Diversity of fall armyworm, *Spodoptera fugiperda* and their gut bacterial community in Kenya

Joseph Gichuhi[1,2], Sevgan Subramanian[1], Fathiya M. Khamis[1], Johnnie van den Berg[2], Hannalene du
Plessis[2], Sunday Ekesi[1], and Jeremy K Herren[1,3]

[1] International Centre of Insect Physiology and Ecology (ICIPE), Kasarani, Nairobi, Kenya

[2] Unit for Environmental Sciences and Management, North-West University, Potchefstroom, South Africa,

[3] MRC-University of Glasgow Centre for Virus Research, Henry Wellcome Building, Glasgow, UK

For correspondence: jherren@icipe.org Tel: +254716660160

Abstract

The invasive fall armyworm (FAW), *Spodoptera frugiperda* (J.E. Smith) is a polyphagous pest that causes
widespread damage particularly to maize and sorghum in Africa. The microbiome associated with *S.*
frugiperda could play a role in their success and adaptability. However, these bacterial communities remain
poorly studied, especially for *S. frugiperda* in Africa. We investigated the composition, abundance and
diversity of microbiomes associated with larval and adult specimens of *S. frugiperda* collected from four
maize growing regions in Kenya through high throuput sequencing of bacterial 16S rRNA gene. We
identified Proteobacteria and Firmicutes as the most dominant phyla and lesser proportions of
Bacteroidetes and Actinobacteria. We also observed differences in bacterial microbiome diversity between
larvae and adults that are a likely indication that some prominent larval bacterial groups are lost during
metamorphosis. Several bacterial groups were found in both adults and larvae suggesting that they are
transmitted across developmental stages. Reads corresponding to several known entomopathogenic
bacterial clades as well as the non-bacterial entomopathogen, *Metarhizium rileyi* (Farl.) Kepler, Rehner &
Humber (2014)*,* were observed. Mitochondrial DNA haplotyping of the *S. frugiperda* population in Kenya
indicated the presence of both 'Rice' and 'Corn' strains, with a higher prevalence of the 'Rice' strain. Insights
into the microbiota may ultimately provide alternative avenues for controlling of this pest.

Keywords: Fall armyworm, *Spodoptera frugiperda*, gut bacteria, 16S sequencing, corn strain, rice strain,
mtDNA haplotype

Introduction

Invasions by exotic pests can have major detrimental effects on agricultural production and natural
resources (Huber et al. 2002). The fall armyworm, *Spodoptera frugiperda* (J. E. Smith) (Lepidoptera:
Noctuidae) is a polyphagous pest that is native to tropical regions of the western hemisphere, where it is
known for its ability to cause economic damage to several crop species. In 2016, it was first detected in
West Africa (Goergen et al. 2016), and since then this pest has rapidly spread across the continent
(Goergen et al. 2016; Tindo et al. 2016; Day et al. 2017; Nagoshi et al. 2017, 2018; Cock et al. 2017;
Rwomushana et al. 2018; Uzayisenga et al. 2018; Jacobs et al. 2018). By 2018, *S. frugiperda* was reported
present in all countries in Sub-Saharan Africa except Djibouti and Lesotho (Rwomushana et al. 2018).
Furthermore, *S. frugiperda* has now also reached the Asian continent (Deole and Paul 2018; Sisodiya et

al. 2018). Maize and other economically important food crops in these regions are extensively damaged by
S. frugiperda larvae (Day et al. 2017) causing extensive economic losses threatening food security.

There is a lack of information about *S. frugiperda* - host plant interactions and other factors that may be
leading to the rapid spread of *S. frugiperda* in the geographic regions that have recently been invaded.
Many of the control measures used in the western hemisphere (e.g. transgenic maize, chemical
insecticides) might not be readily available and economically viable for subsistence farmers in Africa.
Further, the use of highly hazardous pesticides is not considered a sustainable long term control measure
for any pest (FAO 2018). In addition, *S. frugiperda* is known to readily develop resistance to most chemical
insecticides (e.g., pyrethroids, organophosphates and carbamates) and to transgenic maize that are used
to control it (Yu 1991; Jakka et al. 2016; Banerjee et al. 2017; Flagel et al. 2018; Botha et al. 2019). In light
of this, there is a great need for alternative, cost-effective control strategies for *S. frugiperda* (FAO 2018).
A recent survey in Ethiopia, Kenya and Tanzania indicated that *S. frugiperda* has established interactions
with indigenous parasitoid species (Sisay et al. 2018) that could be harnessed for biological control. A study
on *S. frugiperda* host plant interactions in East Africa has also suggested a climate adapted push-pull
system (Midega et al. 2018) and maize-legume intercropping (Hailu et al., 2018) for management of pests
including fall armyworm on maize farms. However, many factors related to *S. frugiperda* rapid spread, host
plant interactions, bio-ecology and insect-microbiome interactions in the African region remain poorly
understood.

