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- 1 **Title:** Complexity matching: brain signals mirror environment information patterns during music
- 2 listening and reward
- 3 Abbreviated title (50 character max): Brain-environment complexity matching
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- 10 Number of pages: 39
- 11 Number of figures: 11
- 12 Number of Words
- 13 Abstract: 248
- 14 **Introduction:** 587
- 15 **Discussion:** 1473
- 16 **Conflict of interest statement:** The authors declare no competing financial interests.
- 17 Acknowledgements: The authors wish to thank Natasa Kovačević for her work on the EEG
- 18 preprocessing pipeline, as well as essential input at multiple levels of the Matlab scripting
- 19 process.
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Abstract

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22 Understanding how the human brain integrates information from the environment with 23 ongoing, internal brain signals in order to produce individual perspective is an essential element 24 of understanding the human mind. Brain signal complexity, measured with multiscale entropy, 25 has been employed as a measure of information processing in the brain (Carpentier et al., 2016), 26 and we propose that it can also be used to measure the information available from a stimulus. We 27 can directly assess the correspondence, or functional isomorphism, between brain signal 28 complexity and stimulus complexity as an indication of how well the brain reflects the content of 29 the environment in an analysis that we termed *complexity matching*. Music makes an ideal 30 stimulus input because it is a multidimensional, complex signal, and because of its emotion and 31 reward-inducing potential. We found that electroencephalography (EEG) complexity was lower 32 and more closely resembled the musical complexity when participants performed a perceptual 33 task that required them to closely track the acoustics, compared to an emotional task that asked 34 them to think about how the music made them feel. Music-derived reward scores on the 35 Barcelona Music Reward Questionnaire (Mas-Herrero et al., 2013) correlated with worse 36 complexity matching and higher EEG complexity. Compared to perceptual-level processing, 37 emotional and reward responses are associated with additional internal information processes 38 above and beyond those in the external stimulus.

39	Significance Statement: Experience of our world is combination of the input from the
40	environment, our expectations, and individual responses. For example, the same piece of music
41	can elict happiness in one person and sadness in another. We researched this by measuring the
42	information in pieces of music and whether listener's brain more closely followed that, or
43	whether additional information was added by the brain. We noted when listener's were reacting
44	to how music made them feel, their brains added more information and the degree to which this
45	occurred related to how much they find music rewarding. Thus, we were able to provide clues as
46	to how the brain integrates incoming information, adding to it to provide a richer perceptual and
47	emotional experience.
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61	brain signals and those in the individual's environment. Furthermore, we were interested in
62	whether this correspondence would be related to the cognitive-affective state of the individual.
63	Music offers an ideal stimulus from which to measure information content. Complicated
64	music structures are created following application of combination rules to subordinate motifs.
65	This makes it possible to describe a functional isomorphism between the brain and environment
66	information by calculating music complexity and comparing it to the complexity of brain signals
67	of the listener. We propose that this measure of comparison between EEG complexity and music
68	complexity, or <i>complexity matching</i> , will provide a relative indication of the degree to which
69	environmental information structure is reflected in brain signal structure.
70	Complexity matching is calculated as Procrustes distance (Gower, 1975) between the
71	music and EEG multiscale entropy (MSE). MSE calculates sample entropy at multiple
72	timescales (Costa et al., 2002, 2005). Like brain signals, music also has structure at multiple
73	timescales, and MSE seems an appropriate measure of complexity for a given music passage.
74	Procrustes distance produces a quantity of similarity between the structure of the music and the
75	structure of the ensuing brain signals. A relatively high value of matching together with lower
76	EEG MSE would suggest that the brain has activated the necessary information processing
77	resources for immediate perception, and little else. Conversely, relatively lower complexity
78	matching but high neural complexity would suggest that internal processes, different from
79	immediate stimulus perception, dominate the neural response. This metric was inspired by the
80	ideas in Tononi et al. (Tononi et al., 1996)
81	The level of brain-environment information integration may be related to cognition and
82	subjective perspective. To examine the relationship between brain-environment matching and
83	cognitive-affective state, we calculated complexity matching while participants performed a

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84 music perception task and a music emotion evocation task. We expect that active attention to the 85 acoustics of the music during the perceptual task will be accompanied by brain signal complexity 86 that more closely resembles the music, compared to the emotional task that involves additional 87 internally processes and, therefore, will provide less of a match to the environment. 88 We also analyzed the relationship between complexity matching and music-derived 89 reward scores from the Barcelona Music Reward Questionnaire (BMRQ). The ability to perceive 90 musical structure is essential to the enjoyment of music (Meyer, 1956; Huron, 2006): therefore, it 91 is possible that a certain minimum quantity of complexity matching may be required for the 92 listener to have the necessary appreciation of the underlying 'gist' or skeleton structure of the piece. Perhaps without sufficient neural integration of music signals, the listener would be unable 93 94 to perceive separate noise sounds as unified. In accordance with our prediction that the emotional 95 task will be associated with a lower complexity match than the perceptual task, we expect that 96 higher music reward involves internally-driven, individual responses and therefore will correlate 97 with higher complexity and lower complexity matching. 98 99 **Materials and Methods** 100 **Participants** 101 Eighteen healthy young adults aged 19-35 (M = 26; 10 female) were recruited from the 102 Greater Toronto Area to take part in the study and provided written informed consent in 103 accordance with the joint Baycrest Centre-University of Toronto Research Ethics Committee. 104 Prior to arriving to the lab for the experimental session, participants completed an online 105 questionnaire about their music listening habits, and musical training was assessed as a 1-5 scale: 106 1) No formal training, cannot play an instrument; 2) Can play an instrument without formal

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107 training; 3) Less than 1 year of formal music training; 4) Between 1-5 years of formal training;

and 5) More than 5 years of formal training.

109 Barcelona Music Reward Questionnaire

Music reward is highly individual, and the BMRQ was developed to describe some of the main facets of the variance in how people experience reward from music listening (Mas-Herrero et al., 2013). Participants are asked to indicate the level of agreement with each of 20 statements by using a 5-point scale ranging from (1) "fully disagree" to (5) "fully agree," with a higher score indicating the subject experiences more music reward and a lower score indicating they do not experience music associated rewarding feelings. These statements represent five major factors of music reward: (1) Emotional Evocation; (2) Mood Regulation; (3) Musical Seeking;

117 (4) Social Reward; and (5) Sensory-Motor.

