Investigating the physical effects in bacterial therapies for avascular tumors

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Abstract

Tumor-targeting bacteria elicit anticancer effects by infiltrating hypoxic regions, releasing toxic agents and inducing immune responses. Although current research has largely focused on the influence of chemical and immunological aspects on the mechanisms of bacterial therapy, the impact of physical effects is still elusive. Here, we propose a mathematical model for the antitumor activity of bacteria in avascular tumors that takes into account the relevant chemo-mechanical effects. We consider a time-dependent administration of bacteria and analyze the impact of bacterial chemotaxis and killing rate. We show that active bacterial migration towards tumor hypoxic regions provides optimal infiltration and that high killing rates combined with high chemotactic values provide the smallest tumor volumes at the end of the treatment. We highlight the emergence of steady states in which a small population of bacteria is able to constrain tumor growth. Finally, we show that bacteria treatment works best in the case of tumors with high cellular proliferation and low oxygen consumption.

Keywords: Cancer, Bacterial therapy, Mathematical modeling, Chemotaxis, Space competition

Preprint submitted to -

February 21, 2020

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1 1. Introduction

Cancers display huge variability between different patients and even in 2 the same patient. Nonetheless, cancer cells share a finite set of hallmarks 3 such as sustained proliferation, invasion and metabolic reprogramming, which shape their behavior in solid tumors (Hanahan and Weinberg, 2011). Among 5 other hallmarks, tumor cells are known to recruit new blood vessels to sus-6 tain their proliferation, in a process known as *tumor angiogenesis* (Folkman, 1971). This neovasculature is generally altered in terms of architecture and morphology of the vessels, leading to poor perfusion of certain areas of the 9 tumor (Carmeliet and Jain, 2000). Hypoxic regions are thus created and 10 maintained during tumor development, concurring to the progression of can-11 cer cells towards malignant phenotypes (Vaupel and Mayer, 2007). More-12 over, low nutrient levels can lead to cell quiescence, a situation in which 13 tumor cells delay metabolic activities and become less sensitive to standard 14 chemotherapies (Challapalli et al., 2017). Such hypo-perfused areas are gen-15 erally associated with poor patient outcome but, on the other hand, could 16 be exploited for tumor targeting (Wilson and Hay, 2011). The same hypoxic 17 areas provide indeed a niche for bacteria to colonize the tumor and exert a 18 therapeutic action (Forbes, 2010; Zhou et al., 2018). The use of bacteria for 19 cancer therapy dates back hundreds of years, with doctors reporting tumor 20 regression in several patients (Kramer et al., 2018). However, such treatments 21 also caused some fatalities and the limited understanding of the therapeutic 22 mechanisms of action shifted research efforts towards other strategies - es-23 pecially radiotherapy (Kramer et al., 2018). In the last few years the use of 24 live bacteria for cancer treatment has regained interest, and several bacterial 25 strains have been tested in animal models and even advanced to clinical tri-26 als (Torres et al., 2018). Nevertheless, clinical development of such therapies 27 is still facing significant issues due to infection-associated toxicities and in-28 complete knowledge of infection dynamics (Kramer et al., 2018; Zhou et al., 29 2018). As much research was focused on the immune responses after bac-30 teria administration, a clear picture of the interaction between cancer and 31 bacterial cells is still lacking. 32

Mathematical modeling emerges as a promising candidate to assist the understanding of bacterial therapy mechanism of action in cancer. Mathematical models have been applied in the context of cancer to elucidate its progression and treatment (Byrne, 2010; Altrock et al., 2015). Recent examples combining experimental and modeling work in bacterial therapies are ³⁸ given in (Kasinskas and Forbes, 2006; Jean et al., 2014; Hatzikirou et al.,
³⁹ 2017; Suh et al., 2018), featuring *in vitro* as well *in vivo* experiments.

Here we describe a mathematical model for bacteria-based cancer ther-40 apy within avascular tumors, focusing on the influence of physical effects on 41 therapy outcomes. Such effects are present in every biological system but are 42 often concealed by the complexity of the interactions between molecular and 43 cellular players. Here we show through a simple mathematical model that 44 these effects take an important part in bacterial therapies and are able to 45 influence their outcomes. The model is formulated in the context of mixture 46 theory, a framework with a long history of applications to biological prob-47 lems - see for example Ambrosi and Preziosi (2002); Breward et al. (2001, 48 2002, 2003); Byrne and Preziosi (2003); Chaplain et al. (2006); Preziosi and 40 Tosin (2009) and the recent reviews of Siddique et al. (2017); Pesavento 50 et al. (2017). Our aim is to evaluate the impact of bacterial chemotaxis 51 and anti-tumor activity on cancer cells, using spheroids as a prototype of 52 avascular tumors. We consider bacterial administration after full formation 53 of the spheroid, when hypoxic areas are present. We describe the effects of 54 the treatment on the behavior of the spheroid constituents, e.g. tumor cells 55 and bacteria volume fractions, at different time points and over the spheroid 56 radius. 57

The remainder of the paper is organized as follows. In Section 2 we describe the mathematical model and its derivation. In Section 3 we present model results, analyzing the impact of different model parameters. Finally, in Section 4 we discuss the biological implications of the results and suggest new research directions.