Insect microbiomes can have important consequences for the outcome of insect pest-natural enemies- host
plant interactions (Ferrari et al. 2011). Strategies that involve modifying insect microbiomes are currently
being evaluated for control and management of pests and vectors of plant diseases (Crotti et al. 2012;
Perilla-henao and Casteel 2016; Arora and Douglas 2017; Beck and Vannette 2017). Insect microbiomes
play a key role in the adaptation of insect to their environment and are therefore a major and often poorly
understood determinant of the host plant and geographic range of insect pests (Su et al. 2013). In general,
a greater diversity of microbial symbionts exist within the insect's gut lumen, while few others exist inside
cells of the host, or on the cuticle (Douglas 2016). Gut microbial symbionts are known to influence their
host's nutrition, usually by promoting digestion and availability of nutrients (Douglas 2009). These
symbionts can also modulate the immune response and accessibility of the host to invading organisms,
and therefore have direct or indirect effects on host susceptibility to parasites and pathogens (Dillon et al.
2005; Dong et al. 2009; Garcia et al. 2010; Vorburger et al. 2010; Narasimhan et al. 2014; Mclean and
Godfray 2015; Vorburger and Rouchet 2016; Ubeda et al. 2017). Previous studies have also identified
important roles of bacterial symbionts in the interactions between phytophagous insects and host plants
(Frago et al. 2012; Biere and Bennett 2013; Brady and White 2013). In addition, microbial symbionts can
break down complex molecules such as insecticides and promote insecticide resistance (Kikuchi et al.
2012; Xia et al. 2018). It is also notable that pathogenic bacteria can reside in host guts, only initiating or
facilitating pathogenesis under certain conditions (Wei et al. 2017). Studying the gut microbiome is not only

important from the standpoint of understanding mutualistic relationships but also for the potential
development of microbial biocontrol agents.

There are an increasing number of studies examining the microbial diversity of lepidopterans. While in some
of the assessed species, consistent bacterial communities have been observed in both field and laboratory
collected populations as well as in insects reared on different diets (Broderick et al. 2004; Xiang et al. 2006;
Pinto-Tomás et al. 2011), other studies reported no host specific resident communities that occurred,
regardless of the insect diet (Hammer et al. 2017). It is possible that lepidopterans are less prone to forming
robust 'core' microbiomes due to several factors: 1) very high pH in the midgut, 2) low retention time of
food, 3) lack of microbe housing structures in the intestinal tract, and 4) continual replacement of the
peritrophic matrix (Hammer et al. 2017). Nevertheless, bacterial communities do continually associate with
lepidopterans and influence a variety of important host processes (Broderick et al. 2006; Anand et al. 2010;
Wang et al. 2017).

Few studies have assessed the *Spodoptera*-associated gut microbiome. In a recent study, the microbial
diversity of *Spodoptera exigua* (Hübner) (Lepidoptera: Noctuidae) was examined by 16S rDNA sequence
profiling (Gao et al. 2018). In *Spodoptera exigua,* the dominant bacterial clades are Proteobacteria and
Firmicutes, with the predominant genus in larvae being *Enterococcus*. In *S. frugiperda*, previous studies
have isolated several bacterial strains using culture-dependent methods (De Almeida et al. 2017; Acevedo
et al. 2017). In this study, we used 16S rDNA sequence profiling to characterize the diversity of bacteria
associated with populations of *S. frugiperda* in Kenya and assessed the prevalence of the corn and rice
strains in these populations using Mitochondrial COI gene sequences.

