Conjugative delivery of CRISPR-Cas9 for the selective depletion of antibiotic-resistant

enterococci

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Abstract

The innovation of new therapies to combat multidrug-resistant (MDR) bacteria is being outpaced by the continued rise of MDR bacterial infections. Of particular concern are hospital-acquired infections (HAIs) recalcitrant to antibiotic therapies. The Gram-positive intestinal pathobiont *Enterococcus faecalis* contributes to a high incidence of HAIs with limited antibiotic treatment options. Therefore, novel therapies for the mitigation of *E. faecalis* HAIs is critically needed. We previously characterized an *E. faecalis* Type II CRISPR-Cas system and demonstrated its utility in the sequence-specific removal of antibiotic resistance determinants. Here we present work describing the adaption of this CRISPR-Cas system into a constitutively expressed module encoded on a pheromone-responsive conjugative plasmid that efficiently transfers to *E. faecalis* for the selective removal of antibiotic resistance genes. Using *in vitro* competition assays, we show that these CRISPR-Cas-encoding delivery plasmids, or CRISPR-Cas antimicrobials, can reduce the occurrence of antibiotic resistance in enterococcal populations in a sequence-specific manner. Furthermore, we demonstrate that deployment of CRISPR-Cas antimicrobials in the murine intestine reduces the occurrence of antibiotic-resistant *E. faecalis* by several orders of magnitude. Finally, we show that *E. faecalis* donor strains harboring CRISPR-Cas antimicrobials are immune to uptake of antibiotic resistance determinants *in vivo*. Our results demonstrate that conjugative delivery of CRISPR-Cas antimicrobials may be adaptable for future deployment from probiotic bacteria for exact targeting of defined MDR bacteria or for precision engineering of polymicrobial communities in the mammalian intestine.

Introduction

Disruption of the intestinal microbiota can predispose individuals to infection by opportunistic pathogens [1, 2]. Antibiotics facilitate such disturbances leading to the development of hospital-acquired infections (HAIs) [3, 4]. *Enterococcus faecalis*, a normal constituent of the healthy human intestinal microbiota and historically used in probiotics and during food fermentation, is now a leading cause of HAIs [5-7]. *E. faecalis* is an adept opportunist that can proliferate in the dysbiotic intestine following antibiotic perturbation [8]. Antibiotic-resistant clinical isolates of *E. faecalis* typically possess expanded genomes compared to susceptible isolates due to the acquisition of mobile genetic elements [9, 10], and patients colonized with these multidrug-resistant (MDR) *E. faecalis* are at increased risk of acquiring bloodstream infections [11]. Thus, there is a need for novel strategies to decolonize high-risk individuals of MDR *E. faecalis* [12].

CRISPR-Cas systems are protective barriers in prokaryotes that function in the adaptive immunity to mobile genetic elements [13-15]. The well-studied Type II CRISPR-Cas system consists of a DNA endonuclease (Cas9) that uses a programmable RNA guide to cleave a double-stranded DNA target sequence [16]. Double-stranded DNA breaks can be lethal to bacteria [17], therefore deploying Type II CRISPR-Cas as a sequence-specific antimicrobial is an attractive alternative to conventional antibiotic therapy. Previous studies have explored this concept using phages to deliver engineered CRISPR systems targeting specific genes [18-21]. Although effective, this method of delivery is likely to be challenging for many species of bacteria including *E. faecalis* due to phage receptor and other cell wall mutations that arise readily in response to lytic phage infection [22-25].

We previously demonstrated the utility of CRISPR-Cas9 as a sequence-specific *in vitro* antimicrobial in *E. faecalis* by delivering CRISPR guide sequences on mobilizable cloning vectors into *E. faecalis* cells that chromosomally encode *cas9* [26]. However, since MDR *E. faecalis*

strains lack complete CRISPR-Cas systems, and specifically *cas9* [27], efficient delivery of the

entire CRISPR-Cas9 machinery to MDR *E. faecalis* would likely be required. An alternative

method for the delivery of CRISPR-Cas is the use of pheromone-responsive plasmids (PRPs),

which naturally achieve high rates of conjugation, have a narrow host range limited to *E. faecalis*,

are capable of comprehensively infiltrating *E. faecalis* populations, and can disseminate within

intestinal *E. faecalis* in the absence of antibiotic selection [28-31]. The transmission of PRPs is

tightly regulated, so the deployment of a PRP CRISPR-Cas antimicrobial could be conditionally

tuned for precision targeting and delivery [32].

PRPs respond to pheromones (short peptides) that are secreted by plasmid-free *E. faecalis*

cells [33]. When donor cells detect excess pheromones, indicating the presence of plasmid-free

cells in the vicinity [34], they synthesize aggregation substance which facilitates contact between

plasmid-bearing and plasmid-free cells [35]. This enables the rapid and efficient transfer of PRPs

from donor to recipient. In addition, many PRPs encode accessory genes such as antibiotic

resistance and bacteriocins [36, 37]. Previous work demonstrated that the PRP pPD1 conjugated

at high rates in the intestines of mice, and pPD1 transfer to plasmid-free *E. faecalis* was beneficial

since recipients that failed to acquire the plasmid were killed by the pPD1 encoded bac-21

bacteriocin [29].