63 2. Materials and Methods

We propose a mathematical model describing the impact of bacterial 64 cells on tumor spheroid growth. The model is based on mixture theory, a 65 continuum theory that allows to describe the chemo-mechanical interactions 66 between different tissue components. We follow the approach discussed in 67 Preziosi (2003); Byrne (2012) and, specifically, adapt the derivation in Boemo 68 and Byrne (2019) to our problem. In the following we present the final form of 60 the equations, leaving the full derivation in the Supplementary Information. 70 We describe the tumor as being composed of three main constituents 71 (or *phases* in the language of mixture theory): tumor cells (TCs), bacteria 72 and extracellular material. The variables referring to these quantities will 73

⁷⁴ be identified by the indexes c, b and f, respectively. We also consider the ⁷⁵ presence of a nutrient, i.e. oxygen, diffusing over the spheroid domain. The ⁷⁶ model equations are derived by applying conservation of mass and linear ⁷⁷ momentum to each phase, and enforcing the saturation constraint (i.e. all ⁷⁸ the space in the spheroid is occupied by the phases, there are no voids). Then, ⁷⁹ we close the model by imposing suitable constitutive assumptions regarding ⁸⁰ the material properties of the phases and their interaction terms.

81 2.1. Model equations

In the following we will be interested in the case of tumor spheroids, for which the assumption of spherical symmetry applies. The problem reduces to the set of Partial Differential Equations (PDEs):

$$\frac{\partial\phi_{\rm c}}{\partial t} = \frac{1}{r^2} \frac{\partial}{\partial r} \left\{ r^2 \left[D_{\rm c} \left(1 - \phi_{\rm c} \right) \frac{\partial\phi_{\rm c}}{\partial r} - D_{\rm b}\phi_{\rm c} \frac{\partial\phi_{\rm b}}{\partial r} - \chi\phi_{\rm c}\phi_{\rm b} \frac{\partial n}{\partial r} \right] \right\} + S_{\rm c}, \qquad (1)$$

$$\frac{\partial\phi_{\rm b}}{\partial t} = \frac{1}{r^2} \frac{\partial}{\partial r} \left\{ r^2 \left[D_{\rm b} \left(1 - \phi_{\rm b} \right) \frac{\partial\phi_{\rm b}}{\partial r} - D_{\rm c}\phi_{\rm b} \frac{\partial\phi_{\rm c}}{\partial r} + \chi\phi_{\rm b} \left(1 - \phi_{\rm b} \right) \frac{\partial n}{\partial r} \right] \right\} + S_{\rm b}, \qquad (2)$$

$$\frac{\partial n}{\partial t} = \frac{1}{r^2} \frac{\partial}{\partial r} \left(r^2 D_{\rm n} \frac{\partial n}{\partial r} \right) + S_{\rm n}.$$
(3)

Here, $\phi_{\rm c}, \phi_{\rm c}$ and n are the tumor cell and bacteria volume fractions and 85 normalized nutrient concentration, respectively. These quantities depend on 86 the radial coordinate $r \in [0, R]$ and time $t \in [0, t_f]$. In addition, D_i are 87 the phases motility coefficients (i=c,b), D_n the nutrient diffusion coefficient, 88 and χ the bacterial chemotactic coefficient. The mass exchange terms $S_{\rm i}$ 89 (i=c,b,n), regulating the transfer of mass between the different components, 90 will be detailed in the next subsection. Note that we do not solve explicitly 91 for $\phi_{\rm f}$ (i.e. the volume fraction of extracellular material) since this quantity 92 can be obtained as $\phi_{\rm f} = 1 - \phi_{\rm c} - \phi_{\rm b}$ due to the saturation constraint (see 93 the Supplementary Information). We model growth of the spheroid as a free-94 boundary problem, in which the outer tumor radius r = R(t) moves with the 95 same velocity as the TC phase, 96

$$\frac{dR}{dt} = v_{\rm c}(R,t) = D_{\rm b}\frac{\partial\phi_{\rm b}}{\partial r} + D_{\rm c}\left(1 - \frac{1}{\phi_{\rm c}}\right)\frac{\partial\phi_{\rm c}}{\partial r} + \chi\phi_{\rm b}\frac{\partial n}{\partial r}\Big|_{r=R}.$$
 (4)

