogaster* have been shown to affect broad patterns of chromosomal varia-240 tion, and are thought to have quite recent origins when taken together (Corbett-Detig and Hart). 250 2012). To test for an effect of inversion frequency on our measurement of recombination rates, we 251 resampled haploid genomes from Zambia to create sampled populations with the cosmopolitan 252 inversion ln(2L)t segregating at varying frequencies.  $p \in \{0.0, 0.2, 0.6, 1.0\}$ . In Zambia, ln(2L)t segre-253 gates at p = 0.22 (*Lack et al., 2015*), suggesting that recombination within the inversion breakpoints 254 may be strongly suppressed in individuals with the inverted arrangement relative to those with 255 the standard arrangement. Moreover, In(2L)t arose recently, likely within the past 100,000 years 256 (Corbett-Detig and Hartl, 2012). For these reasons, we predict that the inferred recombination rate 257 should decrease as the low-frequency inverted arrangement is increasingly overrepresented in the 258 set of sampled chromosomes (i.e. as more of the samples contain the high-LD inverted arrange-259 ments). As predicted, we found a strong effect of the sample frequency of In(2L)t on estimated rates 260 of recombination for chromosome 2L in Zambia (Figure 6). Recombination rates are negatively 261 correlated with inversion frequency in our sample, not only within the inversion, but also in regions 262 3 Mb outside the inversion (flanking regions) ( $\rho_{Spearman's} = -1$ ; P = 0.04 for both comparisons). We 263 also see a similar negative correlation outside the flanking regions, although this association is 264 weakened relative to that within or flanking the inversion (*Figure 6*). Importantly, varying the size of 265 the flanking regions (from 1-5 Mb) produces patterns that are qualitatively identical, suggesting that 266 the effect of inversions on recombination suppression extends far beyond the inversion breakpoints 267 themselves (Figure 6-Figure Supplement 1). 268

While the effect of inversion frequency on recombination rates may extend beyond the inver-269 sion breakpoints, we expect that rates of recombination should be correlated with distance to the 270 inversion breakpoint on smaller spatial scales. To test this we looked at the recombination rates in 271 our African D. melanogaster populations, binned by distance to the nearest inversion breakpoints 272 segregating in these populations. Importantly, we curated the samples for our population com-273 parisons by seeking to match the frequency of each inversion segregating in our samples with 274 its true population frequency, as measured in the whole of the DGN database (see Materials and 275 Methods). We show that recombination rates in the flanking regions are positively correlated with 276 distance to inversion breakpoints in both Rwanda and Zambia ( $\rho_{S pearman's} = 1$ ; P = 0.04 for both 277 comparisons) but not in Cameroon ( $\rho_{Snearman's} = 0.8$ ; P = 0.17; Figure 7). Likewise, recombination 278 rates in the inversion interior (> 2 Mb from the breakpoints) are expected to be higher than in 270 those regions immediately surrounding the breakpoints. However, with the exception of Cameroon 280 (Inversion interior compared to < 250 Kb from breakpoint;  $P_{WTT} = 0.035$ ), we did not observe this 281 pattern ( $P_{WTT} \ge 0.057$ ; Figure 7). 282

To further explore population-specific differences in recombination landscapes we took a statis-283 tical outlier approach, whereby we define two types of recombination rate outliers—global outliers 284 and population-specific outliers (see Materials and Methods). Global outliers are characterized by 285 windows with exceptionally high variance in rates of recombination between all three populations 286 (Figure 5: red triangles) while population-specific outliers are those windows where the rate of re-287 combination in one population is strongly differentiated from the rates in the other two populations 288 (Figure 5: population-colored triangles). We find that population-specific outliers, but not global 289 outliers, are significantly enriched within inversions (P = 0.005; randomization test; *Figure 5*; grey 290 boxes). Moreover, this enrichment remains significant when extending the inversion boundaries 29

by up to 250 Kb ( $P_{rand} \leq 0.004$ ). However, extending the inversion boundaries beyond 250 Kb, or 292 restricting the overlap to windows surrounding only the breakpoints (250 Kb, 500Kb, 1 Mb, 2 Mb), 293 erodes this pattern ( $P_{rand} \ge 0.055$  for all comparisons), suggesting that the role for inversions in 294 generating population-specific differences in recombination rates is complex, at least for these 295

populations. 296

Selection is another important factor that may confound the inference of recombination rates. 297 For instance selective sweeps generate localized patterns of high I D on either side of the sweep site 298 (Kim and Nielsen, 2004: Schrider et al., 2015), thus regions flanking selective sweeps may mimic 299 regions of reduced recombination. Inasmuch population-specific selective sweeps are expected to 300 contribute to population-specific differences in recombination rate estimates. We used diploS/HIC 301 (Kern and Schrider, 2018) to identify hard and soft selective sweeps in our African D. melanogaster 302 populations, and we tested for an excess of recombination rate outliers overlapping with windows 303 classified as sweeps. In total, diploS/HIC classified 27.4%, 28.1%, and 26.8%, of all genomic widows 304 as selective sweeps (either "hard" or "soft") for Cameroon, Rwanda, and Zambia, respectively, when 305 looking at 5kb, non-overlapping windows. The associated False Discovery Rates (FDR) for calling 306 sweeps in these populations were appreciable: 33.9%, 33.1% and 34.7%, respectively (Figure 5-307 Figure Supplement 5). As expected, windows classified as sweeps had significantly lower rates of 308 recombination relative to neutral windows in all three populations ( $P_{WTT} < 10^{-16}$  for all comparisons; 309 Figure 7). However, we found that neither global nor population-specific outliers were enriched 310 for selective sweeps ( $P_{rand} \ge 0.246$  for both comparisons), suggesting that, when treated as a class, 311 recombination rate outliers are not likely driven by sweeps in these populations. When treated 312 separately (i.e. independent permutation tests for each recombination rate outlier window), we 313 identified 7 outliers enriched for sweeps at the P < 0.05 threshold, corresponding to an expected 314 FDR of 77%. However, given our FDR for calling sweeps in these populations, our measure of 315 the enrichment in overlap with recombination rate outliers is likely to be conservative. Two of 316 these outlier windows may represent potential true positives; an outlier in Cameroon contains 5 31 out of 6 non-overlapping 5 kb windows classified as "hard" sweeps, the second from Rwanda has 318 10 out of 12 windows classified as "hard" sweeps ( $P_{rand} = 0.0$  for both comparisons). These two 319 recombination rate outlier windows are potentially ripe for future studies on selective sweeps in 320 these populations, and suggest that in at least some instances, selection contributes to observed 321 differences in estimates of recombination rates between *Drosophila* populations. 322

#### Discussion 323

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We introduced a new method, ReLERNN, for predicting the genome-wide landscape of per-base 324 recombination rates from phased haplotypes from as few as four samples through the use of 325 deep neural networks. Population genomics, as a field, relies on estimates of recombination rates 326 to understand the effects of diverse phenomena ranging from the impacts of natural selection 327 (Elvashiv et al., 2016), to patterns of admixture and introgression (Price et al., 2009; Brandvain 328 et al., 2014: Schumer et al., 2018), to polygenic associations in genome-wide association studies 329 (Bulik-Sullivan et al., 2015). As befits this need, there has been a long tradition of development of 330 statistical methods for estimating the population recombination parameter, a = 4Nr (Chan et al. 331 2012: Gao et al., 2016: Hudson and Kaplan, 1985: Hudson, 1987, 2002: Li and Stephens, 2003: Lin 332 et al., 2013: McVean et al., 2002: Mvers and Griffiths, 2003: Wakeley, 1997: Wall, 2000: Wiuf, 2002) 333 We sought to harness the power of deep learning, specifically deep recurrent neural networks, to 334 address the problem of estimating recombination rates, and in so doing, we developed a workflow 335 that reconstructs the genome-wide recombination landscape to a high degree of accuracy from 336 very small sample sizes—e.g. four phased haploid chromosomes. The use of deep learning has 337 recently revolutionized the fields of computer vision (Krizhevsky et al., 2012: Szegedy et al., 2015). 338 speech recognition (Hinton et al., 2012), and natural language processing (Sutskever et al., 2014). 339 and while its use in population genomics has only recently begun, it is anticipated to be similarly 340 fruitful (Schrider and Kern, 2018). The natural extension of deep learning to population genomic analyses comes as a result of the ways in which ANNs learn abstract representations of their
 inputs. In the case of population genomic analyses, the inputs can be naturally represented as
 DNA sequence alignments, eliminating the need for human oversight (and potentially constraint)
 in the form of statistical summaries (i.e. compression) of the raw data. ANNs can then learn
 high-dimensional statistical associations directly from the sequence alignments, and use these to
 return highly accurate predictions.

