Structural basis of p62/SQSTM1 helical filaments, their presence in
 p62 bodies and role in cargo recognition in the cell

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33 Abstract

34 p62/SQSTM1 is an autophagy receptor and signaling adaptor with an N-35 terminal PB1 domain that forms the scaffold of phase-separated p62 bodies in 36 the cell. The molecular determinants that govern PB1 domain filament 37 formation in vitro remain to be determined and the role of p62 filaments inside 38 the cell is currently unclear. We determined four high-resolution cryo-EM 39 structures of different human and Arabidopsis PB1 domain assemblies and 40 observed a filamentous ultrastructure of phase-separated p62/SQSTM1 bodies 41 using correlative cellular EM. We show that oligomerization or polymerization, 42 driven by a double arginine finger in the PB1 domain, is a general requirement 43 for lysosomal targeting of p62. Furthermore, the filamentous assembly state of 44 p62 is required for autophagosomal processing of the p62-specific cargo 45 KEAP1. Our results show that using such mechanisms, p62 filaments can be 46 critical for cargo recognition and are an integral part of phase separated p62 47 bodies.

48 Introduction

49 p62/SQSTM1 (from here on p62) is a multifunctional adaptor protein that acts 50 as a central scaffold protein in different cellular processes such as autophagy 51 and signaling (Katsuragi, et al. 2015). p62 has a tendency to cluster and in 52 human cells is often observed in discrete punctae known as p62 bodies 53 (Lamark et al., 2003). The formation of these bodies is dependent on the amino-54 terminal PB1 domain of p62 (Cheng, 2015; Lamark et al., 2003). PB1 domains are protein interaction modules with critical roles in the assembly of protein 55 56 complexes involved in autophagy, signaling, cell division and redox processes 57 (Moscat et al., 2006), as well as the auxin response pathway in plants (Korasick 58 et al. 2014). PB1 domains form homotypic interactions via conserved 59 electrostatic motifs molded by basic or acidic surface patches on opposite faces 60 of their ubiquitin-like β -grasp fold (Lamark et al., 2003; Wilson et al., 2003). 61 According to their interaction profile, PB1 domains are classified into type A 62 (acidic, OPCA motif), type B (basic) or mixed type AB members (Wilson et al., 63 2003). While type A and type B PB1 domains can form heterodimeric protein 64 complexes, type AB members can mediate interactions with either PB1 domain 65 type or engage in homotypic interactions to form homo-oligomers or hetero-66 oligomers (Lamark et al., 2003; Sumimoto et al., 2007). More recently, PB1-67 mediated self-interaction of p62/SQSTM1 was found to result in the formation 68 of filamentous polymers (Paine et al., 2005) with helical symmetry in vitro 69 (Ciuffa et al., 2015).

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71 p62 has been shown to function in autophagy and cellular signalling. Autophagy 72 is a degradative cellular housekeeping pathway by which cytoplasmic materials 73 are engulfed in a double membrane vesicle termed the autophagosome and 74 delivered to the lysosomal compartment (Mizushima and Komatsu, 2011). 75 Substrates for autophagy are not limited by molecular size and include large 76 protein aggregates, intracellular pathogens and cellular organelles. Selective 77 autophagy has been characterized as the process that specifically directs 78 cytosolic substrates to the formation site of autophagosomal membranes 79 (Johansen and Lamark, 2011; Kraft et al., 2010). As an autophagy receptor,

80 p62 links cargo proteins with the autophagosome membrane (Pankiv et al., 81 2007). PB1-mediated oligomerization of p62 is essential for its function as a 82 selective autophagy receptor (Itakura and Mizushima, 2011) and thought to 83 facilitate co-aggregation of ubiquitylated cargo (Wurzer et al., 2015). The C-84 terminal UBA domain of p62 captures ubiquitinated cargo and the LIR motif 85 guides the cargo-receptor complex to Atg8/LC3, which is anchored to the 86 surface of the autophagosomal membrane (Bjørkøy et al., 2005; Pankiv et al., 87 2007). In signalling, p62 bodies constitute an interaction hub for the kinases 88 MEKK3, MEK5 and aPKCs, which also contain PB1 domains (Lamark et al., 89 2003), in addition to triggering the NF κ B pathway through the polyubiquitination 90 of tumor necrosis factor (TNF) receptor-associated factor 6 (TRAF6) (Duran et 91 al., 2008).

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93 Due to p62's involvement in protein homeostasis, the impairment of autophagy 94 or oxidative stress results in aggregation or upregulation of p62 including increased body formation (Carroll et al., 2018; Sukseree et al., 2018). Recently, 95 96 we and others independently found that p62 reconstituted with other 97 components of the autophagy pathway, such as ubiguitinated model cargo and 98 the selective autophagy receptor NBR1, spontaneously coalesces into p62 bodies in vitro (Zaffagnini et al., 2018) and shows the characteristics of liquid-99 100 liquid phase separation in vivo (Sun et al., 2018). These studies established 101 that oligomerization by the N-terminal PB1 domain of p62 is an essential 102 requirement for recapitulating phase separation in vitro as well as for cargo 103 uptake in vivo (Sun et al., 2018, Britzen-Laurent et al., 2010; Itakura and 104 Mizushima, 2011).

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The exact structural requirements and physiological conditions under which p62-PB1 domains self-assemble or engage in hetero-PB1 complexes are currently unclear. Furthermore, it is not known what assembly state of p62 is required for biological functions such as cargo uptake in autophagy or the formation of phase-separated compartments *in vivo*. Based on high-resolution electron cryo-microscopy (cryo-EM) and crystal structures, cellular EM,

biochemical and cellular characterization, we here reveal the structural basis
for polymeric PB1 self-assembly and define the relevance of symmetry and
spatial arrangement of the polymeric assembly state for p62 autophagy function *in vivo*.

116 *Results*

117 p62, TFG-1 and AtNBR1 PB1 domains form filamentous homo-polymers

118 Based on our previous finding that p62 is capable of forming homo-oligomeric 119 filamentous assemblies (Ciuffa et al., 2015), we set out to understand whether 120 related AB-type PB1 domains possess a similar property to self-assemble. With 121 reference to sequence alignments, we expressed and purified PB1 domains from human p62¹⁻¹⁰², p62¹⁻¹²², TFG-1¹⁻⁹⁵ (Trk-fused gene 1), the atypical protein 122 kinases PKCζ¹¹⁻¹⁰¹ as well as the evolutionary related PB1 domain of the 123 124 NBR1¹⁻⁹⁵ autophagy receptor from Arabidopsis thaliana (AtNBR1) (Svenning et 125 al., 2011) (Figure 1A). p62, TFG-1, PKCζ and AtNBR1 are multi-domain 126 proteins that share the N-terminal PB1 domain with additional functional C-127 terminal domains (Figure 1B). In order to assess whether these PB1 domain 128 containing proteins are capable of forming high-molecular weight assemblies, 129 we performed sedimentation assays by ultracentrifugation. The PB1 domains of TFG-1¹⁻⁹⁵, AtNBR1¹⁻⁹⁵, p62¹⁻¹⁰² and p62¹⁻¹²² were found in the pellet fraction, 130 131 whereas PB1 domains from PKCZ remained soluble (Figure 1C). We visualized 132 the pelleted fractions using negative staining electron microscopy (EM) and observed elongated filamentous or tubular assemblies for the PB1 domains of 133 134 $p62^{1-122}$, TFG-1 and AtNBR1 that measure 145 ± 5, 900 ± 52 and 120 ± 4 Å in 135 diameter, respectively (Figure 1D). Closer inspection of the sequence 136 alignments revealed that all three of these PB1 domains share the tandem 137 arginine motif close to the canonical lysine residue of the basic motif in B-type 138 PB1 domains. By contrast, this tandem arginine motif is absent in AB-type PB1 139 sequences of PKCZ that does not form filamentous or tubular structures. 140 suggesting a critical role for self-assembly.

141 Cryo-EM structures of AtNBR1 and p62-PB1 filaments

142 Of the three PB1 assemblies studied, AtNBR1¹⁻⁹⁵ (AtNBR1-PB1) and p62¹⁻¹²² 143 (p62-PB1) formed homogeneous filaments of constant diameter that appeared 144 best suited for high-resolution structure investigation by cryo-EM. Therefore, 145 we vitrified filaments of purified AtNBR1-PB1 and p62-PB1 domains and 146 imaged the samples by cryo-EM (Figure 2A/B). Image classification of 147 segmented PB1 helices revealed that both AtNBR1-PB1 and p62-148 PB1polymerize in two different tubular morphologies: a projection class with a 149 ladder-like pattern we term L-type and a projection class with a serpent-like one 150 we term S-type (Figure 2C and Figure S1A-C). L-type and S-type helices 151 partition approximately evenly, i.e. 40% to 60% and 55% to 45% for p62-PB1 152 and AtNBR1-PB1 samples respectively. Further analysis revealed that the 153 occurrence of L-type or S-type assemblies is persistent along the individual 154 helices in micrographs of AtNBR1-PB1 whereas for p62-PB1 filaments 155 regularly displayed transitions from L-type to S-type symmetry (Figure S1D). 156 In an effort to understand the underlying structures of L-type and S-type 157 projections, we analyzed the averaged power spectra from in-plane rotated 158 segments and from class averages. The best Fourier spectra of AtNBR1-PB1 159 and p62-PB1 showed discrete layer-line reflections up to 5.9 and 4.7 Å 160 suggesting a helical organization and preservation of structural order up to high 161 resolution (Figure S1E-F). The comparison of the Fourier spectra confirmed 162 that L-type and S-type structures are differently organized in their helical lattice. 163 By indexing the layer lines in the Fourier spectra of AtNBR1-PB1 filaments, we 164 concluded that L-type is a 2-stranded helix with a pitch of 77.2 Å and 11.47 165 subunits/turn, whereas S-type is a single double-strand helix with a pitch of 68.2 166 Å and 11.55 subunits/turn. For p62-PB1, we observed a 4-stranded L-type 167 assembly and a 3-stranded S-type assembly. In the latter S-type, one of the 168 three helical rungs is propagating in an antiparallel orientation, related to the 169 central rung by local dihedral symmetry. The L-type here has a pitch of 135.9 170 Å with 14.16 subunits/turn and S-type has a pitch of 138.6 Å with 14.16 171 subunits/turn. Using the derived symmetries, we determined the 3.5/3.9 (L-172 type, p62/AtNBR1) and 4.0/4.4 Å (S-type, p62/AtNBR1) resolution structures