Materials and methods

Insect collection

Spodoptera frugiperda larvae were collected from infested maize fields in Kenya between June and
December 2017 at the following locations: Ngeria (N00.37024 E035.9862) and Burnt Forest (N00.22505
E035.42479) in Uasin Gishu County; Msamia, Kitale (N00.98009 E034.97170) in Trans Nzoia County;
Shimba Hills (S04.33228 E039.34361) in Kwale County and Chala Irrigation Scheme (S03.27338
E037.13816) and Wundanyi (S03.337538 E038.33612) in Taita Taveta County. Part of the field collected
insects from each sampled region in Kenya were reared on fresh maize leaves in ventilated cages to
pupation and eclosion at 27 °C and 60% humidity, while the rest were stored in absolute ethanol at -20°C.

DNA extraction and 16S rDNA sequencing

Guts from 9 live stage 5-6 larvae and 9 one-day old emerging adults from the Kenya collected samples
were dissected separately in phosphate buffered saline (PBS) following surface sterilization and used for
DNA extraction. Insects were surface sterilized in 70% ethanol, in 5% v/v sodium hypochlorite solution

followed by 3 washes in PBS for 3 minutes in each solution. DNA was extracted using the ISOLATE II
Genomic DNA Kit (Bioline, London, UK) according to the manufacturer's instructions. DNA extracted from
gut samples was submitted for high throughput sequencing targeting the v4 region of the bacterial 16s
rRNA gene using the Illumina Miseq platform (Center for Integrated Genomics, University of Lausanne,
Switzerland). Sequence reads were checked for quality using FastQC v 0.11.28 (Andrews 2010) and pre-
processed to remove adapters and sequencing primers using Cutadapt v1.18 (Marcel 2011). Forward and
reverse reads were imported into the QIIME2-2018.11 (Boylen et al. 2018). The deblur plugin (Amir et
al. 2017) was used to further filter the reads based on per base quality scores, merge the paired-end-
reads and cluster reads into operational taxonomic units (OTUs). A total of 457501 sequence reads were
retained after removal of spurious reads and all reads shorter than 220 nucleotides in length for further
analysis. These sequences clustered into 1796 OTUs. Of these, 197 OTUs survived low count and
interquartile range-based variance filtering to eliminate OTUs that could arise from sequencing errors and
contamination. Taxonomic assignment was done using the blast classifier against the Silva132 reference
database (Quast et al. 2013) at a 99% identity cut-off. OTUs initially characterized as "*Candidatus*
Hamiltonella" by comparison to the Silva132 reference database were re-analyzed by homology searches
against the NCBI nr nucleotide database through blast (Altschul et al. 1990) and found to be *Pseudomonas*,
highlighting a potential incorrect assignment in the reference database. OTU prevalence and variance
based filtering as well as alpha and beta diversity measures were applied to the data in the Microbial Analyst
Marker Data Profiling (Dhariwal et al. 2017). Shannon diversity indices were applied along with Mann-
Whitney and analysis of variance statistics in profiling alpha diversity between sets of samples. Beta
diversity was evaluated using Bray-Curtis and unweighted Unifrac distances. Significance testing was done
using analysis of group similarities (ANOSIM) and non-metric multidimensional scaling (NMDS) used for
ordination. The empirical analysis of digital gene expression data in R (edgeR) algorithm (Robinson et al.
2009) was used to evaluate differential abundance of bacterial genera reads between sample groups. All
sequence reads were archived in the Sequence Read Archive (SRA) under the BioProject: PRJNA521837.

mtDNA haplotyping

DNA was extracted from surface-sterilized whole insects using the ISOLATE II Genomic DNA Kit (Bioline,
London, UK) according to the manufacturer's instructions. Mitochondrial COI gene sequences were
amplified from insect DNA by PCR using the primer LCO1490 and HCO2198 (Folmer et al. 1994).
Reactions were set up in total volumes of 10 µl each, containing 5× MyTaq reaction buffer (5 mM dNTPs,
15 mM MgCl2, stabilizers and enhancers) (Bioline, London, UK), 2 µM of each primer, 0.25 mM MgCl2
(Thermo Fischer Scientific, Massachusetts, USA), 0.125 µl MyTaq DNA polymerase (Bioline, London, UK),
and 7.5 ng/µl of DNA template. These reactions were set up in a Master cycler Nexus gradient thermo-
cycler (Thermo Fischer Scientific, Massachusetts, USA) using the following cycling conditions: initial
denaturation for 2 min at 95 °C, followed by 40 cycles of 30 s at 95 °C, 45 s at 50.6 °C and 1 min at 72 °C,
then a final elongation step of 10 min at 72 °C. PCR products were run through 1% agarose gel

electrophoresis and visualized by ethidium bromide staining and UV trans-illumination. Direct sequencing
was done for all host mtCOI gene and the sequences deposited in the GenBank.

