Conjugative delivery of CRISPR-Cas9 for the selective depletion of antibiotic-resistant

enterococci

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Running title: CRISPR-Cas targeting of antibiotic resistance

Keywords: *Enterococcus faecalis*, CRISPR-Cas, antibiotic resistance, conjugation, plasmid,

intestinal colonization, pheromone

Abstract

The innovation of new therapies to combat multidrug-resistant (MDR) bacteria is being

outpaced by the continued rise of MDR bacterial infections. Of particular concern are hospital-

acquired infections (HAIs) recalcitrant to antibiotic therapies. The Gram-positive intestinal

pathobiont *Enterococcus faecalis* is associated with HAIs and some strains are MDR. Therefore,

novel strategies to control *E. faecalis* populations are needed. We previously characterized an *E.*

faecalis Type II CRISPR-Cas system and demonstrated its utility in the sequence-specific removal

of antibiotic resistance determinants. Here we present work describing the adaption of this

CRISPR-Cas system into a constitutively expressed module encoded on a pheromone-

responsive conjugative plasmid that efficiently transfers to *E. faecalis* for the selective removal of

antibiotic resistance genes. Using *in vitro* competition assays, we show that these CRISPR-Cas-

encoding delivery plasmids, or CRISPR-Cas antimicrobials, can reduce the occurrence of

antibiotic resistance in enterococcal populations in a sequence-specific manner. Furthermore, we

demonstrate that deployment of CRISPR-Cas antimicrobials in the murine intestine reduces the

occurrence of antibiotic-resistant *E. faecalis* by several orders of magnitude. Finally, we show that

E. faecalis donor strains harboring CRISPR-Cas antimicrobials are immune to uptake of antibiotic

resistance determinants *in vivo*. Our results demonstrate that conjugative delivery of CRISPR-

Cas antimicrobials may be adaptable for future deployment from probiotic bacteria for exact

targeting of defined MDR bacteria or for precision engineering of polymicrobial communities in

the mammalian intestine.

Importance

CRISPR-Cas nucleic acid targeting systems hold promise for the amelioration of multidrug-resistant enterococci, yet the utility of such tools in the context of the intestinal environment where enterococci reside is understudied. We describe the development of a CRISPR-Cas antimicrobial, deployed on a conjugative plasmid, for the targeted removal of antibiotic resistance genes from intestinal *Enterococcus faecalis*. We demonstrate that CRISPR-Cas targeting reduces antibiotic resistance of *E. faecalis* by several orders of magnitude in the intestine. Although barriers exist that influence the penetrance of the conjugative CRISPR-Cas antimicrobial among target recipient *E. faecalis* cells, the removal of antibiotic resistance genes in *E. faecalis* upon uptake of the CRISPR-Cas antimicrobial is absolute. In addition, cells that obtain the CRISPR-Cas antimicrobial are immunized against the acquisition of new antibiotic resistance genes. This study suggests a potential path toward plasmid based CRISPR-Cas therapies in the intestine.

Introduction

Disruption of the intestinal microbiota can predispose individuals to infection by opportunistic pathogens (1, 2). Antibiotics facilitate such disturbances leading to the development of hospital-acquired infections (HAIs) (3, 4). *Enterococcus faecalis*, a normal constituent of the healthy human intestinal microbiota and historically used in probiotics and during food fermentation, is now a leading cause of HAIs (5-7). *E. faecalis* is an adept opportunist that can proliferate in the dysbiotic intestine following antibiotic perturbation (8). Antibiotic-resistant clinical isolates of *E. faecalis* typically possess expanded genomes compared to susceptible isolates due to the acquisition of mobile genetic elements (9, 10), and patients colonized with these multidrug-resistant (MDR) *E. faecalis* are at increased risk of acquiring bloodstream infections (11). Thus, there is a need for novel strategies to decolonize high-risk individuals of MDR *E. faecalis* (12).

CRISPR-Cas systems are protective barriers in prokaryotes that function in the adaptive immunity to mobile genetic elements (13-15). The well-studied Type II CRISPR-Cas system consists of a DNA endonuclease (Cas9) that uses a programmable RNA guide to cleave a double-stranded DNA target sequence (16). Double-stranded DNA breaks can be lethal to bacteria (17), therefore deploying Type II CRISPR-Cas as a sequence-specific antimicrobial is an attractive alternative to conventional antibiotic therapy. Previous studies have explored this concept using phages to deliver engineered CRISPR systems targeting specific genes (18-21). Although effective, this method of delivery is likely to be challenging for many species of bacteria including *E. faecalis* due to phage receptor and other cell wall mutations that arise readily in response to lytic phage infection (22-25).

We previously demonstrated the utility of CRISPR-Cas9 as a sequence-specific *in vitro* antimicrobial in *E. faecalis* by delivering CRISPR guide sequences on mobilizable cloning vectors into *E. faecalis* cells that chromosomally encode *cas9* (26). However, since MDR *E. faecalis*

strains lack complete CRISPR-Cas systems, and specifically *cas9* (27), efficient delivery of the

entire CRISPR-Cas9 machinery to MDR *E. faecalis* would likely be required. An alternative

method for the delivery of CRISPR-Cas is the use of pheromone-responsive plasmids (PRPs),

which naturally achieve high rates of conjugation, have a narrow host range limited to *E. faecalis*,

are capable of comprehensively infiltrating *E. faecalis* populations, and can disseminate within

intestinal *E. faecalis* in the absence of antibiotic selection (28-31). The transmission of PRPs is

tightly regulated, so the deployment of a PRP CRISPR-Cas antimicrobial could be conditionally

tuned for precision targeting and delivery (32).

