DREAM Interrupted: Severing LIN-35-MuvB association in *Caenorhabditis elegans*

impairs DREAM function but not its chromatin localization

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Interrupting DREAM complex formation

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Abstract

The mammalian pocket protein family, which includes the Retinoblastoma protein (pRb) and Rb-like pocket proteins p107 and p130, regulates entry into and exit from the cell cycle by repressing cell cycle gene expression. Although pRb plays a dominant role in mammalian systems, p107 and p130 are the ancestral pocket proteins. The Rb-like pocket proteins interact with the highly conserved 5-subunit MuvB complex and an E2F-DP transcription factor heterodimer, forming the DREAM (for Dp, Rb-like, E2F, and MuvB) complex. DREAM complex assembly on chromatin culminates in repression of target genes mediated by the MuvB subcomplex. Here, we examined how the Rb-like pocket protein contributes to DREAM formation and function by disrupting the interaction between the sole *Caenorhabditis elegans* pocket protein LIN-35 and the MuvB subunit LIN-52 using CRISPR/Cas9 targeted mutagenesis. A triple alanine substitution of LIN-52's LxCxE motif severed LIN-35-MuvB association and caused classical DREAM mutant phenotypes, including synthetic multiple vulvae, high-temperature arrest, and ectopic expression of germline genes in the soma. However, RNA-seq revealed limited upregulation of DREAM target genes when LIN-35-MuvB association was severed, as compared to gene upregulation following LIN-35 loss. Based on chromatin immunoprecipitation, disrupting LIN-35-MuvB association did not affect the chromatin localization of E2F-DP, LIN-35, or MuvB components. In a previous study we showed that in worms lacking LIN-35, E2F-DP and MuvB chromatin occupancy was reduced genome-wide. With LIN-35 present but unable to associate with MuvB, our present study suggests that the E2F-DP-LIN-35 interaction promotes E2F-DP's chromatin localization, which we hypothesize supports MuvB chromatin

occupancy indirectly through DNA. Altogether, this study highlights how the pocket

protein's association with MuvB supports DREAM function but is not required for

DREAM's chromatin occupancy.

Introduction

Members of the mammalian Retinoblastoma (Rb) protein family, pRb, p107, and

p130, collectively called pocket proteins, serve key roles in regulating transcription

during the cell cycle (CLASSON AND DYSON 2001; CLASSON AND HARLOW 2002; COBRINIK

2005; BURKHART AND SAGE 2008; DICK AND RUBIN 2013). In mammalian cells, pRb

interacts with activating E2F-DP transcription factor heterodimers (in mammals,

E2F1/2/3-DP1/2), sequestering E2F-DP and preventing E2F-DP-mediated activation of

early cell cycle genes (HELIN *et al.* 1992; LEES *et al.* 1993; LIBAN *et al.* 2016). In contrast,

the Rb-like proteins p107 and p130 interact with repressive E2F-DPs (in mammals,

E2F4/5-DP1/2) and a highly conserved 5-subunit MuvB subcomplex (in mammals,

LIN9, LIN37, LIN52, LIN54, and RBAP48), forming the 8-subunit DREAM transcriptional

repressor complex (KORENJAK *et al.* 2004; LEWIS *et al.* 2004; HARRISON *et al.* 2006;

LITOVCHICK *et al.* 2007; SCHMIT *et al.* 2007). When associated with the DREAM complex,

MuvB mediates transcriptional repression of early and late cell cycle genes (LITOVCHICK

et al. 2007; GOETSCH *et al.* 2017; MULLER *et al.* 2017). The transcriptional functions of

the DREAM complex and pRb overlap, with each being sufficient to establish and

maintain cellular quiescence (G_0) if the other is inactive (HURFORD *et al.* 1997;

LITOVCHICK *et al.* 2007; MULLER *et al.* 2017). Upon progression into the cell cycle, pRb

and the Rb-like pocket proteins are phosphorylated by CDK4/6-cyclin D, releasing their

respective interaction partners and triggering activation of cell cycle genes (TEDESCO *et*

al. 2002; PILKINTON *et al.* 2007; BURKE *et al.* 2010). Thus, the association and

dissociation of pocket proteins from their respective transcriptional complexes governs

the switch between cell cycle quiescence and cell cycle progression.

The Rb-like homologs p130 and p107 are the ancestral pocket proteins and likely

the conserved components that mediate cell cycle control among eukaryotes (CAO *et al.*

2010; LIBAN *et al.* 2017). In *C. elegans*, LIN-35 is the sole pocket protein, most closely

resembling p130/p107 (LU AND HORVITZ 1998). The pocket protein-associated complex

MuvB was isolated in *Drosophila melanogaster* (KORENJAK *et al.* 2004; LEWIS *et al.*

2004) and *Caenorhabditis elegans* (HARRISON *et al.* 2006) before homologs were

identified in mammals (LITOVCHICK *et al.* 2007; PILKINTON *et al.* 2007; SCHMIT *et al.*

2007). The *C. elegans* complex, variably called DRM and DREAM, regulates cell cycle

genes and requires MuvB to mediate gene repression (BOXEM AND VAN DEN HEUVEL

2002; GOETSCH *et al.* 2017). *C. elegans* DREAM also regulates cell-fate specification by

antagonizing Ras signaling during vulval development (MYERS AND GREENWALD 2005;

CUI *et al.* 2006; HARRISON *et al.* 2006) and by protecting somatic cells from expressing

germline genes (WANG *et al.* 2005; PETRELLA *et al.* 2011).