(Figure 2C and Figure S1G-H). All four structures form tubules of 173 174 approximately 120 Å and 150 Å width with an inner diameter of 45 Å and 70 Å for AtNBR1-PB1 and p62-PB1, respectively. In all reconstructions, the main 175 176 chain of the PB1 domain could be resolved with α-helical pitch features and 177 individual β-strands separated. The overall fold of the asymmetric unit was 178 found compatible with the NMR structure of the p62 PB1 monomer (Saio et al., 179 2009; 2010) (Figure 3A/B). In the absence of prior structural information, we 180 traced the AtNBR1-PB1 de novo. This de novo-built model is in close 181 agreement with the 1.6 Å crystal structure of a polymerization-deficient 182 AtNBR1-PB1 mutant, which we solved in parallel (Figure S2A, Table 1). The 183 relative orientation between adjacent subunits is very similar in the respective 184 S-type and L-type assemblies of AtNBR1-PB1 and p62-PB1 (Figure S2B). The 185 β 1-a1 loop in p62 is flexible and only visible in the L-type assembly density 186 (Figure S2C). Expanding the asymmetric unit using the helical parameters of 187 the L-type and S-type structures allowed analysis of the interface between 188 repeating units. Despite overall similar interaction modes, the AtNBR1 and p62 189 assemblies showed differences in relative domain rotation between adjacent 190 subunits and with respect to the helical axis (Figure 3C). In agreement with 191 sequence analysis (see **Figure 1A**), the electrostatic potential mapped onto the 192 molecular surface of the structures revealed that opposing charged surfaces 193 mediate the PB1-PB1 interactions in the helical repeat (Figure 3D). In addition, 194 we more closely examined the interface of homomeric interactions in the helical 195 assemblies. The main interactions are formed between a double arginine finger 196 formed by two neighboring arginine residues in strand β2 (R19-R20^{AtNBR1}/R20-197 21^{p62}) stabilizing strong salt-bridges to acidic residues (D60/D62/D64/D73^{AtNBR1} 198 or D69/D71/D73/E82^{p62}) in the OPCA motif located in the β 2- β 3 loop and the 199 a2 helix (Figure 3E). These interactions are assisted by the canonical type B 200 lysine (K11^{AtNBR1} and K7^{p62}) in strand β1. Free energy calculations using the 201 PDBePISA server (Krissinel and Henrick, 2007) suggest that a large part of the 202 interface free energy is contributed by the double arginine finger. In addition to 203 the canonical transverse interactions, the helices are further stabilized by Y14^{AtNBR1}/N28^{AtNBR1} 204 longitudinal interactions or K102p62/D92p62 and

205 R59^{p62}/D93^{p62} to subunits of neighboring strands along the helical axis (Figure 206 **S2D/E**). The importance of electrostatic interactions on filament stability is 207 further supported by the observation that increased ionic strength impedes 208 stable filament formation and is sensitive to pH (Figure S3A-H). To validate our 209 structural interpretation, we performed pull-down experiments using MBP-210 tagged wild-type AtNBR1-PB1 as a prey and a series of AtNBR1-PB1 interface 211 mutants as bait (Figure 3F). All interface mutants decrease binding significantly 212 compared with the wild-type and binding is completely abrogated in mutants 213 lacking the double arginine finger, in agreement with observations in cellular 214 assays (Svenning et al. 2011; Lamark et al., 2003). Together, the cryo-EM 215 structures of two PB1 domain assemblies reveal that in addition to the canonical 216 type electrostatic AB interactions the self-polymerization property is linked to 217 the presence of a double arginine finger.

218 **p62-PB1** domain interactions in the context of filamentous assemblies

219 After establishing the molecular basis of PB1 domain homo-polymerization, we 220 wanted to understand how these assemblies interact with other PB1 domains 221 of the A and B type that have been shown to co-localize with p62 punctae 222 (Lamark et al., 2003). We therefore expressed and purified A-type human PB1 223 domains of MEK5⁵⁻¹⁰⁸ and NBR1¹⁻⁸⁵, the B-type PB1 domain of MEKK3⁴³⁻¹²⁷ and the AB-type PB1 domain of PKCZ¹¹⁻¹⁰¹ and determined their binding 224 225 affinities for polymerization-deficient p62¹⁻¹⁰² (D69A/D73A) (Wilson et al., 2003) 226 by isothermal titration calorimetry (ITC). These PB1 domains show 2-10 fold 227 lower binding affinity to p62 compared with its self-interaction dissociation 228 constant (K_D) of 6 nM (Ren et al., 2014), with K_D of 8.9 ± 0.9 nM, 12.6 ± 0.4 nM, 229 26.8 ± 0.5 nM, and 105 ± 1.3 nM determined for PKCZ (Ren et al., 2014), NBR1, 230 MEKK3, and MEK5, respectively (Figure 4A). We therefore hypothesized that 231 binding of these PB1 domain could compete with p62 self-polymerization and 232 affect the assembly structures of p62-PB1 filaments. We found that NBR1-PB1 233 strongly interacts with p62-PB1 filaments and shortens p62-PB1 filaments on 234 average to less than half the starting length (Figure 4B/C). Surprisingly, 235 MEKK3, MEK5 and PKCZ-PB1 showed no effect on the pelletation behavior of 236 p62 assemblies although having only marginally lower affinities than NBR1

237 (Figure 4D). To further analyze the interactions, we turned to negative staining 238 EM. In agreement with the co-sedimentation data, for PB1 domains other than 239 NBR1 we did not observe any effect on the morphology of p62 PB1 filaments 240 and the measured filament lengths. In order to increase the sensitivity of 241 detecting interactions with p62-PB1 filaments, we also imaged p62-PB1 242 filaments incubated with nanogold-labeled NBR1, MEKK3, MEK5 and PKCZ 243 PB1 domains using negative staining EM (Figure 4E). For all PB1 domains, 244 the micrographs confirmed end-on binding of the PB1 domains to p62-PB1 245 polymers or to oligomeric, ring-like structures. Interestingly, NBR1, MEK5, 246 PKCζ PB1 domains preferably bind to one end of the filament (Figure 4F) 247 consistent with an overall polar assembly observed in the 3D reconstructions 248 of p62-PB1 filaments (see Figure 2). MEKK3-PB1 (type B) was not observed 249 at p62-PB1 filament ends, but occasionally found at oligomeric ring-like 250 structures. Biochemical interaction studies suggest that assembled filamentous 251 p62 can display significantly lower apparent binding affinities for interacting PB1 252 domains than when present in the monomeric form.

253 Cellular p62 bodies consist of filamentous structures

254 Although self-oligomerization of p62 has been shown to be essential for 255 targeting of p62 to the autophagosome (Itakura and Mizushima, 2011), it is 256 unclear whether the filamentous assemblies observed in vitro are involved in 257 this process or even occur inside of cells. We used correlative light and electron 258 microscopy (CLEM) to study the ultrastructure of p62 bodies in a targeted 259 manner. In order to enrich endogenous p62 bodies in RPE1 cells, we 260 overexpressed a human NBR1 D50R mutant that abolishes the interaction with 261 p62 (Lamark et al., 2003). Co-sedimentation experiments in which the relative 262 amount of p62 in the monomeric and polymeric state are determined indeed 263 showed that wild-type NBR1 solubilizes filamentous p62-PB1 whereas the 264 D50R mutant does not (Figure 5A). In RPE1 cells, the NBR1-D50R mutant 265 consistently produced larger p62 clusters possibly by promoting self-266 polymerization as observed in vitro (Figure S4A). In such cells, we localized 267 p62 to punctate areas of $0.5 \pm 0.1 \mu m$ diameter by fluorescence microscopy 268 and visualized their ultrastructure by electron tomography (Figure 5B and

269 Figure S4B/C). The electron micrographs revealed that p62 bodies have a 270 distinct appearance that is well differentiable from the cytosol with an electron-271 dense boundary of approx. 60 nm thickness surrounding the body (Figure 272 5C/D). We thresholded the interior density and found the p62 bodies are 273 composed of a dense meshwork of filamentous assemblies (Figure 5E). 274 Quantitative analysis of thresholded images confirmed the presence of 275 elongated filament-like structures with an average diameter of 15 nm 276 compatible in dimensions with the helical p62 structures observed in vitro 277 (Ciuffa et al, 2015). We estimated the length of these structures by tracing 278 individual filaments in sequential tomogram slices (Figure 5F). CLEM 279 visualization of p62 bodies in cells under endogenous p62 levels confirm the 280 presence of filamentous assemblies.

281 The effect of different p62's assemblies on autophagy clearance and 282 lysosomal targeting of KEAP1

283 We next set out to assess the relevance of symmetry and assembly state of 284 PB1-mediated filament assemblies for biological function within cellular p62 285 bodies and lysosomal targeting through the autophagy pathway. In the 286 comparison of PB1 assemblies visualized by negative staining EM, TFG1 287 showed the most striking difference to p62 assemblies both in size and 288 apparent symmetry (see Figure 1D). Therefore, we generated two p62 289 chimeras by fusing the TFG-PB1 domain to either p62(123-408) or p62∆123-290 319 (mini-p62), containing only the p62 LIR motif and UBA domain) (Figure 291 6A) and visualized the resulting assemblies by negative staining EM (Figure 292 6B). The TFG-1:p62 chimera forms 48 nm wide filaments, which is 293 approximately three times the diameter of WT-p62 filaments and possesses a 294 helical architecture clearly different from that of WT-p62 filaments. The TFG1-295 mini-p62 chimera forms defined, ring-shaped oligomers with ~12 nm in 296 diameter. To test whether the TFG1-p62 fusion constructs are able to form p62 297 bodies in cells, we expressed the chimeras fused to an N-terminal GFP tag in 298 HeLa cells deficient of endogenous p62. As controls we also expressed GFP-299 tagged WT p62 and the mini-p62 construct ($p62\Delta 123-319$) (**Figure 6C**). The 300 transfected cells were analyzed by confocal fluorescence microscopy 24 h and

48 h post transfection. All constructs formed p62 bodies with the majority of
dots having a diameter in the range 0.1 to 0.5 μm. We further classified GFPpositive punctae according to frequency of occurrence, the tendency to cluster

- and the morphological appearance (**Figure 6C-D and Figure S5F**).
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306 We next asked whether TFG1-p62 could perform the biological function of p62. 307 We first assessed whether TFG1-p62 can be turned over by autophagy and 308 targeted to acidified cellular compartments by using the "traffic light" reporter. 309 Here, the mCherry-YFP tandem tag is fused to the target protein and the 310 acidification of the construct in lysosomes is monitored by appearance of red 311 punctae. Although both TFG1-p62 chimera displayed a diffuse yellow fraction, 312 they were almost as efficiently degraded by autophagy as the WT and mini-p62 313 constructs (Figure 6E-F, Figure S5A-D). We then asked if the TFG-p62 314 chimera were able to act as cargo receptor for a p62-specific substrate, KEAP1, 315 and mediate autophagy degradation (Jain et al., 2010). Interestingly, only the 316 WT and mini-p62 constructs could mediate acidification of tandem tagged 317 KEAP1 when co-expressed as Myc-tagged constructs in the p62 KO HeLa 318 cells. No autophagic turnover of mCherry-YFP-KEAP1, however, was observed 319 upon co-expression with chimera Myc-TFG-p62 or Myc-TFG-minip62 (Fig. 6G-320 **H, Figure S6A-D**). Autophagy clearance studies established that TFG PB1-p62 321 chimera can be degraded by autophagy despite their assembly into non-native 322 polymers, whereas these assemblies are not compatible with the structural 323 requirements for mediating degradation of the p62-specific substrate KEAP1.