Results

We profiled the bacterial microbiome for 18 samples from 4 different locations in Kenya. In addition,
samples were collected from these 4 sites plus two additional sites for mtDNA haplotyping (Fig. 1).

Fig. 1 Sites from which *Spodoptera frugiperda* larvae were collected in Kenya

The most abundant bacterial Phyla observed across the fall armyworm gut samples were Proteobacteria,
Firmicutes, Bacteroidetes and a small proportion of Actinobacteria (Fig.10, Supplementary material). OTUs

clustering in the orders Enterobacteriales and Pseudomonadales were predominant in the majority of the

samples (Fig. 2).

Fig. 2 Composition of bacterial OTUs at Order level in screened *Spodoptera frugiperda* samples

We note that despite the high genus-level diversity between samples (Fig. 3), there were some similarities

based on developmental stage and location. For example, there was a very high proportion of: 1)

Pseudomonas in the two adult male samples from Chala, 2) *Citrobacter* in two larval samples from Kwale,

3) *Lysinibacillus* in two male samples from Kitale and 4) *Enterococcus* in two larval samples from Ngeria.

It was noted that *Stenotrophomonas*, *Sphingobacterium*, *Serratia*, *Pseudomonas*, *Morganella*,

Enterococcus and *Delftia* were observed in both larvae and adult samples.

Fig. 3 Genus level composition of (% of OTUs) in the different samples of *S. frugiperda*. The relative abundances of the 19 most abundant genera are represented here

In one of the larval samples from the Ngeria site (Ngeria-l2), we observed an excessive number of non-bacterial reads. Upon closer inspection, these were found to be closely related to *Metarhizium rileyi* (formerly *Nomuraea rileyi*), an entomopathogenic fungi that is known to infect *S. frugiperda* (Fig. 4).

0.9

Fig. 4 Neighbor-joining tree of fungus OTU detected in *S. frugiperda* sample (Ngeria-I2; in bold) and
GenBank accessions of small subunit ribosomal RNA gene sequences from related fungi. Sequences are
labelled by their GeneBank Accessions followed by genus, species and strain where available. Bootstrap
values are indicated above the branches. A sequence from *Trichoderma_atroviride* is included as an out-
group

The bacterial OTU richness appeared to be higher in *S. frugiperda* larvae than adults, however this

difference was not statistically significant (p-value: 0.062526; [Mann-Whitney] statistic: 19) using Shannon

diversity metrics (Fig. 5a). In addition, no significant variation in OTU richness and abundance was

observed between larvae from different sampling sites (p-value: 0.32834; [ANOVA] F-value: 1.3486) (Fig.
5b).

Fig. 5 A comparison of the Shannon diversity indices for: **(a)** adult and larval samples from all sites and **(b)**
larvae collected from different sites. The Shannon diversity index (H') was calculated based on the OTU-
level of classification. The boxplots show the distribution of H' values across all samples

The composition of bacterial OTUs between larvae and adult *S. frugiperda* was observed to overlap, with

no significant dissimilarity (ANOSIM) R=0.17365; p-value < 0.081 stress=0.14876) (Fig. 6a). However,

OTU composition was observed to vary significantly among larval samples from different sites ([ANOSIM]

R: 0.45679; p-value < 0.017) (Fig. 6b).

Fig. 6a Non-metric multidimensional scaling (NMDS) ordination based on Bray-Curtis dissimilarities in bacterial communities detected in the *S. frugiperda* samples. Samples are colored according to their developmental stage and sex as indicated on the legend where F=female, L=larvae and M=male

Fig. 6b Non-metric multidimensional scaling (NMDS) ordination based on Weighted Unifrac distance
dissimilarities in bacterial OTU composition between larval sample pairs from different sites

A significant differential abundance was observed for 3 bacterial genera between larvae and adult *S.
frugiperda* samples using the EdgeR algorithm at an adjusted p-value of 0.05. Two of these: *Citrobacter*
(log2FC=4.4178, p value=3.6E-6, FDR=7.218E-5) and *Sphingobacterium* (log2FC=3.625, p value=1.01E-
4, FDR=0.0010118) were more abundant in larvae whereas the third: *Lysinibacillus* (log2FC=-3.2247, p
value= 4.4E-3, FDR=0.029375) was more abundant in adults (Fig. 7).