Rel FRNN utilizes a variant of an ANN known as a Gated Recurrent Unit (GRU) as its primary 348 technology, GRU networks excel at identifying temporal associations (*Jozefowicz et al.*, 2015), and 349 therefore we model our sequence alignment as a bidirectional time series, whereby each ordered 350 SNP represents a new time step along the chromosome. We also model the distance between 351 SNPs using a separate input tensor, and these two inputs are concatenated after passing through 352 the initial layers of the network (see *Figure 1* inlay). We demonstrated that ReLERNN can predict 353 a simulated recombination landscape with a high degree of accuracy ( $R^2 = 0.93$ ; Figure 2), and 354 that these predictions remain high, even when using small sample sizes ( $R^2 = 0.82$ ; Figure 2-Figure 355 Supplement 1). Importantly, these predictions compared favorably to those made by a leading 356 composite likelihood method (LDhat; McVean et al., 2002), as well as other machine learning 357 methods (the CNN and EastEPRR: *Figure 3*). While the abstract nature of the data represented in 358 its internal layers constrains our ability to interpret the exact information ReLERNN relies on to 359 inform its predictions, our experiments using incorrect assumed mutation rates (Figure 2-Figure 360 Supplement 3, Figure 2-Figure Supplement 2) suggests that ReLERNN is potentially learning the 361 relative ratio of recombination rates to mutation rates. For these reasons, an extra caveat is 362 warranted—use caution when interpreting the results from ReLERNN as precises measures of the 363 per-base recombination rate unless precise mutation rate estimates are also known. 364

Demographic model misspecification is another potential source of error that should affect not 365 only deep learning methods targeted at estimating  $\rho$ , but also likelihood-based methods. Historical 366 demographic events (e.g. population bottlenecks, rapid expansions, etc.), because they may alter 36 the structure of LD genome-wide, can bias inference of recombination based on genetic variation 368 data. Our simulations demonstrated that while all the methods we tested had elevated error in 369 the context of demographic model misspecification. ReLERNN remained the most accurate across 370 all misspecification scenarios (Figure 4). While we caution against generalizing too much from 371 this experiment, the model misspecification tested here was extreme: we are replacing a human-372 like demography of a bottleneck followed by exponential growth with a model of demographic 373 equilibrium. We suspect that Rel FRNN, by using an RNN, is able to encode higher-order allelic 374 associations across the genome, for instance three-locus or four-locus linkage disequilibrium, and 375 in so doing capture more of the information available than traditional methods that use composite 376 likelihoods of two-locus LD summaries. Additionally, there are clear opportunities for future 377 improvements to ReLERNN. For instance, our simulation studies demonstrated that the RNN used 378 by ReLERNN is also sensitive to gene conversion events (Figure 4-Figure Supplement 2), thus the 379 ioint estimation of rates of recombination and gene conversion may be quite feasible. Ultimately, 380 it remains far from clear what network architectures will be best suited for population genetic 381 inference, though we remain optimistic that ANNs will prove useful for a variety of applications in 382 the field. 383

A natural application of ReLERNN, due in part to its high accuracy with small sample sizes, was 384 to characterize and compare the recombination landscapes for multiple populations of African D. 385 melanogaster, for which few populations with large samples sizes are currently available. Previous 386 estimates of genome-wide fine-scale recombination maps in flies have focused on characterizing 387 recombination in experimental crosses (*Comeron et al., 2012*), or by running LDhat (or the related 388 LDhelmet) on populations with relatively moderate sample sizes (i.e. > 22 samples) (*Chan et al.*, 389 2012: Langley et al., 2012). Here, we applied ReLERNN to three populations for which at least ten 390 haploid embryos were sequenced: Cameroon, Rwanda, and Zambia (Lack et al., 2015; Pool et al., 39 2012). Generally, recombination landscapes were well correlated among populations. Mean pair-393

wise coefficients of determination among all three populations were  $R^2 = 0.69, 0.61, 0.77, 0.43, 0.66$ 

<sup>394</sup> for chromosomes 2L, 2R, 3L, 3R, and X, respectively. These correlations are notably lower than

those observed in humans (*Myers et al., 2005*) and mice (*Wang et al., 2017*), and one potential biological cause for this large difference could be the cosmopolitan chromosomal inversions that

<sup>397</sup> segregate in African *D. melanogaster* (Corbett-Detig and Hartl, 2012; Lack et al., 2015).

We demonstrated a significant negative association between inversion sample frequency and 398 recombination rate as inferred by Rel FRNN through experimentally manipulating the frequency 399 of the inversion karvotype in our sample (*Figure 6*). Our results suggest that recombination sup-400 pression extends well beyond the predicted breakpoints of the inversion (at least 5 Mb beyond in 401 the case of *In(2L)t*: Figure 6-Figure Supplement 1). This large-scale suppression of recombination 402 due to inversions in *Drosophila* has been observed both directly in experimental crosses (*Dobzhan*-403 sky and Epling, 1948; Novitski and Braver, 1954; Kulathinal et al., 2009; Miller et al., 2016; Fuller 40/ et al., 2018), and indirectly from patterns of variation surrounding known inversion breakpoints 405 (Corbett-Detig and Hartl, 2012; Langley et al., 2012). While it is true that the negative relationship 406 between inversion frequency and recombination should only exist for inversions segregating at low 407 frequencies (e.g. crossover suppression is not expected in inversion homozygotes), we predict a 408 negative relationship to dominate in these populations, as the majority of polymorphic inversions 400 are young, segregate at low frequencies, and show elevated LD along their lengths perhaps due to 410 the actions of natural selection (Corbett-Detig and Hartl, 2012; Lack et al., 2015). 411

While polymorphic inversions exert strong effects on recombination landscapes, support for 417 their role in explaining the most diverged regions among populations was mixed—we found that 413 population-specific recombination rate outliers, but not global outliers, were significantly enriched 414 within the inversions known to segregate in these populations (*Figure 5*). Moreover, our predictions 415 for the relative rates of recombination among populations, based on inversion frequencies per 416 chromosome, were largely not met—the inversions In(2L)t, In(2R)NS, and In(3L)Ok segregate at the 417 highest frequencies in Zambia, yet this population also has the highest average recombination 418 rate for these three chromosomes. Chromosome 3R, however, did match these predictions, 419 having inversions segregating at the highest frequencies of any chromosome (e.g.  $p_{la(3P)K} = 0.9$  in 420 Cameroon) and also both the lowest coefficient of determination ( $R^2 = 0.43$ ) and population-specific 421 recombination rates ranked in accordance with inversion frequencies (Figure 5). 422

Interestingly, while we identified two individual outlier regions characterized by numerous 423 selective sweeps, we did not observe a significant enrichment of sweeps overlapping either global 424 or population-specific outliers when these outliers were treated as a class of genomic elements 425 This is perhaps surprising, given that selective sweeps are known to create characteristic elevations 426 of LD (Kim and Nielsen, 2004), and perhaps could mimic regions with very divergent levels of 427 recombination in a population-specific way. A number of other evolutionary forces might explain 428 the existence of our outlier regions as well. For example, mutation rate heterogeneity along 429 the chromosomes could, in principle, generate spurious peaks or troughs in our estimates of 430 recombination rate, as ReLERNN in effect scales its per-base recombination rate estimates by a 431 mutation rate that is assumed to be constant along the chromosome (Figure 2-Figure Supplement 3, 432 Figure 2-Figure Supplement 2). Moreover, introgression from diverged populations might affect 433 patterns of allelic association in a a local way along the genome (Schrider et al., 2018: Schumer 434 et al., 2018). Taken together, our results suggest that while both inversions and selection can 435 influence population-specific differences in the landscape of recombination, the preponderance of 436 these differences likely have complex causes. 437