118 *Emotional Evocation* refers to the idea that music can both convey and induce emotion 119 (also referred to as emotional contagion), such as joy or sadness, and that listeners might seek out 120 music that contains emotion (Juslin and Laukka, 2004; Juslin and Västfjäll, 2008; Vuoskoski and 121 Eerola, 2012). The BMRQ distinguishes evoked feelings, which may be short-lived and vary 122 across a single music piece; from the way some listeners use music to alter their own longer 123 lasting mood or hedonic state after the song has finished (e.g. Carter, Wilson, Lawson, & Bulik, 124 1995; Västfjäll, 2001). *Mood Regulation* refers to the idea that music can be used to comfort, 125 relieve stress, or enhance relaxation (for a review see Juslin & Sloboda, 2010), and a particular 126 point has been raised about the use of music in marketing or film to manipulate and induce 127 hedonic states (Cohen, 2001). *Musical Seeking* can also be referred to as "knowing about music." 128 This facet describes that some listeners get reward from extracting, pursuing, sharing, and 129 seeking information regarding specific music pieces, composers, performers, or other

130	information related to music. Listeners may also experience pleasure when recognizing music
131	quotations or allusions to other works. Social Reward may be gained by music through its
132	enhancement of social bonds or social cohesion (Cross and Morley, 2009). Lastly, the Sensory-
133	Motor facet captures reward experienced by the pull music has over some people to move to
134	music.
135	Behaviour Tasks
136	Forty operatic and classical musical segments were selected after piloting for a range of
137	emotional reactions of the listener and of pitch and tempo. The pieces spanned a range with only
138	instruments to both instruments and voice. For this study, we wanted to ensure the range of
139	individual experience was as broad as possible to get reasonable ranges of arousal and valence
140	ratings and comparable volatility in the perceptual task. Segment lengths ranged between 0:40-
141	1:17 min. This choice was made to allow each segment to conclude naturally at the end of a
142	musical phrase, rather than ending abruptly in the middle. Thirty pieces were selected for the
1 4 0	

emotional task and ten pieces for the perceptual task (Table 1). Importantly, there was no

144 difference in the music complexity (MSE) between the tasks (p > 0.1).

Table 1. List of songs for each tasks

Emotional Valence Songs

- Adams "Nixon in China, 'Beginning"
- Adams "Disappointment Lake"
- Bach "No. 3 Aria 'Es Ist Vollbracht""
- Barber "Adagio for Strings"
- Brahms "Intermezzo No. 2 in A Major, Op. 118"
- Delibes "Lakmé/Flower Duet"
- Elgar "Variation IX (Adagio) 'Nimrod"
- Galvany "Oh My Son"
- Gluck "Armide Act Iv Air Sicilien"
- Goodall "Belief"
- Ives "Three Places in New England Orchestral Set No.1"
- Liszt "Totentanz"
- Monteverdi "Zefiro Torna"
- Mozart "Cosi fan tutte"
- Mozetich "The Passion of Angels"

- Penderecki "Threnody to the Victims of Hiroshima"
- Puccini "O soave fanciulla"
- Rameau "Entrée de Polymnie"
- Richter "Vivaldi's Summer"
- Rossini "Barbiere di Siviglia: Largo Al Factotum"
- Schroer "Field of Stars"
- Schumann-Liszt "Liebeslied (Widmung)"
- Staniland "Solstice Songs No. 2 Interlude"
- Stravinsky "Glorification of the Chosen One"
- Tarrega "Recuerdos De La Alhambra"
- Verdi "Messa Da Requiem: Dies Irae-Tuba Mirum Part 1"
- Verdi "Messa Da Requiem: Dies Irae-Tuba Mirum Part 2"

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Part "Spiegel im Spiegel"	 Wagner "Die Walkurie, Act 3: Ride of the Valkyries" Wagner "Tristan Und Isolde/ Act 2 – Prelude"
<u>Perceptual Songs</u>	
 Beethoven "Sonata in A Major Op. 69" Brahms "Violin Concerto in D, Op 77-3" Glass "Glassworks Opening" Haydn "Cello Concerto in D Major" Mozart "Symphony No. 40 in G-minor, K. 550, Finale" 	 Strauss – Der Rosenkavalier Act III/Duet- Denouement and Grand Waltz – Coda" Strauss "September" Vivaldi "Concerto for Violin, Stings and Harpsichord in G" Vivaldi "Stabat Mater"

145

146 During the emotional task, a computer screen in front of participants showed four 147 quadrants marked on two dimensions: Stimulating-Relaxing, and Pleasant-Unpleasant (Figure 1). 148 Participants were asked to move a mouse around the quadrant space in a continuous manner 149 during each song based on how the music made them feel on the two dimensions. Participants 150 were explicitly instructed to report of their own feelings during music listening, and not the 151 alternative of reporting on what emotions they believe are expressed in the music (emotional 152 conveyance). The task design was modeled after the *valence-arousal model* of Hunter & 153 Schellenberg, 2010. They labeled their dimensions high arousal-low arousal and positive 154 valence-negative valence, and we altered our labels after pilot tests to be more intuitive for 155 subjects. This valence-arousal model is designed to capture a wide range of emotions. In their 156 study, difference valence and arousal combinations were associated with multiple different 157 emotions. For example, high arousal/negative valence was correlated with distress, fear and 158 anger, low arousal/positive valence was associated with feelings of peace, contentment and 159 relaxation. Participants from our pilot sample gave similar reports. In this way, it is possible to 160 capture a larger range of emotions without limiting responses to more specific emotions. 161 The perceptual task mimicked the emotional task, with the difference being participants 162 were required to assess pitch and tempo for each song (Figure 1). Once again, a screen in front of

- 9
- 163 them displayed four quadrants with two dimensions (High-Low Pitch and Fast-Slow Tempo),
- and participants moved a mouse in a continuous manner on the screen based on the pitch and
- 165 tempo of each song.

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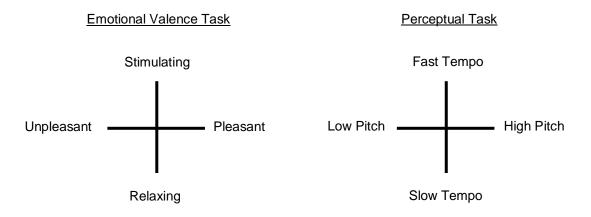


Figure 1. Participants viewed screens with each of the above quadrants during each task. They were asked to move
 a mouse continuously around the quadrant space depending on how the music was making them feel in that moment
 (emotional task) or based on their judgments of pitch and tempo (perceptual task).

