1 <u>Title page</u>

2	Dose assessment in dental cone beam computed tomography: comparison of
3	optically stimulated luminescence dosimetry with the Monte Carlo method
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24 Abstract

25 The usage and the model variety of CBCT machine has been rapidly increasing, the dose evaluation 26 of individual devices became an important issue. Patient dose from CBCT was assessed with two different methods, optically stimulated luminescence dosimeter (OSLD) measured and monte carlo 27 28 (MC) simulation, in four different examination modes. Through the measurement process and obtained 29 value, more practical and efficient method in acquiring CBCT effective dose would be suggested. 30 Twenty-five OSLD were calibrated and equipped in human phantom of head and neck organs. This was exposed on 2 CBCT units, CS9300 (Carestream Dental LLC, Atlanta, Georgia) and RAYSCAN α+ 31 32 (Ray Co. Ltd, Hwaseong-si, Korea) with 2 different examination modes. Dose recorded in dosimetry 33 was obtained and organ dose as well as an effective dose were obtained in each units of examination modes. Those values were also calculated using MC software, PCXMC (STUK, Helsinki, Finland). The 34 organ doses and effective doses from both methods were compared by each examination mode of 35 36 individual unit. OSLD measured effective dose value was higher than that obtained with MC method 37 in each examination mode, except dual jaw mode of CS9300. The percent difference of effective dose between the two methods were ranged from 4.0 to 14.3 %. The dose difference between the methods 38 was decreased as the examination FOV decreased. Organ dose values were varied according to the 39 40 method, while overall trend was similar in both methods. The organs showing high dose were mostly consistent in both methods. In this study, the effective dose obtained by OSLD measurement and MC 41 simulation were compared and both methods were described in detail. Consequently, as relatively 42 43 efficient and easy-handling method, we carefully suggest MC simulation for further dose evaluation.

44

45 Introduction

Radiation in dental diagnostic examination is relatively low compared to that of medical [1, 2].
However, as cone beam computed tomography (CBCT) became largely performed for various purposes
in dental clinics, we cannot say radiation dose in dentistry is very low any more.

Although patient's overall radiation dose increased in dentistry, dose evaluation method is not developed very much. Dose measurement with thermoluminecent dosimetry (TLD) has been traditional method and most dental radiation dose researches were based on this method up to date [3]. There is recent trend of displacing TLD with optically stimulated luminescence dosimeter (OSLD) or metal oxide semiconductor field effect transistor (MOSFET) [4, 5]. MOSFET provides fast reading of dosage as it connected to the electronic probe directly. While it has been widely acceptable for dosimetry in radiotherapy, due to its suitability for high range of dose [6].

The basic phenomenological fundamentals of OSLD and TLD are the same while the TLD releases the energy, which was stored during irradiation, by heat and OSLD dose by light [7]. There are several advantages of OSLD over TLD such as, high sensitivity, preciseness and simple dosimeter preparation and readout. Based on these, a few literatures performed dose measurement with OSLD and they reported it showed reliable result compared to the TLD method [8]. Still, TLD has been a common dosimetry in dental field for a long time and there are not many studied based on OSLD measurement, yet.

Monte carlo (MC) method is another dose assessment method which simulate x-ray photon 63 64 interaction with body organs and calculate overall effective dose. This method simulates virtual photon 65 interaction on human phantom and expect radiation dose. Such method is advantageous in that it is 66 simple to use since calibration and readout procedure are not required and the result is not dependent on the dosimeter types or its location in phantom [9]. However, this simulation is correct when it is 67 68 based on the correct machine and radiation beam geometry, such as distance between the x-ray source 69 to patient, beam rotation angle or vertical angle of x-ray beam. According to the incorrect combination 70 of those factors, effective dose might show up to 51.24% difference compared to the TLD measured

71 value [10].

Both OSLD measurement and MC simulation method are the short of data reported in dental x-ray equipment at present [10. 11]. More research on the newly introduced method, OSLD or MC calculation, compared to the traditional dosimetry should be performed to prove efficiency of these methods. In fact, as far as the authors know, there are no English reported study on dose assessment in comparison of MC method and OSLD method in the dental field.

In this study, patient dose from CBCT was assessed with two different methods, OSLD measured and MC simulation, in two different CBCT units with different examination modes. Through the measurement process and obtained value, more practical and efficient method in acquiring CBCT effective dose would be suggested.