We recently discovered that *E. faecalis* cells possessing self-targeting CRISPR-Cas systems

are able to transiently tolerate this self-targeting, albeit at a fitness cost, and that antibiotic

selection determines the outcome of this conflict by selecting for CRISPR mutants or loss of the

CRISPR-targeted gene [26, 38]. We observed CRISPR-Cas self-targeting lethality when the

expression of *cas9* was increased using a constitutive promoter [39]. In this study, we engineered

pPD1 with a complete, constitutively expressed CRISPR-Cas9 targeting cassette, specific for the

enterococcal antibiotic resistance genes *ermB* (encoding erythromycin resistance) and *tetM*

(encoding tetracycline resistance). We chose pPD1 as it lacks natively encoded antibiotic

resistance yet it harbors the fitness-enhancing bac-21 bacteriocin [40, 41]. Using this engineered

PRP, we successfully depleted tetracycline and erythromycin resistance from *E. faecalis*

populations *in vitro*. Crucially, this approach worked in the absence of externally applied selection

for pPD1 in donor strains, showing practicality for its usage as a potential antimicrobial. Using an

in vivo intestinal colonization model, we show that these constructs are conjugated to

erythromycin-resistant recipient cells *in vivo*. Donors carrying the engineered PRP targeting *ermB*

significantly reduced the prevalence of erythromycin-resistant intestinal *E. faecalis*, supporting the

utility of the engineered PRP in mitigating MDR *E. faecalis*. Furthermore, we show that these

donors are immune to the uptake of *ermB*-encoding PRPs harbored by recipient *E. faecalis* cells.

This work is a first step in utilizing conjugative elements for specific delivery of CRISPR-Cas

antimicrobials to precisely target antibiotic-resistant bacteria in the intestinal microbiota.

Results

Delivery of CRISPR-Cas9 effectively removes antibiotic resistance *in vitro* by targeting

plasmid-borne resistance genes

To assess the ability of CRISPR-Cas9 to target and remove antibiotic resistance in *E. faecalis*,

we modified the PRP pPD1 by introducing the *cas9* gene and a CRISPR guide RNA under the

control of the constitutive *bacA* promoter (Fig. 1A and S1). To facilitate detection of the plasmid

we included a chloramphenicol resistance marker (*cat*) for selection. We generated two pPD1

derivatives, referred to as pKH88[sp-*tetM*] and pKH88[sp-*ermB*], which carry guide RNAs that

target the enterococcal *tetM* and *ermB* genes conferring tetracycline and erythromycin resistance,

respectively. These plasmids retain pheromone response functions and bac-21 bacteriocin

production (Fig. 1A).

PRPs are vehicles for the transmission of antibiotic resistance genes in *E. faecalis* populations

[30], thus we first assessed the ability of our engineered pPD1 derivatives to eliminate antibiotic

resistance genes present on other PRPs. We reasoned that bacteriocin production by pKH88

derivatives would promote maintenance of these plasmids in enterococcal populations. The donor

strain used in these experiments was *E. faecalis* CK135 and the recipient was *E. faecalis* OG1SSp

possessing either pAM771 which carries the erythromycin resistance gene *ermB* or pCF10 which

carries the tetracycline resistance gene *tetM* (Fig. 1B). Both CK135 and OG1SSp are isogenic

derivatives of the human oral commensal isolate OG1 [42]. We mixed donors and recipients and

plated them on Brain Heart Infusion (BHI) agar. After overnight growth we recovered the biofilm

and enumerated colony forming units (CFU) of the different cell types (passage 0 in Fig. 1C and

1D). We observed a significant reduction in the number of erythromycin- and tetracycline-resistant

transconjugants only when recipient cells received a pKH88 derivative encoding a cognate

CRISPR guide (Fig. 1C and 1D). Non-cognate guides were ineffective at reducing antibiotic

resistance. This loss of antibiotic resistance was maintained after growth of the community in

fresh BHI broth overnight (passage 1 in Fig. 1C and 1D), demonstrating this loss is sustained

within the transconjugant population.

Conjugation of pKH88[sp-*ermB*] to MDR *E. faecalis* resolves erythromycin resistance.

To determine whether the pKH88 plasmids would be effective against MDR *E. faecalis*, we

used pKH88[sp-*ermB*] to target *ermB* in *E. faecalis* V583 (Fig. 2A), a vancomycin-resistant

hospital-adapted isolate [43]. V583 *ermB* is encoded on the plasmid pTEF1, and V583 does not

encode a *tetM* gene [10, 44]. Initially, we observed poor conjugation frequencies of pKH88

derivatives into V583 when using CK135 as the donor (Fig. S2A and S2B). *E. faecalis* V583

encodes a Type IV restriction endonuclease that restricts entry of 5-methylcytosine-modified DNA

and has been characterized as a barrier to DNA uptake in enterococci [39, 45, 46]. We reasoned

that this barrier could account for the reduced dissemination of pKH88 to *E. faecalis* V583.

Therefore, we utilized the *E. faecalis* OG1 variant strain OG1RF deleted for a 5-methylcytosine

DNA methyltransferase (ΔEfaRFI) as the donor strain. This strain cannot methylate plasmid DNA

and the plasmid will not be cleaved by the recipient cell following conjugation [46]. We observed

that delivery of pKH88[sp-*ermB*] from *E. faecalis* OG1RF(ΔEfaRFI) to *E. faecalis* V583 decreased

total erythromycin resistance in the population (Fig. 2B). In addition, *E. faecalis* V583 did not

tolerate CRISPR cleavage of *ermB* on pTEF1, indicated by a reduction in viable *E. faecalis* V583

cells (Fig. 2B) and which is consistent with our previous findings [26]. This was specific to *ermB*

cleavage, as transconjugants that received the nonspecific targeting plasmid pKH88[sp-*tetM*]

retained cell viability and erythromycin resistance (Fig. 2C).