324 Discussion

325 The PB1 domain is a common interaction module present in all kingdoms of life 326 and found in various proteins involved in membrane trafficking, redox 327 regulation, cell division as well as in signaling. In the current study, we focused 328 on the structure in addition to the biological and functional relevance of the p62-329 PB1 domain in the context of polymeric assemblies. The overall ubiquitin-like 330 fold of the PB1 domain has been determined and different interface types 331 through acidic and basic patches have been identified in earlier studies (Lamark 332 et al., 2003; Wilson et al., 2003). Our cryo-EM structures of filamentous p62 333 and AtNBR1 PB1 assemblies revealed that the presence of a tandem arginine 334 sequence in the basic motif of type AB interfaces is required to stabilize a 335 polymeric assembly. Although the exact composition of the interface between 336 opposed and electrostatically complementary surfaces is distinctly different for 337 the two PB1 assemblies, the main functional acidic and basic residues including 338 the essential double arginine finger are conserved (Figure 3). Furthermore, we 339 observed that the propagation of the helical rung is also distinctly different in 340 p62 and AtNBR1 assemblies, with small changes in primary structure giving 341 rise to large differences in quaternary structure. This property has been 342 characterized in other sequence-related helical systems (Egelman et al., 2015). 343 Interestingly, we also found that the polymeric PB1 domain structures of human 344 p62 and AtNBR1 are assembled from a common helical rung into two 345 morphologically distinct organization types, i.e. in the form of differently 346 organized helical rungs. We speculate that this observed plasticity of 347 assembling a common helical rung is a consequence of flexibility in forming the 348 longitudinal PB1-PB1 interactions in the loop regions. As the constructs used 349 here for structure determination and cellular assays were limited to PB1 350 domains of AtNBR1 and p62, the relevance and functional consequences of 351 these different morphological arrangements within cellular polymeric 352 assemblies remain open. Full-length p62 was shown to be flexible and at this 353 stage too disordered to be amenable to 3D reconstructions (Ciuffa et al., 2015). 354 In line with our previous analysis, the PB1 domain directs the C-terminus either 355 to the outside or the inside of the helical assembly, depending on the exact 356 helical arrangement. It is possible to envision that different morphological 357 arrangements affect the availability of critical interaction motifs outside the PB1 358 domain, i.e. LIR and KIR motif as well as the UBA domain.

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360 Previous studies showed that purified full-length p62 can also form helical 361 filaments (Ciuffa et al., 2015; Paine et al., 2005). The existence of these 362 assembly structures inside of cells, however, had not been demonstrated. 363 Therefore, we used the CLEM technique to identify and visualize the 364 ultrastructural organization of p62 found in large clusters known as p62 bodies.

365 Image analysis confirmed that p62 bodies consist of a meshwork of short 366 filamentous structures. The principal dimension of the observed structures is 367 consistent in width and length with previous measurements in vitro (Ciuffa et 368 al., 2015). The structures are compatible with recently observed aggregates of 369 p62 in brain neurons and neuroepithelial cells (Sukseree et al., 2018). Due to 370 the limited length and flexibility, p62 filaments pack loosely into a spheroid-371 shaped, meshwork-like superstructure. The observed bodies with average 372 dimensions below micrometers in size aggregate in structures that appear 373 morphologically separated from the cytosol (Figure 4), suggestive of phase 374 separation as observed previously in reconstitution experiments (Sun et al., 375 2018; Zaffagnini et al., 2018). The observed body structures of hundreds of 376 nanometers are also significantly larger than individual filaments with on 377 average 30 nm length. When organized in such large superstructures, p62 378 bodies are more similar in dimension to typical molecular cargo, such as protein 379 aggregates, viruses and organelles when compared with receptor oligomers or 380 filament assemblies alone.

381

382 The organization of p62 in filamentous assemblies has direct functional 383 consequences for the interaction with a series of binding partners in the context 384 of autophagy as well as signaling. It has been demonstrated that a polymeric 385 organization of p62 can enhance low affinity interactions to highly avid 386 interactions (Wurzer et al., 2015). In addition, using p62-interacting PB1 387 domains from MEK5. PKCZ and MEKK3 kinases we show that p62 polymeric 388 assemblies can be capped on one end or dissociate into smaller, ring-like 389 structures. The intact p62 filaments occlude the bulk of PB1 interaction sites 390 that are accessible in its monomeric state (Figure 6) (Wilson et al., 2003). 391 Conversely, we show that end-binding of NBR1 to p62 filaments leads to 392 disassembly and shortening, which can thereby modulate the length of the 393 filamentous structure. As NBR1 binding has been shown to promote p62 body 394 formation *in vitro* (Sun et al., 2018; Zaffagnini et al., 2018) to co-localize with 395 p62 bodies in vivo (Kirkin et al., 2009), we hypothesize that this filament-end 396 interaction by NBR1 cross-links shorter filaments more effectively into larger

397 structures and thereby also affects the size of p62 bodies in cells. We speculate 398 that other interactors have similar effects on the size and dynamics of p62 399 bodies as they may occur in phase separation processes. The size of bodies 400 will also control the availability of interaction sites. The here presented 401 structures and interaction studies of PB1-p62 filaments reveal a series of 402 regulation mechanisms that are critical in the functional context of p62's action 403 in autophagy and signaling.

404

405 In order to understand how the assembly state, the specific symmetry and 406 subunit arrangement of this state affect p62's biological function, we tested a 407 series of chimera variants of p62 for their efficiency with regard to cargo uptake 408 and autophagic degradation in the cell. The experiments showed that polymeric 409 as well as oligomeric ring-like scaffolds from related PB1 domains fused to the 410 C-terminal functional domains of p62 can be taken up by the autophagy 411 machinery almost as efficiently as WT-p62. Interestingly, this is not the case for 412 variants of p62 that are monomeric and diffuse in the cytosol (Itakura and 413 Mizushima, 2011). Our results suggest that structures organized in larger 414 oligomeric clusters are sufficient to mediate self-disposal of p62 (Figure 5), 415 presumably due to increased avidity of accessible LIR motifs and UBA 416 domains. The specific uptake of the model cargo KEAP1, however, could only 417 be accomplished by WT-p62 and mini-p62 retaining the structural context of 418 native p62 assemblies. Other TFG-PB1-p62 chimera polymers were not 419 capable of transferring KEAP1 to the lysosome. This finding suggests that the 420 PB1-scaffolded p62 polymers also provide critical 3D interfaces that are 421 topologically distinct from the KIR motif and participate in the recognition 422 process of KEAP1 cargo. In conclusion, larger p62 assemblies including ring-423 like structures and filaments are essential for disposal of autophagy cargo. The 424 precise structural context within the filament assembly is required for specific 425 cargo recognition of KEAP1, and possibly other p62-specific cargoes.

426 Methods

427 **Protein purification and biophysical characterization**

428 Protein purification. AtNBR1 residues 1-95 (NBR1-PB1), p62 residues 1-122 429 (p62-PB1) and TFG-1 residues 1-95 (TFG1-PB1) were cloned into a pETM44 430 expression vector containing a N-terminal His6-tag, followed by a maltose-431 binding protein (MBP) tag and a recognition sequence for 3C protease. Proteins 432 were expressed in *E. coli* BL21 (DE3) using auto-induction in lactose-containing 433 media (Studier, 2005). After 18 h, cells were harvested by centrifugation, re-434 suspended in lysis buffer (50 mM HEPES pH 8.0, 0.5 M NaCl, 0.05 mM TCEP, 435 0.1 % (v/v) Triton X-100) and lysed by three cycles of rapid freeze-thawing in 436 liquid nitrogen. After removal of cell debris by centrifugation, recombinant 437 proteins were purified by Ni-NTA affinity chromatography, and diafiltrated into 438 50 mM HEPES pH 7.5, 0.1 M NaCl, 0.05 mM TCEP) followed by proteolytic 439 cleavage of the His₆/MBP by incubation with 1:200 mol/mol 3C protease at 440 ambient temperature. After 1h, the cleavage solution was incubated with Talon 441 resin (Clontech) for 15 min and the resin subsequently sedimented by 442 centrifugation. The supernatant contained the respective PB1 domains in high 443 purity. p62 residues 1-122 (p62-PB1) were cloned into pOPTM and expressed 444 as an MBP fusion protein in E. coli BL21 (DE3) using auto-induction (Studier 445 2005). NBR1 residues 1-85 (NBR1-PB1), pKCζ residues 11-101 (pKCζ-PB1), 446 MEK5 residues 5-108 (MEK5-PB1) and MEKK3 residues 43-127 (MEKK3-PB1) 447 were cloned into the pETM11 containing an N-terminal His6-tag followed by a 448 recognition sequence for TEV protease. Proteins were expressed in E. coli 449 BL21 (DE3) using auto-induction (Studier 2005). For the gold labeling 450 experiments the His6-tag was not removed to allow binding of 5 nm Ni-NTA-451 Nanogold® (Nanoprobes). For consistency the His6-tag was also kept on the 452 proteins for the co-pelletation assay.

