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Variants in the degron of *AFF3* cause a multi-system disorder with mesomelic dysplasia, horseshoe kidney and developmental and epileptic encephalopathy

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- **Running title:** AFF3 degron variants
- 70 Keywords: mesomelic dysplasia, horseshoe kidney, intellectual disability, AFF3, AFF4

72 Abstract

73 The ALF transcription factor paralogs, AFF1, AFF2, AFF3 and AFF4, are components of the 74 transcriptional super elongation complex that regulates expression of genes involved in 75 neurogenesis and development. We describe a new autosomal dominant disorder associated 76 with *de novo* missense variants in the degron of AFF3, a nine amino acid sequence important for its degradation. Consistent with a causative role of AFF3 variants, the mutated AFF3 77 78 proteins show reduced clearance. Ten affected individuals were identified, and present with a 79 recognizable pattern of anomalies, which we named KINSSHIP syndrome (KI for horseshoe 80 KIdney, NS for Nievergelt/Savarirayan type of mesomelic dysplasia, S for Seizures, H for 81 Hypertrichosis, I for Intellectual disability and P for Pulmonary involvement), partially overlapping the AFF4 associated CHOPS syndrome. An eleventh individual with a 82 83 microdeletion encompassing only the transactivation domain and degron motif of AFF3 84 exhibited overlapping clinical features. A zebrafish overexpression model that shows body 85 axis anomalies provides further support for the pathological effect of increased amount of 86 AFF3 protein.

Whereas homozygous *Aff3* knockout mice display skeletal anomalies, kidney defects, brain
malformation and neurological anomalies, knock-in animals modeling the microdeletion and
the missense variants identified in affected individuals presented with lower mesomelic limb
deformities and early lethality, respectively.

91

92 Transcriptome analyses as well as the partial phenotypic overlap of syndromes associated
93 with *AFF3* and *AFF4* variants suggest that ALF transcription factors are not redundant in
94 contrast to what was previously suggested

96 Introduction

97

98 The AFF1 (AF4/FMR2 family member 1, a.k.a AF4), AFF2 (a.k.a FMR2), AFF3 (a.k.a 99 LAF4) and AFF4 genes encode members of the ALF (AF4/LAF4/FMR2) family. These 100 transcription factors share five highly conserved domains starting from the amino terminus: 101 (i) an N-terminal homology domain (NHD); (ii) the hallmark ALF domain, which interacts 102 with Seven In Absentia Homolog (SIAH) ubiquitin ligases through the [xPxAxVxPx] degron 103 motif^{1,2} and thus regulates protein degradation mediated by the proteasome pathway; (iii) a 104 serine-rich transactivation domain³; (iv) a bipartite nuclear localization sequence (NLS); and 105 (v) a C-terminal homology domain (CHD)^{4,5}. AFF1, AFF3, and AFF4 have each been 106 identified as fusion partners of the mixed-lineage leukemia (MLL) gene involved in acute 107 pediatric leukemias³. They are part of the super elongation complex⁶ implicated in 108 transcription of a set of genes, among them histones, retinoid signaling and HOX genes 109 involved in neurogenesis and several other developmental processes (e.g. Hoxa1, Cdx11 and 110 *Cyp26a1*^{6,7}). Mutations of the fruit fly ALF orthologous gene *lilliputian* (*lilli*) were shown to 111 prevent neuronal differentiation and to decrease cell growth and size^{8,9}. Silencing of *AFF2* by 112 CGG repeat expansion is associated with FRAXE intellectual disability syndrome¹⁰ (OMIM 113 #309548), whereas hypermethylation of a mosaic CGG repeat expansion in the promoter of 114 AFF3, which leads to its silencing in the central nervous system, was associated with a 115 cytogenetic fragile site (FRA2A) and intellectual disability in three families¹¹. AFF3 is also 116 known for regulating the expression of imprinted genes^{12,13} such as *XIST* through binding to differentially methylated regions¹⁴. An individual carrying a 500kb microdeletion within the 117 118 AFF3 locus and presenting with skeletal dysplasia and encephalopathy was described¹⁵.

119

120 Six de novo missense variants in AFF4 were recently linked with CHOPS (Cognitive 121 impairment and coarse facies, Heart defects, Obesity, Pulmonary problems, Short stature and skeletal dysplasia) syndrome^{16,17} (OMIM#616368). They were suggested to act through 122 123 reduced clearance of AFF4 by SIAH, a hypothesis supported by the fact that surviving adult Aff4 null mice have only azoospermia and no features of CHOPS syndrome. However, a 124 majority of Aff4^{-/-} embryos died in utero with severely shrunken alveoli of the lung¹⁸. 125 126 Upregulation of AFF4 resulted in dysregulation of genes involved in skeletal development 127 and anterior/posterior pattern formation such as MYC, JUN, TMEM100, ZNF711 and $FAM13C^{16}$. These molecular changes were proposed to impair complex function and lead to 128

129 cohesinopathies associated with the clinical phenotypes seen in the eleven reported 120 individuals with CHOPS and in Cornelia de Lange sundrame (CdLS: $OMIM #122470)^{16.17}$

- individuals with CHOPS and in Cornelia de Lange syndrome (CdLS; OMIM #122470)^{16,17}.
- 131

Here we describe 10 individuals with *de novo* missense variants in the *AFF3* gene and a recognizable pattern of anomalies including developmental delay, intellectual disability, seizures, dysmorphic facial features, mesomelic dysplasia, and failure to thrive. Although there is some overlap, the clinical presentation of this autosomal dominant disorder appears to be distinct from CHOPS syndrome.