Extensive biochemical analyses have demonstrated how the DREAM complex

assembles on chromatin (Figure 1A) (LITOVCHICK *et al.* 2007; PILKINTON *et al.* 2007;

SCHMIT *et al.* 2007; GUILEY *et al.* 2015; ASTHANA *et al.* 2022). E2F-DP and LIN54, a

MuvB component, direct site-specific chromatin localization (ZWICKER *et al.* 1995;

SCHMIT *et al.* 2009; MULLER AND ENGELAND 2010; MULLER *et al.* 2012; MARCEAU *et al.*

2016). The Rb-like pocket protein serves as a bridge between the 2 DNA-binding

DREAM components (GUILEY *et al.* 2015). LIN52 interacts with the pocket protein via an

"LxCxE motif" in LIN52. In mammals, the LxCxE motif is instead a suboptimal LxSxExL

sequence that is rendered optimal by phosphorylation of a nearby serine residue (S28)

(GUILEY *et al.* 2015) (Figure 1B). S28 phosphorylation by DYRK1A kinase induces

formation of mammalian DREAM (LITOVCHICK *et al.* 2011). In *C. elegans*, the conserved

lin-52 gene encodes the optimal LxCxE sequence (Figure 1B). *C. elegans* lacks a

DYRK1A homolog and its corresponding consensus motif RxSP in LIN-52 (Figure 1B),

suggesting that in *C. elegans* a phospho-switch does not induce DREAM formation

(LITOVCHICK *et al.* 2011; GUILEY *et al.* 2015). Importantly, the LxCxE binding motif

mediates a high-affinity interaction that is employed by the human papillomavirus (HPV)

viral oncoprotein E7 to prevent association of LIN52 with the mammalian pocket protein

p130 (GUILEY *et al.* 2015).

Here, we assessed how the Rb-like pocket protein contributes to DREAM

complex formation and function on chromatin. We previously reported that the absence

of LIN-35 results in a genome-wide decrease in chromatin occupancy of both E2F-DP

and MuvB, illustrating how DRM/DREAM disassembly likely proceeds during cell cycle

progression (GOETSCH *et al.* 2017). The model of DREAM complex assembly centers on

reintroduction of the pocket protein associations with E2F-DP and MuvB as cells finish

the cell cycle (GUILEY *et al.* 2015). To test this model, we used CRISPR/Cas9-mediated

genome editing of the *C. elegans* LIN-52 subunit of MuvB to sever the association of

LIN-35 with the MuvB subcomplex. Disrupting that association caused phenotypes

associated with impairment of DREAM function, including a highly penetrant synthetic

multivulval (SynMuv) phenotype (FAY AND YOCHEM 2007), high-temperature larval arrest

(PETRELLA *et al.* 2011), and ectopic expression of germline genes in the soma (WANG *et*

al. 2005; PETRELLA *et al.* 2011). However, our genome-wide transcript analysis revealed

that severing the association of LIN-35 and LIN-52 led to upregulation of a relatively

small set of DREAM target genes, which displayed a lower magnitude of upregulation

than caused by loss of LIN-35. Moreover, chromatin immunoprecipitation (ChIP)

revealed that the chromatin association of E2F-DP-LIN-35 and MuvB was not impaired

by loss of LIN-35-MuvB association, even in gene promoters of upregulated DREAM

target genes. Altogether, our results indicate that loss of the direct association between

LIN-35 and MuvB causes limited upregulation of DREAM target genes, likely due to

DREAM chromatin occupancy being relatively normal, but even those minimal effects

lead to phenotypes consistent with impaired DREAM function in *C. elegans*.

Materials and Methods

Worm strains

Strains were cultured on Nematode Growth Medium (NGM) agarose plates with *E. coli*

OP50 and incubated at 20°C. The following strains were used: Wild type N2 (Bristol),

SS1240 *lin-52(bn132(lin-52p::TagRFP-T^SEC^3xFLAG::lin-52 3' UTR)) III / hT2G [bli-*

4(e937) let-?(q782) qIs48] (I:III), SS1241 *lin-52(bn133(lin-52p::TagRFP-T::3xFLAG::lin-*

52 3' UTR)) III / hT2G [bli-4(e937) let-?(q782) qIs48] (I:III), SS1325 *lin-52(bn138(lin-*

52::GFP^SEC^3xFLAG)) III, SS1256 *lin-52(bn139(lin-52::GFP::3xFLAG)) III*, SS1273

lin-52(bn150(lin-52[C44A]::GFP::3xFLAG)) III, SS1276 *lin-52(bn151(lin-*

52[L42A,C44A,E46A]::GFP ::3xFLAG)) III, SS1350 *lin-52(bn139) III; lin-15A(n767) X,*

SS1351 *lin-52(bn150) III; lin-15A(n767) X*, SS1352 *lin-52(bn151) III; lin-15A(n767) X,*

SS1406 *lin-8(n2731) II; lin-52(bn139) III*, SS1407 *lin-8(n2731) II; lin-52(bn150) III,*

SS1408 *lin-8(n2731) II; lin-52(bn151) III*, PDG29 *lin-52(bn139) III; pgl-1(sam52[pgl-*

1::mTagRFPT::3xFLAG]) IV, PDG30 *lin-52(bn150) III; pgl-1(sam52) IV*, and PDG31 *lin-*

52(bn151) III; *pgl-1(sam52) IV*.

CRISPR/Cas9-mediated genome editing

For all genomic edits, 20 nucleotide crDNA targeting sequences were identified

using the MIT CRISPR design tool (http://crispr.mit.edu). Single guide RNA sequences

were cloned into the PU6::unc119_sgRNA vector (Addgene plasmid #46169) using the

overlapping PCR fragment method described in (FRIEDLAND *et al.* 2013) or were cloned

into pDD162 (Addgene plasmid #47549) using the Q5 Site Directed Mutagenesis Kit

(New England Biolabs), as described in (DICKINSON *et al.* 2013). Homologous repair

templates were cloned into pDD282 (Addgene plasmid #66823) or pDD284 (Addgene

plasmid #66825) using Glibson Assembly (New England Biolabs) (GIBSON *et al.* 2009),

as described in (DICKINSON *et al.* 2015). CRISPR/Cas9 component plasmids were co-

injected with marker plasmids (FROKJAER-JENSEN *et al.* 2008) to identify strains with an

extra-chromosomal array instead of a mutated endogenous gene. For targeted

mutagenesis, *dpy-10(cn64)* sgRNA (pJA58, Addgene plasmid #59933), and *dpy-*

10(cn64) ssDNA template, *dpy-10(cn64)* guide and ssDNA template were co-injected to

select for positive CRISPR activity in injectant progeny, as described in (ARRIBERE *et al.*

2014). Additional details are provided in Supplemental Materials and Methods.

