The whale shark genome reveals patterns of vertebrate gene family evolution

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Abstract

Chondrichthyes (cartilaginous fishes) are fundamental for understanding vertebrate evolution, yet their genomes are understudied. We report long-read sequencing of the whale shark genome to generate the best gapless chondrichthyan genome assembly yet with higher contig contiguity than all other cartilaginous fish genomes, and studied vertebrate genomic evolution of ancestral gene families, immunity, and gigantism. We found a major increase in gene families at the origin of gnathostomes (jawed vertebrates) independent of their genome duplication. We studied vertebrate pathogen recognition receptors (PRRs), which are key in initiating innate immune defense, and found diverse patterns of gene family evolution, demonstrating that adaptive immunity in gnathostomes did not fully displace germline-encoded PRR innovation. We also discovered a new Toll-like receptor (TLR29) and three NOD1 copies in the whale shark. We found chondrichthyan and giant vertebrate genomes had decreased substitution rates compared to other vertebrates, but gene family expansion rates varied among vertebrate giants, suggesting substitution and expansion rates of gene families are decoupled in vertebrate genomes. Finally, we found gene families that shifted in expansion rate in vertebrate giants were enriched for human cancer-related genes, consistent with gigantism requiring adaptations to suppress cancer.

Introduction

Jawed vertebrates (Gnathostomata) comprise two extant major groups, the cartilaginous fishes (Chondrichthyes) and the bony vertebrates (Osteichthyes, including Tetrapoda) (Venkatesh et al., 2014). Comparison of genomes between these two groups not only provides insight into early gnathostome evolution and the emergence of various biological features, but also enables inference of ancestral jawed vertebrate traits (Venkatesh et al., 2014). The availability of sequence data from many species across vertebrate lineages is key to the success of such studies. Until very recently, genomic data from cartilaginous fishes was significantly underrepresented compared to other vertebrate lineages. The first cartilaginous fish genome, that of *Callorhinchus milii* (known colloquially as ghost shark, elephant shark, or elephant fish), was used to study the early evolution of genes related to bone development and emergence of the adaptive immune system (Venkatesh et al., 2014). As a member of the Holocephali (chimaeras, ratfishes), one of the two major groups of cartilaginous fishes, *C. milii* separated from the Elasmobranchii (sharks, rays, and skates) approximately 420 million years ago, shortly after the divergence from bony vertebrates. Sampling other elasmobranch genomes for comparison is therefore critically important to our understanding of vertebrate genome evolution (Redmond et al., 2018).

Until recently, few genetic resources have been available for elasmobranchs in general, and for the whale shark (*Rhincodon typus*) in particular. The first draft elasmobranch genome published was for a male whale shark of Taiwanese origin by Read *et al.* (2017). Famously representing one of Earth's ocean giants, the whale shark is by far the largest of all extant fishes, reaching a maximum confirmed length of nearly 19 meters (McClain et al., 2015). Due to its phylogenetic position relative to other vertebrates, the scarcity of shark genomes, and its unique biology, the previous whale shark genome was used to address questions related to vertebrate genome evolution (Hara et al., 2018; Marra et al., 2019), the relationship of gene evolution in sharks and unique shark traits (Hara et al., 2018; Marra et al., 2019), as well as the evolution of gigantism (Weber et al., 2020). A toll-like receptor (TLR) similar to TLR21 was also found in this first whale shark genome draft assembly, suggesting that TLR21 was derived in the most recent common ancestor of jawed vertebrates. While this represented an important step forward for elasmobranch genomics, the genome was fragmentary, and substantial improvements to the genome contiguity and annotation were expected from reassembling the genome using PacBio long-read sequences (Read et al., 2017).

Despite the relative lack of genomic information prior, much recent work has focused upon further sequencing, assembling, and analyzing of the whale shark nuclear genome (Hara et al., 2018; Read et al., 2017; Weber et al., 2020). Hara *et al.* reassembled the published whale shark genome data, and sequenced transcriptome data from blood cells sampled from a different individual for annotation (Hara et al., 2018). Alongside the work on the whale shark genome, genomes have also been assembled for the bamboo shark (*Chiloscyllium punctatum*), cloudy catshark (*Scyliorhinhus torazame*), white shark (*Carharodon carcharias*), and white-spotted bamboo shark (*Chiloscyllium plagiosum*). Comparative analyses of shark genomes supported numerous evolutionary implications of shark genome evolution, including a slow rate of shark genome evolution, a reduction in olfactory gene diversity, positive selection of wound healing genes, proliferation of CR1-like LINEs within introns related to their larger genomes, and

rapid evolution in immune-related genes (Hara et al., 2018; Marra et al., 2019; Weber et al., 2020; Zhang et al., 2020).

Long read sequencing is an important factor in assembling longer contigs to resolve repetitive regions which comprise the majority of vertebrate genomes (Koren et al., 2017). Herein we report on the best gapless assembly of the whale shark genome to date, based on *de novo* assembly of long reads obtained with the PacBio single molecule real-time sequencing platform. We used this assembly and new annotation in a comparative genomic approach to investigate the origins and losses of gene families, aiming to identify patterns of gene family evolution associated with major early vertebrate evolutionary transitions. Building upon our previous finding of a putative TLR21 in the initial draft whale shark genome assembly, we performed a detailed examination of the evolution of jawed vertebrate pathogen recognition receptors (PRRs), which are innate immune molecules that play a vital role in the detection of pathogens. Despite their clear functional importance, PRRs (and innate immune molecules in general), have been poorly studied in cartilaginous fishes until now. Given that cartilaginous fishes are the most distant evolutionarily lineage relative to humans to possess both an adaptive and innate immune system, the study of their PRR repertoire is important to understanding the integration of the two systems in early jawed vertebrates. For example, previous work has shown several deuterostome invertebrate genomes possess greater expanded PRR repertoires when compared to relatively conserved repertoires found in bony vertebrates, which suggests that adaptive immunity may have negated the need for many new PRRs in jawed vertebrates (Huang et al., 2008; Rast et al., 2006; Smith et al., 2013), although this hypothesis has not been formally tested. Using the new whale shark genome assembly, we therefore investigated the repertoires of three major PRR families: NOD-like receptors, RIG-like receptors, and Toll-like receptors. Next, we compared the rates of functional genomic evolution in multiple independent lineages of vertebrates in which gigantism has evolved, including the whale shark, to test for relationships between gigantism and genomic evolution among vertebrates. Finally, we studied whether gene families that have shifted in gene duplication rates were enriched for orthologs of known cancer-related genes. Larger-bodied organisms tend to have lower cancer rates than expected given their increased numbers of cells relative to smaller-bodied organisms (Peto et al., 1975), suggesting genes involved in cancer suppression may evolve differently in vertebrate giants. Recent research in giant mammals such as elephants and whales supports this hypothesis and has identified selection or duplication of various gene families that are related to suppressing cancer in humans (Abegglen et al., 2015; Sulak et al., 2016; Tollis et al., 2019). Hence, we studied whether gene families that have shifted in gene duplication rates were enriched for orthologs of known cancer-related genes.

Results and Discussion

Gapless Genome Assembly. We added to our previously sequenced short-read Illumina data (~30X coverage) by generating 61.8 GB of long-read PacBio sequences; relative to a non-sequencing-based estimate of genome size of 3.73 Gbp (Hara et al., 2018), this is an expected coverage of long read sequences of about 16X coverage, for a total of ~46X coverage. The new whale shark genome assembly represented the best gapless assembly to date for the whale shark (Appendix, Supplementary table 1). The total length of the new assembly was 2.96 Gbp.

The total size of the assembly was very similar to the genome size estimated from the *k*-mer based approach GenomeScope of approximately 2.79 Gbp, suggesting the genome is fairly complete. On the other hand, it was smaller than a non-sequencing based estimate of the whale shark genome size of 3.73 Gbp by Hara *et al.* (2018), which suggests that sections of the genome, potentially comprising primarily repetitive elements, are still missing. Repetitive elements were annotated to comprise roughly 50.34% of the genome assembly (Appendix 1A).

The new assembly had 57,333 contigs with a contig N50 of 144,422 bp, or fewer contigs than the number of scaffolds of previous assemblies, and a higher contig N50, representing a dramatic improvement in gapless contiguity compared to the existing whale shark genome assemblies (Supplementary table 1). This higher contiguity at the contig level (vs. scaffold level) was also better than the published *Callorhinchus*, brownbanded bamboo shark, cloudy catshark, and white shark genomes (Hara et al., 2018; Marra et al., 2019; Venkatesh et al., 2014). The scaffolded genome had 39,176 scaffolds and a scaffold N50 of 344,460 bp. Relative to the previously published most contiguous assembly by Weber *et al.* (2020), while they reported a far higher scaffold N50 of ~2.56 Gb (3.13 Gb ≥200 bp), our scaffolded assembly has far fewer scaffolds (3.3M sequences, 139,611 sequences ≥200 bp) (Supplementary table 1).

Based on GenomeScope, the whale shark genome had an estimated level of heterozygosity of approximately 0.0797–0.0828%, consistent with the k-mer coverage plot showing a unimodal distribution (Supplementary figure 1). Comparison of the k-mer profile with the presences of k-mers in the assembly revealed that they were relatively concordant, with no indication that there were many k-mers that were represented twice in the assembly (which could be due to a diploid individual having phased haplotypes assembled into separate contigs) (Supplementary figure 2). Mapping the reads to the genome assembly and calling SNPs using freebayes provided a similar estimate of 2,189,244 SNPs, a rate of 0.0739% heterozygosity, or an average of a SNP every 1353 bases. This suggests that the heterozygosity of the whale shark genome is relatively low.

To assess gene completeness, we first used BUSCO v2 (Simão et al., 2015). Of 2,586 orthologs conserved among vertebrates searched by BUSCO (Simão et al., 2015), we found 2,033 complete orthologs in the whale shark genome, of which 1,967 were single-copy and 66 had duplicates. 323 orthologs were detected as fragments, while 230 were not detected by BUSCO. With 78.7% complete genes, this represents a marked improvement over the previous whale shark genome assembly, which only had 15% complete BUSCO genes (Hara et al., 2018). We also evaluated gene completeness using a rigid 1-to-1 ortholog core vertebrate gene (CVG) set that is better tuned for finding gene families in elasmobranchs (Hara et al., 2015) implemented in gVolante server version 1.2.1 (Nishimura et al., 2017; accessed 2019 Apr 23) (Nishimura et al., 2017), we found that 85% of core vertebrate genes were complete and found that 97.4% of core vertebrate genes included partial genes, which compares favorably to completeness statistics in other shark assemblies (Hara et al., 2018) (Supplementary table 3). The gene content of this whale shark assembly was thus quite complete and informative for questions regarding vertebrate gene evolution.

Ancestral Vertebrate Genome Evolution. We sought to use the new whale shark genome assembly to infer the evolutionary history of protein-coding gene families (i.e. orthogroups)

167 across vertebrate phylogeny, aiming to provide insight into the evolution of biological
168 innovations during major transitions in vertebrate evolution. Orthogroups are defined as all
169 genes descended from a single gene in the common ancestor of the species considered (Emms
170 and Kelly, 2015); hence, they are dependent to a degree on the phylogenetic breadth of species
171 included in the analysis. Genes from the proteomes for 37 chordate species deriving primarily
172 from Ensembl and RefSeq (O'Leary et al., 2016; Yates et al., 2020) (Supplementary table 4),
173 including 35 representative vertebrates, a sea squirt (*Ciona*), and a lancelet (*Branchiostoma*)
174 were assigned to 18,435 orthologous gene families using OrthoFinder, which were assigned to
175 a mean of 10,688 orthogroups per genome. We then inferred the history of gene family origin
176 and loss by comparing the presence and absence of gene families across species. Although
177 accurate inference of gene family size evolution in vertebrates may benefit from further taxon
178 sampling among invertebrates, *Branchiostoma* is notable among animals for retaining a
179 relatively high number of gene families present in the animal stem branch (Richter et al., 2018),
180 hence it is one of the best outgroup species to vertebrates to study the origins of novel genes
181 within the vertebrate clade.
182 We inferred a consistent increase in the total number of gene families from the root to
183 the MRCA (most recent common ancestor) of Gnathostomata, but only slight increases
184 following this in the MRCAs of bony fishes and cartilaginous fishes (black numbers, Figure 1,
185 Appendix). We also found numbers of novel gene families increased from the root to a peak in
186 the MRCA of gnathostomes, and then novelty decreased precipitously towards the bony and
187 cartilaginous fish descendants (numbers indicated by + symbol, Figure 1). Gene families
188 conserved in all members of a clade may be considered core genes. There was also an
189 increase in the number of core genes of each ancestor from the most inclusive to the least
190 inclusive clades, as expected with decreasing phylogenetic breadth of the less inclusive clades
191 (black parenthetical numbers, Figure 1). We found that a decreasing number of novel core gene
192 families in vertebrate ancestors were retained between the MRCA of Olfactores (tunicates +
193 vertebrates) and the MRCA of Gnathostomata (parenthetical numbers indicated by +, Figure 1),
194 in contrast to the general pattern of increasing numbers of novel gene families along these
195 same branches. Overall, this implied that the origin of jawed vertebrates established a large
196 proportion of novel gene families of both bony vertebrates and cartilaginous fishes, with variable
197 retention among descendant lineages.
198 The inclusion of multiple chondrichthyan lineages was important in the inference of
199 gnathostome-derived gene families. The selachians (true sharks) lost fewer gene families than
200 *Callorhinchus* (144 vs. 2,269 overall gene families, 83 vs. 1,422 gnathostome-derived gene
201 families), but future improved taxon sampling may further increase this estimate. Additional
202 high-quality genomes of holocephalans may demonstrate that some of these losses in
203 *Callorhinchus* are lineage-specific or due to assembly or annotation errors, and the addition of
204 batoids (skates and rays) could recover gene families independently lost in holocephalans and
205 selachians. Thus, increasing chondrichthyan taxon sampling allowed for more confidence in the
206 origin and loss of gene families in vertebrate history and assignment of hundreds of genes as
207 having originated prior to the MRCA of gnathostomes.
208 The burst in emergence of novel gene families that we observed along the ancestral
209 jawed vertebrate branch coincides with the two rounds (2R) of whole genome duplication that
210 occurred early in vertebrate evolution, resulting in gene duplicates referred to as ohnologs

211 (Braasch et al., 2016; Ohno et al., 1968; Singh et al., 2015). Hypothetically, divergent ohnologs
212 may be erroneously assigned to novel gene families and artefactually inflate our estimate for
213 gene family birth along the ancestral jawed vertebrate branch. To estimate the potential extent
214 of ohnolog family splitting, we compared the 2,885 gene families inferred as novel at the base of
215 jawed vertebrates to ohnolog families previously inferred by Singh *et al.* (2015). Generally, most
216 ohnologs had all their copies assigned to single gene families (1131–1609 ohnologs per
217 species). We found that only 157 (5.4% of 2,885 gene families) of gene families that we inferred
218 to have originated in the MRCA of jawed vertebrates corresponded to split ohnologs. Hence, the
219 split ohnologs are not a large proportion of the novel gene families in the jawed vertebrate
220 ancestor. In addition, we also found that only 3 gene families were inferred to be derived at the
221 MRCA of teleosts, coinciding with the teleost-specific genome duplication, which also supports
222 that gene family inference is robust to genome duplication. This finding reinforces the
223 importance of this evolutionary transition for genomic novelty, not just due to the vertebrate 2R
224 whole genome duplication, but also through the addition of novel gene families.
225 Next, we tested whether gene families that were gained or lost during vertebrate
226 evolution were enriched for certain GO (Gene Ontology) or Pfam annotations (Appendix;
227 Supplementary table 5), potentially indicating functional genomic shifts preceding the origin of
228 these clades. Functional annotations were annotated using InterProScan 5.32-71.0 and Kinfin
229 1.0 (Laetsch and Blaxter, 2017), and functional enrichment was determined using the Fisher's
230 exact test. Overall, there were 8,700 gene families (47.2%) annotated for Gene Ontology (GO)
231 functional terms and 14,727 gene families (79.9%) annotated for Pfam protein domains. For
232 example, for the 711 novel gene families in the MRCA of Olfactores, we found an enrichment of
233 connexin function (Supplementary table 6). This is consistent with prior work that determined
234 that the origin of connexin gap junction proteins among chordates was in the MRCA in
235 Olfactores (Alexopoulos et al., 2004). We also found enrichment of ankyrin repeat domains, a
236 motif found widely across eukaryotes which has diverse functions in mediation of protein–
237 protein interactions (Li et al., 2006), and hence may be involved in the evolution of novel
238 protein-complexes in Olfactores. Also, specific to the evolution of the whale shark, we inferred 7
239 novel gene families and a loss of 1,501 gene families. Neither of these sets of gene families
240 were enriched for any functional terms, suggesting whale shark-specific traits are not attributed
241 to functional genomic shifts due to the origins or losses of gene families.
242 Among the novel proteins in the MRCA of vertebrates, we found enrichment of several
243 protein domain types including rhodopsin family 7-transmembrane (7-TM) receptor domains,
244 immunoglobulin V-set domain, collagen triple helix repeats, zona pellucida domain, and C2H2-
245 type zinc finger domain (Supplementary table 7; Appendix). The enrichment of collagen function
246 is consistent with the importance of these collagens at the origin of vertebrates and their
247 potential involvement in origin of vertebrate traits, such as bone and teeth (Boot-Handford and
248 Tuckwell, 2003). The enrichment of the zona pellucida domain at the origin of vertebrates is
249 consistent with previous evidence showing that zona pellucida proteins likely originated in
250 vertebrates (Litscher and Wassarman, 2014). Inner-ear proteins also contain the zona pellucida
251 domain, making its appearance in the vertebrate ancestor coincident with the origin of inner
252 ears (Popper et al., 1992). The 7-TM domain proteins include a wide variety of receptors but
253 were not enriched for any particular GO term. Some example receptors include those involved
254 in binding a variety of ligands (e.g. fatty acids, neuropeptides, and hormones), and receptors