In this report we described ReLERNN, a novel deep learning method for inferring fine-scale rates of recombination across the genome. While ReLERNN currently stands as a functional end-to-end pipeline for measuring recombination rates, the modular design herein presents a number of important opportunities for extension, with the potential to address myriad questions in population genomics. For example, while ReLERNN is currently designed to use phased haplotype data as its input, we see no reason why unphased, diploid genotypes couldn't be substituted (e.g. *Flagel* 

et al., 2018). Moreover, the RNN structure we exploit here could be used for inference of the 444 distribution of selection coefficients and/or migration rates from natural populations. In addition, 445 ReLERNN presents an excellent opportunity for the implementation of transfer learning, whereby 446 ReLERNN could be trained in-house on an otherwise prohibitively extensive parameter space. 447 allowing end-users to make accurate predictions by generating only a small fraction of the current 448 number of simulations and training epochs presently required. The application of machine learning. 449 and deep learning in particular, to questions in population genomics is ripe with opportunity. 450 ReLERNN provides a platform for jumping off, that we hope to see advance our understanding of 451 both population genetics and adaptation itself. 452

#### 453 Materials and Methods

#### 454 The ReLERNN workflow

Here we briefly describe ReLERNN, a software package for accurately estimating a genome-wide re-455 combination landscape from as few as four phased chromosomes. The ReLERNN workflow proceeds 456 by the use of four python modules—RelERNN SIMULATE, ReLERNN TRAIN, RELERNN PREDICT, 457 and ReLERNN BSCORRECT (Figure 1). The first three modules are mandatory, and include functions 458 to calculate Watterson's estimator and historical population sizes, functions for simulating the 459 training set, functions for training the neural network, and functions for reporting rates of recom-460 bination along the chromosomes. The fourth module, ReLERNN BSCORRECT, is optional (though 461 recommended) and includes functions for estimating 95% confidence intervals and implements a 467 correction function to reduce biases that may arise during training. The output from ReLERNN is a 463 list of genomic windows and their corresponding recombination rate prediction (reported as per-464 base crossover events), along with 95% confidence intervals if the optional ReLERNN BSCORRECT 465

466 module was used.

## <sup>467</sup> Parameter estimation and coalescent simulation

ReLERNN takes as input a VCF file of phased biallelic variants, which can either be coded as 468 nucleotides or ancestral/derived states (i.e. 0/1). A minimum of four sample chromosomes must 469 be included, and users should ensure proper filtering of the input file beforehand—e.g. excluding 470 low-coverage or low-guality sites, non-biallelic sites, and missing data. ReLERNN also requires the 471 user to provide an assumed per-base mutation rate and an assumed maximum value for the ratio 472  $\rho/\theta$ . These parameters are used to set an acceptable window size for prediction, by restricting the 473 total number of segregating sites in each window to remain below a critical threshold. ReLERNN 474 therefore uses a dynamic window size to reduce the probability of training failure due to having 475 too many, or too few, segregating sites present in a window (e.g. experimental trials showed that 476 the training loss function eventually returns NaNs when training on windows containing multiple 477 thousands of segregating sites). As a result, the output predictions file may return different window 478 sizes for different chromosomes, even within the same genome. For comparing rates between 479 populations, an optional script ("force window size predictions.py") is provided to force rates to 480 conform to a given window size. This is accomplished by taking a weighted average of recombination 481 rates, whereby rates are weighted by the fraction of overlap between their original window positions 482 and the new forced window positions. 483

Once the appropriate window sizes have been estimated, Rel FRNN\_SIMULATE uses the coales-484 cent simulation software, msprime (*Kelleher et al., 2016*), to independently generate 10<sup>5</sup> training 485 examples and 10<sup>3</sup> validation and test examples. By default, these simulations are generated under 486 assumptions of demographic equilibrium, using a range of per-base mutation and recombination 487 rates. However, ReLERNN can optionally simulate under a demographic history inferred by one of 488 three programs: stairwayplot (Liu and Fu, 2015), SMC++ (Terhorst et al., 2016), or MSMC (Schiffels 489 and Durbin, 2014), and the handling of output from these programs is fully integrated into Rel-490 ERNN SIMULATE. This provides users the ability to model a demographic history and to estimate 491 rates of recombination from different files (e.g. one that includes only intergenic sites). When each 497

simulation is completed, ReLERNN dumps both the genotype matrix and a vector of the positions
 for every SNP into a temporary .npy file.

#### <sup>495</sup> Sequence batch generation and network architecture

To reduce the large memory utilization common to the analysis of genomic sequence data, we took 496 a batch generation approach, whereby only small batches of simulations are called into memory 497 at any one time. Data normalization and padding occurs when a training batch is called, by which 498 the genotype and position arrays are read into memory. In ReLERNN, ancestral states are coded 499 as -1, derived states are coded as 1, and both genotype and positions arrays are padded with 500 0s to the maximum number of segregating sites generated across all examples. In addition, a 501 framing pad of five 0s is applied to both arrays, and the order of samples in each batch is randomly 502 shuffled. The targets for each training batch are the per-base recombination rates used by msprime 503 when simulating each example. These targets are z-score normalized across all training examples. 504 The normalized and padded genotype and position arrays form the input tensors for our neural 505 network. 506

ReLERNN trains a recurrent neural network with Keras (Chollet et al., 2015) using a Tensorflow 507 backend (Abadi et al., 2015). The complete details of our neural architecture can be found in the 508 python module "ReLERNN networks.py", and a simplified flow diagram showing the connectivity 500 between layers can be found in *Figure 1*. Briefly, the ReLERNN neural network utilizes distinct input 510 layers for the genotype and position tensors, which are later merged using a concatenation layer 511 in Keras. The genotype tensor is first fed to a GRU layer, as implemented with the bidirectional 512 wrapper in Keras, and the output of this layer is passed to a dense layer followed by a dropout 513 layer. On the positions side of the network, the input positions tensor is fed directly to a dense 514 laver and then to a dropout laver. Dropout was used extensively in our network, as hypertuning 515 trials (below) demonstrated significantly improved accuracy when employing dropout relative to 516 networks without dropout. Once concatenated, output from the dropout layer is passed to a final 517 round of dense and dropout lavers, and the final dense laver returns a single z-score normalized 518 prediction for each example, which is unnormalized back to units of crossovers per-base. ReLERNN 519 completes 250 training epochs and implements this training using the "Adam" optimizer and a 520 Mean Squared Error (MSE) loss function. Though the number of epochs is user-selectable, the 521 vast majority of networks are sufficiently trained within 250 epochs, largely due to how Rel FRNN 522 handles the input tensor size and simulation parameters. Our hyper-tuning trials were completed 523 via a grid search over the set of parameters; Recurrent layer output dimensions (64, 82, 128). Loss 524 function (MSE, MAE), Input merge strategy (concatenate, average), and dense layer dimensionality 525 (64, 128), optimizing for MSE. 526