Microscopy

181   L1 and L4 larvae were mounted on a 10% agarose pad and immobilized in a 1-2

182   µL suspension of 0.1 µm polystyrene beads (Polysciences), as described in (KIM *et al.*

183   2013). Fluorescence images of L1 larvae were acquired using a Leica DM4B upright

184   microscope with QImaging QIClick camera. Fluorescence images of L4 larvae were

acquired using a Solamere spinning-disk confocal system with µManager software

(EDELSTEIN *et al.* 2014). The confocal microscope setup was as follows: Yokogawa

CSUX-1 spinning disk scanner, Nikon TE2000-E inverted stand, Hamamatsu ImageEM

X2 camera, solid state 405-, 488-, and 561-nm laser lines, 435–485, 500–550, and

573–613 fluorescent filters, and Nikon Plan Fluor 40x air objective. Images were

processed using Image J (SCHNEIDER *et al.* 2012).

C. elegans phenotype scoring

For brood size analyses, L4 individuals were cloned to fresh plates every 24

hours and all progeny were counted. For SynMuv phenotype scoring, 3 replicate plates

per strain were set up with 5-10 adults that were allowed to lay eggs for 6 hours.

Progeny were incubated at 20°C for 3 days, then scored for the presence or absence of

pseudovulvae. The percentages of multivulva worms in each replicate population were

averaged, and the standard deviation was calculated. For HTA phenotype scoring, L4

larvae of each strain were incubated at 24°C or 26°C overnight, then 3 plates per strain

were set up with 9-12 adults that were allowed to lay eggs for 4-5 hours. Progeny

incubated at 24°C or 26°C were counted 2 days later and scored as arrested larvae

(HTA) or adults (not HTA). Results from each plate were combined for each strain.

Immunoblotting and co-immunoprecipitation (co-IP)

For immunoblotting whole worm lysates, 200 adults from each strain were picked

into SDS gel-loading buffer (50 mM pH 6.8 Tris-Cl, 2% sodium dodecyl sulfate, 0.1%

bromophenol blue, 100 mM β-mercaptoethanol). For co-IP, embryos collected after

bleaching gravid worms were aged for 3.5 hours and then frozen in liquid nitrogen, and

lysates were prepared as described in (GOETSCH *et al.* 2017). For each IP, 8 mg of

protein lysate was mixed with antibody-conjugated Dynabeads (ThermoFisher) and

incubated for 2 hours at 4°C. Proteins were separated by SDS/PAGE, and western blot

analysis was performed using a 1:1,000-1:5000 dilution of primary antibody and 1:2,000

dilution of an appropriate HRP-conjugated secondary antibody. Serial western blot

analysis was performed by stripping the blot with buffer containing 0.2M pH 2.2 glycine,

0.1% SDS, and 1% Tween-20 between antibody probings. Additional details are

provided in Supplemental Materials and Methods.

Chromatin immunoprecipitation (ChIP) and sequential ChIP

Embryos collected after bleaching gravid worms were aged for 3.5 hours and

then frozen in liquid nitrogen. Lysates were prepared by grinding, crosslinking for 10

minutes in 1% formaldehyde, and sonicating to an average size of 250 base pairs in FA

buffer (50 mM HEPES/KOH pH 7.5, 1 mM EDTA, 1% Triton X-100, 0.1% sodium

deoxycholate, 150 mM NaCl) using a Bioruptor (Diagenode) on the high setting with 60

rounds of 30 seconds on and 1 minute rest. Protein concentrations of lysates were

determined using a Qubit fluorometer. ChIP and sequential ChIP experiments were

performed as described in (GOETSCH *et al.* 2017) and in Supplemental Materials and

Methods. Quantitative PCR was performed using SYBR green reagents on an Applied

Biosystems QuantStudio 3 and ViiA 7 Real-Time PCR Systems (ThermoFisher).

Analysis of transcript levels by RT-qPCR and RNA-seq

Embryos collected after bleaching gravid worms were aged for 3.5 hours and

then frozen in Trizol for RNA isolation. A total of 1 µg RNA was treated with DNase and

reverse transcribed using the High Capacity cDNA Kit (Applied Biosystems). qPCR was

performed using SYBR green reagents on an Applied Biosystems QuantStudio 3 Real-

Time PCR System (ThermoFisher). The relative quantity of experimental transcripts

was calculated with *act-2* as the control gene using the ΔCt method.

RNA-seq libraries were prepared using the NEBNext Poly(A) mRNA Magnetic

Isolation Module and NEBNext Ultra II Directional RNA Library Prep Kit for Illumina

(New England Biolabs). Samples were multiplexed using the NEBNext Multiplex Oligos

for Illumina (NEB). Libraries were sequenced on an Illumina HiSeq X system by

Novogene Corporation Inc. (Davis, CA) to acquire 150-bp paired-end reads. Sequence

reads were trimmed using fastp (CHEN *et al.* 2018) and mapped to transcriptome

version WBcel235 using STAR (DOBIN *et al.* 2013). Read counts per transcript were

obtained using HTSeq (ANDERS *et al.* 2015) and differentially expressed genes were

assessed using DEseq2 (LOVE *et al.* 2014).

Quantification and statistical analysis

For brood size analysis, significance was determined using a Wilcoxon-Mann-

Whitney test comparing CRISPR/Cas9-genome edited strains to wild type (N2). For

genome-wide differential expression analysis of *lin-52(3A)* versus *lin-52(WT)* late

embryos, we used a 1.5-fold change and adjusted p-value less than 0.05 cutoff as

calculated by the Benjamini-Hochberg method performed by DEseq2. For expression

analysis of *lin-35(n745)* vs N2 L1s, statistical analysis was performed using R using the

Quantile normalization and Robust Multichip Average (RMA) algorithm in the affy

package of Bioconductor using the a 1.5-fold change and adjusted p-value less than

0.05 cutoff (BOLSTAD *et al.* 2003; IRIZARRY *et al.* 2003). For transcript level analysis by

RT-qPCR, significance was determined using a student's t-test between *lin-35* versus

wild type (N2) or *lin-52(1A) or lin-52(3A)* versus *lin-52(WT).* For ChIP-qPCR

experiments assessing individual subunit's occupancy changes between strains,

significance was determined using a student's t-test between *lin-35* versus wild type

(N2) or *lin-52(3A)* versus *lin-52(WT).*

Data and reagent availability

Requests for information, strains, and reagents should be directed to and will be

fulfilled by Paul D. Goetsch (pdgoetsc@mtu.edu). Supplemental material is available at

FigShare. Supplemental information includes supplemental Materials and Methods.