255 with immune relevance (e.g. chemokine, bradykinin, and protease-activated receptors). The
256 immunoglobulin V-set domain was found in several proteins, most which had roles in cell
257 adhesion and other functions (Appendix). We also found enrichment among novel vertebrate
258 genes for the C2H2-type zing finger domain, a well-characterized zinc finger domain primarily
259 responsible for nucleotide–protein, as well as protein–protein interactions (Brayer and Segal,
260 2008; Wolfe et al., 2000). These novel genes were also not enriched for any particular GO term,
261 but play a role in a variety of developmental signaling pathways and cell cycle regulation
262 (Supplementary table 7; Appendix). The enrichment of these varied functional protein domains
263 in the MRCA of vertebrates demonstrate their importance in the origin of diverse vertebrate
264 traits, including responding to stimuli, fertilization, immunity, and signaling. Although the origins
265 of some of these gene families were in the vertebrate ancestor, subsequent gene diversification
266 in jawed vertebrates continued to increase the functional diversity of these gene families, such
267 as in the collagens which were duplicated in the jawed vertebrate genome duplication (Haq et
268 al., 2019; Wada et al., 2006).
269 In the MRCA of jawed vertebrates, we found enrichment of a variety of immune-related
270 protein domains including immunoglobulin V-set domain, immunoglobulin C1-set domain, and
271 interleukin-8-like small cytokines, with functional enrichment of immune response and hormone
272 activity. Immunoglobulin-domain containing gene families included many immunoglobulins,
273 interleukins, interleukin receptors, T-cell receptors, sialic acid-binding immunoglobulin-type
274 lectins (Siglec proteins), chemokines, cluster of differentiation (CD) proteins, and MHC proteins
275 (Supplementary table 8), consistent with the evolution of immunoglobulin-/T-cell receptor-based
276 adaptive immunity in gnathostomes (Boehm, 2012; Kaiser et al., 2004). We also found
277 enrichment for hormone activity, related to the origin of genes for many hormones at the origin
278 of vertebrates (Supplementary table 8). This finding complemented previous work that identified
279 hormones with a role in mammal homeostasis originating in the MRCA of jawed vertebrates, but
280 it emphasizes that hormone activity is also a predominant function among earlier novel
281 vertebrate gene families (Hara et al., 2018).
282 Differences between bony vertebrates and cartilaginous fishes might be due to
283 functional differences in gene families specific to each lineage. For gene families exclusive to
284 bony vertebrates (including the 414 gene families derived in the MRCA of bony vertebrates and
285 366 gene families lost in cartilaginous fishes), we found several enriched sets of protein
286 domains and functions including GCPR domain, lectin C-type domain, and C2H2-type zinc-
287 finger proteins (Supplementary Tables 9–10). GPCRs are 7-TM proteins that transmit signals in
288 response to extracellular stimuli to G proteins (Pierce et al., 2002). This enrichment of GPCR
289 protein function is consistent with the relative paucity of these receptors in cartilaginous fishes,
290 noted previously (Marra et al., 2019). We found many of the GPCRs gained in the MRCA of
291 bony vertebrates were olfactory receptors, which is also consistent with the relatively low
292 number of olfactory receptors noted in cartilaginous fishes previously (Hara et al., 2018; Marra
293 et al., 2019). We also found that one of the GPCR gene families included MAS1 and its
294 relatives. MAS1 is important in response to angiotensin and regulating blood pressure, and
295 although sharks produce angiotensin I (Takei et al., 1993), the precursor to angiotensin-(1-7),
296 the lack of MAS1 and related receptors in cartilaginous fishes suggests that such responses are
297 mediated by alternative receptors and that blood pressure regulation is distinct between
298 cartilaginous fishes and bony vertebrates. Among the lectin C-type domain proteins, we found

no orthologs of the NK gene cluster in cartilaginous fishes (e.g. CD69, KLRC), a conserved complex of genes found across bony vertebrates, which implies potential differences in the natural killer complex in cartilaginous fishes (Kelley et al., 2005). Gene families lost in cartilaginous fishes are also enriched for loss of KRAB box domain, which play a role in transcription repression factors (Margolin et al., 1994). There was also enrichment for genes including the C-type lectin domain, which bind a variety of ligands and have functions including playing roles in immunity (Brown et al., 2018). By contrast, we did not find enrichment in domains or functions among the gene families derived in cartilaginous fishes, which may in part be due to fewer annotations among gene families that are not present in bony vertebrates (Appendix). In summary, functional genomic differences between bony vertebrates and cartilaginous fishes are due to differences in the presence of gene families in bony vertebrates, with some related to immunity, chemosensation, and signaling.

Our analyses imply a dynamic history of gene family gain and loss across early vertebrate evolution. Of particular importance was the number of gene families gained in the MRCA of jawed vertebrates in establishing the gene families that are present in bony vertebrates and cartilaginous fishes, with these novel gene families being enriched for immune-related functions. The whale shark genome provided an important additional resource to study the origins of gene families in early vertebrate evolution.

Table 1. Vertebrate and invertebrate PRR repertoires. Superscripts indicate these citations: [1]Chen *et al.* (2021), [2]Howe et al. (2016), [3]Mukherjee et al. (2014), [4]Kasamatsu et al. (2010), [5]Buckley & Rast (2015), [6]Tassia et al. (2017).

Species	**Toll-like Receptors (TLRs)**	**NOD-like Receptors (NLRs)**	**RIG-like Receptors (RLRs)**
Jawed vertebrates			
Homo sapiens (Human)	10	21	3
Danio rerio (Zebrafish)	20[1]	421[2]	3[3]
***Rhincodon typus* (Whale Shark)**	**13**	**43**	**3**
Jawless vertebrates			
Petromyzon marinus (Lamprey)	16[4] / 19[5]	34[5]	2[3]
Invertebrate deuterostomes			

Ciona intestinalis	3[5]	16[5]	2[3]
Branchiostoma floridae	19[6] / 72[5]	92[5]	5[3]
Strongylocentrotus purpuratus	104[6] / 253[5]	203[5]	6[3]
Cephalodiscus hodgsoni	6[6]		
Ptychodera flava	14[6]		
Saccoglossus kowalevskii	10[6]		3[3]
Protostomes			
Drosophila melanogaster	9[5]	0[5]	0
Daphnia pulex	7[5]	2[5]	
Caenorhabditis elegans	1[5]	0[5]	2[3]
Capitella teleta	105[5]	55[5]	2[3]
Helobdella robusta	16[5]	0[5]	2[3]
Lottia gigantea	60[5]	1[5]	3[3]
Non-bilaterian animals			
Nematostella vectensis	1[5]	42[5]	2[3]
Amphimedon queenslandica	0[5]	135[5]	2[3]

Evolution of jawed vertebrate innate immune receptors

Cartilaginous fishes are the most distant human relatives to possess an adaptive immune system based on immunoglobulin antibodies and T cell receptors (Dooley, 2014; Flajnik and Kasahara, 2010). This has driven extensive functional study of their adaptive immune system and an in-depth, although controversial, analysis of the evolution of adaptive immune genes in the elephant shark genome (Dooley, 2014; Redmond et al., 2018; Venkatesh et al., 2014). By comparison, the cartilaginous fish innate immune system has been overlooked

331 (Krishnaswamy Gopalan et al., 2014), despite its importance to understanding the impact that
332 the emergence of adaptive immunity had on innate immune innovation. For example, some
333 previous analyses of deuterostome invertebrate genomes identified greatly expanded PRR
334 repertoires. Yet vertebrate PRR repertoires are considered to be highly conserved, leading to
335 the suggestion that the need for vast PRR repertoires in vertebrates was superseded by the
336 presence of adaptive immunity in vertebrates (Huang et al., 2008; Rast et al., 2006; Smith et al.,
337 2013). Notable exceptions to this include an expansion of TLRs in codfishes due to a proposed
338 loss of CD4 and MHC class II (Solbakken et al., 2017), and expansion of fish-specific NOD-like
339 receptors (NLRs) in some other teleosts (Howe et al., 2016; Stein et al., 2007). As such, we
340 sought to use the whale shark genome to determine whether cartilaginous fishes have a similar
341 PRR set to bony vertebrates, with which they share an adaptive immune system, and also
342 search for evidence of PRR expansions aiming to better understand vertebrate innate immune
343 evolution. To this end, we used BLAST to identify whale shark sequences corresponding to
344 three major PRR families –NLRs, RIG-like receptors (RLRs), and Toll-like receptors (TLRs) –
345 and reconstructed their phylogeny among published, curated vertebrated PRR gene datasets.
346 NLRs are intracellular receptors that detect a wide array of pathogen- and damage-
347 associated molecular patterns (e.g. flagellin, extracellular ATP, glucose) (Fritz and Kufer, 2015).
348 We identified 43 putative NLRs in the whale shark. We found direct orthologs of almost all
349 human NLRs (UFBOOT=100 for all; Table 1), of which 23 contained a clearly identifiable
350 NACHT domain (a signature of NLRs) (NOD1, NOD2, CIITA, NLRC5, NLRC3) while other
351 putative orthologs did not (NLRX1, NLRC4, BIRC1, NWD1, TEP-1, and NLRP). While inclusion
352 of these sequences lacking an apparent NACHT might seem questionable, the false-negative
353 rate for NACHT domain detection is high, even for some human NLRs. The presence of these
354 orthologs in whale shark indicates the presence of a conserved core NLR repertoire in jawed
355 vertebrates (Supplementary table 13, Figure 2 and Figure 2–figure supplement 1, Appendix).
356 Surprisingly, we found three orthologs of NOD1 in the whale shark, which is a key receptor for
357 detection of intracellular bacteria, rather than a single copy as in humans (ultrafast bootstrap
358 support, UFBOOT=100; Figure 2 and Figure 2–figure supplement 2 4). Further analyses
359 intimate that the three NOD1 copies resulted from tandem duplication events in the ancestor of
360 cartilaginous fishes (Appendix). Sequence characterization suggests that all three of the whale
361 shark NOD1s possess a canonical NACHT domain and so should retain a NOD1-like binding-
362 mechanism, but may have unique recognition specificity (Figure 2–figure supplement 2,
363 Appendix). Thus, we hypothesize that the three NOD1s present in cartilaginous fishes
364 potentiate broader bacterial recognition or more nuanced responses to intracellular pathogens.
365 In contrast to the scenario observed for NOD1, we did not find NACHT domain-containing
366 orthologs of any of the 14 human NLRP genes, many of which activate inflammatory responses
367 (Schroder and Tschopp, 2010), in whale shark, and only a single sequence lacking a detectable
368 NACHT domain (Supplementary table 13, Figure 2 and Figure 2–figure supplement 1,
369 Appendix). However, we did identify an apparently novel jawed vertebrate NLR gene family that
370 appears to be closely related to the NLRPs (UFBOOT=67; Figure 2 and Figure 2–figure
371 supplement 1). This family has undergone significant expansion in the whale shark
372 (UFBOOT=100; Figure 2 and Figure 2–figure supplement 1), and we tentatively suggest that
373 this may compensate for the paucity of true NLRPs in whale shark. Nonetheless, these results
374 imply that the NLR-based inflammasomes in humans and whale sharks are not directly

375 orthologous, and hence that NLR-based induction of inflammation and inflammation-induced
376 programmed cell death (Schroder and Tschopp, 2010) are functionally distinct in human and
377 whale shark. Interestingly, each of the vertebrate species we examined (human, zebrafish, and
378 whale shark) has independently expanded different NLR subfamilies relative to the other
379 species included in the analysis, with NLRP genes expanded in human (clade UFBOOT=99;
380 Figure 2 and Figure 2–figure supplement 1) and the previously-identified 'fish-specific' FISNA in
381 zebrafish (clade UFBOOT=86; Figure 2 and Figure 2–figure supplement 1). For the latter, we
382 unexpectedly found a whale shark ortholog (UFBOOT=74), suggesting this gene was present in
383 the jawed vertebrate ancestor and is not a teleost novelty. In all, while we found evidence for a
384 core set of NLRs in jawed vertebrates, our analyses also show that multiple, independent NLR
385 repertoire expansions, with probable immunological-relevance, have occurred during jawed
386 vertebrate evolution despite the presence of the adaptive immune system.
387 RIG-like receptors (RLRs) are intracellular receptors that detect viral nucleic acid and
388 initiate immune responses through Mitochondrial Antiviral Signaling Protein (MAVS) (Mukherjee
389 et al., 2014). Bony vertebrates have three RLR: RIG-1 (encoded by DDX58), MDA5 (IFIH1), and
390 LGP2 (DHX58). Structurally, these are all DEAD-Helicase domain containing family proteins
391 with a viral RNA binding C-terminal RD (RNA recognition domain), and an N-terminal CARD
392 domain pair that mediates interaction with MAVS (Loo and Gale, 2011; Mukherjee et al., 2014).
393 Previous phylogenetic studies either did not included RLRs from cartilaginous fishes or have
394 failed to definitively identify each of the three canonical vertebrate RLRs in this lineage, meaning
395 that the ancestral jawed vertebrate RLR repertoire remained unknown (Krishnaswamy Gopalan
396 et al., 2014; Mukherjee et al., 2014). Our phylogenetic analyses of DEAD-Helicase and CARD
397 domains indicate that orthologs of each of these genes exist in whale shark, revealing that all
398 three RLRs had already diverged in the last common ancestor of extant jawed vertebrates
399 (UFBOOT values all 100; Table 1, Figure 2 and Figure 2–figure supplement 2, Supplementary
400 table 13). Further, and consistent with past findings (Mukherjee et al., 2014), we found that
401 MDA5 and LGP2 are the result of a vertebrate-specific duplication, while RIG-1 split from these
402 genes much earlier in animal evolution (Figure 2 and Figure 2–figure supplement 2, Appendix).
403 We also identified MAVS orthologs in whale shark, elephant shark, and despite difficulties
404 identifying a sequence previously (Boudinot et al., 2014), coelacanth (UFBOOT=100; Figure 2
405 and Figure 2–figure supplement 2, Supplementary table 13, Appendix). These results show that
406 the mammalian RLR repertoire (and MAVS) was established prior to the emergence of extant
407 jawed vertebrates and has been highly conserved since, consistent with a lack of evidence for
408 large RLR expansions in invertebrates.
409 Toll-like receptors (TLRs) recognize a wide variety of PAMPs and are probably the best
410 known of all innate immune receptors. While large expansions have been observed in several
411 invertebrate lineages (Huang et al., 2008; Rast et al., 2006), many studies suggest that the
412 vertebrate TLR repertoire is largely conserved (Boudinot et al., 2014; Braasch et al., 2016;
413 Wang et al., 2016). Some teleosts appear to be an exception to this rule; however, this is likely
414 due to the teleost-specific whole-genome duplication, and loss of CD4 and MHC class II in
415 codfishes (Solbakken et al., 2017). We identified 13 putative TLRs in whale shark (Table 1,
416 Supplementary table 13; Appendix), 11 of which are orthologous to TLR1/6/10, TLR2/28 (x2),
417 TLR3, TLR7, TLR8, TLR9 (x2), TLR21, TLR22/23, and TLR27 (UFBOOT values all ≥99; Figure

418 2 and Figure 2–figure supplement 3; Appendix). The remaining two, along with a coelacanth
419 sequence, represent a novel ancestral jawed vertebrate TLR gene family related to TLR21, for
420 which we propose the name TLR29 (UFBOOT = 99; Figure 2 and Figure 2–figure supplement
421 3). Thus, the whale shark TLR repertoire is a unique combination when compared to all other
422 vertebrates previously studied, being formed from a mix of classic mammalian and teleost
423 TLRs, supplemented with TLR27 and the new TLR29. Our rooted phylogenies indicate that the
424 ancestor of extant vertebrates possessed at least 15 TLRs, while the ancestor of jawed
425 vertebrates possessed at least 19 TLRs (including three distinct TLR9 lineages), both of which
426 are larger repertoires than possessed by modern 2R species (Figure 2 and Figure 2–figure
427 supplement 3; Appendix). Unlike invertebrates, where both loss and expansion of TLRs have
428 been extensive, our data suggest that many jawed vertebrate TLRs existed in the jawed
429 vertebrate ancestor, with lineage-specific diversification of jawed vertebrate TLRs primarily
430 resulting from differential loss (as well as genome duplications), supplemented by occasional
431 gene duplication events.
432 Overall, our findings imply that the jawed vertebrate ancestor possessed a core set of
433 PRRs that has largely shaped the PRR repertoires of modern jawed vertebrates. We propose
434 the budding adaptive immune system formed alongside this core set of PRRs, with concomitant
435 genome duplication-driven expansion of immunoregulatory genes leading these PRRs to
436 become embedded within new, combined innate-adaptive immunity networks. Our results
437 suggest that the impact of this on the propensity for large expansions is PRR type-specific, with
438 expansion of NLRs being recurrent during jawed vertebrate evolution, and massive expansion
439 of TLRs constrained without degeneration of the adaptive immune system. Although reliance
440 upon innate immune receptors is offset in vertebrates due to the presence of the adaptive
441 immune system, our results suggest that differences in PRR repertoires between vertebrates
442 and invertebrates are driven by specific functional needs on a case-by-case basis. Thus, rather
443 than a simple replacement scenario, the interaction with the adaptive immune system, and
444 associated regulatory complexity, is likely a major factor restraining the proliferation of certain
445 vertebrate PRRs. Although there is clear evidence that PRR expansions can and do occur in
446 jawed vertebrates despite the presence of an adaptive immune system, further in-depth
447 analyses are needed to help better tease out the changes in tempo of PRR diversification
448 across animal phylogeny and whether this associates in any way with the emergence of
449 adaptive immunity.
450
451 **Rates of functional genomic evolution and gigantism.** Rates of genomic evolution vary
452 considerably across vertebrates, either across clades or in relationship to other biological
453 factors, including body size. We compared rates in two different aspects of genomic evolution
454 with potential functional relationship to gigantism in the whale shark, to those of other
455 vertebrates: rates of amino acid substitution in protein-coding genes, and rates of evolution in
456 gene family size.
457 Substitution rates across a set of single-copy orthologs varied across vertebrate
458 genomes, and these rates were relatively low in the whale shark compared to most other
459 vertebrates (Figure 3). We tested for different rates of substitution among vertebrate clades
460 using the two-cluster test implemented by LINTRE (Takezaki et al., 1995). Previous use of this
461 test to compare the elephant shark (*Callorhinchus milii*) genome to other vertebrates determined

that *C. milii* has a slower substitution rate than the coelacanth, teleosts, and tetrapods (Venkatesh et al., 2014), and that cartilaginous fishes are slower than bony vertebrates (Hara et al., 2018). We also found that cartilaginous fishes have a significantly slower rate of substitution ($p = 0.0004$). In addition, *C. milii* ($p = 0.0004$) was found to be significantly slower than sharks, consistent with prior work (Weber et al., 2020). The whale shark was not significantly different in rate compared to the brownbanded bamboo shark ($p = 0.1802$), its closest relative included in the analysis. We also found that cartilaginous fishes had a lower rate of molecular evolution compared to subsets of bony vertebrates including ray-finned fishes ($p = 0.0004$), sarcopterygians ($p = 0.001$), the coelacanth ($p = 0.0004$), and tetrapods ($p = 0.0088$), but not significantly slower than the spotted gar ($p = 0.1416$). In addition, we found some patterns among other vertebrates consistent with previous studies on rates of molecular substitution, including that ray-finned fishes evolved more rapidly than sarcopterygians ($p = 0.0004$) and that spotted gar evolved more slowly than teleosts ($p = 0.0004$).

