

1 **Variance heterogeneity genome-wide mapping for cadmium in bread wheat reveals novel**
2 **genomic loci and epistatic interactions**

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22 Running title: vGWAS for wheat grain cadmium

23 **Core Ideas:**

24 Variance-heterogeneity mapping for grain Cadmium (Cd) concentration in bread wheat was
25 performed.

26 Novel variance-heterogeneity loci were detected on chromosomes 2A and 2B.

27 Loci influencing both mean and variance were identified on chromosome 5A.

28 Identified variance-heterogeneity loci were associated with epistatic interactions.

29 Homoeology within the vQTL on chromosomes 2A and 2B was found.

30 **Abbreviations**

31 ABC transporter, ATP-binding cassette transporter; Cd, cadmium; DGLM, double generalized
32 linear model; GLM, generalized linear model; GRM, genomic relationship matrix; HGLM,
33 Hierarchical generalized linear model; HWW, hard-red winter wheat; mQTL, mean quantitative
34 trait loci; mvQTL, mean-variance quantitative trait loci; QTL, quantitative trait loci; ROS,
35 reactive oxygen species; SNP, single nucleotide polymorphism; vQTL, variance heterogeneity
36 quantitative trait loci; variance vGWAS heterogeneity genome-wide association studies.

37

38 **Abstract**

39 Genome-wide association mapping identifies quantitative trait loci (QTL) that influence the
40 mean differences between the marker genotypes for a given trait. While most loci influence the
41 mean value of a trait, certain loci, known as variance heterogeneity QTL (vQTL) determine the
42 variability of the trait instead of the mean trait value (mQTL). In the present study, we performed
43 a variance heterogeneity genome-wide association study (vGWAS) for grain cadmium (Cd)
44 concentration in bread wheat. We used double generalized linear model and hierarchical
45 generalized linear model to identify vQTL associated with grain Cd. We identified novel vQTL
46 regions on chromosomes 2A and 2B that contribute to the Cd variation and loci that affect both
47 mean and variance heterogeneity (mvQTL) on chromosome 5A. In addition, our results
48 demonstrated the presence of epistatic interactions between vQTL and mvQTL, which could
49 explain variance heterogeneity. Overall, we provide novel insights into the genetic architecture
50 of grain Cd concentration and report the first application of vGWAS in wheat. Moreover, our
51 findings indicated that epistasis is an important mechanism underlying natural variation for grain
52 Cd concentration.

53 Genome-wide association studies (GWAS) are routinely conducted to study the genetic basis of
54 important traits in crops. GWAS link phenotypic variation with dense genetic marker data using
55 a linear modeling framework (e.g., Nordborg and Weigel, 2008; Ingvarsson and Street, 2011;
56 Huang and Han, 2014; Xiao et al., 2017). Standard GWAS approaches seek to identify marker-
57 trait associations that influence the mean phenotypic values. However, differences in the
58 variance between genotypes are also under genetic control (Shen et al., 2012). As a result,
59 several recent studies have identified loci associated with differences in variance between
60 genotypes (Cao et al., 2014; Corty et al., 2018). Such genetic variants that affect the variance

61 heterogeneity of traits have been referred to as variance heterogeneity quantitative trait loci
62 (vQTL) (Rönnegård and Valdar, 2011). vQTL can be detected by searching the difference in the
63 variability between the groups of genotypes that carry alternative alleles at a particular locus
64 (Forsberg and Carlborg, 2017). A simple example is genotypes of wheat with difference in plant
65 height. One genotype group is homozygous for a certain allele and manifests greater variability
66 (including both shorter and taller plants), while the second genotype group that is homozygous
67 for the alternative allele involves plants that are similar or uniform in height. This contrast in
68 plant height across two allelic groups leads to genetic variance heterogeneity. Note that the mean
69 difference between the two groups does not have to be different for variance heterogeneity to
70 arise (Fig. 1).

71 Variance heterogeneity-based genome-wide association studies (vGWAS) have emerged as a
72 new approach for identifying and mapping vQTL. vQTL contribute to variability, which is
73 undetected through standard statistical mapping (bi-parental or association) procedures
74 (Rönnegård and Valdar, 2011; Shen et al., 2012; Forsberg and Carlborg, 2017). It has been
75 argued that variance heterogeneity between genotypes can be partially explained by epistasis or
76 gene-by-environment interactions (Brown et al., 2014; Forsberg and Carlborg, 2017; Young et
77 al., 2018). Thus, vQTL can provide insights into epistasis or phenotypic plasticity (Nelson et al.,
78 2013; Young et al., 2018). Moreover, these vGWAS frameworks can serve as tractable
79 approaches to reduce the search space when assessing epistasis among markers (Brown et al.,
80 2014; Wei et al., 2016). This is because we can limit the number of interacting marker pairs $\binom{m}{2}$
81 to be investigated into $\binom{k}{2}$, where k is the number of markers ($k < m$) associated with vQTL or
82 mvQTL.

83 Numerous studies have reported vQTL associated with diverse phenotypes, including the
84 tendency to left-right turning and bristles (Mackay and Lyman, 2005) and locomotor handedness
85 (Ayroles et al., 2015) in *Drosophila*; coat color (Nachman et al., 2003), circadian activity, and
86 exploratory behavior (Corty et al., 2018) in mice; thermotolerance (Queitsch et al., 2002),
87 flowering time (Salomé et al., 2011), and molybdenum concentration (Shen et al., 2012;
88 Forsberg et al., 2015) in *Arabidopsis*; litter size in swine (Sell-Kubiak et al., 2015); urinary
89 calcium excretion in rats (Perry et al., 2012); and body mass index (Yang et al., 2012; Young et
90 al., 2018), sero-negative rheumatoid arthritis (Wei et al., 2017), and serum urate (Topless et al.,
91 2015) in humans. In plants, vGWAS have been limited to few species, including *Arabidopsis*
92 (Shen et al., 2012; Forsberg et al., 2015) and maize (Kusmec et al., 2017).

93 Methodologically, vQTL have been detected by performing statistical tests searching for unequal
94 variance for a quantitative trait between the marker genotypes (Rönnegård and Valdar, 2012).
95 The most common statistical tests used to identify vQTL include Levene's test (Paré et al.,
96 2010), Brown-Forsythe test (Brown and Forsythe, 1974), squared residual value linear modeling
97 (Struchalin et al., 2012), and correlation least squares test (Brown et al., 2014). However, these
98 methods have certain drawbacks when applied to genetic data. For example, Levene's and
99 Brown-Forsythe tests are sensitive to deviations from normality of residuals and have an inherent
100 inability to model continuous covariates (Rönnegård and Valdar, 2011; Dumitrascu et al., 2019).