CRISPR-Cas9 selectively depletes erythromycin resistance within enterococcal

populations in the dysbiotic murine intestine

We next evaluated the efficacy of pKH88[sp-*ermB*] for the removal of erythromycin resistance

using a mouse model of *E. faecalis* intestinal colonization. Mice were administered an antibiotic

cocktail in their drinking water for seven days. Antibiotic water was removed for 24 hours and the

mice were gavaged with *E. faecalis* OG1SSp(pAM771) recipients, modeling a bloom of antibiotic-

resistant *E. faecalis* in the intestine. After an additional 24 hours, mice were gavaged with donor

E. faecalis CK135 carrying either pKH88[sp-*ermB*] (targeting) or pKH88[sp-*tetM*] (non-targeting),

and fecal samples were collected over the course of 23 days. A schematic of this experiment is

depicted in Fig. S3. Whereas *E. faecalis* CK135 donors colonized the intestine to a sustained high

density, *E. faecalis* OG1SSp(pAM771) recipient cells gradually declined in abundance over time

(Fig. S4A and S4B). We attribute this gradual reduction in the recipient population to competitive

killing by bacteriocin-producing donors as previously described for pPD1 carrying *E. faecalis* [29],

and/or competition from the reemerging native microbiota that was initially displaced by the

antibiotic treatment. Robust numbers of pKH88-containing transconjugants (i.e. chloramphenicol

resistant OG1SSp) appeared two days post-colonization of the donor strains for both the

pKH88[sp-*ermB*] and pKH88[sp-*tetM*] groups (Fig. S4C). However, the initial frequency of

transconjugants per recipient reached only ~10^{-5}, indicating that overall conjugation frequency

was relatively low (Fig. 3A). This was followed by a gradual increase in the transconjugant to

recipient ratio, approaching 1:1 (Fig. 3A), as the total recipient population decreased over time

(Fig. S4A). This suggests that the initial transconjugant population remains fixed due to a pKH88

bacteriocin-mediated fitness advantage upon restoration of the indigenous microbiota.

A significant reduction in the number of erythromycin-resistant recipients compared to the total

recipient population was observed in the pKH88[sp-*ermB*] targeting group and not the pKH88[sp-

tetM] non-targeting group (Figs. 4A and 4B). This indicates that introduction of the *ermB* targeting

spacer in conjunction with *cas9* on a conjugative plasmid is sufficient to reduce erythromycin

resistance from *E. faecalis* OG1SSp(pAM771) transconjugants in the intestine. To our surprise,

the difference in erythromycin-resistant recipients between the pKH88[sp-*ermB*] and pKH88[sp-

tetM] groups was significantly less than the difference between the total erythromycin resistant

intestinal *E. faecalis,* which includes both donors and recipients. The magnitude of this difference

was ~3 log by the end of the experiment (Fig 5A). This indicated that there was likely a substantial

amount of conjugation by pAM771 into the donor population. Indeed, in as little as two days,

donors acquired erythromycin resistance, but only in the pKH88[sp-*tetM*] non-targeting group (Fig.

5B). These data indicate that *E. faecalis* CK135(pKH88[sp-*tetM*]) donors are susceptible to

counter-conjugation of pAM771 from recipients but that CK135(pKH88[sp-*ermB*]) donors are

immune, presumably due to CRISPR-Cas targeting of *ermB* on pAM771 upon counter-

conjugation. We conclude that our CRISPR antimicrobial dramatically reduces the prevalence of

a targeted conjugative plasmid within the input *E. faecalis* population, likely through a

multifactorial mechanism involving a bacteriocin-dependent competitive advantage, cleavage of

the targeted plasmid, and prevention of counter-plasmid acquisition. This in turn substantially

impacts the distribution of drug resistance, as mice colonized with pKH88[sp-*ermB*] donors had

0.1% of the total levels of erythromycin-resistant *E. faecalis* possessed by mice colonized with

pKH88[sp-*tetM*] donors.

We next assessed whether the microbial complexity of the intestinal environment plays a role

in the efficacy of the CRISPR-Cas antimicrobial, since curtailing antibiotic therapy spontaneously

restores the microbiota in the antibiotic dysbiosis model [47]. We first colonized germ-free mice

with *E. faecalis* OG1SSp(pAM771) recipient cells and 24 hours later introduced donor *E. faecalis*

CK135 carrying either pKH88[sp-*ermB*] or pKH88[sp-*tetM*]. Gnotobiotic mice maintained a high

intestinal burden of both donors and recipients for the entire 23 days, in contrast to the antibiotic

dysbiosis model where the recipient population is reduced over time (Fig. S5A, S5B and S4A).

Despite sustained high density colonization of both donors and recipients, the total number of

transconjugants was similar to those observed in the antibiotic dysbiosis model (Fig. S5C and

S4C) but the transconjugant per recipient ratio remained stably low at 10^{-5} (Fig. 3B). Sustained

high recipient density indicated that the apparent competitive advantage possessed by pKH88-

containing donors via bac-21 does not manifest in gnotobiotic mice. These data suggest that even

with prolonged high densities of both donors and recipients in the absence of microbial

complexity, there may be biogeographical barriers that restrict the dissemination of pKH88

derivatives. This also implies that the competitive advantage possessed by the donor is most

prevalent in the presence of the microbiota, and therefore some degree of microbial complexity

is likely necessary for the functionality of our CRISPR-Cas antimicrobial. Interestingly, similar to

observations in the antibiotic dysbiosis model, pKH88 donors acquired erythromycin resistance,

but only in the pKH88[sp-*tetM*] non-targeting group (Fig. 5C).