We then tested whether rates of molecular substitution differed on those branches leading to gigantism among vertebrates. The origins of gigantism in elephants, whales, and the whale shark have previously been shown to correspond to shifts in the rate or mode of body size evolution (Pimiento et al., 2019; Puttick and Thomas, 2015; Slater et al., 2017). We estimated time-varying rates of body size evolution in cartilaginous fishes using BAMM (Rabosky et al., 2013). Consistent with previous research (Pimiento et al., 2019), we found that gigantism in whale shark corresponds to a discrete shift in the rate of body size evolution to five times the background rate in cartilaginous fishes (Appendix; Supplementary figure 3) (Pimiento et al., 2019). Using PAML to fit models where the rates of amino acid substitution leading to vertebrate giants to other vertebrates differed, we found this model was significantly different than the strict clock (log-likelihood ratio test $p = 1.76 \times 10^{-56}$), indicating a significantly different rate of molecular substitution in vertebrate giants. This finding is consistent with earlier evidence that larger-bodied taxa have lower rates of protein evolution (Martin and Palumbi, 1993). However, given that the whale shark genome did not appear to evolve significantly more slowly than the brownbanded bamboo shark genome (noted above), or other small-bodied sharks as found previously when focusing on fourfold degenerate sites (Hara et al., 2018), there may not be an additive effect on substitution rates in the whale shark genome as both a vertebrate giant and a cartilaginous fish. This implied that substitution rates and body size may have less effect in cartilaginous fishes, which are already overall slowly evolving, in contrast to the pattern seen in other vertebrates.

Rates of change in gene family sizes, due to gain and loss of gene copies within gene families, can also vary across species (Han et al., 2013). This represents another potential axis of genomic evolution that is potentially independent from substitution rates. We estimated rates for gene family size evolution for 10,258 gene families present in the MRCA of vertebrates using CAFE 4.2.1 (Han et al., 2013). Average global rates of gene gain and loss in vertebrates were estimated to be 0.0006092 gains/losses per million years. We found that the rate of gene family size evolution in giant vertebrates was significantly faster than in the remaining branches, roughly double the rate in non-giant lineages ($p < 0.002$). Mean change in gene family size shows that rates of gene family size evolution vary across all taxa including giant lineages, and that an increase in gene family size evolution is not a consistent result of gigantism. However, more complex models in which giant lineages were allowed to vary in rate did not converge.

506 These results suggested that the relationship of gigantism on gene substitution rates do not
507 necessarily predict other forms of genomic evolution, including rates of gene family size
508 evolution.
509 Replicated shifts in gene gain or loss for specific gene families in independent giant
510 lineages might indicate the consistent effect of selection related to gigantism in particular
511 functional genes. We inferred that 1,387 gene families had a rate shift in gene family size
512 evolution on at least one branch in the vertebrate phylogeny (at $p < 0.05$, Supplementary file 9).
513 For those gene families that had a rate shift, on average, around 7 independent rate shifts
514 occurred among the vertebrate species considered. Gene families with any shift across the
515 vertebrate phylogeny were enriched for ribosomal genes as well as a few gene families that
516 were enriched for dynein heavy chain genes (Supplementary table 11). No gene families
517 independently shifted in all giant taxa exclusive of other vertebrates, and only five gene families
518 independently shifted in any giant taxa exclusive of other branches in the vertebrate phylogeny
519 (Supplementary file 9). This indicates no consistent signal of selection for a rate shift in gene
520 family size evolution for any particular gene family in the evolution of vertebrate giants.
521 Interestingly, the gene families that shifted in rates of gene gain and loss anywhere in
522 vertebrate phylogeny were also enriched for human orthologs in the Cancer Gene Census
523 (Fisher's exact test, odds ratio = 1.43, $p = 0.00414$) (Sondka et al., 2018), suggesting that these
524 cancer-relevant gene families were also more likely to shift in their expansion/contraction rate
525 across vertebrates. However, this analysis did not consider which branches the shifts occurred
526 on. We hence explored whether gene families that shifted in rate of gene family size evolution
527 across any branch leading to gigantism were enriched for cancer genes. Cancer suppression
528 has evolved by different mechanisms across mammalian lineages, including gene family
529 expansions (Tollis et al., 2017); for example, the duplication of tumor suppressor protein TP53
530 has been implicated in reduced cancer rates in proboscideans (elephants and relatives) relative
531 to other mammals (Sulak et al., 2016). By contrast, this same gene family is not expanded in
532 baleen whales (Tollis et al., 2017), thus it is already known the same gene families do not
533 expand in all mammalian giants, so we should not expect this to be the case when including
534 fishes. We confirmed a rate shift in gene family size evolution in TP53 in the lineage leading to
535 elephant, but this gene family also had a rate shift for TP53 along the branch leading to minke
536 whale (but not bowhead whale), as well as the non-giant bamboo shark. Therefore, we also
537 tested if all gene families that shifted along a branch leading to a vertebrate giant were enriched
538 for cancer-related genes, including gene families that shifted along non-giant branches. Here,
539 we found that all gene families that shifted in gene gain and loss rate along vertebrate giant
540 lineages (including gene families that shifted along other branches) were enriched for cancer
541 genes relative to all gene families that shifted in any branch in the vertebrate phylogeny (odds
542 ratio = 2.66, $p = 0.00199$), with over twice as many cancer-related gene families estimated to
543 have shifted in rate among all vertebrate lineages than the null expectation. That these gene
544 families were not enriched for any particular GO function or protein domain implies that cancer-
545 suppression can evolve through various mechanisms. For comparison, we also did the same
546 test but focusing on any gene families that shifted along branches leading to the non-giant
547 vertebrates sister to the giant vertebrates (i.e. brownbanded bamboo shark, pufferfishes, hyrax,
548 bottlenose dolphin), and found that there was no significant enrichment for cancer gene families
549 along those branches (odds ratio = 0.88, $p = 0.624$). Furthermore, we confirmed the significance

of the observed effect size by randomly drawing sets of branches across the vertebrate phylogeny to test for enrichment of cancer genes along random sets of branches, and found that the observed odds ratio of 2.66 was more extreme than 98% of random odds ratios (i.e. $p = 0.02$). This reinforces that the finding of gene family size evolution shfits along giant branches is significantly enriched for cancer genes.

For the 1,387 gene families that had a significant rate shift in gene family size evolution, we then studied if the rates were significantly greater in cancer genes versus non-cancer genes, depending on whether or not branches led to giant taxa or not. By fitting a linear mixed model using lme4, we found that there was a significantly higher rate of gene family size evolution along branches leading to giant taxa versus other branches (coefficient 0.0203, $p = 5.95e^{-6}$), no effect of whether a gene was related to cancer on rates (coefficient -0.00245, $p = 0.219$), but a significant interaction of cancer-suppression function and gigantism (coefficient 0.0102, $p = 8.51e^{-5}$), such that rates of gene family size evolution in genes related to cancer leading to giant taxa are even higher than expected relative to the effect of being on a giant branch alone (Figure 4). The significantly higher rate of gene family size evolution in vertebrate giants is consistent with the genome-wide patterns estimated above. In these gene families where a rate shift occurred, we found the mean rate of gene family size evolution along branches leading to giant taxa was 3.32 times greater than the mean rate along other branches in cancer genes, but branches leading to giant taxa had only an average rate that was 2.60 times greater than other branches in genes not related to cancer. Overall, this is suggestive that dynamics of vertebrate evolution in cancer-related gene family size among the sampled taxa are driven by the evolution of gigantism.

Conclusions

As a representative of cartilaginous fishes, a lineage for which only few genomes have thus far been sequenced, the whale shark genome provides an important resource for vertebrate comparative genomics. The new genome assembly based on long reads we reported in this paper represents the best gapless genome assembly thus far among cartilaginous fishes. Comparison of the whale shark to other vertebrates not only expands the number of shared gene families that were ancestral to jawed vertebrates but demonstrates that the early vertebrate genome duplications were also accompanied by a burst in the evolution of novel genes. These early gene families are involved in a diversity of functions including reproduction, metabolism, development, and adaptive immunity. We also find differences in gene families implying functional genomic differences between bony vertebrates and cartilaginous fishes, MAS1 and the NK gene cluster. With specific respect to genes involved in innate immune protection, we found divergent patterns of gene gain and loss between NLRs, RLRs, and TLRs, which provide insight into their repertoires in the jawed vertebrate ancestor. These results rejected a scenario where the importance of PRRs is muted in vertebrates by the presence of adaptive immunity, instead indicating the ongoing necessity of ancient PRRs, which were integrated with the new adaptive immune system in the jawed vertebrate ancestor. Finally, we

demonstrated that the relationship between rates of gene family size evolution and rates of substitution to gigantism are decoupled, and that gene families that shifted in gene expansion and contraction rate leading to vertebrate giants were enriched for genes with cancer relevance. The whale shark genome helps to build a foundation in shark and vertebrate comparative genomics, which is useful to answer questions of broader vertebrate evolution and convergent evolution of distinctive traits. Further sequencing of high-quality elasmobranch genomes will continue to enhance research from finding unique, whale shark-specific evolutionary change to illuminating broader patterns of vertebrate evolution.

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Author Contributions

M.T. contributed writing of the manuscript, experimental design, and implementing analyses of genome polishing, phylogenomics, orthology determination, gene family evolution, RNAseq mapping, and submission of genomic data to NCBI for accessioning and annotation by RefSeq. A.K.R. and H.D. performed analyses and wrote the results, supplementary text, and methods sections on innate immune genes. S.Koren and A.P. assembled the genome using Canu. R.N., K.S., and S.Kuraku generated whale shark blood transcriptome data. T.D.R. and A.D.M.D. initiated and managed the genome project. All authors provided feedback on the manuscript.

Methods

Genome sequence assembly and assessment. To improve on our earlier efforts to sequence and assemble the whale shark genome (Read et al., 2017), we generated PacBio long read sequences from the same DNA sample. These sequences are available on NCBI SRA under the accession SRX3471980. This resulted in 61.8 Gbp of sequences, equivalent to ~20x fold coverage. The initial assembly was performed using Canu 1.2 (Koren et al., 2017) with adjusted parameters to account for the lower input coverage:

```
canu -p asm -d shark genomeSize=3.5g corMhapSensitivity=high corMinCoverage=2
errorRate=0.035
```

Illumina reads from all paired end read libraries from Read *et al.* were trimmed using Trimmomatic v0.39 with the following settings: `ILLUMINACLIP:adapters.fa:2:30:10 LEADING:5 TRAILING:5 SLIDINGWINDOW:4:15 MINLEN:31` where adapters.fa is a fasta file containing all Illumina sequence adapters packaged with Trimmomatic (Bolger et al., 2014). Illumina reads from the single mate pair library from Read *et al.* were trimmed using NxTrim v0.4.3 using default settings (O'Connell et al., 2015). All Illumina reads were aligned to the genome using BWA-MEM (Li, 2013) v0.7.12-r1039 with default parameters and alignments were used as input into Pilon v1.18 under default settings (Walker et al., 2014) to correct errors in the draft assembly. All reads were then used to scaffold the genome using Platanus 1.2.4 (Kajitani et al., 2014). The runs for each library were provided as separate input libraries to platanus scaffold such that the insert sizes will be considered to be different for each library, and the resulting scaffolded assembly was passed to platanus gap_close, both with default settings. Genome size and quality statistics were computed using QUAST v5.0.2 on default settings (Gurevich et al., 2013) and compared to the published values from prior studies. The white shark genome paper did not report contig N50; we decomposed the scaffolds into contigs and determined N50 using seqkit v0.10.1 (Shen et al., 2016).

We performed k-mer analysis using Jellyfish version 2.2.6 on all Illumina reads using the -C setting to count canonical k-mers, a k-mer size (-m) of 21 and hash size (-s) of 100M (Marçais and Kingsford, 2011). Then, we used GenomeScope to fit a model that allows for assembly-free estimation of genome size, heterozygosity, and repeat content (Vurture et al., 2017), providing the k-mer size of 21, read length of 100, and using the default maximum *k*-mer coverage of 1000 (GenomeScope accessed 2017 June 05). We used KAT v2.2.0 to plot the k-mers and visualize the copy number in the genome of k-mers in the raw read Illumina data (Mapleson et al., 2016). We first used `kat comp` to compare the Jellyfish *k*-mer counts to the genome assembly, and plotted the results using `kat plot spectra-cn`.

We assessed gene completeness with conserved vertebrate orthologs using BUSCO v2 (Simão et al., 2015) and CVG orthologs using gVolante (version 1.2.1; accessed 2019 April 23) (Hara et al., 2015), and by mapping RNA-seq reads (Appendix). The trimmed reads were then re-used to call SNPs to assess heterozygosity using freebayes under default settings (Garrison and Marth, 2012). We then used vcflib packages vcffilter to filter the results for a minimum quality of 20 (-f "QUAL >20") and vcfstats to count the number of SNPs (Garrison et al., 2021).

Transcriptome sequencing. Approximately 30 million short read pairs for whale shark transcripts were obtained with paired-end 127 cycles from blood cells of a male and a female by

675 the Illumina HiSeq 1500, as described previously (Hara et al., 2018). Animal handling and
676 sample collections at Okinawa Churaumi Aquarium were conducted by veterinary staff without
677 restraining the individuals, in accordance with the Husbandry Guidelines approved by the Ethics
678 and Welfare Committee of Japanese Association of Zoos and Aquariums. Downstream handling
679 of nucleic acids was conducted in accordance with the Guideline of the Institutional Animal Care
680 and Use Committee (IACUC) of RIKEN Kobe Branch (Approval ID: H16-11). Transcriptome
681 sequence data are available at NCBI BioProject ID PRJDB8472 and DDBJ DRA ID
682 DRA008572.
683
684 **Gene prediction.** Genes predictions were provided to us by RefSeq using their genome
685 annotation pipeline version 7.3 (Warren et al., 2017), details of the resulting annotation are
686 publicly available ("Rhincodon typus Annotation Report," 2018). This annotation included
687 alignments of RNAseq data from grey bambooshark *Chiloscyllium griseum* kidney and spleen,
688 nurse shark *Ginglymostoma cirratum* spleen and thymus, and brownbanded bambooshark
689 *Chiloscyllium punctatum* retina, as well as protein alignments from Actinopterygii, and RefSeq
690 protein sequences for Asian arowana *Scleropages formosus*, coelacanth, spotted gar,
691 zebrafish, clawed frog, and human. After preliminary orthology determination, we determined
692 additional genes absent in whale shark conserved among vertebrates, which we annotated by
693 aligning protein sequences from these genes from human, gar, coelacanth, and mouse (Table
694 S3) to whale shark using genBLAST v1.39 (She et al., 2011) with these settings: `-p genblastg`
695 `-e 1e-5 -g T -gff -cdna -pro -pid` (Appendix, Supplementary file 3).
696
697 **Orthology inference.** We identified orthologs from the whale shark genome by comparison to
698 publicly available chordate genomes. We compiled chordate proteomes for 32 species
699 representing major vertebrate clades, the sea squirt *Ciona intestinalis*, and lancelet
700 *Branchiostoma floridae* (Supplementary table 4). In selecting representative vertebrates, we
701 specifically included the ocean sunfish, African elephant, and two baleen whale genomes
702 (minke whale, bowhead whale), and the most closely-related genomes available for these taxa
703 (*Takifugu rubripes* and *Dichotomyctere nigroviridis*, rock hyrax, and bottlenose dolphin). These
704 ortholog clusters were used for the identification of origins of gene families in chordate evolution
705 and genes that originated in the most recent common ancestor of jawed vertebrates, studying
706 enrichment or changes in functional annotation associated with these orthogroups,
707 phylogenomics, estimation of rates of molecular substitution, and estimation of rates of gene
708 duplication and loss.
709 Ortholog clusters from proteomes were determined using OrthoFinder v2.2.6 with default
710 settings (Emms and Kelly, 2015). With the resulting hits, OrthoFinder adjust scores for
711 reciprocal best hits while accounting for gene length bias and phylogenetic distance, then
712 proceeds with clustering genes into orthogroups. Preliminary orthology determination suggested
713 many potential missing orthologs in the elephant shark and whale shark genomes. We thus
714 performed orthology-based annotation using genBLAST (She et al., 2011) as noted under Gene
715 prediction methods, added newly identified proteins to the proteomes of whale shark and
716 *Callorhinchus*, and reran the OrthoFinder pipeline including these proteins.
717 All proteins were then annotated for Gene Ontology (GO) and Pfam terms using
718 InterProScan 5.32-71.0 (Jones et al., 2014), and representative annotations were assigned to

each chordate orthogroup using KinFin 1.0 with the `--infer-singletons` option on to interpret gene families absent from clusters as singletons, then running the functional_annotation_of_clusters.py script packaged with KinFin under default settings, which assigns an annotation to a gene family if at least 75% of proteins in the gene family has that annotation, and at least 75% of taxa within the cluster have a protein with that annotation (Laetsch and Blaxter, 2017) (Supplementary file 6).

Gene Family Origin and Loss. A custom R script is provided for analyses run for this section (Supplementary file 10). To infer when gene families (as inferred from OrthoFinder) were gained and lost in vertebrate evolution, we mapped the origins and losses of gene families to the species tree parsimoniously, assuming that gene families have a single origin, but can be lost (Laetsch and Blaxter, 2017). We were then able to count the number of gene families present at the MRCA of nodes, the number of novel gene families that originated along each branch, and the number of gene families lost along each branch (including gene families uniquely lost along each branch). We also determined the number of gene families conserved in all descendants (core genes), and the number of novel gene families conserved in all descendants (novel core genes).