101 Double generalized linear model (DGLM) has emerged as an alternative approach to model the
102 variance heterogeneity for genetic studies (Rönnegård and Valdar, 2011). In DGLM, sample
103 means and residuals are modelled jointly. Here, generalized linear models (GLM) are fit by
104 including only the fixed effects in the linear predictor(s) for the mean and then the squared
105 residuals are used to estimate the dispersion effects. It is important to correct for population

106 structure, which can otherwise lead to spurious associations in GWAS (Patterson et al., 2006). In
107 DGLM, population structure can be corrected by incorporating the first few principal
108 components of a genomic relationship matrix (GRM) (Patterson et al., 2006; Price et al., 2010)
109 as fixed covariates in the model. However, the first few principal components may not be
110 sufficient to account for complex population structure or family relatedness (Hoffman, 2013; Sul
111 et al., 2018). Alternatively, we can fit linear mixed models (LMM) to explicitly correct for
112 population structure, where the whole GRM can be included to account for relationships among
113 individuals and correct for background genotype effects. Hierarchical generalized linear model
114 (HGLM) has been proposed as an extension of the DGLM to model random effects in the mean
115 component (Rönnegård and Valdar, 2012; Tan et al., 2014). In HGLM, the GRM can be used to
116 model correlated random effects and account for population structure.

117 We applied a vGWAS framework to examine the genetic architecture of grain cadmium (Cd)
118 accumulation in wheat. Cd is a heavy metal that is highly toxic to human health (Menke et al.,
119 2009). Identifying genetic variants that control low-grain Cd concentration in wheat is necessary
120 to understand the basis for phenotypic variation in grain Cd and can help accelerate the
121 development of low Cd wheat varieties. A recent study assessed natural variation in bread wheat
122 grain Cd by conducting GWAS (Guttieri et al., 2015a). However, only a fraction of phenotypic
123 variation could be explained by the top marker associations, indicating that grain Cd
124 concentration is a complex trait that is influenced by multiple loci and/or loci with non-additive
125 effects (Guttieri et al., 2015a). Given the genetic complexity of Cd in wheat, we hypothesized
126 that variation in grain Cd concentration in wheat is influenced by vQTL that are likely to be
127 involved in epistatic interactions; this would allow us to capture additional variation that is not
128 accounted for in a standard GWAS approach.

129 In this study, we sought to provide additional insights into natural variation in grain Cd
130 concentration by extending the standard GWAS to vGWAS using a hard winter wheat
131 association mapping panel. To achieve this, we used DGLM and HGLM to perform vGWAS.
132 Previously, Guttieri et al., (2015a) conducted the standard GWAS using this association panel
133 and identified a single mean effect QTL (mQTL) for grain Cd concentration on chromosome 5A.
134 In addition, we aimed to understand the basis of vQTL by searching for pairwise epistatic
135 interactions among vQTL and mQTL. To our knowledge, the present study is the first to conduct
136 vGWAS and identify vQTL associated with grain Cd concentration in wheat.

137

138 MATERIALS AND METHODS

139 Plant Materials and Genotyping

140 We analyzed a publicly available dataset comprising of phenotypes for grain mineral
141 concentration for $n = 299$ genotyped hard-red winter wheat accessions (hereafter called as
142 HWW association panel). The details of the study are discussed in Guttieri et al., (2015a; 2015b),
143 and access to the data is available at <http://triticeaetoolbox.org/wheat/>. The data are also
144 downloadable at <https://github.com/whussain2/vGWAS/tree/master/Data>. Here, we focused on
145 grain Cd concentration (mg/kg) collected across two years (2012 and 2013) in one location
146 (Oklahoma, USA). Briefly, the experiment was laid in an augmented incomplete block design
147 with two replications and 15 blocks within each replication. Least square means adjusted across
148 the replications and blocks in each year were obtained for each genotype. In this study, we
149 averaged the least square means for each genotype across two years because of non-significant
150 genotype x year interaction (Guttieri et al., 2015a). The association panel was genotyped using a
151 90K iSelect Infinium array (Wang et al., 2014b). We used a filtered marker data set consisting of
152 $m = 14,731$ single nucleotide polymorphism (SNP) markers from the 90K iSelect Infinium
153 array as described by Guttieri et al., (2015a). All the SNP markers were physically anchored on
154 the new reference genome of hexaploid wheat RefSeq v1.0 (International Wheat Genome
155 Sequencing Consortium (IWGSC), 2018).

156 Statistical Modeling

157 Genome-Wide Association Mapping

158 Standard GWAS or mQTL analysis based on mean differences between marker genotypes for
159 grain Cd concentration was performed similar to Guttieri et al., (2015a) using the rrBLUP
160 package (Endelman, 2011) in the R environment (R Core Team 2018).

161 **Variance-Heterogeneity Genome-Wide Association Mapping**

162 We used DGLM and HGLM to perform vGWAS and detect vQTL in the current study. The
163 description of models used is given below.

164 **DGLM**

165 DGLM is a parametric approach that can be used to jointly model the mean and dispersion using
166 a GLM framework (Smyth, 1989). The DGLM works iteratively by first fitting a linear model to
167 estimate the mean effects (mQTL). The squared residuals are used to estimate the dispersion
168 effects (vQTL) using GLM with a gamma-distributed response and the log link function. This
169 process is cycled until convergence. Here, we extended the DGLM to marker-based association
170 analysis according to Rönnegård and Valdar (2011). The mean part of DGLM was as follows:

$$y = \mathbf{1}\mu_m + \mathbf{X}\boldsymbol{\beta} + \mathbf{s}_j a_{mj} + \boldsymbol{\epsilon} \quad \#(1)$$

171 where \mathbf{y} is the Cd concentration (mg/kg); $\mathbf{1}$ is the column vector of 1; μ_m is the intercept; \mathbf{X} is
172 $n \times 4$ covariate matrix of the top four principle components (PCs) obtained by performing
173 principal component analysis (PCA) of marker data using the SNPRelate R package (Zheng et
174 al., 2012); $\boldsymbol{\beta}$ is the regression coefficients for the covariates; $\mathbf{s}_j \in (0,2)$ is the vector containing the
175 number of reference allele at the marker j , a_{mj} is the effect size or allele substitution effect of the
176 j th marker; and $\boldsymbol{\epsilon}$ is the residual. We assumed

$$\epsilon_i \sim N(0, \mathbf{I}\sigma_{\epsilon_i}^2)$$

$$\log(\sigma_{\epsilon_i}^2) = \mathbf{1}\mu_v + \mathbf{s}_j a_{vj}$$

177 where \mathbf{I} is the identity matrix; $\sigma_{\epsilon_i}^2$ is the residual variance; and $\mathbf{1}\mu_v$ and a_v are the intercept and
178 marker regression coefficients for the variance part of the model, respectively. While we fit
179 separate effects for the mean using a standard linear model and for the variance using the squared
180 residuals in gamma distributed GLM with a log link function, this is equivalent to modeling
181 $\mathbf{y} \sim N(\mathbf{1}\mu + \mathbf{X}\boldsymbol{\beta} + \mathbf{s}a_{mj}, \exp(\mathbf{1}\mu_v + \mathbf{s}_j a_{vj}))$ or $\boldsymbol{\epsilon} \sim N(0, \exp(\mathbf{1}\mu_v + \mathbf{s}_j a_{vj}))$ in equation (1).