These results demonstrate that our engineered CRISPR-Cas antimicrobial is a robust barrier

to *in vivo* plasmid acquisition and reduces the *in vivo* prevalence of a specifically targeted drug

resistance trait. From a technological viewpoint this is the ideal situation of a prospective

"probiotic" donor; a strain that can effectively disseminate a CRISPR targeting plasmid while being

protected from acquisition of undesirable traits.

CRISPR-Cas antimicrobial prevents antibiotic-mediated intestinal expansion of

transconjugants.

A hallmark of enterococcal intestinal colonization is its ability to expand its population upon

antibiotic perturbation of the indigenous microbiota [4, 25]. This antibiotic-mediated expansion is

attributed to both intrinsic and acquired antibiotic resistance. To determine the ability of our

CRISPR-Cas antimicrobial to minimize the outgrowth of erythromycin resistant *E. faecalis* in the

intestine following antibiotic therapy, we utilized both our antibiotic dysbiosis and gnotobiotic

mouse models. We treated the mice 27 days post co-colonization with a single 40 µg dose of oral

erythromycin and determined the abundance of erythromycin resistant transconjugants and total

erythromycin resistant *E. faecalis*. Prior to antibiotic treatment there were no erythromycin

resistant transconjugants recovered from mice receiving donors carrying the targeting plasmid

pKH88[sp-*ermB*], whereas two of eight mice receiving donors carrying the non-targeting plasmid

pKH88[sp-*tetM*] had recoverable erythromycin resistant transconjugants (Fig. 6). Upon oral

erythromycin treatment, there was a ~4 log expansion of erythromycin resistant transconjugants

in the pKH88[sp-*tetM*] non-targeting group, and no erythromycin resistant transconjugants

emerged in the mice colonized with *E. faecalis* CK135 pKH88[sp-*ermB*] donors (Fig. 6).

Additionally, the total erythromycin-resistant *E. faecalis* in the pKH88[sp-*tetM*] non-targeting group

was significantly higher compared to the pKH88[sp-*ermB*] targeting group (Fig. 6). Together these

data indicate that transconjugants receiving pKH88[sp-*ermB*] have been depleted of erythromycin

resistance and that pKH88[sp-*ermB*] can significantly reduce the overall total erythromycin

resistance within intestinal *E. faecalis*, pre- and post-antibiotic therapy. Interestingly, any

remaining viable transconjugants in the pKH88[sp-*ermB*] targeting group were impaired in their

ability to increase in cell density compared to mice receiving pKH88[sp-*tetM*] non-targeting donors

(Fig. 6), further indicating that targeted *E. faecalis* cells fail to expand their population due to loss

of pAM771 encoded erythromycin resistance.

In gnotobiotic mice, following oral erythromycin treatment, again we did not recover any

erythromycin resistant transconjugants in the pKH88[sp-*ermB*] targeting group (Fig. S6).

Interestingly, in gnotobiotic mice, we did not observe outgrowth of erythromycin resistant

transconjugants in the pKH88[sp-*tetM*] non-targeting group, even though we did not recover any

erythromycin resistant transconjugants in the pKH88[sp-*ermB*] targeting group, similar to the

antibiotic dysbiosis model (Fig. S6). We attribute this to the high level of both donors and

recipients in the gnotobiotic intestine that were unaffected by oral erythromycin treatment (Fig.

S5A and S5B). Intestinal colonization saturation by donors and recipients may be spatially

restricting, thus promoting colonization resistance against transconjugant expansion upon

erythromycin treatment in the pKH88[sp-*tetM*] non-targeting group.

Discussion

This study presents a strategy for the delivery of a CRISPR-based antimicrobial that targets

antibiotic-resistant *E. faecalis*. We show that this conjugative antimicrobial significantly reduces

antibiotic resistance from *in vitro* and *in vivo* enterococcal communities in the absence of

externally applied selection for donor strains. Our approach for the delivery of a CRISPR-Cas

antimicrobial differs from studies which employed either transformable plasmids or phages for the

recognition and delivery to target cells [18-21]. Although these studies provided the foundation for

the use of CRISPR antimicrobial activity against bacteria, limitations were noted. In the case of

phage-mediated CRISPR antimicrobial dissemination, this technique suffered from incomplete

phage dissemination. This scenario is expected due to selective pressures imposed on bacteria

by phages during infection, including receptor mutations [22-25]. Such issues have recently been

addressed using multi-phage delivery systems or by co-opting phage particles for the delivery of

CRISPR-Cas targeting modules embedded within mobilizable genome islands [48, 49]. Although

the use of a phage-based delivery system for *E. faecalis* should be possible, enterococcal phage

biology is understudied and limited information exists concerning phage host ranges and genetics.

Therefore, we chose to engineer a PRP for the dissemination of an *E. faecalis*-specific CRISPR-

Cas antimicrobial because the conditions governing PRP regulation have been extensively

studied, PRPs are specific for *E. faecalis,* PRPs achieve high conjugation rates*,* PRPs can be

genetically modified to diversify host tropism by altering pheromone responsiveness, and PRPs

are stably maintained and disseminate in the absence of selection [50, 51].