171 Experimental Procedure

172 The experimental session began with five perceptual task songs, followed by all thirty 173 emotional songs, and concluded with the remaining five perceptual songs. Perceptual songs were 174 always presented in the same order. Emotional songs were presented in one of two 175 counterbalance orders. Pieces in the first order were curated to have a sense of flow between 176 them and avoid jarring transitions from one song to the next that may disrupt emotional 177 experiences. The second order was the reverse of the first. There was no significant effect of 178 counterbalance order on any of our measures. All stimuli were presented through ER 3A insert 179 earphones (Etymotic Research, Elk Grove, U.S.A.), while participants were seated in a 180 soundproof room.

181 **EEG Recording and Pre-Processing**

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182	EEG was recorded using a 64+10 Biosemi Active Two System at a sampling rate of 512
183	Hz. Continuous EEG recordings were bandpass filtered at 0.5-90 Hz, with a notch filter at 55-65
184	Hz for line noise. The shortest music segment was 40 seconds, so EEG data for each song was
185	segmented into 4 x 10 s epochs and baseline corrected based on a 200 ms pre-stimulus interval.
186	Trials with excessive signal amplitude were rejected. Ocular and muscle artifact removal was
187	performed on the remaining concatenated trials using Independent Component Analysis (ICA)
188	implemented in EEGLAB (Delorme and Makeig, 2004). The highest number of trials lost for any
189	subject was 8 out of 40, 7 subjects retained all trials, and the average number rejected trials from
190	remaining subjects was 2.67, with no difference in trial rejection between conditions.
191	We performed source estimation at the 68 ROIs of the Desikan-Killiany Atlas (Desikan
192	et al., 2006), using sLORETTA (Pascual-Marqui, 2002) as implemented in Brainstorm (Tadel et
193	al., 2011). Brainstorm is documented and freely available for download under the GNU general
194	public license (http://neuroimage.usc.edu/brainstorm). Source reconstruction was constrained to
195	the cortical mantle of the brain template MNI/Colin27 defined by the Montreal Neurological
196	Institute (Holmes et al., 1998). Current density for one source orientation (X component) was
197	estimated for 15,768 equally spaced vertices and the source waveform was mapped at the 68
198	brain regions of interest as an average taken over all vertices in each region. Multiscale Entropy
199	was calculated on the source waveform at each ROI for each subject as a measure of brain signal
200	complexity.

- 201 Data Analyses
- 202 Multiscale Entropy

203 MSE has been previously validated as a measure of brain signal complexity (Catarino et
204 al , 2011; McIntosh et al., 2008; Mišić, Mills, Taylor, & McIntosh, 2010). We calculated MSE in

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205 two steps using the algorithm available at www.physionet.org/physiotools/mse. First, the source 206 EEG and music signals were progressively down-sampled into multiple coarse-grained 207 timescales where, for scale *t*, the time series is constructed by averaging the data points with non-208 overlapping windows of length *t*. Each element of the coarse-grained time series, $y_j^{(\tau)}$, is 209 calculated according to Eq. (2):

210
$$y_{j}^{(\tau)} = \frac{1}{\tau} \sum_{i=(j-1)\tau+1}^{j\tau} x_{i} \le \frac{N}{\tau}$$
(1)

The number of scales is determined by a function of the number of data points in the signal and MSE was calculated for 100 timescales [sampling rate (512Hz) * epoch (10,000 ms)/50 time points per epoch = maximum of 102.4 scales].

214 Second, the algorithm calculates the sample entropy (S_E) for each coarse-grained 215 timeseries $y_j^{(\tau)}$:

216
$$S_E(m,r,N) = ln \frac{\sum_{i=1}^{N-m} n'_i m}{\sum_{i=1}^{N-m} n'_i m + 1}$$
(2)

217 Sample entropy quantifies the predictability of a time series by calculating the conditional 218 probability that any two sequences of *m* consecutive data points that are similar to each other 219 within a certain similarity criterion (r) will remain similar at the next point (m+1) in the data set 220 (N), where N is the length of the time series (Richman & Moorman, 2000). In this study, MSE 221 was calculated with pattern length set to m = 2, and similarity criterion was to r = 0.5. The value 222 r is defined as a proportion of the standard deviation of the original data (Costa, Goldberger, & 223 Peng, 2004; Richman & Moorman, 2000). MSE estimates were obtained for each participant's 224 EEG source time series as a mean across single-trial entropy measures for each timescale.

Music pieces were imported into Matlab using the *wavread* function at a sampling rate of 11.25 kHz (MathWorks, Inc. Release 2011b). Music auditory signal MSE was subsequently calculated with the same parameter values and the same number of timescales as the EEG source MSE.

229 Complexity Matching

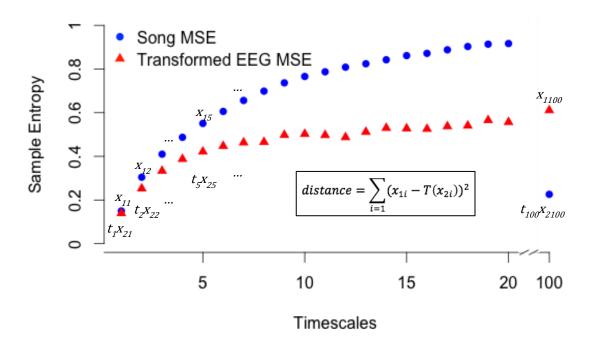
230 Complexity matching applies Procrustes analysis to measure the equivalence of the MSE 231 curve for the auditory signal of a song (X_i) and the MSE curve of the EEG source time series of a 232 participant listening to that song (X_{2i}) , for all *i* ROIs individually (Gower, 1975). It minimizes the 233 sum of the squared deviations between matching corresponding points (landmarks) from each of 234 the two data sets (MSE curves), allowing for scaling, translation and orthogonal rotation of X_1 to 235 fit X_{2i} , where choice of label X_1 or X_2 is arbitrary. X_1 and X_{2i} must have the same number of i 236 sample points, or 'landmarks', and Procrustes matches X_{1i} to X_{2ii} . In our simple case of two 237 vectors, the rotation matrix T such that X_1 best fits X_{2j} is given as T = V'U from the singular 238 value decomposition X_i , $X_{2i} = U$ SV. Without translation and scaling this problem is known as 239 Procrustes rotation. Dissimilarity of X_1 and X_{2i} is given as the *Procrustes distance*:

240
$$distance = \sum_{i=1}^{\infty} (X_1 - T(X_{2j}))^2$$
(3)

A smaller distance value denotes greater similarity between the two curves, or a closer match
between them. The analysis returns a distance value for each ROI for each participant. Procrustes
distance was calculated using the Matlab function *procrustes* (MathWorks, Inc. Release 2011b).