182 The DGLM was fitted using the dglm package ([https://cran.r-](https://cran.r-project.org/web/packages/dglm/index.html)
183 [project.org/web/packages/dglm/index.html](https://cran.r-project.org/web/packages/dglm/index.html)) in R. SNP markers were fitted one by one, and for
184 each marker, the effect sizes, standard errors, and p-values were obtained for the mean and
185 dispersion components. To account for multiple testing, we determined the effective number of
186 independent tests (M_{eff}) using the method described by Li and Ji (2005). Subsequently, a
187 genome-wide significance threshold level ($P < 1.44 \times 10^{-5}$) was determined using the
188 following formula:

$$\alpha_p = 1 - (1 - \alpha_e)^{\frac{1}{M_{\text{eff}}}} \quad (2)$$

189 where α_p is the genome-wide significance threshold level, α_e is the desired level of significance
190 (0.05), and $M_{\text{eff}} = 3,495$.

191 HGLM

192 To explicitly account for population structure and kinship in GWAS, LMM have been proposed
193 as alternative methods that allow the genetic relationships between individuals to be modeled as
194 random effects. To perform vGWAS in the LMM framework and to identify genome-wide
195 vQTL, we used a HGLM approach. HGLM (Lee and Nelder, 1996) is a class of GLM and is a

196 direct extension of the DGLM that allows joint modelling of the mean and dispersion parts and
197 introduces random effects as a linear predictor for the mean (Rönnegård and Carlborg, 2007).
198 The mean part of HGLM was given as follows:

$$\mathbf{y} = \mathbf{1}\mu + \mathbf{s}_j a_{mj} + \mathbf{Z}\mathbf{u} + \boldsymbol{\epsilon} \#(3)$$

199 assuming that

$$\mathbf{u} \sim N(0, \mathbf{G}\sigma_u^2)$$

200 where \mathbf{Z} is the incident matrix of random effects of genotypes; \mathbf{u} is the vector of random effects
201 with $\text{Var}(\mathbf{u}) = \mathbf{G}\sigma_u^2$; \mathbf{G} is the GRM of VanRaden (2008); and σ_u^2 is the additive genetic variance.
202 A log link function was used for the residual variance given by $\exp(\mathbf{s}_j a_{vj})$, which is equivalent
203 to modeling $\mathbf{y}|a_{mj}, \mathbf{u}, a_{vj} \sim N(\mathbf{s}_j a_{mj} + \mathbf{Z}\mathbf{u}, \exp(\mathbf{s}_j a_{vj}))$.

204 We fitted HGLM using the hglm R package (Rönnegård et al., 2010b). We reformulated the term
205 $\mathbf{Z}\mathbf{u}$ as $\mathbf{Z}^*\mathbf{u}^*$, where $\mathbf{u}^* \sim N(0, \mathbf{I}\sigma_u^2)$; $\mathbf{Z}^* = \mathbf{Z}_0\mathbf{L}$; \mathbf{L} is the Cholesky factorization of the \mathbf{G} matrix;
206 and \mathbf{Z}_0 is the identity matrix (Rönnegård et al., 2010a). Markers treated as fixed effects were fit
207 one by one, and for each marker, the effect sizes, standard errors, and p-values were obtained for
208 the mean and dispersion components. The genome-wide significance threshold level was derived
209 as described in the DGLM analysis. Circular Manhattan and quantile-quantile (QQ) plots were
210 created using the CMplot R package (<https://github.com/YinLiLin/R-CMplot>).

211 **Epistasis Analysis**

212 We investigated the extent of epistasis that was manifested through variance heterogeneity. All
213 the possible pairwise interaction analyses for markers that were associated with grain Cd
214 concentration were performed using the following two markers at a time epistatic model:

$$\mathbf{y} = \mathbf{1}\mu + \mathbf{X}\boldsymbol{\beta} + \mathbf{s}_j a_j + \mathbf{s}_k a_k + (\mathbf{s}_j \mathbf{s}_k) v_{jk} + \boldsymbol{\epsilon} \quad \#(4)$$

215 where \mathbf{y} is the vector of Cd concentration (mg/kg); \mathbf{X} is the incident matrix for the first four PCs;
216 $\boldsymbol{\beta}$ is the regression coefficients for the PCs; \mathbf{s}_j and \mathbf{s}_k are SNP codes for the j th and k th markers,
217 respectively; a_j and a_k are the additive effects of the markers j and k , respectively; and v_{jk} is
218 the additive \times additive epistatic effect of the j th and k th markers. We used Bonferroni correction
219 to account for the multiple testing. The threshold of $-\log_{10}(0.05/325) = -\log_{10}(1.54 \times 10^{-4}) =$
220 3.8 was used to declare the significance of interaction effects.

221 **Homoeology and Candidate Gene Analysis**

222 Homoeologous gene construction was performed as per procedure described by
223 (Santantonio et al., 2019). Briefly, the annotated coding sequences within the 2A vQTL
224 were aligned back onto themselves using the IWGSC RefSeq v.1.0 coupled with BLAST tool
225 in Ensemble Plants browser (Bolser et al., 2017). For candidate gene identification for the SNP
226 markers associated with variance heterogeneity, we used Ensembl Plants browser to retrieve the
227 candidate genes and functional annotations
228 (http://plants.ensembl.org/Triticum_aestivum/Info/Index) and the wheat RefSeq v1.0 annotations
229 (International Wheat Genome Sequencing Consortium (IWGSC) et al., 2018) available at
230 <https://wheat-urgi.versailles.inra.fr/Seq-Repository/Annotations>. For candidate gene analysis, we
231 first determined the positions of significant SNP markers, and the interval was defined as the
232 distance between the lowest and highest markers based on the position of SNP. For example, if
233 the position of the lowest SNP and highest SNP was 715,333,165 bp and 717,146,211 bp in the
234 vQTL region on chromosome 2A, we defined 2A as the 715,333,165-717,146,211 interval for
235 candidate gene identification. After defining the interval for the 2A (2A: 715,333,165-

236 717,146,211) and 2B (2B: 691,780,716- 701,097,263 bp) regions, we explored the intervals
237 using Ensembl Plants browser and extracted the Gene IDs within these intervals. The Gene IDs
238 within the defined interval on chromosomes 2A and 2B were analyzed using the IWGSC RefSeq
239 v.1.0 (International Wheat Genome Sequencing Consortium (IWGSC) et al., 2018) integrated
240 genome annotations to obtain the predicted genes and functional annotations.