81

82 Material and methods

83 1. Cone beam CT (CBCT) machines and examination protocols

84 The CBCT equipment used were CS9300 (Carestream Dental LLC, Atlanta, Georgia) and
85 RAYSCAN α+ CBCT (Ray Co. Ltd, Hwaseong-si, Korea).

The examination modes of individual units used in this study were as followed; In CS9300, facial mode (FOV = $17x \ 13.5 \text{ cm}$), dual jaw mode (FOV = $10 \ x \ 10 \text{ cm}$); In RAYSCAN α +, large jaw mode (field-of-view, FOV = $16 \ x \ 10 \text{ cm}$), jaw mode (FOV = $10 \ x \ 10 \text{ cm}$). The detailed exposure conditions for each mode were described in Table 1. The machine geometry for MC simulation were also described as suggested by individual manufacturer.

91 **2. OSLD measurement**

92 OSLD is a plastic disk containing aluminum oxide doped with carbon (Al2O3:C). This dosimetry 93 absorbs radiation and this stored energy can be read out with light stimulation [12]. The dosimetry 94 efficiently releases stored energy when stimulate with light of 540nm, still wide range of light can 95 stimulate energy release thus the disk was encased in plastic holder. Each holder case was tagged with 96 quick response (QR) code for identification

97 of respective OSLD (Fig 1a).

Total 22 OSLDs (nano-Dot, Landauer, Inc., Glenwood, IL) were placed in head and neck organs of
adult head phantom (ATOM, CIRS, Norfolk, VA). This phantom was composed of tissue equivalent
material and for each anatomy, there was slot for dosimetry placement (Fig. 1b). Details of the OSLD
locations and corresponding tissues were described in Fig 2.

Phantom equipped with OSLD was exposed with four different examination modes (facial, dual jaw,
large jaw and jaw) of two different units (CS9300 and RAYSCAN α+). All exposures were performed
for twice and read dose values were averaged for the further calculation.

The reader (MicroStar; Landauer) was prepared to be optimized for 80 kilovoltage and low dose type (<30 mGy) and each dosimetry was identified with QR code and read out (Fig. 1c). The values were acquired as a photon counts with an accuracy of approximate ± 2 % and this was converted to the dose in mGy unit using an energyspecific conversion factor. Those were converted into organ dose, mostly following the method done by Loudlow et al [8].

110 When multiple OSLDs were used for one organ, the average value was used. For example, the mean value of fronto-parietal lobe, parieto-occipital lobe, fornix and pituitary were used for brain dose. Bone 111 marrow dose was obtained considering its distribution in mandible (0.8%), calvaria (7.7%) and cervical 112 113 spine (3.8%) [13]. Bone surface dose were obtained with using coefficient, bone-to-muscle attenuation ratio, multiplied with bone marrow value. The equation for the coefficient was as followed: -0.0618 x 114 115 $kV(p) \ge 2/3 + 6.9406$ [14]. The irradiated proportion of skin, lymphatic nodes and muscles on head and neck region are estimated as 5% and esophagus as 10 % of the whole body and this was taken 116 117 consideration in organ dose calculation (Table 2) [15].

- The organ doses were further integrated into the effective dose considering tissue weighted factor provided by International Commission on Radiological Protection (ICRP) 2007 (Table 2) [8, 16]. The equation for the effective dose calculation is as followed; $E = \Sigma$ WT X HT, where E is effective dose.
- 121 WT is the tissue weighting factor and HT is radiation weighed organ dose [16].
- 122 **3. Monte Carlo simulation**

Monte Carlo (MC) simulation is a widely used technique in the probabilistic analysis where random 123 124 numbers are used for simulating the transport of radiation in complex medium such as human body 125 [17]. When the physical information about x-ray examination technique was given, computer calculates organ absorbed dose with a MC simulation. In this study, commercial software commonly used in 126 127 medical radiation dose calculation, PCXMC20Rotation (STUK, Helsinki, Finland) was used. According to the software manual, following factors were set for the software running; input dose, 128 129 reference point, x-ray tube voltage, filtration, source-to-reference distance, x-ray beam width and height 130 at reference point.