Figure S1 contains full western blots presented in Figure 2D. Figure S2 contains full

western blots presented in Figure 3B. Figure S3 contains additional DREAM target

genes tested using qPCR for ChIP and sequential ChIP analyses to complement Figure

6. Antibodies used for western blot and ChIP analyses are available in Supplemental

Table S1. Primers used for cloning and qPCR are available in Supplemental Table S2.

DESeq2 differential gene expression analysis data are available in Supplemental Table

S3. RNA-seq expression data used in this study are available from the Gene

Expression Omnibus (GEO; http://www.ncbi.nlm.nih.gov/geo) through GEO Series

accession number GSE199287. Microarray expression analysis data used in this study

are available through GEO Series accession number GSE6547 (KIRIENKO AND FAY

2007).

Results

Targeted mutagenesis to disrupt *C. elegans* DREAM complex formation

Structural studies previously demonstrated that MuvB interacts with the pocket

protein via the LIN52 subunit (Figure 1A) (GUILEY *et al.* 2015). Using the self-excising

cassette (SEC) method for *C. elegans* CRISPR/Cas9 genome editing (DICKINSON *et al.*

2015), we generated a *lin-52(KO)* strain (*lin52(bn133[lin-52p::TagRFP-T::3xFLAG]*) by

completely replacing *lin-52* coding sequence with *TagRFP-T* coding sequence (Figure

2A). We observed that *lin-52(KO)* rendered worms sterile (Figure 2C), as previously

observed in the *lin-52(n3718)* protein null strain (CEOL *et al.* 2006; HARRISON *et al.*

2006). This resembles loss of other MuvB components, as loss of LIN-9, LIN-53 (*C.*

elegans RBAP48), or LIN-54 in protein null strains also renders worms sterile and

affects the levels of other MuvB subunits, suggesting that MuvB components require co-

expression for assembly/stability of the complex (HARRISON *et al.* 2006). Loss of LIN-37

does not cause sterility and does not affect assembly of the rest of MuvB in either *C.*

elegans or mammalian cells (HARRISON *et al.* 2006; MAGES *et al.* 2017). We next

replaced the *TagRFP-T* coding sequence with *lin-52* tagged with a C-terminal *GFP-*

3xFLAG coding sequence, generating the *lin-52(WT)* strain (*lin-52(bn139[lin-*

52::GFP::3xFLAG]), Figure 2A). *lin-52(WT)* completely rescued fertility (Figure 2C),

indicating that the GFP tag does not impair LIN-52 function.

Since LIN-52 is essential for *C. elegans* fertility, we sought to disrupt the LIN-35-

LIN-52 interaction without affecting protein integrity. We directed targeted mutagenesis

of the LIN-52 LxCxE sequence (Figure 1B) using CRISPR/Cas9-mediated genomic

editing. We generated 2 mutants of the LxCxE binding motif in *lin-52(WT)* using the *dpy-*

10 co-CRISPR method of small oligo homology-directed repair (ARRIBERE *et al.* 2014).

We generated the *lin-52(1A)* single-alanine mutation strain (*lin-52(bn150[lin-*

52[C44A]::GFP::3xFLAG)) and the *lin-52(3A)* triple-alanine mutation strain (*lin-*

52(bn151(lin-52[L42A,C44A,E46A]::GFP::3xFLAG)) (Figure 2B) with the intent to

completely disrupt LIN-52's interaction with the *C. elegans* pocket protein LIN-35.

Additional silent mutations were included in the oligo repair templates to generate new

restriction enzyme cut sites to aid in genotyping (Figure 2B).

Full loss of *C. elegans* DREAM activity causes sterility, as observed in protein

null mutants of worm E2F-DP (*dpl-1* and *efl-1*) and worm MuvB (*lin-9, lin-52, lin-53, and*

lin-54) (BEITEL *et al.* 2000; CHI AND REINKE 2006; TABUCHI *et al.* 2011). Since the C-

terminally GFP-tagged *lin-52* coding sequence completely rescued *lin-52(KO)* sterility,

we were able to test whether *lin-52(1A)* and *lin-52(3A)* impair DREAM function. We

observed that neither the 1A nor 3A mutation in the LIN-52 LxCxE sequence caused a

significant reduction in brood size (Figure 2C). Using western blot analysis of selected

DREAM components from *lin-52(WT)* and mutant lysates, we observed that DREAM

component protein levels were unaffected compared to wild type (N2) (Figure 2D,

Figure S1). Similarly, using live-image analysis of *lin-52(WT), lin-52(1A),* and *lin-52(3A)*

L4 larvae, we observed that LIN-52 level and localization appeared normal in mutants

(Figure 2A). Together, these results demonstrate that mutation of the LIN-52 LxCxE

sequence does not cause a *lin-52* null phenotype and does not alter the levels and

tissue distribution of MuvB components.

The 3A substitution in the LIN-52 LxCxE sequence blocks DREAM assembly

To test whether our CRISPR/Cas9-generated 1A and 3A substitutions disrupt the

LIN-35-MuvB association, we performed co-immunoprecipitations (co-IPs) from protein

extracts prepared from *lin-52(WT), lin-52(1A)*, and *lin-52(3A)* late embryos. We pulled

down LIN-35 and tested for LIN-52 association using the GFP epitope, and we pulled

down LIN-52 using either the GFP or FLAG epitope and tested for LIN-35 association

(Figure 3, Figure S2). In both co-IP experiments, we observed that LIN-52 association

with LIN-35 was lost in *lin-52(3A)* extracts but not in *lin-52(1A)* extracts. In 1A extracts,

we observed a weaker association between LIN-52 and LIN-35 when pulling down with

an anti-FLAG antibody, but the effect was not replicated with the anti-GFP antibody.