241

242 **RESULTS**

243 **Variance Heterogeneity GWAS Provide Additional Insights into Natural Variation in** 244 **Grain Cd**

245 Although grain Cd concentration is a highly heritable trait, recent GWAS revealed that
246 significant loci can only explain a fraction of the variation for this trait (Guttieri et al., 2015a).
247 We found the single genomic region on chromosome 5A affecting the grain Cd concentration
248 (Fig. 2) from the standard GWAS analysis confirming the results of Guttieri et al., (2015). The
249 DGLM and HGLM approaches were used to detect vQTL while controlling for population
250 structure. The population structure based on PCA of the HWW association panel is given in
251 Supplemental File S1: Figure S1. QQ plots (Supplemental File S1: Figure S2) show that both
252 DGLM and HGLM had adequate control of population structure and effective control of false
253 positives.

254 We classified the QTL into the following categories: mQTL, which contributes to difference in
255 the means between marker genotypes; vQTL, which influences the variability between the
256 genotypes; and mean-variance QTL (mvQTL), which contributes to differences in both the mean
257 and variance between the genotypes.

258 Based on the DGLM, we identified two vQTL associated with the variance heterogeneity of Cd
259 concentration. One vQTL on 2A contained four SNP markers, and one vQTL on 2B contained
260 17 SNP markers (Fig. 2 and Supplemental File S1: Table S1). The four SNP markers associated
261 with the vQTL region on the chromosome 2A region spanned the physical distance of 1.81 Mb;
262 all SNP markers were located within the 1,000 bp linkage disequilibrium (LD) block
263 (Supplemental File S1: Figure S3). The vQTL region on 2B associated with 17 SNP markers

264 spanned the physical distance of 9.32 Mb, and the SNP markers were located within four LD
265 blocks of sizes 0, 1, 1, and 204 kb (Supplemental File S1: Figure S4).

266 In addition, we identified a single mvQTL (containing four SNP markers) associated with both
267 mean and variance heterogeneity on chromosome 5A (Fig. 2 and Table S2). The markers
268 associated with mvQTL on chromosome 5A were identical to those obtained in the original
269 GWAS analysis according to Guttieri et al., (2015), indicating that this region affects both the
270 mean and the variance heterogeneity (Supplemental File S1: Figure S5). Moreover, these results
271 showed that DGLM serves as an accurate framework to jointly detect mean and variance QTL
272 and provides additional insights into phenotypic variation that would otherwise not be captured
273 by standard GWAS.

274 The HGLM analysis revealed the same results as those obtained using DGLM and showed
275 identical vQTL on chromosomes 2A and 2B and mvQTL on chromosome 5A associated with
276 variance heterogeneity of Cd concentration (Fig. 2 and Supplemental File S1: Table S1). Further,
277 we observed a potential vQTL region on 2D from the DGLM and HGLM analyses. This region
278 was slightly below the significance threshold level but may have an implication on Cd variation
279 given that the allopolyploid nature of wheat and the role of homoeologous gene sets on
280 phenotypic variation (Borrill et al., 2019).

281 **Variance Heterogeneity Loci can be Partially Explained by Epistasis**

282 We investigated all significant markers (25 markers) associated with mvQTL on chromosome
283 5A and vQTL on chromosomes 2A and 2B and explored all possible pairwise additive \times additive
284 epistatic interactions. We detected significant additive \times additive interactions between the
285 markers (Fig. 3). The interaction was more evident between mvQTL on chromosome 5A and

286 vQTL on chromosomes 2A and 2B. Specifically, all the markers associated with the 5A mvQTL
287 region revealed highly significant interactions with all the markers associated with the 2A and
288 2B vQTL regions. Interactions between vQTL on chromosomes 2A and 2B were also observed;
289 however, the interactions were less evident, and only a few markers within these regions showed
290 statistically significant interactions. Taken together, these results suggested that the vQTL and
291 mvQTL may be manifested because of pairwise epistatic interactions.

292 **Homoeology and Candidate Genes**

293 Homoeology analysis between the defined regions on chromosomes 2A and 2B resulted in 22
294 homoeologous gene sets, consisting of 21 triplicates and only one duplicate gene set. Additional
295 details on the homoeologous gene sets can be found in Supplemental File S2. As compared to
296 the total number of candidate genes equal to 39 within the 1.18 Mb 2A region, 22 (58%) were
297 homoeologous across the three genomes. Based on the annotations for the 22 homoeologous
298 gene sets, a few of the genes encoded homeobox-leucine zipper family protein, plant peroxidase,
299 and glycosyltransferase, which have been associated with the genetic regulation of minerals in
300 plants (Whitt et al., 2018). For example, homeodomain-leucine zipper family protein has been
301 functionally associated with Cd tolerance by regulating the expression of metal transporters
302 *OsHMA2* and *OsHMA3* in rice (Ding et al., 2018; Yu et al., 2019). These genes have been found
303 to play important roles in loading Cd onto the xylem and root-to-shoot translocation of Cd in
304 rice. In plants, response to heavy metals involves the accumulation of reactive oxygen species
305 (ROS) that damage DNA and cellular machinery (Kumari et al., 2008; Rascio and Navari-Izzo,
306 2011). In *Arabidopsis*, the peroxidase genes *At2g35380*, *PER20*, and *At2g18150* have been found
307 to be associated with Cd responses by affecting the lignin biosynthesis in root cells under high
308 Cd stress (Chen and Kao, 1995; van de Mortel et al., 2008). Full list of candidate genes within

309 the 2A and 2B region, and within the homoeologous gene sets is in Supplemental File S2. These
310 results clearly indicate that most of the genes with vQTL regions are redundant across the
311 genomes and may have significant role in the genetic regulation of grain Cd concentration in
312 wheat. However, we contend that further investigation of these regions using dense markers and
313 increased sample size is necessary to fine-map the QTL and validate potential candidate genes
314 underlying these loci and also the role of gene redundancy in generating phenotypic variation.