Thermal unfolding assays. For thermal denaturation assays protein was dialyzed into 15 mM HEPES (pH 7.5), 150 mM NaCl for pH screening or 100 mM HEPES (pH 7.5) for ionic strength screening. All additives were dissolved in 50 mM HEPES (pH 7.5). A volume of 12.5 μ l of a solution containing 500 ng protein was diluted in H₂O with 5× Sypro Orange (Sigma-Aldrich) and

458 immediately mixed with an equal volume of assay condition. All conditions were 459 assessed in triplicate. Fluorescence increase was monitored on a MyiQ real-460 time PCR instrument (BioRad). Assays were performed over a temperature 461 range of 15-90 °C using a ramp rate of 1 °C/min in steps of 0.5 °C. 462 Fluorescence data from triplicate measurements were baseline corrected 463 individually, and unfolding curves were normalized to maximum fluorescence to give fractional denaturation curves. The apparent T_m was determined as the 464 465 inflection point of a sigmoidal fit to the normalized fluorescence signal using a 466 customized routine in R.

467

468 **Quantification of PB1 binding affinities**

469 Isothermal titration calorimetry (ITC) experiments were carried out with a VP-470 ITC system (MicroCal). Experiments were performed at 25 °C in 10 mM HEPES 471 (pH 7.5), 150 mM NaCl. Purified p62¹⁻¹²² D69A/D73A was placed in the reaction 472 cell at a concentration of 5–20 µM with either MEK5 or NBR1 at a concentration 473 of 25–100 μ M in the injection syringe. Injections of 10 μ L of syringe solution 474 were performed at 4-min intervals. Integration of raw thermogram data, 475 baseline correction and data processing were performed with the NITPIC 476 (Scheuermann and Brautigam, 2015) and SEDPHAT (Zhao et al., 2015) 477 software packages. The data were corrected by the heat of injection calculated 478 from the basal heat remaining after saturation. A one-site binding mode was 479 used to fit the data using a nonlinear least squares algorithm (Brautigam et al., 480 2016). The values reported are the mean of three independent measurements 481 and errors represent the corresponding standard deviation.

482 Co-pelleting assay

Co-pelleting assay was performed according to the F-actin binding cosedimentation assay from Cytoskeleton Inc. In brief, p62-PB1¹⁻¹²², potential binding partner, or p62-PB1¹⁻¹²² together with potential binding partner were incubated for 1 h on ice followed by centrifugation at 49,000 g, 4 °C for 30 min in a TLA-100 rotor. The pellet and supernatant were assayed by SDS-PAGE and stained with Coomassie.

489 **Negative staining EM and filament length measurements**

490 p62-PB1¹⁻¹²² was incubated with different binding partners for 1 h on ice 491 followed by 30 min incubation with 5 nm Ni-NTA-Nanogold[®] (diluted 1:25). 492 Excess nanogold was removed through pelletation of filaments by 493 ultracentrifugation at 49,000 g, 4 °C for 30 min in a TLA-100 rotor, and the pellet 494 fraction was resuspended in 20 mM HEPES pH 8, 50 mM NaCl. The sample 495 (3.6 µl) was applied to a glow-discharged carbon-coated EM grid and blotted 496 according to the side blotting method (Ohi et al., 2004). Grids were imaged 497 using a Morgagni 268 transmission electron microscope (FEI) operated at 100 kV with a side-mounted 1K CCD camera. Filament length for p62-PB1¹⁻¹²² and 498 499 p62-PB1¹⁻¹²²/HsNBR1¹⁻⁸⁵ were measured using Fiji (Schindelin et al., 2012) and statistical analysis was done using a two-tailed unpaired t-test with Welch's 500 501 correction in GraphPad Prism 6.0.

502 Electron cryo-microscopy and image processing

Microscopy. For AtNBR1¹⁻⁹⁵, a total of 3.0 µl of 0.4 mg/ml AtNBR1-PB1 was 503 504 applied to glow-discharged C-flat grids (CF-1.2/1.3-2C, 400 mesh holey carbon 505 on copper; Protochips) on a Leica GP2 vitrification robot (Leica, Germany) at 506 95% humidity and 25 °C. The sample was incubated for 10 s on the grid before 507 blotting for 2 s from the back side of the grid and immediately flash-frozen in 508 liquid ethane. Micrographs were acquired at 300 kV using an FEI Titan Krios 509 (Thermo Fisher Scientific) equipped with a Falcon II direct detector at a 510 magnification of 59,000, corresponding to a pixel size of 1.386 Å at the 511 specimen level. Image acquisition was performed with EPU Software (Thermo 512 Fisher Scientific) and micrographs were collected at an underfocus varying 513 between 0.5 and 4.5 µm. We collected a total of seven frames accumulating to 514 a dose of 14 e⁻/Å⁻² over 0.82 sec. In total, 742 micrographs were acquired, of 515 which we selected 684 for further processing after discarding micrographs that did not show Thon rings exceeding 6 Å. 516

517 For p62¹⁻¹²², L-type filaments were enriched by the following procedure: 0.2 mg 518 p62-PB1 (100 μ l) was ammonium sulfate precipitated (25 % v/v) and incubated 519 o/n at 4 °C. Sample was spun at 17,000 g for 15 min at 4 °C and pellet was 520 resuspended in 50 mM TRIS (pH 7.5), 100 mM NaCl, 4 mM DTT. This 521 ammonium sulfate precipitation was repeated a second time. In the final step sample was centrifuged at 49,000 g for 45 min at 4 °C and pellet resuspended 522 523 in 25 µl. A total of 3.6 µl resulting p62-PB1¹⁻¹²² solution was applied to glow-524 discharged Quantifoil R2/1 Cu 400 mesh grids on a Vitrobot Mark IV (Thermo 525 Fisher Scientific) at 10°C and 100% humidity. The sample was blotted for 5 s 526 from both sides and flash-frozen in liquid ethane after a drain time of 1 s. 527 Micrographs were acquired at 300 kV using a FEI Titan Krios (Thermo Fisher 528 Scientific) with a K2 Summit detector (Gatan, Inc.), a pixel size of 1.04 Å and 529 an underfocus ranging from 0.5 to 2.5 µm. 40 frames were collected in counting 530 mode with a dose rate of 4.5 e⁻/Å²s and a total dose of 40 e⁻/Å². In total, 2277 531 micrographs were automatically collected and 856 micrographs without ice 532 contamination or carbon chosen for further processing.

533 **Image processing.** For the AtNBR1 dataset, movie frames were aligned using 534 MOTIONCORR (Li et al., 2013). The resulting frame stacks and integrated 535 images (total frame sums) were used for further processing. The contrast-536 transfer function of the micrographs was determined with CTFFIND4 using the 537 integrated images. Helix coordinates were picked using e2helixboxer.py from 538 the EMAN2 package (Tang et al., 2007). Initially a subset of 100 images was 539 selected for preliminary processing in SPRING (Desfosses et al., 2014). Briefly, overlapping helix segments of 350 x 350 Å dimensions were excised from the 540 541 frame-aligned images with a mean step size of 60 Å using the SEGMENT 542 module in SPRING. In-plane rotated, phase-flipped segments were subjected 543 to 2D classification by k-means clustering as implemented in SPARX (Hohn et 544 al., 2007). During a total of five iterations, the segments were classified and 545 iteratively aligned against a subset of class averages chosen based on the quality of their power spectra. Class averages revealed two distinct helix types 546 547 referred to as S-type and L-type. We determined the helical symmetry for the 548 L-type helices by indexing of the power spectra obtained from the 2D 549 classification. Final symmetry parameters were determined with a symmetry 550 search grid using SEGMENTREFINE3DGRID. For 3D refinement and 551 reconstruction, the excised segments were convolved with the CTF and no in-552 plane rotation was applied prior to reconstruction. Starting from the symmetry

553 parameters obtained for the L-type helix, symmetry parameters of the S-type 554 helix were refined. The maximum of the mean cross correlation peak between computed and experimental power spectra was found at a pitch of 70 Å, 11.55 555 units per turn for the two-start L-type helix and a pitch of 68.2 Å, 11.55 units per 556 557 turn for the one-start S-type helix. Using the refined symmetry parameters, we 558 performed a competitive high-resolution multi-model structure refinement using all 684 images with a final resolution of 4.5/3.9 Å and 5.0/4.4 Å (FSC 0.5/0.143) 559 560 for the two-start (L-type) and one-start (S-type) helix reconstructions (Table 561 S2).

562 For the p62¹⁻¹²² dataset, movie frames were aligned in RELION3 (Zivanov et al, 563 2018) using 5x5 patches. The contrast-transfer function of the micrographs was 564 determined with Gctf (Zhang, 2016). Helix coordinates were automatically 565 picked in RELION3 and segments extracted with a step of 22.5 Å, binning 2 566 and an unbinned box size of 256 pixels. 2D classification with 100 classes was 567 performed and classes were selected that showed secondary structure 568 features. Two separate subsequent 2D classifications were performed with two 569 distinct groups of 2D classes belonging to an S-type and L-type pattern. Using 570 SEGCLASSRECONSTRUCT from the SPRING package (Desfosses et al., 571 2014) a series of putative helical symmetry solutions could be obtained. In 572 addition to running a series of refinements with these symmetry solutions, a C1 573 reconstruction provided additional hints for symmetry parameters. Imposition of 574 wrong symmetry parameters led to smeared density features whereas only the 575 correct symmetries for both filament types led to recognizable high-resolution 576 side-chain features. Helical symmetry was automatically refined in RELION to 577 77.3° helical rotation and 4.8 Å rise for the S-type and 26.5° rotation and 9.8 Å 578 rise for the L-type, respectively (Table S2). Focussed refinement was 579 performed using a mask covering the central 25% of the filament along the 580 helical axis. This approach improved the resulting resolution for the L-type, but not for the S-type. Final resolution was estimated at 3.5 Å and 4.0 Å using the 581 582 FSC and the 0.143 criterion cutoff (Rosenthal and Henderson, 2003), for the L 583 and S-type respectively.