PRPs respond to pheromones (short peptides) that are secreted by plasmid-free *E. faecalis*

cells (33). When donor cells detect excess pheromones, indicating the presence of plasmid-free

cells in the vicinity (34), they synthesize aggregation substance which facilitates contact between

plasmid-bearing and plasmid-free cells (35). This enables the rapid and efficient transfer of PRPs

from donor to recipient. In addition, many PRPs encode accessory genes such as antibiotic

resistance and bacteriocins, small ribosomally synthesized antimicrobial proteins that target

diverse bacteria and for which producing strains must encode immunity genes (36-38). Previous

work demonstrated that the PRP pPD1 conjugated at high rates in the intestines of mice, and

pPD1 transfer to plasmid-free *E. faecalis* was beneficial since recipients that failed to acquire the

plasmid were killed by the pPD1 encoded bac-21 bacteriocin (29).

We recently discovered that *E. faecalis* cells possessing self-targeting CRISPR-Cas systems

are able to transiently tolerate this self-targeting, albeit at a fitness cost, and that antibiotic

selection determines the outcome of this conflict by selecting for CRISPR mutants or loss of the

CRISPR-targeted gene (26, 39). We observed CRISPR-Cas self-targeting lethality when the

expression of *cas9* was increased using a constitutive promoter (40). In this study, we engineered

pPD1 with a complete, constitutively expressed CRISPR-Cas9 targeting cassette, specific for the

enterococcal antibiotic resistance genes *ermB* (encoding erythromycin resistance) and *tetM*

(encoding tetracycline resistance). We chose pPD1 as it lacks natively encoded antibiotic

resistance yet it harbors the fitness-enhancing bac-21 bacteriocin (41, 42). Using this engineered

PRP, we successfully depleted tetracycline and erythromycin resistance from *E. faecalis*

populations *in vitro*. Crucially, this approach worked in the absence of externally applied selection

for pPD1 in donor strains, showing practicality for its usage as a potential antimicrobial. Using an

in vivo intestinal colonization model, we show that these constructs are conjugated to

erythromycin-resistant recipient cells *in vivo*. Donors carrying the engineered PRP targeting *ermB*

significantly reduced the prevalence of erythromycin-resistant intestinal *E. faecalis*, supporting the

utility of the engineered PRP in mitigating MDR *E. faecalis*. Furthermore, we show that these

donors are immune to the uptake of *ermB*-encoding PRPs harbored by recipient *E. faecalis* cells.

This work is a first step in utilizing conjugative elements for specific delivery of CRISPR-Cas

antimicrobials to precisely target antibiotic-resistant bacteria in the intestinal microbiota.

Results

Delivery of CRISPR-Cas9 effectively removes antibiotic resistance *in vitro* by targeting

plasmid-borne resistance genes

To assess the ability of CRISPR-Cas9 to target and remove antibiotic resistance in *E. faecalis*,

we modified the PRP pPD1 by introducing the *cas9* gene and a CRISPR guide RNA under the

control of the constitutive *bacA* promoter (Fig. 1A and S1). To facilitate detection of the plasmid

we included a chloramphenicol resistance marker (*cat*) for selection. We generated two pPD1

derivatives, referred to as pKH88[sp-*tetM*] and pKH88[sp-*ermB*], which carry guide RNAs that

target the enterococcal *tetM* and *ermB* genes conferring tetracycline and erythromycin resistance,

respectively. These plasmids retain pheromone response functions and bac-21 bacteriocin

production (Fig. 1A).

PRPs are vehicles for the transmission of antibiotic resistance genes in *E. faecalis* populations

(30), thus we first assessed the ability of our engineered pPD1 derivatives to eliminate antibiotic

resistance genes present on other PRPs. We reasoned that bacteriocin production by pKH88

derivatives would promote maintenance of these plasmids in enterococcal populations. The donor

strain used in these experiments was *E. faecalis* CK135 and the recipient was *E. faecalis* OG1SSp

possessing either pAM771 which carries the erythromycin resistance gene *ermB* or pCF10 which

carries the tetracycline resistance gene *tetM* (Fig. 1B). Both CK135 and OG1SSp are isogenic

derivatives of the human oral commensal isolate OG1 (43). We mixed donors and recipients and

plated them on Brain Heart Infusion (BHI) agar. After overnight growth we recovered the biofilm

and enumerated colony forming units (CFU) of the different cell types (passage 0 in Fig. 1C and

1D). We observed a significant reduction in the number of erythromycin- and tetracycline-resistant

transconjugants only when recipient cells received a pKH88 derivative encoding a cognate

CRISPR guide (Fig. 1C and 1D). Non-cognate guides were ineffective at reducing antibiotic

resistance. This loss of antibiotic resistance was maintained after growth of the community in

fresh BHI broth overnight for the pKH88[sp-*ermB*] targeting group (passage 1 in Fig. 1C), and

trended toward maintenance of antibiotic resistance loss for the pKH88[sp-*tetM*] targeting group

(passage 1 in Fig. 1D).

Conjugation of pKH88[sp-*ermB*] to MDR *E. faecalis* resolves erythromycin resistance.

To determine whether the pKH88 plasmids would be effective against MDR *E. faecalis*, we

used pKH88[sp-*ermB*] to target *ermB* in *E. faecalis* V583 (Fig. 2A), a vancomycin-resistant

hospital-adapted isolate (44). V583 *ermB* is encoded on the plasmid pTEF1, and V583 does not

encode a *tetM* gene (10, 45). Initially, we observed poor conjugation frequencies of pKH88

derivatives into V583 when using CK135 as the donor (Fig. S2A and S2B). *E. faecalis* V583

encodes a Type IV restriction endonuclease that restricts entry of 5-methylcytosine-modified DNA

and has been characterized as a barrier to DNA uptake in enterococci (40, 46, 47). We reasoned

that this barrier could account for the reduced dissemination of pKH88 to *E. faecalis* V583.