315

316 **DISCUSSION**

317 In the present study, we explored the genetic variants affecting variance heterogeneity of Cd.
318 Given the complexity of genetic regulation of Cd in wheat (Guttieri et al., 2015a) and the
319 influence of epistatic interactions, we anticipated that partial genetic regulation of Cd in wheat
320 can be detected using methods that have been developed to identify vQTL. As reported by
321 Rönnegård and Valdar, (2012), a potential explanation for variance-controlling QTL is epistatic
322 interactions that are unspecified in the model. Herein, we utilized two approaches, namely,
323 DGLM and HGLM, to detect vQTL and mvQTL associated with grain Cd concentration in
324 wheat.

325 The DGLM framework is a powerful approach for vGWAS analysis. However, in DGLM, GLM
326 is fit by including only the fixed effects in the linear predictor of mean and dispersion. Therefore,
327 by using the DGLM approach, population structure can only be accounted for by using the first
328 few PCs obtained from the SNP matrix; however, this may not completely account for complex
329 population structure and family relationships (Price et al., 2010). We hypothesized that the use of
330 random effects to model the mean component can better account for population structure and
331 reduce spurious associations. In this approach, a random additive genetic effect is introduced to
332 the mean component of the model that accounts for population structure and cryptic relatedness
333 between accessions. Therefore, we performed vGWAS analysis using HGLM. Interestingly, both
334 DGLM and HGLM approaches were effective in identifying the genetic variants controlling
335 variability of Cd, suggesting that the loci detected with the DGLM approach are likely to be true
336 QTL rather than artifacts from population structure. The impact of population structure on the
337 power of DGLM and HGLM remains to be explored; further examination is warranted.

338 In the literature, it has been argued that variance heterogeneity can also arise by a simple mean
339 variance relationship, which does not have biological significance (Young et al., 2018). To rule
340 out the role of the mean-variance function in generating variance heterogeneity, we plotted the
341 estimated effects of the top three significant associated vQTL markers at the alternate genotypes
342 and observed that the means of all the markers were the same (Fig. 4), indicating that the effect
343 of SNP on variance heterogeneity was not due to the consequences of mean-variance function
344 but likely due to the genetic effects (Yang et al., 2012).

345 Further, variance heterogeneity can also be observed in a population when two or more alleles
346 having different effects on the phenotype are in high LD (Cao et al., 2014; Wang et al., 2014a;
347 Forsberg and Carlborg, 2017). To rule out the possibility of LD as a source for variance
348 heterogeneity in grain Cd in this population, we suggest the use of high-density markers and
349 larger sample size to identify the actual functional alleles associated with Cd, their LD patterns,
350 and their effects on the Cd phenotype (Struchalin et al., 2010; Forsberg and Carlborg, 2017).

351 In QTL studies, variance heterogeneity arises because of various underlying mechanisms, such
352 as epistatic interactions (Struchalin et al., 2010; Shen et al., 2012; Nelson et al., 2013). Epistasis
353 gives rise to variance heterogeneity when the different allele combinations at one locus change
354 the effect of the other loci in the genome, as shown in one pair of interacting markers (Fig. 5).
355 Hence, identifying the loci affecting variance heterogeneity through vGWAS means that the loci
356 are likely to be involved in epistatic interactions. To validate this assumption and investigate
357 whether epistasis can explain the identified vQTL and mvQTL in this study, we analyzed all
358 possible pairwise interactions between the associated markers. We detected significant epistatic
359 interactions between the associated markers (Fig. 2), which can explain the existence of variance
360 heterogeneity in the genotypes. Additionally, identifying vQTL through vGWAS serves as an

361 effective way to restrict the search space when detecting epistatic QTL. Thus, with the vGWAS
362 approach, many of the requirements necessary for conventional epistasis mapping can be
363 avoided (e.g., large sample size and extensive multiple testing corrections that reduce power).
364 However, Forsberg and Carlborg (2017) empirically showed that the presence of variance
365 heterogeneity does not always guarantee the presence of epistatic interactions that contribute to
366 the total variation of the trait; therefore, the results should be interpreted carefully when multi-
367 locus interactions are involved.

368 The genomic regions on chromosomes 2A and 2B associated with variance heterogeneity
369 revealed homoeologous gene sets with 58% genes revealing the gene redundancy mostly present
370 as three functional homoeologous copies (triplicated). This also indicates that genetic complexity
371 of Cd phenotype is not only controlled by multiple genes but may be affected by the multiple
372 homoeologs of the individual genes which warrants further investigation. Presence of multiple
373 copies of homoeologous genes may have consequence on phenotypic variation due to dosage
374 effects and or functional redundancy (Borrill et al., 2019). Dosage effect, in which the
375 phenotypic variation is amplified by the addition of each gene copies can act additively (e.g.,
376 genes controlling grain protein content (Avni et al., 2014) and grain size (Wang et al., 2018)) or
377 non-additively (e.g., genes controlling amylopectin content in wheat (Kim et al., 2003)). Non-
378 additive variation between homoeologous gene has been shown to be an important source of
379 variation in wheat. However, its relative contribution across the wheat genome as compared to
380 non-syntenic regions was proportionately less (Santantonio et al., 2019). This is in agreement
381 with our results because we observed interactions among the homoeologous genomic regions on
382 chromosomes 2A and 2B. However, this homoeologous gene interactions was less evident as
383 compared to two-way interactions found between non-syntenic vQTL regions on 2A and 2B with

384 the mvQTL region on 5A. The nature and functional role of homoeologous gene sets within the
385 vQTL region on 2A and 2B is not clear. However, it is increasingly feasible in wheat to examine
386 the effects of gene redundancy and explore the contribution of homoeologous genes in
387 generating phenotypic variation (Wang et al., 2018) .

388 **Conclusion**

389 We showed the potential of vGWAS for dissecting the genetic architecture of complex traits and
390 identifying novel genomic regions influencing variance heterogeneity in wheat. We provided
391 evidence that the vQTL contribute to natural variation in grain Cd concentration through non-
392 additive genetic effects. This is particularly evidenced by epistatic interactions between mvQTL
393 on chromosome 5A and vQTL on chromosomes 2A and 2B.

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400 **Supplemental Materials**

401 Supplemental File S1 contains Table S1 and Figures S1-S5.

402 Table S1: Single nucleotide polymorphism markers associated with variance heterogeneity of
403 cadmium concentration in the hard-red winter wheat association panel.

404 Table S2: Single nucleotide polymorphism markers associated with the mean of cadmium
405 concentration in the hard-red winter wheat association panel.

406 Figure S1: Principal component analysis of the population structure in the hard-red winter wheat
407 association panel. The different colors represent the sub-populations of red wheat and winter
408 wheat.