Finally, we define a set of boundary and initial conditions to close the differential problem in equations (1)-(3). Due to the problem symmetry no-flow boundary conditions are enforced at the spheroid center, whereas we fix the values of TC volume fraction, bacterial volume fraction and normalized nutrient concentration on the spheroid boundary:

$$\partial_r \phi_c = \partial_r \phi_b = \partial_r n = 0, \quad r = 0$$
 (5)

$$\phi_{\rm c} = \phi_{\rm c0}, \quad \phi_{\rm b} = \phi_{\rm b0}, \quad n = 1, \quad r = R(t).$$
 (6)

⁹⁷ We assume a uniform initial tumor volume fraction $\phi_{c0} = 0.8$ across the ⁹⁸ spheroid (Byrne and Preziosi, 2003) and, to model bacteria administration, ⁹⁹ we consider a time dependent value for the bacterial volume fraction at the ¹⁰⁰ spheroid outer radius:

$$\phi_{\rm b} = \begin{cases} 0, & \text{for } 0 \le t < t_0 \\ \phi_{\rm b0}, & \text{for } t_0 \le t < t_{\rm a} \\ 0, & \text{for } t_{\rm a} \le t \le t_{\rm f}, \end{cases}$$
(7)

where ϕ_{b0} is the administered volume fraction of bacteria, t_0 is the time of administration and t_a its duration. Regarding the initial conditions, we consider a spheroid devoid of bacteria and displaying a uniform TC volume fraction and nutrient concentration over its radius:

$$\phi_{\rm c}(r,0) = \phi_{\rm c0}, \quad \phi_{\rm b} = 0, \quad n = 1.$$
 (8)

Finally, we prescribe an initial spheroid radius, i.e. $R(0) = 90 \,\mu\text{m}$. The equations of the model are discretized through the Finite Element Method and solved using the commercial software COMSOL Multiphysics (COMSOL AB).

109 2.2. Choice of mass exchange terms

To formulate the mass exchange terms in equations (1)-(3) we assume the following assumptions (see Figure 1):

- A1 TCs proliferate when oxygen is available. As soon as the latter decreases below a critical threshold, they stop proliferating and start necrosis (Chaplain et al., 2006; Gerlee and Anderson, 2007; Agosti et al., 2018).
- A2 Bacteria compete with TCs for space and exert an anti-tumor effect
 by a variety of mechanisms (e.g. by realising toxins and therapeutic
 agents, or stimulating an immune response). (Forbes, 2010; Osswald
 et al., 2015; Torres et al., 2018; Zhou et al., 2018).

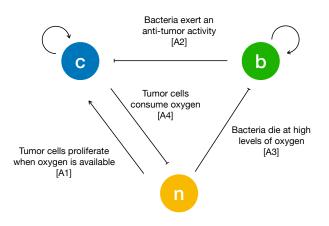


Figure 1: Schematic of the interactions between tumor cells (c), bacteria (b) and oxygen (n). The arrows are drawn according to the biological hypotheses detailed in the main text.

A3 Bacteria die when oxygen is above a critical threshold and thrive in hypoxic conditions (*anaerobic* bacteria) (Toley and Forbes, 2011; Phaiboun et al., 2015; Osswald et al., 2015).

A4 TCs consume oxygen provided by the culture medium (Matzavinos et al., 2009; Grimes et al., 2014).

The resulting mass exchange terms read:

$$S_{\rm c} = \gamma_{\rm c} \phi_{\rm c} \frac{\phi_{\rm f}}{\phi_{\rm f0}} \mathcal{H}\left(\frac{n}{n_{\rm cr}} - 1\right) - \delta_{\rm c} \phi_{\rm c} \mathcal{H}\left(1 - \frac{n}{n_{\rm cr}}\right) - \kappa \phi_{\rm c} \phi_{\rm b},\tag{9}$$

$$S_{\rm b} = \gamma_{\rm b} \phi_{\rm b} \frac{\phi_{\rm f}}{\phi_{\rm f0}} \mathcal{H} \left(1 - \frac{n}{n_{\rm cr}} \right) - \delta_{\rm b} \phi_{\rm b} \mathcal{H} \left(\frac{n}{n_{\rm cr}} - 1 \right), \tag{10}$$

$$S_{\rm n} = -\delta_{\rm n}\phi_{\rm c}n.\tag{11}$$

Here γ_i and δ_i are the proliferation and death rate of the i-th phase respectively (i = c, b), whereas δ_n is the oxygen consumption rate. In addition, ϕ_{f0} is the initial volume fraction of extracellular material and we indicate with $\mathcal{H}(\cdot)$ a smooth version of the step function, and with $n_{\rm cr}$ the critical oxygen value below which hypoxic conditions develop. Finally, we do not consider a specific form for the anti-tumor effect of bacteria and introduce an effective TC killing rate κ in the equation for S_c .