Therefore, we utilized the *E. faecalis* OG1 variant strain OG1RF deleted for a 5-methylcytosine

DNA methyltransferase (ΔEfaRFI) as the donor strain. This strain cannot methylate plasmid DNA

and the plasmid will not be cleaved by the recipient cell following conjugation (47). We observed

that delivery of pKH88[sp-*ermB*] from *E. faecalis* OG1RF(ΔEfaRFI) to *E. faecalis* V583 decreased

total erythromycin resistance in the population (Fig. 2B). In addition, *E. faecalis* V583 did not

tolerate CRISPR cleavage of *ermB* on pTEF1, indicated by a reduction in viable *E. faecalis* V583

cells (Fig. 2B) and which is consistent with our previous findings (26). This was specific to *ermB*

cleavage, as transconjugants that received the nonspecific targeting plasmid pKH88[sp-*tetM*]

retained cell viability and erythromycin resistance (Fig. 2C).

CRISPR-Cas9 selectively depletes erythromycin resistance within enterococcal

populations in the dysbiotic murine intestine

We next evaluated the efficacy of pKH88[sp-*ermB*] for the removal of erythromycin resistance

using a mouse model of *E. faecalis* intestinal colonization. Mice were administered an antibiotic

cocktail in their drinking water for seven days. Antibiotic water was removed for 24 hours and the

mice were gavaged with *E. faecalis* OG1SSp(pAM771) recipients, modeling a bloom of antibiotic-

resistant *E. faecalis* in the intestine. After an additional 24 hours, mice were gavaged with donor

E. faecalis CK135 carrying either pKH88[sp-*ermB*] (targeting) or pKH88[sp-*tetM*] (non-targeting),

and fecal samples were collected over the course of 23 days. A schematic of this experiment is

depicted in Fig. S3. Whereas *E. faecalis* CK135 donors colonized the intestine to a sustained high

density, *E. faecalis* OG1SSp(pAM771) recipient cells gradually declined in abundance over time

(Fig. S4A and S4B). We attribute this gradual reduction in the recipient population to competitive

killing by bacteriocin-producing donors as previously described for pPD1 carrying *E. faecalis* (29),

and/or competition from the reemerging native microbiota that was initially displaced by the

antibiotic treatment. Robust numbers of pKH88-containing transconjugants (i.e. chloramphenicol

resistant OG1SSp) appeared two days post-colonization of the donor strains for both the

pKH88[sp-ermB] and pKH88[sp-tetM] groups (Fig. S4C). However, the initial frequency of

transconjugants per recipient reached only ~10^{-5}, indicating that overall conjugation frequency

was relatively low (Fig. 3A). This was followed by a gradual increase in the transconjugant to

recipient ratio, approaching 1:1 (Fig. 3A), as the total recipient population decreased over time

(Fig. S4A). This suggests that the initial transconjugant population remains fixed due to a pKH88

bacteriocin-mediated fitness advantage upon restoration of the indigenous microbiota.

Although there appeared to be a trend of reduced erythromycin resistance within the recipient

population for the pKH88[sp-ermB] group, no statistically significant reduction in the number of

erythromycin-resistant recipients compared to the total recipient population was observed in the

pKH88[sp-ermB] targeting group (Fig. 4A). Similarly no significant difference in the erythromycin

resistant recipients compared to total recipients was observed for the pKH88[sp-tetM] non-

targeting group (Fig. 4B). However, to our surprise, the difference in erythromycin-resistant

recipients between the pKH88[sp-ermB] and pKH88[sp-tetM] groups was considerably less than

the difference between the total erythromycin resistant intestinal *E. faecalis,* which includes both

donors and recipients. The magnitude of this difference was ~3 log by the end of the experiment

(Fig 5A). This indicated that there was likely a substantial amount of conjugation by pAM771 into

the donor population. Indeed, in as little as two days, donors acquired erythromycin resistance,

but only in the pKH88[sp-tetM] non-targeting group (Fig. 5B). These data indicate that *E. faecalis*

CK135(pKH88[sp-tetM]) donors are susceptible to counter-conjugation of pAM771 from recipients

but that CK135(pKH88[sp-ermB]) donors are immune, presumably due to CRISPR-Cas targeting

of *ermB* on pAM771 upon counter-conjugation. We conclude that our CRISPR antimicrobial

dramatically reduces the prevalence of a targeted conjugative plasmid within the input *E. faecalis*

population, likely through a multifactorial mechanism involving a bacteriocin-dependent

competitive advantage, cleavage of the targeted plasmid, and prevention of counter-plasmid

acquisition. This in turn substantially impacts the distribution of drug resistance, as mice colonized

with pKH88[sp-*ermB*] donors had 0.1% of the total levels of erythromycin-resistant *E. faecalis*

possessed by mice colonized with pKH88[sp-*tetM*] donors.

We next assessed whether the microbial complexity of the intestinal environment plays a role

in the efficacy of the CRISPR-Cas antimicrobial, since curtailing antibiotic therapy spontaneously

restores the microbiota in the antibiotic dysbiosis model (48). We first colonized germ-free mice

with *E. faecalis* OG1SSp(pAM771) recipient cells and 24 hours later introduced donor *E. faecalis*

CK135 carrying either pKH88[sp-*ermB*] or pKH88[sp-*tetM*]. Gnotobiotic mice maintained a high

intestinal burden of both donors and recipients for the entire 23 days, in contrast to the antibiotic

dysbiosis model where the recipient population is reduced over time (Fig. S5A, S5B and S4A).

Despite sustained high density colonization of both donors and recipients, the total number of

transconjugants was similar to those observed in the antibiotic dysbiosis model (Fig. S5C and

S4C) but the transconjugant per recipient ratio remained stably low at 10^{-5} (Fig. 3B). Sustained

high recipient density indicated that the apparent competitive advantage possessed by pKH88-

containing donors via bac-21 does not manifest in gnotobiotic mice. These data suggest that even

with prolonged high densities of both donors and recipients in the absence of microbial

complexity, there may be biogeographical barriers that restrict the dissemination of pKH88

derivatives. This also implies that the competitive advantage possessed by the donor is most

prevalent in the presence of the microbiota, and therefore some degree of microbial complexity

is likely necessary for the functionality of our CRISPR-Cas antimicrobial. Interestingly, similar to

observations in the antibiotic dysbiosis model, pKH88 donors acquired erythromycin resistance,

but only in the pKH88[sp-*tetM*] non-targeting group (Fig. 5C).