409 Figure S2: Quantile-quantile (QQ) plot of the outputs for the double generalized linear model
410 and the hierarchical generalized linear model shown in the Manhattan plot.

411 Figure S3: Linkage disequilibrium block and annotated genes on chromosome 2A.

412 Figure S4: Linkage disequilibrium blocks and annotated genes on chromosome 2B.

413 Figure S5: Violin plot showing the differences in the mean and variance of Cadmium
414 concentration with alternative marker allele groups.

415 Supplemental File S2: A list of candidate genes and homoeologous gene sets associated with the
416 vQTL on chromosomes 2A and 2B.

417 **Data Availability**

418 The wheat phenotypic and genotypic data can be downloaded from
419 (<http://triticeaetoolbox.org/wheat/>) and also available on the GitHub repository

420 <https://github.com/whussain2/vGWAS>. The R code used for the analysis is available on the
421 GitHub repository <https://github.com/whussain2/vGWAS>.

422 **Conflict of interest**

423 The authors declare there are no competing interests.

424 **Author's Contributions**

425 W.H. and G.M. conceived the study. W.H. performed the data analysis and drafted the
426 manuscript. D.J. helped the data analysis. M.C., D.J., H.W., and G.M. revised the manuscript.

427 G.M. supervised and directed the study. All authors read and approved the manuscript.

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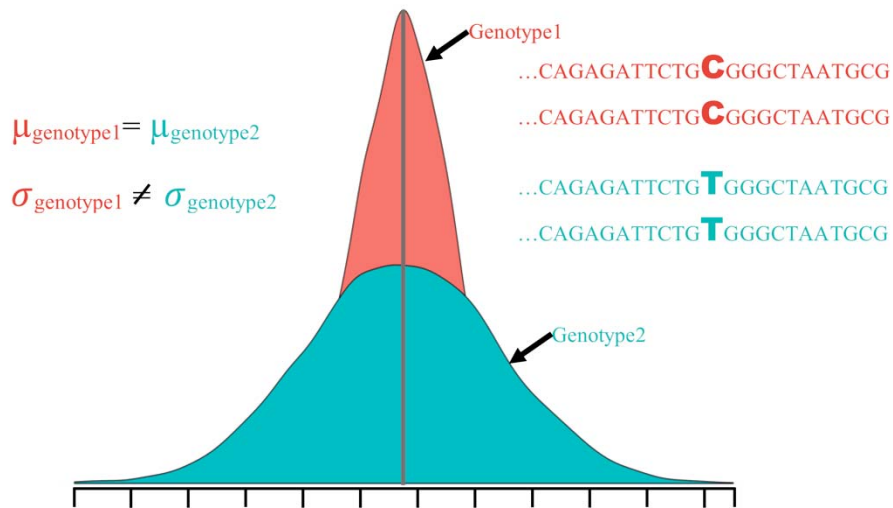
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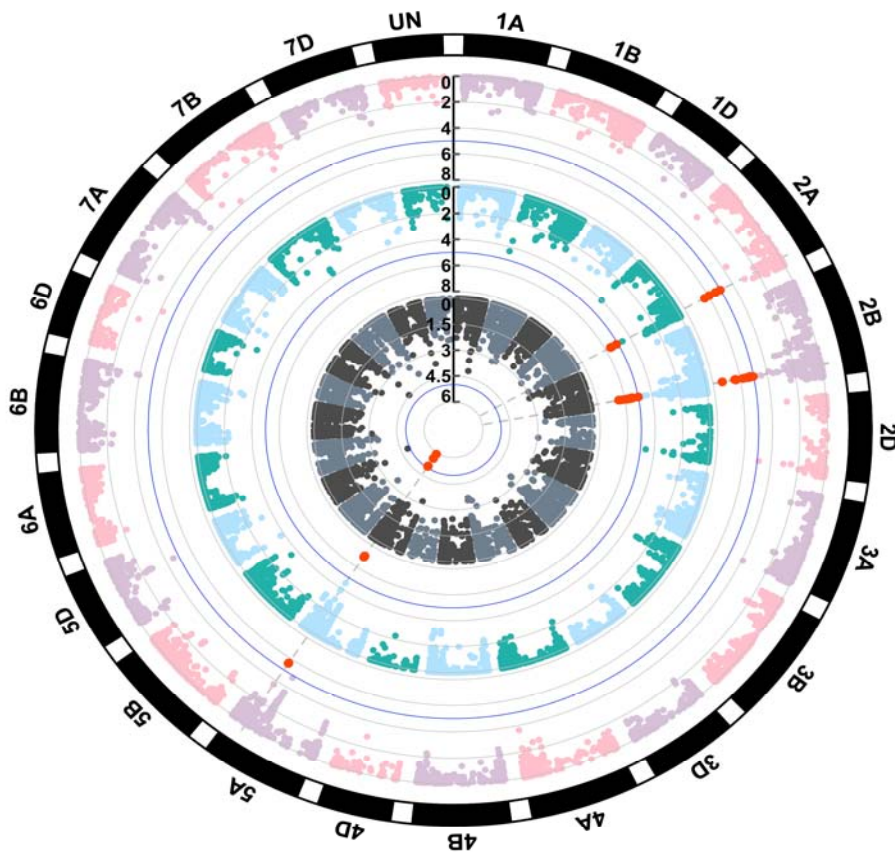
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- 731

732 **Figures**



734 Figure 1. Illustration of variance heterogeneity of two genotype groups at a biallelic locus
735 affecting the variance not the mean. Genotypes with CC allelic combination present narrow
736 variance, whereas genotypes with TT allelic combination show greater variability. The mean
737 difference between two genotype groups is the same as shown by the solid vertical gray line.