205

206 **Fig. 7** Comparative abundance of A) *Citrobacter,* B) *Lysinibacillus* and C) *Sphingobacterium* between adults
207 and larvae of *S. frugiperda.* Abundance is shown on a log transformed scale of original counts

209 Based on mtDNA sequences, the *S. frugiperda* strains detected in this study were identical to strains from

210 Canada, USA and Brazil, as well as strains that were recently reported in Kenya and other parts of Africa

211 and India (Fig. 8).

KJ634291_S_exigua

MH639006_India
R-strain_Kenya
GU439148_Canada
JQ547900_Costa Rica
KY472249_Northern-region_Ghana
MF593251_South_Africa
MH753330_Badravathi_India
HM136593_USA
KX580616_Ibadan_Nigeria
KY472253_Volta-region_Ghana
MH190444_KEPH_A_Kenya
KY472250_Northern-region_Ghana
MG993205_Malawi
U72977_H1_USA
98 KX580618_Ibadan_Nigeria
KY472240_Brong-Ahafo_Ghana
MF197867_Uganda
MH190445_KEPH_E1_Kenya
HM136602_USA
MH639004_India
KY472245_Brong-Ahafo_Ghana
MF278659_Tanzania
MH639005_India
KY472242_Brong-ahafo_Ghana
MH639007_India
MF278657_Tanzania
MH190446_KEPH_C_Kenya
64 JF854745_Brazil
JF854746_Canada
MH819361_East_Godovari
63 MH819360_Ujjain_India
MH819359_Pune_India
MH819358_Anakapalli_India
MH819357_India
MH819356_West-Godovari_India
MH819355_Hyderabad_India
MH819354_Jammikunta_India
MH819353_India
MH753333_Haniyuru_India
MH819352_Bengaluru_India
U72976_H3_USA
78 MH190448_KEPH_N4_Kenya
MH190447_KEPH_E2_Kenya
MF593241_South_Africa
MF197868_Uganda
KY472251_Volta-region_Ghana
KY472248_Northern-region_Ghana
KX580615_ Porto_Allegre_Sao_Tome
KX580614_Mesquita_Sao_Tome
KF624877_Roraima_Brazil
HQ964527_ USA
HM136586_USA
C-strain_Kenya
GU094754_Canada

0.02

Fig 8 Neighbor-joining tree based on mtCOI sequences of *S. frugiperda* from the GenBank and
representative haplotypes from this study (in bold). Bootstrap values are indicated above branches. A
sequence from *Spodoptera exigua is* included as an out-group. Sequences are labelled with their GenBank
accession numbers, collection site where available and country of collection

All the samples clustered in two major clades widely referred to as either the 'Rice' or the 'Corn' strain

(hereafter referred to as R- strain and C- strain). We investigated the frequency of mtDNA haplotypes of *S.*

frugiperda samples collected at several sites in Kenya. Overall, 90% of the samples (n=85) clustered as R-

strain, whereas 10% (n=9) clustered as C-strain. Proportions of the R-strain in populations at the different

sites were 100% (n=6) for Burnt Forest, 83% (n=6) for Chala, 86% (n=7) for Wundanyi, 82% (n=11) for

Kitale, 91% (n=35) for Kwale and 82% (n=17) for Ngeria (Fig. 9).

Fig. 9 Mitochondrial COI haplotype map of the *S. frugiperda* samples collected at various sites in Kenya.
Node size is proportional to number of samples and individual samples are represented as fractions of the
nodes. A sequence of *Spoladea recurvalis* is included as an out-group. Sequences for all samples are
accessible from GenBank using the accessions: MK492929-MK493010

Discussion

We found that the gut bacterial communities of most *S. frugiperda* samples were dominated by

Proteobacteria. This observation is similar to proportions reported in other phytophagous insects, in

particular lepidopterans (Belda et al. 2011; Xia et al. 2013, 2017; Landry et al. 2015; Ramya et al. 2016;

Snyman et al. 2016; Strano et al. 2017; Chen et al. 2018). Only one sample, an adult male from Kitale

(Kitale-m3), was dominated by Firmicutes. Four genera of bacteria, *Pseudomonas*, *Delftia*, *Microbacterium*

and *Serratia* that were recorded in this study have previously also been isolated from *S. frugiperda* (De

Almeida et al. 2017; Acevedo et al. 2017). Surprisingly, *Enterococcus*, *Arthtrobacter* and *Leclercia* that were
also previously isolated from *S. frugiperda* in Pennsylvania, USA (De Almeida et al. 2017) were not found
in any of the samples we profiled in Kenya.