Post-processing and model building. For visual display and model building, 585 586 the AtNBR1 EM density map of the individual reconstructions were initially filtered to 3.9 Å and 4.4 Å respectively and sharpened using a B-factor of -200 587 Å². The AtNBR1-PB1 subunit model was built into the 3.9 Å density map of the 588 589 L-type arrangement *de novo* in COOT (Emsley and Cowtan, 2004). Residues 590 81-85 could not be built *de novo* due to weak density, but were added based 591 on the high-resolution crystal structure obtained in this study which showed 592 good agreement with the weak density. For the p62-PB1 (3-102) map, the NMR 593 structure from rattus norvegicus (PDB ID 2kkc) was rigid-body fitted into the 594 RELION-postprocessed density of the L-type filament and then manually 595 adjusted to the human sequence in COOT (Emsley and Cowtan, 2004). The 596 models were expanded using helical symmetry and a nine-subunit segment 597 was excised to serve as a refinement target taking into account interactions 598 along the azimuthal propagation and lateral interactions along the helical axis. 599 Following real-space refinement in PHENIX (Adams et al., 2010), we used 600 model-based density scaling (Jakobi et al., 2017) to generate locally sharpened 601 maps and completed the model in COOT followed by further iterations of real-602 space refinement. The final monomer atomic model from the L-type 603 arrangement was rigid-body fitted into the S-type density and refinement of the 604 model was performed as described above.

605 X-ray crystallography

Crystals of AtNBR1¹⁻⁹⁵ carrying a D60A/D62A mutation were grown using 606 607 hanging drop vapour diffusion at 292 K by mixing equal volumes of 11 mg ml⁻¹ 608 protein and reservoir solution. Within 10 h, crystals appeared as needle clusters 609 in 0.085 M MES (pH 6.5), 18.2% (w/v) PEG20000. Isolated needles (10×2×4 610 µm) were obtained by streak seeding with a cat whisker into 0.1 M MES (pH 611 6.5), 18-20% (w/v) PEG20000 or 0.1 M sodium cacodylate (pH 6.5), 0.2 M 612 (NH₄)₂SO₄, 30-33% PEG8000. For cryo-protection, crystals were soaked in the 613 crystallization condition supplemented with 15% (v/v) glycerol. Diffraction data 614 were collected on the ID23-2 microfocus beamline at the European Synchrotron 615 Radiation Facility (ESRF) and processed with XDS (Kabsch, 2010) (Kabsch, 616 1993) and AIMLESS (Evans and Murshudov, 2013). Initial attempts to solve

617 the crystal structure using the cryo-EM atomic model were unsuccessful. The 618 crystal structure was solved using molecular replacement using the monomer 619 density from the L-type cryo-EM reconstruction as the search model. Briefly, the monomer density was obtained by cutting out density extending 4.5 Å 620 621 beyond the atomic coordinates. The extracted map segment was centred in a 622 P1 unit cell extending over three times the maximum map dimension, converted 623 to structure factors using a in house, customized CCTBX (Grosse-Kunstleve et 624 al., 2002) routine and used for automated molecular replacement in PHASER 625 (Mccoy et al., 2007). The top scoring solution had a translation function Z-score 626 of 16.5. Henderson-Lattmann coefficients were generated from the figure-of-627 merit (FOM) obtained from the PHASER solution and employed for phase 628 extension using the high-resolution X-ray crystallographic data by density 629 modification in RESOLVE (Terwilliger et al., 2013), yielding excellent electron 630 density. Using the 1.9 Å data, the model was built using Arp/Warp (Langer et 631 al., 2008) and completed manually in COOT. Table 1 summarizes data 632 collection and refinement statistics.

633 **Correlative light and electron microscopy**

634 For CLEM, RPE1 cells were transiently transfected with pDestEGFP-NBR1(D50R) (Kirkin et al., 2009) and grown on photo-etched coverslips 635 636 (Electron Microscopy Sciences, Hatfield, USA). Cells were fixed in 4% 637 formaldehyde, 0.1% glutaraldehyde/0.1 M PHEM (240 mM PIPES, 100 mM 638 HEPES, 8 mM MgCl₂, 40 mM EGTA, pH 6.9), for 1h. The coverslips were then 639 washed in PBS containing 0.005% saponin and stained with the indicated 640 primary antibodies for 1 hour (rabbit anti-p62 (MBL, PM045), mouse anti-NBR1 641 (Santa Cruz, #sc-130380)), washed three times in PBS/saponin, stained with 642 secondary antibodies (from Jackson ImmunoResearch Laboratories) for 1 643 hour, washed three times in PBS and shortly rinsed in water. The cells were 644 mounted with Mowiol containing 2 µg/ml Hoechst 33342 (Sigma-Aldrich). 645 Mounted coverslips were examined with a Zeiss LSM780 confocal microscope (Carl Zeiss Microlmaging GmbH, Jena, Germany) utilizing a Laser diode 405-646 30 CW (405 nm), an Ar-Laser Multiline (458/488/514 nm), a DPSS-561 10 (561 647 648 nm), and a HeNe-laser (633 nm). The objective used for confocal microscopy

was a Zeiss plan-Apochromat 63x/1.4 Oil DIC III. Cells of interest were 649 650 identified by fluorescence microscopy and a Z-stack was acquired. The relative 651 positioning of the cells on the photo-etched coverslips was determined by 652 taking a DIC image. The coverslips were removed from the object glass, 653 washed with 0.1 M PHEM buffer and fixed in 2 % glutaraldehyde/0.1 M PHEM 654 for 1h. Cells were postfixed in osmium tetroxide, stained with tannic acid, 655 dehydrated stepwise to 100 % ethanol and flat-embedded in Epon. Serial 656 sections (~100-200 nm) were cut on an Ultracut UCT ultramicrotome (Leica, 657 Germany), collected on formvar coated mesh-grids, and poststained with lead 658 citrate.

659 Electron tomography from cellular sections

660 Samples were observed using a FEI Talos F200C electron microscope 661 (Thermo Fisher Scientific, Netherlands). Image series were taken between -60° 662 and 60° with 2° increment. Single-tilt or double-tilt series (as indicated in the 663 text above) were recorded with a Ceta 16M camera. Single axis tomograms 664 were computed using weighted back projection and, when applicable, merged 665 into a dual-axis tomogram using the IMOD package. Display and animation of 666 segmentation of tomograms were performed using a scripted workflow in 667 ImageJ and IMARIS.

668 Autophagy and p62 turnover assays

Antibodies and reagents. The following antibodies were used: mouse anti-Myc antibody (Cell Signaling, Cat.#2276#, 1:8000 for western blots and 1:5000 for confocal imaging); rabbit anti-GFP antibody (Abcam, ab290, 1:5000); guinea pig anti-p62 antibody (Progen, Cat#Gp62-C#, 1:5000); rabbit anti-Actin antibody (Sigma, Cat#A2066#, 1:1000); Alexa Fluor® 647-conjugated goat anti-mouse IgG (A21236, 1:1000); HRP-conjugated goat anti-mouse IgG (1:5000), goat anti rabbit IgG (1:5000) and goat anti-guinea pig IgG (1:5000).

676

677 **Generation of HeLa cells KO for p62 by CRISPR/Cas9.** To generate 678 CRISPR/Cas9 p62 gRNA plasmid, sense and antisense p62 gRNA were 679 annealed and then inserted into plasmid pX330 (Ref PMID: 23287718). For 680 generation of CRISPR/Cas9 p62 KO cells, approximately 30,000 HeLa cells 681 were seeded per well into 24 well plates and transfected with plasmid pX330 682 p62 gRNA using Metafectene Pro (Biontex T040). For clonal selection, cells 683 were treated with 500 ng/ml of puromycin 24 hours after transfection for 48-72 684 hours. Later, single cells were sorted into 96 well plate using FACS 685 (fluorescence-activated cell sorting). These clones were allowed to grow for 7-686 10 days before screening for KO using immunoblotting. The following sense 5'-687 5'-CACCGTCATCCTTCACGTAGGACA-3' and antisense 688 AAACTGTCCTACGTGAAGGATGAC-3' gRNAs were used.

689

690 Construction of Plasmids. The gateway entry clone pENTR-p62 has been 691 described previously (Lamark et al., 2003). pENTR-p62 Δ 123-319 was made 692 by deletion of pENTR-p62. TFG-p62 fusion constructs were produced by 693 InFusion PCR. To subclone the TFG-p62 fusion constructs into an ENTRY 694 vector, an Ncol site was inserted into the start codon of p62 in pENTR-p62, 695 creating pENTR-p62_{CCATGG}. The start codons in TFG-p62 (AJD152) and TFG-696 mini-p62 (AJD157) already have Ncol sites, and there is an additional Ncol site 697 close to the end of the p62 cDNA sequence in pENTR-p62, TFG-p62 and TFG-698 mini-p62. To replace wild type p62 of pENTR-p62_{CCATGG}, TFG-p62 from 699 AJD152 and AJD157 were subcloned as Ncol fragments into pENTR-700 p62CCATGG cut with Ncol. creating pENTR-TFG-p62 and pENTR-TFG-mini-701 p62, respectively. Gateway LR recombination reactions were performed as 702 described in the Gateway cloning technology instruction manual (Invitrogen). 703 Gateway expression clones pDest-Myc-p62, pDest-EGFP-p62 and pDest-704 mCherry-EGFP-KEAP1 have been described previously (Jain et al., 2010; 705 Lamark et al., 2003). pDest-Myc-p62 A123-319, pDest-Myc- TFG-p62 and 706 pDest-Myc- TFG-mini-p62 were made by Gateway LR reactions using 707 destination vector pDest-Myc (Lamark et al., 2003; mammalian expression of 708 N-terminal Myc-tagged proteins). pDest-EGFP-p62 \triangle 123-319, pDest-EGFP-709 TFG-p62 and pDest-EGFP-TFG-mini-p62 were made using destination vector 710 pDest-EGFP-C1 (Lamark et al., 2003; mammalian expression of N-terminal 711 EGFP-tagged proteins). pDest-mCherry-EYFP-p62, pDest-mCherry-EYFP-

p62 △123-319, pDest-mCherry-EYFP- TFG-p62 and pDest-mCherry-EYFPTFG-mini-p62 were made using destination vector pDest-mCherry-EYFP
(Bhujabal et al., 2017; mammalian expression of N-terminal mCherry-EYFP
double tagged proteins).