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Figure 2 presents a conceptual depiction of our implementation of *complexity matching*.



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Figure 2. Complexity matching applies Procrustes' analysis to determine a linear transformation (scaling, translation and orthogonal rotation) of the points in X_2 (EEG source MSE for a given ROI) to best match the points in X_1 (song MSE). The goodness-of-fit criterion is the sum of squared errors, and Procrustes distance is the minimized value of this dissimilarity measure. Distance is standardized by a measure of the scale of X_1 .

251 Spectral Power

252 Studies have found that MSE and power spectrum density (PSD) provide complementary 253 information on neural signals (Gudmundsson et al., 2007; McIntosh et al., 2008; Mišić et al., 254 2010). For example, both measures follow similarities for time maturational changes, but with 255 different spatial and temporal patterns (McIntosh et al., 2008; Lippé et al., 2009; Mišić et al., 256 2010). Mišić and colleagues (2014) found substantial differences between PSD and MSE effects. 257 In their sample, individuals with Autism Spectrum Disorder (ASD) displayed only group main 258 effects on PSD, but a group x task interaction on MSE, and the effects were different both spatially and temporally. This indicates that MSE captures an aspect of neural information 259

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processing in ASD above and beyond what can be gleaned from a traditional analysis of spectralpower.

To determine the extent to which training- and task-based differences in MSE are related to spectral density, we computed PSD for all single-trial time series. Single-trial power spectra were computed using the Fast Fourier Transform. To capture the relative contribution from each frequency band, all time series were first normalized to mean = 0 and SD = 1. Given the sampling rate of 512 Hz and 5,120 data points per trial, the frequency resolution was effectively 0.100 Hz and the analysis was constrained to the [0.100, 90] Hz range, with a notch filter for line noise at 55-65 Hz.

269 Partial Least Squares

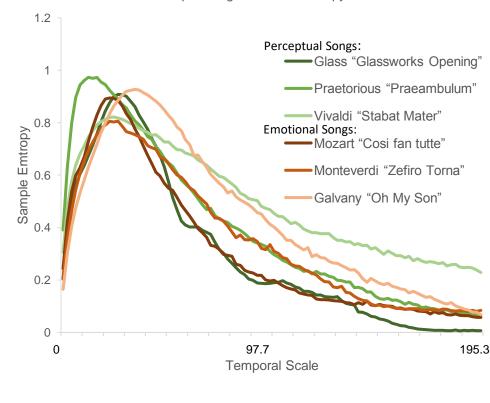
270 Task partial least squares analysis (PLS) was used to statistically assess task and epoch 271 related effects in MSE and PSD. Task PLS is a multivariate statistical technique similar to 272 canonical correlation which employs singular value decomposition (SVD) to extract latent 273 variables (LVs) that capture the maximum covariance between the task design and neural 274 activity. Each LV consisted of: (1) a singular vector of design scores, (2) a singular vector of 275 saliences showing the distribution across brain regions and sampling scales, (3) a singular value 276 (s) representing the covariance between the design scores and the singular image (McIntosh et 277 al., 1996; McIntosh and Lobaugh, 2004).

The statistical significance of each LV was determined using permutation testing (Good, 2000; McIntosh and Lobaugh, 2004). The rows of *X* are randomly reordered (permuted) and the new data were subjected to SVD as before, to obtain a new set of singular values. This procedure was repeated 500 times to generate a sampling distribution of singular values under the null hypothesis that there is no association between neural activity and the task. An LV was

283	considered significant if a singular value equal to or greater than that of the LV was present less
284	than 5% of the time in random permutations (i.e. $p < 0.05$).
285	The reliability of each statistical effect was assessed through bootstrap estimation of
286	standard error confidence intervals of the singular vector weights in each LV (Efron and
287	Tibshirani, 1986). Random sampling with replacement of participants within conditions
288	generated 500 bootstrap samples. In the present study, this process allowed for the assessment of
289	the relative contribution of brain regions and timescales to each LV. Brain regions with a
290	salience weight over standard error ratio > 3.0 correspond to a 99% confidence interval and were
291	considered to be reliable (Sampson et al., 1989).
292	Finally, the dot product of an individual subject's raw MSE data and the singular image
293	from the LV produces a brain score. The brain score is similar to a factor score that indicates
294	how strongly an individual subject expresses the patterns on the latent variable and allowed us to
295	estimate 95% confidence intervals for the effects in each group and task condition.
296	Behavioural PLS (bPLS) is a variation on task PLS for analyzing the relationship
297	between brain measures and the behaviour (McIntosh and Lobaugh, 2004; Krishnan et al., 2011).
298	Similar to task PLS the SVD results in mutually orthogonal LVs, where each LV contains 1) a
299	singular vector of saliences for the behavioural measures, (2) a singular vector of saliences for
300	brain activity, (3) a singular value (s) representing the covariance between the behaviour scores
301	and the singular image. Behaviour saliences indicate task-dependent differences and brain
302	saliences indicate ROI-dependent differences in the brain-behaviour correlation.
303	
304	Results

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305	One participant was excluded from the study for mild hearing loss determined by
306	audiogram, and one other was removed for excessive motion during EEG recording, leaving
307	N=16 subjects. Two participants did not complete the music-training questionnaire, leaving 14
308	subjects. For music training, of the N=14 participants: 7 reported no formal training, 1 reported
309	can play an instrument without formal training, 1 reported less than 1 year of formal music
310	training, 2 reported between 1-5 years of formal training, and 4 reported more than 5 years of
311	formal training. Thus, this sample does not include a sufficient number of participants who fulfill
312	the common requirements for musicianship (e.g. at least 10 years of formal music training,
313	Fujioka et al., 2004), and we did not proceed with analysis of the effects of music training.
314	Multiscale entropy curves of sound signals from a sample of the songs are visualized in
315	Figure 3 for illustration purposes.



