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1 Muscarinic modulation of spike-timing dependent plasticity at recurrent
2 layer 2/3 synapses in mouse auditory cortex

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14 depression, NMDA receptors

15

16 **Abstract**

17 Cholinergic systems contribute to the refinement of auditory cortical receptive fields by
18 activating muscarinic acetylcholine receptors (mAChRs). However, the specific cellular and
19 synaptic mechanisms underlying acetylcholine's effects on cortical circuits are not fully
20 understood. In this study, we investigate the effects of muscarinic receptor modulation on
21 spike-timing dependent plasticity (STDP) at synapses onto layer 2/3 pyramidal neurons in
22 mouse auditory cortex (AC). Synapses onto layer 2/3 pyramidal neurons exhibit a STDP
23 rule for pairing of postsynaptic spike bursts with single presynaptic stimuli. Pre-before-post
24 pairing at +10 ms results in a timing-dependent long-term potentiation (tLTP), whereas pre-
25 before-post pairing at +50 ms intervals, and post-before-pre pairing at -10 to -20 ms
26 produce a timing-dependent long-term depression. We also characterize how mAChR
27 activation affects plasticity at these synapses, focusing on the induction of tLTP. During pre-
28 before-post pairing at +10 ms, mAChR activation by either carbachol or oxotremorine-M
29 suppresses tLTP. mAChR activation also reduces the NMDA-receptor dependent
30 synaptically evoked increase in calcium in dendrites, apparently without affecting
31 presynaptic transmitter release. Pharmacological experiments suggest that M1 and M3
32 receptors are not involved in the mAChR-mediated suppression of tLTP. Taken together,
33 these results suggest activating mAChRs in layer 2/3 intracortical circuits can modify the
34 circuit dynamics of AC by depressing tLTP mediated by NMDA receptors, and depressing
35 calcium influx at excitatory synapses onto layer 2/3 pyramidal cells.

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38 **Introduction**

39 Experience-dependent plasticity contributes to organizing the representation and
40 processing mechanisms of sensory information in auditory, visual and somatosensory
41 cortices (Allen et al. 2003; Chang and Merzenich 2003; Hubel and Wiesel 1965; Kotak et
42 al. 2007; Rao et al. 2010; Takesian et al. 2012; de Villers-Sidani et al. 2007; Xu et al. 2007).
43 Information about the context and behavioral significance of sensory information is provided
44 in part by the activity of neuromodulatory systems. In the auditory system, these systems
45 are critical for shaping experience-dependent plasticity of sensory representations (Kilgard
46 1998; Metherate et al. 2005; Metherate and Weinberger 1989; Weinberger 2015; Zhang et
47 al. 2005). At a cellular level representational plasticity is hypothesized to depend on
48 correlations between pre and postsynaptic activity, and to require long-term potentiation
49 (LTP) and depression (LTD) of synapses (Buonomano and Merzenich 1998). Although
50 there are many demonstrations that neuromodulation can engage or prevent
51 representational plasticity in neocortex, the mechanisms by which changes to synaptic
52 strength are regulated by specific modulators are not well understood.

53 In the primary auditory cortex (A1), intracortical and thalamic inputs combine to
54 determine the sensory responses of individual neurons (Intskirveli et al. 2016; Kaur et al.
55 2005; Liu et al. 2007; Winkowski and Kanold 2013). Part of the intracortical circuit in layer
56 2/3 is formed by the horizontal axons of layer 2/3 pyramidal cells, which can link columns of
57 neurons with different frequency tuning (Clarke et al. 1993; Matsubara and Phillips 1988;
58 Ojima et al. 1991; Read et al. 2002; Song et al. 2006; Watkins et al. 2014). Physiological
59 studies have shown that layer 2/3 pyramidal neurons have broad sub-threshold receptive

60 fields (Kaur et al. 2004; Liu et al. 2007; Ojima 2002), and receive inputs tuned to a wide
61 range of frequencies, even on adjacent spines (Chen et al. 2011). The convergence of
62 inputs across the tonotopic map could play an important role in integrating responses to
63 spectrotemporally complex stimuli commonly encountered in the acoustic environment,
64 such as frequency modulated sounds or sounds with harmonic structures (Harper et al.
65 2016; Kadia and Wang 2003; Kratz and Manis 2015), and can create a substrate for a
66 flexible activity-dependent plasticity of suprathreshold sensory responses and neurons
67 sensitive to multiple acoustic features (Atencio and Sharpee 2017; Harper et al. 2016).

68 Plasticity of sensory representations has been associated with spike timing-dependent
69 plasticity (STDP) in vivo in auditory, visual and somatosensory cortices (D'amour and
70 Froemke 2015; Dahmen et al. 2008; Jacob et al. 2007; Larsen et al. 2010; Martins and
71 Froemke 2015; Yao and Dan 2001). STDP involves changes in strength of synapses that is
72 dependent upon the precise timing of pre- and postsynaptic activity (Bi and Poo 1999;
73 Markram 1997). Most commonly, presynaptic activity that precedes postsynaptic firing by
74 tens of milliseconds can increase synaptic strength (timing-dependent LTP; tLTP), whereas
75 reversing this temporal order can weaken synaptic strength (timing-dependent LTD; tLTD).
76 tLTP largely relies on the interplay between NMDA receptor activation and the timing of
77 back-propagating action potentials in the dendrites of the postsynaptic neuron (Linden
78 1999; Magee and Johnston 1997; Sourdet 1999) , whereas tLTD can result either from
79 postsynaptic NMDA receptor activation (Karmarkar et al. 2002) or from a cascade involving
80 postsynaptic metabotropic glutamate receptors and presynaptic cannabinoid receptors
81 (Bender 2006; Nevian and Sakmann 2006). The temporal shape and direction of the STDP
82 window varies with brain region, cell and synapse type (Larsen et al. 2010).

83 Activation of cholinergic receptors has been widely implicated in the modulation of
84 tonotopic map plasticity in auditory cortex. Pairing acoustic stimuli with electrical stimulation
85 of nucleus basalis, which provides cholinergic innervation of the cortex, alters the
86 subsequent representation of the stimulus (Froemke et al. 2007; Kilgard 1998; Weinberger
87 2003). Muscarinic cholinergic receptors (mAChRs) have been shown to modulate sensory
88 responses as well as transmission at both excitatory and inhibitory synapses in auditory
89 cortex (Atzori et al. 2005; Bajo et al. 2014; Flores-Hernandez et al. 2009; Kuchibhotla et al.
90 2017; Metherate and Ashe 1991, 1995; Metherate and Weinberger 1990). mAChRs also
91 play a crucial role in the development and function of the normal auditory cortex. Absence
92 of mAChRs leads to a distorted tonotopic map and a decrease in tonotopic map plasticity
93 (Zhang et al. 2006). Attenuation of cholinergic inputs to cortex disrupts auditory spatial
94 perception and plasticity (Leach et al. 2013) and performance of a learned task when
95 performing in an active, but not passive, context (Kuchibhotla et al. 2017). Even though the
96 cholinergic system plays an important role in auditory cortex, it remains unclear how
97 acetylcholine influences tLTP and tLTD at cortical synapses, and which classes of receptors
98 mediate specific effects.

99 In this study, we investigated the timing rules of STDP and their modulation by one set
100 of receptors activated by cholinergic system, the muscarinic receptors, at recurrent
101 synapses in layer 2/3 of the mouse auditory cortex. We find that the STDP in auditory cortex
102 follows unique timing rules, in which tLTP occurs at +10 ms (presynaptic EPSP leading
103 postsynaptic spikes), while tLTD occurs at both -10 and +50 ms. Activation of mAChRs
104 modulates the timing rules. The muscarinic agonists carbachol and oxotremorine-M gate
105 tLTP induction at +10 ms and also decrease NMDA receptor currents in layer 2/3 cells. The
106 tLTP depends on increases in intracellular calcium, and during pairing of presynaptic and

107 postsynaptic activity with a +10 ms delay, carbachol application results in a decrease in
108 action potential evoked postsynaptic calcium influx, as well as a decrease in the summed
109 action potential and synaptic calcium influx, an effect that is likely caused by the reduction in
110 NMDAR currents. We conclude that layer 2/3 synapses in auditory cortex exhibit STDP, and
111 that this plasticity can be modulated through a postsynaptic mechanism by activation of
112 muscarinic cholinergic receptors.

113 **Materials and Methods**

114 The experiments reported here were performed in two groups. The first set of
115 experiments was performed from 2008-2011, and a second set of experiments was
116 performed from 2015-2016. All protocols were performed according to methods approved
117 by the Institutional Animal Care and Use Committee of the University of North Carolina,
118 Chapel Hill. Thalamocortical brain slices of the auditory cortex were prepared from young
119 CBA/CaJ mice (P10–P22; most were in the range P13-17;), following procedures
120 previously described (Cruikshank et al. 2002; Kratz and Manis 2015; Rao et al. 2010). Mice
121 of either sex were used in both the first and second set of experiments. Sex was
122 undetermined except in a small subset of mice used in the second set of experiments, and
123 those sex determinations are indicated in the text.

124 To prepare brain slices, mice were first anesthetized with 100 mg/kg ketamine, 10
125 mg/kg xylazine, and decapitated when areflexic. The brain was trimmed, and slices were
126 cut in a chilled solution at an angle of +15 degrees from the horizontal plane, so as to
127 include A1 and key landmarks of the thalamocortical pathway. The rostral-caudal axis of
128 these slices is approximately parallel to the tonotopic axis of the primary auditory region.
129 Because of variability in the organization of the auditory cortical map in mouse (Issa et al.

130 2014; Tsukano et al. 2015), no attempt was made to limit recordings from a given tonotopic
131 area; thus data are pooled from recordings made in both “primary” and “shell” slices,
132 following the prior description (Cruikshank et al. 2002). Consequently, the recording sites
133 are referred to here as auditory cortex (AC), and we reserve the use of the term A1 to
134 specifically reference prior *in vivo* work where precise knowledge of the cortical fields is
135 available. The standard slicing, incubation, and recording solution was an artificial
136 cerebrospinal fluid (ACSF) that contained (in mM) 134 NaCl, 3.0 KCl, 2.5 CaCl₂, 1.3 MgCl₂,
137 1.25 KH₂PO₄, 10 glucose, 20 NaHCO₃, 0.4 ascorbic acid, 2 sodium pyruvate, and 3
138 myoinositol; this solution was saturated with 95%O₂/5%CO₂. In the early experiments, the
139 slices were incubated at 34°C during a 1-hour recovery period, whereas in the later
140 experiments, slices were incubated at 34°C for a 15-minute period. In both sets of
141 experiments, slices were subsequently maintained at room temperature until used (> 1 hr
142 after slice preparation).

143 *Recording and stimulation.* The recording and stimulating arrangements are illustrated
144 in Figure 1. During recording, single cells were visualized with infrared interference contrast
145 optics or asymmetric illumination, and recorded using patch pipettes in current-clamp, or in
146 a subset of experiments investigating NMDA receptor function, in voltage-clamp. A
147 concentric bipolar stimulating electrode (Fredrick Haer, #CBBB75, 75µM diameter) was
148 placed in layer 2/3 in AC, 500-700 µm caudal or rostral to the recording site (Figure 1A).
149 EPSPs were evoked by computer-generated monophasic 100 µsec pulses delivered
150 through an optically isolated analog stimulator (Dagan S940 and S910 isolation unit)
151 through the stimulating electrode. Cortical pyramidal cells in auditory cortex layer 2/3 were
152 identified on the basis of their position (Figure 1A) and electrophysiological (Figure 1B)

153 criteria (Rao et al. 2010). Fast-spiking cells (interneurons) were identified based on spike
154 width and firing rate, and were excluded in this study. All recordings were performed using
155 whole-cell tight seal methods at 34°C.

156 *Current Clamp Recordings and STDP Protocols.* Recording pipettes were pulled from
157 1.5 mm KG-33 glass and backfilled with an intracellular solution containing (in mM) 130 K-
158 gluconate, 4 NaCl, 0.2 EGTA, 10 HEPES, 2 Mg₂ATP, 0.3 Tris GTP, and 10
159 phosphocreatine (pH 7.2 with KOH), and 290 mOsm. In a few experiments in the second
160 group, the pipette Mg₂ATP was 4 mM; no differences in STDP or cell excitability were
161 evident however. In one set of experiments, the electrode solution was supplemented with
162 10 mM BAPTA. Cells were first briefly characterized with a current-voltage pulse protocol to
163 confirm firing patterns and cell health. Next, thresholds for action potential generation were
164 evaluated for 2-5 ms current pulses. Baseline excitatory postsynaptic potentials (EPSPs)
165 were evoked every 10 seconds by stimulating in layer 2/3 with 100 µsec shocks to activate
166 presynaptic fibers. The amplitudes of the EPSPs were targeted to be 5-8 mV in the first set
167 of experiments, and 2-3 mV in the second set of experiments. During the STDP induction
168 protocols, the postsynaptic cell was also stimulated with a 5-pulse train at 125 Hz of 1-3 nA
169 depolarizing current pulses, 2-5 ms in duration, to generate a train of 5 action potentials with
170 a fixed delay with respect to the presynaptic stimulation. The high-frequency burst was used
171 to maximize the generation of an AP-induced calcium influx over a wide area of the
172 dendritic tree. The induction protocol consisted of 100 presentations of the EPSP-AP burst
173 combination, at an interval of 1/s. During the induction protocol for pre-before-post pairing,
174 the spike-EPSP was measured from the onset of the evoked EPSP to the peak of the first
175 postsynaptic action potential. For post-before-pre pairing, the timing was measured from the

176 peak of the 5th action potential to the onset of the EPSP (Nevian and Sakmann 2006). The
177 timing is indicated in the insets of Figure 2.

178 Following the induction protocol, EPSPs were monitored every 10 seconds for the next
179 30-40 minutes. EPSPs frequently had a compound appearance with inflections on both the
180 rising and falling phases, suggesting the activation of multiple inputs or polysynaptic
181 pathways. To focus on synaptic inputs that were most likely to be monosynaptic and not
182 contaminated with polysynaptic events, the maximal slope of the first 2-3 ms of the EPSP
183 was measured. The EPSP slopes were averaged in 1-minute blocks (6 sequential trials).
184 The EPSP slope ratio (S/S_0) for each cell was then computed as the ratio of the mean
185 EPSP slope 20–40 min after the induction protocol (S) to the mean slope measured during
186 the 5-min baseline prior to induction protocol (S_0). Cells were retained for analysis if they
187 had resting membrane potentials negative to -60 mV, exhibited regular firing, showed less
188 than an 8-mV shift in resting membrane potential during the protocol, and were stable for at
189 least 30 minutes after the induction protocol. Fast-spiking cells, and one cell with a very
190 high adaptation ratio that was tested with a +10 ms interval in eserine were excluded from
191 further analysis. In addition, cells that showed a coefficient of variation of EPSP slope
192 (measured as the standard deviation/mean of the 1-minute averaged EPSPs) > 0.30 during
193 the baseline period were excluded when analyzing the STDP data.

194 To assess the effect of mAChR activation in these experiments, a cholinergic agonist
195 (20 μ M carbachol or 3 μ M oxotremorine-M) was applied. In the first set of experiments, a
196 computer-controlled valve delivered the agonist to the bath from 2 minutes before to 1
197 minute after the onset of the induction protocol, for a total duration of 5 minutes. In the
198 second set of experiments, a manually switched valve began delivery 3 minutes before, and

199 returned to ACSF immediately after the induction protocol, for a total agonist delivery
200 duration of 5 minutes. In these pharmacological experiments, the baseline was limited to the
201 first 3 minutes, to prevent agonist application from interfering with the baseline measure.
202 Because the solutions took ~1 minute to reach the chamber, and ~1 minute to fully
203 exchange with the solution in the chamber, the slice was exposed to the agonists from just
204 before the beginning until the end of the induction protocol with the agonist at full
205 concentration in both sets of experiments, and the agonist was washed out of the slice
206 afterwards. When antagonists were used, they were present in the solution for the entire
207 duration of the recording, including while agonists were applied.