To confirm that the number of novel gene families in the jawed vertebrate ancestor was not inflated by artefactual oversplitting of ohnologs (gene duplicates that arose from two rounds of whole genome duplication early in vertebrate evolution). Singh *et al. (2015)* independently used a synteny-aware method to identify ohnologs in a subset of vertebrate genomes. We compared our assignment of human orthologs to gene families to the assignment of human orthologs to Singh *et al.* ohnolog families (downloaded 2020 June 2). We determined whether our gene families and Singh *et al.* ohnolog families matched and whether human orthologs were assigned to a single gene family or ohnolog. We replicated this for green anole, spotted gar, zebrafish, and possum ohnologs. To find the common genes between the Ensembl protein IDs we clustered and Ensembl gene IDs provided by Singh *et al.*, we used the R package biomaRt v2.45.2 to translate identifiers (Durinck et al., 2005).

Based on the representative annotations for each orthogroup determined above, we then determined whether groups of gene families that were gained or lost along branches in the vertebrate phylogeny were enriched for certain functions using a Fisher's Exact Test. Within each comparison, we adjusted the p-value to correct for multiple hypothesis testing by the Benjamin-Hochberg method using the p.adjust function in R (Wright, 1992). Corrected p-values under the BH method can be interpreted at a significance threshold that is equivalent to the false discovery rate. We considered functions enriched with an adjusted p-value of 0.05 and false discovery rate of 0.05.

Innate Immunity Analyses.

Homology identification: Sequence similarity searches were performed using BLAST+ v2.6.0 to identify putative homologs of TLRs, NLRs and RLRs (Altschul et al., 1990). An alternative approach using profile hidden Markov models, HMMER [version 3.1] (Eddy, 1998), was also tested for TLRs; the results obtained were identical, except that BLAST returned an additional putative TLR. Due to this HMMER results were not applied in subsequent analyses, and HMMER was not applied elsewhere(Eddy, 1998). Searches for whale shark TLR and RLR

763 homologs were performed using all other sequences present in the TLR and RLR trees.
764 Retention of sequences for further analyses was reliant on a reciprocal blast hit to a TLR or RLR
765 in the Swissprot reviewed database or the NCBI non-redundant protein set (Boeckmann et al.,
766 2003).
767 For NLRs, detection is more complicated, as some NLRs do not contain computationally
768 detectable NACHT domains (i.e. some family members, even in humans, are false negatives in
769 domain-based search tools and databases), despite the NACHT domain being the defining
770 feature of NLR family members. Further, some of these genes contain other domains and are
771 also included in other gene families where most members do not contain NACHT domains. As
772 such, for the main analysis performed here, those sequences in the predicted proteome and
773 translated transcriptome containing a predicted NACHT domain according to the NCBI CD-
774 search webserver (Marchler-Bauer et al., 2015) are noted as such (and should be considered
775 as the conservative set of whale shark NLR-like sequences). Additional sequences from the
776 predicted protein set with a blast hit to known NLRs were also included to permit detection of
777 potential orthologs of NLRs not found in the conservative set with definite/detectable NACHT
778 domains. Proteins containing the closely related NB-ARC domain were also extracted from the
779 whale shark proteome
780 In cases where a transcript matches the genomic location of a predicted protein, the
781 predicted protein is the sequence reported. Where multiple predicted proteins refer to the same
782 genomic location, only a single sequence is retained for further analysis.
783 *Phylogenetic Datasets:* For NLRs, we performed phylogenetic analyses of the whale
784 shark putative NLRs to known NLRs from human and zebrafish, both of which are highly
785 phylogenetically relevant and well-studied in this regard. Proteins containing the closely related
786 NB-ARC domain were used as an outgroup in NLR analyses, along with Human APAF-1 which
787 also harbors an NB-ARC domain (Urbach and Ausubel, 2017).
788 To better understand RLR and MAVS evolution we used two datasets. The first of these
789 was based on the central DEAD-helicase domains (hence, excluding MAVS) to define which of
790 the three RLR proteins could be found in whale shark, and infer the jawed vertebrate RLR
791 repertoire, also following Mukherjee et al. (2014). For the RLR datasets, members of each of the
792 three vertebrate RLR families, some invertebrate RLRs, and a selection of DICER proteins
793 sequences as an outgroup (Mukherjee et al., 2014) were gathered to generate a
794 phylogenetically informative dataset (i.e. aiming to include representatives of each of the major
795 vertebrate classes for which genome data were available). Full length proteins were aligned for
796 phylogenetic analysis of DEAD-Helicase domains, and trimmed to the start and end of these
797 domains based on the three human RLR sequences (Mukherjee et al., 2014). The second
798 dataset was based on individual CARD domains, as the presence of two CARD domains in
799 RIG-1 and MDA5 is thought to have come about through independent domain duplication in
800 each lineage, which would mislead phylogenetic analyses if ignored (Korithoski et al., 2015) The
801 same process as for DEAD-Helicase domains was performed for the CARD domains (Korithoski
802 et al., 2015).
803 For the TLR dataset, a large set of TLR nucleotide sequences were taken from a past
804 study that densely sampled vertebrates (Wang et al., 2016). TLR sequence from grey bamboo
805 shark (*Chiloscyllium griseum*) was also included (Krishnaswamy Gopalan et al., 2014).

806 Following trimming, the alignment consisted almost entirely of sites from the TIR domain, so TIR
807 domains were not specifically extracted for this analysis.
808 For the NLR analysis the described set of human NLRs and NACHT domain containing
809 proteins, as well as the closely related NB-ARCs as an outgroup (Urbach and Ausubel, 2017),
810 were downloaded from NCBI protein database. Sequences of zebrafish, where NLRs are
811 massively expanded (Howe et al., 2016; Stein et al., 2007), were also included in this analysis,
812 but these were downloaded from the InterPro website (i.e. all *Danio rerio* proteins containing a
813 NACHT domain) (Hunter et al., 2009). A very large number of zebrafish sequences were
814 obtained, so to reduce the prevalence of pseudo-replicate sequences (that are likely to be
815 uninformative in the context of understanding the whale shark NLR repertoire), CD-HIT (Fu et
816 al., 2012) was used to cluster zebrafish sequences with greater than 75% identity prior to
817 phylogenetic analysis. An additional NLR analysis was performed focusing specifically on
818 NOD1s, this employed NOD2 as an outgroup based on the larger NLR analysis and included
819 NOD1s identified by BLAST in elephant shark. Notably, our NLR analsyis relies on poorer taxon
820 sampling compared to that for the RLR and NLR datasets. This is due to a relative paucity of
821 previously characterized NLR repertoires across vertebrate species. Importantly, although this
822 does not lend itself well to understanding the tempo of lineage-specific gene family
823 expansion/contraction it does not preclude detection of such events along the lineages leading
824 to the species included in the analysis.
825 *Multiple sequence alignment and phylogenetic analyses:* Multiple sequence alignments
826 were generated with MAFFT (version 7.313) (Katoh and Standley, 2013) using default
827 parameters for the larger TLR and NLR datasets, but using the more intensive L-INS-i method
828 for RLRs and the focused NOD1 NLR dataset. trimAl (version 1.2rev59) (Capella-Gutierrez et
829 al., 2009) was applied to remove gap rich sites, which are often poorly aligned, from the
830 alignments using the “gappyout” algorithm. BMGE (version 1.12) (Criscuolo and Gribaldo, 2010)
831 was then used to help minimize the number of saturated sites in the remaining alignment (as
832 identified using the BLOSUM30 matrix). The RLR analyses were not subjected to this BMGE
833 analysis, as these were derived from conserved domains (meaning that alignments were based
834 on relatively conserved sequence tracts and were already quite short). The NOD1 focused NLR
835 alignments were judged to contain relatively similar sequences and were not subjected to either
836 trimAl or BMGE analyses. Phylogenetic analyses were performed in IQ-TREE (version: omp-
837 1.5.4) (Nguyen et al., 2015) using 1000 ultrafast bootstrap replicates (Minh et al., 2013) and the
838 best-fitting model of amino acid substitution. Best-fitting substitution models were determined
839 according to the Bayesian information criterion with ModelFinder from the IQ-TREE package
840 (Kalyaanamoorthy et al., 2017), and ultrafast bootstrap support was computed to assess branch
841 support (Hoang et al., 2017). The following (best-fitting) models were applied for each dataset:
842 LG+I+G for RLR CARD domains dataset, LG+I+F+G for RLR DEAD-Helicase domains dataset,
843 JTT+I+F+G for the TLR dataset, JTT+F+G for the NLR dataset, and JTT+I+F+G for the NOD1
844 focused NLR dataset. The trees were rooted either by outgroup, or the TLR tree was rooted
845 minimal ancestor deviation method (Tria et al., 2017). This is unlike many other TLR trees
846 produced in previous studies which are unrooted (Roach et al., 2005; Wang et al., 2016)
847
848 **Phylogenomics.** Orthogroups were filtered to single-copy orthologs for phylogenomic analyses.
849 We determined orthologs from orthogroups by reconstructing orthogroup trees and used tree-

based orthology determination using the UPhO pipeline (Ballesteros and Hormiga, 2016). The paMATRAX+ pipeline bundled with UPhO was used to perform alignment (mafft version 7.130b), mask gaps (trimAl version 1.2), remove sequences containing too few unambiguous sites, and check that at the minimum number of taxa are present (using the Al2Phylo script part of UPhO), and then reconstruct phylogenies (IQ-TREE v1.6.10) (Capella-Gutierrez et al., 2009; Katoh and Standley, 2013; Nguyen et al., 2015). Next, we used UPhO to extract orthologs by identifying all maximum inclusive subtrees from orthogroups with at least five species, with the allowance for in-paralogs (paralogs that arose after all species divergences in the phylogeny, and thus do not affect relative relationships in the phylogeny), and retained the longest in-paralogous sequence for each species within each ortholog. For each single-copy ortholog, we aligned, trimmed, and sanitized sequences using the paMATRAX+ pipeline.

To select the most reliable sequences for infer a phylogenomic time tree, we filtered for the most informative loci using MARE version 0.1.2-rc with default settings except -t (taxon weight) set to 10 to weight retaining taxa higher the alignment over retaining loci (Misof et al., 2013). Next, orthologs without lamprey, *Callorhinchus*, whale shark, *Branchiostoma*, and *Ciona* were excluded. We also filtered down to loci that supported the monophyly of vertebrate, gnathostome, chondrichthyan, and osteichthyan clades. After our filtering we were left with an alignment comprising 281 loci and 209,275 residues. We concatenated the sequences and selected the best model of amino acid substitution and partitioning scheme and inferred a maximum likelihood phylogeny using IQTREE v1.6.10 (Hoang et al., 2017; Kalyaanamoorthy et al., 2017; Nguyen et al., 2015) with the followings settings: `-bb 1000 -bnni -m MFP+MERGE -rcluster 10`. The tree was rooted using the amphioxus *Branchiostoma*. The phylogeny was largely consistent with consensus arising from phylogenomic studies. We also inferred a phylogeny accounting for incomplete lineage sorting using ASTRAL v5.7.1 (Zhang et al., 2018) based on gene trees (not shown), which was identical in topology except for the placement of armadillo (Xenarathra) sister to Afrotheria in the ASTRAL tree versus armadillos sister to Boreoeutheria in IQ-TREE. This relationship has historically been difficult to reconstruct and is consistent with prior conflicts between concatenated and coalescent-based analysis on the placement of Xenarthra with far more taxa (Esselstyn et al., 2017). In addition, none of our focal results are reported within mammals, where the relationships of Xenarthrans could be relevant.

Numerous fossil-based node calibrations were identified from the literature. Most node ages were derived from age ranges published in the Fossil Calibration Database (Benton et al., 2014) and are listed in Supplementary table 12. While previously the age of crown Chondrichthyes (here, the MRCA of Holocephali + Elasmobranchii) has been suggested to range from 333.56–422.4 Ma, the minimum age was recently pushed further back to 358 Ma based on multiple holocephalan fossils (Coates et al., 2017). To assess the concordance of the fossil calibrations, we used treePL version 1.0 to estimate divergence times from the ML tree with each fossil calibration using penalized likelihood, then performed cross-validation and evaluated the concordance of the fossils to the time tree to identify and exclude outliers (Near et al., 2005). After excluding fossils that were discordant with the others, we estimated divergence times using treePL with the remaining fossil calibrations. The final treePL config file is provided (Supplementary file 10).

Tests for Rates of Substitution. Based on our aligned matrix from single-copy orthologs used for phylogenomics, we tested for differences in rates of molecular substitution between vertebrates by using the two-cluster test implemented in LINTRE (2010 Apr 17 version) (Takezaki et al., 1995), using amino acid p-distances between taxa to estimate branch lengths. The two-cluster test is designed to test if the rates in two clades are significantly different by comparison to an outgroup. We tested rates on the full tree, as well as focused on certain cluster pairs by subsetting the dataset to focus on specific clades for comparison. Sequences were converted to phylip format from fasta format using pxs2phy using phyx v1.01 (Brown et al., 2017).

We also compared rates of genomic evolution of four independent instances of vertebrate gigantism (whale shark, elephant, baleen whales, ocean sunfish) relative to the background rate of molecular evolution among vertebrates. To do this, we used PAML 4.9i to compute the likelihoods of the alignment of single-copy orthologs used for phylogenomics under two different models of molecular evolution (Yang, 2007). We computed the likelihood of the data under a strict clock model (single-rate model) and under a local clock model (two-rate model) where the clock rate differed on branches leading to vertebrate giants. We then determined significance using the likelihood ratio test. PAML control files are provided (Supplementary file 10).

Rates of Gene Family Size Evolution. We estimated rates of gene family expansion and contraction across vertebrates among gene families. OrthoFinder output includes counts of the size of each orthogroup (i.e. gene families) for each species. We analyzed the evolution of gene family size under a birth-death process using CAFE version 4.2.1 (Han et al., 2013), with the gene family size evolutionary rate parameter λ. We focused on gene families present in the most recent common ancestor of vertebrates and filtered these only to gene families present in at least two species, and to exclude gene families that exceed 100 copies in any species, as large gene families have too large variance for consistent rate parameter estimation, resulting in 10,258 gene families. We used the caferror.py script to estimate species-by-species error rates in the annotation to improve the accuracy of rate estimation (Han et al., 2013). We used a time-calibrated phylogeny of vertebrates for this analysis (see above). We provide scripts used for running CAFE (Supplementary file 10).

We estimated rates of gene duplication and loss across vertebrates under a single λ model, and two multi-λ models: a two λ mode model where branches leading to gigantism had a second rate, and a five λ model where the rate categories were the background and a separate rate for each of the four independent origins of gigantism. However, the five λ model did not converge. To test for significance of the observed difference in likelihoods between the two λ model and the single λ model, we simulated gene family evolution with 500 replicates under these models and estimated the log-likelihood ratios from this null, simulated distribution. The p-value corresponds to the proportion of simulated replicates which had a smaller log-likelihood ratio than observed. When fitting the λ model, CAFE 4 additionally computes rates of duplication and loss along each branch for each gene family, and tests whether significant rate shifts occur along each branch (Supplementary file 10). P-values < 0.05 indicate a significant rate shift in gene family size evolution rate.

We identified gene families that had shifted and tested whether they were enriched for GO and Pfam terms (as above). We also tested for enrichment of gene families including human orthologs related to cancer. Cancer-related gene families were determined by downloading the gene families from the COSMIC Cancer Gene Census (Sondka et al., 2018) and determining which orthogroups included the human ortholog based on the Ensembl gene identifier provided by the CGC (database version 91 2020 April 07, accessed 2020 June 3). Ensembl gene ENSG identifiers were matched to the Ensembl protein ENSP identifiers (which we used for orthogroup determination) using biomaRt version 2.45.2, database accessed 2020 Sep 9 (Durinck et al., 2005).

We also tested whether gene families that shifted in expansion and contraction rate along branches leading to giant taxa were enriched for cancer genes. Six branches were tested in this set of focal branches relative to all other branches in the vertebrate phylogeny: the branch leading to whale shark, the branch leading to ocean sunfish, the branch leading to African elephant, and the branches corresponding to the clade of baleen whales (the clade sister to bottlenose dolphin). To confirm whether the results were more extreme than expected, we performed two tests. First, we drew the branches corresponding to the non-giant sister taxa of the vertebrate giants, then tested for whether these were enriched for cancer genes. Secondly, we tested for cancer gene enrichment on 100 permutations of selecting six random branches without replacement from across the vertebrate phylogeny. We then compared the observed odds ratio of enrichment for cancer genes to this null distribution.

We then compared the rate of gene family size evolution for gene families related to cancer to rates of gene families not related to cancer along branches leading to giant vertebrates and the remaining branches in phylogeny. Branch-wise rates of gene family size evolution were estimated by computing the difference in estimated ancestral and descendant gene family sizes of each branch and dividing by time. We also used the lme4 package and lmerTest packages to fit a linear mixed model and test for significant contribution on rate depends on whether it was estimated for a cancer gene or not, whether the rate was estimated on a branch leading to a giant taxon or not, the interaction of these variables, and with gene family as a random effect.