The intestine is a reservoir for MDR *E. faecalis*, and *E. faecalis* infections often follow

exposure to broad spectrum antibiotics. We applied our CRISPR-Cas antimicrobial method for

the removal of an antibiotic resistance trait from intestinal *E. faecalis*. The mouse models of

intestinal colonization used were designed to mimic enterococcal overgrowth in the human

intestine following antibiotic therapy [3, 4]. Antibiotics disrupt the intestinal microbiota, allowing

antibiotic-resistant enterococci to become the dominant colonizer. In our study, we used *E.*

faecalis donor strains for the conjugative delivery of CRISPR-Cas to remove erythromycin

resistance from an abundant intestinal *E. faecalis* target population. The conjugative transfer of

an *ermB*-specific CRISPR-Cas targeting system into recipient *E. faecalis* cells showed a modest

reduction in erythromycin resistant intestinal *E. faecalis*. However, within this erythromycin

resistant population, all transconjugants that acquired the cognate CRISPR-Cas targeting plasmid

were fully depleted of erythromycin resistance. This indicates that, although the frequency of

conjugation was low compared to the total available recipient population and not as robust as *in*

vitro conjugation frequencies, the specificity of our CRIPSR-Cas targeting system in the intestine

was absolute. If successful conjugation occurs there is complete loss of erythromycin resistance.

In addition, we observed that donors carrying a cognate CRISPR antimicrobial plasmid were

protected from acquisition of erythromycin resistance from recipients. This is an important feature

of our deliverable system since the transmission of undesirable target traits to donor cells would

impede the usefulness of such a delivery strategy within complex microbial environments.

There are several reasons why intestinal conjugation frequency might be suboptimal in our

study. First, our analysis measured transconjugants from fecal samples, not the intestinal

mucosa. The spatial distribution of donor and recipient *E. faecalis* cells colonizing the intestinal

mucosal layer is unknown and evidence suggests that conjugation frequency could be impacted

by the regional location of *E. faecalis* intestinal colonization [28]. Second, pKH88 derivatives

require recipient-derived cPD1 pheromone to induce conjugation [52]. We know little about the

kinetics of pheromone signaling in the intestine. Low rates of conjugation could reflect low local

concentrations of cPD1 in the vicinity of donors resulting in few donors that effectively conjugate,

thereby minimizing conjugation frequencies. Alternatively, excess donors can inhibit conjugation

to recipient cells [53]. This is due to the production of inhibitory self-signaling peptides produced

by the donors that compete with recipient pheromones and reduce conjugation frequencies.

Exploring the efficiency of conjugation upon altering donor to recipient ratios could lead to more

permissive conjugation in the intestine.

Moving forward, improvements to our current system are justified if an engineered conjugative

CRISPR antimicrobial is to be clinically effective for precision removal of antibiotic resistance

genes in *E. faecalis* or other MDR bacteria. Modifications include multiplexing CRISPR guide

RNAs to target different resistance genes and/or the same genes at multiple sites, as well as

increasing the overall conjugation frequency by altering PRP regulation, dosing of donor strains,

or the plasmid replicon itself. Theoretically, resistance to bac-21 could compromise the efficacy

of our system, and future iterations should utilize alternative bacteriocins as well as bacteriocin-

free constructs. Since our model system has relied on laboratory-domesticated strains of *E.*

faecalis OG1 [42], it will be imperative to determine the efficacy of this system against more "wild"

clinical isolates which are the intended targets of such a therapy. For safe and effective delivery

of the CRISPR antimicrobial in the clinic, the use of an attenuated *E. faecalis* strain or a related

member of the Lactobacillales approved for probiotic use would be essential. Finally, this system

could be adapted for the targeted removal of antibiotic resistance from bacteria other than *E.*

faecalis. Gram-positive conjugative plasmids like pIP501, which belongs to the rep_1 family, are

broadly disseminated conjugative plasmids [50, 51, 54]. pIP501 is transferrable among

enterococci, other lactic acid bacteria, staphylococci, and clostridia [54]. The modification of a

promiscuous mobilizable plasmid such as pIP501 with CRISPR-Cas antimicrobial function could

expand the capabilities of our current system by facilitating broad targeting of multiple Gram-

positive pathogens.

Materials and Methods

Bacterial culture methods and molecular biology techniques

Escherichia coli cultures were incubated with shaking at 220 RPM at 37°C in Lysogeny Broth

(LB). *E. faecalis* cultures were grown in Brain Heart Infusion (BHI) broth at 37°C without shaking.

PCR was performed using Taq Polymerase (New England Biolabs), and Q5 DNA Polymerase

(New England Biolabs) for molecular cloning. The PureLink PCR Purification kit (Invitrogen) was

used to purify DNA fragments and the GeneJet Plasmid Purification kit (Fisher) was used for

plasmid purification. Primers were obtained from Sigma Aldrich. Sanger sequencing was

performed at the Massachusetts General Hospital DNA Core Facility. *E. coli* EC1000 was used

for routine plasmid propagation [55]. *E. faecalis* and *E. coli* competent cells were prepared as

described previously [26]. Genomic DNA was extracted using the MO BIO Microbial DNA Isolation

Kit (Qiagen). Antibiotics were used at the following concentrations: chloramphenicol, 15 µg/ml;

streptomycin, 500 µg/ml; spectinomycin, 500 µg/ml; vancomycin, 10 µg/ml; erythromycin, 50

µg/ml; rifampicin, 50 µg/ml; fusidic acid, 25 µg/ml; tetracycline, 10 µg/ml.