208 The effects of mAChR activation on basal synaptic transmission and cell excitability
209 were measured in separate experiments, without an STDP induction protocol. In these
210 experiments, single shocks to layer 2/3 were delivered at 0.1 Hz, and solution exchange
211 was performed as for the STDP measurements. The cell's firing rate versus injected current
212 (FI) relationship was measured immediately before the baseline EPSP measurements were
213 taken, and repeated at the end of the baseline period, immediately after the agonist delivery
214 was discontinued, and at the end of the recording period. FI curves took 2 minutes to
215 acquire.

216 FI curves were measured using a series of 500-ms duration current pulses with 20-50
217 pA steps up to a maximum of 200-400 pA. The firing frequency (F) at each current level (I),
218 was parameterized by fitting the curve up to the maximum firing rate (thus excluding traces
219 with depolarization block) to the following function:

$$F(I)_{I \leq I_{\text{break}}} = F_0 + I * F_1 / I_{\text{break}} \quad \text{Eq. 1}$$

$$F(I)_{I > I_{\text{break}}} = F_2 * (1 - \exp(-(I - I_{\text{break}}) / I_{\text{rate}})) + (F_0 + F_1)$$

220 Here, $F(I)$ is the firing rate (in spikes per second) measured with a current step of
221 amplitude I (in pA), F_0 is the firing rate in the absence of current (spikes per second), I_{break}
222 (in pA) defines the breakpoint that separates the near-threshold linear region of the FI curve
223 from the exponentially rising portion and is the threshold current for firing, F_1 is the firing
224 rate at I_{break} , F_2 is the increase in firing rates when the current exceeds I_{break} , and I_{rate} is
225 the rate of change during the exponentially increasing phase of firing with current level
226 (units of pA). Fitting used the sequential least squares programming method (SQSLP) from
227 the Python library `scipy.optimize` (version 0.15.1, www.scipy.org). Eq. 1 parameterizes key
228 measures of the FI curve, including the threshold for firing, the rate at which the firing rate
229 changes with current (the slope), and the maximum firing rate, which facilitates comparison
230 between experimental treatments. For the fits here, we held F_0 and F_1 at 0. Fits were
231 compared for the FI curves taken immediately before drug application, and immediately
232 after drug application. The final FI curves after washout of the drugs were not analyzed
233 because activation of mAChRs can result in long-term activation of protein kinases and
234 phosphatases, producing effects that do not completely wash out.

235 *Voltage clamp recordings.* In a subset of experiments, cells were voltage-clamped to
236 isolate and measure NMDA receptor-dependent currents. For these experiments, the slices
237 were perfused with a modified oxygenated ACSF (as above) with the following changes.
238 Calcium and magnesium were increased to 4 mM each, AMPA receptors were blocked with
239 10 μM CNQX, and GABA receptors were blocked with 50 μM picrotoxin. These conditions

240 pharmacologically isolate the NMDAR-mediated currents while minimizing polysynaptic
241 transmission (Philpot et al. 2001a, 2001b). Recording pipettes were pulled from 1.5 mm
242 KG33 glass, and the tips were coated with Sylgaard 184 (Dow Corning) to reduce
243 capacitance. Electrodes were filled with an internal solution containing (in mM): 120 cesium
244 methanesulfonate, 8 TEA-chloride, 10 HEPES, 0.2 EGTA, 4 tris-adenosine triphosphate,
245 0.3 tris-guanosine triphosphate, 10 creatinine phosphate, and 3 QX-314 chloride with pH
246 adjusted to 7.2 and osmolarity adjusted to 300 mOsm with sucrose. The internal solution
247 also contained 50-100 μ M AlexaFluor 488 for post-hoc identification of pyramidal neurons.
248 Pipette capacitive transients were minimized prior to breaking in, and after break-in whole
249 cell capacitance and series resistance compensation was applied [Rs, mean=16.6 (SD 4.8)
250 M Ω , compensation, mean=41.4 (SD 12.7) %]. Cells were stepped to +40 mV when
251 measuring NMDA currents, and the difference between traces with and without stimulation
252 in layer 2/3 was computed to isolate the synaptic current from the residual outward
253 potassium currents. The residual potassium current was 570 (SD 124) pA (range: 375-846
254 pA), and the cell voltage after estimating the drop across the series resistance of the
255 electrode was calculated to be +30.8 (SD 5.5) mV. Current traces were low-pass filtered at
256 2 kHz. The stimulus intensity was adjusted to evoke 100-500 pA EPSCs while the cells
257 were depolarized. Stimuli were delivered either as single shocks, every 8 sec, or in 7 of the
258 13 tested cells, as pairs of shocks 50 ms apart to measure the paired-pulse ratio. Ten
259 traces were averaged for each measurement.

260 *Electrophysiology Data Acquisition and Analysis.* Data for experiments performed in
261 2008-2011 were acquired using a custom MATLAB program (R2008-R2018, The
262 Mathworks, Natick, MA). Data for the calcium imaging experiments from that series, and for

263 the experiments performed in 2015-2016, were acquired using the Python program ACQ4
264 (Campagnola et al. 2014), available at <http://www.acq4.org>.

265 Data were analyzed using MATLAB, ACQ4, Python scripts, Igor Pro (6.2 Wavemetrics,
266 Oswego, OR) and Prism 6.0 and 7.0 (Graphpad, San Diego, CA). The analysis pipeline
267 involved multiple steps. For the STDP and pharmacological measurements of the first data
268 set, MATLAB scripts were used to generate tables of the 1-minute binned EPSP slope time
269 courses that were organized and stored in Excel (Microsoft, V14.6.4) spreadsheets. Data
270 from the second set of experiments were analyzed in ACQ4, and the resulting 1-minute
271 binned EPSP slope time courses were saved as text files and added to the same Excel
272 sheets. The Excel sheets were subsequently read using a Python script to combine cells
273 and analyze groups using uniform metrics. For analysis of intrinsic excitability, the analyses
274 used Python scripts that directly read the original (raw) data from both MATLAB and ACQ4
275 files, and then performed identical processing for both early and late data sets.

276 *Calcium Imaging.* Calcium imaging was performed in cells under current clamp, using
277 conditions that closely matched those used during the spike-timing protocol; however, we
278 did not attempt to induce or measure plasticity. In the first set of experiments, pipettes (1.5
279 mM KG33) were filled with intracellular solution supplemented with the low-affinity calcium-
280 indicator Fluo-5F (Life Technologies, 100 μ M). Fluo-5F has a reported k_d for Ca^{2+} of ~ 0.70
281 μ M in 1 mM Mg^{2+} at 30°C (Woodruff et al. 2002), or 2.3 μ M at 22°C (ThermoFisher product
282 data sheet for catalog number F14221; Mg^{2+} concentration not specified). As our
283 experiments were performed with 2 mM Mg^{2+} in the pipette, the k_d is likely higher than 0.70
284 μ M. When using Fluo-5F, AlexaFluor 568 (50 μ M) was included in the pipette solution to
285 reveal neuronal morphology. In the second set of experiments, a higher affinity indicator,

286 Asante Calcium Red (ACR, TEFLabs, Austin, TX; 100 μM) was used in a non-ratiometric
287 mode. This indicator has a reported k_d for Ca^{2+} of $\sim 0.53 \mu\text{M}$ in 1 mM Mg^{2+} at 22°C (Hyrz et
288 al. 2013), and 0.4 μM in 0 Mg^{2+} (TEFLabs product information). ACR was used in
289 conjunction with Lucifer Yellow-CH (potassium salt) (<0.5%) for cell identification. Imaging
290 and recording took place on a Zeiss FS-2 microscope under a 40X 0.75NA water immersion
291 objective. Subsequent to whole-cell break-in, cells were monitored for a minimum of 15 min
292 to allow diffusion of the dyes into the dendrites before fluorescence measurements were
293 taken. Voltage and fluorescent signals were measured simultaneously. To generate EPSPs,
294 an extracellular stimulation pipette filled with ACSF was placed within 20 μm of a proximal
295 apical dendrite (50-100 μm from the soma) in layer 2/3 neurons. Episodic evoked
296 fluorescence measurements were made over 5 min in ACSF, as well as during and
297 following bath application of 20 μM carbachol. In the early experiments, fluorescent
298 illumination was provided by a 100W halogen light source, and a Sutter Lambda-2 filter
299 wheel controlled the selection of excitation filters and provided shuttering. In the later
300 experiments, illumination was provided by LEDs (470 nm for Fluo-5F and 530 nm for ACR;
301 Thorlabs) controlled by an analog pulse from the computer. Imaging of Fluo-5F and Lucifer
302 Yellow used a Chroma 480/40 nm bandpass excitation filter, a Q505LP dichroic mirror, and
303 HQ510LP long-pass emission filter. Imaging of the AlexaFluor 568 and ACR used a
304 HQ545/30 bandpass filter for excitation, a Q570LP dichroic mirror, and a HQ610/75 nm
305 bandpass filter. A Photometrics QuantEM 512-SC CCD camera was used to image the cells
306 and measure fluorescence transients. Imaging of soma and dendrites were carried out at
307 ~ 93 frames/second, using 8X8 binning. Illumination was provided only during the recording
308 periods to minimize bleaching and potential photodynamic damage. Fluorescence imaging
309 and electrophysiological recordings were synchronized in hardware. Each voltage trace

310 consisted of baseline period of at least 50 ms, followed optionally by local fiber stimulation
311 or evoked APs, and continued for 2.5-3 seconds. Four stimulus conditions (EPSP alone,
312 postsynaptic action potential stimulation alone, and combined EPSP and action potential
313 stimuli with +10 and +50 ms intervals) were interleaved every 5-7 seconds throughout the
314 protocol, including during and following drug delivery. As no clear calcium signals were
315 detected under the EPSP-alone condition, those traces were used to compute a linear
316 bleaching correction estimate that was applied to the traces for all other conditions.

317 A single structural image was obtained from the AlexaFluor 568 or Lucifer Yellow
318 fluorescence before and after each run, and used to place ROIs along the visible primary
319 apical dendrite and along the proximal regions of the first secondary branches. Changes in
320 fluorescence, $\Delta F/F = (F(t)-F_0)/F_0$, where F_0 is the baseline fluorescence prior to stimulation
321 and $F(t)$ is the time course of the fluorescence change) were then computed, and
322 summarized as the area under the curve of the evoked calcium-dependent fluorescent
323 signal. Fluorescence traces for bursts of action potentials, with or without preceding EPSPs,
324 are averages of ~10 traces measured with the same ROI. Off-line data analysis for the
325 imaging was carried out using Python scripts under ACQ4. Traces with spontaneous action
326 potentials before or after the stimulus train, EPSP-evoked action potentials, or inconsistent
327 AP production during the train, were excluded from analysis. Under our optical conditions,
328 dendritic spines were not clearly resolved in the binned images, in which each pixel was a
329 $2 \times 2 \mu\text{m}$ square. Consequently, the reported measurements are likely dominated by the
330 larger fluorescence signals from the dendritic shaft, with a smaller contribution from spine
331 calcium signals. All of the ROIs for a given cell were scanned to determine which one
332 showed the largest increase in the calcium signal when comparing the +50 ms EPSP-AP

333 condition with the APs alone, as described in the results. This ROI was then used for all
334 further analysis for a given cell.

335 *Chemicals and Pharmacological Agents.* Carbachol, eserine, oxotremorine-M,
336 pirenzepine, 4-DAMP, D-APV, CNQX, VU-0255035, and BAPTA were purchased from
337 Tocris. AlexaFluor 568, Lucifer Yellow CH, and Fluo-5F were purchased from Molecular
338 Probes and Invitrogen. Asante Calcium Red was purchased from TEFLABS. All other
339 chemicals were purchased from Sigma-Aldrich.

340 *Statistical Analysis.* Data are reported and plotted as means and sample SD. Statistical
341 comparisons were made using one or two-way ANOVA (with post-hoc tests using Holm-
342 Sidak's multiple comparison corrections when specific subsets of observations are
343 compared, or Tukey's when all observations are being compared), paired or unpaired two-
344 tailed t tests or single-sample t-tests (when comparing an effect at a within-cell basis for a
345 single experimental group) as appropriate. All t tests used Welch's correction and assumed
346 unequal variances (Ruxton 2006). Comparisons of intrinsic parameters used a multivariate
347 analysis of variance (MANOVA). Analysis of the calcium signals used a linear mixed-effects
348 model fit by maximum likelihood, followed by multiple comparisons of means using Tukey
349 contrasts. Statistics were computed using Prism (V6.0 and 7.0; two-way ANOVAs and one-
350 way t-tests), scipy.stats (V0.17.1; t-tests with Welch's correction), and R (V3.3.1; multiple
351 ANOVA, linear mixed-effects models; (R Development Core Team 2018)). Statistics are
352 reported with degrees of freedom, the value of the statistic if available, the p value, the
353 number of cells (the unit of analysis) and the number of mice from which the cells were
354 obtained. Statements of statistical significance are based on $p < 0.05$, but exact p values are
355 reported.

356

357 **Results**

358 As indicated in the methods, the results reported here were obtained from two sets of
359 experiments performed in different time frames by different investigators. Although most of
360 the experimental conditions for the two sets of experiments were identical (mouse strain,
361 anesthesia, slice preparation methods, bath solutions, electrode solutions, and
362 temperature), the stimulation levels used in the two sets of experiments resulted in different
363 amplitude EPSPs. In the first sets of experiments, baseline EPSPs (averaged across all
364 cells in a single experimental condition, for the first 5 minutes of recording) averaged 7.1
365 mV (SD 1.5 mV; N = 24 conditions, range 4.6-10.5 mV). In the second set of experiments,
366 baseline EPSPs across experimental groups were on average smaller at 3.1 mV (SD 1.1
367 mV; N = 12 conditions, range 0.9-4.8 mV). The baseline EPSP amplitudes were significantly
368 different ($t_{29.8} = 9.25$, $p=0.0001$, two-tailed t-test with Welch's correction), as were the initial
369 EPSP slopes ($t_{23.2} = 6.78$, $p=0.0001$).