Parameter	Value	Description	Reference
$D_{\rm c}$	$0.5{\rm mm^2d^{-1}}$	TC motility coefficient	(Colombo et al., 2015)
$\gamma_{ m c}$	$0.48{ m d}^{-1}$	TC proliferation rate	(PBCF, 2012)
$\delta_{ m c}$	$0.5{\rm d}^{-1}$	TC death rate	(Martínez-González et al., 2012)
D_{b}	$0.05{ m mm^2d^{-1}}$	Bacterial motility coefficient	(Toley and Forbes, 2011)
$\gamma_{ m b}$	$15 d^{-1}$	Bacterial proliferation rate	(Gibson et al., 2018)
$\delta_{ m b}$	$0.24{\rm d}^{-1}$	Bacterial death rate	(Phaiboun et al., 2015)
$D_{\rm n}$	$100 {\rm mm^2 d^{-1}}$	Oxygen diffusion coefficient	(Matzavinos et al., 2009)
δ_{n}	$8640 \mathrm{d}^{-1}$	Oxygen consumption rate	(Colombo et al., 2015)
χ	$[0, 0.864] \mathrm{mm^2 d^{-1}}$	Bacterial chemotactic coefficient	estimated
κ	$[0, 5] d^{-1}$	Bacterial killing rate	model specific
$n_{ m cr}$	0.58	Critical oxygen concentration	calibrated

Table 1: Summary of the parameter values considered in the model simulations.

132 2.3. Model parametrization

The parameters used in the model simulations are reported in Table 1. In 133 the following we will compare model results with a set of published experi-134 ments on the U87 glioma cell line. We take the TC proliferation rate from the 135 available data provided from the Bioresource Core Facility of the Physical 136 Sciences-Oncology Center (PBCF, 2012), whereas we select the TC death 137 rate in accordance to the estimate in (Kolokotroni et al., 2011; Martínez-138 González et al., 2012). The work in (Toley and Forbes, 2011) provides a 139 value for the bacterial motility coefficient and proliferation rate in *in vitro* 140 cellular aggregates. Regarding bacterial proliferation, (Gibson et al., 2018) 141 supply a similar value through an analysis of bacterial doubling times. We 142 estimate the bacterial death rate from (Phaiboun et al., 2015), in which cel-143 lular death dynamics are quantified under starvation at different bacteria 144 densities. Finally, we use the values in (Schaller and Meyer-Hermann, 2005; 145 Matzavinos et al., 2009; Grimes et al., 2014; Colombo et al., 2015; Alfonso 146 et al., 2016) for the oxygen diffusion coefficient and consumption rate in tu-147 mor tissues. When carrying out the simulations, we vary the chemotactic 148 coefficient in the interval $[0, 8.64 \times 10^{-1}] \,\mathrm{mm^2 d^{-1}}$. Since it was not possible 149 to find in the literature an estimate for the chemotactic coefficient of bacteria 150 in tissues, we considered the value of χ in bacterial solutions (Ford et al., 151 1991; Lewus and Ford, 2001) and divided it for the ratio between the motil-152 ity coefficient in solution and in tissue - about 100, (Ford et al., 1991; Lewus 153 and Ford, 2001). Since we do not consider a specific mechanism for the anti-154 tumor activity of bacteria, we select the killing rate κ to be in the interval 155 $[0, 5] d^{-1}$, i.e. spanning characteristic times between several days and a few 156

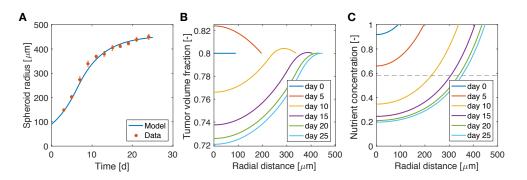


Figure 2: Calibration of the model on tumor spheroid data. A Comparison between model results and experimental data for the spheroid growth curve. The experimental points are taken from (Mascheroni et al., 2016) and represent the growth of U87 spheroids. Dots are mean values and bars standard deviation of the measurements. Tumor volume fraction (**B**) and oxygen concentration (**C**) at different times of spheroid growth. The dashed line in the last plot displays the critical oxygen concentration.

hours. Finally, we fit the parameter for the critical oxygen concentration from the above mentioned experiments. The value that we found is similar to the one reported in (Gerlee and Anderson, 2007; Agosti et al., 2018).