Strain and plasmid construction

All strains used in this study can be found in Table S1. The donor strain used for competition experiments was a rifampicin and fusidic acid-resistant derivative of CK135 [56]. All plasmids used in this study can be found in Table S2. Fragments obtained from the plasmids pHA101 [57], pPD1 (24), P_{bacA}-pG19, pKH12 [26] and pGR *tetM*/*ermB* [39] were amplified using Q5 DNA polymerase and assembled using NEB HiFi DNA Assembly Master Mix (New England Biolabs). For simplicity, assembly of the CRISPR-targeting construct (P_{bacA}-*cas9-cat*-guide RNA) was divided into two steps. First, P_{bacA}*cas9* was introduced into pPD1 by homologous recombination. Then the *cat* gene from pKH12 and the P_{bacA}-driven CRISPR guide RNA was integrated downstream of P_{bacA}-*cas9*. Following the generation of this construct, we discovered that the first integration site obstructed the pheromone response, therefore the entire engineered region (P_{bacA}-*cas9-cat*-guide RNA) was amplified as one fragment and integrated into native pPD1 between orfs *ppd4* and *ppd5* using the integration plasmid pCOP88 (Fig. S1). The modified pPD1 derivatives are designated as pKH88[sp-*tetM*] and pKH88[sp-*ermB*], which target *tetM* or *ermB* respectively. Primer sequences are listed in Table S3.

In vitro **Competition Assays**

Overnight cultures of donors and recipients were pelleted, resuspended in fresh BHI broth, and cultured for 1.5 hours. Donors and recipients were mixed in a volume ratio of 1:9, and the mixtures were spread on BHI agar. Following overnight incubation, lawns were scraped into sterile PBS and serial dilutions (10 µl each) were spotted on BHI plates supplemented with antibiotics to enumerate colony forming units (CFU) of donors, recipients, and transconjugants as well as total CFU of tetracycline- and erythromycin-resistant cells. For individual passages, the recovered conjugation mixture was diluted 1:1000 in fresh BHI broth without selection and incubated overnight, after which the constituents of the mixture were again enumerated.

Mouse models of *E. faecalis* colonization

All animal protocols were approved by the Institutional Animal Care and Use Committee of the University of Colorado School of Medicine (protocol number 00253).

For the antibiotic dysbiosis model, 7 days prior to colonization recipients, 6-8 week old C57BL6/J mice were gavaged with 150 µL of an antibiotic cocktail (streptomycin 1 mg/mL, gentamicin 1 mg/mL, erythromycin 200 µg/mL) and given a water bottle *ad libitum* with the same antibiotic cocktail for 6 days following the initial gavage. 24 hours prior to colonization recipients, antibiotic water was removed and replaced with standard sterile reverse osmosis water. Donor and recipient strains were cultured overnight in BHI and mice were gavaged with ~10^9 CFU in 100 µL of sterile PBS. All mice were first gavaged with *E. faecalis* OG1SSp(pAM771). After 24 hours, mice (n=13, 7 female (F) and 6 male (M)) were gavaged with CK135(pKH88[sp-*ermB*]) while the second group (n=12, 5 F and 7 M) were gavaged with CK135(pKH88[sp-*tetM*]). Fecal samples were collected at the designated time points, resuspended in 1 mL of sterile PBS, and plated on BHI agar supplemented with rifampicin, fusidic acid, erythromycin, chloramphenicol, streptomycin and spectinomycin in combinations that would select for the desired populations and using the concentrations described above. After 27 days, 8 mice (4 F and 4 M) from the pKH88[sp-*ermB*] targeting group and 7 mice (3 F and 4 M) from the pKH88[sp-*tetM*] non-targeting group were gavaged with erythromycin (40 µg). Fecal samples were collected from all mice pre-erythromycin gavage. Post-erythromycin gavage, fecal samples were collected from all 8 mice from the pKH88[sp-*ermB*] targeting group and from 6 of 7 mice from the pKH88[sp-*tetM*] non-targeting group due to the inability of a single mouse from this group to defecate.

All germ-free mice were gavaged with *E. faecalis* OG1SSp(pAM771). 24 hours later these gnotobiotic mice were gavaged with *E. faecalis* CK135(pKH88[sp-*ermB*]) (n=8, 4 F and 4 M) or *E. faecalis* CK135(pKH88[sp-*tetM*]) (n=8, 4 F and 4 M). Fecal samples were collected at the

designated time points and donors, recipients, and transconjugants were enumerated on selective

media as described above. After 27 days, all 8 gnotobiotic mice from each group were gavaged

with 40 µg of erythromycin.

Statistical analysis

GraphPad-Prism version 7.02 was used to determine statistical significance using a two-tailed

paired or unpaired Student's t-test with or without a Mann-Whitney correction.

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for providing *E. faecalis* OG1SSp(pAM771), and Michael Gilmore for providing *E. coli* EC1000

and *E. faecalis* V583.

Figure Legends

Figure 1. CRISPR-Cas engineered conjugative pPD1 derivative plasmids target and

eliminate antibiotic resistance genes in *in vitro* co-culture. (A) Schematic of the pPD1

derivative pKH88. pKH88 encodes *cas9* and a CRISPR guide RNA under the control of the

constitutive enterococcal *bacA* promoter. The guide RNA consists of a CRISPR repeat region and

a unique spacer sequence with complementarity to the *ermB* gene (pKH88[sp-*ermB*]) of pAM771

or the *tetM* gene (pKH88[sp-*tetM*]) of pCF10. pKH88 derivatives encode genes necessary for

conjugative transfer, chloramphenicol resistance (*cat*) and biosynthesis of the bacteriocin bac-21.

(B) Cartoon depicting the delivery of pKH88 derivatives to target recipient *E. faecalis* cells.