#### 527 Parametric bootstrap analysis and prediction corrections

ReLERNN includes the option to both generate confidence intervals around each predicted recombination rate and to correct for potential biases generated during training using a parametric 529 bootstrapping approach. After the network has been trained and predictions have been gener-530 ated, users can run ReLERNN BSCORRECT, which resimulates 10<sup>3</sup> test examples for each of 100 531 recombination rate bins drawn from the distribution of recombination rates used to simulate 532 the original training set. Predictions are then generated for these 10<sup>5</sup> simulated test examples 533 using the previously trained network, generating a distribution of predictions for each respective 534 recombination rate bin. 95% confidence intervals are calculated from by taking the upper and lower 535 2.5% rate predictions from this distributions. 536 The distribution of test predictions can be biased in systematic ways, such as predictably under-537

estimating rates of recombination for those examples with the highest simulated crossover events
 (*Figure 1–Figure Supplement 1*). These biases may potentially be caused an inability to resolve very
 high recombination rates with a limited number of informative SNPs. ReLERNN\_BSCORRECT, esti-

mates the magnitude of this bias through bootstrapping, and applies a bias correction function to

the empirical predictions. The bias correction function takes each empirical prediction and identifies 542 the nearest median value in the bootstrap distribution. The correction function then adds to this 543 prediction the difference between this median value and the true recombination rate used to simu-544 late the distribution of test examples at that recombination rate bin. This correction method has the 545 effect of elevating the empirical prediction in regions of parameter space where we are reasonably 546 confident that we are underestimating recombination rates and lowering the prediction in areas 547 where we are likely to be overestimating recombination rates. ReLERNN BSCORRECT is provided 548 as an optional module in ReLERNN, as the resimulation of 10<sup>5</sup> test examples is computationally 549 expensive and may not be warranted in all circumstances. 550

#### <sup>551</sup> Testing the accuracy of ReLERNN on simulated recombination landscapes

To test the accuracy of Rel ERNN at recapitulating a dynamic recombination landscape, we ran our 552 complete ReLERNN workflow on simulation data replicating chromosome 2L of *D. melanogaster*. 553 Using crossover rates estimated by *Comeron et al.* (2012), we simulated varying numbers of samples 554 of *D. melanogaster* chromosome 2L with msprime using the RecombinationMap class. Simulated 555 samples were exported to a VCF file using ploidy = 1, and all simulations were generated under 556 demographic equilibrium. We used these simulated VCE files as the input to our Rel ERNN pipeline. 557 and ran all ReLERNN modules with default parameters, with the exception of varying the assumed 558 per-base mutation rate and the assumed maximum ratio of  $\rho$  to  $\theta$ . Assumed mutation rates were 559 varied from 50% less than the rate used in simulations (true rate) to 50% greater than the true 560 rate. Likewise, the ratio of  $\rho$  to  $\theta$  was either held constant, resulting in the training set containing 561 on average higher or lower per-base recombination rates than the true rate, or was adjusted to 562 correctly reflect the true maximum per-base recombination rate used—i.e. approximately  $1.2 \times 10^{-7}$ 563 crossovers per base. 564

#### 565 Comparative methods

We chose to compare ReLERNN to three published methods for estimating recombination rates— 566 FastEPRR (Goo et al., 2016), a 1-dimensional CNN recently described in Flagel et al. (2018) and 567 LDhat (*McVean et al., 2002*). We generated a training set (used by ReLERNN and the CNN) with 568  $10^5$  examples and tested each method on an identical set of  $5 \times 10^3$  simulation examples for 569 testing. We generated two classes of simulations, one simulated under demographic equilibrium 570 and one using a demographic history derived from European humans (CEU model: detailed in 571 "ReLERNN demographic models.py": Tennessen et al., 2012: Gravel et al., 2011). Both classes 572 of simulations were generated for  $n \in \{4, 8, 16, 32, 64\}$ , where n is the number of chromosomes 573 sampled from the population. All simulations were generated in msprime with the common 574 set of parameters: prior Lows Rho = 0.0, prior Highs  $Rho = 5e^{-8} \times 1.25$ , prior Lows  $Mu = 2.5e^{-8} \times 0.75$ . 575 prior Highs  $Mu = 2.5e^{-8} \times 1.25$ . Chromosome Length =  $3e^5$ , whereby values for both per-base mutation 576 and recombination rates were drawn from a uniform distribution between the low and high priors. 577 For both ReLERNN and the CNN, the same training set consisting of 10<sup>5</sup> examples was used 578 to train each neural network, and the same test examples were used to compare the predictions 579 produced by each method. Comparisons with I Dhat where made using the above training examples 580 to parameterize the generation of independent coalescent likelihood lookup tables. For each set of 581 examples of sample size N, we calculated the maximum value of  $\rho$  from the training set and the 582 average per-base values for  $\theta$  for the test examples, using Watterson's estimator. These parameter 583 values were given to LDhat's *complete* function for the lookup table generation, and the resulting 584 table was used to make predictions on our  $5 \times 10^3$  test examples using the *pairwise* function. 585 Comparisons with FastEPRR were made by transforming the genotype matrices resulting from 586 our test simulations into fasta-formated input files, and running the FastEPRR ALN funtion (using 587 format = 1) in R. As both LDhat and FastEPRR predict  $\rho_{c}$  the resulting predictions were transformed 588 to per-base recombination rates for comparison with ReLERNN using the function  $r = \frac{\rho_{pred} \times \mu_{true}}{r}$ 589 whereby  $\rho_{rest}$  is the prediction output by each method, and  $\theta_W$  and  $\mu_{true}$  are Watterson's estimator 590

and the true per-base mutation rate used in the simulation example, respectively. To compare accuracy among methods we directly compared the distribution of absolute errors ( $|r_{predicted} - r_{true}|$ ) for each method for each set of examples of sample size *N*.

To test the effects of model misspecification on predictions, we simply directed Rel FRNN and 594 the CNN to use a training set generated under demographic equilibrium for making predictions 595 on a test set generated under the CEU model, and vice versa. To test for the effects of model 596 misspecification in LDhat, we generated a lookup table using parameter values estimated from 597 the misspecified training set (e.g. the lookup table used for predicting the CEU model test set was 598 generated by using parameter values directly inferred from training simulations under equilibrium. 590 We did not directly test the effect of model misspecification using FastEPRR, as this method takes 600 as input only a fasta sequence file, and therefore the internal training of the model was not able to 601 be separated from the input sequences. To address the effects of model misspecification, we also 602 directly compared the distribution of absolute errors ( $|r_{predicted} - r_{true}|$ ). Additionally, we compared the 603 marginal error directly attributable to model misspecification among methods. We defined marginal 604 error as  $\epsilon_m - \epsilon_c$ , where  $\epsilon_m$  and  $\epsilon_c$  are equal to  $|r_{predicted} - r_{true}|$  when the model is misspecified and 605 correctly specified, respectively. We simulated gene conversion test sets using ms (Hudson, 2002), 606 with a mean conversion tract length of 352 bp (corresponding to the mean empirically derived 607 tract length in D. melanogaster (Hilliker et al., 1994)) and simulated a ratio of conversion events to 608 crossover events of 0, 1, 2, 4, and 8. 609

#### 610 Recombination rate variation in *D. melanogaster*

We obtained *D. melanogaster* population sequence data from the *Drosphila* Genome Nexus (DGN: 61 https://www.johnpool.net/genomes.html: Lack et al., 2015: Pool et al., 2012). We converted DGN 612 "consensus sequence files" to VCF format using custom python scripts, excluding all non-biallelic 613 sites and sites containing missing data. We chose to analyze populations from Cameroon, Rwanda, 614 and Zambia, as these populations contained at least 10 haploid embryo sequences per population 615 and each population included multiple segregating chromosomal inversions (supplemental table 616 1). To ensure roughly equivalent power to compare rates among populations, we downsampled 617 both Rwanda and Zambia to 10 chromosomes. We selected individual haploid genomes for each 618 population by requiring that our sampled inversion frequencies for each of the six segregating 619 inversions—In(1)Be, In(2L)t, In(2R)NS, In(3L)Ok, In(3R)K, and In(3R)P—closely approximate their popu-620 lation frequencies as measured in the complete set of haploid genomes for that population. All 621 sample accessions and their corresponding inversion frequencies are located in the supporting 622 materials. 623