As an input dose, the exposure dose from the unit, dose-area-product (DAP, mGy cm2) was selected 131 132 and measured with DAP meter (VacuDAPTM; VacuTec Meßtechnik GmbH, Dresden, Germany). For 133 respective examination mode, measurement was performed twice and the mean value was used (Fig 3). The reference point, the center of the x-ray unit during rotating through which all x-ray beams pass, 134 135 was referenced to the previous literatures and marked as 3 dimensional coordination on X, Y, Z-axis 136 (Fig. 4) [5, 10]. In addition, x-ray tube voltage, filtration and source-to-reference distance, beam width 137 and height at reference point, were according to each examination mode in the specification of individual CBCT unit, provided by manufacturer (Table 1). 138

139

140 **Result**

The mean DAP value measured with DAP meter was 215.1, 91.0, 176.6 and 167.9 mGy• cm² respectively for facial, dual jaw, large jaw and jaw mode (Table 3). OSLD measured effective dose showed tendency of high value compared to that obtained with MC method. Only Dual jaw mode of CS9300 showed higher effective dose in MC method compared to OSLD method. The percent difference between the two methods was in the range of 4.0 to 14.3 %. The dose difference between the methods was decreased as the examination FOV decreased (Table 4). Organ dose were varied according to the method, while overall trend was similar in both methods (Fig 5). In other words, the organs

irradiated relatively low dose in OSLD method mostly showed low dose in MC method. Organs with
high dose in OSLD method also showed high dose in MC method. In both method, oral mucosa and
salivary gland were two most irradiated organs (Fig 5).

151

152 Discussion

153 Since the development of CBCT in the dental field, the usage of it has been growing rapidly, and 154 research on its radiation dose has always been of interest. For now, various CBCT models from numerous manufacturer equipped with different exposure mode. In other words, exposure dose as well 155 as patient absorbed or effective dose are varied on each CBCT machines of different examination mode. 156 In 2015, Ludlow et al. meta-analyzed the effective dose study of CBCT conducted with dosimetry 157 measurement. The value was widely varied from 46 to 1073 µSv for large field of view (FOV) and 9-158 560 µSv for medium FOV in each machines [3]. Assumed facial and large jaw mode as large and dual 159 160 jaw and jaw mode as medium, our study, both OSLD and MC method, showed effective dose included 161 in this range, regardless of the method. The major contribution to the wide range of effective dose in different CBCT units of similar FOV would be probably different exposure conditions of each machines, 162 however, different dose measurement method also influenced to raise deviations of overall effective 163 164 dose assessment [3]. Thus, consensus in dose evaluation method is consequently needed for 165 comparative analysis in effective dose reporting of each machine. This consequently helps to construct 166 database of patient dose and setting nation-wide regulation for the CBCT dose. Ludlow et al. studied the effective dose with OSLD and the same CBCT unit used in this study, CS9300, and reported 204 167 and 76 μ Sv respectively for facial and dual jaw mode [3]. Even though the same method and materials 168 were used for dose evaluation, the effective dose values showed differences even greater or similar than 169 170 that between OSLD and MC method. This was probably caused by the sampling error, as it was also mentioned by Loudlow et al [3]. The sampling error is defined as the influence of location, distribution 171 and the number of dosimeter used in each organ to the measured value. It is difficult to use the same 172 173 number of dosimetry in every experiment performed by different experimenters, due to the practical

reason such as cost of dosimetry. Also, phantom positioning within the CBCT unit during the exposure
is another challenging part causing large deviation in resulting organ dose and the effective dose.

176 On such aspect, application of MC method might be more reproducible and practical while accurate. First of all, the effective dose obtained with MC simulation was relatively good agreement with that 177 178 obtained with OSLD that the percent differences were under 15 %. Toivonen et al.[18] assumed as 179 'good' in agreement when the difference between the dosimetry and computer simulated methods is 180 below 25 %. Second, user dependent factors were limited in MC method during the whole measurement process. According to the previous studies adopted MC method for dose evaluation, machine geometry, 181 such as filtration, tube voltage, x-ray beam width or height and source-to-subject distance, are the 182 information required for the simulation [10, 19, 20]. For the current study, manufacturer of the machine 183 184 provided required information in the specification. This method is also efficient in that it cost less than preparing human tissue-equivalent phantom, dosimetry, and dosimetry reading device. 185

186 There is important consideration for adopting MC method currently. The virtual phantom used for 187 the simulation should be standardized [21]. In 2009, ICRP introduced reference phantom of female and 188 male adult which is based on the actual computed tomographic data of adult human [22]. Among 189 previous studies, only one adopted ICRP reference phantom and others used computed tomographic 190 scan data of Rando-alderson phantom [11, 23]. In present study, the Cristy and Eckerman phantom 191 facilitated in software was used without any modification. The Cristy and Eckerman phantom was 192 describing human body organs as simplified form using cone, ball or cylinder shape. Compared to the ICRP reference phantom, it is not sophisticated enough to simulate precise organ absorbed and effective 193 194 dose in dental CBCT, exposing relatively low dose compared to medical CT.