Sample Song Multiscale Entropy

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Figure 3. Exemplary multiscale entropy values obtained from the sound signals of a small sample of the songs from each group. Temporal scale, in milliseconds, refers to the number of data points averaged within non-overlapping

windows, hence the left most values represent fine temporal scales and right more coarse scales.

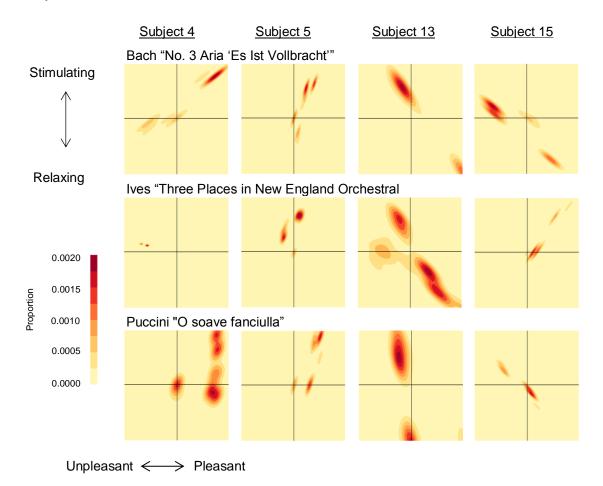
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322 Emotional and Perceptual Tasks

323 We did not observe any within-task effects of emotional (e.g. stimulating compared to

324 relaxing) or pitch/tempo (fast compared to slow) dimension ratings on any of our brain measures

- 325 (MSE, Procrustes distance or PSD; all PLS p > .10). This may be due to the high level of
- 326 variance between subjects' emotional responses (Figure 4), or because the continuous nature of
- 327 the behaviour ratings is not well suited to the dichotomization necessary for the present types of
- 328 analyses.



- 330 Figure 4. Example single-subject, single-song behaviour heat maps for the emotional valence task. Note the
- 331 variation between individuals in both the valence felt and the variability of the valence within each participant and

18

song (i.e. some participants were stable in one quadrant while some participants felt a greater range during the same
 song).

335	Examining both tasks across all four epochs, the emotional task was generally associated
336	with higher EEG source MSE at time scales below 20, compared to the perceptual task that
337	showed higher MSE at coarser timescales (>40) (LV = 1, p $\ll 0$, Singular Value = 1.81, 31.6%
338	cross-block covariance; Figure 5; Figure 6). Both tasks showed an increase in MSE at finer
339	timescales (<20) and a decrease in coarse scale MSE across epochs from the beginning to the end
340	of the piece of music. The spatial distribution of these effects was such that the emotional task
341	was associated with higher MSE in finer timescales (Figure 5A) in bilateral bank of the superior
342	temporal sulcus (bankSTS) and inferior parietal cortex; left hemisphere caudal and rostral middle
343	frontal, and precentral regions; right mPFC, paracentral, pars triangularis, rostral ACC,
344	precuneus, SP MT, and ST. The negative bootstrap ratios (Figure 5B) are reliable in bilateral
345	insula, cingulate, ST, PCC, cuneus, and pericalcarine; left FG, mOFC, SF, parahippocampal,
346	PCC, precuneus, and lingual; and right hemisphere MF, FP, OFC, IFG, postcentral, entorhinal,
347	and temporal cortex.
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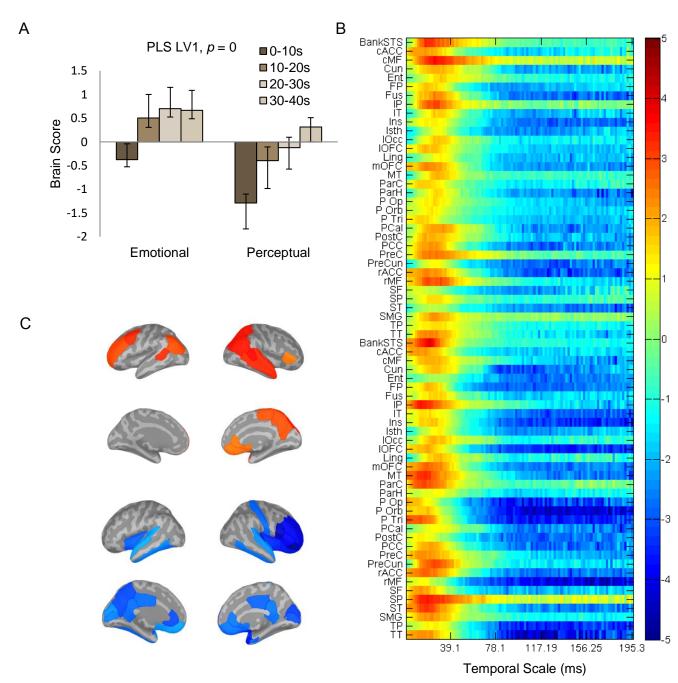
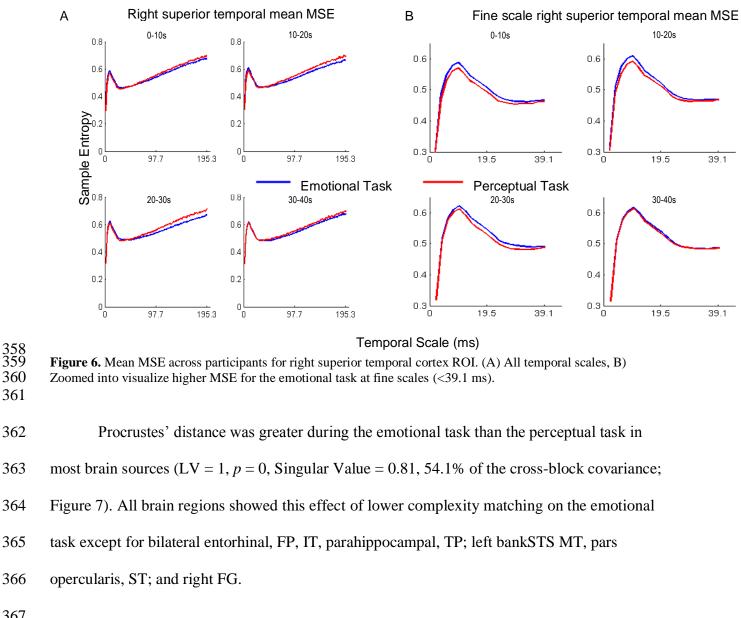
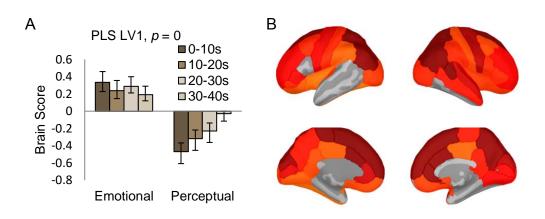