These results demonstrate that our engineered CRISPR-Cas antimicrobial is a robust barrier

to *in vivo* plasmid acquisition and reduces the *in vivo* prevalence of a specifically targeted drug

resistance trait. From a technological viewpoint this is the ideal situation of a prospective

"probiotic" donor; a strain that can effectively disseminate a CRISPR targeting plasmid while being

protected from acquisition of undesirable traits.

CRISPR-Cas antimicrobial prevents antibiotic-mediated intestinal expansion of

transconjugants.

A hallmark of enterococcal intestinal colonization is its ability to expand its population upon

antibiotic perturbation of the indigenous microbiota (4, 25). This antibiotic-mediated expansion is

attributed to both intrinsic and acquired antibiotic resistance. To determine the ability of our

CRISPR-Cas antimicrobial to minimize the outgrowth of erythromycin resistant *E. faecalis* in the

intestine following antibiotic therapy, we utilized both our antibiotic dysbiosis and gnotobiotic

mouse models. We treated the mice 27 days post co-colonization with a single 40 µg dose of oral

erythromycin and determined the abundance of erythromycin resistant transconjugants and total

erythromycin resistant *E. faecalis*. Prior to antibiotic treatment there were no erythromycin

resistant transconjugants recovered from mice receiving donors carrying the targeting plasmid

pKH88[sp-*ermB*], whereas two of eight mice receiving donors carrying the non-targeting plasmid

pKH88[sp-*tetM*] had recoverable erythromycin resistant transconjugants (Fig. 6). Upon oral

erythromycin treatment, there was a ~4 log expansion of erythromycin resistant transconjugants

in the pKH88[sp-*tetM*] non-targeting group, and no erythromycin resistant transconjugants

emerged in the mice colonized with *E. faecalis* CK135 pKH88[sp-*ermB*] donors (Fig. 6). This

suggests that the perceived absence of erythromycin resistant transconjugants in six of the eight

mice in the pKH88[sp-*tetM*] non-targeting group was due to their abundance being below the limit

of detection prior to antibiotic treatment. Additionally, the total number of erythromycin-resistant

E. faecalis in the pKH88[sp-*tetM*] non-targeting group was significantly higher compared to the

pKH88[sp-*ermB*] targeting group (Fig. 6). Together these data indicate that transconjugants

receiving pKH88[sp-*ermB*] have been depleted of erythromycin resistance and that pKH88[sp-

ermB] can significantly reduce the overall total erythromycin resistance within intestinal *E.*

faecalis, pre- and post-antibiotic therapy. Interestingly, any remaining viable transconjugants in

the pKH88[sp-*ermB*] targeting group were impaired in their ability to increase in cell density

compared to mice receiving pKH88[sp-*tetM*] non-targeting donors (Fig. 6), further indicating that

targeted *E. faecalis* cells fail to expand their population due to loss of pAM771 encoded

erythromycin resistance.

In gnotobiotic mice, following oral erythromycin treatment, again we did not recover any

erythromycin resistant transconjugants in the pKH88[sp-*ermB*] targeting group (Fig. S6).

Interestingly, in gnotobiotic mice, we did not observe outgrowth of erythromycin resistant

transconjugants in the pKH88[sp-*tetM*] non-targeting group, even though we did not recover any

erythromycin resistant transconjugants in the pKH88[sp-*ermB*] targeting group, similar to the

antibiotic dysbiosis model (Fig. S6). We attribute this to the high level of both donors and

recipients in the gnotobiotic intestine that were unaffected by oral erythromycin treatment (Fig.

S5A and S5B). Intestinal colonization saturation by donors and recipients may be spatially

restricting, thus promoting colonization resistance against transconjugant expansion upon

erythromycin treatment in the pKH88[sp-*tetM*] non-targeting group.

Discussion

This study presents a strategy for the delivery of a CRISPR-based antimicrobial that targets

antibiotic-resistant *E. faecalis*. We show that this conjugative antimicrobial significantly reduces

antibiotic resistance from *in vitro* and *in vivo* enterococcal communities in the absence of

externally applied selection for donor strains. Our approach for the delivery of a CRISPR-Cas

antimicrobial differs from studies which employed either transformable plasmids or phages for the

recognition and delivery to target cells (18-21). Although these studies provided the foundation

for the use of CRISPR antimicrobial activity against bacteria, limitations were noted. In the case

of phage-mediated CRISPR antimicrobial dissemination, this technique suffered from incomplete

phage dissemination. This scenario is expected due to selective pressures imposed on bacteria

by phages during infection, including receptor mutations (22-25). Such issues have recently been

addressed using multi-phage delivery systems or by co-opting phage particles for the delivery of

CRISPR-Cas targeting modules embedded within mobilizable genome islands (49, 50). Although

the use of a phage-based delivery system for *E. faecalis* should be possible, enterococcal phage

biology is understudied and limited information exists concerning phage host ranges and genetics.

Therefore, we chose to engineer a PRP for the dissemination of an *E. faecalis*-specific CRISPR-

Cas antimicrobial for several reasons. First, the conditions governing PRP regulation have been

extensively studied. Second, PRPs are specific for *E. faecalis*. Third, PRPs achieve high

conjugation rates and can be genetically modified to diversify host tropism by altering pheromone

responsiveness. Fourth, PRPs are stably maintained and disseminate in the absence of selection

(51, 52).

The intestine is a reservoir for MDR *E. faecalis*, and *E. faecalis* infections often follow

exposure to broad spectrum antibiotics. We applied our CRISPR-Cas antimicrobial method for

the removal of an antibiotic resistance trait from intestinal *E. faecalis*. The mouse models of

intestinal colonization used were designed to mimic enterococcal overgrowth in the human

intestine following antibiotic therapy (3, 4). Antibiotics disrupt the intestinal microbiota, allowing

antibiotic-resistant enterococci to become the dominant colonizer. In our study, we used *E.*

faecalis donor strains for the conjugative delivery of CRISPR-Cas to remove erythromycin

resistance from an abundant intestinal *E. faecalis* target population. The conjugative transfer of

an *ermB*-specific CRISPR-Cas targeting system into recipient *E. faecalis* cells showed little to no

reduction in erythromycin resistance from the total intestinal *E. faecalis* recipient population.

