Genome sequencing of *Musa acuminata* Dwarf Cavendish reveals a duplication of a large

segment of chromosome 2

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Abstract

Different *Musa* species, subspecies, and cultivars are currently investigated to reveal their

genomic diversity. Here, we compare the genome sequence of one of the commercially most

important cultivars, *Musa acuminata* Dwarf Cavendish, against the Pahang reference genome

assembly. Numerous small sequence variants were detected and the ploidy of the cultivar

presented here was determined as triploid based on sequence variant frequencies. Illumina

sequence data also revealed a duplication of a large segment on the long arm of chromosome 2

in the Dwarf Cavendish genome. Comparison against previously sequenced cultivars provided

evidence that this duplication is unique to Dwarf Cavendish. Although no functional relevance of

this duplication was identified, this example shows the potential of plants to tolerate such

aneuploidies.

keywords: banana, pan-genomics, small sequence variants, crop genome assembly

Bananas (*Musa*) are monocotyledonous perennial plants. The edible fruit (botanically a berry)

belongs to the most popular fruits in the world. In 2016, about 5.5 million hectares of land were

used for the production of more than 112 million tons of bananas (FAO 2019). The majority of

bananas were grown in Africa, Latin America, and Asia where they offer employment

opportunities and are important export commodities (FAO 2019). Furthermore, with an annual

per capita consumption of more than 200 kg in Rwanda and more than 100 kg in Angola,

bananas provide food security in developing countries (FAO 2019; Arias *et al.* 2003). While

plantains or cooking bananas are commonly eaten as a staple food in Africa and Latin America,

the softer and sweeter dessert bananas are popular in Europe and Northern America. Between

1998 and 2000, around 47 % of the world banana production and the majority of the dessert

banana production relied on the Cavendish subgroup of cultivars (Arias *et al.* 2003). Therein the

Dwarf Cavendish banana ("Dwarf" refering to the height of the pseudostem, not to the fruit size)

is one of the commercially most important cultivars, along with Grand Naine ("Chiquita

banana").

Although Cavendish bananas are almost exclusively traded internationally, numerous varieties

are used for local consumption in Africa and Southeast Asia. Bananas went through a long

domestication process which started at least 7,000 years ago (Denham *et al.* 2003). The first

step towards edible bananas was interspecific hybridisation between subspecies from different

regions, which caused incorrect meiosis and diploid gametes (Perrier *et al.* 2011). The diversity

of edible triploid banana cultivars resulted from human selection and triploidization of *Musa*

acuminata as well as *Musa balbisiana* (Perrier *et al.* 2011).

These exciting insights into the evolution of bananas were revealed by the analysis of genome

sequences. Technological advances boosted sequencing capacities and allowed the

(re-)sequencing of genomes from multiple subspecies and cultivars. *M. acuminata* can be

divided into several subspecies and cultivars. The first *M. acuminata* (DH Pahang) genome

sequence has been published in 2012 (D'Hont *et al.* 2012), many more genomes have been

sequenced recently including: banksii, burmannica, zebrina (Rouard *et al.* 2018), malaccensis

(SRR8989632, SRR6996493), Baxijiao (SRR6996491, SRR6996491), Sucrier : Pisang_Mas

(SRR6996492). Additionally, the genome sequences of other *Musa* species, *M. balbisiana*

(Davey *et al.* 2013), *M. itinerans* (Wu *et al.* 2016), and *M. schizocarpa* (Belser *et al.* 2018), have

already been published.

Here we report about our investigation of the genome of *M. acuminata* Dwarf Cavendish, one of

the commercially most important cultivars. We identified an increased copy number of a

segment of the long arm of chromosome 2, indicating that this region was duplicated in one

haplophase.

Materials and Methods

Plant material and DNA extraction

Musa acuminata Dwarf Cavendish tissue culture seedlings were obtained from FUTURE

EXOTICS/SolarTek (Düsseldorf, Germany) (Figure 1). Plants were grown under natural daylight

at 21°C. Genomic DNA was isolated from leaves following the protocol of Dellaporta *et al.*

(1983).