Before running ReLERNN, we first set out to model the demographic history for each population 624 using each of three methods: stairwayplot (Liu and Fu, 2015), SMC++ (Terhorst et al., 2016), and 625 MSMC (Schiffels and Durbin, 2014). With the exception of MSMC, all methods were run using 626 default parameters. For MSMC, the use of default parameters generated predictions that were 627 unusable (Figure 5-Figure Supplement 2). For these reasons, and after direct communication with 628 MSMC's authors, we determined that running MSMC with a sample size of two chromosomes would 629 be the most appropriate. Ultimately we decided to run our Rel FRNN pipeline with simulations 630 generated under demographic equilibrium [options: -estimateDemography False -assumedMu 631 3.27e-9 – upperRhoThetaRatio 351, as estimates of historical population size were unreliable for 632 these data—all three methods produced significantly different demographic histories (*Figure 5–* 633 Figure Supplement 1)—and tests on simulated data suggest little effect of demographic model 634 misspecification (Figure 4-Figure Supplement 1). All code required to run our ReLERNN analysis 635 is deposited on GitHub (https://github.com/kern-lab/ReLERNN and https://github.com/kern-lab/ 636 **ReLERNN-analysis**). 637 We measured the correlation in recombination rates between each African D. melanogaster 638

<sup>638</sup> we measured the correlation in recombination rates between each African *D. melanogaster* <sup>639</sup> populations in 100 kb sliding windows, as ReLERNN will predict the rates of recombination in slightly <sup>640</sup> different window sizes, depending on  $\theta$  for each chromosome. The recombination rate for each

sliding window was calculated by taking the average of all rate windows predicted by ReLERNN. 641 weighted by the fraction that each window overlapped the larger sliding window. Recombination 642 rate outliers were identified in two ways; as global outliers and population-specific outliers. Global 643 outliers were identified by first calculating the mean and standard deviation in recombination rates for all three populations in each 100 kb sliding window. We then used the top 1% of outliers from the distribution of residuals, after fitting a linear model to the standard deviation on the mean. 646 Population-specific outliers were identified by using a modification of the population branch statistic 647 (herein PBS\*; Yi et al., 2010), whereby we replaced pairwise  $F_{ST}$  with the pairwise differences in 648 recombination rates. We then used the top 1% of all PBS\* scores as our population-specific outliers. 640 with each outlier corresponding to a PBS\* score for a single population. 650

To test the effect of inversion frequency on predicted recombination rates, we resampled 10 haploid chromosomes from the available set of haploid genomes from Zambia to generate sampled populations containing ln(2L)t at varying frequencies,  $p \in \{0.0, 0.2, 0.6, 1.0\}$ . We then ran ReLERNN on chromosome 2L for each of these resampled Zambian populations. We classified recombination windows by their overlap with the coordinates of ln(2L)t (as defined in *Corbett-Detig and Hartl, 2012*), defining windows within the breakpoints (inside), windows up to 3 Mb outside the breakpoints (flanking), and windows > 3 Mb outside the breakpoints (outside).

To test the effect of genome-wide inversion breakpoints on differences in recombination land-658 scapes between populations, we classified windows by their overlap with inversion interiors (> 2 Mb 659 inside the inversion breakpoints) and their overlap with windows within 200 Kb, 500 Kb, 1 Mb, and 660 2 Mb of inversion breakpoints. We tested for an enrichment of both global and population-specific 661 outliers within inversions by randomization tests, whereby we permuted the labels for outliers 662 10<sup>4</sup> times and counted the overlap with inversions for each permutation to calculate the empirical 663 p-values. We also tested for an effect of selection on recombination rates in these populations. 664 by running diploS/HIC (Kern and Schrider, 2018) to detect selective sweeps. We ran diploS/HIC 665 on each population, training on simulations generated under demographic equilibrium. For each 666 population we simulated 2000 training examples from each of the five classes of regions required 667 by diploS/HIC using the coalescent simulation software discoal (Kern and Schrider, 2016). For simu-668 lations which included sweeps we drew the selection coefficient from a uniform distribution such 669 that  $s \sim U(0.0001, 0.005)$ , the time of completion of the sweep from  $\tau \sim U(0, 0.05)$ , and the frequency 670 at which a soft sweep first comes under selection as  $f \sim U(0, 0.1)$ . We drew  $\theta$  from U(65, 654) and 671 we drew a from an exponential distribution with mean 1799 and the upper bound truncated at triple 672 the mean. For the discoal simulations we simulated 605 kb of data with the goal of classification of 673 the central most 55 kb window. We looked at the overlap with "sweep" windows (those classified 67/ as either "hard" or "soft") and those windows classified as "neutral" by diploS/HIC. Our complete 675 diploS/HIC pipeline for these samples is available in the supporting materials online. All statistical 676 tests were completed in R (*R Core Team, 2018*), with the exception of empirical randomization tests. 677 which were completed using Python. 678

#### **Data availability**

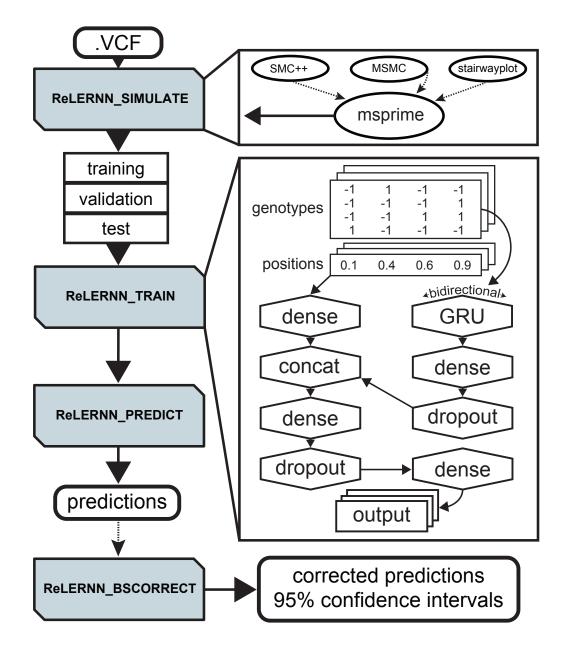
ReLERNN is currently available at https://github.com/kern-lab/ReLERNN. Supporting information,
 tables, and figures will be deposited online at the publication journal.

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- <sup>686</sup> R01GM117241 to ADK. We would also like to thank the Hearth for their fine coffee.

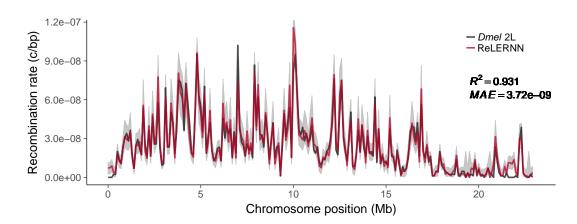
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**Figure 1.** Diagram depicting a typical workflow using ReLERNN's four modules (shaded boxes). ReLERNN\_SIMULATE can optionally (dotted lines) utilize output from stairwayplot, SMC++, MSMC to simulate under a demographic history in msprime. The breakout of ReLERNN\_TRAIN depicts the GRU network architecture used for training. The input genotype matrix shows alleles encoded as ancestral (-1), derived (1), or padded (0; *not shown*), and the input position matrix shows variant position coded along the real number line (0-1).

Figure 1-Figure supplement 1. Parametric bootstraping results as implemented by ReLERNN. Lines represent

the minimum (blue), lower 5% (orange), lower 25% (green), median (red), upper 25% (purple), upper 95% (brown), and maximum (pink) bounds for each of 1000 replicate simulations and predictions (y-axis) across 100 recombination rate bins (x-axis)



**Figure 2.** Recombination rate predictions for a simulated *Drosophila* chromosome (black line) using ReLERNN (red line). The recombination landscape was simulated for n = 20 chromosomes under mutation-drift equilibrium using msprime (*Kelleher et al., 2016*), with per-base crossover rates derived from *D. melanogaster* chromosome 2L (*Comeron et al., 2012*). Gray ribbons represent 95% confidence intervals.  $R^2$  is reported for the general linear model of predicted rates on true rates and mean absolute error was calculated across all 100 kb windows.