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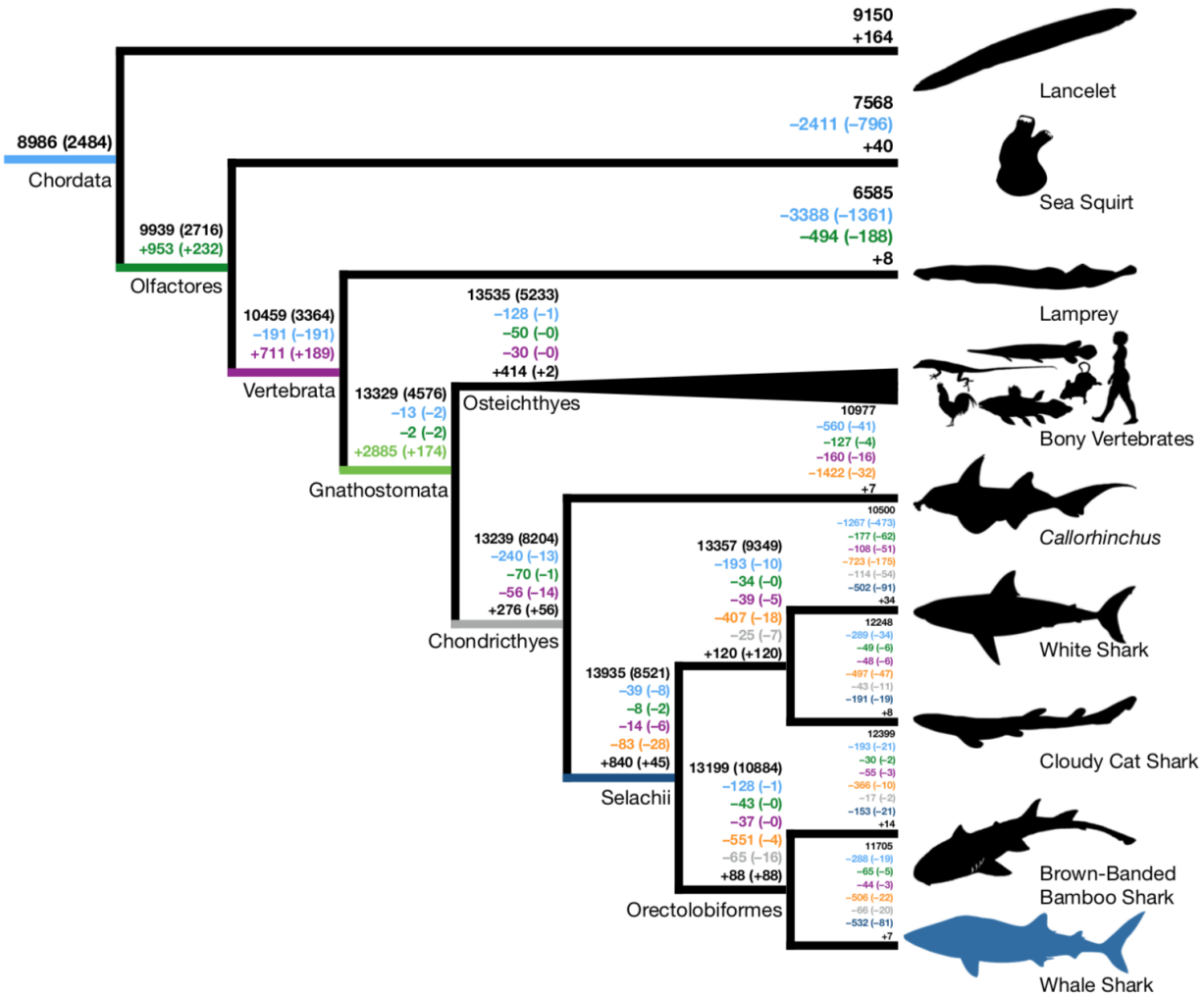
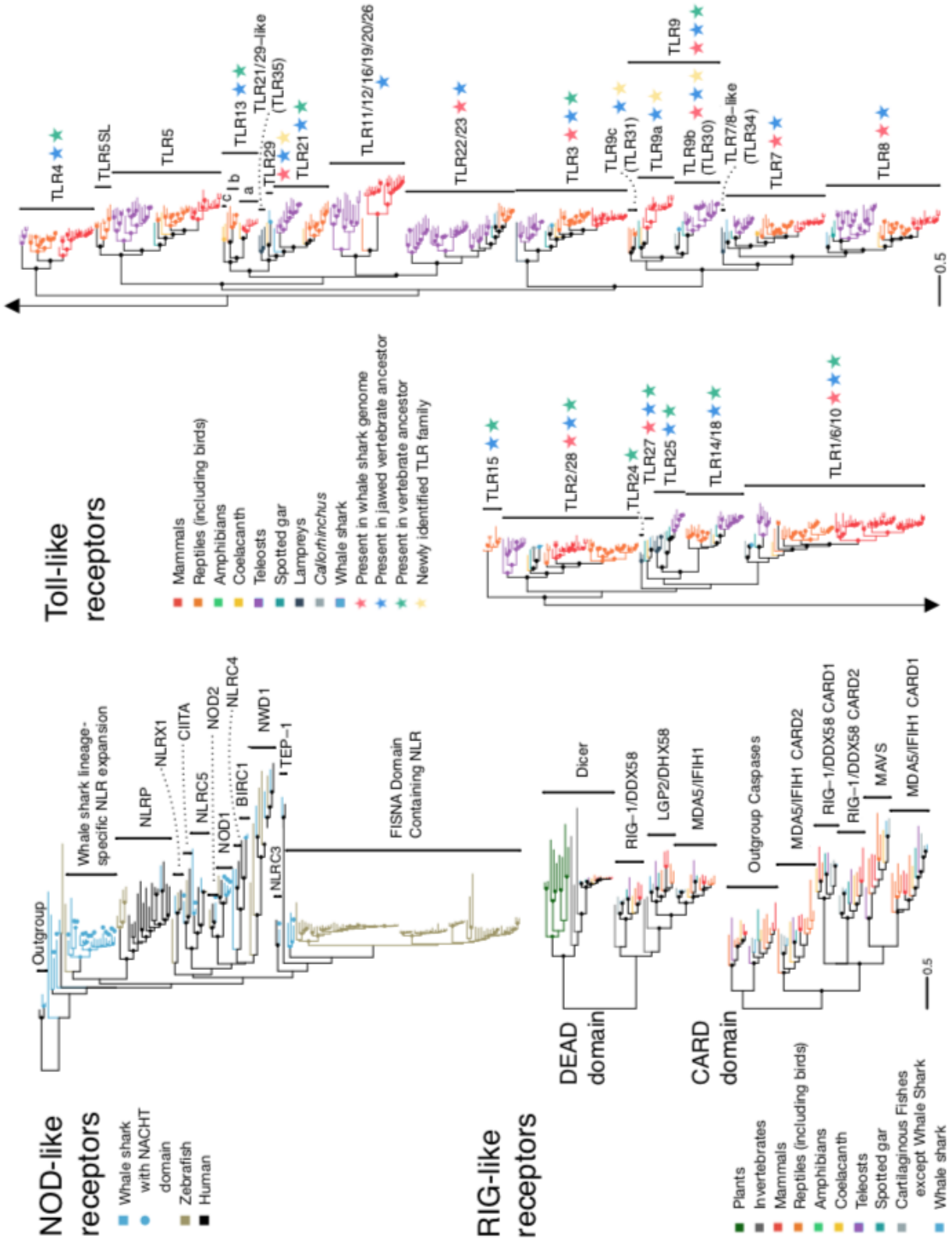
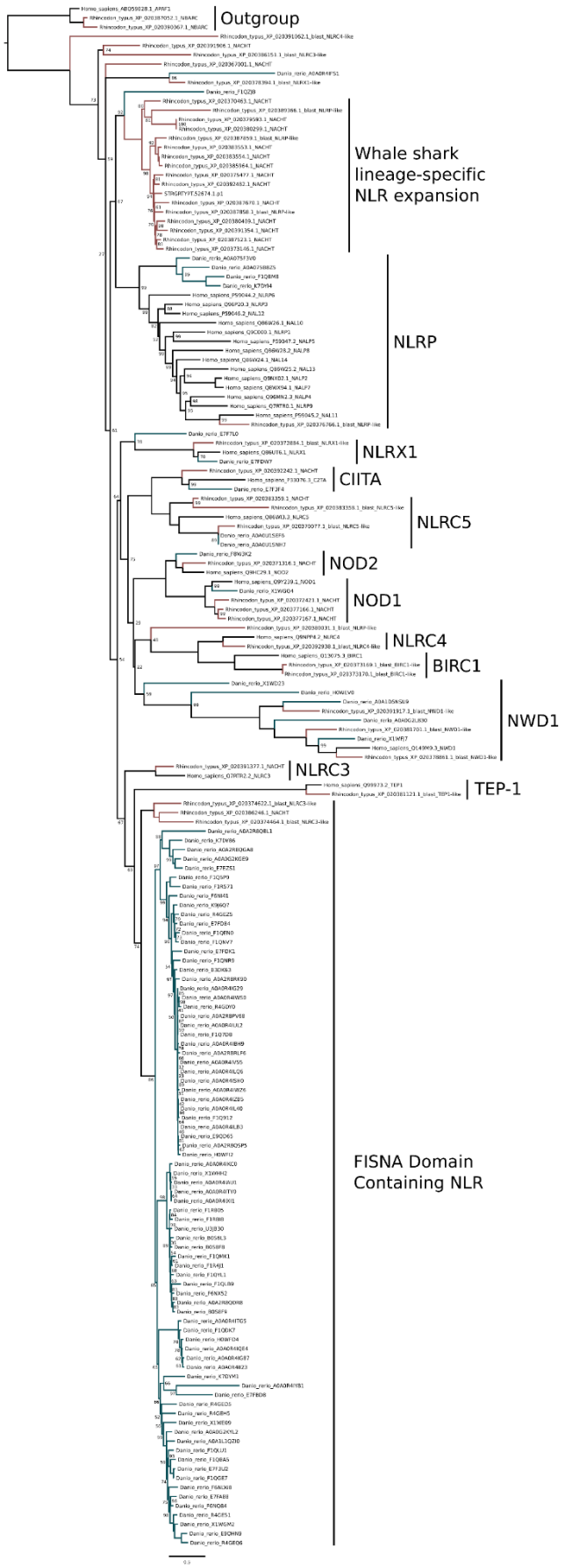


Figure 1. Origins and losses of vertebrate gene families. Above the branch in black is the total number of gene families inferred to be present in the most recent common ancestor at that branch; the number in parentheses indicates the number of gene families conserved in all descendants of that branch. Numbers preceded by + and – indicate the number of gene families inferred to be gained or lost along that branch. Gains and losses are color-coded based on the branch where these gene families originated. Colors indicate gene families present in the most recent common ancestor of chordates, green indicates gene families that originated in the most recent common ancestor of tunicates and vertebrates (Olfactores), purple indicates vertebrate-derived gene families, orange indicates gnathostome-derived gene families, grey indicates chondrichthyan-derived gene families, while dark blue indicates shark-derived gene families. Negative numbers within parentheses indicate gene family losses that are unique to that branch (as opposed to gene families that were also lost along other branches). Positive colored numbers within parentheses indicate novel gene families conserved in all descendants ("core" gene families).


NOD-like receptors
Whale shark with NACHT domain
Zebrafish
Human
Outgroup
Whale shark lineage-specific NLR expansion
NLRP
NLRX1
CIITA
NLRC5
NOD2
NLRC4
NOD1
BIRC1
NWD1
TEP-1
NLRC3
FISNA Domain Containing NLR
RIG-like receptors
DEAD domain
Dicer
RIG-1/DDX58
LGP2/DHX58
MDA5/IFIH1
CARD domain
Outgroup Caspases
MDA5/IFIH1 CARD2
RIG-1/DDX58 CARD1
RIG-1/DDX58 CARD2
MAVS
MDA5/IFIH1 CARD1
0.5
Plants
Invertebrates
Mammals
Reptiles (including birds)
Amphibians
Coelacanth
Teleosts
Spotted gar
Cartilaginous Fishes except Whale Shark
Whale shark
Toll-like receptors
Mammals
Reptiles (including birds)
Amphibians
Coelacanth
Teleosts
Spotted gar
Lampreys
Callorhinchus
Whale shark
Present in whale shark genome
Present in jawed vertebrate ancestor
Present in vertebrate ancestor
Newly identified TLR family
TLR15
TLR2/28
TLR24
TLR27
TLR25
TLR14/18
TLR1/6/10
TLR4
TLR5SL
TLR5
TLR13
TLR21/29-like
(TLR35)
TLR29
TLR21
TLR11/12/16/19/20/26
TLR22/23
TLR3
TLR9c
(TLR31)
TLR9a
TLR9b
(TLR30)
TLR7/8-like
(TLR34)
TLR9
TLR7
TLR8
0.5

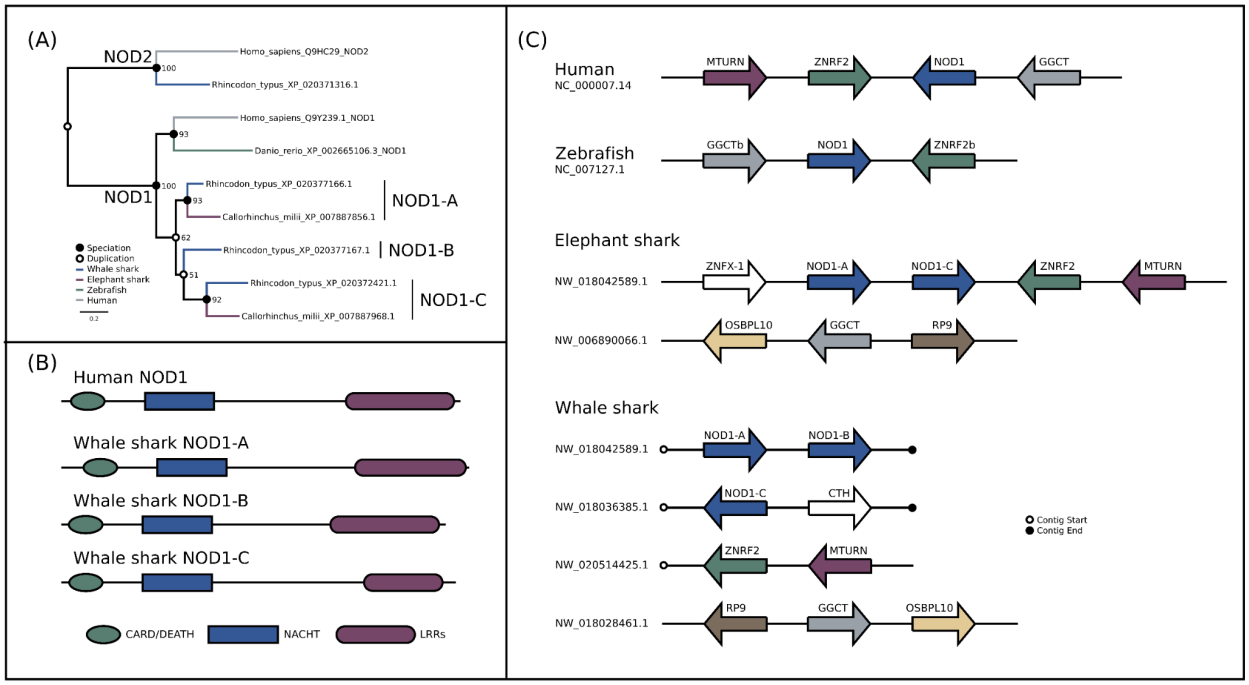
1302
1303

1304 Figure 2. The PRR repertoire of whale shark. Nodes supported ≥95% UFBOOT indicated with a
1305 dot. For NOD-like receptors, NLRs in whale shark with a NACHT domain are indicated by a dot
1306 at the tip. See also, Figure 2–figure supplement 1–2. For RIG-like receptors, branches are
1307 colored by gene, except for RLRs in whale shark which are colored distinctly and labeled by a
1308 dot at each tip. See also, Figure 2–figure supplement 3. For Toll-like receptors, each clade
1309 represents a separate TLR except families found within TLR13 are also labeled a (TLR13a), b
1310 (TLR32), and c (TLR33). TLR families are also labeled by stars indicating whether they were
1311 present in the whale shark genome, present in jawed vertebrate ancestor, present in the
1312 vertebrate ancestor, and novel to this study. See also Figure 2–supplement 4.


Outgroup
Whale shark lineage-specific NLR expansion
NLRP
NLRX1
CIITA
NLRC5
NOD2
NOD1
NLRC4
BIRC1
NWD1
NLRC3
TEP-1
FISNA Domain Containing NLR

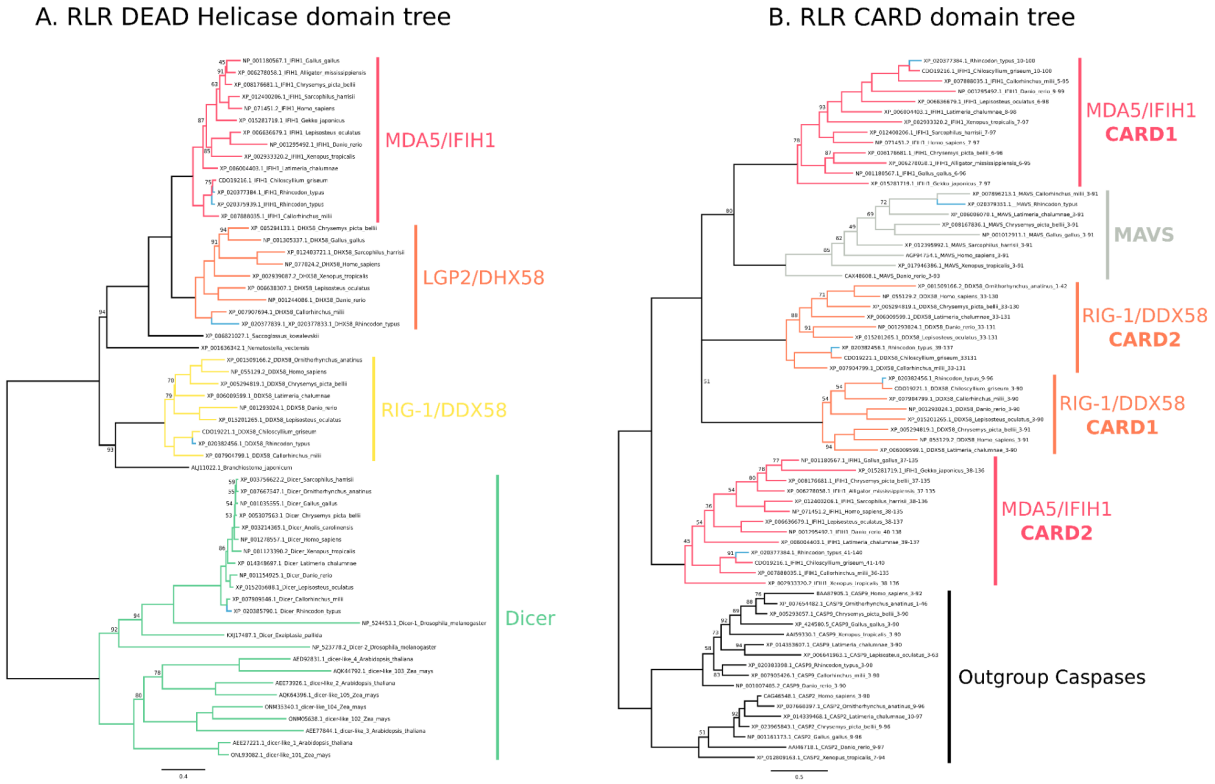
1313

1314 (See Included File for high resolution file)
1315 Figure 2–figure supplement 1. Phylogenetic analysis of NLRs from whale shark, zebrafish and
1316 human. Branches leading to human sequences are shown in black, to zebrafish in blue-green,
1317 and whale shark in red. Whale shark sequences with a detectable NACHT have '_NACHT' at
1318 the end of the sequence identifier (except for transcriptome sequence which all contain NACHT
1319 domains; All NACHT domain containing sequences are also noted with '*' in table 1).
1320



(See Included File for high resolution file)

Figure 2–figure supplement 2. Detailed analysis of NOD1 evolution. (A) Phylogenetic analysis of NOD1 focused NLR dataset. (B) Domain structure of NOD1 duplicates in whale shark. (C) Synteny analysis of jawed vertebrate NOD1s.