370 *Spike timing-dependent plasticity at layer 2/3 synapses in AC*

371 STDP was induced by pairing EPSPs generated from stimulation in layer 2/3 with
372 postsynaptic action potentials evoked by direct current injection through the recording
373 electrode. Baseline EPSPs were monitored by stimulating at 0.1Hz. After 5 minutes of
374 baseline stimulation, the STDP induction protocol was presented, after which EPSPs were
375 monitored at 0.1 Hz for 30-40 minutes. The induction protocol consisted of an EPSP paired
376 with 5 action potentials at 125 Hz, repeated 100 times at 1 Hz. In control experiments, only
377 presynaptic EPSPs were elicited, only postsynaptic action potentials were elicited, or no
378 stimulation was used during the induction protocol. The timing between the EPSP and the
379 first (for pre-before-post pairing) or last (for post-before-pre pairing) action potential was

380 varied to investigate timing-dependent plasticity rules. In layer 2/3 neurons, pairing of
381 EPSPs with postsynaptic spikes resulted in bidirectional plasticity that depended on the
382 EPSP-spike timing. Synaptic plasticity was measured by comparing the mean slope of the
383 rising phase of the EPSP measured from 20-40 minutes after the induction protocol to the
384 baseline slope determined from the 5 minutes prior to the pairing (S/S_0). When spikes
385 preceded the EPSP by 20 ms (-20 ms), no tLTP or tLTD was observed (Figure 2A, B; mean
386 $S/S_0=0.885$ (SD 0.218), $t_5=-1.184$, $p=0.29$, $N=6$ cells from 4 mice, one-sample t-test). When
387 spikes preceded the EPSP by 10 ms (-10 ms), a significant tLTD was observed (Figure 2C,
388 D; mean $S/S_0=0.750$ (SD 0.076), $t_4=-6.611$ $p=0.0027$, $N=5$ cells from 4 mice). On the other
389 hand, when the onset of EPSPs preceded spikes by 10 ms (+10 ms), tLTP was induced
390 (Figure 2E, F; mean $S/S_0=1.457$ (SD 0.369), $t_8=3.507$, $p=0.008$, $N=9$ cells from 9 mice). No
391 synaptic plasticity resulted when the interval between EPSP and spikes was +20 ms (Figure
392 2G, H; mean $S/S_0=0.984$ (SD 0.363), $t_6=-0.096$, $p=0.93$, $N=7$ cells from 7 mice). In contrast,
393 EPSPs preceding spikes by 50 ms (+50 ms) resulted in tLTD (Figure 2I, J; mean
394 $S/S_0=0.605$ (SD 0.197), $t_4=-4.006$, $p=0.016$, $N=5$ cells from 4 mice). A separate group of
395 cells was tested at +10 ms in the second set of experiments (Figure 3A, unfilled circles at
396 +10 ms), slightly weaker stimulation that resulted in smaller EPSPs (mean 4.79 mV
397 (SD=1.33), $S/S_0=2.67$ (SD 1.364), $N = 6$ cells) compared to the EPSPs in the first data set
398 (mean 5.66 mV (SD=1.91), $N=9$) (filled circles, Figure 3A at +10 ms). Although the second
399 group did not show a statistically significant effect of the induction protocol (mean
400 $S/S_0=1.716$ (SD 0.855), $t_5=1.874$, $p=0.12$, $N=6$ cells from 2 mice; 4 male and 2 female), the
401 mean effect size was larger than in the first group. Furthermore, no significant difference in

402 the EPSP slope ratios was observed between these two groups ($t_{9.84}=-0.64$, $p=0.544$;
403 unpaired t-test with Welch's correction). Combining the two data sets still shows a
404 significant effect at +10 ms (mean $S/S_0=1.561$ (SD 0.625), $t_{14}=3.361$, $p=0.0047$, $N=15$ cells
405 from 11 mice). In subsequent comparisons, these two sets of measurements at +10 ms are
406 used separately as controls for contemporaneous manipulations.

407 The resulting STDP curve is summarized in Figure 3A. The pairing of EPSPs and
408 spikes had a significant interval-dependent effect (one-way ANOVA, $F_{5, 40}=4.780$,
409 $p=0.0035$). Although individual comparisons between baseline and post-STDP induction
410 responses showed significant effects at +10, +50 and -10 ms, post-hoc comparisons
411 between all intervals using Tukey's multiple comparison test showed that the +10 ms
412 interval was significantly different from both the +50 ms interval ($p=0.012$) and the -10 ms
413 interval ($p=0.049$); all other interval pairs had p -values >0.1 . Although some run-down was
414 visible, the presynaptic stimulation-only, postsynaptic action potentials-only and 0.1Hz
415 control (Figure 3B) were not significantly different from their baselines (0.1Hz: mean=0.837
416 (SD 0.182), $t=-2.195$, $p=0.080$, $N=6$ cells from 4 mice; pre-only: mean=0.833 (SD 0.187),
417 $t=-2.194$, $p=0.080$, $N=6$ cells from 5 mice; post-only=0.810 (SD 0.184), $t=-2.537$, $p=0.052$,
418 $N=6$ cells from 4 mice). The general shape of the STDP curve is similar to the curves
419 reported at other synapses, including the presence of tLTP at short positive intervals and
420 evidence for a weaker tLTD at short negative intervals. The presence of tLTD at +50 ms
421 appears to be an unusual feature. The LTP at +10 ms is associative, as the induction
422 requires both specific timing and an appropriate temporal order between pre- and
423 postsynaptic activity.

424 *mAChR activation induces LTD of synaptic potentials at layer 2/3 to layer 2/3 synapses in*
425 *AC.*

426 Previously, it was shown that activation of mAChRs, via electrical stimulation in layer 6
427 or the underlying white matter, induces a LTD of synaptic potentials in layer 3 pyramidal
428 cells in AC (Kaur et al. 2005). To test whether mAChR activation at layer 2/3 to layer 2/3
429 synapses causes LTD, we bath-applied the cholinergic receptor agonist carbachol (20 μ M)
430 for 5 minutes while measuring EPSPs in layer 2/3 neurons. Carbachol induced a large
431 transient depression of EPSPs during drug application (Figure 4A), to $S/S_0=0.528$ (SD
432 0.088) ($t_5=-11.94$, $p=0.000073$, $N=6$ cells from 2 mice, one-sample t-test compared to the
433 normalized baseline of 1.0), measured immediately after the drug application. EPSP
434 amplitudes eventually returned to baseline over 20 minutes. We also tested the muscarinic
435 receptor agonist oxotremorine-M (3 μ M; Oxo-M). Oxo-M (Figure 4B) also produced a
436 significant transient depression (10-25 minutes to a mean S/S_0 of 0.597 (SD 0.127),
437 $t_4=-6.34$, $p=0.0032$, $N=5$ cells from 4 mice); when combined with the second set of
438 experiments, the mean S/S_0 was 0.632 (SD 0.166), $t_4=-5.864$, $p=0.00062$, $N=8$ cells from 7
439 mice; 2 cells were from 2 male mice; the remainder had undetermined sex). Endogenous
440 activation of AChRs with eserine, a cholinesterase inhibitor (1 μ M) induced a weak transient
441 depression of EPSPs but the effect was not significant (Figure 4C, mean $S/S_0=0.812$ (SD
442 0.188), $t_5=-2.241$, $p=0.075$, $N=6$ cells from 4 mice). To further confirm that the carbachol-
443 induced LTD measured at synapses in layer 2/3 requires activation of mAChRs rather than
444 nicotinic acetylcholine receptors, the nonselective mAChR antagonist atropine was applied
445 at 10 μ M, a concentration that blocks all mAChR subtypes (Peralta et al. 1987), prior to and

446 during the application of 20 μ M carbachol. Atropine blocked the transient depression
447 produced by carbachol (Figure 4D, mean S/S₀ from 10-25 minutes = 0.883 (SD 0.107), $t_2=-$
448 1.543, $p=0.27$, N=3 cells from 2 mice). One member of the mAChR family, the M1
449 receptors, are highly expressed in cortex (Hohmann et al. 1995; Ro β ner et al. 1993). We
450 therefore next tested two M1 receptor antagonists, pirenzepine and specific M1 competitive
451 antagonist VU0255035 (Sheffler et al. 2009) for their ability to block the effects of carbachol.
452 Pirenzepine at 75nM, a concentration that blocks M1 receptors somewhat selectively
453 (Buckley et al. 1989) did not prevent the depression produced by carbachol (Figure 4E,
454 mean S/S₀=0.550 (SD 0.155), $t_3=-5.04$, $p=0.015$, N=4 cells from 2 mice). Because
455 VU0255035 was solubilized in DMSO prior to addition to the ACSF, an additional set of
456 control experiments with 20 μ M carbachol and 0.05% DMSO was performed in a separate
457 set of cells (Figure 4F; 8 cells from 4 mice), and the results were only compared between
458 the two groups. As shown in Figure 4G, 5 μ M VU0255035 significantly reduced the
459 depression produced by carbachol, from 0.339 (SD 0.131, N = 8 cells from 4 mice) to 0.641
460 (SD 0.190, N=5 cells from 2 mice, $t_{10.80}=-3.12$, $p=0.019$). Comparing these manipulations
461 (Figure 4H; excluding the VU0255035 experiments because they were done under different
462 conditions), including the 0.1 Hz no-drug conditions (Figure 3B), for the period starting 5
463 minutes after the onset of drug application through 5 minutes following application (a 15-
464 minute period) reveals a significant effect of treatment ($F_{5, 24}=4.59$, $p=0.0044$). Post-tests
465 were done to compare the effects of the drug treatments against the 0.1Hz data, and to test
466 the effects of the antagonists against the carbachol effect. Compared to the 0.1Hz,
467 carbachol ($p=0.016$) resulted in depressed EPSPs, while depression was not significant in
468 the presence of Oxo-M ($p=0.11$). Atropine blocked the effect of carbachol alone ($p=0.025$),

469 whereas neither eserine ($p=1.0$) nor 75 nM pirenzepine had a discernible effect ($p=1.0$ in
470 each case).

471 We next tested whether the carbachol-induced transient depression of transmission
472 was induced pre- or postsynaptically, by examining the paired pulse ratio (PPR) of EPSP
473 slopes. Although not definitive, a change in PPR suggests a presynaptic locus of
474 expression, whereas no change is an indicator of a postsynaptic locus (Dobrunz and
475 Stevens 1997). Carbachol application did not change the PPR (control: 1.16 (SD 0.23);
476 carbachol: 1.32 (SD 0.23), $t_4=1.921$, $p=0.13$, paired t-test, $N=5$ cells from 4 mice),
477 suggesting that a postsynaptic mechanism underlies the reduction in EPSP size produced
478 by carbachol. Taken together, these results suggest that exogenous activation of mAChRs
479 causes synaptic depression at synapses onto layer 2/3 pyramidal cells, and that these
480 effects occur through postsynaptic mechanisms. A portion, but likely not all, of this effect
481 may be mediated by M1 receptors.

482 *Modulation of intrinsic excitability*

483 Spike-timing dependent plasticity can also be affected by changes in intrinsic
484 excitability. We therefore measured the effects of carbachol and Oxo-M alone on current-
485 evoked firing and action potential shape. Figure 5A shows spiking of a layer 2/3 pyramidal
486 cell in response to current injections under control conditions. Following 5 min incubation
487 with carbachol (20 μ M), the cell fired more rapidly in response to the same currents (Figure
488 5B). Equation 1 was fit to the the FI curves to extract the rheobase (I_{break}), the rate of firing
489 increase with current, and the maximal firing rate. Carbachol (20 μ M, Figure 5C) caused a
490 reversible increase in excitability measured as an enhancement in firing rate in response to
491 current steps (I_{rate} : control: 0.0044 (SD 0.0016) pA; carbachol: 0.0120 (SD 0.0025) pA;

492 $t_{10.62} = -4.327$, $p = 0.0019$, $N = 10$ cells from 5 mice, paired t-test with Welch's correction).

493 Neither the maximal firing rate F_2 (control: 34 (SD 29) sp/s; carbachol: 17 (SD 5) sp/s;

494 $t_{9.49} = 2.16$, $p = 0.059$, $N = 10$), or the spike threshold I_{break} (control: 25 (SD 18) pA; carbachol:

495 32 (SD 24) pA; $t_{16.87} = -1.407$, $p = 0.19$, $N = 10$) were altered by carbachol. The adaptation

496 ratio during carbachol was significantly decreased (control: 3.928 (SD 1.196); carbachol:

497 2.936 (SD 0.587); $t_{13.09} = 3.278$, $p = 0.0096$, $N = 10$), and the membrane potential depolarized

498 by a small amount (control: -63.2 (SD 1.6) mV; carbachol: -62.2 (SD 1.2) mV;

499 $t_{17.03} = -2.443$, $p = 0.037$, $N = 10$). The mean first action potential half-width increased by ~0.5

500 ms, but this effect was not significant (control: 1.31 (SD 0.31) ms; carbachol: 1.89 (SD 1.13)

501 ms; $t_{10.31} = -1.652$, $p = 0.13$, $N = 10$). The input resistance also did not change (control: 254

502 (SD 61) M Ω ; carbachol: 249 (SD 85) M Ω ; $t_{16.28} = 0.272$, $p = 0.79$, $N = 10$).

503 We also tested how Oxo-M affected the FI curves (Figure 5D). Oxo-M decreased the

504 threshold current (I_{break} : control 110 (SD 101) pA; Oxo-M: 60 (SD 41) pA; $t_{10.87} = 2.870$,

505 $p = 0.028$, $N = 7$ cells from 6 mice; 2 cells were from 2 male mice; the remainder were of

506 undetermined sex). Oxo-M produced a small and non-significant effect on I_{rate} (control:

507 0.0068 (SD 0.0042) pA; Oxo-M: 0.0213 (SD 0.0184) pA; $t_{6.64} = -1.971$, $p = 0.096$, $N = 7$). A

508 similar small but non-significant effect was evident in the maximal firing rate, F_2 (control: 20

509 (SD 6) sp/s; Oxo-M: 15 (SD 5) sp/s; $t_{9.24} = 1.908$, $p = 0.105$, $N = 7$). Interestingly, Oxo-M

510 depolarized cells by approximately 6 mV on average (control: -67.7 (SD 3.9) mV; Oxo-M: -

511 61.3 (SD 4.4) mV; $t_{11.82} = -3.441$, $p = 0.014$, $N = 7$) and produced an increase in action

512 potential half width (control: 1.26 (SD 0.26) ms; Oxo-M: 1.59 (SD 0.27) ms; $t_{11.93} = -3.293$,
513 $p = 0.016$, $N = 7$). No other parameters were significantly affected (all $p > 0.09$). Overall, these
514 descriptive analyses suggest that activation of muscarinic receptors increases the
515 excitability of the cells through a combination of weak depolarization and an increased
516 steepness of the firing rate with current.

517 To further understand how mAChR activation affects excitability, we explored the
518 pharmacology of receptor activation on the firing of layer 2/3 pyramidal cells. Pirenzepine
519 (75 nM; Figure 5E) appear to blunt the effects of carbachol, as there were no significant
520 changes in RMP, adaptation ratio, first action potential half-width, or the fitted parameters of
521 the FI curves, I_{break} , F_2 , and I_{rate} (all $p > 0.18$, Welch's t-test, $N = 4$ cells from 2 mice). R_{in}
522 was lower in the presence of pirenzepine however (carbachol alone: 208 M Ω (SD 65),
523 carbachol + pirenzepine: 160 M Ω (SD 71); $t_{5.94} = 4.471$, $p = 0.021$). Similarly, 10 μ M
524 pirenzepine (Figure 5F) blocked all the effects of carbachol (all $p > 0.23$, Welch's t-test, $N = 3$
525 cells from 3 mice). Likewise, the more specific M1 receptor antagonist VU0255035 largely
526 prevented the shifts seen with carbachol. As this drug was solubilized in 0.05% DMSO, we
527 performed a separate set of control experiments with carbachol in the presence of DMSO
528 for comparison. Carbachol in DMSO produced a similar increase in excitability as under
529 control conditions (Figure 5G). I_{break} was lower (control: 90 (SD 32) pA; carbachol+DMSO:
530 66 (SD 32) pA; $t_{9.99} = 4.756$, $p = 0.0051$, all comparisons are paired t-tests, $N = 6$ cells from 2
531 mice; 1 cell was from a male mouse and the remainder were of undetermined sex), and I_{rate}
532 was higher (DMSO alone: 0.0037 (SD 0.0012) pA; carbachol+DMSO: 0.0096 (SD 0.0017)
533 pA; $t_{7.73} = -7.224$, $p = 0.00079$) in carbachol, but F_2 was not different (DMSO alone: 27 (SD