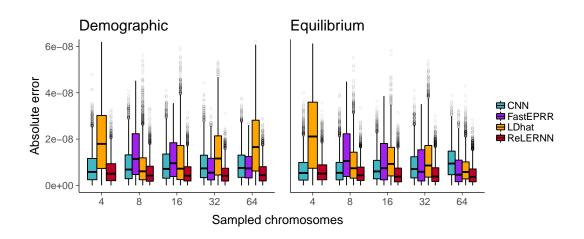
**Figure 2-Figure supplement 1.** Recombination rate predictions for a simulated *Drosophila* chromosome (black line) using ReLERNN (red line). The recombination landscape was simulated for n = 4 chromosomes under mutation-drift equilibrium using msprime (*Kelleher et al., 2016*), with per-base crossover rates derived from *D. melanogaster* chromosome 2L (*Comeron et al., 2012*). Gray ribbons represent 95% confidence intervals.  $R^2$  is reported for the general linear model of predicted rates on true rates and mean absolute error was calculated across all 100 kb windows.

**Figure 2-Figure supplement 2.** Recombination rate predictions for a simulated *Drosophila* chromosome (black line) using ReLERNN (red line). The recombination landscape was simulated for n = 20 chromosomes under mutation-drift equilibrium using msprime (*Kelleher et al., 2016*), with per-base crossover rates derived from *D. melanogaster* chromosome 2L (*Comeron et al., 2012*). Here the per-base mutation rate was assumed to be 50% less than the rate used for simulation. Gray ribbons represent 95% confidence intervals.  $R^2$  is reported for the general linear model of predicted rates on true rates and mean absolute error was calculated across all 100 kb

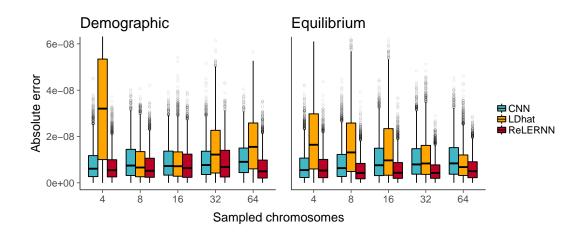
#### windows.

**Figure 2-Figure supplement 3.** Recombination rate predictions for a simulated *Drosophila* chromosome (black line) using ReLERNN (red line). The recombination landscape was simulated for n = 20 chromosomes under mutation-drift equilibrium using msprime (*Kelleher et al., 2016*), with per-base crossover rates derived from *D. melanogaster* chromosome 2L (*Comeron et al., 2012*). Here the per-base mutation rate was assumed to be 50% greater than the rate used for simulation. Gray ribbons represent 95% confidence intervals.  $R^2$  is reported for the general linear model of predicted rates on true rates and mean absolute error was calculated across all 100 kb windows.

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**Figure 3.** Distribution of absolute errors ( $|r_{predicted} - r_{true}|$ ) for each method across 5000 simulated chromosomes (1000 for FastEPRR). Independent simulations were run under a known demographic history (left) or an assumption of demographic equilibrium (right). Sampled chromosomes indicate the number of independent sequences that were sampled from each msprime (*Kelleher et al., 2016*) coalescent simulation.

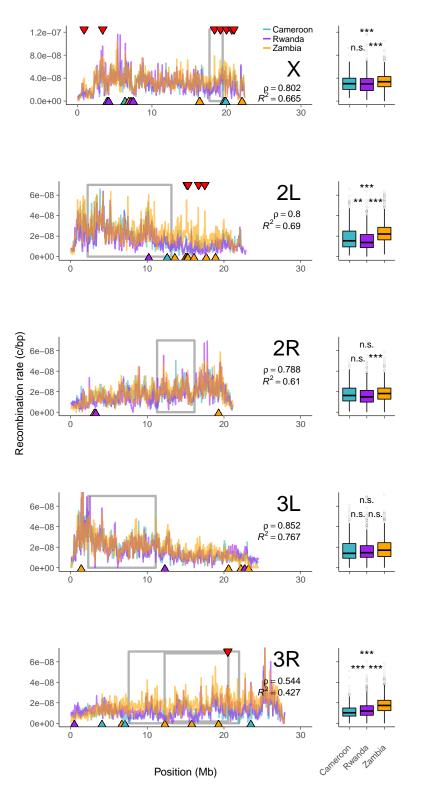


**Figure 4.** Distribution of absolute errors ( $|r_{predicted} - r_{true}|$ ) for each method across 5000 simulated chromosomes after model misspecification. For the CNN and ReLERNN, predictions were made by training on equilibrium simulations and testing on sequences simulated under a demographic model (left) or training on demographic simulations and testing on sequences simulated under equilibrium (right). For LDhat, the lookup table was generated using parameters values that were estimated from simulations where the model was misspecified in the same way as described for the CNN and ReLERNN above. Sampled chromosomes indicate the number of independent sequences that were sampled from each msprime (*Kelleher et al., 2016*) coalescent simulation.

**Figure 4-Figure supplement 1.** Distribution of marginal errors attributed to model misspecification across 5000 simulated chromosomes. Predictions were made by training on equilibrium simulations and testing on sequences simulated under a demographic model (left) or training on demographic simulations and testing on sequences simulated under equilibrium (right). Here, marginal errors are represented as  $\epsilon_m - \epsilon_c$ , where  $\epsilon_m$  and  $\epsilon_c$  are equal to  $|r_{predicted} - r_{true}|$  when the model is misspecified and correctly specified, respectively. Sampled chromosomes indicate the number of independent sequences that were sampled from each msprime (*Kelleher et al., 2016*) coalescent simulation.

**Figure 4-Figure supplement 2.** Distribution of predicted rates of recombination over true rates for 5000 examples simulated with gene conversion and n = 8. The ratio of gene conversion to crossovers was drawn from U(0, c), with  $c \in \{0, 1, 2, 4, 8\}$ . Gene conversion tract lengths were fixed at 352 bp, and all simulations were completed in ms (*Hudson, 2002*).

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**Figure 5.** (Left) Genome-wide recombination landscapes for *D. melanogaster* populations from Cameroon (teal lines), Rwanda (purple lines), and Zambia (orange lines). Grey boxes denote the inversion boundaries predicted to be segregating in these samples (*Pool et al., 2012; Corbett-Detig and Hartl, 2012*). Red triangles mark the top 1% of global outlier windows for recombination rate. Blue, purple, and orange triangles mark the top 1% of population-specific outlier windows for recombination rate, with triangle color indicating the outlier population (see Materials and Methods). (Right) Per-chromosome recombination rates for each population. Spearman's  $\rho$  and  $R^2$  are reported as the mean of pairwise estimates between populations for each chromosome. \*\*P < 0.01 and \*\*\*P < 0.001 are based on Tukey HSD tests for all pairwise comparisons.

**Figure 5–Figure supplement 1.** Historical population size estimates were inferred for Cameroon, Rwanda, and Zambia using three separate methods, all of which disagree with one another. Inferences are based bior/024 samples for both stairwayplot (grey line) and SMC++ (orange line), and 2 samples for MSMC (purple line). **Figure 5–Figure supplement 2.** Historical population size estimates were inferred for Cameroon, Rwanda, and

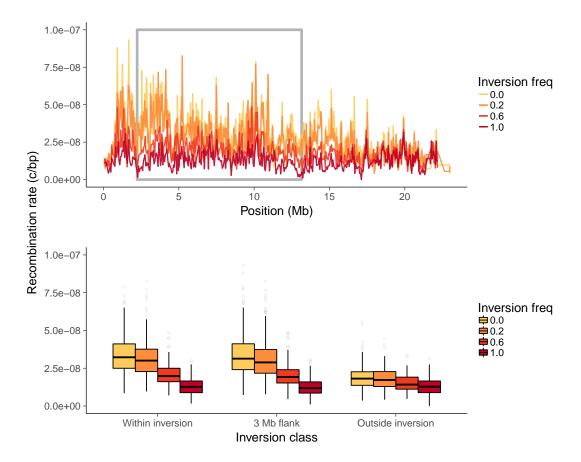
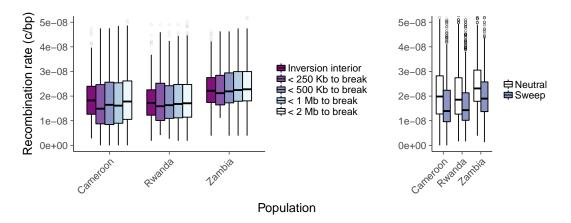