(See Included File for high resolution file)

Figure 2–figure supplement 3. Phylogenetic Analyses of whale shark and jawed vertebrate RLRs, DICER and MAVS. (A) Tree of the RLR and DICER DEAD-Helicase domains. (B) Tree of the RLR and MAVS CARD domains. Whale shark sequences are highlighted in blue.

1335

1336 (See Included File for high resolution file)
1337 Figure 2–figure supplement 4. Phylogenetic tree of vertebrate TLRs, including new whale shark
1338 sequences. The tree is rooted according to the minimal ancestor deviation method (Kümmel
1339 Tria, Landan and Dagan, 2017).
1340

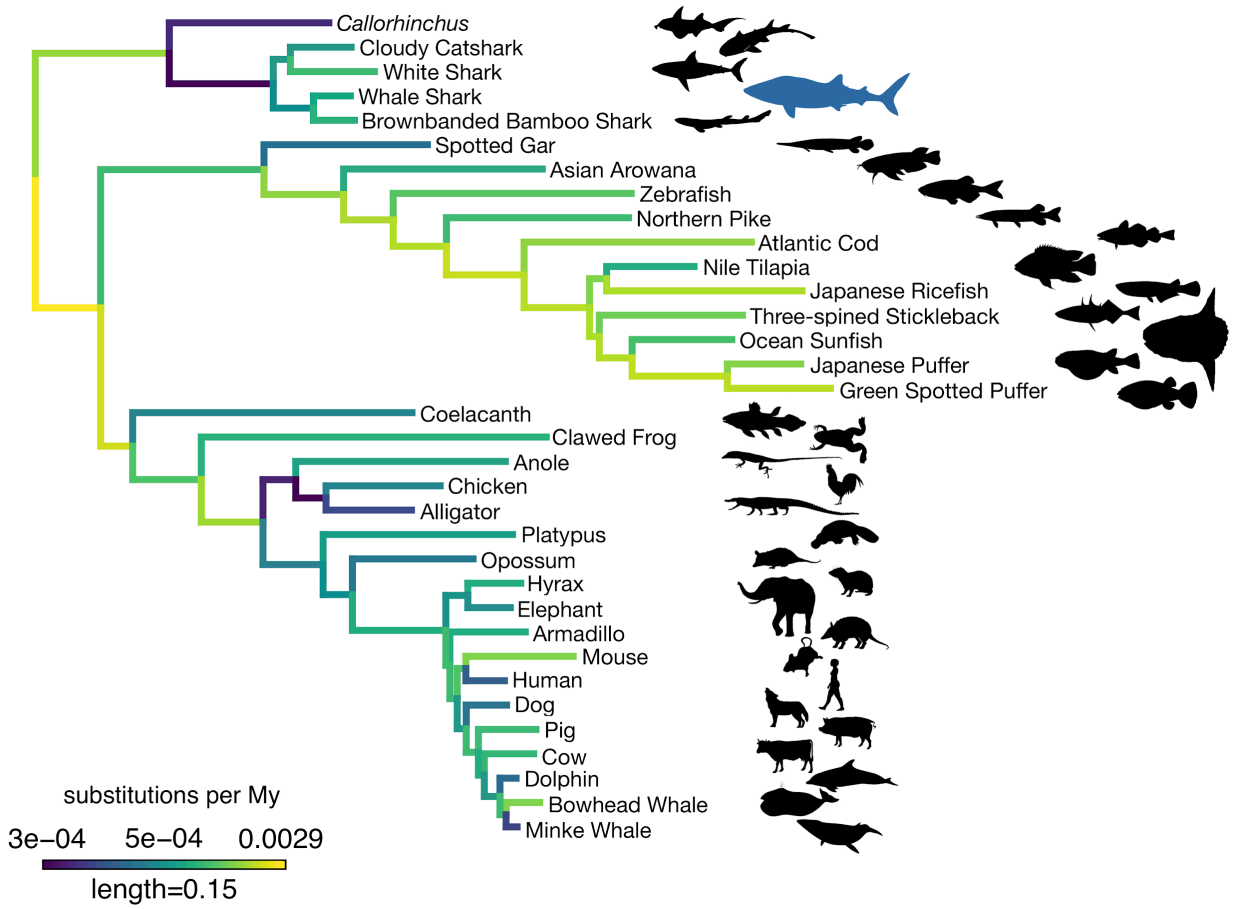


Figure 3. Amino acid substitution rate variation among jawed vertebrates. Branches are colored based on rates quantified by substitutions per site per million years of the maximum likelihood tree compared to a time-calibrated tree. Together, sharks have a slower rate of molecular evolution than *Callorhinchus* (see text on two-cluster test). However, sharks do not have a significantly slower rate of molecular evolution than spotted gar. Furthermore, vertebrate giants – including the whale shark, ocean sunfish, elephant, and whales – have significantly lower rates of molecular evolution than other vertebrates. Note, color scale is on normalized reciprocal-transformed data, which emphasizes changes between smaller values of substitution per My.

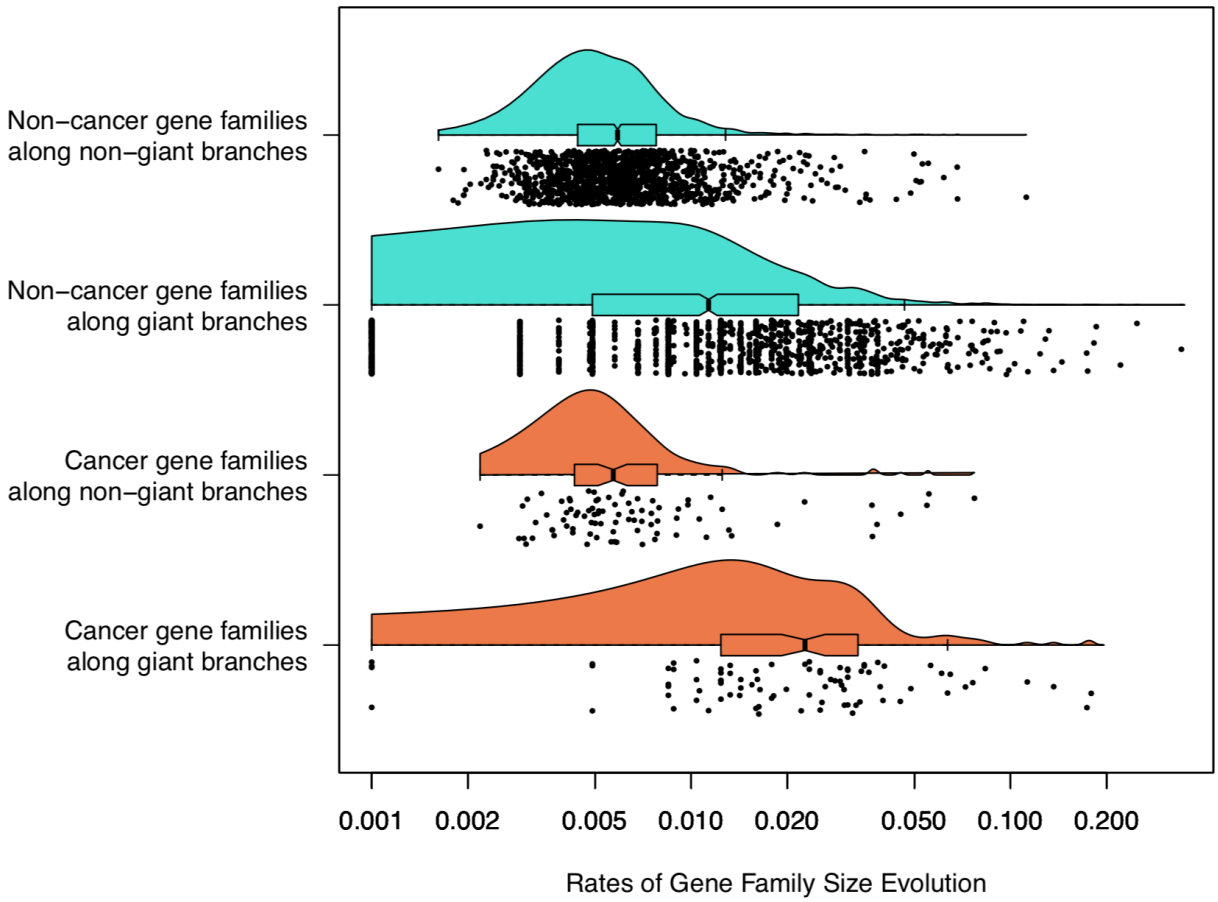
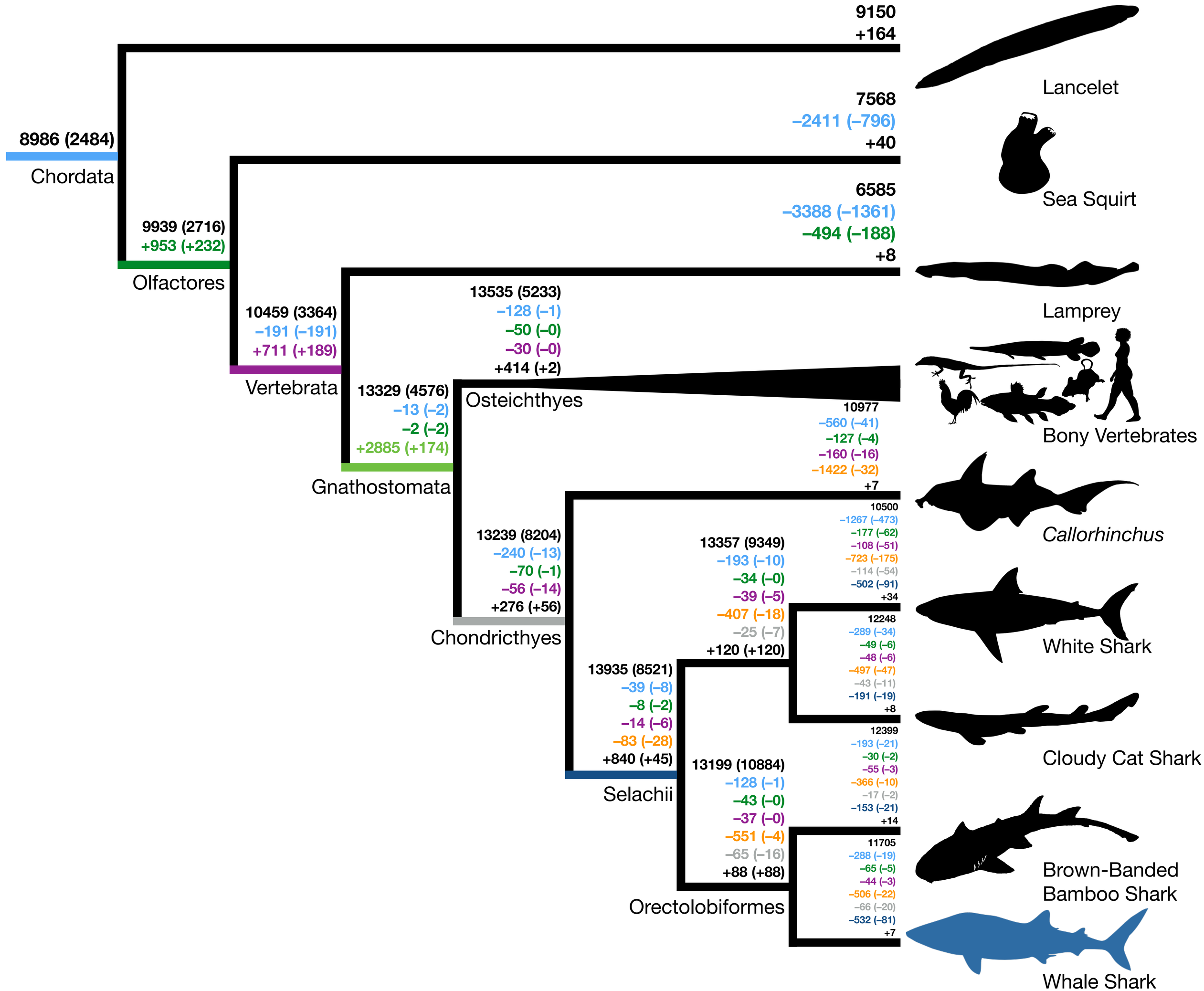
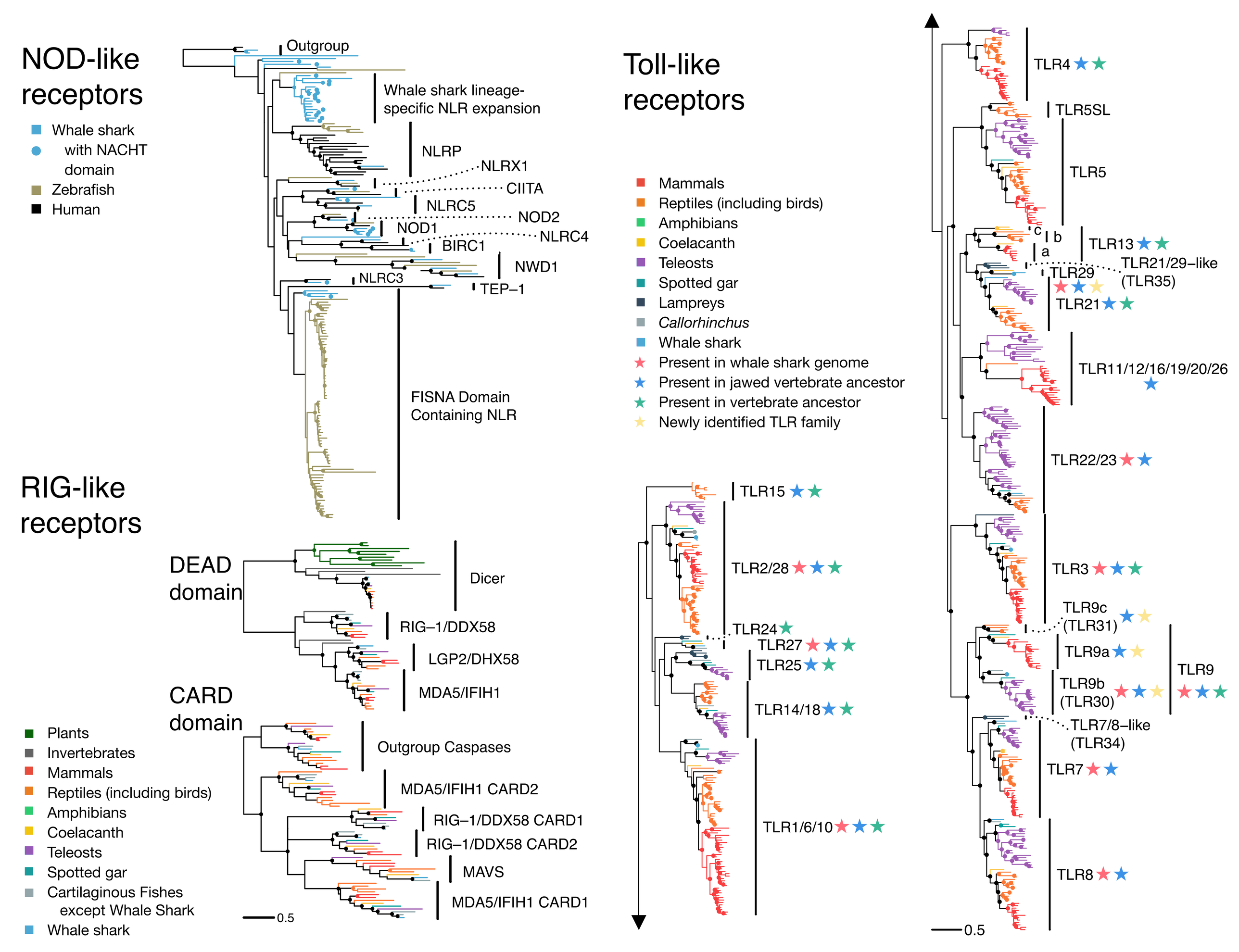
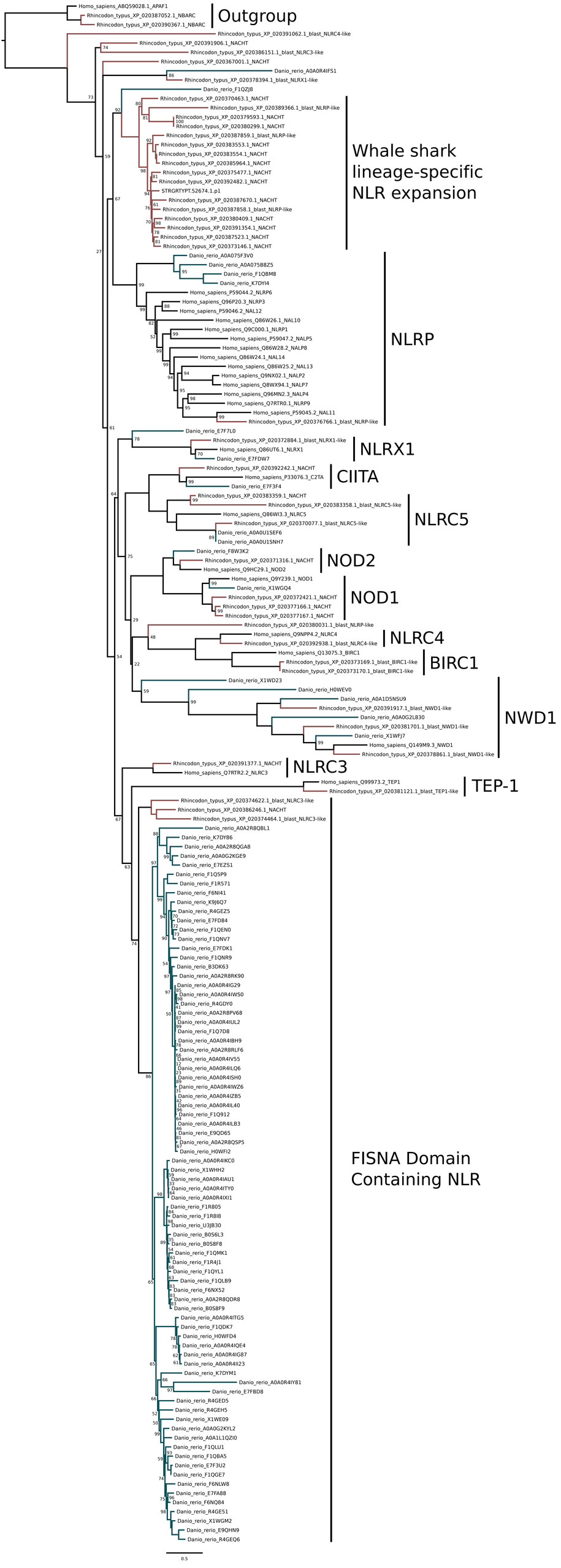


Figure 4. Among 1,387 gene families with a significant rate shift, branch-specific rates of gene family size evolution for branches leading to giant taxa were significantly higher than in branches leading to other taxa, and additionally the rate of gene family size evolution was even greater in cancer-related gene families related to other gene families specifically in branches leading to giant taxa.