Figure 1: Nine month old *M. acuminata* Dwarf Cavendish plant.

Library preparation and sequencing

Genomic DNA was subjected to sequencing library preparation via the TrueSeq v2 protocol as

previously described (Pucker *et al.* 2016). Paired-end sequencing was performed on an Illumina

HiSeq1500 and NextSeq500, respectively, resulting in 2x250 nt and 2x154 nt read data sets

with an average Phred score of 38. These data sets provide 55x and 65.6x coverage,

respectively, for the approximately 523 Mbp (D'Hont *et al.* 2012) haploid banana genome.

Read mapping, variant calling, and variant annotation

All reads were mapped to the DH Pahang v2 reference genome sequence via BWA-MEM v0.7

(Li 2013) using –M to flag short hits for downstream filtering. This read mapping was analysed

by the HaplotypeCaller of the Genome Analysis ToolKit (GATK) v3.8 (McKenna *et al.* 2010; Van

der Auwera *et al.* 2013) to identify sequence variations in single nucleotides, called "single

nucleotide variants" (SNVs), and also insertions/deletions (InDels). SNVs and InDels were

called using the following filter rules in accordance with the GATK developer recommendation:

'QD<2.0', 'FS>60.0', and 'MQ<40' for SNVs and 'QD<2.0' and 'FS>200.0' for InDels. An InDel

length cutoff of 100 bp was applied to restrict downstream analyses to a set of high quality

variants called from 2x250nt reads. Only variants supported by at least five reads were kept.

The resulting variant set was subjected to SnpEff (Cingolani *et al.* 2012) to assign predictions

about the functional impact to the variants in the set. Variants with disruptive effects were

selected using a customized Python script as described earlier (Pucker *et al.* 2016).

The genome-wide distribution of SNVs and InDels was assessed based on previously

developed scripts (Baasner *et al.* 2019). The length distribution of InDels inside coding

sequences was compared to the length distribution of InDels outside coding sequences using a

customized Python script (Pucker *et al.* 2016).

De novo **genome assembly**

Trimmomatic v0.38 (Bolger *et al.* 2014) was applied to remove low quality sequences (i.e. four

consecutive bases below Phred 15) and remaining adapter sequences (based on similarity to all

known Illumina adapter sequences). Different sets of trimmed reads were subjected to

SOAPdenovo2 (Luo *et al.* 2012) for assembly using optimized parameters (Pucker *et al.* 2019)

including avg_ins=600, asm_flags=3, rd_len_cutoff=300, pair_num_cutoff=3, and map_len=100.

K-mer sizes ranged from 67 to 127 in steps of 10. Resulting assemblies were evaluated using

previously described criteria (Pucker *et al.* 2019) including general assembly statistics (e.g.

number of contigs, assembly size, N50, and N90) and a BUSCO v3 (Simão *et al.* 2015)

assessment. Polishing was done by removing potential contaminations and adapters as

described before (Pucker *et al.* 2019). The DH Pahang v2 assembly (D'Hont *et al.* 2012; Martin

et al. 2016) was used in the contamination detection process to distinguish between *bona fide*

banana contigs and sequences of unknown origin. Contigs with high sequence similarity to non-

plant sequences were removed as previously described (Pucker *et al.* 2019). Remaining contigs

were sorted based on the DH Pahang v2 reference genome sequence and concatenated to

build pseudochromosomes to facilitate downstream analyses. A *de novo* Dwarf Cavendish

assembly generated with a K-mer size of K=127 was choosen to give statistics.

Data Availability Statement

Sequencing datasets were submitted to the European Nucleotide Archive (ERR3412983,

ERR3412984, ERR3413471, ERR3413472, ERR3413473, ERR3413474). Python scripts are

freely available on github (https://github.com/bpucker/banana). SNVs and InDels detected

between the *M. acuminata* cultivars DH Pahang and Dwarf Cavendish are available in VCF

format at http://doi.org/10.4119/unibi/2937972. The Dwarf Cavendish genome assembly is

available in FASTA format at http://doi.org/10.4119/unibi/2937697.