However, within the recipient population that did receive the CRISPR targeting plasmid carrying

a cognate spacer, all transconjugants were fully depleted of erythromycin resistance. This

indicates that, although the frequency of conjugation was low compared to the total available

recipient population and not as robust as *in vitro* conjugation frequencies, the specificity of our

CRIPSR-Cas targeting system in the intestine was absolute. If successful conjugation occurs,

there is complete loss of erythromycin resistance. In addition, we observed that donors carrying

a cognate CRISPR antimicrobial plasmid were protected from acquisition of erythromycin

resistance from recipients. This is an important feature of our deliverable system since the

transmission of undesirable target traits to donor cells would impede the usefulness of such a

delivery strategy within complex microbial environments. The discovery that *E. faecalis* donor

cells carrying the CRISPR-Cas antimicrobial are immunized against the acquisition of antibiotic

resistance suggests that donors could be used as a probiotic. These probiotic *E. faecalis* cells

would be protected from the acquisition of antibiotic resistance traits and could pre-emptively

occupy the intestine to outcompete or inhibit invading multidrug resistant strains.

There are several reasons why intestinal conjugation frequency might be suboptimal in our

study. First, our analysis measured transconjugants from fecal samples, not the intestinal

mucosa. The spatial distribution of donor and recipient *E. faecalis* cells colonizing the intestinal

mucosal layer is unknown and evidence suggests that conjugation frequency could be impacted

by the regional location of *E. faecalis* intestinal colonization (28). Second, pKH88 derivatives

require recipient-derived cPD1 pheromone to induce conjugation (53). We know little about the

kinetics of pheromone signaling in the intestine. Low rates of conjugation could reflect low local

concentrations of cPD1 in the vicinity of donors resulting in few donors that effectively conjugate,

thereby minimizing conjugation frequencies. Alternatively, excess donors can inhibit conjugation

to recipient cells (54). This is due to the production of inhibitory self-signaling peptides produced

by the donors that compete with recipient pheromones and reduce conjugation frequencies.

Exploring the efficiency of conjugation upon altering donor to recipient ratios could lead to more

permissive conjugation in the intestine. Another possibility is that conjugation in the intestine may

be more efficient at a precise density of donors, recipients, or both, which may require future

optimization for effective plasmid delivery.

Moving forward, improvements to our current system are justified if an engineered conjugative

CRISPR antimicrobial is to be clinically effective for precision removal of antibiotic resistance

genes in *E. faecalis* or other MDR bacteria. Modifications include multiplexing CRISPR guide

RNAs to target different resistance genes and/or the same genes at multiple sites, as well as

increasing the overall conjugation frequency by altering PRP regulation, dosing of donor strains,

or the plasmid replicon itself. Theoretically, resistance to bac-21 could compromise the efficacy

of our system, and future iterations should utilize alternative bacteriocins as well as bacteriocin-

free constructs. In this study we focused on targeting antibiotic resistance that resides on

plasmids. However, many *E. faecalis* antibiotic resistance genes are chromosomally encoded.

Future iterations of this system should also be engineered for the specific targeting of

chromosomal antibiotic resistance genes. Recently we showed that CRISPR-Cas targeting of the

vanB locus on the *E. faecalis* V583 chromosome is lethal (40). Targeting chromosomal antibiotic

resistance genes could have the added feature of bacterial lethality. Such a strategy may be

preferable depending on whether the intended use of the CRISPR-Cas antimicrobial is for

reshaping the microbiota or for targeting drug resistant strains during infection.

Our model system has relied on laboratory-domesticated strains of *E. faecalis* OG1 (43), it

will be imperative to determine the efficacy of this system against more "wild" clinical isolates

which are the intended targets of such a therapy. For safe and effective delivery of the CRISPR

antimicrobial in the clinic, the use of an attenuated *E. faecalis* strain or a related member of the

Lactobacillales approved for probiotic use would be essential. Finally, this system could be

adapted for the targeted removal of antibiotic resistance from bacteria other than *E. faecalis.*

Gram-positive conjugative plasmids like pIP501, which belongs to the *rep*$_1$ family, are broadly

disseminated conjugative plasmids (51, 52, 55). pIP501 is transferrable among enterococci, other

lactic acid bacteria, staphylococci, and clostridia (55). The modification of a promiscuous

mobilizable plasmid such as pIP501 with CRISPR-Cas antimicrobial function could expand the

capabilities of our current system by facilitating broad targeting of multiple Gram-positive

pathogens.

Materials and Methods

Bacterial culture methods and molecular biology techniques

Escherichia coli cultures were incubated with shaking at 220 RPM at 37°C in Lysogeny Broth

(LB). *E. faecalis* cultures were grown in Brain Heart Infusion (BHI) broth at 37°C without shaking.

PCR was performed using Taq Polymerase (New England Biolabs), and Q5 DNA Polymerase

(New England Biolabs) for molecular cloning. The PureLink PCR Purification kit (Invitrogen) was

used to purify DNA fragments and the GeneJet Plasmid Purification kit (Fisher) was used for

plasmid purification. Primers were obtained from Sigma Aldrich. Sanger sequencing was

performed at the Massachusetts General Hospital DNA Core Facility. *E. coli* EC1000 was used

for routine plasmid propagation (56). *E. faecalis* and *E. coli* competent cells were prepared as

described previously (26). Genomic DNA was extracted using the MO BIO Microbial DNA

Isolation Kit (Qiagen). Antibiotics were used at the following concentrations: chloramphenicol, 15

µg/ml; streptomycin, 500 µg/ml; spectinomycin, 500 µg/ml; vancomycin, 10 µg/ml; erythromycin,

50 µg/ml; rifampicin, 50 µg/ml; fusidic acid, 25 µg/ml; tetracycline, 10 µg/ml.