716

717 **Cell culture and transfections.** HeLa p62 KO cells were cultured in Eagle's 718 minimum essential medium with 10 % fetal bovine serum (Biochrom AG, 719 S0615), non-essential amino acids, 2 mM L-glutamine and 1 % streptomycin-720 penicillin (Sigma, P4333). For transfection was used the same media but 721 without 1% streptomycin-penicillin. Cells were fixed in 4 % PFA for 20 min at 722 room temperature. For immunostaining, cells were permeabilized with cold 723 methanol for 5 min at room temperature, blocked in 3 % goat serum/PBS and 724 incubated at room temperature with antibodies. For DNA staining was used 725 1:4000 dilution in PBS of DAPI (Thermo Scientific; pr.66248). Samples were 726 mounted using Mowiol 4-88 (Calbiochem 475904). Cells were examined using 727 a Zeiss LSM780 microscope with a 63 x 1.4 oil-objective or a Leica TCS SP8 728 confocal microscope, 40 X 1.3 oil-objective.

729

730 Western blot analyses. Transfected HeLa p62 KO cells were harvested in 50 731 mM Tris pH 7.4, 2 % SDS, 1 % glycerol. Cell lysates were cleared by 732 centrifugation, and supernatants resolved by SDS-PAGE and transferred to 733 Hybond-ECL nitrocellulose membrane (GE healthcare). The membrane was 734 blocked with 5 % nonfat dry milk in PBS-T, incubated with primary antibody 735 overnight and HRP-conjugated secondary antibody for 1 hour at room 736 Proteins temperature. were detected bv immunoblotting with а 737 chemiluminescence Luminol kit (SC-2048, Santa Cruz Biotechnology) using a 738 LumiAnalyst Imager (Roche Applied Sciences).

739

740 Accession numbers

The PDB accession number for the atomic coordinates and structure factors for
the AtNBR1-PB1 X-ray crystal structure reported in this paper is PDB-xxx. The
EMDB accession numbers for the L- and S-type AtNBR1-PB1 cryo-EM maps

and models are EMD-xxx/EMD-yyy, and PDB-xxx/PDB-yyy and those for the
L- and S-type p62-PB1 cryo-EM maps and atomic coordinate models are EMD-

746 xxx/EMD-yyy and PDB-xxx/PDB-yyy, respectively.

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759 Competing interests

760 The authors declare no financial or non-financial competing interest.

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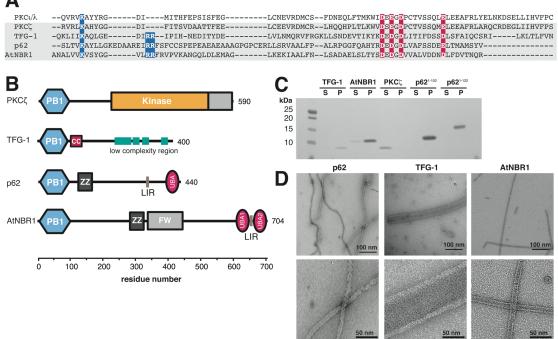
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Figure legends 919



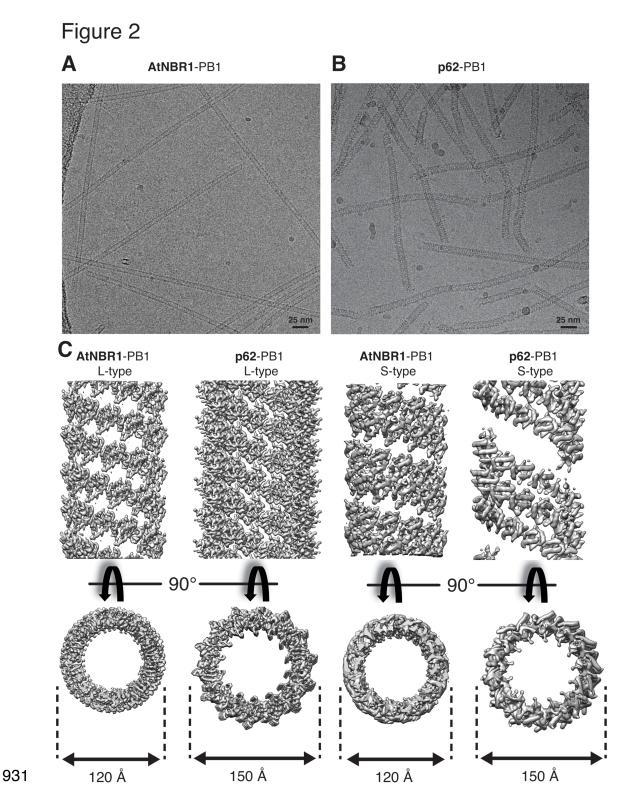
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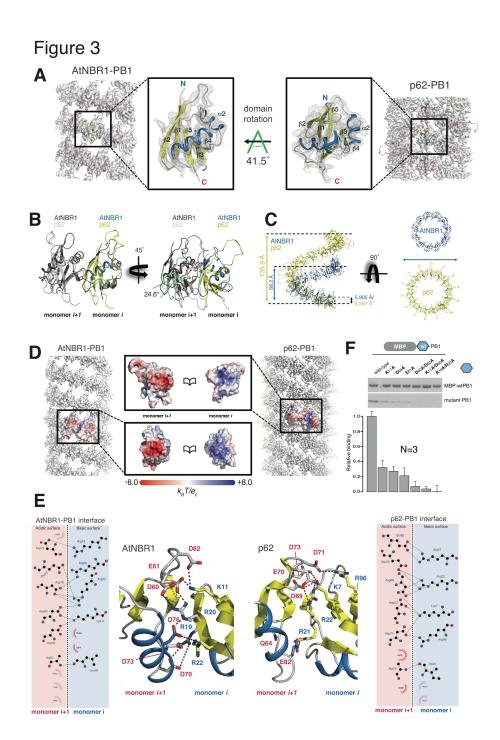
921 Figure 1. Type A/B PB1 domains and their capability to form polymers.

922 (A) Sequence alignment of the type A/B PB1 domains with highlighted tandem arginine motif. (B) Domain architecture of PKCz, TFG-1, p62, and AtNBR1 923 proteins. (C) Pelletation assay of purified type A, B or AB PB1 domains: TFG-924 1, AtNBR1, PKCZ, p62¹⁻¹⁰² and p62¹⁻¹²². Corresponding lanes of soluble (S) and 925 926 pellet (P) fraction are shown. Only PKCZ remains soluble whereas TFG-1, 927 AtNBR1 and p62 are found in the pellet. (D) Electron micrographs of negatively stained specimens reveal elongated filamentous p621-122, tubular polymers of 928 TFG-1 and AtNBR1 of 145 \pm 5, 900 \pm 52 and 120 \pm 4 Å nm in diameter, 929 930 respectively.





933 (A) Electron cryo-micrograph of AtNBR1-PB1 and (B) p62-PB1¹⁻¹²² assemblies.
934 (C) Side and top views for determined cryo-EM structures of L-type AtNBR1935 PB1 (far left), p62-PB1 (left) and S-type assembly of AtNbr-PB1 (right), p62936 PB1 (far right).



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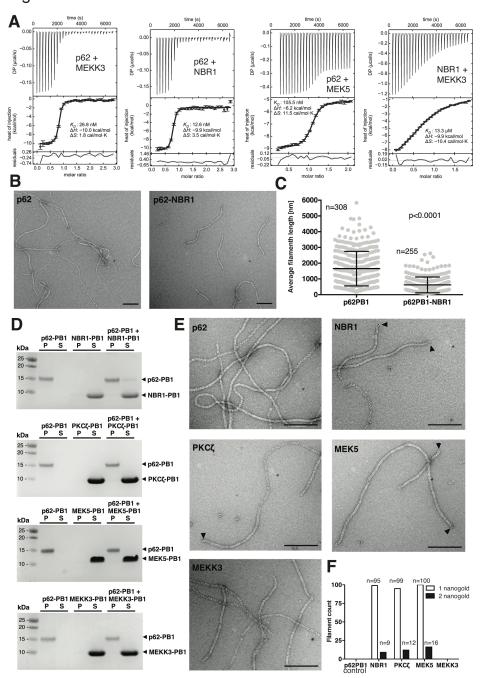
938 Figure 3. Structural basis of PB1 polymer formation.

(A) Cryo-EM density maps of AtNBR1-PB1 (left) and p62-PB1 filaments are
shown with atomic models superposed on the density. Close-ups show that
both PB1 domains display the canonical ubiquitin-like fold (center left and
center right). The arrow indicates the rotation of the p62-PB1 subunit relative to
the AtNBR1-PB1 subunit in their respective assemblies. (B, C) Differences in
the PB1-PB1 interface give rise to different helical architectures. (Left)
Monomer *i* of AtNBR1 (blue) and monomer *i* p62 (yellow) were superposed to

visualize the degree of domain rotation towards the next monomer along the
helical rung (monomer i+1). (Right) Adjacent subunits along the helical rung for
AtNBR1 display a 25° inward rotation compared with adjacent subunits of p62,
explaining the observed differences in helical symmetry and diameter of
AtNBR1-PB1 and p62-PB1 filaments, respectively (C).

- 951 (D) Electrostatic potential surface of the determined AtNBR1-PB1 and p62-PB1 952 structures. For both structures, the propagation of the helical structure is 953 mediated and stabilized by positively and negatively charged surfaces on 954 opposite faces of the PB1 fold. (E) Schematic illustration and detailed 955 interactions of the PB1-PB1 interface as determined from the AtNBR1-PB1 and 956 p62-PB1 cryo-EM structures, respectively. The structures are shown in cartoon 957 representation highlighting key electrostatic residue contacts shown as sticks. 958 (F) In vitro pulldown with maltose-binding protein (MBP)-tagged wild-type
- AtNBR1-PB1 of structure-based AtNBR1-PB1 domain mutants.

Figure 4



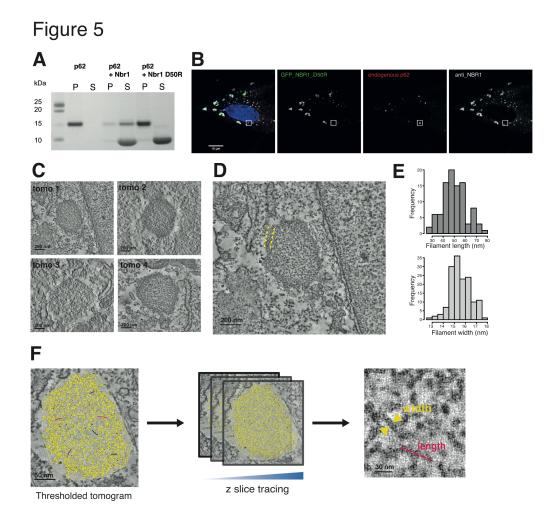
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961 Figure 4. Interactions of p62-PB1 with other PB1 domain proteins.