We observed significant differences in OTU composition between larvae from different sites. This was most
likely caused by complex biological and environmental factors in the diverse agro-ecological zones that
were sampled. Diet is known to strongly influence the microbiome of lepidopterans (Strano et al. 2017;
Sittenfeld et al. 2002; Priya et al. 2012; Montagna et al. 2016), however in this study all samples were
collected from maize plants. Hence, the observed compositional differences are not likely to be caused
solely by diet. We observed differences in bacterial OTU composition between larvae and adults, however
with a relatively low number of samples these differences were not statistically significant. It is interesting
that many of the detected bacterial genera such as *Stenotrophomonas*, *Sphingobacterium*, *Serratia*,
Pseudomonas, *Morganella*, *Enterococcus* and *Delftia* are found in both life stages, which suggests that gut
bacterial community members are transmitted across developmental stages. Bacteria that are continually
transmitted across developmental stages (and across generations) may evolve a closer, mutualistic
relationship with their hosts (Moran 2006). Future studies should investigate the effects of these microbes
on host fitness and investigate the extent to which they are vertically transmitted from parents to offspring.
In contrast, *Citrobacter* and *Sphingobacterium* were observed to be differentially abundant in larvae than in
adults, a likely indicator that these two genera may be part of the fraction of bacterial communities that are
lost during transition of *S. frugiperda* into the adult stage. *Lysinibacillus*, on the other hand, was more
abundant in adults than in larvae and therefore could have an adult-specific function.

Notably, we identified *Serratia*, *Lysinibacillus* (formerly *Bacillus*) and *Pseudomonas*, species of which have
been reported to have entomopathogenic properties (Castagnola and Stock 2014). In addition, one sample
had a high number of reads attributed to a relative of a non-bacterial entomopathogen, *Metarhizium rileyi,*
which has been previously isolated and tested for efficiency against *S. frugiperda* (Maniania and Fargues
1985); Mallapur et al. 2018). It will be worthwhile to explore the pathogenicity of these microbes for *S.*
frugiperda and to determine if they could be incorporated into biological pest management strategies (Ruiu
et al. 2015).

Based on the mtCOI gene sequence, we observed two mtDNA haplotypes in Kenya (C- and R- strains).
These findings confirm that both haplotypes are present in Kenya, as has been demonstrated for other
countries in Africa (Rwomushana et al. 2018). The majority of the *S. frugiperda* samples collected were
characterized as R-strain suggesting that this strain is dominant in *S. frugiperda* populations in Kenya.
These observations are in agreement with a previous study (Goergen et al. 2016) that observed C- and R-
strains appear to have an East-West axis alignment in the African region with the Eastern Africa having
progressively lower frequencies of the mtCOI C-strain (Goergen et al. 2016). We observed that some
variants of the rice strain have been reported in other places such as Ghana and India but those were not

detected in this study. It is interesting to note that in addition to a similar rice strain as the one detected in

Kenya, a variant differing by a single nucleotide polymorphism has been recorded from various locations in

India (Fig. 8). This variant has however not been reported in Africa. It is therefore possible that the invasion

into India may not have come directly from the African continent, or invasion could have included strains

from Africa and elsewhere.

Symbiotic bacteria play a key role in the biology of insects. We characterized the gut bacterial communities

in *S. frugiperda* larvae and adult samples collected from several locations in Kenya, finding some important

differences and similarities across samples and in relation to other studies on this species (Acevedo et al.

2017; De Almeida et al. 2017). Understanding the gut microbial symbionts of this pest species may facilitate

the development of novel, cost-effective control strategies.

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Author contributions

293 SE, SS, JH and FMK conceived and designed the research, JG conducted experiments and analyzed
294 data, JG and JH wrote the manuscript, JVB and HP contributed materials. All authors read and approved
295 the manuscript.

Conflict of Interest

297 The authors declare that they have no conflict of interest.

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539 **Supplementary material**
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542 **Fig. 10** Relative abundance of bacterial phyla detected in *S. frugiperda* samples
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