The inconsistent results suggest that the 1A substitution weakens but does not

eliminate the LIN-52-LIN-35 interaction. Altogether, these results demonstrate that a 3A

substitution in the LxCxE sequence is required to sever the protein-protein association

between LIN-52 and LIN-35 in *C. elegans*.

Blocking DREAM complex formation causes classic DREAM loss-of-function

phenotypes

DREAM loss-of-function mutations when paired with SynMuv A gene mutations

cause a synthetic multivulval (SynMuv) phenotype (FAY AND YOCHEM 2007), high-

temperature larval arrest (PETRELLA *et al.* 2011), and ectopic expression of germline

genes in the soma (WANG *et al.* 2005; PETRELLA *et al.* 2011). We reasoned that if

DREAM function was affected by severing the LIN-52-LIN-35 association, then we

should observe each of the 3 phenotypes above. When paired with SynMuv A allele *lin-*

8(n2731) (HARRISON *et al.* 2007) or *lin-15A(n767)* (HUANG *et al.* 1994), *lin-52(3A)*

resulted in a SynMuv phenotype; *lin-52(WT)* and *lin-52(1A)* did not have this effect

(Figure 4A). When worms were grown at high temperature (26°C), *lin-35(3A)* displayed

larval arrest; *lin-52(WT)* and *lin-52(1A)* did not cause larval arrest (Figure 4B). When

RT-qPCR was used to examine ectopic expression of the germline genes *pgl-1, pgl-3,*

and *glh-1*, *lin-52(3A)* caused upregulation of all 3 genes; *lin-52(1A)* caused upregulaton

of *pgl-1* and *pgl-3* but not *glh-1* (Figure 4C). To examine ectopic expression by another

method, we crossed a germline-specific *pgl-1::rfp* reporter (MARNIK *et al.* 2019) into our

lin-52 mutant strains. We observed ectopic expression of *pgl-1::rfp* in *lin-52(1A)* and *lin-*

52(3A) in the intestinal cells of larvae (Figure 4D, E), as expected (PETRELLA *et al.*

2011). These phenotypes along with our co-immunoprecipitation findings demonstrate

that the 3A substitution has a greater impact on DREAM assembly and function than the

1A substitution.

Severing the LIN-35-MuvB connection has a minimal effect on repression of

DREAM target genes

To investigate whether blocking DREAM assembly affects transcriptional

repression of DREAM target genes, we performed whole-genome RNA-sequencing

(RNA-seq) in *lin-52(WT)* and *lin-52(3A)* late embryos and compared our data to

microarray-based expression data from wild-type and *lin-35(n745)* null mutant L1 larvae

(KIRIENKO AND FAY 2007). Our RNA-seq analysis detected 16,855 transcripts (>10

counts across samples), as compared to 16,810 transcripts tested in the microarray

experiment (Supplemental Table S3, S4). A total of 13,721 transcripts are shared

between the two experiments, including 1,370 of the 1,515 genes previously reported to

have DREAM bound to their promoters, which we categorize as DREAM targets

(GOETSCH *et al.* 2017). In *lin-35(n745)* versus wild-type (N2) L1 larvae, 798 genes (246

DREAM targets) were upregulated and 540 genes (31 DREAM targets) were

downregulated (Figure 5A). In contrast, in *lin-52(3A)* versus *lin-52(WT)* late embryos,

102 genes (32 DREAM targets) were upregulated and 9 genes (0 DREAM targets) were

downregulated (Figure 5B). Altogether, our transcript analyses reveal that the 3A

substitution has a minimal effect on DREAM target regulation, with only ~2% (32/1370)

of DREAM targets upregulated in *lin-52(3A)* late embryos compared to ~18%

(246/1370) of DREAM targets upregulated in *lin-35(n745)* L1s*.*

To directly compare at one developmental stage the effect on transcription of

loss of LIN-35 versus severing the LIN-35-MuvB association, we assessed transcript

levels of a subset of DREAM target genes in *N2, lin-35(n745), lin-52(WT)*, *lin-52(1A)*,

and *lin-52(3A)* late embryos using RT-qPCR analysis (Figure 5C,D). We tested 6

DREAM target genes that were upregulated (Figure 5C) and 6 DREAM target genes

that were not upregulated in *lin-52(3A)* late embryos (Figure 5D), as identified from our

RNA-seq analysis. For the 6 DREAM target genes that were upregulated in our RNA-

seq analysis (*C09G5.7, set-21, polh-1, ZK795.2, rnf-1,* and *Y47G6A.3),* we consistently

observed 1) modest but significant upregulation in *lin-52(3A)* versus *lin-52(WT) and* 2) a

greater increase in transcript levels in *lin-35* versus N2 than in *lin-52(3A)* versus *lin-*

52(WT) (Figure 5C). Consistent with our phenotype analysis, we observed that *lin-*

52(1A) had a minimal effect on DREAM target gene levels (Figure 5C). For the 6

DREAM target genes that were not upregulated in our RNA-seq analysis (*cdk-1, air-1,*

mis-12, rad-51, kbp-3, and *polk-1*), we did not observe an increase in transcript levels in

lin-52(3A) or *lin-52(1A)* compared to *lin-52(WT)* (Figure 5D)*.* Most (4 of 6) of these

genes were significantly upregulated in *lin-35* versus N2 late embryos. Altogether, our

RNA-seq and RT-qPCR analyses demonstrate that blocking DREAM assembly has a

limited impact on DREAM target gene repression in late embryos, in terms of both

number of genes upregulated and the amplitude of upregulation, as compared to

complete loss of LIN-35.