Figure 6. (Top) Recombination landscapes for Zambian *D. melanogaster* surrounding *In(2L)t*, sampled at different inversion frequencies. The grey box denotes the inversion boundaries of *In(2L)t* in *Drosophila* (*Corbett-Detig and Hartl, 2012*). (Bottom) Recombination rate estimates from genomic windows within the inversion, within a 3 Mb region flanking the inversion, and 3 Mb outside the inversion, sampled at different inversion frequencies.
 Figure 6–Figure supplement 1. Recombination rate estimates using flanking window sizes from 1-5 Mb. Rates are shown for genomic windows within the inversion, within regions flanking the inversion, and for regions outside both the inversion and flanking regions. All estimates are from chromosome 2L with *In(2L)t* sampled at different inversion frequencies

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**Figure 7.** (Left) Recombination rate estimates for genomic windows > 2 Mb inside, < 250 kb surrounding, < 500 kb surrounding, < 1 Mb surrounding, and < 2 Mb surrounding all inversion breakpoints. (Right) Recombination rate estimates for all genomic windows overlapping windows predicted as either hard/soft sweeps (purple) or as neutral (white) by diploS/HIC (*Kern and Schrider, 2018*).

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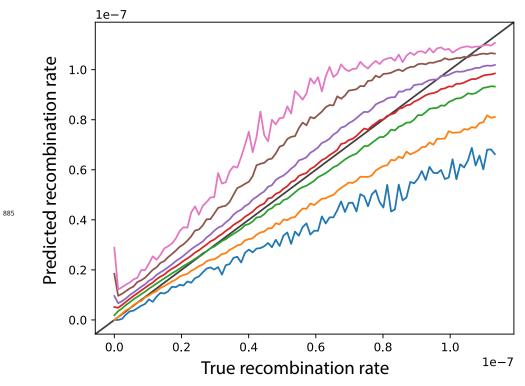
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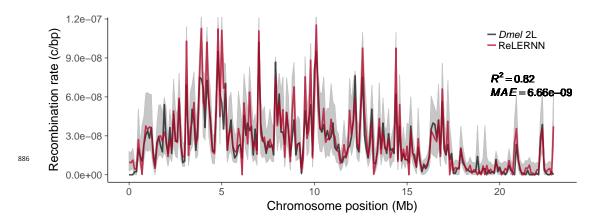
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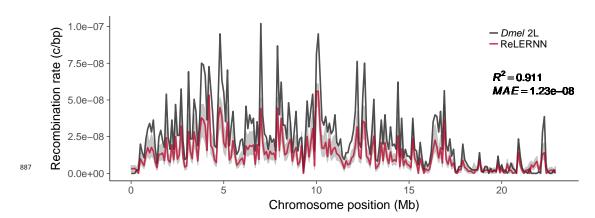


**Figure 1–Figure supplement 1.** Parametric bootstraping results as implemented by ReLERNN. Lines represent the minimum (blue), lower 5% (orange), lower 25% (green), median (red), upper 25% (purple), upper 95% (brown), and maximum (pink) bounds for each of 1000 replicate simulations and predictions (y-axis) across 100 recombination rate bins (x-axis)

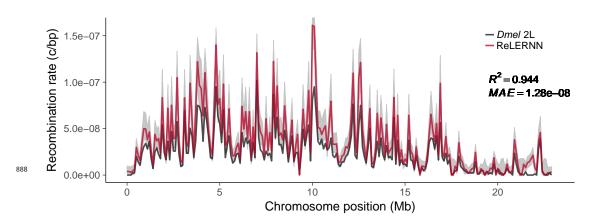


**Figure 2-Figure supplement 1.** Recombination rate predictions for a simulated *Drosophila* chromosome (black line) using ReLERNN (red line). The recombination landscape was simulated for n = 4 chromosomes under mutation-drift equilibrium using msprime (*Kelleher et al., 2016*), with per-base crossover rates derived from *D. melanogaster* chromosome 2L (*Comeron et al., 2012*). Gray ribbons represent 95% confidence intervals.  $R^2$  is reported for the general linear model of predicted rates on true rates and mean absolute error was calculated across all 100 kb windows.

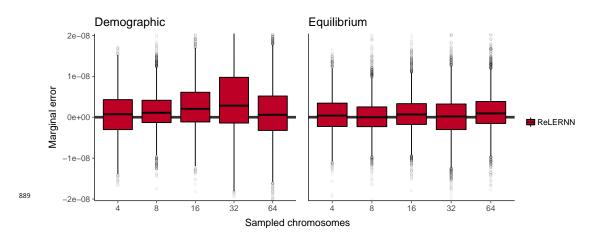
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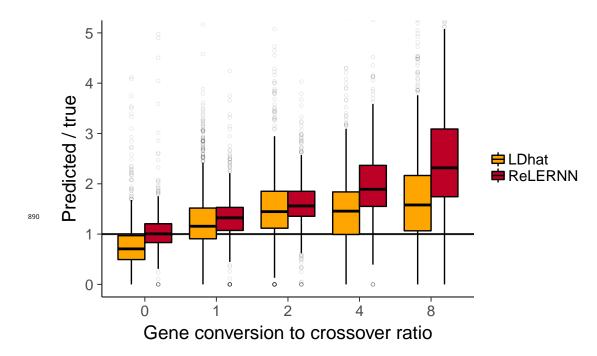
**Figure 2-Figure supplement 2.** Recombination rate predictions for a simulated *Drosophila* chromosome (black line) using ReLERNN (red line). The recombination landscape was simulated for n = 20 chromosomes under mutation-drift equilibrium using msprime (*Kelleher et al., 2016*), with per-base crossover rates derived from *D. melanogaster* chromosome 2L (*Comeron et al., 2012*). Here the per-base mutation rate was assumed to be 50% less than the rate used for simulation. Gray ribbons represent 95% confidence intervals.  $R^2$  is reported for the general linear model of predicted rates on true rates and mean absolute error was calculated across all 100 kb windows.



**Figure 2-Figure supplement 3.** Recombination rate predictions for a simulated *Drosophila* chromosome (black line) using ReLERNN (red line). The recombination landscape was simulated for n = 20 chromosomes under mutation-drift equilibrium using msprime (*Kelleher et al., 2016*), with per-base crossover rates derived from *D. melanogaster* chromosome 2L (*Comeron et al., 2012*). Here the per-base mutation rate was assumed to be 50% greater than the rate used for simulation. Gray ribbons represent 95% confidence intervals.  $R^2$  is reported for the general linear model of predicted rates on true rates and mean absolute error was calculated across all 100 kb windows. bioRxiv preprint doi: https://doi.org/10.1101/662247; this version posted June 6, 2019. The copyright holder for this preprint (which was not certified by peer review) is the author/funder, who has granted bioRxiv a license to display the preprint in perpetuity. It is made available under aCP: aprint submitted to bioRxiv

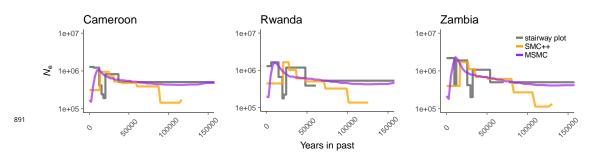


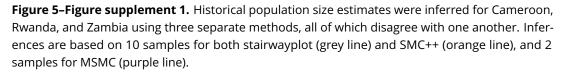
**Figure 4–Figure supplement 1.** Distribution of marginal errors attributed to model misspecification across 5000 simulated chromosomes. Predictions were made by training on equilibrium simulations and testing on sequences simulated under a demographic model (left) or training on demographic simulations and testing on sequences simulated under equilibrium (right). Here, marginal errors are represented as  $\epsilon_m - \epsilon_c$ , where  $\epsilon_m$  and  $\epsilon_c$  are equal to  $|r_{predicted} - r_{true}|$  when the model is misspecified and correctly specified, respectively. Sampled chromosomes indicate the number of independent sequences that were sampled from each msprime (*Kelleher et al., 2016*) coalescent simulation.