Abundance of erythromycin **(C)** or tetracycline **(D)** resistant *E. faecalis* OG1SSp recipients

following acquisition of pKH88[sp-*ermB*] or pKH88[sp-*tetM*]. Removal of erythromycin and

tetracycline resistance from the transconjugant population depends on the introduction of a

cognate spacer targeting pKH88 derivative. Markers; R– rifampicin resistance, F – fusidic acid

resistance, Cam – chloramphenicol resistance. Abx – antibiotic resistance. *p=0.01, **p=0.009,

***p=0.006 by unpaired Student's t-test.

Figure 2. Engineered CRISPR-Cas targeting plasmid specifically reduces drug resistance

in MDR *E. faecalis*. (A) Cartoon depicting the transfer, targeting and removal of erythromycin

resistance from MDR *E. faecalis* V583. Conjugation of *E. faecalis* V583 with pKH88[sp-*ermB*] **(B)**

but not pKH88[sp-*tetM*] **(C)** reduces erythromycin resistant *E. faecalis* V583 over the course of

four serial passages in BHI broth. Markers; Erm – erythromycin resistance, Van – vancomycin

resistance, R – rifampicin resistance, F – fusidic acid resistance, S – streptomycin resistance, Sp

– spectinomycin resistance, Cam – chloramphenicol resistance.

Figure 3. Saturation of the *E. faecalis* OG1SSp(pAM771) recipient population by pKH88 is

time-dependent. The ratio of transconjugants to recipients was calculated for both the pKH88[sp-

ermB] targeting group (*ermB*) and the pKH88[sp-*tetM*] non-targeting group (*tetM*) for 23 days of

co-colonization in antibiotic-treated **(A)** and gnotobiotic **(B)** mice.

Figure 4. pKH88[sp-*ermB*] reduces erythromycin-resistant *E. faecalis* in the murine

intestine. (A) Antibiotic treated mice co-colonized with *E. faecalis* OG1SSp(pAM771) and

CK135(pKH88[sp-*ermB]*) – targeting group. **(B)** Antibiotic treated mice co-colonized with *E.*

faecalis OG1SSp(pAM771) and CK135(pKH88[sp-*tetM*]) – non-targeting group. The total

OG1SSp(pAM771) recipient population and recipients that are erythromycin resistant were

enumerated by selective plating of mouse feces over the course or 23 days of co-colonization.

The solid horizontal line indicates the limit of detection. Markers; S – streptomycin, Sp –

spectinomycin, Erm – erythromycin resistance. *p=0.02, **p=0.03, ***p=0.009 by paired Student's

t-test. NS – not significant.

Figure 5. *E. faecalis* CK135 pKH88[sp-*ermB*] donors are immune to acquisition of

erythromycin resistance from recipients in the murine intestine. (A) Total intestinal load of

erythromycin resistance among input *E. faecalis* donors and recipients from antibiotic-treated

mice. Erythromycin resistance is acquired by donors only in the pKH88[sp-*tetM*] non-targeting

group in both antibiotic-treated **(A)** and gnotobiotic **(B)** mice. The solid horizontal line indicates

the limit of detection.   Markers; Erm – erythromycin. *p=0.0003, **p=<0.0001, by unpaired

Student's t-test with a Mann Whitney correction.

Figure 6. Transconjugants that receive pKH88[sp-*ermB*] do not bloom in the intestines of

dysbiotic mice following oral erythromycin treatment. 27 days post co-colonization with *E.*

faecalis OG1SSp(pAM771) and CK135(pKH88[sp-*ermB*]) (targeting group) or with *E. faecalis*

OG1SSp(pAM771) and CK135(pKH88[sp-*tetM*]) (non-targeting group), mice received a single 40

μg dose of oral erythromycin. The number of recipients, transconjugants and erythromycin

resistant transconjugants were enumerated from fecal pellets before and after oral erythromycin

treatment. The solid horizontal line indicates the limit of detection. Markers; Erm – erythromycin,

S – streptomycin, Sp – spectinomycin, Cam – chloramphenicol. *p=0.01, **p=0.004, by unpaired

Student's t-test with a Mann Whitney correction.

Figure S1. The allelic exchange vector pCOP88 integrates the P*bacA*-*cas9*-*cat*-guide RNA

module into pPD1. pCOP88 derivatives contain elements from the allelic exchange vector pLT06

[58]. These include a temperature sensitive *repA* (*repA*ts) allele that facilitates forced integration

of pCOP88 derivatives into target DNA sequences under non-permissive temperature (42°C) and

a P-*pheS* cassette that allows for the cellular utilization of *p*-chloro-phenylalanine as a counter-

selectable marker. The P*bacA-cas9-cat*-guide RNA module is flanked by 1-kb pPD1 homology

regions used for the homologous recombination of the module into native pPD1.

Figure S2. *E. faecalis* V583 restricts conjugation of pKH88 derivatives originating from *E.*

***faecalis* CK135. (A)** The ratio of *E. faecalis* V583 and OG1SSp transconjugants following *in vitro*

co-culture with *E. faecalis* CK135(pKH88) derivative donors. **(B)** Comparison of normalized *E.*

faecalis V583 transconjugant and *E. faecalis* V583 recipient numbers following *in vitro* co-culture

with *E. faecalis* CK135(pKH88) derivative donors.

Figure S3. Schematic cartoon of the antibiotic dysbiosis mouse model.

Figure S4. Intestinal colonization of *E. faecalis* populations following co-colonization with

OG1SSp(pAM771) and CK135(pKH88) donors in antibiotic-treated mice. (A) Recipients. **(B)**

Donors. **(C)** Transconjugants. Markers; S – streptomycin, Sp – spectinomycin, R – rifampicin

resistance, F – fusidic acid resistance, Cam – chloramphenicol.