Figure 5. PLS first latent variable for the examination of effects of between tasks and within-task epochs on MSE.
(A) The bar graph depicts the data-driven contrast highlighting higher MSE on all epochs of the emotional task compared to the perceptual task, as well as epoch effects within each task, significantly expressed across the entire data set, as determined by permutation tests. (B) Cortical regions at which the contrast was most stable as determined by bootstrapping. Values represent the ratio of the parameter estimate for the source divided by the bootstrap-derived standard error (roughly z scores). (C) Cortical visualization of stable bootstrap values for fine

- 355 (top) and coarse (bottom) scales.
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Figure 7. First significant PLS result for the examination of effects of between tasks and within-task epochs on Procrustes' distance. (A) The bar graph depicts the data-driven contrast highlighting greater distance on all epochs of the emotional task compared to the perceptual task, as well epoch effects within each task, significantly expressed across the entire data set, as determined by permutation tests (p = 0). (B) Cortical regions at which the contrast was most stable as determined by bootstrapping. Values represent the ratio of the parameter estimate for the source divided by the bootstrap-derived standard error (roughly z-scores).

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378 Music Reward

The participant sample size (N=16) did not provide sufficient power to allow for the

accurate assessment of brain-behaviour relationships on each of the five sub-factors of the

381 BMRQ. Therefore, an average score across all sub-factors was calculated and used as the overall

382 measure of music reward. Participant scores on this measure of reward had mean = 3.84 (SD =

383 0.47) on the 1-5 scale, suggesting this sample overall experiences a medium level of music

384 related reward. Behavioural PLS assessed the correlation of the participant reward scores with

385 MSE, distance and PSD on the two tasks and four epochs.

386 A strong positive correlation between MSE and reward was apparent during both tasks

and all epochs. However, had we reported all epochs, the analysis would have included 8

388 conditions for only a total N=16; therefore we opted to not report the results of all epochs of both

- tasks in order to increase the validity of the statistical analysis and reduce the likelihood of a
- 390 Type II error. Here we only report the positive correlation between MSE and reward during the

- 391 first and last epoch of both tasks to demonstrate that the effect is relatively stable from the
- beginning to the end of the music (PLS LV1 p = 0, $r^2 = .38$, Singular Value = 61.53, 74.4% of
- 393 cross block covariance; Figure 8), and note that the pattern of effect similar for the middle two
- 394 epochs. This effect was reliable in bilateral medial OFC, inferior frontal, cingulate, temporal and
- 395 occipital regions, left precuneus and right superior frontal cortex.

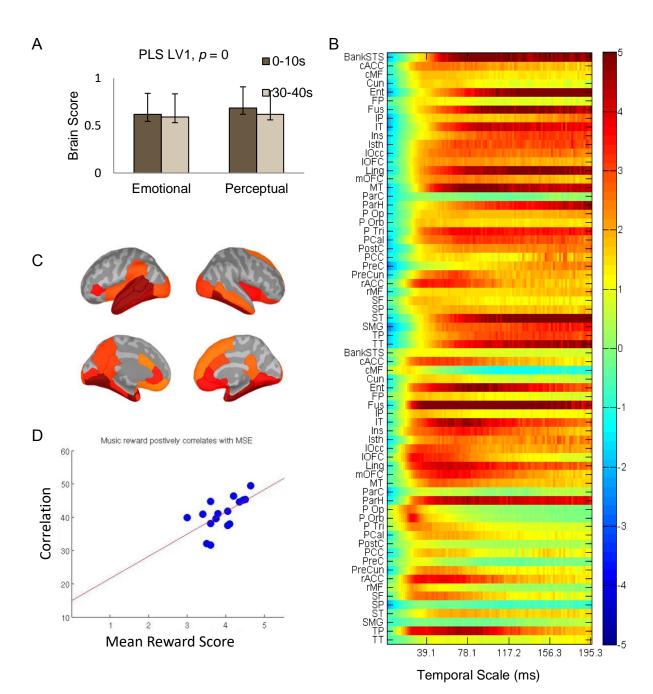


Figure 8. Behaviour PLS result examining the correlation between MSE and BMRQ reward score on both tasks and epochs E1 and E4. (A) Brain scores depict participants scores on the brain-behaviour relationship significantly expressed by the latent variable, as determined by permutation tests (p = 0). (B) Brain regions and frequencies at which the relationship was most stable as determined by bootstrapping. Together A and B indicate a positive correlation between MSE and BMRQ score in the highlighted regions. (C) Highlights bootstrap values from B for spatial regions where effect was stable. Values are taken as peak across scales 20-60. (D) Scatterplot of the brain scores from the first epoch with BMRQ reward scores depicts the positive relationship ($r^2 = .38$).

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406 A significant positive correlation was observed between distance and reward during only 407 the emotional task for all epochs (PLS LV1 p = .012, $r^2 = .13$, Singular Value = 4.29, 72.9% of 408 cross-block covariance; Figure 9; perceptual task p > .10). This effect was localized to the right 409 hemisphere frontal regions, rACC, IP, inferior and middle temporal, and lOcc.

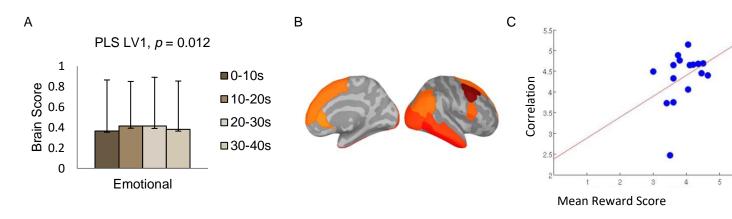




Figure 9. Behaviour PLS result examining the correlation between complexity distance on all epochs of the emotional task and BMRQ music reward score. (A) Brain scores depict participants score on the brain-behaviour relationship significantly expressed by the latent variable, as determined by permutation tests (p = .012). (B) Brain regions and frequencies at which the relationship was most stable as determined by bootstrapping. Together A and B indicate a positive correlation between distance and BMRQ score in the highlighted regions. (C) Scatterplot of the brain scores from the first epoch with BMRQ reward scores further displays this positive relationship ($r^2 = .13$).