8986 (2484)
Chordata
9150
+164
Lancelet
7568
–2411 (–796)
+40
Sea Squirt
9939 (2716)
+953 (+232)
Olfactores
6585
–3388 (–1361)
–494 (–188)
+8
Lamprey
10459 (3364)
–191 (–191)
+711 (+189)
Vertebrata
13535 (5233)
–128 (–1)
–50 (–0)
–30 (–0)
+414 (+2)
Osteichthyes
13329 (4576)
–13 (–2)
–2 (–2)
+2885 (+174)
Gnathostomata
10977
–560 (–41)
–127 (–4)
–160 (–16)
–1422 (–32)
+7
Bony Vertebrates
13239 (8204)
–240 (–13)
–70 (–1)
–56 (–14)
+276 (+56)
Chondricthyes
10500
–1267 (–473)
–177 (–62)
–108 (–51)
–723 (–175)
–114 (–54)
–502 (–91)
+34
Callorhinchus
13357 (9349)
–193 (–10)
–34 (–0)
–39 (–5)
–407 (–18)
–25 (–7)
+120 (+120)
12248
–289 (–34)
–49 (–6)
–48 (–6)
–497 (–47)
–43 (–11)
–191 (–19)
+8
White Shark
13935 (8521)
–39 (–8)
–8 (–2)
–14 (–6)
–83 (–28)
+840 (+45)
Selachii
12399
–193 (–21)
–30 (–2)
–55 (–3)
–366 (–10)
–17 (–2)
–153 (–21)
+14
Cloudy Cat Shark
13199 (10884)
–128 (–1)
–43 (–0)
–37 (–0)
–551 (–4)
–65 (–16)
+88 (+88)
Orectolobiformes
11705
–288 (–19)
–65 (–5)
–44 (–3)
–506 (–22)
–66 (–20)
–532 (–81)
+7
Brown-Banded
Bamboo Shark
Whale Shark


NOD-like receptors
Whale shark
with NACHT domain
Zebrafish
Human
Outgroup
Whale shark lineage-specific NLR expansion
NLRP
NLRX1
CIITA
NLRC5
NOD2
NOD1
NLRC4
BIRC1
NWD1
NLRC3
TEP–1
FISNA Domain Containing NLR
RIG-like receptors
DEAD domain
Dicer
RIG–1/DDX58
LGP2/DHX58
MDA5/IFIH1
CARD domain
Outgroup Caspases
MDA5/IFIH1 CARD2
RIG–1/DDX58 CARD1
RIG–1/DDX58 CARD2
MAVS
MDA5/IFIH1 CARD1
0.5
Plants
Invertebrates
Mammals
Reptiles (including birds)
Amphibians
Coelacanth
Teleosts
Spotted gar
Cartilaginous Fishes except Whale Shark
Whale shark
Toll-like receptors
Mammals
Reptiles (including birds)
Amphibians
Coelacanth
Teleosts
Spotted gar
Lampreys
Callorhinchus
Whale shark
Present in whale shark genome
Present in jawed vertebrate ancestor
Present in vertebrate ancestor
Newly identified TLR family
TLR4
TLR5SL
TLR5
c
b
a
TLR13
TLR21/29–like (TLR35)
TLR29
TLR21
TLR11/12/16/19/20/26
TLR22/23
TLR15
TLR2/28
TLR24
TLR27
TLR25
TLR14/18
TLR1/6/10
TLR3
TLR9c (TLR31)
TLR9a
TLR9b (TLR30)
TLR9
TLR7/8–like (TLR34)
TLR7
TLR8
0.5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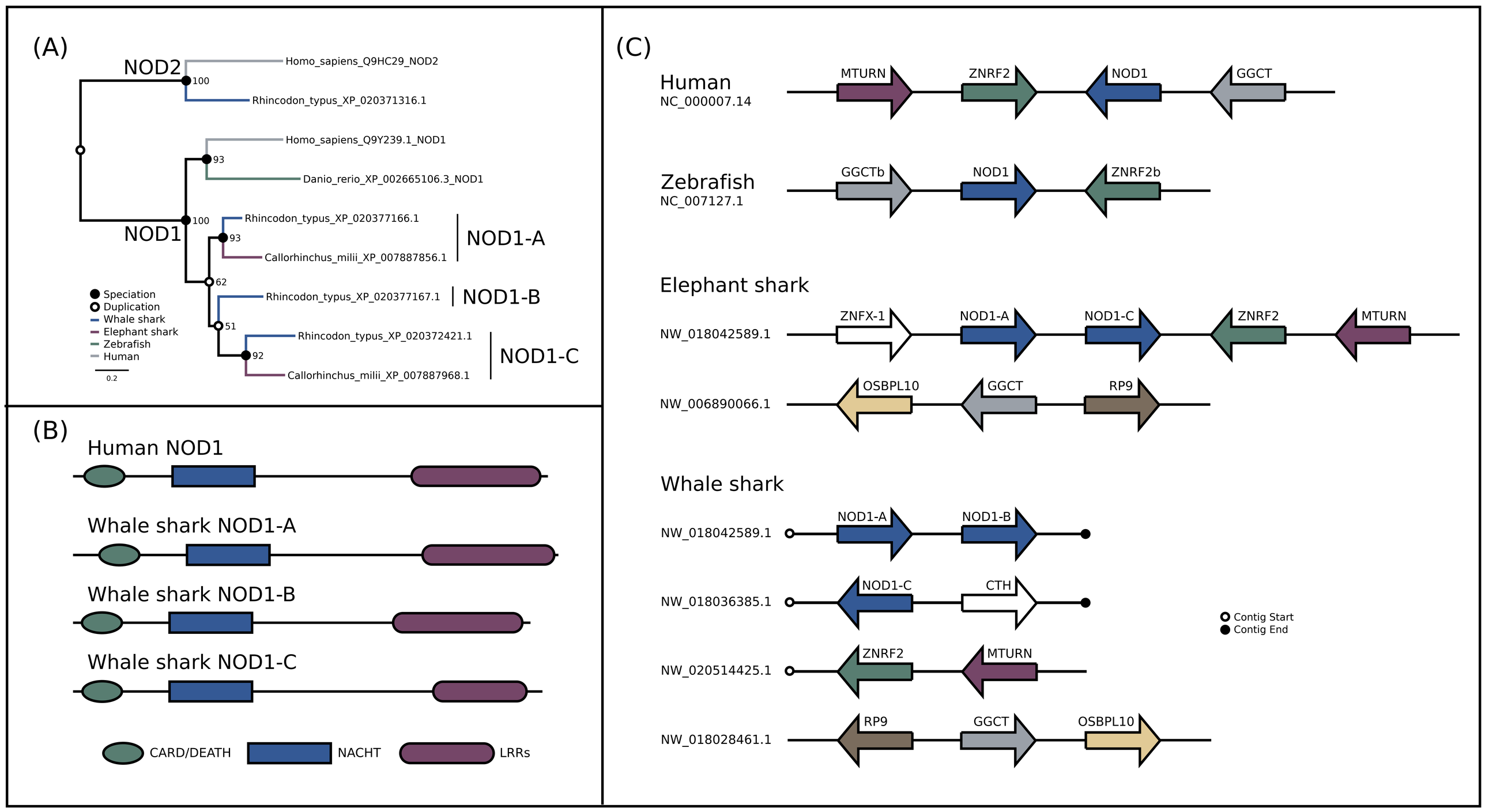
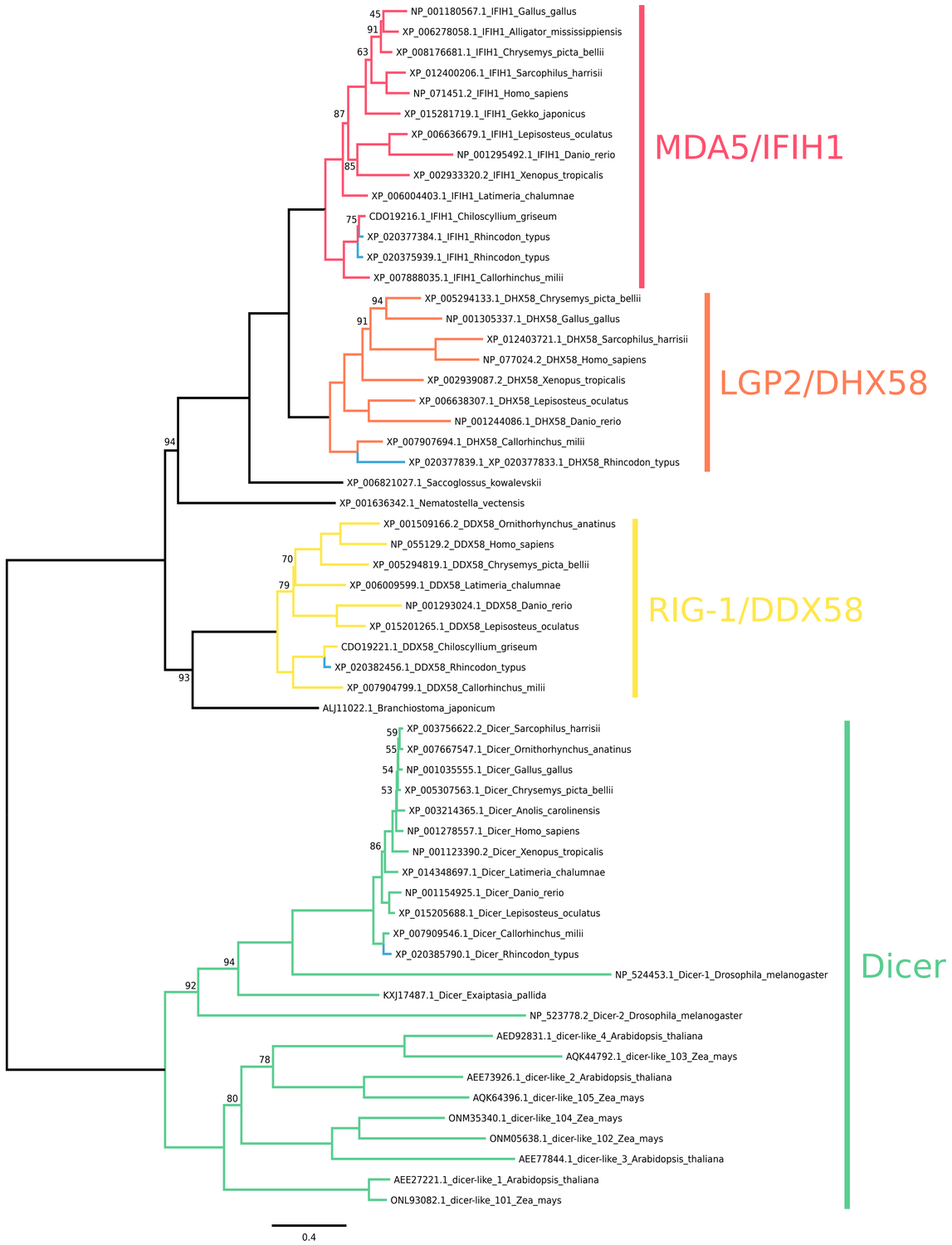
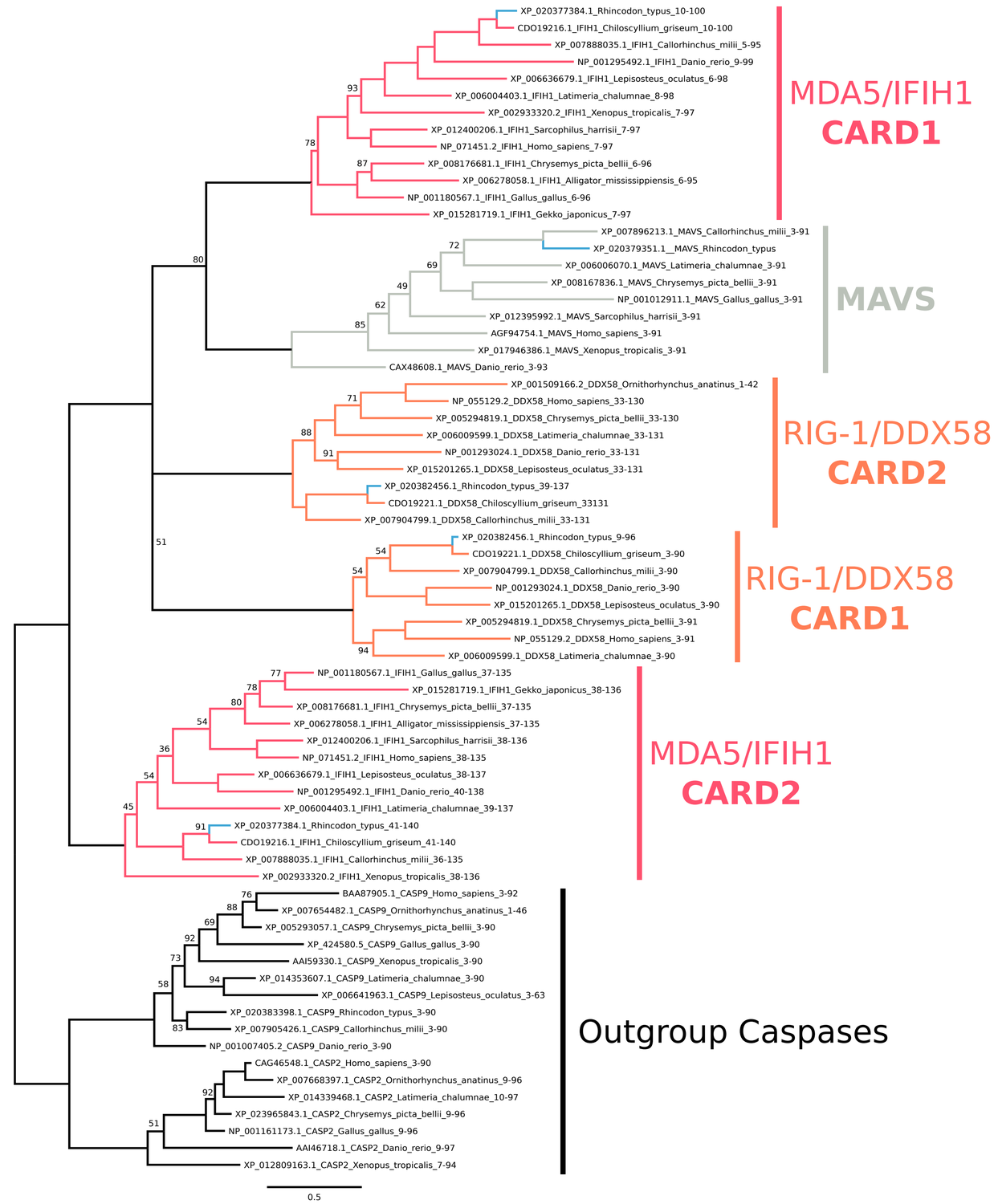
Rhincodon_typus_XP_020390367.1_NBARC
Outgroup
Rhincodon_typus_XP_020391062.1_blast_NLRC4-like
Rhincodon_typus_XP_020391906.1_NACHT
Rhincodon_typus_XP_020386151.1_blast_NLRC3-like
Rhincodon_typus_XP_020367001.1_NACHT
Danio_rerio_A0A0R4IFS1
Rhincodon_typus_XP_020378394.1_blast_NLRX1-like
Danio_rerio_F1QZJ8
Rhincodon_typus_XP_020370463.1_NACHT
Rhincodon_typus_XP_020389366.1_blast_NLRP-like
Rhincodon_typus_XP_020379593.1_NACHT
Rhincodon_typus_XP_020380299.1_NACHT
Rhincodon_typus_XP_020387859.1_blast_NLRP-like
Rhincodon_typus_XP_020383553.1_NACHT
Rhincodon_typus_XP_020383554.1_NACHT
Rhincodon_typus_XP_020385964.1_NACHT
Rhincodon_typus_XP_020375477.1_NACHT
Rhincodon_typus_XP_020392482.1_NACHT
STRGRTYPT.52674.1.p1
Rhincodon_typus_XP_020387670.1_NACHT
Rhincodon_typus_XP_020387858.1_blast_NLRP-like
Rhincodon_typus_XP_020380409.1_NACHT
Rhincodon_typus_XP_020391354.1_NACHT
Rhincodon_typus_XP_020387523.1_NACHT
Rhincodon_typus_XP_020373146.1_NACHT
Whale shark lineage-specific NLR expansion
Danio_rerio_A0A075F3V0
Danio_rerio_A0A075B8Z5
Danio_rerio_F1QBM8
Danio_rerio_K7DYI4
Homo_sapiens_P59044.2_NLRP6
Homo_sapiens_Q96P20.3_NLRP3
Homo_sapiens_P59046.2_NAL12
Homo_sapiens_Q86W26.1_NAL10
Homo_sapiens_Q9C000.1_NLRP1
Homo_sapiens_P59047.2_NALP5
Homo_sapiens_Q86W28.2_NALP8
Homo_sapiens_Q86W24.1_NAL14
Homo_sapiens_Q86W25.2_NAL13
Homo_sapiens_Q9NX02.1_NALP2
Homo_sapiens_Q8WX94.1_NALP7
Homo_sapiens_Q96MN2.3_NALP4
Homo_sapiens_Q7RTR0.1_NLRP9
Homo_sapiens_P59045.2_NAL11
Rhincodon_typus_XP_020376766.1_blast_NLRP-like
NLRP
Danio_rerio_E7F7L0
Rhincodon_typus_XP_020372884.1_blast_NLRX1-like
Homo_sapiens_Q86UT6.1_NLRX1
Danio_rerio_E7FDW7
NLRX1
Rhincodon_typus_XP_020392242.1_NACHT
Homo_sapiens_P33076.3_C2TA
Danio_rerio_E7F3F4
CIITA
Rhincodon_typus_XP_020383359.1_NACHT
Rhincodon_typus_XP_020383358.1_blast_NLRC5-like
Homo_sapiens_Q86WI3.3_NLRC5
Rhincodon_typus_XP_020370077.1_blast_NLRC5-like
Danio_rerio_A0A0U1SEF6
Danio_rerio_A0A0U1SNH7
NLRC5
Danio_rerio_F8W3K2
Rhincodon_typus_XP_020371316.1_NACHT
Homo_sapiens_Q9HC29.1_NOD2
NOD2
Homo_sapiens_Q9Y239.1_NOD1
Danio_rerio_X1WGQ4
Rhincodon_typus_XP_020372421.1_NACHT
Rhincodon_typus_XP_020377166.1_NACHT
Rhincodon_typus_XP_020377167.1_NACHT
NOD1
Rhincodon_typus_XP_020380031.1_blast_NLRP-like
Homo_sapiens_Q9NPP4.2_NLRC4
Rhincodon_typus_XP_020392938.1_blast_NLRC4-like
NLRC4
Homo_sapiens_O13075.3_BIRC1
Rhincodon_typus_XP_020373169.1_blast_BIRC1-like
Rhincodon_typus_XP_020373170.1_blast_BIRC1-like
BIRC1
Danio_rerio_X1WD23
Danio_rerio_H0WEV0
Danio_rerio_A0A1D5NSU9
Rhincodon_typus_XP_020391917.1_blast_NWD1-like
Danio_rerio_A0A0G2L830
Rhincodon_typus_XP_020381701.1_blast_NWD1-like
Danio_rerio_X1WFJ7
Homo_sapiens_Q149M9.3_NWD1
Rhincodon_typus_XP_020378861.1_blast_NWD1-like
NWD1
Rhincodon_typus_XP_020391377.1_NACHT
Homo_sapiens_Q7RTR2.2_NLRC3
NLRC3
Homo_sapiens_Q99973.2_TEP1
Rhincodon_typus_XP_020381121.1_blast_TEP1-like
TEP-1
Rhincodon_typus_XP_020374622.1_blast_NLRC3-like
Rhincodon_typus_XP_020386246.1_NACHT
Rhincodon_typus_XP_020374464.1_blast_NLRC3-like
Danio_rerio_A0A2R8QBL1
Danio_rerio_K7DY86
Danio_rerio_A0A2R8QGA8
Danio_rerio_A0A0G2KGE9
Danio_rerio_E7EZS1
Danio_rerio_F1Q5P9
Danio_rerio_F1R571
Danio_rerio_F6NI41
Danio_rerio_K9J6Q7
Danio_rerio_R4GEZ5
Danio_rerio_E7FD84
Danio_rerio_F1QEN0
Danio_rerio_F1QNV7
Danio_rerio_E7FDK1
Danio_rerio_F1QNR9
Danio_rerio_B3DK63
Danio_rerio_A0A2R8RK90
Danio_rerio_A0A0R4IG29
Danio_rerio_A0A0R4IWS0
Danio_rerio_R4GDY0
Danio_rerio_A0A2R8PV68
Danio_rerio_A0A0R4IUL2
Danio_rerio_F1Q7D8
Danio_rerio_A0A0R4IBH9
Danio_rerio_A0A2R8RLF6
Danio_rerio_A0A0R4IV55
Danio_rerio_A0A0R4ILQ6
Danio_rerio_A0A0R4ISH0
Danio_rerio_A0A0R4IWZ6
Danio_rerio_A0A0R4IZB5
Danio_rerio_A0A0R4IL40
Danio_rerio_F1Q912
Danio_rerio_A0A0R4ILB3
Danio_rerio_E9QD65
Danio_rerio_A0A2R8QSP5
Danio_rerio_H0WFI2
Danio_rerio_A0A0R4IKC0
Danio_rerio_X1WHH2
Danio_rerio_A0A0R4IAU1
Danio_rerio_A0A0R4ITY0
Danio_rerio_A0A0R4IXI1
Danio_rerio_F1R805
Danio_rerio_F1R8I8
Danio_rerio_U3JB30
Danio_rerio_B0S6L3
Danio_rerio_B0S8F8
Danio_rerio_F1QMK1
Danio_rerio_F1R4J1
Danio_rerio_F1QYL1
Danio_rerio_F1QLB9
Danio_rerio_F6NX52
Danio_rerio_A0A2R8QDR8
Danio_rerio_B0S8F9
Danio_rerio_A0A0R4ITG5
Danio_rerio_F1QDK7
Danio_rerio_H0WFD4
Danio_rerio_A0A0R4IQE4
Danio_rerio_A0A0R4IG87
Danio_rerio_A0A0R4II23
Danio_rerio_K7DYM1
Danio_rerio_A0A0R4IYB1
Danio_rerio_E7FBD8
Danio_rerio_R4GED5
Danio_rerio_R4GEH5
Danio_rerio_X1WE09
Danio_rerio_A0A0G2KYL2
Danio_rerio_A0A1L1QZI0
Danio_rerio_F1QLU1
Danio_rerio_F1QBA5
Danio_rerio_E7F3U2
Danio_rerio_F1QGE7
Danio_rerio_F6NLW8
Danio_rerio_E7FA88
Danio_rerio_F6NQ84
Danio_rerio_R4GE51
Danio_rerio_X1WGM2
Danio_rerio_E9QHN9
Danio_rerio_R4GEQ6
FISNA Domain Containing NLR
0.5