This probably contributed to the difference between the dose from OSLD method and MC simulation, as well, in this study. Ludlow et al.[3] in 2015 mentioned that DAP is not appropriate to be used for obtaining effective dose. This statement is true, if we simply convert DAP value into effective dose using converting coefficient. Several studies attempted to find converting coefficient to obtain effective dose of CBCT, while coefficients are different by individual CBCT model with unique geometry [24,

200 25]. On the contrary, MC simulation calculates the effective dose taking individual machine geometry
201 into the consideration. Thus, it may produce more precise results based on the DAP value, compared to
202 the simple conversion method of DAP value into effective dose.

In the present study, DAP measurement was performed using DAP meter. DAP measurement procedure is not experimenter specific, still, it requires equipment composed of ion chamber, DAP meter and cables. Also, the procedure takes time and experimenter's labor. Fortunately, recent CBCT machines provide DAP value according to the exposure condition. Though, this value is not real-time measured, and predetermined value by the manufacturer, MC simulation software with precise reference phantom, it may be possible to obtain an approximate effective dose which is not depended on the experimenter or the measurement method.

210 In conclusion, the effective dose by individual CBCT models and examination modes is continuously reported and large data has been accumulated up to now [3, 26]. To contribute for this big data 211 accumulation, the effective dose obtained by two different methods and CBCT machines was reported 212 213 in this study. The ultimate goal of the effective dose assessment and data accumulation is a dose reduction and regulation for patient's benefit. To attain this, more importantly, consensus in dose 214 evaluation method is essential. In addition, development of a relatively accurate and easy-handling 215 216 method would contribute more dose data acquisition. Therefore, we carefully suggest MC simulation 217 based on reference phantom for further dose evaluation.

218

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	CS9300			RAYSCAN α+	
-	Facial	Dual jaw		Large jaw	Jaw
Field of view, cm	17 x 13.5	10 x 10		16 x 10	10 x 10
Tube voltage, kVp	9	0		80)
Tube current, mA	8	8		12	12
Exposure time, s	20	12		14	4
Rotation angle, °			360		
Filtration, mmAl			2.8		
X-ray source to patient distance, cm	49	.50		55.	88
Beam height (at rotation center), cm	13.5	10		10)
Beam width (at rotation center), cm	17	10		16	10

Table 1. Exposure conditions of different modes in the CS9300 (Carestream Dental LLC, Atlanta, Georgia) and
 RAYSCAN α+ (Ray Co. Ltd, Hwaseong-si, Korea)

	Fraction irradiated (%)	Tissue weighting factor	OSLD ID
Bone marrow	12.2	0.12	
Mandible	0.8		14, 15
Calvaria	7.7		1, 2
Cervical spine	3.8		19
Thyroid	100	0.04	21, 22
Esophagus	10	0.04	16
Skin	5	0.01	13, 20
Bone surface*	16.5	0.01	
Mandible	1.3		14, 15
Calvaria	11.8		1, 2
Cervical spine	3.4		21
Salivary glands	100	0.01	
Parotid	100		14, 15
Submandibular	100		17, 18
Brain	100	0.01	3, 4, 5, 6, 9, 10
Remainder tissue		0.12	
Lymphatic nodes	5		14, 15, 17, 18, 19
Muscle	5		14, 15, 17, 18, 19
Extrathoracic airways	100		14, 15, 17, 18, 10
Oral mucosa	100		14, 15, 16, 17, 18, 19
Eyes	100		7, 8

Table 2. Estimated fraction irradiated in tissues and tissue weighting factors recommended by the InternationalCommission on Radiological Protection (ICRP)

* Bone surface = bone marrow dose × bone/muscle mass energy absorption coefficient ratio (MEACR), MEACR

293 = 0.0618 x 2/3 kVp + 6.9406 [14].