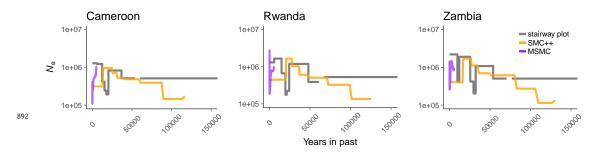


**Figure 4–Figure supplement 2.** Distribution of predicted rates of recombination over true rates for 5000 examples simulated with gene conversion and n = 8. The ratio of gene conversion to crossovers was drawn from U(0, c), with  $c \in \{0, 1, 2, 4, 8\}$ . Gene conversion tract lengths were fixed at 352 bp, and all simulations were completed in ms (*Hudson, 2002*).

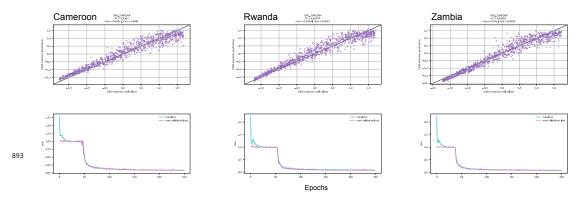
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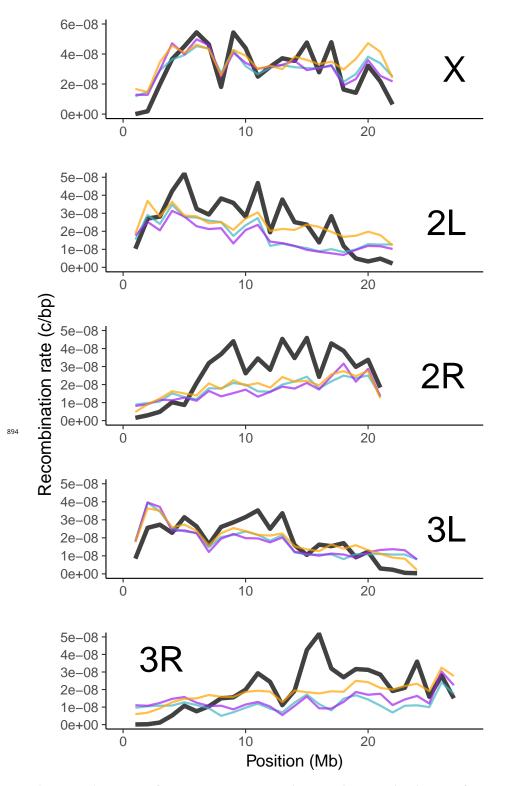




**Figure 5-Figure supplement 2.** Historical population size estimates were inferred for Cameroon, Rwanda, and Zambia using three separate methods, all of which disagree with one another. Here, inferences are based on 10 samples for both stairwayplot (grey line) and SMC++ (orange line), and 10 samples for MSMC (purple line).



**Figure 5-Figure supplement 3.** ReLERNN test results for Cameroon, Rwanda, and Zambia when trained under assumptions of mutation-drift equilibrium. Scatter plots (top) show raw (unnormalized) predictions for per-base recombination rates for 1000 test examples. Mean absolute error and mean squared error are calculated for each population. Line graphs (bottom) show the decrease in the mean absolute error over time (epochs) for both the training set (blue lines) and the validation set (purple lines).



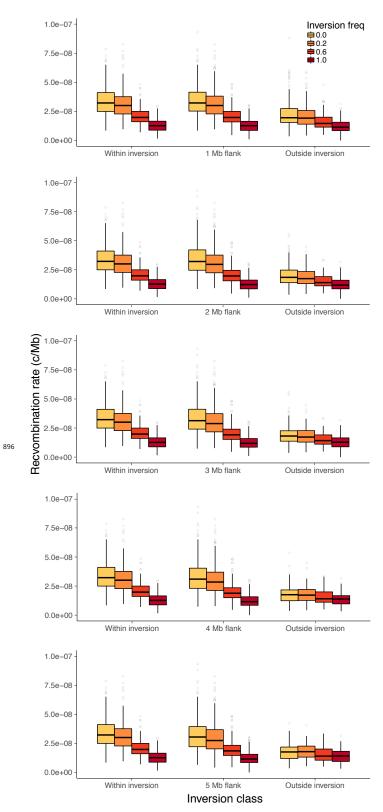
**Figure 5–Figure supplement 4.** Genome-wide recombination landscapes for *D. melanogaster* populations from Cameroon (teal lines), Rwanda (purple lines), and Zambia (orange lines). Rates are compared to those experimentally derived by *Comeron et al. (2012)* (black lines). All rates have been scales to 1 Mb windows by using a weighted average (see Materials and Methods).

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			Hard-linked Soft		ye <sup>b</sup>			
		Hard	Hard-III	Soft	Softlin	. Neutrai		
	Hard sweep 5 windows to left -	14.6%	72.2%	0.5%	9.5%	3.2%	1.0	
	Hard sweep 4 windows to left	15.5%	75.2%	0.3%	6.0%	3.0%		
	Hard sweep 3 windows to left -	20.5%		0.8%	8.7%	2.7%		
	Hard sweep 2 windows to left -	29.2%		2.2%	6.9%	3.1%		
	Hard sweep 1 window to left -	50.3%	36.1%	5.8%	4.9%	2.9%	- 0.8	
	Hard sweep in focal window -	82.2%	3.2%	13.1%	0.6%	0.9%	0.0	
	Hard sweep 1 window to right -	47.4%	38.8%	4.4%	7.3%	2.1%		
	Hard sweep 2 windows to right -	29.2%	59.0%	1.2%	8.6%	2.1%		
	Hard sweep 3 windows to right -	22.3%		0.6%	10.3%	2.0%		
	Hard sweep 4 windows to right -	16.5%		0.4%	12.4%	2.7%	- 0.6	
	Hard sweep 5 windows to right -	15.9%		0.5%	14.8%	2.8%		
	Soft sweep 5 windows to left -	2.7%	23.8%	2.7%	35.1%	35.8%		
95	Soft sweep 4 windows to left -	3.3%	27.4%	2.8%	33.8%	32.7%		
55	Soft sweep 3 windows to left -	3.7%	23.4%	3.8%	35.7%	33.4%	- 0.4	
	Soft sweep 2 windows to left -	7.0%	21.2%	7.6%	31.0%	33.2%	0.4	
	Soft sweep 1 window to left -	11.3%	14.6%	22.0%	20.5%	31.5%		
	Soft sweep in focal window –	22.4%	1.2%	59.1%	3.3%	13.9%		
	Soft sweep 1 window to right -	10.6%	14.4%	18.2%	30.2%	26.6%		
	Soft sweep 2 windows to right -	6.4%	20.2%	6.3%	40.3%	26.9%	- 0.2	
	Soft sweep 3 windows to right -	4.9%	19.9%	3.9%	43.6%	27.7%		
	Soft sweep 4 windows to right -	3.3%	18.6%	2.6%	47.7%	27.9%		
	Soft sweep 5 windows to right -	3.0%	18.1%	3.1%	44.1%	31.8%		
	Neutral -	0.3%	0.9%	9.3%	9.4%	80.2%		

**Figure 5-Figure supplement 5.** Confusion matrix showing the fraction of test simulation windows assigned to each of five prediction categories by diploS/HIC (*Kern and Schrider, 2018*): hard, hard-linked, soft, soft-linked, and neutral. The y-axis shows the location of the window being classified relative to the selected window.

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**Figure 6–Figure supplement 1.** Recombination rate estimates using flanking window sizes from 1-5 Mb. Rates are shown for genomic windows within the inversion, within regions flanking the inversion, and for regions outside both the inversion and flanking regions. All estimates are from chromosome 2L with *In(2L)t* sampled at different inversion frequencies