**E2F-DP-LIN-35 and MuvB subcomplexes independently co-occupy chromatin
sites**

In the absence of LIN-35, E2F-DP and MuvB do not associate with one another
and their chromatin occupancy is reduced genome-wide (GOETSCH *et al.* 2017). In our
lin-52(3A) worm strain, LIN-35 is present, but its association with MuvB is severed. We
tested the impact of this severing on the chromatin localization of DREAM components
using chromatin immunoprecipitation (ChIP). We chose 2 DREAM target genes that in
our RNA-seq analysis were upregulated in *lin-52(3A)* (*set-21* and *polh-1*; Figure 6A),
and 2 DREAM target genes that were not upregulated in *lin-52(3A)* (*air-1* and *mis-12*;
Figure 6B). We performed ChIP-qPCR of DPL-1 and LIN-37 in *lin-35* null compared to
N2 embryos, and DPL-1, LIN-35, LIN-37, and LIN-52 via its GFP tag in *lin-52(3A)*
compared to *lin-52(WT)* embryos. IgG was used as a negative control for both ChIP
analyses. We observed that all tested DREAM components remained similarly enriched
at the 4 selected promoters in *lin-52(3A)* as compared to *lin-52(WT)* (Figure 6A,B). In
contrast, chromatin occupancy of both DPL-1 and LIN-37 were significantly reduced in 3
of the 4 selected promoters in *lin-35(n745)* as compared to N2. An additional 8 DREAM
target gene promoters were tested and showed similar DREAM chromatin occupancy
profiles (Figure S3A,B). We did observe that LIN-37 and LIN-52 chromatin occupancy
was reduced at some DREAM target gene promoters, but the observed decrease did
not correspond to whether the target gene is misregulated (Figure S3A,B). Notably, the
key difference between *lin-35(n745)* and *lin-52(3A)* is the status of the pocket protein.
Since DPL-1 and LIN-35 occupancy is not compromised by severing the LIN-52-LIN-35
association in *lin-52(3A)* while DPL-1 occupancy is compromised by loss of LIN-35, our

results suggest that the chromatin association of the repressive E2F-DP transcription

factor heterodimer is stabilized by its interaction with LIN-35.

We tested whether MuvB and E2F-DP-LIN-35 co-occupy DREAM target regions

by performing sequential ChIP analysis. We first ChIPed LIN-52 via its FLAG tag and

then ChIPed LIN-35. We observed no significant difference in LIN-52 and LIN-35 co-

occupancy in *lin-52(3A)* extracts versus *lin-52(WT)* extracts at 8 DREAM target gene

promoters, as determined by a student's t-test (Figure 6C, S3C). Altogether, our results

indicate that, although the interaction of LIN-35 and MuvB is disrupted, DREAM

components can nevertheless co-localize at target promoters through their respective

protein-DNA interactions.

Discussion

We previously postulated that DREAM assembly, initiated by DYRK1A

phosphorylation of LIN52 in mammalian cells (LITOVCHICK *et al.* 2011; GUILEY *et al.*

2015), stabilizes MuvB-mediated repression of DREAM target genes (GOETSCH *et al.*

2017). Using CRISPR/Cas9-mediated targeted mutagenesis, we generated a mutant *C.*

elegans strain in which LIN-52 was rendered incapable of interacting with LIN-35, the

sole *C. elegans* Rb-like pocket protein. We expected that, similar to loss of LIN-35

(GOETSCH *et al.* 2017), MuvB chromatin occupancy would be destabilized and its

repressive function would be impaired. Instead, we observed that chromatin occupancy

was not destabilized at the genes tested and that relatively few genes were

upregulated. We observed that ~2% of all DREAM target genes were de-repressed,

indicating that for those genes MuvB chromatin occupancy alone is not sufficient for

target gene repression. However, interrupting LIN-35-MuvB association did cause

classic DREAM mutant phenotypes, including SynMuv, high temperature arrest, and ectopic expression of germline genes in the soma, consistent with impaired DREAM function. Altogether, we conclude that the LIN-35-MuvB association is not required to assemble DREAM at target sites but is necessary for full activity of the complex.

Our findings highlight that even minimal perturbation of DREAM-mediated transcriptional repression of target genes causes observable phenotypic consequences in *C. elegans*. Complete knockout of DREAM activity causes sterility, as demonstrated by our newly generated *lin-52* null mutant and null mutants of other DREAM components (HARRISON *et al.* 2006). In contrast, although our RNA-seq analysis revealed that ~2% of DREAM target genes were upregulated in the *lin-52(3A)* mutant, that mutant caused highly penetrant SynMuv phenotypes with no corresponding effect on fertility. Thus, phenotype analysis provides a sensitive readout of DREAM function.

How the limited upregulation of some DREAM target genes in *lin-52(3A)* mutant embryos causes the observed phenotypes remains unknown. The SynMuv phenotype is caused by ectopic activation of LIN-3/EGF in the hyp7 hypodermal syncytium, which requires synthetic impairment of redundant regulatory pathways established by SynMuv A and SynMuv B class genes (CUI *et al.* 2006). Although there is some evidence that SynMuv A class proteins directly target *lin-3*'s promoter (SAFFER *et al.* 2011), DREAM does not appear to regulate *lin-3* directly (GOETSCH *et al.* 2017). Similarly, we suspect that DREAM antagonism of high-temperature arrest and ectopic expression of germline genes in the soma is also indirect (PETRELLA *et al.* 2011; GOETSCH *et al.* 2017; RECHTSTEINER *et al.* 2019). Instead, we speculate that SynMuv B class proteins including DREAM regulate chromatin compaction in somatic cells during development

(COSTELLO AND PETRELLA 2019). Thus, even minimal perturbation of DREAM complex

activity may delay embryonic chromatin compaction, providing a window for alternative

regulatory pathways to trigger the developmental defects detected at later larval stages.

Our analysis provides important insight into how assembly of the mammalian

DREAM complex maintains repression of cell cycle genes and suggests that the pocket

protein's primary function is to protect MuvB's function as a transcriptional repressor.