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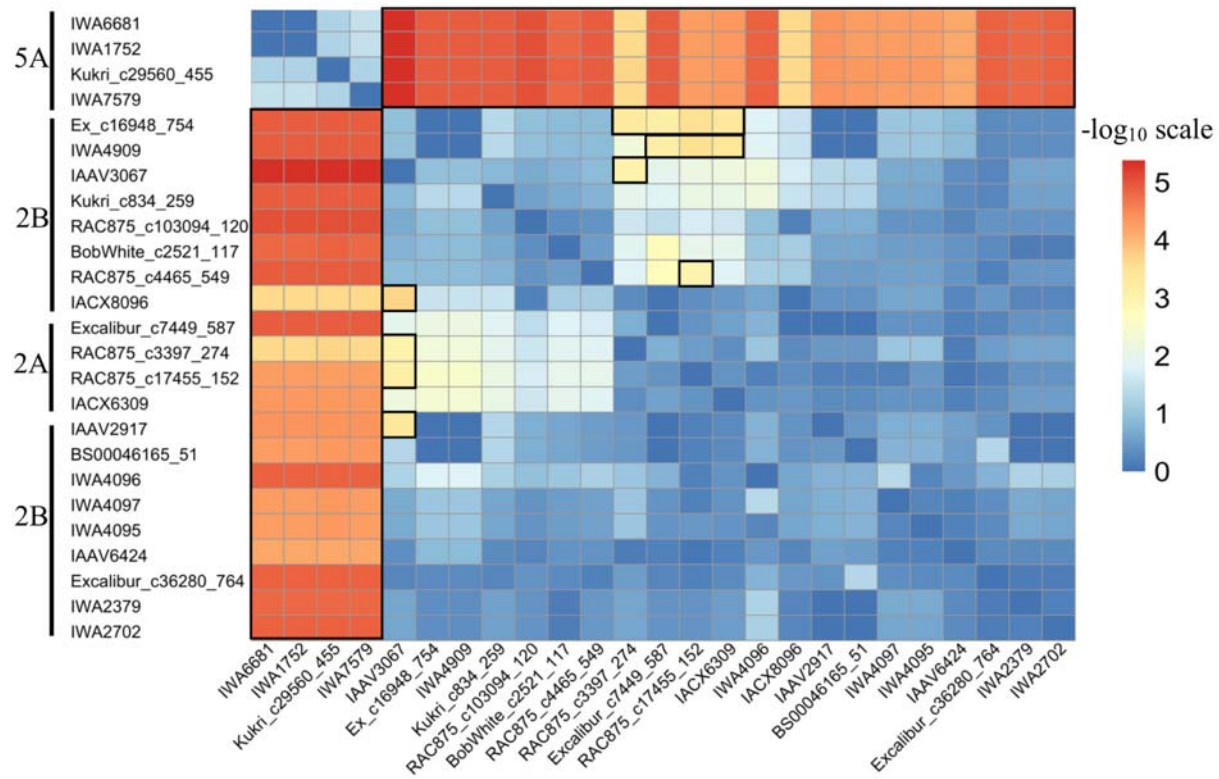


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740 Figure 2: Circular Manhattan plot of standard genome-wide association studies (GWAS) based
741 on mean differences (inner), and variance GWAS using double generalized linear model
742 (middle) and hierarchical generalized linear model (outer) for grain cadmium concentration in
743 the hard-red winter wheat association panel. The red dots represent the significant markers
744 associated with either mean or variance heterogeneity quantitative trait loci. The blue line in each
745 circular plot shows the cutoff for the statistical significance. The P-values in $-\log_{10}$ scale are
746 given in black vertical line.