Supplementary Material

File S1. Per chromosome read coverage distribution of Dwarf Cavendish reads.

File S2. Comparison of SNVs in the duplicated segment on the long arm of chromosome 2 to all

other SNVs in the genome. The higher read coverage at variants indicates a duplication of this

region.

File S3. List of public genomic banana sequence read samples used for comparison against

Dwarf Cavendish based on the DH Pahang reference.

File S4. Coverage plots of public genomic banana sequence read samples (File S1) for

comparison against Dwarf Cavendish based on the DH Pahang reference. Samples are: *Musa*

acuminata, *Musa acuminata* AYP_BOSN_r1, *Musa acuminata* ssp. banksii, *Musa acuminata*

ssp. burmannica, *Musa acuminata* Cavendish BaXiJiao, *Musa acuminata* Gros Michel, *Musa*

acuminata ssp. malaccensis, *Musa acuminata* Sucrier (Pisang Mas), *Musa acuminata* Sucrier

(Pisang Mas 1998-2307), *Musa acuminata* ssp. zebrina (blood banana), *Musa balbisiana*

Pisang Klutuk Wulung, *Musa itinerans*, *Musa schizocarpa.*

File S5. List of selected high impact variants between Dwarf Cavendish and DH Pahang with
resulting effects predicted by SnpEff.

Results and Discussion

Structural variants

Mapping of *M. acuminata* Dwarf Cavendish reads against the DH Pahang v2 reference
sequence assembly revealed several copy number variations in different parts of the genome
(Figure 2, File S1). The most remarkable difference between the Dwarf Cavendish and Pahang
genome sequence is the amplification of an about 6.2 Mbp continuous region (length deduced
from the reference genome) on the long arm of chromosome 2 (Figure 2, File S1, S2). An
investigation of allele frequencies in the duplicated segment on chromosome 2 revealed that
this duplication originates from a haplophase with high similarity to the reference sequence
(Figure 3). Such a duplication was not observed in any of the other publicly available genomic
sequencing data sets when compared against the DH Pahang v2 genome sequence (File S3,
S4). Apparently, read mapping also indicates at least four large scale deletions in Dwarf
Cavendish compared to Pahang v2 on chromosomes 2, 4, 5 and 7 (Figure 2). However,
analysis of the underlying sequence revealed long stretches of ambiguous bases (Ns) at these
positions in the Pahang assembly as the cause for these pseudo low coverage regions.

Figure 2: Coverage distribution. Chromosomes are ordered by increasing number with the north end on the left hand side. Centromere positions (D'Hont *et al.* 2012) are indicated by thin vertical grey lines. Mapping of *M. acuminata* Dwarf Cavendish reads against the DH Pahang v2 reference sequence assembly revealed a 6.2 Mbp tetraploid region on the long arm of chromosome 2 in Dwarf Cavendish (see enlarged box in the upper right). Apparent large scale deletions, indicated by regions with almost zero coverage, are technical artifacts caused by large stretches of ambiguous bases (Ns) in the Pahang assembly that cannot be covered by reads; these artifacts are marked with horizontal grey lines. Plots with higher per chromosome resolution data are presented in File S1.

Ploidy of *M. acuminata* Dwarf Cavendish

Based on the coverage of small sequence variants (SNVs and InDels), the ploidy of Dwarf Cavendish was identified as triploid (Figure 3). Many heterozygous variant positions display a frequency of the reference allele close to 0.33 or close to 0.66. This fits the expectation for two copies of the reference allele and one copy of a different allele, or *vice versa*. Deviation from the precise values is explained by random fluctuation of the read distribution at the given position.

Since the peak around 0.66 for the frequency of the allele identical to the reference is
substantially higher than the peak around 0.33, it is reasonable to assume that two haplophases
are very similar to the reference. The third haplophase is the one that contains more deviating
positions and differs more from the reference. It is likely that reads of the divergent haplophase
are mapped with a slightly reduced rate. This might explain why the peak at 0.66 is slightly more
than twice the size of the peak at 0.33. In the duplicated segment on chromosome 2 the allele
frequency peaks are shifted to 0.25 and 0.75 (Figure 3), indicating a tetraploid region with three
haplophases identical to the reference and one haplophase divergent from the Pahang
reference.