DREAM assembly is triggered by DYRK1A phosphorylation of LIN52, initiating MuvB

association with p107/p130 (LITOVCHICK *et al.* 2011; GUILEY *et al.* 2015). Mammalian

MuvB's function switches from transcriptional repression in the DREAM complex during

quiescence to transcriptional activation after associating with the B-Myb transcription

factor and forming the Myb-MuvB (MMB) complex late in the cell cycle (LEWIS *et al.*

2004; OSTERLOH *et al.* 2007; SCHMIT *et al.* 2007; KNIGHT *et al.* 2009; SADASIVAM *et al.*

2012). DYRK1A-mediated LIN52 phosphorylation also inhibits MuvB association with B-

Myb (LITOVCHICK *et al.* 2011), even though the 2 interaction interfaces do not physically

overlap (GUILEY *et al.* 2018). In *Drosophila,* Lin-52 protein is required for MMB to

oppose repressive DREAM functions (LEWIS *et al.* 2012), suggesting that Lin-52's

interaction with the pocket protein or B-Myb dictates MuvB's transcriptional function

(GUILEY *et al.* 2018). Our data demonstrate that in worms MuvB localizes to chromatin

sites and represses gene targets without direct association with the pocket protein.

These findings suggest that the pocket protein's association with LIN-52 serves a

different purpose than just to direct assembly of DREAM. We propose that the pocket

protein's association with LIN-52 instead primarily functions to oppose B-Myb

association with MuvB, preventing MuvB from converting to its transcriptionally active

role. Since no B-Myb homolog exists in *C. elegans* (VORSTER *et al.* 2020), loss of direct

LIN-35-MuvB association had little effect on target gene repression. We predict that a

similar 3A mutation in LIN-52 in *Drosophila* or mammalian cells would cause

significantly more upregulation of DREAM target genes.

The switch between MuvB-associated cell cycle gene repression and activation

is hijacked in cancer cells. All 3 mammalian pocket proteins are inactivated by the E7

viral oncoprotein present in high-risk human papillovirus (HPV) (ZHANG *et al.* 2006; HUH

et al. 2007). E7 interacts with the mammalian pocket proteins through its high-affinity

LxCxE binding motif, disrupting MuvB association with the pocket protein in DREAM

(GUILEY *et al.* 2015). HPV E7 concurrently coaxes MuvB into its transcriptional activator

function by stimulating MMB assembly (PANG *et al.* 2014). However, cancer cells resist

cytotoxic chemotherapy by temporarily exiting the cell cycle (BOICHUK *et al.* 2013),

suggesting that MuvB's capacity for transcriptional repression is retained. Based on our

findings that MuvB does not require direct association with the pocket protein to repress

target genes, MuvB's function in cancer cells requires closer scrutiny.

We previously observed that E2F-DP and MuvB chromatin association is

severely affected by loss of LIN-35 (GOETSCH *et al.* 2017). By severing LIN-35-MuvB

association, the present study suggests a new model for DREAM complex formation

where LIN-35 directly stabilizes E2F-DP chromatin occupancy. We also observed that

MuvB chromatin occupancy is not disrupted even though MuvB no longer associates

directly with E2F-DP-LIN-35. Importantly, *in vitro* analysis of the DNA-binding

characteristics of heterodimeric mammalian E2F-DP identified a distinct induction of

DNA bending, especially in the case of the homologues of *C. elegans* EFL-1-DPL-1

(E2F4-DP1/2) (Tᴀᴏ *et al.* 1997). We propose that DNA-associated E2F-DP

heterodimers promote MuvB co-occupancy through a DNA bending-dependent

mechanism. Together, our results suggest a model in which the LIN-35 pocket protein

promotes E2F-DP chromatin occupancy, which in turn promotes MuvB chromatin

occupancy.

Our results support an exciting model for how local E2F-DP-mediated alterations

to DNA shape enhanced by their interaction with a pocket protein promote MuvB co-

occupancy. Even with evolutionary divergence from the ancestral pocket protein, this

model may also apply to pRb function. Many histone deacetylases and chromatin

remodeling complexes associate with pRb through the LxCxE binding cleft, although

many of these associations have only limited support thus far from

structural/biochemical interaction studies (Dʏsᴏɴ 2016). Variation in pRb

monophosphorylation events that can alter pRb structure and recognition of binding

partners offers one explanation for how pRb can potentially interact with >300 individual

protein partners (Rᴜʙɪɴ 2013; Nᴀʀᴀsɪᴍʜᴀ *et al.* 2014). Our data provide an alternative,

but not exclusive, possibility, namely that direct and stable pRb association with these

myriad protein partners may be unnecessary. Perhaps pRb association with a few

partners such as E2F-DPs promotes localization of multi-protein complexes to genomic

sites. Additional dissection of DREAM and pRb structure and function will shed light on

how the pocket proteins mediate their essential cellular roles.

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551 **Figure 1**

Figure 1 LIN-35 and MuvB associate via the LxCxE motif of LIN-52. (A) Model of the *C. elegans* DREAM complex bound to DNA: E2F-DP (blue), the pocket protein LIN-35 (purple), and the 5-subunit MuvB subcomplex (green). The highlighted region shows the target region for this study: an LxCxE binding motif in the MuvB subunit LIN-52 that interacts directly with the LIN-35 pocket protein. (B) Alignment of *H. sapiens* LIN52 and *C. elegans* LIN-52 sequences. The human LxSxExL and worm LxCxE sequences are highlighted in yellow, and the human DYRK1A consensus phosphorylation sequence is highlighted in orange. Arrows indicate residues involved in the interaction with the pocket protein and amino acid residues converted to alanine in this study.

573 **Figure 2**

Figure 2 Targeted mutagenesis to disrupt DREAM complex formation. (A) Live worm

fluorescence images of *lin-52(KO), lin-52(WT)*, *lin-52(1A),* and *lin-52(3A)* L4 larvae.