160 3. Results

¹⁶¹ 3.1. Model calibration on spheroid experiments

We start the analysis by considering the growth of a spheroid suspended 162 in culture medium, in the absence of bacteria. We compare the results of the 163 model with the data for radial growth of U87 tumor spheroids available from 164 Mascheroni et al. (2016). We use the model to fit the critical oxygen concen-165 tration parameter $n_{\rm cr}$, keeping all the other quantities as defined in Table 1. 166 Figure 2 shows a good agreement between the model and the experiments, 167 over all the growth curve. The model is able to reproduce the two phases 168 of spheroid growth usually described in the literature (Conger and Ziskin, 169 1983; Sutherland, 1988; Vinci et al., 2012). The spheroid radius (see Figure 170 2A) displays a first stage of rapid increase, followed by a saturation phase. 171 This behavior is detailed in Figures 2B,C, showing the evolution of the tu-172 mor volume fraction and oxygen concentration over the spheroid radius at 173 different time points. The tumor volume fraction, i.e. $\phi_{\rm c}$, increases over the 174 spheroid at early time points (Figure 2B). Then, as TCs consume oxygen to 175 proliferate, its concentration decreases in the centre of the aggregate (Figure 176

2C). When the oxygen level drops below the critical threshold $n_{\rm cr}$ (dashed 177 line in Figure 2C), TCs stop proliferating and die. This results in a decrease 178 of ϕ_c in the spheroid core, displayed at longer times in Figure 2B. Close to 179 saturation, the amount of cells that proliferate is balanced by the number 180 of cells that die, turning into extracellular material. Therefore, even if cell 181 growth continues to take place in the outer rim of the spheroid, it is not 182 enough to advance the spheroid front, which reaches a steady state. These 183 results match qualitatively what is observed in the experimental (Landry 184 et al., 1982; Montel et al., 2011; Grimes et al., 2014; Sarkar et al., 2018) 185 and modeling (Ward and King, 1999; Byrne and Preziosi, 2003; Ambrosi and 186 Mollica, 2004; Schaller and Meyer-Hermann, 2005; Mascheroni et al., 2016; 187 Boemo and Byrne, 2019) literature for tumor spheroids and will serve as a 188 basis for the discussion in the next sections. 189

¹⁹⁰ 3.2. Administration of bacteria leads to tumor remission but not eradication

Figure 3 shows the influence of bacterial therapy on tumor spheroid com-191 position for an example case. We evaluate the effects of adding bacteria to 192 the culture medium after the spheroid is fully formed, i.e. when hypoxic 193 regions have developed. In particular, we select an administration time of 194 $t_0 = 26d$ and a treatment duration of $t_a = 2d$. We consider an interme-195 diate value for both the bacterial chemotactic coefficient and killing rate 196 $(\chi = 0.432 \text{mm}^2 \text{d}^{-1}, \kappa = 2.5 \text{d}^{-1})$. As shown by the low TC volume fraction 197 in Figure 3A at later times, bacteria administration leads to spheroids less 198 populated by TCs. This space is occupied by bacteria (Figure 3B), which 199 thrive in the hypoxic region located in the spheroid core. After bacterial ther-200 apy the spheroid shrinks and is less populated by cancer cells. This leads 201 to higher values of oxygen concentration at the center of the aggregate, as 202 displayed in Figure 3C. Finally, Figure 3D shows the evolution of TC (V_c) 203 and bacteria $(V_{\mathbf{b}})$ volumes over time. These quantities are calculated as 204

$$V_{\rm i} = \int_{V_{\rm sf}} \phi_{\rm i} \, dV,\tag{12}$$

where the integral is performed over the spheroid volume $V_{\rm sf}$ (i=c,b). At early time points, $V_{\rm c}$ is in a phase of fast growth, since the nutrient is available throughout the spheroid and no bacteria are present. After administration, there is a fast increase of bacteria volume together with a rapid decrease of TC volume. At later time points the system evolves toward a steady state in bioRxiv preprint doi: https://doi.org/10.1101/683839; this version posted February 21, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

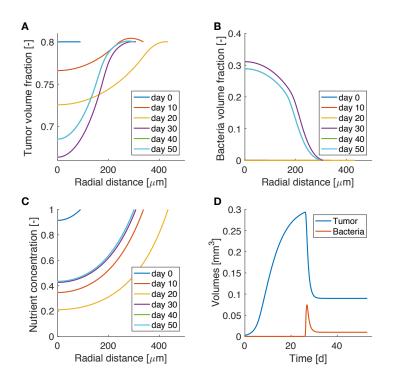


Figure 3: Model results for bacteria administration to tumor spheroids. Spatio-temporal evolution of tumor (\mathbf{A}) and bacteria (\mathbf{B}) volume fractions and oxygen concentration (\mathbf{C}) . **D** Temporal evolution of tumor and bacteria volumes in the spheroid.

which both bacteria and TCs coexist in the tumor aggregate. Even though the TCs are not completely removed, the spheroid persists in an equilibrium state, where an asymptotic size is kept for long times.