Strain and plasmid construction

All strains used in this study can be found in Table S1. The donor strain used for competition

experiments was a rifampicin and fusidic acid-resistant derivative of CK135 (57). All plasmids

used in this study can be found in Table S2. Fragments obtained from the plasmids pHA101 (58),

pPD1 (24), P*bacA*-pG19, pKH12 (26) and pGR *tetM/ermB* (40) were amplified using Q5 DNA

polymerase and assembled using NEB HiFi DNA Assembly Master Mix (New England Biolabs).

For simplicity, assembly of the CRISPR-targeting construct (P$_{bacA}$-*cas9-cat*-guide RNA) was

divided into two steps. First, P$_{bacA}$*cas9* was introduced into pPD1 by homologous recombination.

Then the *cat* gene from pKH12 and the P$_{bacA}$-driven CRISPR guide RNA was integrated

downstream of P$_{bacA}$-*cas9*. Following the generation of this construct, we discovered that the first

integration site obstructed the pheromone response, therefore the entire engineered region (P$_{bacA}$-

cas9-cat-guide RNA) was amplified as one fragment and integrated into native pPD1 between

orfs *ppd4* and *ppd5* using the integration plasmid pCOP88 (Fig. S1). The modified pPD1

derivatives are designated as pKH88[sp-*tetM*] and pKH88[sp-*ermB*], which target *tetM* or *ermB*

respectively. Primer sequences are listed in Table S3.

***In vitro* competition assays**

Overnight cultures of donors and recipients were pelleted, resuspended in fresh BHI broth,

and cultured for 1.5 hours. Donors and recipients were mixed in a volume ratio of 1:9, and the

mixtures were spread on BHI agar. Following overnight incubation, lawns were scraped into sterile

PBS and serial dilutions (10 µl each) were spotted on BHI plates supplemented with antibiotics to

enumerate colony forming units (CFU) of donors, recipients, and transconjugants as well as total

CFU of tetracycline- and erythromycin-resistant cells. For individual passages, the recovered

conjugation mixture was diluted 1:1000 in fresh BHI broth without selection and incubated

overnight, after which the constituents of the mixture were again enumerated.

Mouse models of *E. faecalis* colonization

All animal protocols were approved by the Institutional Animal Care and Use Committee of

the University of Colorado School of Medicine (protocol number 00253).

For the antibiotic dysbiosis model, 7 days prior to colonization, 6-8 week old C57BL6/J mice

were gavaged with 150 µL of an antibiotic cocktail (streptomycin 1 mg/mL, gentamicin 1 mg/mL,

erythromycin 200 µg/mL) and given a water bottle *ad libitum* with the same antibiotic cocktail for

6 days following the initial gavage. 24 hours prior to colonization with recipient *E. faecalis*,

antibiotic water was removed and replaced with standard sterile reverse osmosis water. Donor

and recipient strains were cultured overnight in BHI and mice were gavaged with ~10^9 CFU in

100 µL of sterile PBS. All mice were first gavaged with *E. faecalis* OG1SSp(pAM771). After 24

hours, mice (n=13, 7 female (F) and 6 male (M)) were gavaged with CK135(pKH88[sp-*ermB*])

while the second group (n=12, 5 F and 7 M) were gavaged with CK135(pKH88[sp-*tetM*]). Fecal

samples were collected at the designated time points, resuspended in 1 mL of sterile PBS, and

plated on BHI agar supplemented with rifampicin, fusidic acid, erythromycin, chloramphenicol,

streptomycin and spectinomycin in combinations that would select for the desired populations and

using the concentrations described above. After 27 days, 8 mice (4 F and 4 M) from the pKH88[sp-

ermB] targeting group and 7 mice (3 F and 4 M) from the pKH88[sp-*tetM*] non-targeting group

were gavaged with erythromycin (40 µg). Fecal samples were collected from all mice pre-

erythromycin gavage. Post-erythromycin gavage, fecal samples were collected from all 8 mice

from the pKH88[sp-*ermB*] targeting group and from 6 of 7 mice from the pKH88[sp-*tetM*] non-

targeting group due to the inability of a single mouse from this group to defecate.

All germ-free mice were gavaged with *E. faecalis* OG1SSp(pAM771. 24 hours later these

gnotobiotic mice were gavaged with *E. faecalis* CK135(pKH88[sp-*ermB*]) (n=8, 4 F and 4 M) or

E. faecalis CK135(pKH88[sp-*tetM*]) (n=8, 4 F and 4 M). Fecal samples were collected at the

designated time points and donors, recipients, and transconjugants were enumerated on selective

media as described above. After 27 days, all 8 gnotobiotic mice from each group were gavaged

with 40 µg of erythromycin.

Statistical analysis

GraphPad-Prism version 8.2.0 was used to determine statistical significance and the following tests were employed based on the experimental set up. For *in vitro* competition assays a log normal distribution was assumed and a Student's t-test was performed. For intestinal colonization studies, due to a higher degree of variation in the colonization kinetics between individual mice, a normal distribution was not assumed and thus a nonparametric Mann-Whitney test was performed. Values below the limit of detection for in vivo animal experiments were represented as constant (one half of the value of the limit of detection). The limit of detection for all animal experiments was 100 colony forming units per gram of feces. Error bars represent the geometric mean +/- the geometric standard deviation. p-values indicate the following: * 0.01 – 0.05, ** 0.001 - <0.01, *** 0.0001 - <0.001, **** <0.0001.

Acknowledgements

This work was supported by R01AI116610 (KLP), R01AI141479 (BAD), and K01DK102436 (BAD). We thank members of the Palmer and Duerkop labs for critical feedback on the manuscript. We thank Christopher Kristich for providing *E. faecalis* CK135(pPD1).