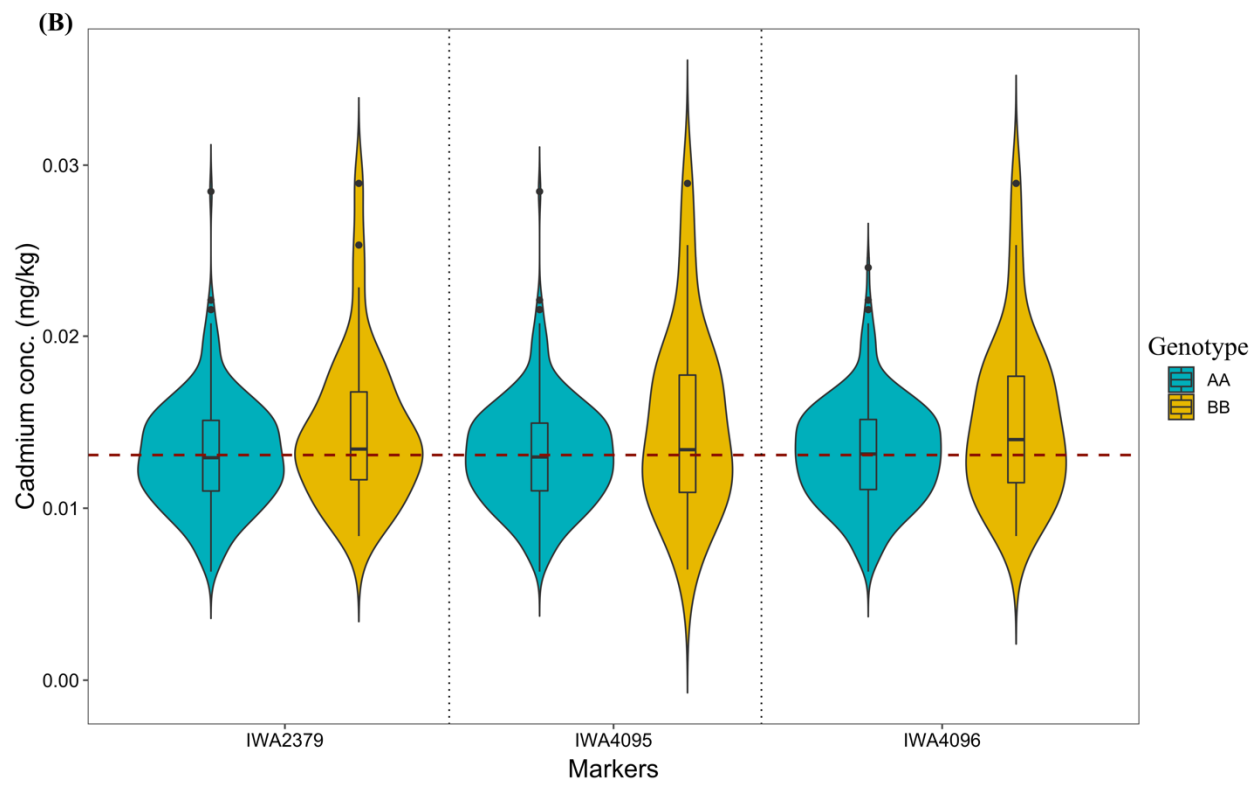
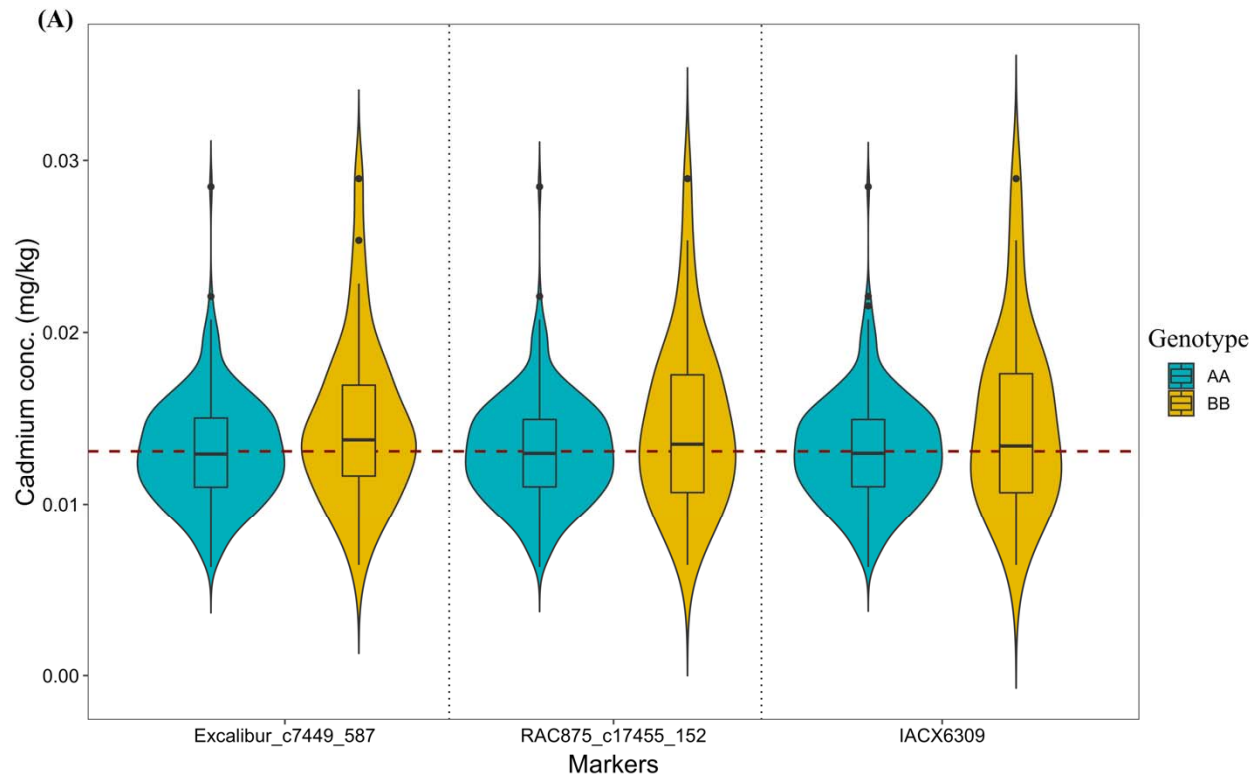
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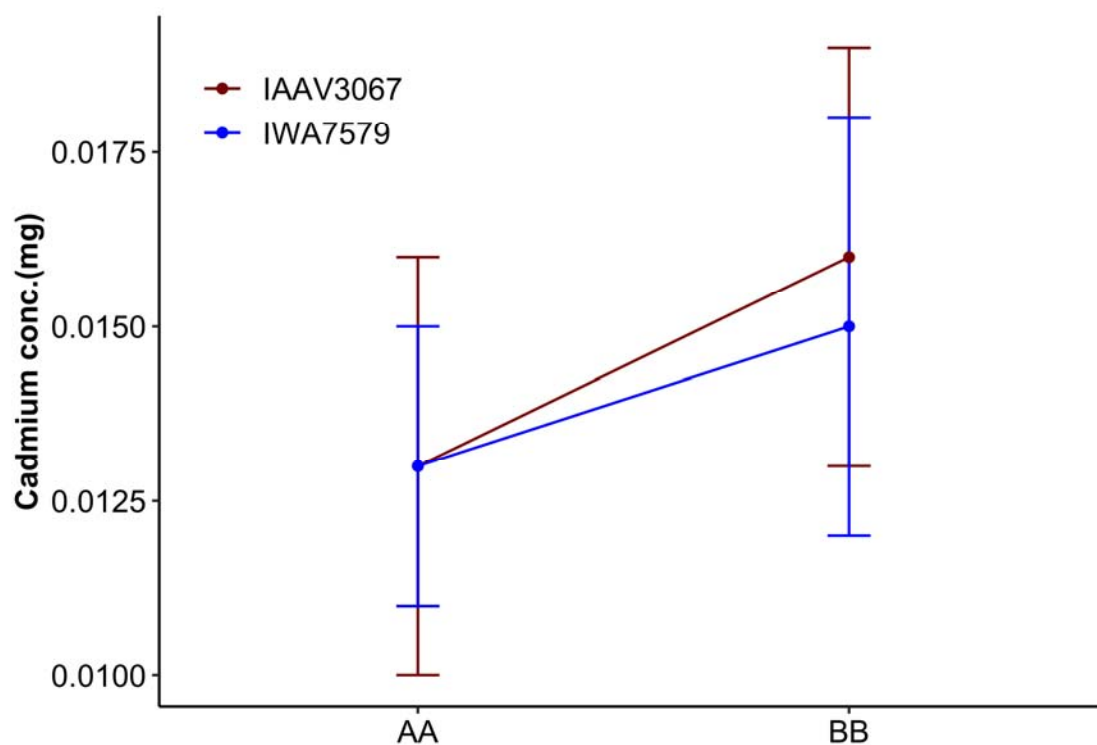
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750 Figure 3: Heat map showing all possible pairwise epistatic interactions between the markers
751 associated with vQTL on chromosomes 2A and 2B or mvQTL on chromosome 5A.
752 Chromosome information of each marker is given on the left side. The heat map is sorted and
753 color coded based on $-\log_{10}$ (p-value) scale with the legend given on right side. Interactions that
754 are significant ($-\log_{10} > 3.8$) are color coded as red or orange in color and outlined in black box.



755

756 Figure 4: Violin plot showing the differences in the mean and variance of grain cadmium
757 concentration with alternative marker genotype groups coded as AA and BB for the top three
758 significant markers associated with vQTL on (A) chromosome 2A and (B) chromosome 2B. The
759 mean of marker genotypes AA and BB are connected by red dotted line.



760
761 Figure 5: Epistatic interaction plot between marker pair IAAV3067 (shown in dark-red color)
762 and IWA7579 (shown in blue color) on chromosomes 5A (mvQTL) and 2B (vQTL). The y-axis
763 shows the phenotypic value of cadmium concentration (mg). AA and BB represent the alternate
764 genotypes at the particular marker. Plotted points indicate two-locus genotype means \pm standard
765 deviations for the two loci represented by error bars. Large difference in the mean value of
766 cadmium concentrations at BB genotype compared to no difference in the mean value of

767 cadmium concentrations at AA genotype indicates the presence of interaction between the two
768 markers.