213 3.3. High chemotaxis allows for maximal reduction of tumor size

We investigated the impact of different bacterial chemotactic and anti-214 tumor strengths on spheroid composition at the end of the simulations, i.e. 215 at day 50 (Figure 4). We found that the highest reduction in tumor volume is 216 obtained for the highest values of the chemotactic coefficient and killing rate, 217 as shown in Figure 4A. On the other hand, highly chemotactic bacteria with-218 out an anti-tumor activity lead to the highest tumor volume. Interestingly, 219 the tumor is never completely eradicated over all the explored parameter 220 sequence. A similar result is obtained for the bacteria volume at the end of 221 the simulations (Figure 4). Here, no matter the strength of chemotaxis or 222 anti-tumor activity, bacterial cells are always present in the final spheroid 223

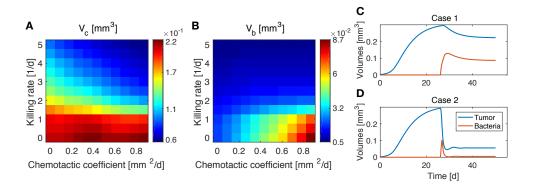


Figure 4: Influence of bacteria chemotactic coefficient and anti-tumor activity on tumor (\mathbf{A}) and bacteria (\mathbf{B}) volumes at the end of the simulations (day 50). Temporal evolution of tumor and bacteria volumes for a high chemotactic coefficient and a low (Case 1, \mathbf{C}) and high (Case 2, \mathbf{D}) killing rate.

volume. High bacterial volumes are present for high chemotactic coefficients, 224 whereas high killing rates lead to small bacterial volumes independent of the 225 chemotactic strength. Indeed, even though the tumor volume considerably 226 varies over the chemotactic space for high killing rates, the bacterial volume 227 is almost independent of this quantity (see Figure S1 in the Supplementary). 228 Finally, Figures 4C and 4D show the temporal variation of tumor and bac-220 terial volumes for two extreme cases occurring for high chemotaxis and low 230 (Case 1) or high (Case 2) killing rate. The first plot shows that after the 231 administration of bacteria the tumor volume is reduced, even in the absence 232 of anti-tumor activity. The two populations in the spheroid reach an equi-233 librium at later times, with bacteria representing a significant portion of the 234 spheroid. In the second case, the high anti-tumor activity of the bacteria 235 is responsible for a sharp decrease of the tumor population, leading also to 236 oscillations in the TC volume. Although bacteria now constitute a small 237 part of the overall spheroid volume, they are still able to keep the tumor size 238 under control. 239

240 3.4. Highly proliferating and low oxygen consuming tumors are mostly bene 241 fited from bacterial therapy

The results obtained in the previous subsection are insensitive of the administration time t_0 , the duration of the administration t_a and the administered bacteria volume fraction ϕ_{b0} , even for large variations of these parameters (see Supplementary Figures S2-S4). This made us investigate bioRxiv preprint doi: https://doi.org/10.1101/683839; this version posted February 21, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

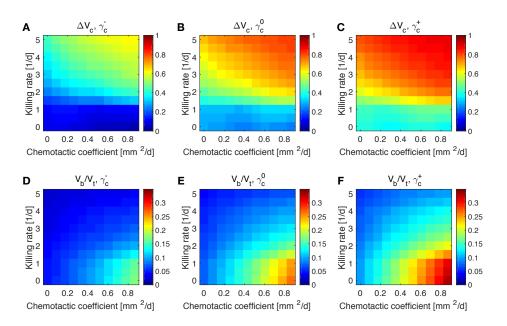


Figure 5: Impact of tumor proliferation rate on tumor and bacteria volumes at the end of the simulations (day 50). Relative tumor volume change and relative bacteria volume for low (\mathbf{A}, \mathbf{D}) , nominal (\mathbf{B}, \mathbf{E}) and high (\mathbf{C}, \mathbf{F}) tumor cell proliferation rate.