Table 3. Mean and standard deviation of Dose-Area-Product (DAP) value measured with DAP meter (mGycm²)
 in different mode and units of cone-beam computed tomography

CS9300		RAYSCAN α+		
Facial (17 x 13.5 cm)	Dual jaw (10 x 10 cm)	Large jaw (16 x 10 cm)	Jaw (10 x 10 cm)	
215.1 ± 0.4	91.0 ± 0.4	176.6 ± 0.4	167.9 ± 0.6	

		Effective dose (µSv)			
		CS9300		RAYSCAN α+	
		Facial (17 x 13.5 cm)	Dual jaw (10 x 10 cm)	Large jaw (16 x 10 cm)	Jaw (10 x 10 cm)
	OSLD method	181.4	90.7	228.5	213.8
	MC method	160.9	94.4	198.0	195.2
			Percent diff	erence (%)*	
		CS9	300	RAYSO	CAN α+
		Facial (17 x 13.5 cm)	Dual jaw (10 x 10 cm)	Large jaw (16 x 10 cm)	Jaw (10 x 10 cm)
		12.0	4.0	14.3	9.1
299					
300	* Percent difference = $\begin{vmatrix} \frac{effe}{\frac{1}{2} \times eff} \end{vmatrix}$	ctive dose (OSLD method) – (fective dose (OSLD method) -	effective dose (MC metho + effective dose (MC met	$\frac{d}{hod}$ × 100	
301					
302					

Table 4. The effective dose obtained with the OSLD and MC methods, and the percent difference.

303 Figure legends

304	Figure 1. Experimental setting and facilitation for optically stimulated luminescence dosimeter (OSLD)
305	measurement. (a) OSLD encased in a holder preventing light exposure. There is identification quick
306	response (QR) code and identification number marked on the case. (b) Human tissue equivalent
307	phantom with dosimetry slot. (c) Dosimetry reader (MicroStar; Landauer) prepared optimal for 80
308	kilovoltage and low dose type (<30 mGy). Each dosimetry is identified with QR code and can be read
309	out.
310	
311	Figure 2. The location of optically stimulated luminescent dosimetry (OSLD) in an adult head and neck
312	phantom (ATOM; CIRS, Norfolk, VA, USA) with the slice number of the phantom.
313	
314	Figure 3. Dose-area-product (DAP) meter (VacuDAPTM; VacuTec Meßtechnik GmbH, Dresden,
315	Germany) for input dose measurement. Ion chamber attached on the surface of x-ray tube head for the
316	measurement.
317	
318	Figure 4. Virtual phantom and monte carlo simulation software. (a) Head and neck organs included in
319	the virtual phantom. (b) Geometric variables required for the MC simulation and PCXMC20Rotation
320	(STUK, Helsinki, Finland) software used in this study.
321	
322	Figure 5. Organ dose of both methods according to the different CBCT unit and examination mode.
323	Note that the values varied according to each organ, while the overall trend was similar in both methods.