534 11) sp/s; carbachol+DMSO: 20 (SD 3) sp/s; $t_{5.62}=1.905$, $p=0.12$). As with carbachol alone
535 and Oxo-M, the membrane potential depolarized (DMSO alone: -67.4 (SD 4.1) mV;
536 carbachol+DMSO: -62.7 (SD 3.2) mV; $t_{9.40}=-5.925$, $p=0.0020$), and the adaptation ratio
537 decreased (DMSO alone: 2.599 (SD 0.648); carbachol+DMSO: 1.989 (SD 0.561);
538 $t_{9.80}=6.389$, $p=0.0014$). A small but non-significant increase in the first action potential half-
539 width was also seen (DMSO alone: 1.11 (SD 0.17) ms; carbachol+DMSO: 1.22 (SD 0.24)
540 ms; $t_{8.85}=-2.400$, $p=0.062$). In the presence of 5 μ M VU0255035, the changes in firing
541 produced by carbachol were blocked (Figure 5H). None of the FI parameters were
542 significantly different from carbachol in DMSO control when carbachol was tested in the
543 presence of VU0255035 (I_{break} : (carbachol-DMSO: 83 (SD 36) pA; carbachol-VU0255035:
544 75 (SD 40) pA; $t_{11.88}=1.561$, $p=0.17$, all comparisons are paired t-tests, N=7 cells from 3
545 mice; 1 cell was from a male mouse and the remainder were undetermined); F_2 : (carbachol-
546 DMSO: 21 (SD 14) sp/s; carbachol-VU0255035: 18 (SD 10) sp/s; $t_{10.50}=0.863$, $p=0.42$),
547 I_{rate} (carbachol-DMSO: 0.0126 (SD 0.0184) pA; carbachol-VU0255035: 0.0101 (SD 0.0114)
548 pA; $t_{11.88}=-0.403$, $p=0.70$). The adaption ratio also did not change (carbachol-DMSO:
549 1.927 (SD 0.791); carbachol-VU0255035: 1.993 (SD 0.726); $t_{11.91}=-0.579$, $p=0.58$).
550 However, the resting membrane potential still showed a modest depolarization (carbachol-
551 DMSO: -64.1 (SD 4.6) mV; carbachol-VU0255035: -61.6 (SD 3.2) mV; $t_{10.60}=-2.834$,
552 $p=0.030$, N=7 cells), and the action potential half width was significantly wider (carbachol-
553 DMSO: 1.22 (SD 0.21) ms; carbachol-VU0255035: 1.42 (SD 0.32) ms; $t_{10.34}=-3.034$,

554 $p=0.023$). Using MANOVA to compare all excitability measures with a carbachol challenge
555 in the presence of VU0255035 against the carbachol challenge in DMSO however revealed
556 no difference between the two groups ($F_{7,5}$, $p=0.083$). Individual post-hoc comparisons only
557 showed a significant difference in the adaptation ratio ($F_{1,11}=14.48$, $p=0.0029$). Taken
558 together these data suggest that activating the muscarinic receptors with either carbachol or
559 Oxo-M increases overall excitability of the cells and in increases the steepness of the FI
560 curve. Blocking the mAChRs seems to partially prevent these changes, but the effects are
561 modest and not consistent between 10 μ M pirenzepine and VU0255035, suggesting that
562 the two compounds likely have different profiles with respect to antagonism of carbachol at
563 different receptor subtypes that are differentially coupled to the ion channels regulating
564 excitability.

565 *mAChR activation regulates tLTP*

566 We next tested the hypothesis that cholinergic neuromodulation by mAChRs can
567 change STDP timing rules by modulating the strength of tLTP. We focused on the +10 ms
568 pre-before-post intervals because the largest changes in EPSPs were seen with this timing,
569 and we were more confident that the effects of any manipulations would not be caused by
570 rundown of synaptic transmission. Activating mAChRs with carbachol reduced tLTP at
571 +10ms, (Figure 6A; control: 1.457 (SD 0.391), N = 9 cells from 9 mice; same control group
572 as above, carbachol: 0.924 (SD 0.440), N=6 cells from 6 mice, $t_{14,60}=2.40$, $p=0.037$,
573 Welch's t-test for unpaired samples). The second set of experiments with weaker test
574 EPSPs showed a similar suppression of the tLTP, but was not significant (mean $S/S_0=1.716$
575 (SD 0.936), N = 6 cells from 2 mice, compared 1.309 (SD 0.384), N=8 cells from 5 mice,
576 $t_{6,24}=-1.001$, $p=0.352$, Welch's t-test for unpaired samples). Combined, these two sets of

577 experiments showed an potential effect of carbachol (control: $S/S_0 = 1.561$ (SD 0.646), $N =$
578 15 cells from 11 mice; in carbachol: $S/S_0 = 1.144$ (SD 0.430, $N = 14$ cells from 11 mice),
579 $t_{25.11} = 2.05$, $p = 0.052$). To more specifically activate mAChRs, we also tested Oxo-M.
580 Application of Oxo-M ($3 \mu\text{M}$) during induction clearly prevented tLTP (Figure 6B, mean
581 $S/S_0 = 0.917$ (SD 0.445), $N = 7$ cells from 4 mice, $t_{15.07} = 2.54$, $p = 0.026$, compared to control
582 $+10\text{ms}$). To explore whether intrinsic cortical acetylcholine could modulate STDP in auditory
583 cortical neurons, we also examined the effects of the anticholinesterase, eserine.
584 Application of eserine during pre-before-post pairing also prevented tLTP induction (Figure
585 6C, mean $S/S_0 = 1.005$ (SD 0.283), $N = 6$ cells from 3 mice, $t_{15.77} = 2.59$, $p = 0.022$) compared
586 to control at $+10\text{ms}$), indicating that endogenous acetylcholine can prevent tLTP at
587 excitatory synapses onto layer 2/3 pyramidal neurons. As the postsynaptic action potentials
588 were elicited by trains of brief current pulses (and therefore controlled), the effects of
589 mAChRs on the firing rate and cell excitability (Figure 5) were not responsible for these
590 differences, although increases in action potential width could contribute to changes in
591 STDP. Taken together these experiments indicate that tLTP can be modulated by activation
592 of mAChRs through carbachol, Oxo-M or endogenous ACh.

593 In the dorsal cochlear nucleus, muscarinic receptor activation with Oxo-M converted
594 postsynaptic tLTP to presynaptic tLTD by acting on M1/M3 receptors (Zhao and
595 Tzounopoulos 2011). Because M1 receptors appear to at least partially contribute to the
596 synaptic depression produced by carbachol (Figure 4) and the excitability of cells (Figure 5),
597 it is possible that they can also influence other signaling pathways necessary for the
598 induction of tLTP. We therefore tested the hypothesis that the cholinergic effects on tLTP
599 induction in auditory cortex depended on the activation of M1 and/or M3 receptors. The

600 suppression of tLTP at +10 ms by carbachol was not significantly affected by the
601 simultaneous presence of the M1 antagonist, pirenzepine (10 μ M, a high concentration that
602 also should also block M4 receptors), together with the M3 antagonist, 4-DAMP (1 μ M)
603 (Figure 6D, mean with carbachol and antagonists: 0.951 (SD 0.197), N=6 cells from 4 mice,
604 $t_{6.93}=-0.138$, $p=0.89$, compared to carbachol alone in Figure 6A). Likewise, comparing tLTP
605 in 20 μ M carbachol (including a subset of cells tested with carbachol in 0.05%DMSO, which
606 were not different than carbachol alone) against tLTP in the same solution with VU0255035
607 showed that block of the M1 receptors did not restore tLTP (Figure 6E, carbachol +DMSO
608 group: 1.309 (SD 0.384), N = 8 cells from 5 mice, carbachol+DMSO+VU0255035: 1.224
609 (SD 0.573), N=5 cells from 3 mice, $t_{10.65}=0.293$, $p=0.78$). tLTP was partially restored by 10
610 μ M pirenzepine and 1 μ M 4-DAMP during the application of Oxo-M (the average time
611 course of EPSP slopes after pairing is very similar to that in the absence of any drugs), but
612 the results were quite variable and the net effect was not significant compared to OxoM's
613 reduction of tLTP at +10ms (Figure 6F, tLTP in presence of pirenzepine and 4-DAMP: 1.295
614 (SD 0.820), N=7 cells from 5 mice, $t_{9.25}=-1.07$, $p=0.31$). Thus, although activation of
615 mAChRs suppresses tLTP (Figure 6A-C), it appears that this effect is not the result of M1
616 receptor activation, and M3 and M4 receptors likewise are not required.

617 Finally, we tested whether the tLTP depended on intracellular calcium changes. When
618 exogenous calcium chelators such as BAPTA are present in the intracellular solution,
619 incoming calcium ions are rapidly buffered and free calcium concentration changes are
620 strongly reduced (Tsien 1980). In the presence of intracellular BAPTA (10 mM), pre-before-
621 post pairing at +10 ms failed to induce tLTP, and instead led to a clear LTD (Figure 6G,
622 0.581 (SD 0.222), N=5 cells from 3 mice, $t_{14.95}=5.34$, $p=0.00018$, compared to +10 ms

623 control). Thus, an increase in postsynaptic calcium during STDP induction appears
624 necessary for the induction of tLTP.

625 We also performed additional exploratory experiments testing the effects of carbachol
626 during EPSP-spike pairing at +50 ms and -10 ms. On average post-before-pre tLTD (-10
627 ms) was not significantly affected by carbachol (Figure 7A; mean 1.091 (SD 0.589), N=7
628 cells from 6 mice, $t_{4.23}=-1.51$, $p=0.178$). Eserine (1 μ M) also did not have an effect on tLTD
629 induction at -10 ms (Figure 7B; 0.793 (SD 0.279), $t_{4.73}=-0.334$, $p=0.75$, N=5 cells from 3
630 mice). Internal BAPTA likewise had no effect on the tLTD at -10 ms (Figure 7C, 0.665 (SD
631 0.196), N=4 cells from 3 mice, $t_{5.16}=0.81$, $p=0.466$). Finally, pre-before-post tLTD at +50 ms
632 was not significantly affected by carbachol (Figure 7D). Although the mean value changed
633 from LTD (0.605) to LTP (1.225), there was a large variance between cells (SD 1.259), N=7
634 cells from 6 mice, $t_{4.34}=-1.28$, $p=0.245$). In combination with the effects seen above at the
635 +10 ms interval, these exploratory experiments suggest that mAChRs may modulate
636 tLTP/tLTD differently depending on the timing intervals.

637 *Activation of mAChRs reduces NMDA current.*

638 One mechanism that could account for the reduction in tLTP with mAChR (Figure 6A-C)
639 activation is that the synaptically-evoked calcium influx through NMDA receptors is
640 decreased and therefore does not reach the threshold required to induce tLTP. To test
641 whether mAChR activation blocked tLTP by directly or indirectly acting on NMDA receptors,
642 we recorded pharmacologically isolated NMDA receptor mediated EPSCs in voltage clamp
643 with and without mAChR activation (Figure 8A). We found a reduction in the evoked NMDA
644 receptor currents in the presence of 20 μ M carbachol (Figure 8B control: 263 pA (SD 123),

645 carbachol: 148 pA (SD 104.0), $t_{12}=4.460$, $p=0.0008$, $N=13$ cells from 6 mice, paired t-test).
646 The current was partially restored after the carbachol was removed from the perfusate by
647 replacement with normal ACSF. The current was mediated by NMDA receptors because it
648 was nearly completely blocked following the application of the NMDA receptor antagonist D-
649 aminophosphonovaleric acid (D-APV, 50 μ M, remaining current 15.8 (SD 13.9) %, $N=3$;
650 data not shown). In a subset of cells, the EPSC paired-pulse ratio was also measured to
651 test for a potential presynaptic effect of carbachol. The paired-pulse ratio of the isolated
652 NMDA current was not altered by carbachol application (Figure 8C, control: 0.95 (SD 0.24),
653 carbachol: 0.94 (SD 0.23), $t_6=0.3135$, $p=0.76$, $N=7$ cells from 3 mice, paired t-test)
654 consistent with the lack of effect of carbachol on the paired-pulse ratios of EPSPs described
655 above. Although we cannot exclude that carbachol acts presynaptically on the basis of this
656 experiment, these results suggest that a decrease in postsynaptic current through NMDA
657 receptors following mAChR activation could be at least partially responsible for the
658 decrease in tLTP.

659 *Dendritic Calcium Signaling Is Reduced by mAChR activation*

660 Postsynaptic calcium transients provide an associative link between synapse activation,
661 postsynaptic cell firing, and synaptic plasticity (Koester and Sakmann 1998; Malenka et al.
662 1988). By definition, back propagating action potentials are essential for the induction of
663 STDP. Because carbachol reduced the current through NMDA receptors, it is possible that
664 it also could reduce subsequent calcium influx in dendrites of AC pyramidal cells. To
665 investigate this, we examined postsynaptic calcium transients in the apical dendritic shafts
666 and synapses of layer 2/3 pyramidal neurons during timed pre- and postsynaptic (action
667 potential) activity. Figure 9A, B show the recording and stimulating configuration.

668 Presynaptic stimulation was provided by an extracellular pipette located 50–100 μm from
669 the soma along an apical dendrite. A series of regions along the dendrite and soma were
670 identified to measure the calcium signals (Figure 9B) in response to a set of interleaved
671 stimulus conditions (Figure 9C). Two sets of experiments were performed. In one set of
672 experiments, pyramidal neurons were filled through the recording patch pipette with a
673 structural indicator, AlexaFluor 568, and the calcium indicator Fluo-5F. The AlexaFluor 568
674 image was visualized and used to select a region on the apical dendrite for placement of the
675 extracellular stimulating electrode. A burst of postsynaptic action potentials (APs) was
676 preceded by extracellular stimulation by 10 ms, as was used for the induction of STDP.
677 Calcium signals were analyzed in regions of interest (ROIs) placed over the primary apical
678 dendrites and the first secondary branches. Somatically-evoked action potentials induced
679 calcium changes throughout the visible regions of the dendritic tree. A single ROI was
680 selected for analysis in each cell. This ROI was initially chosen as the region closest to
681 extracellular stimulation ($\sim 10\text{--}20\ \mu\text{m}$ from electrode). All ROI's were then tested to identify
682 where the calcium signal in the dendritic tree was larger when EPSPs were paired with APs
683 at the 50 ms interval than for APs alone, using a ratio measurement, with the requirement
684 that the peak calcium signal for the AP alone be larger than 2SD of the baseline
685 fluorescence signal prior to stimulation. In 5 of the 21 cells, this ROI was the one closest to
686 the stimulating electrode. However, in the remainder of the cells, this ROI was elsewhere on
687 the dendritic tree (an adjacent ROI in 7 cells, and more distant in the remainder), consistent
688 with activation of fibers that might run vertically with layer 2/3 before contacting the target
689 cell. All subsequent comparisons used these selected ROIs. Note that although the ROI
690 was selected on the basis of the difference with +50 ms EPSP-AP intervals (Figure 10, A1-
691 A3), comparisons at +10 ms and with the AP-alone condition using that ROI were based on

692 different traces in the same cell. Although the +50 ms interval data are shown, they are not
693 used further to avoid circularity in the analysis.

694 We observed an increase in calcium with EPSPs and APs compared to APs alone in
695 Fluo5F (Figure 10, B1-B3; linear mixed effects model with the integrated calcium signal and
696 AP configuration, maximum-likelihood AIC=15.3, log likelihood=-1.63; post-tests comparing
697 AP alone to AP+10ms EPSP: $p=0.0016$; AP+EPSP at +50ms: $p=0.0047$; N=14 cells from 7
698 mice; post-tests are simultaneous tests for general linear hypotheses with multiple
699 comparisons of means using Tukey contrasts). There was no difference between the
700 different EPSP timings (AP+EPSP at +10ms vs. AP+EPSP at +50ms: $p=0.95$). In response
701 to synaptic stimulation that produced EPSPs at the soma of 2-10 mV, we were unable to
702 resolve any changes in indicator fluorescence (Figure 10 C1-C3). A similar result was seen
703 with ACR as the calcium indicator in a separate set of experiments (Figure 10, H-J). With
704 ACR, cells showed an increase in the calcium signal when APs were paired with the EPSPs
705 (AIC=21.8; log likelihood=-4.89; post-tests AP vs AP+EPSP at +50ms; $p=0.0065$, Figure
706 10, H1-H3; AP vs AP+EPSP at +10ms, $p=0.0017$, Figure 10 11-I3; N=7 cells from 4 mice),
707 but no differences with EPSP timing (AP+EPSP at +10ms vs. AP+EPSP at +50ms, $p=0.92$).
708 Again, there was no visible fluorescence transient with EPSPs alone (Figure 10, J1-J3).