Figure S5. Intestinal colonization of *E. faecalis* populations following co-colonization with

OG1SSp(pAM771) and CK135(pKH88) donors in gnotobiotic mice. (A) Recipients. **(B)**

Donors. **(C)** Transconjugants. The solid horizontal line indicates the limit of detection. Markers; S

– streptomycin, Sp – spectinomycin, R – rifampicin resistance, F – fusidic acid resistance, Cam –

chloramphenicol.

Figure S6. Non-targeted *E. faecalis* intestinal transconjugant populations do not bloom

following oral erythromycin treatment of gnotobiotic mice. 27 days post co-colonization with

E. faecalis OG1SSp(pAM771) and CK135(pKH88[sp-*ermB*]) (targeting group) or with *E. faecalis*

OG1SSp(pAM771) and CK135(pKH88[sp-*tetM*]) (non-targeting group), mice received a single 40

µg dose of oral erythromycin. The number of recipients, transconjugants and erythromycin

resistant transconjugants were enumerated from fecal pellets before and after oral erythromycin

treatment. The solid horizontal line indicates the limit of detection. Markers; Erm – erythromycin,

S – streptomycin, Sp – spectinomycin, Cam – chloramphenicol.

Table S1. Strains used in this study

Organism	Strain Name	Description	Ref
E. coli	EC1000[a]	*E. coli* cloning host, providing *repA in trans.*F- , *araD139 (ara ABC-leu)7679, galU, galK, lacX74, rspL, thi, repA* of pWV01 in *glgB, km*	(51)
E. faecalis	V583[a]	MDR bloodstream isolate, resistant to vancomycin, gentamicin, and erythromycin	(41)
	OG1SSp[b]	Spectinomycin-streptomycin-resistant derivative of OG1	(57)
	CK135[c]	OG1 $rpoB_{H486Y}$ (spontaneous Rif[r] derivative)	(52)
	CK135RF	Spontaneous fusidic acid-resistant derivative of CK135	This study
	OG1RF ΔEfaRFI	OG1RF EfaRFI (OG1RF_11622-11621) deletion mutant	(43)

[a] Strain provided by Michael Gilmore, Massachusetts Eye and Ear Infirmary and Harvard Medical School

[b] Strain provided by Gary Dunny, University of Minnesota, Minneapolis

[c] Strain provided by Christopher Kristich, Medical College of Wisconsin

522 **Table S2. Plasmids used in this study**

Plasmid	Description	Ref

pLT06[a]	Encodes temperature-sensitive *repA* and *pheS** counter-selection	(55)
pHA101	pLT06 + *oriT*	(54)
pCOP88[sp-*tetM*]	pLT06 derivative used to knock in CRISPR-targeting construct with a spacer targeting *tetM*	This study
pCOP88[sp-*ermB*]	pLT06 derivative used to knock in CRISPR-targeting construct with a spacer targeting *ermB*	This study
pKH88[sp-*ermB*]	pPD1 derivative with CRISPR-targeting cassette for *ermB*; also encodes *cat*	This study
pKH88[sp-*tetM*]	pPD1 derivative with CRISPR-targeting cassette for *tetM*; also encodes *cat*	This study
pAM771[a]	Non-cytolytic derivative of the PRP pAD1 mutagenized with Tn*917,* encodes erythromycin resistance via *ermB*	[59]
pCF10	PRP; encodes tetracycline resistance via *tetM*	(58)
pPD1[b]	PRP; encodes Bac-21 bacteriocin	(59)

[a] Plasmid provided by Michael Gilmore, Massachusetts Eye and Ear Infirmary and Harvard Medical School

[b] Plasmid provided by Christopher Kristich, Medical College of Wisconsin

523 **Table S3. Primers used in this study**

Primer Name	Sequence (5'-3')	Use
pCOP88 Ori for	TGCAGCGTTTCTTTGAATAG	Create pCOP88
pCOP88 Ori rev	GCTTTGCAAAGTCTGAAAAC	Create pCOP88

pCOP88 PheS cat for	TGCCACCTTCGTTTTCAGACTTTGCAAAGCCAA GTTAAGGGATGCAGTTTAAAAATG	Create pCOP88
pCOP88 PheS cat rev	GGCATGATGGTTGCCGGTCGATAAACCCAGCG AAC	Create pCOP88
pCOP88 cas9 for	AAACATTACTCTATAGCAAACACAGTTAACCACG	Create pCOP88
pCOP88 cas9-cat-PSRT rev	CAATATCAGAATCAATCCACTCCTGAATCCCATT C	Create pCOP88
pCOP88 Arm 1 for	CTGGGTTTATCGACCGGCAACCATCATGCCTAA ATTTTTATC	Create pCOP88
pCOP88 Arm 1 rev	GTTAACTGTGTTTGCTATAGAGTAATGTTTTAAT TTTTTTCTCTTTTTCAG	Create pCOP88
pCOP88 Arm 2 for	GGATTCAGGAGTGGATTGATTCTGATATTGCCA ATC	Create pCOP88
pCOP88 Arm 2 rev	CTAAAACGTCCTATTCAAAGAAACGCTGCAAGT CAACTAGAATCTGCTG	Create pCOP88
cas9 rev	TTTATTAAAGTTCATCTAGTCGACAACTTTACGG CGTGTTTC	Create pCOP88
cat for	AAAGTTGTCGACTAGATGAACTTTAATAAAATTG ATTTAGACAAT	Create pCOP88
cat rev	TCAACAAACTGGCCCGTTTGTTGAACTACTTTAT AAAAGCCAGTCATTAGGC	Create pCOP88
PSRT for	AGTAGTTCAACAAACGGGCC	Create pCOP88 modification

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Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

Figure 6

Figure S1

Figure S2

Figure S3

Figure S4

Figure S5

Figure S6