Composites were artificially straightened. Scale bars, 100μM. (B) Sanger sequencing of

the *lin-52* LxCxE coding region (highlighted in yellow) in *lin-52(WT), lin-52(1A)*, and *lin-*

52(3A). (C) Swarm plots of the brood sizes of wild-type (N2) worms and *lin-52(KO)*, *lin-*

52(WT), *lin-52(1A)*, and *lin-52(3A)* transgenic worms. Significance (** p-value < 0.01)

was determined by a Wilcoxon-Mann-Whitney test comparing the indicated strains to

wild type (N2). (D) Western blot analysis of DREAM subunits LIN-52 (via GFP tag),

EFL-1, LIN-35, and LIN-37 using lysates from wild-type (N2) worms and *lin-52(WT), lin-*

52(1A), and *lin-52(3A)* transgenic worms separated by SDS PAGE. Antibodies used are

indicated on the right. Alpha-tubulin was used as a loading control. Full blots are shown

in Figure S1.

612 **Figure 3**

Figure 3 *lin-52* LxCxE binding motif mutants block DREAM formation. Late embryo

extracts from *lin-52(WT)*, *lin-52(1A)*, and *lin-52(3A)* (each tagged with GFP and FLAG)

were immunoprecipitated with anti-LIN-35, anti-GFP, and anti-FLAG antibodies, with no

antibody serving as a negative control. Proteins bound (B) and unbound (UB) were

separated by SDS PAGE, and western blot analysis was performed using the

antibodies indicated on the right. 5% of Input (In) is shown on the left. Asterisks indicate

non-specific bands. Full blots are shown in Figure S2.

   Figure 4

Figure 4 Mutagenesis of the *lin-52* LxCxE binding motif causes SynMuv B phenotypes.

(A) Table indicating the percentage Synthetic Multivulval (SynMuv) worms when *lin-52(WT), lin-52(1A)*, and *lin-52(3A)* were combined with SynMuv A mutant alleles *lin-8(n2731)* or *lin-15A(n767)* with standard deviation indicated. The population size (n*)* is indicated in parentheses. (B) Table indicating the percentage of High Temperature Arrest (HTA) observed in *lin-52(WT), lin-52(1A), and lin-52(3A)* incubated at 24°C and 26°C*.* The population size (n) is indicated in parentheses. (C) RT-qPCR analysis comparing transcript levels of 3 germline genes (*pgl-1, pgl-3,* and *glh-1*) in *lin-52(WT)* (white), *lin-52(1A)* (light grey), and *lin-52(3A)* (dark grey) late embryos. Expression values from 6 biological replicates were averaged and are presented as the relative quantity compared to *act-2.* Error bars indicate standard error of the mean, and significance was determined by a student's t-test between transcript levels in mutant

649 (3A or 1A) versus WT (* p-value < 0.05, ** p-value < 0.01). (D,E) Live worm

650 fluorescence images of *lin-52(WT)*, *lin-52(1A),* and *lin-52(3A)* L1 larvae containing a

651 PGL-1::RFP reporter gene. White arrowheads indicate the primordial germ cells Z2 and

652 Z3. Scale bars, 10 µm. The white boxes in (D) indicate regions of ectopic expression of

653 PGL-1::RFP in the intestine of *lin-52(1A)* and *lin-52(3A)* shown in (E).

Figure 5

Figure 5 Disruption of DREAM formation leads to upregulation of a small subset of

target genes. (A,B) Volcano plots of log2 fold change in transcript levels versus log10

False Discovery Rate (FDR) of 13,721 genes shared between (A) *lin-35(n745)* versus

N2 L1 microarray expression analysis reported in (KIRIENKO AND FAY 2007) and (B) *lin-*

52(3A) versus *lin-52(WT)* late embryo RNA-seq. Genes downregulated (green) or

upregulated (blue) are highlighted, with dark blue indicating upregulated genes

observed in both *lin-35* and *lin-52(3A)* data sets. Black circle outlines indicate DREAM

target genes, as reported in (GOETSCH *et al.* 2017). The number of genes differentially

expressed in each analysis are indicated at the top of each plot, with the number of

DREAM target genes differentially expressed indicated in parentheses. Dashed lines

indicate the significance cut-off of q = 0.05 (horizontal lines) and a 1.5-fold change in

transcript level (vertical lines). Genes selected for RT-qPCR analysis are labeled. (C,D)

RT-qPCR analysis comparing transcript levels of 6 DREAM target genes that were

upregulated in RNA-seq (C) and 6 DREAM target genes that were not upregulated in

RNA-seq (D). Transcript levels in late embryos are from wild type N2 (white), *lin-35*

(black), *lin-52(WT)* (white), *lin-52(1A)* (light grey), and *lin-52(3A)* (dark grey). Expression

values from 6 biological replicates were averaged and are presented as the relative

quantity compared to *act-2.* Error bars indicate standard error of the mean, fold change

values greater than 1.5-fold are provided, and significance was determined by a

student's t-test between transcript levels in mutant (3A or 1A) versus WT or *lin-35*

versus N2 (* p-value < 0.05, ** p-value < 0.01).

Figure 6

Figure 6 Analysis of chromatin localization of DREAM subunits at target genes. (A,B)

ChIP-qPCR of DREAM subunits DPL-1 and LIN-37 in N2 (white) versus *lin-35* (black)

and DPL-1, LIN-35, LIN-37, and LIN-52 (via GFP tag) in *lin-52(WT)* (white) versus *lin-52(3A)* (dark grey) late embryo extracts at 2 DREAM target genes that were

upregulated in RNA-seq (A) and 2 DREAM target genes that were not upregulated in

RNA-seq (B). IgG was used as a negative control. Signals are presented as percentage

of Input DNA, with negative fold-change values greater than 2-fold noted. Error bars

indicate standard error of the mean. Significance was determined by a student's t-test

between subunit ChIP values in mutant (*lin-35* or *lin-52(3A))* versus wild-type control

(N2 or *lin-52(WT))* (* p-value < 0.05). (C) Sequential ChIP-qPCR of LIN-52 (via FLAG

tag) followed by LIN-35 or IgG from *lin-52(WT)* (white) and *lin-52(3A)* (dark grey) late

embryo extracts at 4 DREAM target genes. Signals are presented as percentage of

716   FLAG IP DNA. Error bars indicate standard error of the mean. Additional data are

717   shown in Figure S3.

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