Figure 1a

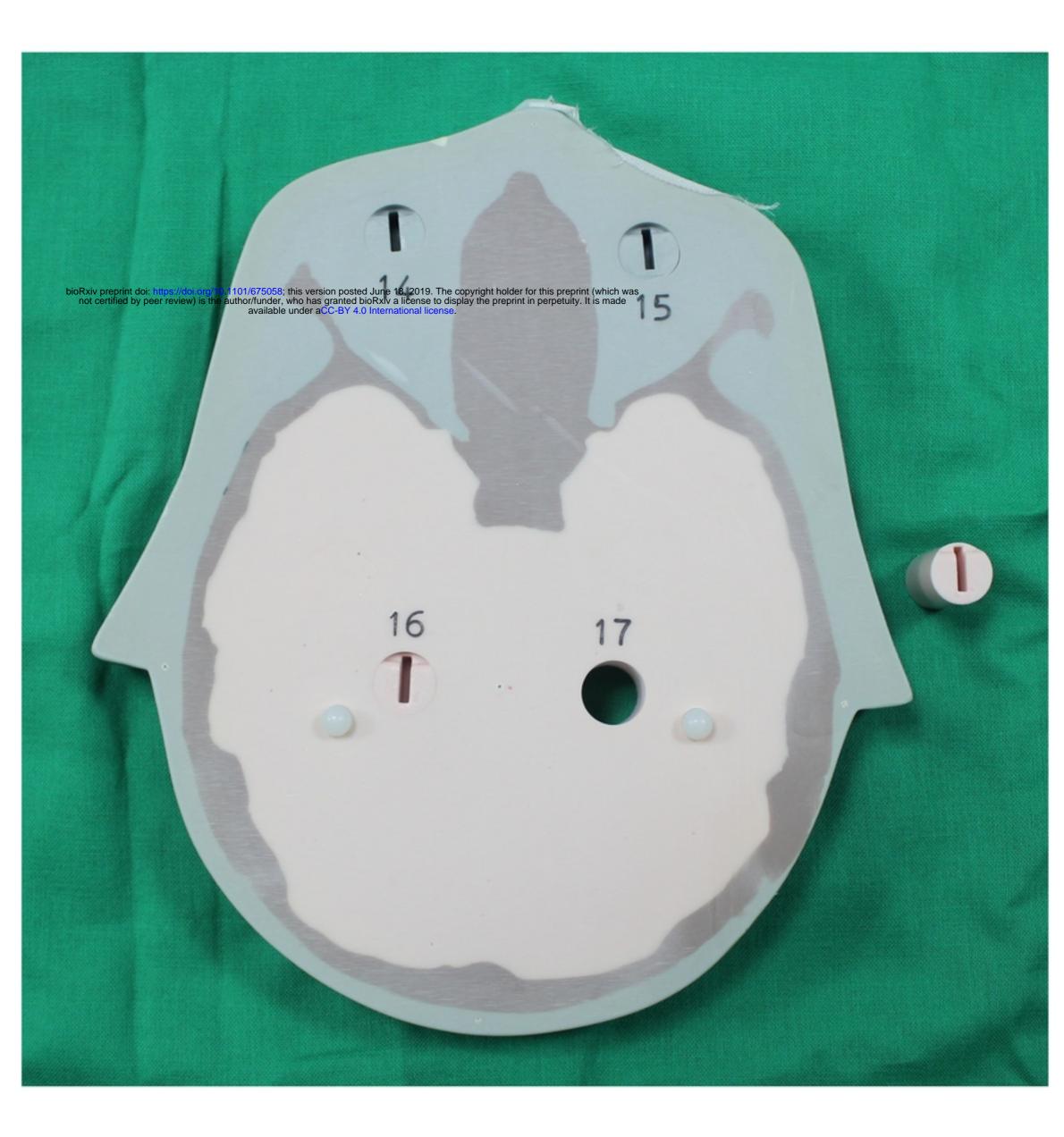


Figure 1b





Figure 1c

1 2	
2 3 4 5 6	
(5) (6)	
7 8 9	
9	

Slice number	Dosimetry number	Tissue
	1	Calvarium Anterior
3	2	Calvarium posterior
3	3	Fronto-parietal lobe (Right)
	4	Parieto-occipital lobe (Left)
4	5	Fronto-parietal lobe (Left)
4	6	Fornix (Right)
	7	Lens of eye (right)*
	8	Lens of eye (Left)*
5	9	Pituitary (Right)
5	10	Pituitary (Left)
	11	Maxillary sinus (right)
	12	Maxillary sinus (Left)
6	13	Buccal cheek (right)*
	14	Parotid gland (right)
7	15	Parotid gland (left)
'	14	Ramus (right)
	15	Ramus (Left)
	16	Oropharyngeal airway
	17	Submandibular gland (right)
8	18	Submandibular gland (left)
	19	Esophagus
	19	Cervical spine
9	20	Lateral and back of neck (left)*
10	21	Thyroid (left)
10	22	Thyroid (right)