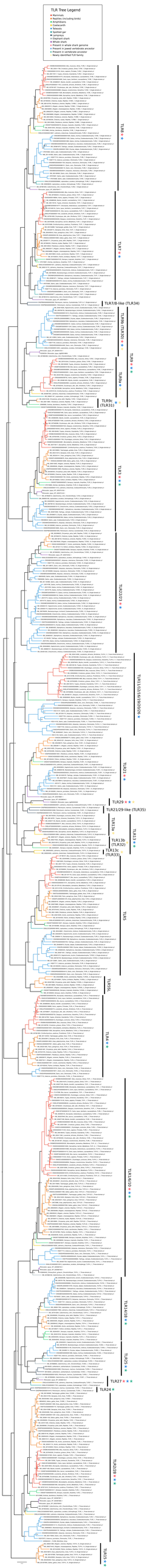

(A)
NOD2
100
Homo_sapiens_Q9HC29_NOD2
Rhincodon_typus_XP_020371316.1
Homo_sapiens_Q9Y239.1_NOD1
93
Danio_rerio_XP_002665106.3_NOD1
NOD1
100
Rhincodon_typus_XP_020377166.1
93
Callorhinchus_milii_XP_007887856.1
NOD1-A
62
Rhincodon_typus_XP_020377167.1
NOD1-B
51
Rhincodon_typus_XP_020372421.1
92
Callorhinchus_milii_XP_007887968.1
NOD1-C
Speciation
Duplication
Whale shark
Elephant shark
Zebrafish
Human
0.2
(B)
Human NOD1
Whale shark NOD1-A
Whale shark NOD1-B
Whale shark NOD1-C
CARD/DEATH
NACHT
LRRs
(C)
Human
NC_000007.14
MTURN
ZNRF2
NOD1
GGCT
Zebrafish
NC_007127.1
GGCTb
NOD1
ZNRF2b
Elephant shark
NW_018042589.1
ZNFX-1
NOD1-A
NOD1-C
ZNRF2
MTURN
NW_006890066.1
OSBPL10
GGCT
RP9
Whale shark
NW_018042589.1
NOD1-A
NOD1-B
NW_018036385.1
NOD1-C
CTH
NW_020514425.1
ZNRF2
MTURN
NW_018028461.1
RP9
GGCT
OSBPL10
Contig Start
Contig End

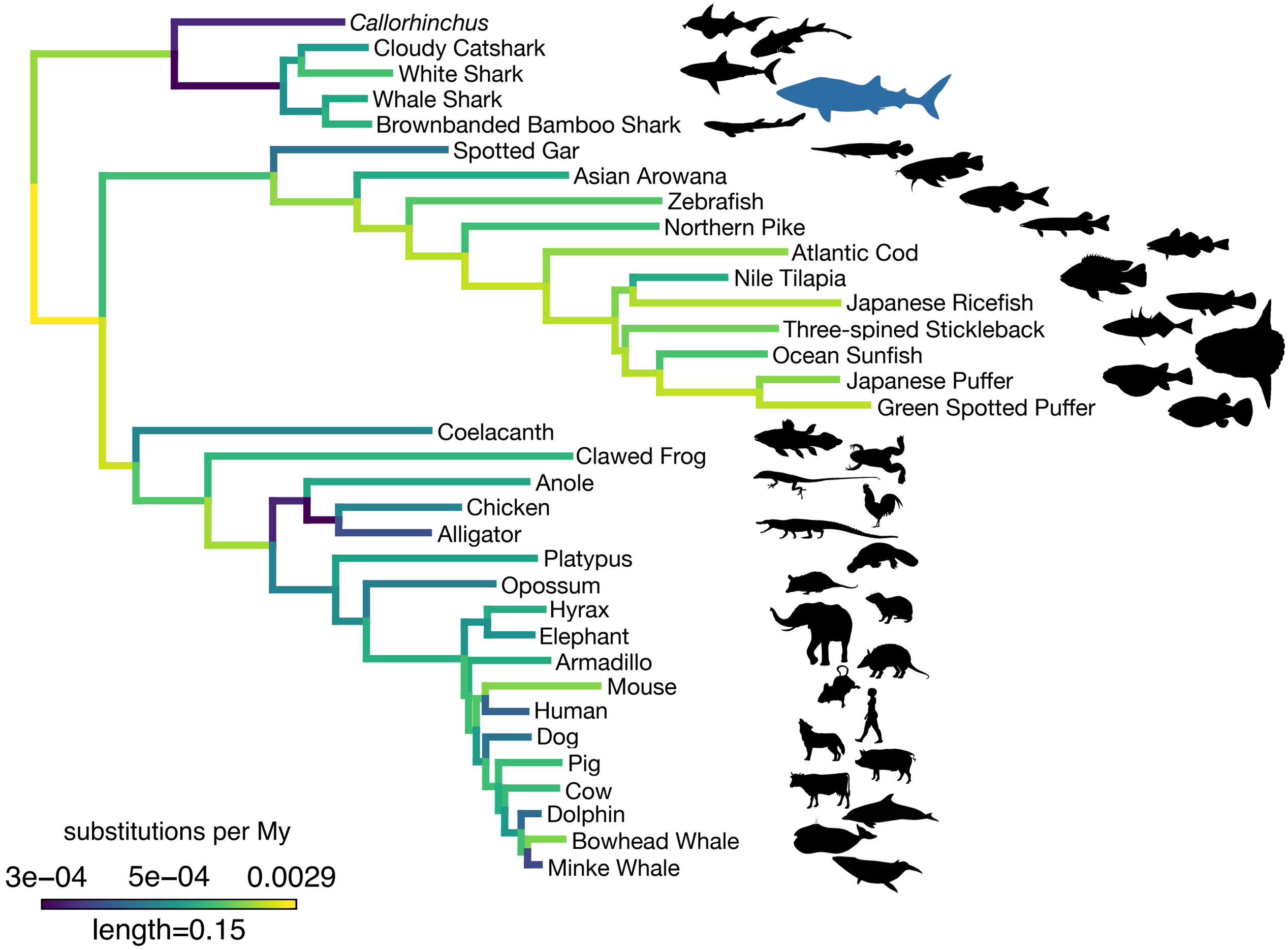
A. RLR DEAD Helicase domain tree

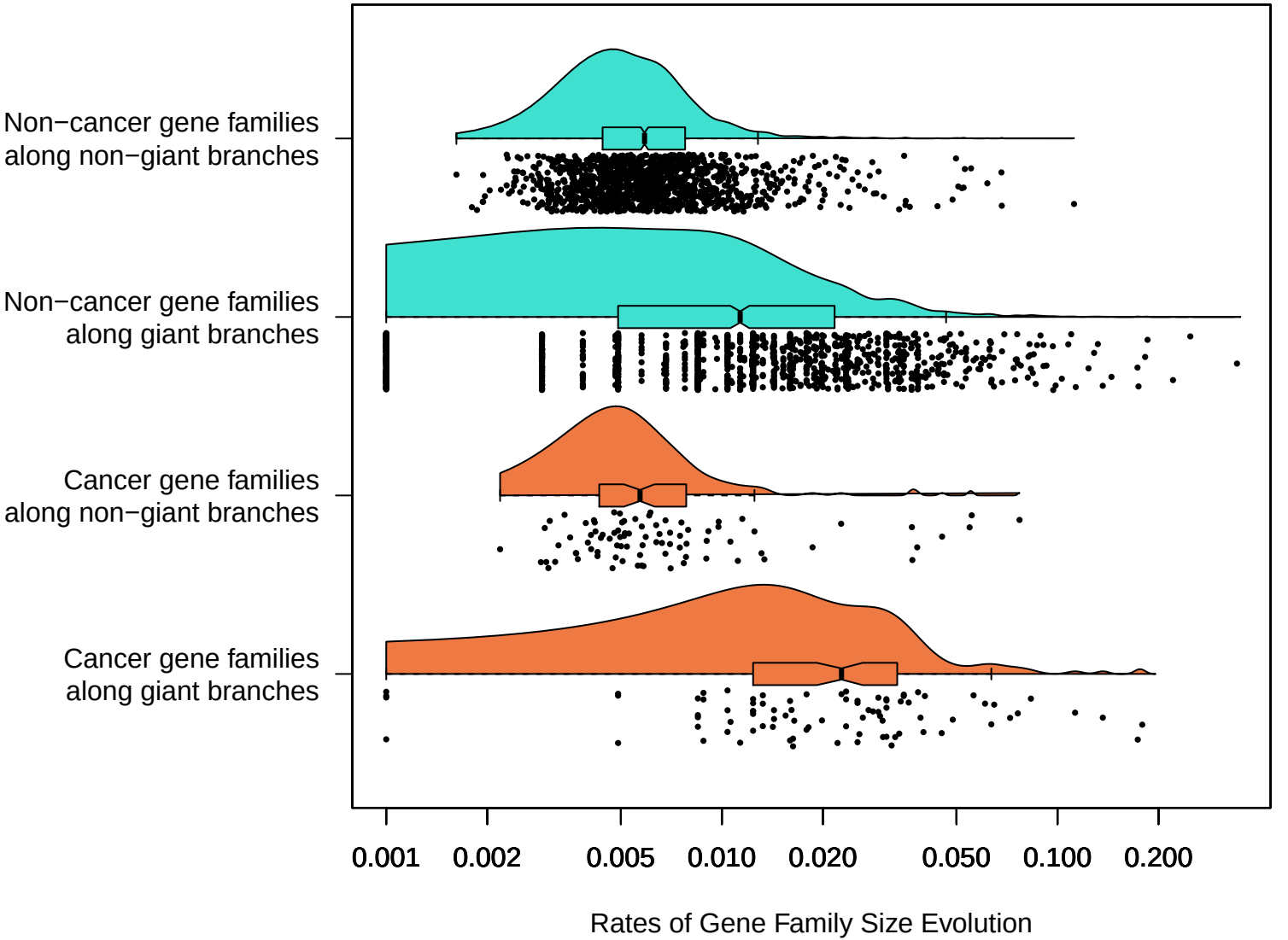


B. RLR CARD domain tree




TLR Tree Legend
Mammals
Reptiles (including birds)
Amphibians
Coelacanth
Tetrapods
Sprinkler gar
Lampreys
Hagfish/ Sharks
Whale shark
Present in whale shark genome
Present in jawed vertebrate ancestor
Present in vertebrate ancestor
Newly identified TLR family
TLR8 * *
TLR7 * *
TLR7/8-like (TLR34)
TLR8/9 (TLR30) * *
TLR9 * *
TLR9a *
TLR9c (TLR31)
TLR3 * * *
TLR22/23 * *
TLR21/22/24/26/20/26 *
TLR21 * *
TLR29 * *
TLR21/29-like (TLR35)
TLR13a
TLR13b (TLR32)
TLR13c (TLR33)
TLR13 * *
TLR5
TLR5SL
TLR4 * *
TLR14/10 * * *
TLR14/18 * *
TLR15 * *
TLR27 * * *
TLR24 *
TLR22/28 * *
TLR11 * *


Callorhinchus
Cloudy Catshark
White Shark
Whale Shark
Brownbanded Bamboo Shark
Spotted Gar
Asian Arowana
Zebrafish
Northern Pike
Atlantic Cod
Nile Tilapia
Japanese Ricefish
Three-spined Stickleback
Ocean Sunfish
Japanese Puffer
Green Spotted Puffer
Coelacanth
Clawed Frog
Anole
Chicken
Alligator
Platypus
Opossum
Hyrax
Elephant
Armadillo
Mouse
Human
Dog
Pig
Cow
Dolphin
Bowhead Whale
Minke Whale
substitutions per My
3e−04
5e−04
0.0029
length=0.15


Non−cancer gene families
along non−giant branches
Non−cancer gene families
along giant branches
Cancer gene families
along non−giant branches
Cancer gene families
along giant branches
0.001
0.002
0.005
0.010
0.020
0.050
0.100
0.200
Rates of Gene Family Size Evolution