Figure Legends

Figure 1. CRISPR-Cas engineered conjugative pPD1 derivative plasmids target and eliminate antibiotic resistance genes in *in vitro* co-culture. (A) Schematic of the pPD1 derivative pKH88. pKH88 encodes *cas9* and a CRISPR guide RNA under the control of the constitutive enterococcal *bacA* promoter. The guide RNA consists of a CRISPR repeat region and a unique spacer sequence with complementarity to the *ermB* gene (pKH88[sp-*ermB*]) of pAM771 or the *tetM* gene (pKH88[sp-*tetM*]) of pCF10. pKH88 derivatives encode genes necessary for conjugative transfer, chloramphenicol resistance (*cat*) and biosynthesis of the bacteriocin bac-21. **(B)** Cartoon depicting the delivery of pKH88 derivatives to target recipient *E. faecalis* cells.

Abundance of erythromycin **(C)** or tetracycline **(D)** resistant *E. faecalis* OG1SSp recipients

following acquisition of pKH88[sp-*ermB*] or pKH88[sp-*tetM*]. Removal of erythromycin and

tetracycline resistance from the transconjugant population depends on the introduction of a

cognate spacer targeting pKH88 derivative. Markers; R – rifampicin, F – fusidic acid, Cam –

chloramphenicol. Abx – antibiotic resistance. **p=0.006 (for passage 0 in C) **p=0.003 (for

passage 1 in C), **p=0.009 (for passage 0 in D).

Figure 2. Engineered CRISPR-Cas targeting plasmid specifically reduces drug resistance

in MDR *E. faecalis*. (A) Cartoon depicting the transfer, targeting and removal of erythromycin

resistance from MDR *E. faecalis* V583. Conjugation of *E. faecalis* V583 with pKH88[sp-*ermB*] **(B)**

but not pKH88[sp-*tetM*] **(C)** reduces erythromycin resistant *E. faecalis* V583 over the course of

four serial passages in BHI broth. Markers; Erm – erythromycin, Van – vancomycin, R – rifampicin,

F – fusidic acid, S – streptomycin, Sp – spectinomycin, Cam – chloramphenicol.

Figure 3. Saturation of the *E. faecalis* OG1SSp(pAM771) recipient population by pKH88 is

time-dependent. The ratio of transconjugants to recipients was calculated for both the pKH88[sp-

ermB] targeting group (*ermB*) and the pKH88[sp-*tetM*] non-targeting group (*tetM*) for 23 days of

co-colonization in antibiotic-treated **(A)** and gnotobiotic **(B)** mice.

Figure 4. Assessment of erythromycin-resistant transconjugants from pKH88[sp-*ermB*]

targeting and pKH88[sp-*tetM*] non-targeting populations in the murine intestine. (A)

Antibiotic treated mice co-colonized with *E. faecalis* OG1SSp(pAM771) and CK135(pKH88[sp-

ermB]) – targeting group. **(B)** Antibiotic treated mice co-colonized with *E. faecalis*

OG1SSp(pAM771)   and   CK135(pKH88[sp-*tetM*])   –   non-targeting   group.   The   total

OG1SSp(pAM771) recipient population and recipients that are erythromycin resistant were

enumerated by selective plating of mouse feces over the course or 23 days of co-colonization.

The solid horizontal line indicates the limit of detection. Markers; S – streptomycin, Sp –

spectinomycin, Erm – erythromycin resistance.

Figure 5. *E. faecalis* CK135 pKH88[sp-*ermB*] donors are immune to acquisition of

erythromycin resistance from recipients in the murine intestine. (A) Total intestinal load of

erythromycin resistance among input *E. faecalis* donors and recipients from antibiotic-treated

mice. Erythromycin resistance is acquired by donors only in the pKH88[sp-*tetM*] non-targeting

group in both antibiotic-treated **(B)** and gnotobiotic **(C)** mice. The solid horizontal line indicates

the limit of detection. Markers; Erm – erythromycin. ***p=0.0003, ****p=<0.0001.

Figure 6. Transconjugants that receive pKH88[sp-*ermB*] do not bloom in the intestines of

dysbiotic mice following oral erythromycin treatment. 27 days post co-colonization with *E.*

faecalis OG1SSp(pAM771) and CK135(pKH88[sp-*ermB*]) (targeting group) or with *E. faecalis*

OG1SSp(pAM771) and CK135(pKH88[sp-*tetM*]) (non-targeting group), mice received a single 40

μg dose of oral erythromycin. The number of recipients, transconjugants and erythromycin

resistant transconjugants were enumerated from fecal pellets before and after oral erythromycin

treatment. The solid horizontal line indicates the limit of detection. Markers; Erm – erythromycin,

S – streptomycin, Sp – spectinomycin, Cam – chloramphenicol. *p=0.01, **p=0.004.

Figure S1. The allelic exchange vector pCOP88 integrates the P*bacA*-*cas9*-*cat*-guide RNA

module into pPD1. pCOP88 derivatives contain elements from the allelic exchange vector pLT06

(59). These include a temperature sensitive *repA* (*repA*ts) allele that facilitates forced integration

of pCOP88 derivatives into target DNA sequences under non-permissive temperature (42°C) and

a P-*pheS* cassette that allows for the cellular utilization of *p*-chloro-phenylalanine as a counter-

selectable marker. The P*bacA*-*cas9*-*cat*-guide RNA module is flanked by 1-kb pPD1 homology

regions used for the homologous recombination of the module into native pPD1.

Figure S2. *E. faecalis* V583 restricts conjugation of pKH88 derivatives originating from *E. faecalis* CK135. (A) The ratio of *E. faecalis* V583 and OG1SSp transconjugants following *in vitro* co-culture with *E. faecalis* CK135(pKH88) derivative donors. **(B)** Comparison of normalized *E. faecalis* V583 transconjugant and *E. faecalis* V583 recipient numbers following *in vitro* co-culture with *E. faecalis* CK135(pKH88) derivative donors.

Figure S3. Schematic cartoon of the antibiotic dysbiosis mouse model.