* Dosimeters directly attached on the skin

Figure 2



Figure 3

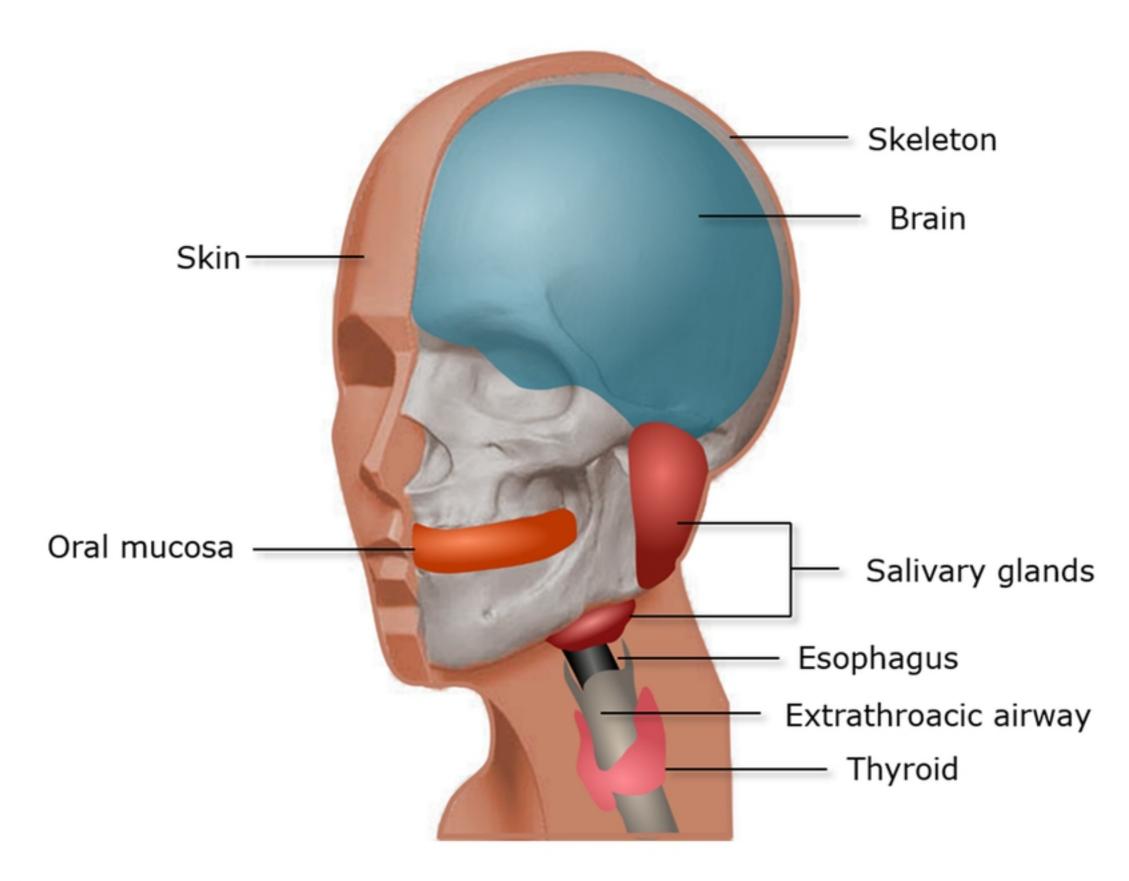
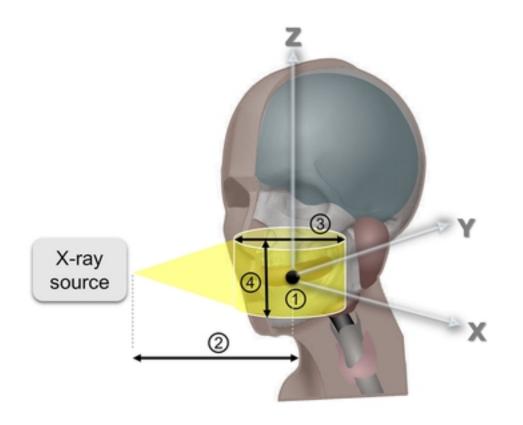


Figure 4a



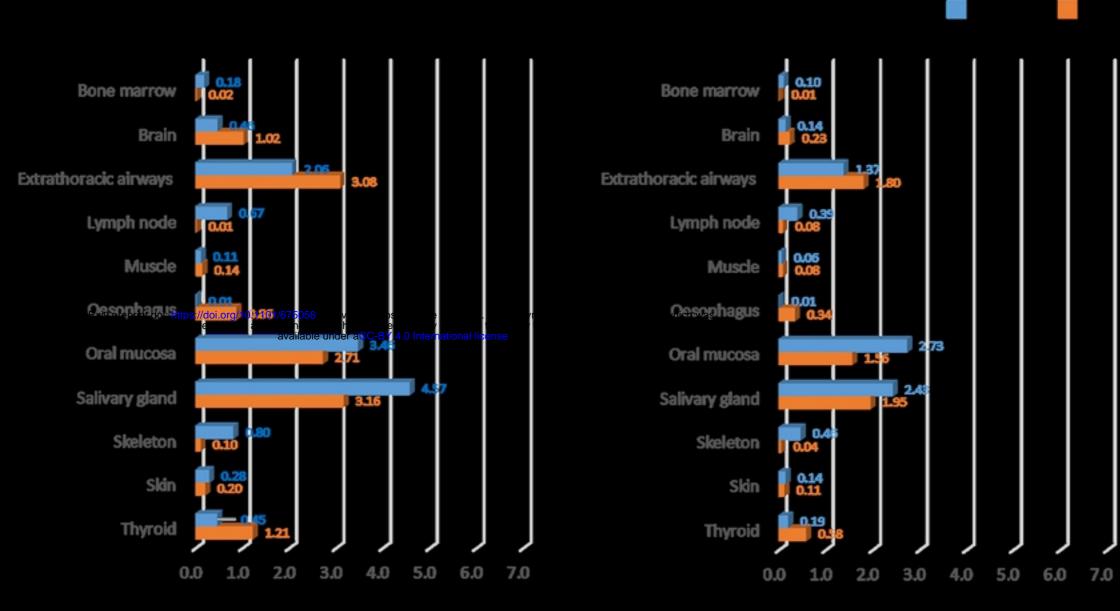
- Reference point in (X, Y, Z)
- ③ Beam width