962 (A) Quantitative determination of PB1 binding affinities by isothermal titration
963 calorimetry. Data represent mean and standard deviations from three
964 independent experiments. (B) Representative electron micrographs of
965 negatively stained p62-PB1¹⁻¹²² (left) and p62-PB1¹⁻¹²² incubated with human
966 NBR1-PB1 (right). (C) Quantification of filaments length of P62-PB1¹⁻¹²²
967 filaments before and after incubation with NBR1-PB1. (D) Co-sedimentation

- 968 assays of p62-PB1¹⁻¹²² with NBR1-PB1, PKCζ-PB1, MEK5-PB1 and MEKK3-
- 969 PB1 (S = Supernatant; P = Pellet). Control experiments of p62-PB1¹⁻¹²² and the
- 970 respective PB1 interactor alone are also shown. (E) Representative electron
- 971 micrographs of negatively stained p62-PB1¹⁻¹²² with nanogold-labeled NBR1-
- 972 PB1, PKCζ-PB1, MEK5-PB1 or MEKK3-PB1. (F) Quantification of p62-PB1¹⁻¹²²
- 973 filaments displaying one or two nanogold-labeled PB1 interaction domains.
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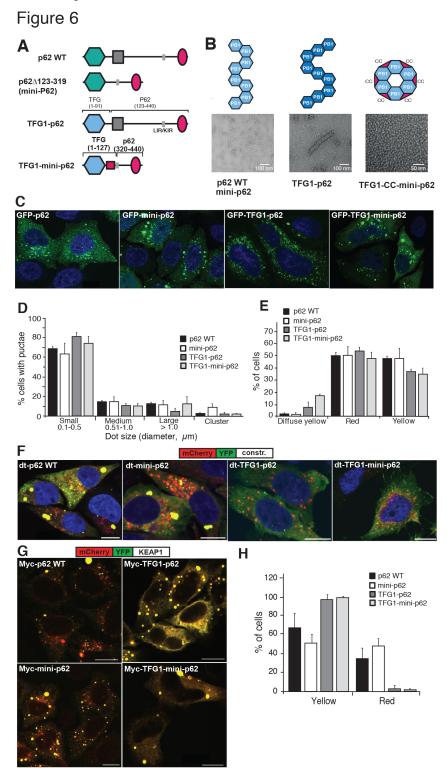


977 Figure 5. CLEM visualization of p62 bodies in cells.

978 (A) Effect of human NBR1-D50R mutation on p62 filaments. SDS-PAGE 979 analysis of pelletation assay showing that p62-PB1 filaments are not disrupted 980 by NBR1-PB1 with a D50R mutation (P = pellet; S = supernatant). (B) Representative confocal fluorescence images showing p62 and NBR1 in RPE1 981 982 cells. Co-localization analysis of fixed RPE1 cells stably expressing 983 NBR1(D50R) shows no overlap of NBR1(D50R) with p62 bodies. (C) 984 Representative electron tomogram slices of p62 bodies localized by CLEM. (D) 985 Enlarged view of a representative tomogram slice from the highlighted p62 986 body in (B) reveals the filament-like meshwork of p62 bodies. Note the apparent 987 phase separation of the p62 body from the cytosol. The ring of increased 988 density surrounding the bodies is indicated by arrows. (E) Distribution of 989 estimated filament length and width from tracing in thresholded tomograms. (F)

990 Schematic illustration of width and length measurements performed in

991 thresholded tomograms.



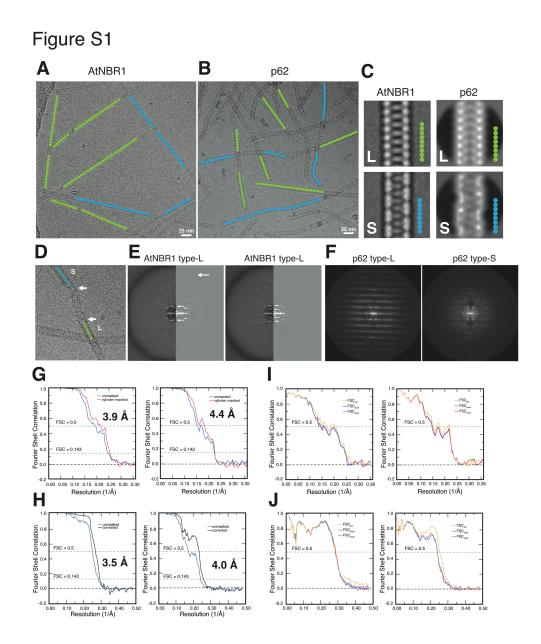
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993 Figure 6. Cellular assays of p62 polymeric state.

994 (A) Schematic illustration of used p62 constructs and chimeras. (B) 995 Representative, negatively stained electron micrographs of purified p62

996 constructs and chimeras from (A) including illustration of polymeric and 997 oligomeric forms observed by negative staining electron microscopy. (C) 998 Confocal fluorescent images of HeLa p62 (KO) cells expressing GFP-tagged 999 constructs and chimeras. All examined constructs form punctate structures. (D) 1000 Quantification of the number of p62 bodies forming dots of various size. (E) 1001 Quantification of cells displaying yellow and red dots in (F). (F) Representative confocal fluorescence images of HeLa p62 (KO) cells expressing mCherry-1002 1003 YFP-tagged (dt-tagged) p62 constructs and chimeras. The appearance of red 1004 puncta (as an indicator of lysosomal localization) for all constructs indicates that 1005 all constructs and chimeras can be processed by autophagy. Punctae were 1006 counted and classified based on more than 100 cells in each condition in three 1007 independent experiments. (G) Representative confocal fluorescence images of 1008 HeLa p62 (KO) cells expressing the respective p62 constructs and chimeras, 1009 as well as mCherry-YFP-tagged KEAP1. (H) Statistics of appearance of 1010 lysosome-localized and cytosolic dots for mCherry-YFP-tagged KEAP1.

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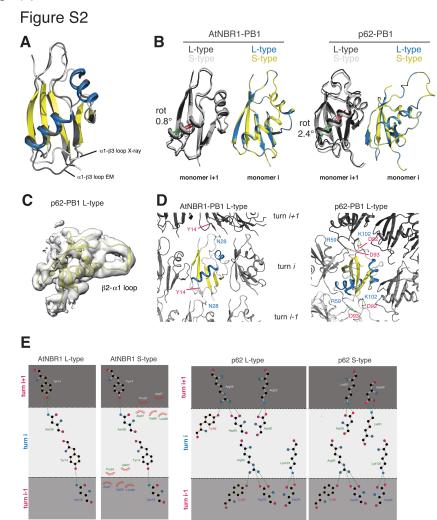


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1014 Figure S1. Electron cryo-microscopy of AtNBR1-PB1 and p62-PB1.

1015 (A) Representative micrographs of AtNBR1-PB1 with helix traces of segment 1016 centers classified as L-type (green) or S-type (blue) superposed. (B) 1017 Representative micrographs of p62-PB1 with center traces of segments classified as L-type (green) or S-type (blue) superposed. (C) Low-pass filtered 1018 1019 class averages of L-type (top) and S-type (bottom) AtNBR1-PB1 and p62-PB1 1020 assemblies. (D) Representative image showing transitions between L and S-1021 type assemblies for p62-PB1 (E) Side-by-side power spectra of L-type (top) and 1022 S-type (bottom) AtNBR1-PB1 assemblies with the power of sum of segments 1023 (left) and that simulated from re-projection of the 3D structure (right). Arrows

1024 indicate high-resolution meridional layer lines. (F) Power spectra of L-type (top) 1025 and S-type (bottom) p62-PB1 assemblies with the summed power spectra of 1026 the 2D classes. (G) Fourier shell correlation for 3D reconstruction of L-type (left) 1027 and S-type (right) AtNBR1-PB1 assemblies. (H) Fourier shell correlation for 3D 1028 reconstruction of L-type (left) and S-type (right) p62-PB1 assemblies. (I) Model 1029 vs. map Fourier shell correlation for L-type (left) and S-type (right) AtNBR1-PB1 1030 assemblies. (J) Model vs. map Fourier shell correlation for L-type (left) and S-1031 type (right) p62-PB1 assemblies.

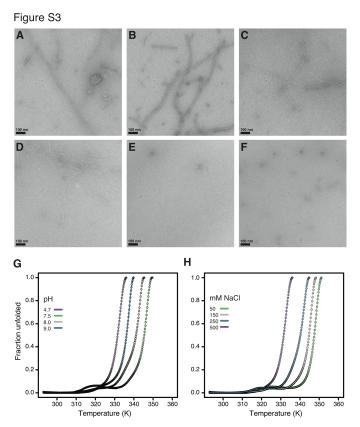


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Figure S2. Atomic models from crystal and cryo-EM structures of
 AtNBR1-PB1¹⁻⁹⁵ and p62-PB1¹⁻¹²².

(A) Superposition of cartoon representation of atomic models from the 1.6 Å
crystal structure of AtNBR1-PB1 and the de novo-built model based on the 3.9
Å cryo-EM AtNBR1-PB1 density map. Marked differences are observed in loop
regions mediating lateral contacts. (B) Superposition of atomic models for L-

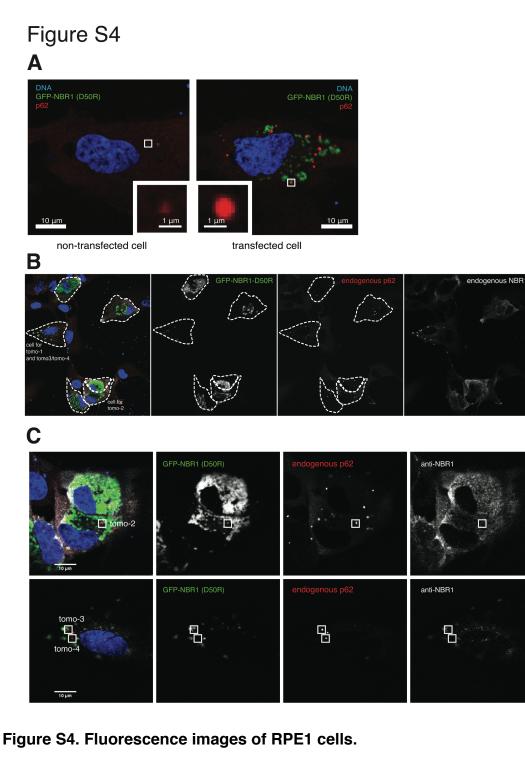
1039 type and S-type assemblies for AtNBR1-PB1 (left) and p62-PB1 (right). 1040 Monomer *i* for each assembly is superposed and the difference in rotation of 1041 adjacent subunit *i+1* are indicated. Only minor differences are observed. (C) 1042 LocScale map for L-type p62-PB1 cropped around one monomer. (D) Lateral 1043 contacts formed along the helical axis shown for AtNBR1-PB1 (left) and p62-1044 PB1 (right). Subunits are shown in cartoon representation and relevant residue 1045 contacts are highlighted with side-chains shown as stick. (E) Schematic 1046 representation of common longitudinal contacts formed in AtNBR1-PB1 and 1047 p62-PB1 helices.