709 Next, after 5 minutes of baseline measurements, carbachol (20 μ M) was bath applied
710 for 5 min. Carbachol did not induce changes in the resting indicator fluorescence. However,
711 APs induced a much larger calcium influx in the presence of carbachol, when measured
712 with either Fluo5F (Figure 10, D1-D3, control: 0.46 (SD 0.48, range=0.03-1.58), carbachol:
713 0.67 (SD 0.71, range=0.08-2.33), $t_{13}=-2.74$, $p=0.033$, N=14, paired t-test) or with ACR
714 (Figure 10, K1-K3, control: 1.47 (SD 0.72, range=0.09-2.27); carbachol: 2.38 (SD 0.74,

715 range=1.39-3.28), $t_6=-5.17$, $p=0.002$, $N=7$). The increase in AP-mediated calcium influx
716 was blocked by atropine, implicating mAChRs (data not shown). No calcium signal was
717 detected with EPSPs alone in the presence of carbachol with either indicator (Figure 10E1-
718 E3 and L1-L3). Pairing EPSPs and APs produced a larger calcium influx in carbachol than
719 without carbachol (Fluo5F: AIC=16.0, log likelihood=-2.01). In individual comparisons, the
720 effect of EPSP+AP pairing in the presence of carbachol, compared to APs alone, was
721 significant at the +50 ms interval (Figure 10, F1-F3, $p=0.040$), but not at the +10 ms interval
722 (Figure 10, G1-G3, $p=0.11$) in Fluo5F. Furthermore, the calcium influx with AP+EPSP
723 pairing was not significantly different from the AP-alone condition in carbachol when
724 measured with ACR (Figure 10, M1-M3, $p=0.41$ at +50 ms; Figure 10, N1-N3, $p=0.79$ at +10
725 ms).

726 A linear mixed model was constructed to examine the effects of carbachol on the
727 enhancement of the calcium signal from APs alone by EPSPs at the +10 ms interval, with a
728 fixed effect of drug presence, and by-cell random slopes for the effects of carbachol (ROI's
729 for analysis of the +10 ms interval were selected using the +50 ms interval data and thus
730 the +50 ms data were not analyzed). The two indicator dyes were treated in separate
731 analyses. For Fluo-5F, this revealed a significant effect of carbachol, which reduced the
732 facilitation of the calcium signal by EPSPs ($F_{(1, 14)} = 9.66$, $p=0.0077$). A similar result was
733 observed when using ACR as the indicator ($F_{(1,14)} = 5.39$, $p = 0.036$). Taken together,
734 these experiments indicate that, in the absence of carbachol, there is a synergistic
735 interaction between APs and EPSPs at the 10 ms interval that results in increased dendritic
736 calcium compared to APs alone (Figure 10, B3, I3), but this effect appears to be
737 significantly reduced in the presence of carbachol (Figure 10, G3, N3).

738

739 **Discussion**

740 We found that synapses activated by electrical stimulation in layer 2/3 onto layer 2/3
741 cells in mouse AC exhibit STDP. Although tLTP and tLTD were observed in the expected
742 short positive (+10 ms) and negative (-10 ms) intervals, respectively, an additional tLTD was
743 apparent at longer positive (+50 ms) intervals. We also found that mAChRs modulate tLTP
744 and tLTD in a manner that is dependent on the EPSP-spike timing. Pharmacological
745 activation of mAChRs when using +10 ms pairing intervals prevented tLTP induction, and
746 could reduce tLTD at -10 and +50 ms pairing intervals, potentially leading to tLTP. tLTP at
747 +10 ms intervals appeared to depend on intracellular calcium signaling. mAChR also
748 activation reduced the NMDA receptor current at excitatory synapses onto layer 2/3 cells.
749 Pairing APs and EPSPs resulted in increased dendritic calcium even when no calcium
750 signal could be detected with EPSPs alone. This apparent supralinear calcium signal
751 generated by pairing at +10 ms intervals was significantly decreased with mAChR
752 activation.

753 *Synaptic STDP rules in AC*

754 The magnitude and temporal structure of STDP varies with brain area, cell and synapse
755 type (Abbott and Nelson 2000; Larsen et al. 2010). In rat AC slices, tLTP was previously
756 observed at +10 ms intervals and tLTD at -40 ms at layer 2/3 to layer 2/3 synapses
757 (Karmarkar et al. 2002). However, the STDP window was not further examined in that
758 study. Other primary sensory cortical areas (V1 and S1) also exhibit before-post tLTP and
759 post-before-pre tLTD at 10 ms intervals at layer 2/3 to layer 2/3 synapses (Froemke et al.
760 2006; Nevian and Sakmann 2006; Zilberter et al. 2009). A similar timing rule has been

761 shown for synapses onto layer 5 cells in AC (D'amour and Froemke 2015). In vivo,
762 "stimulus-timing" plasticity has also been reported in AC, by repetitively pairing acoustic
763 stimuli (Dahmen et al. 2008) or pairing stimulation of the spinal trigeminal nucleus with
764 acoustic stimuli (Basura et al. 2015). Within the timing range that has been examined in
765 vivo, -30 to +30 ms, these paradigms result in tLTP and tLTD that is similar to what we
766 report here.

767 In most cortical areas, the magnitude of tLTP falls off approximately exponentially with
768 the difference between pre- and postsynaptic spike times. However, we also observed a
769 pre-before-post tLTD at +50 ms, and on average, no tLTP or tLTD at +20 ms intervals,
770 suggesting that the STDP curve is triphasic. Computational models have predicted triphasic
771 STDP curves that exhibit tLTD at longer positive pre-before-post intervals (Karmarkar et al.
772 2002; Shouval and Kalantzis 2005). This prediction is based on three observations: calcium
773 influx through NMDARs is a necessary and sufficient signal to induce bidirectional plasticity
774 (Lisman et al. 1998), the sign and magnitude of synaptic plasticity is determined by the
775 calcium concentration in postsynaptic spines (Cormier et al. 2001; Yang et al. 1999), and
776 peak calcium level varies with the time interval between pre- and postsynaptic spiking
777 (Graupner 2010; Karmarkar et al. 2002). These theoretical predictions of pre-before-post
778 tLTD are consistent with experimental evidence in hippocampal slices (Nishiyama et al.
779 2000; Wittenberg and Wang 2006).

780 The tLTD at -10 ms and +50 ms flanking the tLTP window at +10 ms and could serve to
781 help sharpen the potentiation of nearly coactive synaptic inputs across the tonotopic map
782 that are generated by the spatio-temporal structure of acoustic stimuli. Frequency and
783 amplitude modulation are common features of natural sounds (Lewicki 2002; Woolley et al.
784 2005), including species specific vocalizations, and could produce repeated temporal

785 patterns of neural activity in subsets of synapses that could engage STDP as a way of
786 creating either a sensory memory or creating a template within the local circuit based on
787 synaptic strengths for the further analysis of time-varying sounds. The temporally flanking
788 tLTD windows could help to suppress non-coincident synaptic inputs. This idea is consistent
789 with the proposal that recurrent connections can contribute an underlying depolarization that
790 can help to amplify selected afferent signals, and with modeling studies proposing that local
791 amplification could be important in enhancing the sensory selectivity of cortical neurons
792 (Douglas et al. 1995; Krause et al. 2014; Reinhold et al. 2015; Sompolinsky and Shapley
793 1997).

794 *Muscarinic modulation of synaptic transmission in AC*

795 Acetylcholine plays an important role in many aspects of cortical development
796 (Hohmann and Berger-Sweeney 1998; Robertson 1998), and some effects of ACh are
797 mediated through activation of mAChRs. Normal cholinergic receptor function appears to be
798 required to help establish the normal tonotopic organization and response features of the
799 auditory cortex. Mice lacking muscarinic M1 receptors more frequently display multi-peak
800 frequency tuning curves as compared to more sharply tuned neurons in wild-type A1. The
801 abnormal tuning curves are also associated with a disorganized tonotopic map (Zhang et al.
802 2005). Pairing electrical stimulation of nucleus basalis with tones produces large shifts in
803 frequency tuning of A1 neurons (Weinberger 1998) and a corresponding reorganization of
804 the tonotopic map that results in an over-representation of the paired tone frequency
805 (Froemke et al. 2007; Kilgard 1998; Weinberger 1998). However, in M1 receptor knockout
806 mice, pairing nucleus basalis stimulation and tones produces much smaller shifts in
807 frequency tuning in A1 (Zhang et al. 2006). It is not clear, however, to what extent these
808 changes are primarily due to remapping at the level of the thalamocortical recipient cells in

809 layer 4 (whether by thalamic input or by biasing through intracortical circuits), or whether
810 they also reflect changes ascending connections to layer 2/3 or in the layer 2/3 circuitry
811 itself. Tonotopy in A1 is most often measured in anesthetized animals, where the responses
812 are dominated by the more precise tonotopy of layer 4 rather than the imprecise map in
813 layer 2/3 (Kanold et al. 2014; Winkowski and Kanold 2013) (but see (Tischbirek et al.
814 2019)). Therefore, the specific role of cholinergic systems and M1 receptors in the plasticity
815 of tonotopy or response areas in layer 2/3 cells is not clear.

816 At the cellular level, acetylcholine acting on mAChRs in cortex can affect intrinsic
817 excitability, synaptic potentials, neurotransmitter release and calcium influx (Cho et al. 2008;
818 Froemke et al. 2007; Metherate and Ashe 1995; Salgado et al. 2007). Consistent with
819 findings in auditory and visual cortices (McCoy and McMahon 2007; Metherate and Ashe
820 1995) we found that the cholinergic agonists carbachol and Oxo-M depress glutamatergic
821 synaptic transmission. Endogenous activation of mAChRs with an anticholinesterase also
822 produced a weak depression of synaptic potentials suggesting that ambient acetylcholine
823 may tonically regulate synaptic transmission in AC. The synaptic depression generated by
824 carbachol was blocked by atropine, implicating mAChRs rather than nAChRs. The
825 depression of transmission by carbachol was not blocked by 75 nM pirenzepine, which at
826 this concentration is predominantly an M1 receptor antagonist, consistent with results in
827 prefrontal cortex (Vidal and Changeux 1993). In addition, the depression was only weakly
828 antagonized by the M1-selective antagonist VU0255035 (Sheffler et al. 2009) consistent
829 with the suggestion that M1 receptors are not essential in generating the pharmacologically
830 induced synaptic depression.

831 The muscarinic receptor subtypes M2 and M3 are also expressed in auditory cortex
832 (Salgado et al. 2007). The M2 receptors are localized to excitatory terminals from white

833 matter inputs as well as layer 2/3 GABAergic axon terminals, and presynaptically modulate
834 neurotransmitter release. At the network level, activation of cholinergic synapses may alter
835 the coordinated activity of excitatory and inhibitory neurons by selectively modulating the
836 excitability or synaptic transmission in subtypes of inhibitory cells (Kuchibhotla et al. 2017;
837 Letzkus et al. 2011; Sugihara et al. 2016). On the other hand, our results appear to reveal
838 an effect of mAChR activation with carbachol that is mediated postsynaptically at excitatory
839 synapses onto the pyramidal cells, based on the unchanged paired-pulse ratio of the
840 synaptic responses for both weak EPSPs and for pharmacologically-isolated NMDA
841 receptor currents. Consistent with a postsynaptic site of action, we also found that
842 carbachol increased intrinsic excitability by reducing spike rate adaptation, and enhanced
843 back propagating action potential-mediated calcium influx, likely through reduction in the
844 availability of dendritic potassium conductance. The increase in excitability and dendritic
845 calcium influx are consistent with other studies in auditory and visual cortices (Cho et al.
846 2008; Metherate and Ashe 1995).

847 Taken together, these results indicate that activation of mAChRs would increase
848 postsynaptic pyramidal cell excitability while simultaneously decreasing excitatory
849 intracortical transmission. If the effects of mAChRs are selective for intracortical layer 2/3
850 connections relative to other synaptic inputs, then cholinergic systems could enhance the
851 salience of ascending sensory information arising through thalamocortical afferents (Hsieh
852 et al. 2000) and interlaminar synaptic input from layer 4. A suppression of recurrent
853 excitatory connections with L2/3 by mAChR activation, together with modulation of the
854 inhibitory circuits (Kuchibhotla et al. 2017), might also be expected to alter the frequency
855 sensitivity of superficial AC neurons, by reducing the lateral spread of recurrent excitation.

856 *mAChR modulation of STDP*

857 There is clear evidence that neuromodulators, including acetylcholine control STDP
858 rules by regulating polarity, magnitude and temporal requirements for plasticity. For
859 example, mAChR activation during pre-before-post pairings has been reported to induce
860 tLTP (Wespatat et al. 2004) and gate tLTD (Seol et al. 2007) in V1. Synaptically-released
861 ACh can enhance tLTP while blocking tLTD in hippocampus (Sugisaki et al. 2011). β -
862 adrenergic receptor activation controls the gating of tLTP in V1 (Seol et al. 2007) and can
863 affect the overall temporal structure of tLTP/tLTD (Salgado et al. 2011). Nicotinic receptor
864 activation prevents tLTP induction in prefrontal cortex (Couey et al. 2007). Dopaminergic
865 activation extends the tLTP window and converts tLTD to tLTP in hippocampus (Zhang et
866 al. 2009). Our results show that mAChR activation with specific agonists or with the
867 anticholinesterase eserine (which may also result in activation of nicotinic receptors) during
868 pre-before-post pairings prevents tLTP induction in AC. The mAChR-mediated suppression
869 of tLTP in AC is consistent with the finding that increasing acetylcholine levels with eserine
870 in CA1, during activation of the cholinergic medial septal inputs can prevent tLTP induction
871 (Sugisaki et al. 2011). The relative timing of glutamatergic versus cholinergic synaptic
872 transmission plays also plays role in the mechanisms and net effects on plasticity (Gu and
873 Yakel 2011). It is not clear how the effects of slow, long-term activation (and potential
874 desensitization) of the receptors, as employed in the experiments here, are related to the
875 effects of the temporally and spatially restricted patterns of cholinergic activity expected in
876 vivo.