To be able to test and prove or disprove hypotheses regarding differences of the haplophases
of the Dwarf Cavendish genome, a high continuity phased assembly would be needed. Up-to-
date long read sequencing technologies like Single Molecule Real-Time (Pacific Biosciences) or
nanopore sequencing (Oxford Nanopore Technologies) in principle allow to generate such
assemblies. However, successful phase separation currently requires tools like TrioCanu (Koren
et al. 2018) which use Mendelian relationships between parents and F1 (i.e. crosses) for
assignment of reads to phases. Generation of such datasets will be very difficult for banana and
goes significantly beyond the scope of this study.

Figure 3: Allele frequency histogram. Visualisation of mapping results of Dwarf Cavendish
Illumina reads against the Pahang v2 reference sequence, used for the determination of SNV
frequencies. The frequencies of the reference allele at SNV positions are displayed here,
excluding those positions at which the Pahang reference sequence deviates from an invariant
sequence position of Dwarf Cavendish. Black vertical lines indicate allele frequencies of 0.33,
0.5, and 0.66, respectively. SNVs in the duplicated segment on the long arm of chromosome 2
(magenta) are distinguished from all other variants (lime). Within the duplicated segment on
chromosome 2, the frequency of the reference alleles is often 0.75 or 0.25 indicating the
presence of three similar alleles and one diverged allele.

Genome-wide distribution of small sequence variants

In total, 10,535,983 SNVs and 1,466,047 InDels were identified between the Dwarf Cavendish
reads and the Pahang v2 assembly (see Data availability above). The genome-wide distribution

of these variants is shown in Figure 4. As previously observed in other re-sequencing studies

(Pucker *et al.* 2016), the number of SNVs exceeds the number of InDels substantially.

Moreover, InDels are more frequent outside of annotated coding regions. Inside coding regions,

InDels show an increased proportion of lengths which are divisible by 3, a bias introduced due

to the avoidance of frameshifts.

SnpEff predicted 4,163 premature stop codons, 3,238 lost stop codons, and 8,065 frameshifts

based on this variant set (File S5). Even given the larger genome size, these numbers are

substantially higher than high impact variant numbers observed in re-sequencing studies of

homozygous species before (Pucker *et al.* 2016; Xu *et al.* 2019). One explanation could be the

presence of three alleles for each locus leading to compensation of disrupted alleles. Since

banana plants are propagated vegetatively, breeders do not suffer inbreeding depressions.

Figure 4: Genome-wide distribution of small sequence variants. SNVs (green) and InDels (magenta) distinguish Dwarf Cavendish from Pahang. Variants were counted in 100 kb windows and are displayed on two different y-axes to allow maximal resolution (Pucker *et al.* 2016).

De novo genome assembly

To facilitate wet lab applications like oligonucleotide design and validation of amplicons, the genome sequence of Dwarf Cavendish was assembled *de novo*. The assembly comprises 256,523 scaffolds with an N50 of 5.4 kb (Table 1). Differences between the three haplophases are one possible explanation for the low assembly contiguity. The assembly size slightly exceeds the size of one haplotype. Due to the low contiguity of this assembly and only minimal above 50% complete BUSCOs (Benchmarking Universal Single-Copy Orthologs) (Simão *et al.* 2015), annotation was omitted. Nevertheless, we successfully used the produced genome assembly for primer design and detection of small sequence variants.

242   **Table 1: *M. acuminata* Dwarf Cavendish *de novo* genome assembly statistics.**

Parameter	Value
Number of scaffolds	256,523
Maximal scaffold length	240,314 bp
Assembly size	963,409,601 bp (0.96 Gbp)
GC content	38.78 %
N50	5,432 bp
N90	1,592 bp

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