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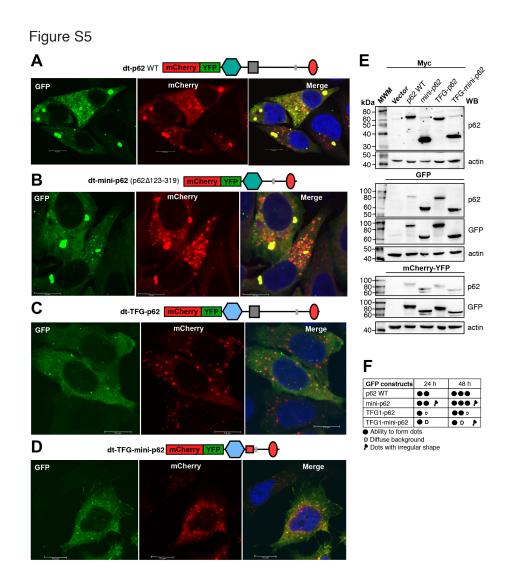
1049 Figure S3. Electrostatic interactions drive type PB1 filament formation.

(A-F) Negative-stain electron micrographs of AtNBR1-PB1 at increasing NaCl
concentrations (A, 0 mM; B, 50 mM; C, 100 mM; D, 150 mM; E, 250 mM; F,
500 mM) illustrate how ionic strength weakens PB1 homo-oligomerization and
affects filament length. (G/H) Thermofluor protein unfolding curves demonstrate
that high ionic strength, as well as low and high pH destabilize a
thermodynamically favorable (filamentous) state of AtNBR1-PB1.



(A) Representative confocal fluorescence image of RPE1 cells expressing or
not expressing NBR1(D50R). Note the difference in average dot size of
mCherry-p62 observed for both cases. (B) Overview fluorescence image
showing the cells used for tomogram acquisition. Cells are outlined and the
tomogram number is indicated. (C) Close-up view of cells in (B) indicating the
subcellular position for tomogram acquisition.

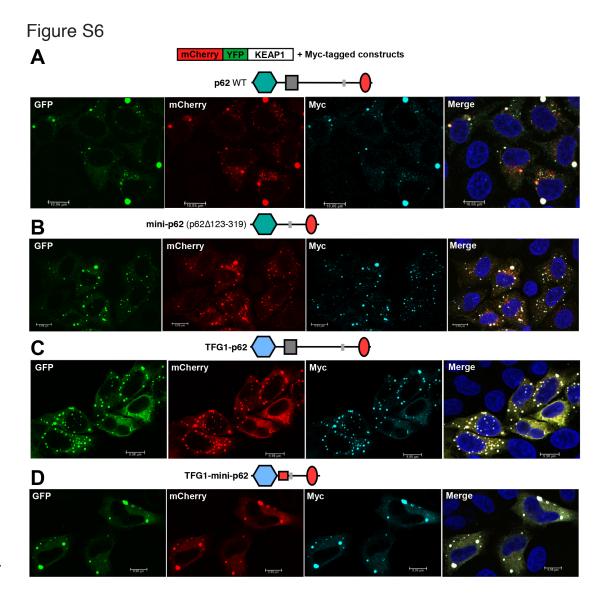
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1065 **Figure S5. Chimera variants of p62 with the PB1 domain exchanged with** 1066 **a related domain from TFG is efficiently degraded by autophagy.**

(A-D) Representative confocal images of HeLa p62 KO cells transiently 1067 transfected with the indicated p62 constructs fused to the mCherry-YFP double 1068 tag. Efficient degradation by autophagy is indicated by the accumulation of red-1069 1070 only dots. (E) Representative western blots using extracts from HeLa cells 1071 transiently transfected with the indicated p62 constructs fused to Myc (top), GFP (middle) or mCherry-YFP (bottom). p62, GFP or actin antibodies were 1072 1073 used as indicated. (F) Graphic presentation of phenotypes observed by confocal imaging of cells transfected with p62 constructs fused to GFP (number 1074 1075 of dots, morphology of dots, and amount of diffuse protein). Cells were analyzed 1076 24h and 48h after transfection.



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Figure S6. p62-mediated degradation of co-expressed KEAP1 depends on the native PB1 domain of p62.

(A-D) Representative confocal images of HeLa p62 KO cells transiently cotransfected with mCherry-YFP tagged KEAP1 and the indicated p62 constructs
fused to Myc. Degradation of KEAP1 by autophagy (accumulation of red-only
dots) is seen in cells co-transfected with full-length p62 or a mini-p62 deleted
for residues 123-319, but not in cells co-transfected with chimera constructs
containing the PB1 domain of TFG1.

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1089 **Table S1. X-ray crystallography data collection and refinement statistics.**

Data collection statistics		
Wavelength		
Resolution range	37.9 – 1.53 (1.585 - 1.53)	
Space group	P 21 21 2	
Unit cell	43.13 79.44 24.14 90 90 90	
Total reflections	25830 (2499)	
Unique reflections	13035 (1271)	
Multiplicity	2.0 (2.0)	
Completeness (%)	99.22 (99.30)	
Mean I/sigma(I)	10.45 (1.42)	
Wilson B-factor	20.99	
R-merge	0.02799 (0.4132)	
R-meas	0.03958 (0.5844)	
R-pim	0.02799 (0.4132)	
CC1/2	0.999 (0.655)	
CC*	1.00 (0.89)	
Model refinement		
Reflections used in refinement	13030 (1271)	
Reflections used for R-free	669 (53)	
R-work	0.2199 (0.3688)	
R-free	0.2492 (0.4036)	
CC(work)	0.943 (0.724)	
CC(free)	0.937 (0.592)	
Model refinement		
Number of non-hydrogen atoms	822	
macromolecules	723	
ligands	64	
solvent	35	
Protein residues	88	
RMS (bonds)	0.007	
RMS (angles)	0.79	
Ramachandran		
favored (%)	100.00	
allowed (%)	0.00	
outliers (%)	0.00	
Rotamer outliers (%)	2.60	
Clashscore	3.47	
Average B-factor	33.46	
macromolecules	31.66	
ligands	48.45	
solvent	43.43	
*Statistics for the highest-resolution shell are shown in parentheses.		

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*Statistics for the highest-resolution shell are shown in parentheses.

1091 Table S2. Cryo-EM data collection and model refinement statistics.

	AtNBR1-PB1 ¹⁻⁹⁵ (EMD-XXXX, EMD-YYYY)	p62-PB1 ¹⁻¹²² (EMD-XXXX, EMD-YYYY)
Data collection and processing		
Magnification	105kx	130kx
Voltage (kV)	300	300
Electron exposure (e-/Å)	17	40
Defocus range (um)	1.0-4.0	0.5-2.5
Pixel size (Å)	1.386	1.040
Symmetry imposed	S-type: C1	S-type: C1
	L-type: C2	L-type: C2
Final no. particle images	S-type: 18,021	S-type: 51,679
	L-type: 25,387	L-type: 51,853
Helical rise (Å)	S-type: 5.905	S-type: 9.78
	L-type: 6.721	L-type: 4.787 (9.574)*
Helical twist (°)	S-type: -31.17	S-type: -26.48
	L-type: -31.44	L-type: 77.29 (-25.42)*
Global map resolution (Å, FSC=0.143)	S-type: 4.4	S-type: 4.0
	L-type: 3.9	L-type: 3.5
Local map resolution range (Å)	S-type: 4.0 – 4.7	S-type: 3.7 – 4.4
	L-type: 3.4 – 4.1	L-type: 3.3 – 4.4

2 * equivalent notation for asymmetric unit of two monomers as described in the main text

	AtNBR1-PB1 ¹⁻⁹⁵ (PDBXXX, PDBYYY)	p62-PB1 ¹⁻¹²² (PDBXXX, PDBYYY)
Model refinement		
Initial model used (PDB code)	PDB-XYZ (X-ray model)	PDB ID 2kkc#
Model resolution (Å, FSC=0.5	S-type: 5.5	S-type: 4.0
	L-type: 4.3	L-type: 3.6
Map sharpening B-factor (Ų)	S-type: -300	S-type: -193
	L-type: -200	L-type: -139
Model composition		
Non-hydrogen atoms	669 (S-/L-type)	808 (S-/L-type)
Protein residues	88 (S-/L-type)	104 (S-/L-type)
R.m.s. deviations		
Bond lengths (Å)	0.009/0.008 (S-/L-type)	0.006/0.007 (S-/L-type)
Bond angles (°)	1.16/1.161 (S-/L-type)	1.22/1.24 (S-/L-type)
Validation		
MolProbity score	2.41/2.29 (S-/L-type)	1.94/1.64 (S-/L-type)
Clashscore*	7.59/6.41 (S-/L-type)	4.89/1.88/ (S-/L-type)
Rotamer outliers (%)	1.41/1.41 (S-L-type)	0.00/0.63 (S-/L-type)
Ramachandran plot		
Favored (%)	93.21/94.19 (S-/L-type)	83.33/83.33 (S-/L-type)
Allowed (%)	6.79/5.81 (S-/L-type)	16.67/16.67 (S-/L-type)
Disallowed (%)	0.00 (S-/L-type)	0.00 (S-/L-type)

- 1094 1095 [#] Saio, T., Yokochi, M., and Inagaki, F. (2009). The NMR structure of the p62 PB1 domain, a key protein in autophagy and NF-kappaB signaling pathway. J Biomol NMR *45*, 335–341.