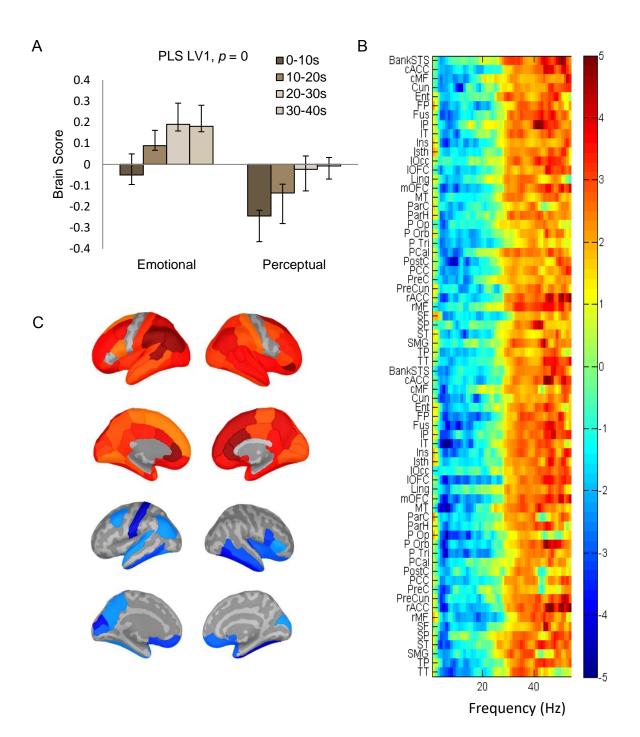
418	Spectral	Power
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419 Higher gamma power was observed during the emotional task, and this effect increased
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420 across epochs (PLS LV1, p = 0, Singular Value = .40, 25.5% of cross-block covariance; Figure

- 421 10) in all spatial regions except for bilateral precentral gyrus and left pars triangularis. In
- 422 comparison, the perceptual task was dominated by power at lower frequencies at the beginning
- 423 of the piece of music, and this effect lessened over time.

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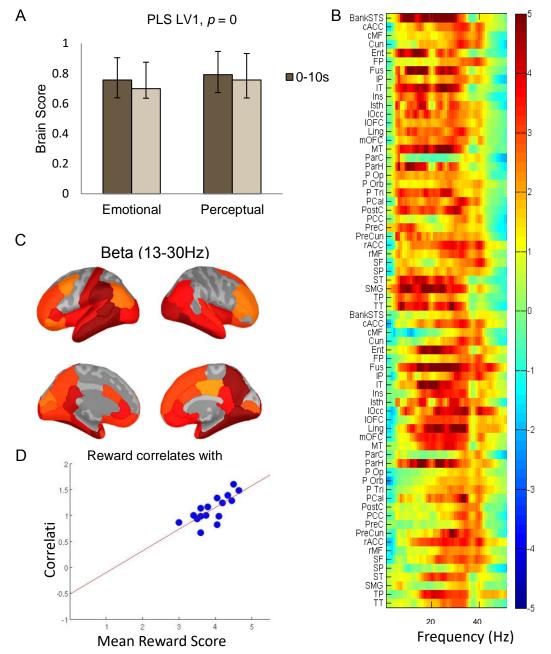


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Figure 10. First significant PLS result for the examination of effects of between tasks and within-task epochs on 426 PSD. (A) The bar graph depicts the data-driven contrast highlighting the differences in spectral power profile 427 between tasks, and their similar epoch effect, significantly expressed across the entire data set, as determined by 428 permutation tests (p = 0). (B) Cortical regions and frequencies at which the contrast was most stable as determined 429 by bootstrapping. (C) Cortical visualization of stable bootstrap values (peak within each frequency band) for alpha 430 (top) and gamma (bottom) band frequencies.

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There was a positive correlation between music-derived reward as measured by BMRQ score and all spectral frequencies (PLS LV1, p = 0, $r^2 = .57$, Singular Value = 44.49, 66.46 of cross-block covariance; Figure 11). The effect was spatially widespread across 58 of the 68 parcellated regions.



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441 (D) Scatterplot of the brain scores from the first epoch with BMRQ reward scores depicts the positive relationship 442 $(r^2 = .57)$.

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444 Discussion

445 We found higher complexity matching in widespread brain regions during the perceptual 446 task than on the emotional task, using Procrustes' distance to compare the MSE of EEG signals 447 to the MSE of the music itself. This indicates that brain signal complexity more closely 448 resembles the complexity of the music environment when participants were attending to the 449 acoustics of the music compared to when they were thinking about how the music makes them 450 feel. These results support a mapping of environmental information to the brain using complexity 451 esatimation, and that the level of neural 'mirroring' is related to the type of cognitive processing 452 conducted.

Analysis of the EEG MSE values alone found that MSE was higher in relatively finer timescales (<48.8ms) during the emotional task than the perceptual task, and that both groups showed an increase of this pattern as the music progressed. These MSE results demonstrate that emotion is associated with *higher information structure*, while the complexity matching results indicate the role of *different information structures* from environment input. The MSE results and the complexity matching results together suggest that the emotional task engaged additional processes, above and beyond the bottom-up sound perception information.