877 The most parsimonious hypothesis to explain the reduction of tLTP in our experiments
878 is that activation of the mAChR's reduced synaptic transmission during the induction
879 protocol, which in turn resulted in a lower calcium influx that was not sufficient to

880 consistently support tLTP. Three observations support this idea. First, we observed that
881 mAChR activation reduced NMDA current at layer 2/3 synapses, consistent with
882 observations in juvenile rat AC slices (Flores-Hernandez et al. 2009). A molecular
883 mechanism for mAChR-dependent internalization of NMDA receptors has been described
884 in the hippocampus (Jo et al. 2010) that could explain this reduction. Second, tLTP (but not
885 tLTD) was blocked by chelating intracellular calcium with BAPTA, which suggests an
886 obligate role for calcium. This calcium can arise three sources, transmembrane calcium
887 influx through calcium channels opened by depolarization provided by back propagating
888 action potentials, calcium influx through synaptically-activated NMDARs, and calcium-
889 induced calcium release from intracellular stores. Each of these sources likely has different
890 targets because of the spatially-restricted actions of calcium. The increase in calcium influx
891 that we observed in the dendritic shaft during brief trains of action potentials and the
892 increase in the amplitude of that influx during mAChR activation are consistent with similar
893 findings in V1 (Cho et al. 2008). However, it is not clear that these effects directly play a role
894 in regulating tLTP. We did not detect bulk calcium transients in the dendrites associated
895 with our weak (2-8 mV) EPSPs. When EPSPs were paired with an action potential burst,
896 the dendritic calcium transients were larger than with action potentials alone, suggesting an
897 amplification of calcium influx through NMDAR receptors (Kumar et al. 2018; Schiller et al.
898 1998) by a transient voltage-dependent removal of the Mg²⁺ block of NMDARs (Nowak et
899 al. 1984). Interestingly, in the presence of carbachol, the dendritic shaft calcium influx
900 during pairing of EPSPs and action potentials did not show enhancement over action
901 potentials alone, consistent with the suppression of NMDA receptor currents by mAChRs
902 (Figure 8). As the activated NMDARs are most likely limited to single dendritic spines and
903 the adjoining dendritic shaft (Müller and Connor 1991) or could expand into more of the
904 shaft area (Eilers et al. 1995) our limited ability to detect small changes in the spines may

905 mean that we missed some key changes in the calcium signal. In addition, if under normal
906 conditions the detected calcium was near the upper end of the non-linear binding
907 relationship between free calcium and the indicator fluorescence, a further increase in
908 calcium may have been masked, so the fluorescence signal did not accurately reflect the
909 intracellular calcium. Although we used two indicators with different reported k_d values for
910 these measurements in an attempt to minimize possible effects of binding saturation, the
911 affinity of these indicators for calcium in the cellular environment is not known. These
912 measurements should be revisited with more sensitive and spatially precise methods.
913 Taken together, these results are consistent with the idea that mAChR activation may have
914 reduced the increase in postsynaptic calcium to a level below that required for tLTP
915 induction, most likely as a result of the mAChR-induced reduction in NMDA current.

916 *Summary*

917 From a functional viewpoint, the depression of tLTP during activation of mAChRs at
918 layer 2/3 synapses suggests that synaptic learning rules can be modified during behavioral
919 states that affect cortical cholinergic tone during the active performance of an auditory task
920 (Kuchibhotla et al. 2017). The reduction of tLTP at the +10 ms interval suggests that a non-
921 modifiable weight may be useful in environmental situations where the attributes of sounds
922 are being identified and tracked, and where synapse-dependent changes and plasticity in
923 sensory processing provided by a normally dynamically changing layer 2/3 circuit in the
924 early parts of the cortical processing pathway would be detrimental to the consistent
925 recognition or discrimination of such sounds. However, these are only one of many distinct
926 synaptic circuits in a complex cortical network, and other synaptic connections may respond
927 to changes in cholinergic tone quite differently.

928

929 **Conflict of Interest:**

930 The authors have no competing financial interests to declare.

931

932 **Author Contributions**

933 D.R. and P.B.M. designed research. D.R. and M.B.K. performed electrophysiological
934 experiments. D.R., M.B.K., and P.B.M. analyzed data and wrote the manuscript.

935

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941

942

943 **Figure Legends**

944

945 Figure 1: Arrangement of stimulating and recording electrodes in layer 2/3 of auditory
946 cortex.

947 A. Low-magnification view of a thalamocortical slice showing the location of the stimulating
948 electrode (*s*) and the recording electrode (*r*) during an experiment. The boundaries of the
949 cortical area examined in this study are indicated by the dashed white lines. An indentation
950 associated with the net holding the slice in the chamber is also indicated (*net*). B. Current-
951 clamp recordings in response to current pulses from a layer 2/3 pyramidal cell, showing the
952 regular firing with adaptation typical of the recorded cells in this study. Top: Voltage traces.
953 Bottom: Injected current steps.

954

955 Figure 2: Changes in the strength of layer 2/3 auditory cortical synapses onto pyramidal
956 cells induced by repetitive pairing of EPSPs with postsynaptic spike bursts.

957 For each pairing interval, the left column summarizes the time course of the maximum
958 EPSP onset slope relative to the baseline slope (S/S_0). The right column shows example
959 EPSPs before and after pairing. A. -20 ms (post \rightarrow pre) leads to weak tLTD (average control
960 EPSP is shown in black, and the averaged post-pairing EPSP is shown in red). The inset in
961 A shows the pairing paradigm for negative intervals (panels A-D), where the EPSP is
962 elicited by presynaptic fiber stimulation, and the postsynaptic cell is forced to spike with a
963 train of 5 current pulses at 8 ms intervals. The pairing occurs at the time indicated by *S* and
964 the arrow in the graph in this and subsequent figures. The time indicated by the short

965 horizontal line in the inset represents the measurement of the pairing interval. N indicates
966 the number of cells. B. Comparison of averaged EPSPs during the baseline (black trace)
967 and over 25-40 minutes after pairing (red trace). The same conventions are used in the
968 remaining panels. C, D. -10 ms post \rightarrow pre pairing results in tLTD. E, F. Summary of
969 pre \rightarrow post pairing at $+10$ ms, with EPSPs preceding the postsynaptic spikes, results in tLTP.
970 G, H. Same paradigm as E, with pre \rightarrow post pairing at $+20$ ms showing no change on
971 average. I, J. Summary for pre \rightarrow post pairing at $+50$ ms, resulting in tLTD. The inset in I
972 illustrates the pairing paradigm used in panels E-J. The time indicated by the horizontal line
973 in the inset corresponds to the pairing interval. The time course of EPSP slope for individual
974 cells is shown by the faint gray lines. Error bars are SDs.

975

976 Figure 3: Summary of changes in synaptic strength across pairing intervals and for control
977 conditions.

978 A. Summary of EPSP slopes across pairing intervals, and a low-frequency pairing control
979 (0.1 Hz). Each point is an individual cell; boxes show median and interquartile distances;
980 whiskers show 5 and 95% confidence intervals. Black circles are data from the first set of
981 experiments; gray circles are from a second independent set of experiments at the $+10$ ms
982 interval (see text). The single asterisks indicate significant depression at -10 and $+50$ ms (p
983 < 0.05) when compared to the $+10$ ms interval; double asterisks indicate significant
984 ($p < 0.005$; combined datasets) tLTP at the $+10$ ms interval (1-way ANOVA, followed by
985 Tukey's test for all pairwise combinations of intervals). B. EPSP slopes showed a slight
986 depression with either no postsynaptic action potentials during the pairing period ("Pre" only
987 condition), or only action potentials and no EPSPs during the pairing period ("Post" only
988 condition).

989

990 Figure 4: Muscarinic receptor activation depresses synaptic transmission at layer 2/3

991 synapses onto auditory cortical pyramidal cells.

992 A. The cholinergic agonist carbachol (20 μ M, 5 mins) elicits a transient depression during

993 agonist application followed by a weak but continuing LTD after washout that lasts the

994 duration of the recording. B. The carbachol-induced transient depression is prevented by 10

995 μ M atropine, a nonselective mAChR antagonist. C. Carbachol-induced depression is not

996 inhibited by 75 nM pirenzepine. D. The muscarinic receptor-specific agonist,

997 Oxotremorine-M, produces a transient depression, similar to that produced by carbachol.

998 E. Application of the anticholinesterase eserine (1 μ M, 5mins) induces a weak reversible

999 transient depression. F. Depression of transmission with 20 μ M carbachol is not affected by

1000 0.05% DMSO. Data are from a separate set of cells than those shown in panel A. G. The

1001 M1 receptor-specific antagonist VU0255035 blunts the effects of carbachol (compare to

1002 panel F; $p < 0.02$; unpaired t-test). A-G. Error bars are SDs, and the time of drug application

1003 is shown by the horizontal bar in each graph. N indicates the number of cells in each data

1004 set. The time course of EPSP slope for individual cells is shown by the faint colored lines.

1005 H. Summary of slopes measured from average EPSPs for the first 10 minutes after drug

1006 application. Each point is the measurement for a single cell; light grey points with a black

1007 outline are from data in the second set of experiments. Boxes indicate the median and

1008 interquartile distances.

1009

1010 Figure 5. Summary of pharmacological activation and receptor block on excitability of layer

1011 2/3 pyramidal cells. A. Responses of a cell to injections of current in control conditions with

1012 a +100 pA pulse (blue traces) and \pm 200 pA pulses (black traces). B. Responses of the

1013 same cell to the same current pulses in the presence of 20 μ M carbachol. Carbachol

1014 increases the firing rate at both depolarizing current levels. C. Summary of firing rate with
1015 current level for control (black) and in the presence of carbachol (red). Carbachol increases
1016 the slope of the FI curve. D. Application of Oxotremorine-M (3 μ M) also increases the firing
1017 rate with increasing current. E. Pirenzepine (75 nM) reduces the effect of carbachol. F. A
1018 higher concentration of pirenzepine (10 μ M) completely blocks the effects of carbachol. G.
1019 Control showing increased firing in to 20 μ M carbachol in the presence of DMSO. H. The
1020 M1-receptor specific antagonist VU0255035 blocks the effects of carbachol.

1021

1022 Figure 6: mAChR activation modulates STDP. A. Summary of effects of carbachol (20 μ M)
1023 on pre-before-post pairing STDP at +10ms, as in Figure 2A. Carbachol (20 μ M) reduces
1024 tLTP. Solid line shows mean; error bars are 1 SD. The dashed line shows tLTP at +10 ms in
1025 control conditions (from Figure 2A). Gray lines show individual cells. B. The mAChR specific
1026 agonist Oxo-M also reduces tLTP. C. Eserine (1 μ M) likewise reduces tLTP after at +10ms.
1027 D. 4-DAMP and pirenzepine appear to not reverse carbachol's suppression of tLTP, but the
1028 effect is not significant. E. The M1-specific antagonist VU025035 does not prevent
1029 carbachol's effect on tLTP. F. Blocking M1 and M3 receptors with 4-DAMP and pirenzepine
1030 appears to prevent the reduction in tLTP produced by Oxo-M, but the effect is not
1031 significant. G. Chelating calcium with BAPTA prevents tLTP and induces a long-term LTD.
1032 H. Summary of EPSP slope changes for each manipulation. Boxes show mean, 25-75%
1033 and 5-95% (whiskers). Individual cells are coded according to when the data was collected
1034 (first set of experiments; solid black; second set, light gray with black outline). S/S_0 is the
1035 ratio of the EPSP slope relative to the baseline slope. "S" with arrow indicates the time that
1036 the pairing protocol was applied.

1037

1038 Figure 7. Summary of exploratory experiments at -10 and $+50$ ms. Data presentation
1039 format is the same as Figure 6. A. Carbachol appears to reduce tLTD at -10 ms intervals,
1040 but the effect is not significant. B. Eserine has no effect on tLTD produced at -10 ms
1041 intervals. C. 10 mM internal BAPTA has no effect on the tLTD produced at -10 ms intervals.
1042 D. Carbachol appears to prevent pre-before-post tLTP at $+50$ ms intervals. Dashed r lines in
1043 each panel indicate the mean of the corresponding timing STDP data from Figure 2. S/S_0 is
1044 the ratio of the EPSP slope relative to the baseline slope. "S" with arrow indicates the time
1045 that the pairing protocol was applied.

1046
1047 Figure 8: Activation of mAChRs reduces synaptically evoked NMDA currents. A. NMDA
1048 mediated synaptic currents were isolated by bathing the slice with CNQX ($10\mu\text{M}$) and
1049 picrotoxin ($50\mu\text{M}$), and cells were voltage clamped at $+40\text{mV}$. Carbachol ($20\mu\text{M}$, 5mins)
1050 reversibly reduced the amplitude of the isolated NMDA current. Black trace is control, red
1051 trace is carbachol, grey is after carbachol washout. B. Summary of carbachol reduction of
1052 NMDA currents (*, $p < 0.05$, paired-t-test, $N=13$ cells). C. The paired pulse ratio (PPR) of the
1053 NMDA receptor currents measured at a 50 ms interval was unaffected by carbachol ($p >$
1054 0.05 , paired t-test, $N=7$ cells), suggesting a postsynaptic site of action. Horizontal blue bars
1055 in B and C show the means for each condition.

1056
1057 Figure 9. Stimulating and recording arrangement and protocols for calcium imaging
1058 experiments. A. Bright-field image showing cells in layer 2/3 and the location of the
1059 stimulating pipette and recording electrode. B. Fluorescent image of the field in A, showing
1060 a dye-filled pyramidal cell and the position of the stimulating pipette near the proximal
1061 dendrite. The white and gray boxes outline the ROIs analyzed (in an 8×8 binned image),

1062 and the white box indicates the ROI with the largest response to combined extracellular
1063 stimulation and evoked action potentials. In this case, this largest response occurred from
1064 the ROI closest to the stimulating electrode. C. Stimulation protocol pattern used in the
1065 imaging experiments. Four conditions (1: presynaptic stimulation alone, 2: presynaptic
1066 stimulation with postsynaptic action potentials at +50 ms, 3: presynaptic stimulation with
1067 postsynaptic action potentials elicited at +10 ms, and 4: postsynaptic action potentials
1068 alone) were interleaved and repeated over a 15-minute period. 20 μ M carbachol was bath-
1069 applied to the slice starting at 4 minutes, and ending at 9 minutes.

1070

1071 Figure 10: mAChR activation increases action potential evoked calcium influx in layer 2/3
1072 pyramidal neuron dendrites. A-E. Experiments performed using the low-affinity indicator
1073 Fluo5F (N=14 cells). A1. Spike trains evoked by somatic current pulses were used to
1074 depolarize cells 50 ms after a presynaptic EPSP. Traces are average voltages during the
1075 control period (0-5 minutes in Figure 9). Black trace: no EPSP, red trace, with EPSP. A2.
1076 Calcium transients from a dendritic region of interest recorded simultaneously with the
1077 traces in A1. In the selected ROIs (see text), the pairing of the EPSP and AP produced a
1078 larger calcium transient than associated with the AP alone. A3. Summary of the integrated
1079 fluorescence signal compared between the two conditions. The fluorescence signal from the
1080 50 ms EPSP-AP interval data was used to select the ROI that showed the largest increase
1081 with the EPSP. Each cell is consistently shown in a different color in A3-G3. See text for
1082 statistical analysis. B1-B3. Similar to A1-A3, except with a +10 ms EPSP-AP interval (blue
1083 trace with EPSP). The integrated responses in B3 for the +10-ms interval were from the
1084 same ROI's selected in A3 for the 50-ms interval. C1-C3. EPSPs alone do not lead to
1085 detectable calcium-dependent fluorescence transients. D1-D3. APs alone produce a
1086 calcium signal that is increased in the presence of carbachol. E1-E3. No calcium signals

1087 were detected when only EPSPs were generated in the presence of carbachol. In D and E,
1088 the black traces show responses in control conditions and the grey traces show responses
1089 in the presence of carbachol. F1-F3. In the presence of carbachol, pairing EPSPs 50 ms
1090 (magenta trace) prior to APs leads to a small but significant increase in the calcium signal.
1091 G1-G3: There was no difference in the calcium signal with +10 ms pairing (dark green trace
1092 is with EPSP). All data shown in A1, A2, B1, B2, C1, C2, D1, D2, E1, E2, F1 and F2 are
1093 from one cell. H-N. Recordings from a different cell using Asante Calcium Red as the
1094 calcium indicator (N=7 cells), with the same conditions as shown in panels H-N, show a
1095 similar pattern of results. The calibration bar in A1 applies to B1-G1 and H1-N1. The
1096 calibration bar in A2 applies to B2-G2. The calibration bar in H2 applies to I2-N2. Dashed
1097 lines in A1-G1 and H1-N1 indicate the resting potential. Dashed lines in A2-N2 indicate
1098 resting fluorescence signals. Asterisks indicate statistically significant differences: *=p<0.05;
1099 **=p<0.01; ***=p<0.002 (see text for details).