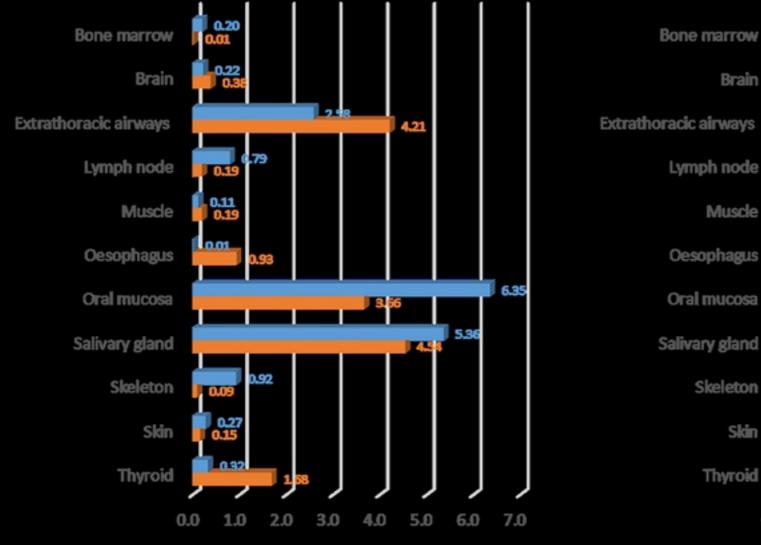
④ Beam height

② Focus to reference point distance

(1) DefForm [] _ [] X Cile. 😂 Open Form 🛛 🖶 Save Form 🖉 Save Form As All Main menu 🖄 New Form 📇 Print As Text Header text C Mode Phantom data Phantom height Phanlom mass Age: C 0 C 1 C 5 C 10 C 15 @ Adult 0 P Ams in phantom 178.60 73.20 Standard: 73.2 Standard: 178.6 🔽 Draw x-ray field Geometry data for the x-ray beam (RELATIVE TO RefPoint) FRD Beam width (R) Beam height (R) Xref Yvel Zref Draw -5.0000 82.0000 65.00 20.00 20.00 0.0000 **Projection angle** Cranio-caudal angle Update Field 270.00 0.00 LATR+180 AP+270 (pos) Cranial X-ray tube Stop LATL=0 PA=90 [neg] CaudalX-ray tube **MonteCarlo simulation parameters** Rotation increment + 30 . View angle 270 Max energy [keV] Number of photons 80 20000 ₽. Pancreas Field size calculator P Brain FID Image width Image height V Heat F Liver 110 10 10 V Testes 🖓 Upper large intestine Calculate Spleen Lower large intestine 1 Small intestine FRD FSD Phantom- image distance V Ovarie F Theroid 65.00 60.00 33 V Kidneys Ι**Γ** F Thomas V V Stomach ÌΖ. Beam width(R) Beam height(R) Salivary glands Prostate 5.91 Use this data 5.91 V Oral mucosa ₩. C Quick @ Sharp

Figure 4b





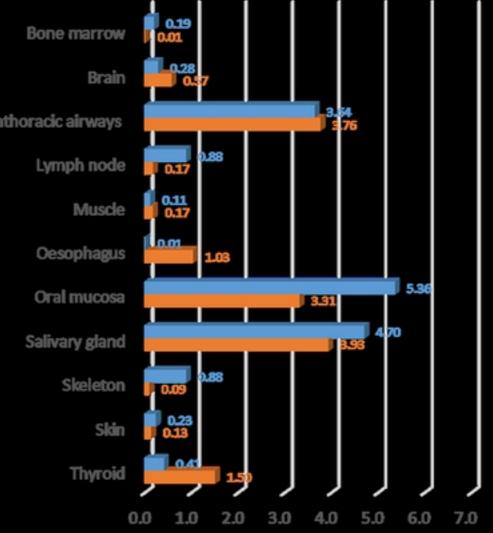


Figure 5