whether the steady states reached at the end of the simulations and dis-246 played in Figure 4 were therefore a function of the mechanisms regulating 247 the tumor/bacteria dynamics. To check this hypothesis we simulated the be-248 havior of TCs with a lower or higher proliferation and oxygen consumption 240 rates with respect of the one shown in Figure 4. We report our findings in 250 Figures 5 and 6. We considered a variation of $\pm 50\%$ with respect to the 251 nominal value of the parameters in Table 1, and labeled the cases using the 252 plus or minus in the superscript accordingly. All the other parameters keep 253 the nominal values. We evaluated the spheroid response in terms of relative 254 tumor reduction by introducing the quantity: 255

$$\Delta V_{\rm c} = \frac{V_0 - V_{\rm c}}{V_0},\tag{13}$$

where $V_{\rm c}$ is the final tumor volume and V_0 the tumor volume at the time of bacteria administration. We also analyzed the relative bacteria volume at the end of the simulation, plotting the ratio of bacteria volume $V_{\rm b}$ to the total spheroid volume $V_{\rm t}$. bioRxiv preprint doi: https://doi.org/10.1101/683839; this version posted February 21, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.

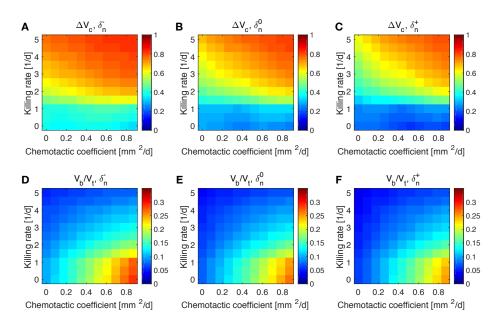


Figure 6: Impact of tumor oxygen consumption rate on tumor and bacteria volumes at the end of the simulations (day 50). Relative tumor volume change and relative bacteria volume for low (\mathbf{A}, \mathbf{D}) , nominal (\mathbf{B}, \mathbf{E}) and high (\mathbf{C}, \mathbf{F}) tumor cell proliferation rate.

Tumors in which cells proliferate at a higher rate display the highest tu-260 mor reductions (Figure 5A-C). This is particularly true for the treatment 261 with bacteria characterized by high chemotaxis and killing rate. Highly pro-262 liferative tumors are the ones that also show higher colonization by bacteria. 263 as displayed in Figures 5D-F. Treatments with high chemotactic bacteria 264 with low killing rates provide the highest relative bacteria volumes. Low 265 oxygen consumption by TCs leads to results similar to highly proliferative 266 tumors (6). Again, treatment using bacteria with high chemotaxis and high 267 killing rate produces the best results in terms of tumor reduction. Regard-268 ing the final bacterial content, both high and low oxygen consuming tumors 269 show considerable bacteria colonization. As before, the relative bacteria vol-270 ume is higher for highly chemotactic bacteria with low anti-tumor activity. 271 Even though highly proliferative and low oxygen consuming TCs originate 272 the highest final spheroid volumes (Figure S5), they benefit the most from 273 bacteria treatment and display the higher final bacteria content. 274

275 4. Discussion

We proposed a mathematical model to study the influence of bacteria treatment on avascular tumor growth. We considered anaerobic bacteria which thrive in hypoxic environments and actively migrate towards nutrient deprived regions in solid tumors. The model was calibrated to reproduce published tumor spheroid data and then used to evaluate the impact of bacteria chemotaxis and killing rate on spheroid response.

Model results show preferential bacteria accumulation in the hypoxic 282 spheroid core, with tumor cells more localized towards the external spheroid 283 surface. In general, highly chemotactic bacteria possessing increased anti-284 tumor activity provide the highest tumor reduction after treatment. On the 285 other hand, high chemotaxis but low anti-tumor activity lead to smaller tu-286 mor reduction but higher bacteria colonization at the end of the simulations. 287 When varying the tumor parameters, we found that bacteria treatment works 288 best for highly proliferative and low oxygen consuming tumors. 289