05 July 2019

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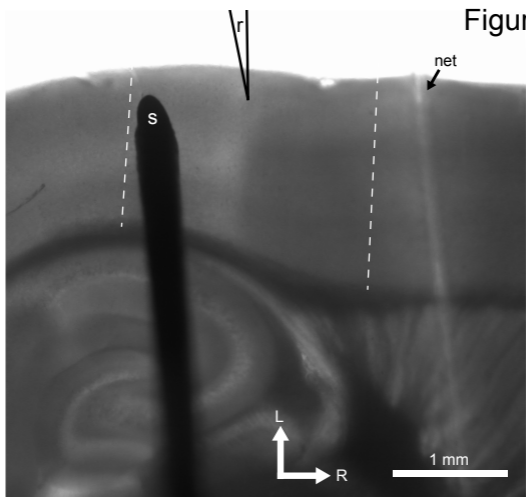
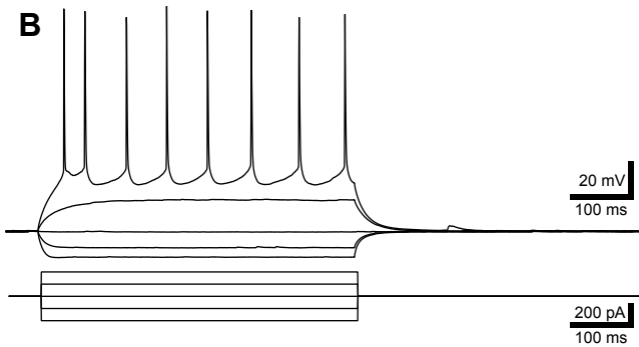
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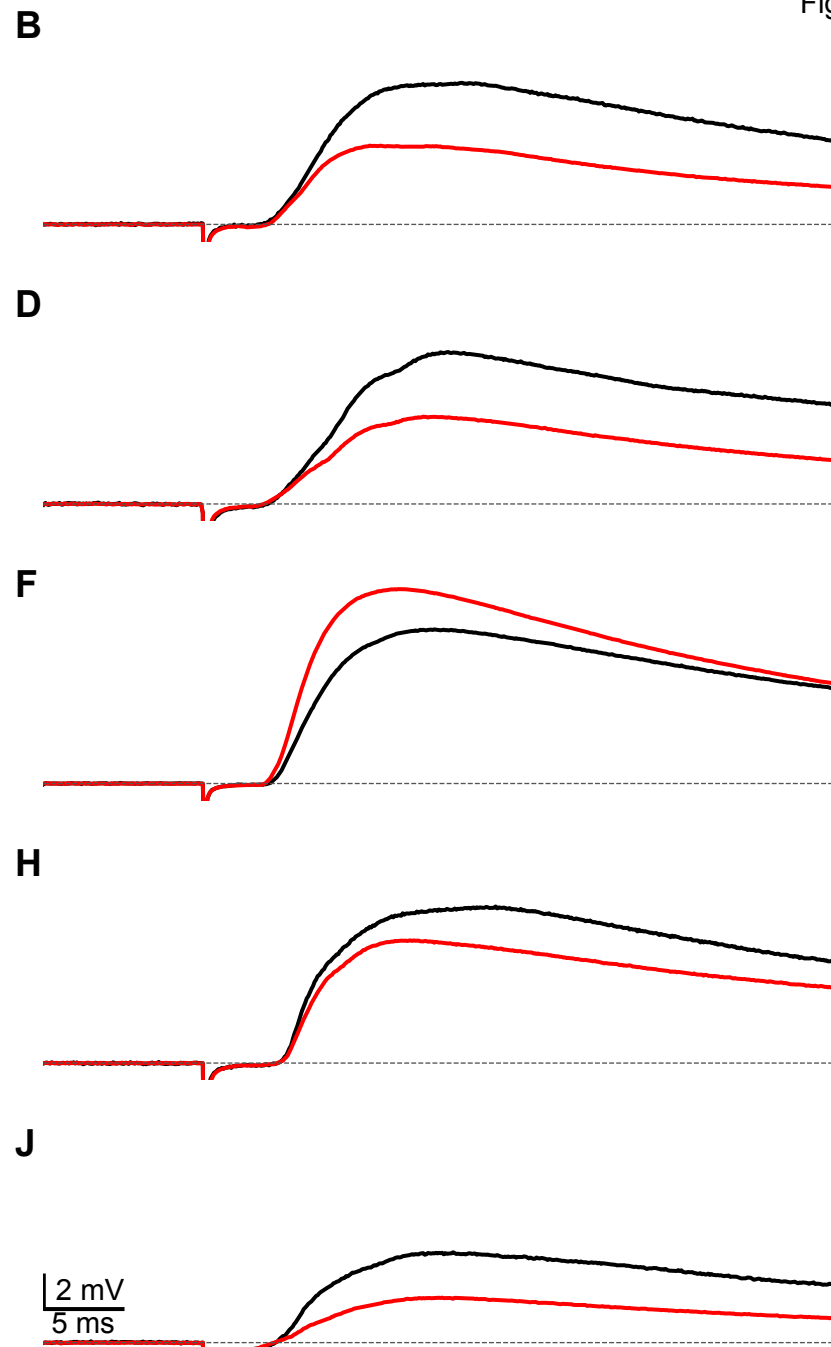
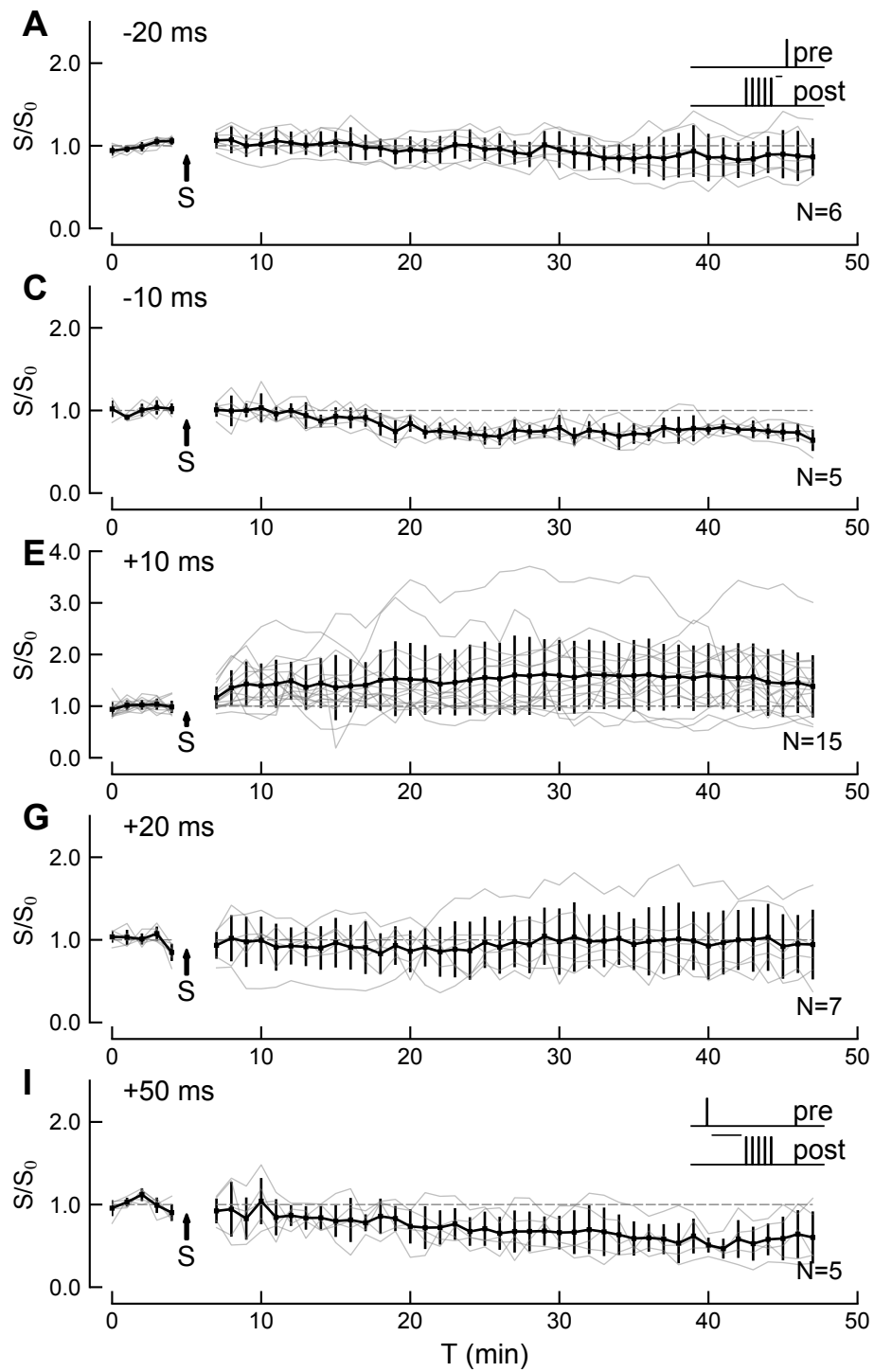
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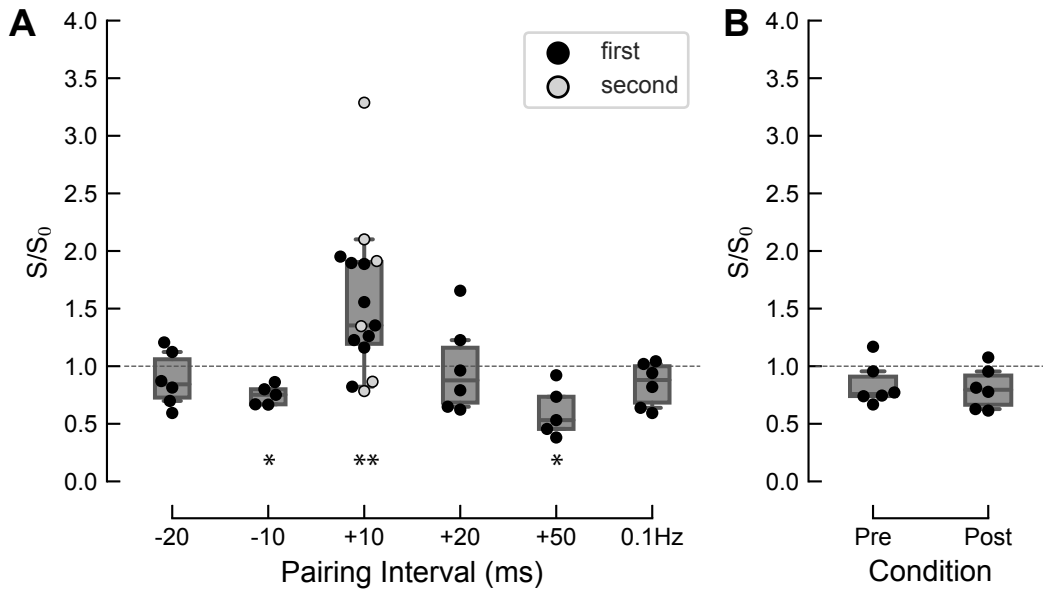
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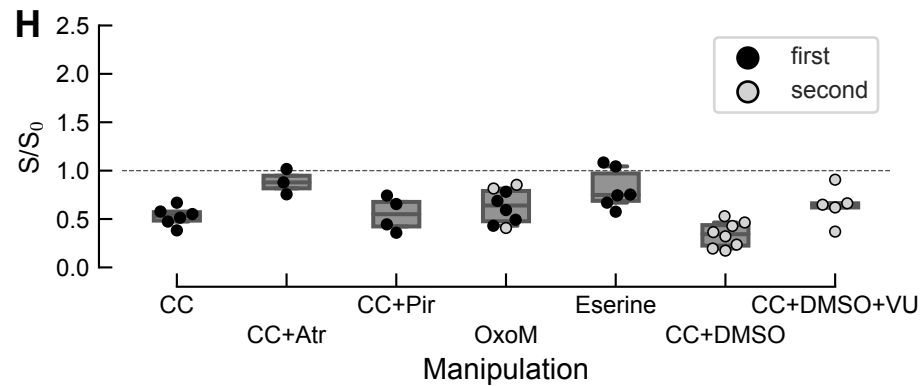
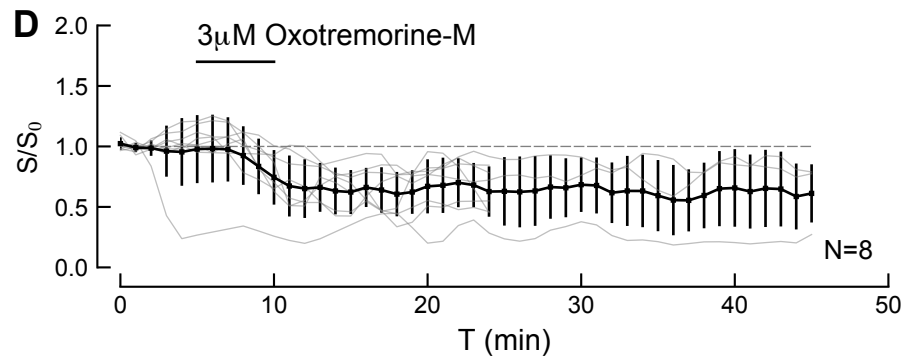
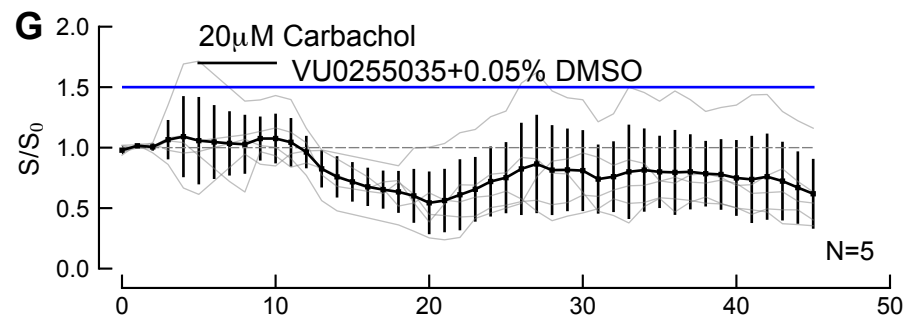
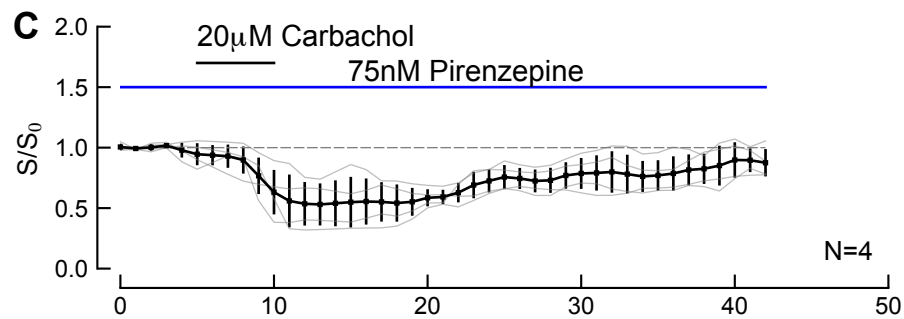
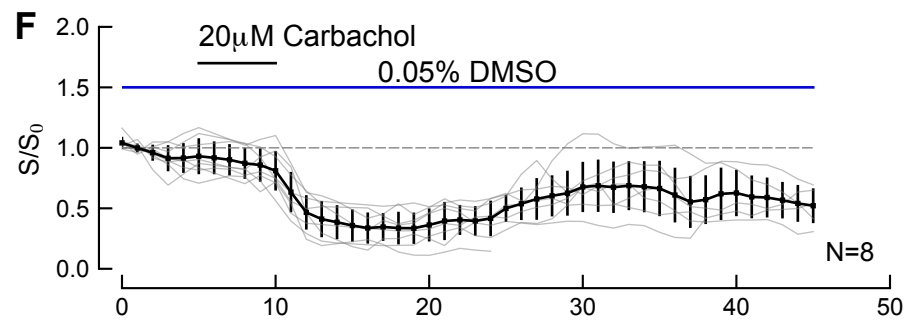
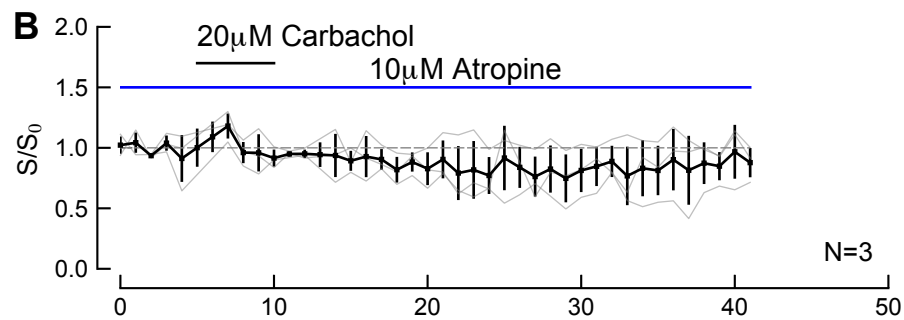
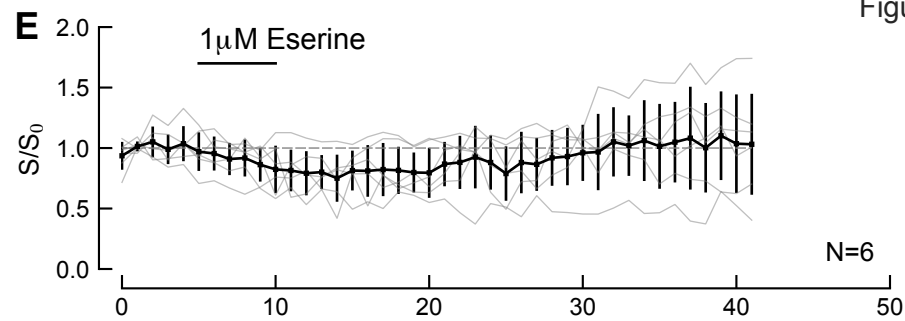
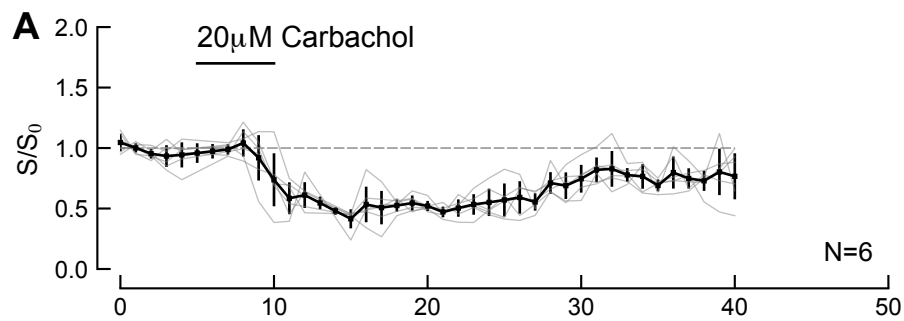
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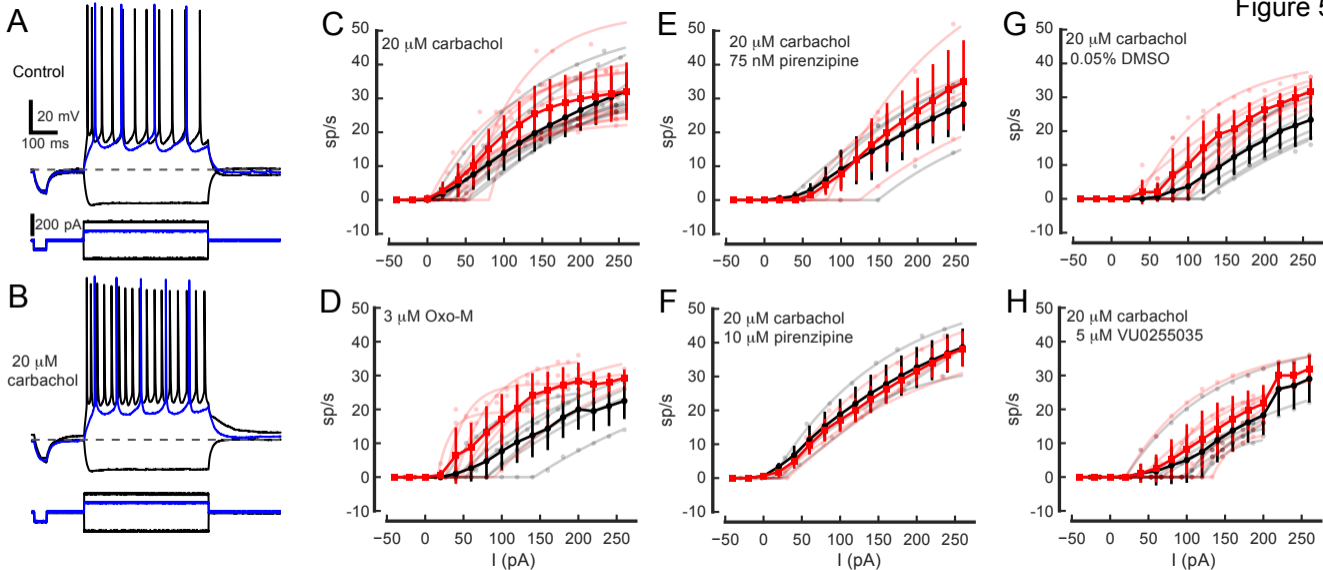
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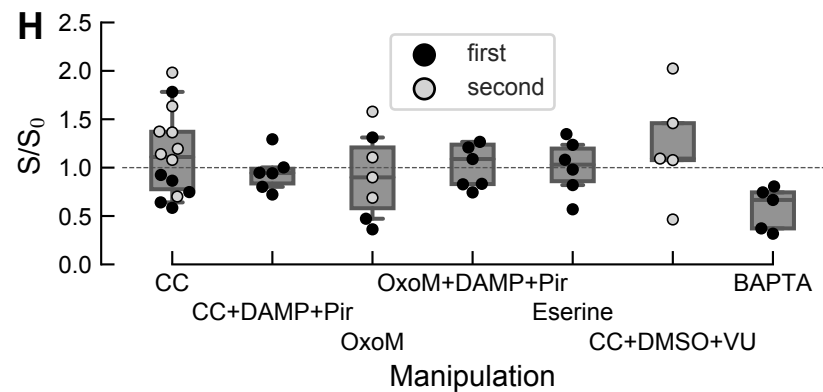
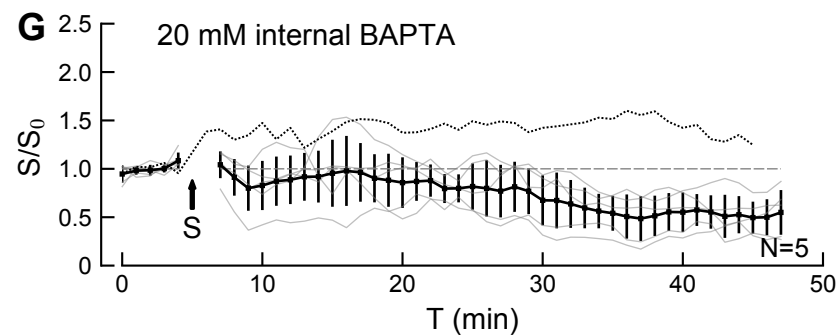
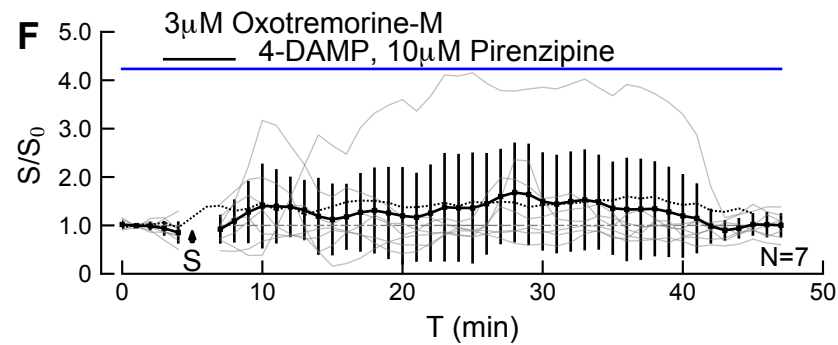
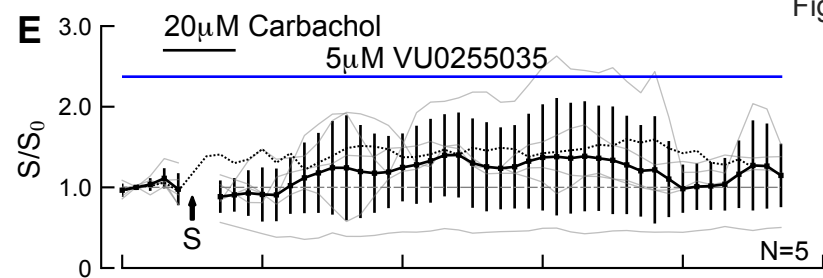
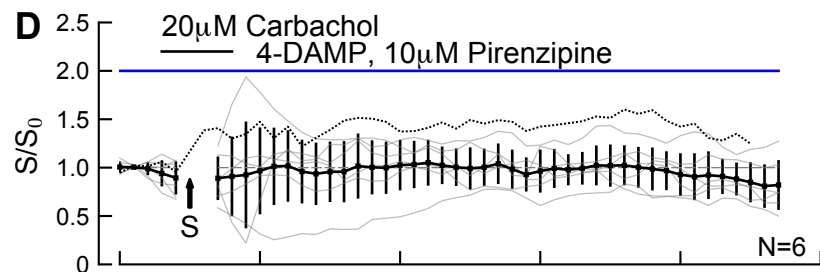
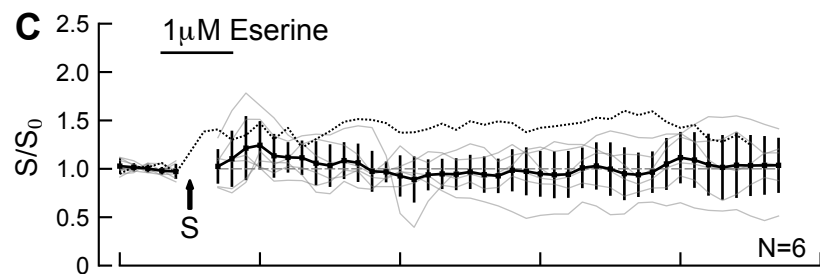
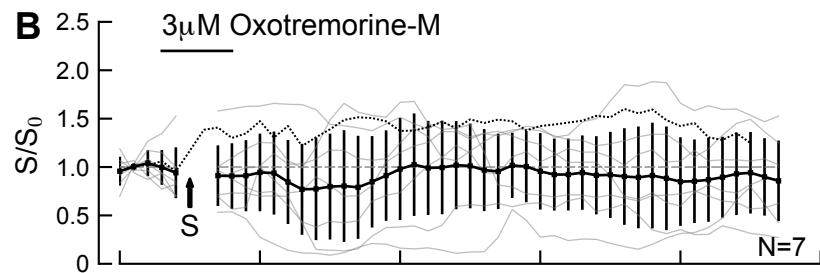
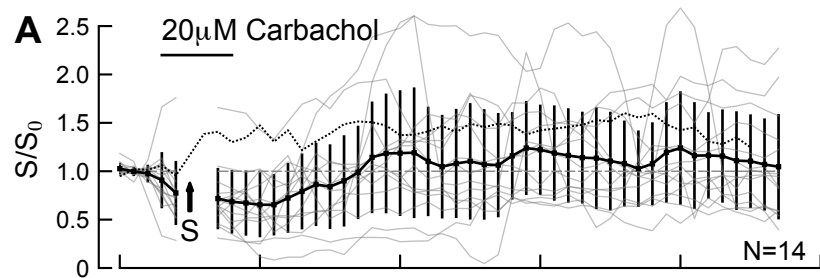
A**B**