The brain regions that displayed this MSE effect are frequently linked to music cognition (e.g. right temporal, inferior frontal gyrus; Zatorre et al., 2002) and self-referential emotional processing (e.g. medial PFC; Amodio & Frith, 2006; Denny et al., 2012; Ochsner et al., 2004). The effect was also observed in regions that operate as integrative hubs (e.g. medial parietal) that are densely connected to neighboring regions and have long-range interconnections, enabling efficient global integration of information necessary for healthy cognitive function (Hagmann et

466 al., 2008; Zamora-López et al., 2010; van den Heuvel and Sporns, 2011). This effect is spatially 467 and temporally (<48.8ms) similar to increased complexity associated with musical training 468 (Carpentier et al., 2016), and in other studies where higher MSE has been linked to performance 469 on cognitive tasks that require higher information processing (Mišić et al., 2010; Heisz et al., 470 2012). Additionally, due to longer stimulus presentation, the current study opens up the analysis 471 to coarser scales, up to 195.3ms, well beyond what has been possible in our previous studies and 472 other empirical studies of cognition and brain MSE. We observed that MSE was initially higher 473 in the perceptual task and decreased over time in the temporal scale range of 78.1-195.3ms, and 474 we are not aware of any previous studies that have examined empirical brain complexity at this 475 scale. We suggest the possibility that complexity at these slow timescales is indicative of an 476 initial distributed and re-entrant search for neural templates associated with the music upon first 477 listening, followed by a decrease of this activity as the brain settles into the neural solution for 478 ongoing stimulus processing. However, further investigation of the link between cognition and 479 complexity at these scales is required. 480 Higher music reward scores on the BMRQ were associated with a lower complexity 481 match and higher EEG MSE. This negative correlation between complexity matching and reward 482 was only observed on the emotional task, not on the perceptual task. Similar to the emotional 483 task above, we suggest that reward is associated with additional internal information above and 484 beyond that of the external stimulus. The complementary MSE and matching results suggest that 485 music reward requires a brain state that is quantitatively and qualitatively different than the

487 activation of multiple different intrinsic processes and high information integration. In other

brain's requirements for music sound perception alone. Music reward is associated with the

words, music reward is a product of 'the more you add' to perception on top of immediatesensory events.

490 The observed relationship between higher neural information processing and music 491 reward may be generated by the direct reward experience itself, since pleasurable responses to 492 music are associated with particular patterns of cortical and subcortical activity not observed 493 during neutral music perception. Multiple studies have reported connections between music 494 reward and BOLD activity in vmPFC and OFC, and also IFG, ACC and sensory motor areas 495 (Blood and Zatorre, 2001; Salimpoor et al., 2013). The relationship between complexity and 496 reward in the present study was observed in temporal regions, as well as paralimbic and cortical 497 regions involved in emotional processing (e.g. OFC, insula). Another proposal, not mutually 498 exclusive to the first, is that the effects capture intermediate internal states that are important to 499 generating the reward response. For example, the activity may reflect processes related to the 500 BMRQ factors, such as musical knowledge, or other factors not directly measured by the 501 BMRQ, like visual imagery or episodic memories evoked by the music (Juslin and Västfjäll, 502 2008; Vuoskoski and Eerola, 2012). Consistent with this notion, the spatial reliability of the 503 correlation between distance and music reward suggest that frontal regions (superior, middle, 504 inferior frontal) were processing internally generated information patterns, while inferior 505 temporal and anterior cingulate cortex were involved in both the distance and MSE effects. 506 Integration may be a requirement for the commonly highlighted role of expectancy in 507 music reward. The theory that rewarding emotional responses to music are derived from 508 expectations and anticipation during music listening was first extensively described by Meyer 509 (1956; see also Huron, 2006). It explains that the expectations are generated from explicit and 510 implicit knowledge of music structure and patterns, and composers create emotional arousal by

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511 playing with 'tension and release.' Anticipation of a familiar rewarding segment of music has 512 been linked to caudate dopamine release and BOLD activity prior to nucleus accumbens 513 dopamine activity at peak reward response (Salimpoor et al., 2011). Music expectations were not 514 behaviourally evaluated in the present study, but there is a logical link between them and brain 515 signal complexity. Generation of expectations requires sufficient understanding and neural 516 representation of the structure and patterns in the music. Therefore, it may be that enjoyment of a 517 piece of music needs to be associated with a minimum amount of information processing that 518 would allow the listener to appreciate the music as a coherent whole, rather as a sequence of 519 individual notes. Further investigation of listeners' enjoyment of individual music pieces, rather 520 than as general trait music reward, is required to substantiate this theory and make a stronger 521 connection between brain complexity and music pleasure. 522 While there were no notable differences in complexity between the song sets for each 523 task, and the sets were selected to be acoustically and thematically similar, the songs were not 524 identical for both tasks. This leaves open the possibility that other differences in the chosen

songs are responsible for the observed brain differences between songs. This does raise
interesting options for the future study of how different stimuli properties may influence brain
complexity.

528 Spectral Power

529 Congruent with the MSE pattern of the trade-off between faster and slower timescales, 530 we observed higher gamma power in the emotional task, compared to lower frequency power 531 associated with the perceptual task, as well as a decrease across time in low frequency power in 532 regions typically linked to music processing and an increase in gamma in these and most other 533 regions. Gamma activity has been repeatedly implicated as important for perceptual binding and

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534 may be associated with binding of musical features at the sensory level and matching of external 535 acoustic information to internal thought processes for the formation of meaningful concepts 536 (Bertrand & Tallon-Baudry, 2000; Crone et al., 2001; Keil et al., 1999; Rodriguez et al., 1999; 537 Tallon-Baudry et al., 1998). Gamma activity is commonly found to be higher in adult musicians 538 when listening to music and may reflect enhanced binding of musical features (Bhattacharya and 539 Petsche, 2001, 2005; Shahin et al., 2008; Pallesen et al., 2015). There is also suggestion that 540 gamma activity may be related to musical expectations (Snyder and Large, 2005). In a study 541 conducted by Fujioka and colleagues (2009) gamma amplitude increased from baseline for each 542 tone of a repeating pattern, and this effect continued on trials where the tone was unexpectedly 543 omitted. None of these studies of the spectral effects of musical training or music listening 544 conducted spatial analysis; therefore, it is difficult to place this facet of our results in the context 545 of the other literature. However, our observation of increased gamma power in auditory and 546 some associative regions is consistent with the hypothesis of the role of gamma in perceptual 547 binding.

548 *Conclusions*

549 EEG complexity was higher and different from music complexity during the emotional 550 task in which participants were reflecting on how the music made them feel, compared to the 551 perceptual task that had participants track pitch and tempo. Complexity matching was also 552 correlated with BMRQ score, such that music reward was associated with higher neural signal 553 information and a worse match to the bottom-up music information. These results suggest that 554 complexity matching can assess the degree to which some cognitive-affective states are 555 associated with internal information integration which differs from the neural representation of 556 bottom-up sensory information processing.

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