For simplicity, we considered a general effective anti-tumor activity of 290 TCs by bacteria without focusing on specific mechanisms, e.g. cytotoxic 291 agents, prodrug-converting enzymes, etc. (Torres et al., 2018; Zhou et al., 292 2018: Kramer et al., 2018). Such treatment modalities could be incorpo-293 rated by extending the model, to provide a more accurate description of the 294 therapeutic action. Moreover, we focused on tumor spheroids, an *in vitro* 295 approximation of avascular tumors. As such, they lack all the interactions 296 between the tumor and its immune environment. On the one hand, this ap-297 proach allows to investigate the mutual dynamics of bacteria and tumor cells 298 without external influences, but on the other including the cross-talk between 299 bacteria and the components of the immune system would be a fundamental 300 step to address questions coming from *in vivo* tumors. Following (Boemo 301 and Byrne, 2019), we modeled the mechanical response of cells and bacte-302 ria in the simplest way considering the phases as inviscid fluids. Although 303 this description is still able to qualitatively describe the experimental re-304 sults, more detailed constitutive assumptions for the mechanical behavior of 305 the phases would lead to new insights into the interactions between bacteria 306 and TCs in the aggregate (Sciumè et al., 2013; Giverso et al., 2015; Ambrosi 307 et al., 2017; Mascheroni et al., 2018; Fraldi and Carotenuto, 2018; Giverso 308 and Preziosi, 2019). We also considered ideal spherical spheroids to reduce 309 the mathematical problem to one dimension. Even if the qualitative results 310 will be maintained in a three-dimensional geometry, adopting the latter will 311

³¹² be crucial to translate the model to *in vivo* situations.

In this modeling approach, space competition between bacteria and tumor 313 cells arises naturally from the conservation of mass and momentum imposed 314 by the governing equations. As no void regions are allowed into the spheroid, 315 when cells move or die one of the model components automatically fills the 316 space. Bacteria and TCs compete for space in the spheroid and the expan-317 sion of the tumor becomes limited, especially when the anti-tumor activity 318 of bacteria is strong. However, for increasing values of the chemotactic co-319 efficient and low values of the killing rate, bacteria localize predominantly 320 in the spheroid core and displace TCs to the outer region of the spheroid. 321 Both types of cell can proliferate in each of the two spheroid areas (hypoxic 322 for spheroids, well-oxygenated for TCs), giving rise to high spheroid volumes 323 and considerable bacteria colonization. 324

As a matter of fact, chemotaxis could be a target for bacteria-based an-325 ticancer therapies and diagnostic tools. For example, TCs that become re-326 stricted to outer spheroid areas after administration of highly chemotactic 327 bacteria are more oxygenated and could benefit from standard chemothera-328 peutic or radiation treatments in the context of synergistic treatments (Zhou 329 et al., 2018). We highlight that this is an example showing that mathe-330 matical models could help to identify situations when TC sensitization to 331 therapies might be possible - see also (Owen et al., 2004; Kim et al., 2013; 332 Michor and Beal, 2015; Mascheroni et al., 2017). On the other hand, highly 333 chemotactic bacteria could be used as tracers to identify necrotic regions 334 in spheroid, exploiting their targeting efficiency. Moreover, the simulations 335 show the existence of steady states in which a small population of bacteria 336 is in dynamical equilibrium with cancer cells, leading to tumor size control 337 over time. All these mechanisms arise as a pure physical effect from the com-338 petition for space between cancer and bacteria cells and could be optimized 339 to obtain the highest tumor volume reduction or bacteria colonization. Cur-340 rently, even though researchers are aware of the benefits coming from active 341 bacteria migration towards hypoxic regions in tumors (Forbes, 2010; Kramer 342 et al., 2018), this knowledge has not been efficiently exploited in the clinical 343 trials carried out so far (Torres et al., 2018). 344

Finally, we point out three straightforward developments that emerge from the findings of this work. Firstly, our theoretical results advocate for experiments with tumor spheroids. With such a simplified experimental setup, several bacterial strains could be tested on different cancer cell lines to validate model findings. Secondly, one could think about extending the model to consider different bacterial administration schedules. The duration of bacteria administration, the time of administration and single vs. multiple dosing could be investigated to determine the optimal conditions for this kind of treatment. Lastly, the tight coupling between the dynamics of TCs and bacteria in terms of regulating their reciprocal environment could be addressed via mathematical models, in order to control the bacterial infection or identify the optimal timing of the therapy.

357 Conflict of Interest Statement

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

361 Author Contributions

PM, HH and MMH contributed conception and design of the study; PM derived the model and performed the computational analysis; PM wrote the first draft of the manuscript; HH and MMH wrote sections of the manuscript. All authors contributed to manuscript revision, read and approved the submitted version.

367 Funding

MMH and HH gratefully acknowledge the funding support of the Helmholtz Association of German Research Centers - Initiative and Networking Fund for the project on Reduced Complexity Models (ZT-I-0010). HH and PM acknowledge the funding support of MicMode-I2T (01ZX1710B) by the Federal Ministry of Education and Research (BMBF).

373 Acknowledgements

We thank Dr. Raimondo Penta (University of Glasgow) for the help with the COMSOL simulations.

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