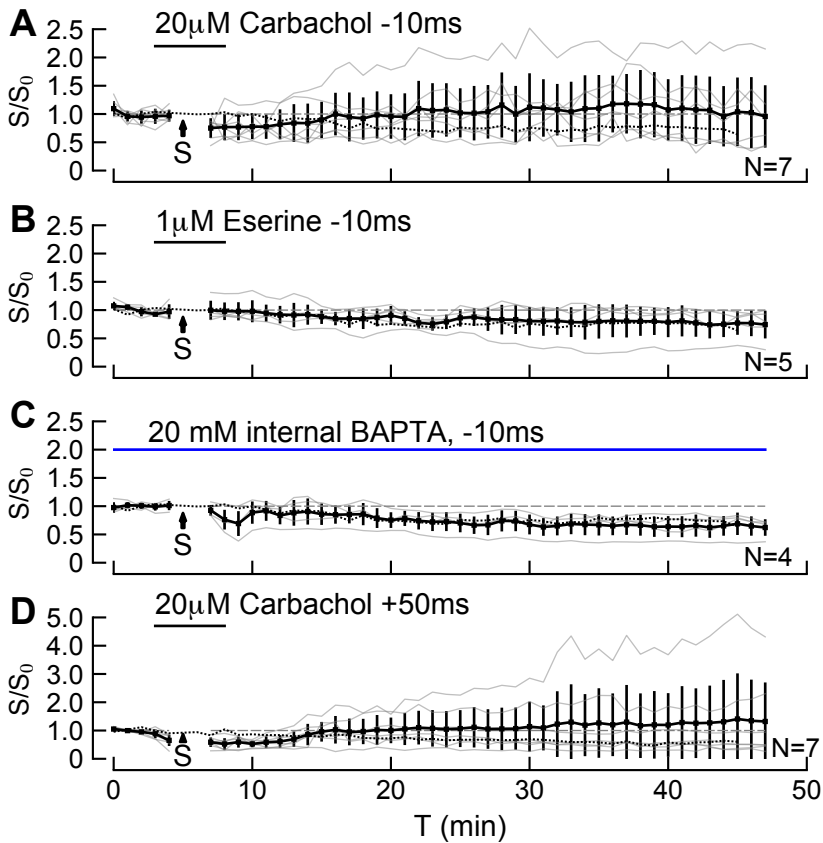




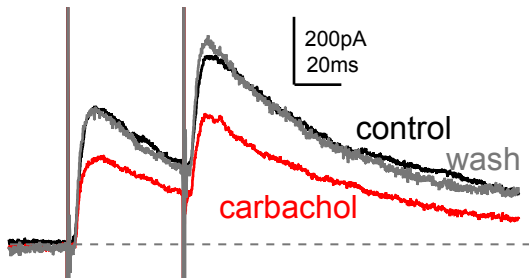




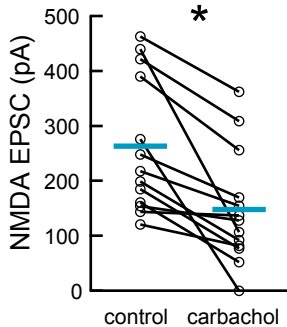




A



B



C

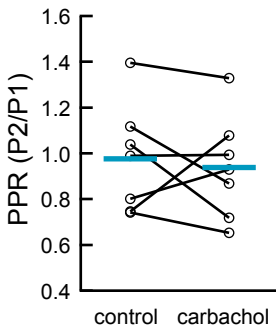


Figure 9