Figure S4. Intestinal colonization of *E. faecalis* populations following co-colonization with OG1SSp(pAM771) and CK135(pKH88) donors in antibiotic-treated mice. (A) Recipients. **(B)** Donors. **(C)** Transconjugants. Markers; S – streptomycin, Sp – spectinomycin, R – rifampicin, F – fusidic acid, Cam – chloramphenicol.

Figure S5. Intestinal colonization of *E. faecalis* populations following co-colonization with OG1SSp(pAM771) and CK135(pKH88) donors in gnotobiotic mice. (A) Recipients. **(B)** Donors. **(C)** Transconjugants. The solid horizontal line indicates the limit of detection. Markers; S – streptomycin, Sp – spectinomycin, R – rifampicin, F – fusidic acid, Cam – chloramphenicol.

Figure S6. Non-targeted *E. faecalis* intestinal transconjugant populations do not bloom following oral erythromycin treatment of gnotobiotic mice. 27 days post co-colonization with *E. faecalis* OG1SSp(pAM771) and CK135(pKH88[sp-*ermB*]) (targeting group) or with *E. faecalis* OG1SSp(pAM771) and CK135(pKH88[sp-*tetM*]) (non-targeting group), mice received a single 40 µg dose of oral erythromycin. The number of recipients, transconjugants and erythromycin resistant transconjugants were enumerated from fecal pellets before and after oral erythromycin

571 treatment. The solid horizontal line indicates the limit of detection. Markers; Erm – erythromycin,

572 S – streptomycin, Sp – spectinomycin, Cam – chloramphenicol.

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Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

Figure 6

Figure S1

Figure S2

Oral gavage
E. faecalis recipients
(OG1SSp(pAM771))

C57BL/6J

Day: -8   -2 -1 0 1   27 28

Antibiotic
induced
dysbiosis

Antibiotic
free water

Fecal collection

Erythromycin
oral gavage

Oral gavage
E. faecalis donors
(CK135(pKH88) derivative)

Figure S3

Figure S4

Figure S5

Figure S6

Table S1. Strains used in this study

Organism	Strain Name	Description	Ref
E. coli	EC1000	*E. coli* cloning host, providing *repA in trans.*F- , *araD139 (ara ABC-leu)7679, galU, galK, lacX74, rspL, thi, repA* of pWV01 in *glgB, km*	(1)
E. faecalis	V583	MDR bloodstream isolate, resistant to vancomycin, gentamicin, and erythromycin	(2)
	OG1SSp	Spectinomycin-streptomycin-resistant derivative of OG1	(3)
	CK135	OG1 *rpoB*$_{H486Y}$ (spontaneous Rif^r derivative)	(4)
	CK135RF	Spontaneous fusidic acid-resistant derivative of CK135	This study
	OG1RF ΔEfaRFI	OG1RF EfaRFI (OG1RF_11622-11621) deletion mutant	(5)

Table S2. Plasmids used in this study

Plasmid	Description	Ref
pLT06	Encodes temperature-sensitive *repA* and *pheS** counter-selection	(6)
pHA101	pLT06 + *oriT*	(7)
pCOP88[sp-*tetM*]	pLT06 derivative used to knock in CRISPR-targeting construct with a spacer targeting *tetM*	This study
pCOP88[sp-*ermB*]	pLT06 derivative used to knock in CRISPR-targeting construct with a spacer targeting *ermB*	This study
pKH88[sp-*ermB*]	pPD1 derivative with CRISPR-targeting cassette for *ermB*; also encodes *cat*	This study
pKH88[sp-*tetM*]	pPD1 derivative with CRISPR-targeting cassette for *tetM*; also encodes *cat*	This study
pAM771	Non-cytolytic derivative of the PRP pAD1 mutagenized with Tn*917,* encodes erythromycin resistance via *ermB*	(8)
pCF10	PRP; encodes tetracycline resistance via *tetM*	(9)
pPD1	PRP; encodes Bac-21 bacteriocin	(10)

Table S3. Primers used in this study

Primer Name	Sequence (5'-3')	Use
pCOP88 Ori for	TGCAGCGTTTCTTTGAATAG	Create pCOP88
pCOP88 Ori rev	GCTTTGCAAAGTCTGAAAAC	Create pCOP88
pCOP88 PheS cat for	TGCCACCTTCGTTTTCAGACTTTGCAAAGCCAA GTTAAGGGATGCAGTTTAAAAATG	Create pCOP88
pCOP88 PheS cat rev	GGCATGATGGTTGCCGGTCGATAAACCCAGCG AAC	Create pCOP88
pCOP88 cas9 for	AAACATTACTCTATAGCAAACACAGTTAACCACG	Create pCOP88
pCOP88 cas9-cat-PSRT rev	CAATATCAGAATCAATCCACTCCTGAATCCCATT C	Create pCOP88
pCOP88 Arm 1 for	CTGGGTTTATCGACCGGCAACCATCATGCCTAA ATTTTTATC	Create pCOP88
pCOP88 Arm 1 rev	GTTAACTGTGTTTGCTATAGAGTAATGTTTTAAT TTTTTTCTCTTTTTCAG	Create pCOP88
pCOP88 Arm 2 for	GGATTCAGGAGTGGATTGATTCTGATATTGCCA ATC	Create pCOP88
pCOP88 Arm 2 rev	CTAAAACGTCCTATTCAAAGAAACGCTGCAAGT CAACTAGAATCTGCTG	Create pCOP88
cas9 rev	TTTATTAAAGTTCATCTAGTCGACAACTTTACGG CGTGTTTC	Create pCOP88

cat for	AAAGTTGTCGACTAGATGAACTTTAATAAAATTGATTTAGACAAT	Create pCOP88
cat rev	TCAACAAACTGGCCCGTTTGTTGAACTACTTTATAAAAGCCAGTCATTAGGC	Create pCOP88
PSRT for	AGTAGTTCAACAAACGGGCC	Create pCOP88

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