137

138 Material and Methods

139

140 Enrollment

Participants were enrolled after written informed consent was obtained from parents or legal guardians according to ethical review boards policies. The clinical evaluation included medical history interviews, physical examinations and review of medical records. The Deciphering Developmental Disorders (DDD)¹⁹ identifier of proband 4 is DDD276869.

145

146 Exome/Genome sequencing and analysis

147 Affected individuals were selected for sequencing to establish a diagnosis.

Proband 1: Trio exome analysis was performed on a NextSeq 500 Sequencing System 148 149 (Illumina, San Diego, CA) after a 12-plex enrichment with SeqCap EZ MedExome kit 150 (Roche, Basel, Switzerland), according to manufacturer's specifications. Sequence quality 151 was assessed with FastQC 0.11.5, reads were mapped using BWA-MEM (v 0.7.13), sorted 152 and indexed in a bam file (samtools 1.4.1), duplicates were flagged (sambamba 0.6.6), 153 coverage was calculated (picard-tools 2.10.10). Variant calling was done with GATK 3.7 154 Haplotype Caller. Variants were then annotated with SnpEff 4.3, dbNSFP 2.9.3, gnomAD, 155 ClinVar, HGMD, and an internal database. Coverage for these samples was 93% at a 20x 156 depth threshold.

Probands 2 and 10: Exomes were captured using the IDT xGen Exome Research Panel v1.0 for proband 2 and her parents and SureSelect Human All Exon V4 (50 Mb) for proband 10 and his parents. Sequencing and analyses were performed as previously described²⁰. The general assertion criteria for variant classification are publicly available on the GeneDx ClinVar submission page.

- 162 **Proband 3:** The exomes of proband 3, his parents and two healthy siblings were captured and
- 163 sequenced as described²¹. Variants were called and filtered using the Varapp software²².
- 164 Sanger sequencing confirmed the anticipated segregation of the potentially causative variants.
- 165 **Proband 4:** Exome capture and sequencing was performed as previously described¹⁹.
- 166 **Proband 5:** Exome sequencing of the proband was performed as previously described²³.
- 167 Sanger sequencing of samples from parents revealed *de novo* segregation of the variant.
- Proband 6: Trio genome analysis was performed as previously described²⁴. Sanger
 sequencing confirmed the *de novo* variant reported here.
- 170 **Proband 7:** Trio exome analysis was performed as previously described²⁵.
- 171 **Proband 8**: Sample preparation and enrichment was performed using TruSeq DNA Exome
- 172 kit (Illumina) and sequencing was performed using NextSeq 500 (Illumina) with mean region
- 173 coverage 83x. Variant were called using VarAft software. Variant analysis was performed
- 174 according to standards and guidelines for the interpretation of sequence variants²⁶. Sanger
- 175 sequencing confirmed the *de novo* origin of variant.
- 176 **Proband 9**: Trio exome analysis was performed with Agilent SureSelect CRE exome capture,
- 177 Illumina NextSeq 500 sequencer and a mean coverage of 100x. Data were processed using
- 178 Cpipe²⁷ and variant filtering and prioritization were phenotype driven (gene lists: intellectual
- 179 disability, Mendeliome). Variant classification followed ACMG guidelines.
- 180

181 **Protein alignment**

- Alignments of ALF family members were made using Clustal Omega²⁸ (v1.2.4) and imported
 on Jalview²⁹ for visualization.
- 184

185 Interaction modeling

- 186 3D modeling for AFF3 (UniProt entry P51826) and SIAH1 (Q8IUQ4) interaction³⁰ was
- 187 obtained on Swiss-PdbViewer-DeepView³¹ v4.1. As no structural model for human SIAH1
- 188 ubiquitin-ligase was available, we used mouse ubiquitin ligase structure (pdb 2AN6) 100%
- 189 conserved with human sequence in the binding region³².
- 190

191 Mouse models

- 192 Brain neuroanatomical studies were performed on three 16-week-old male mice in
- 193 C57BL/6N background with homozygous knock-out of the *Aff3* (a.k.a. *Laf4*) gene³³. Seventy-
- 194 eight brain parameters were measured across three coronal sections as described³⁴ and data
- 195 were analyzed using a mixed model and comparing to more than 100 wild-type males using a

196 false discovery rate of 1%. Other metabolic and anatomical phenotypes were assessed by the 197 Welcome Trust Sanger Institute through phenotyping of 6 to 13 homozygous and 7 to 14 198 heterozygous mice and are available on the International Mouse Phenotyping Consortium 199 website. Engineering of Aff3^{del} mice model carrying a 353 kb deletion homologous to the one harbored by an affected individual¹⁵ was previously published³⁵. E18.5 animals were 200 201 processed and stained as described³⁶. With Taconic Biosciences GmbH, Cologne, Germany, 202 we engineered a constitutive Aff3^{A233T} knock-in through CRISPR/Cas9-mediated gene editing 203 using TGGTGGATGCACGCCGGTTA as guide (NM 001290814.1, NP 001277743.1). This 204 allowed the insertion of an additional silent mutation that creates an *AleI* restriction site for 205 analytical purposes.

206

207 Zebrafish overexpression model

Human wild-type ORFs (AFF3, NM_002285.2 and AFF4, NM_014423.4) cloned into the pEZ-M13 vector were transcribed using the mMessage mMachine kit (Ambion) as prescribed. We injected 1-2 nL of diluted RNA (100-300 ng) inside the yolk, below the cell of wild-type zebrafish embryos at the 1- to 2-cell stage. Phenol red dye with distilled water was injected as vehicle control in similar volume. Injected embryos were raised at 28°C and fixed in 4% PFA for 2 hrs at 4-5 days post fertilization (dpf) and stored in PBS at 4°C. Pictures of the embryos were taken after embedding in glycerol. Counts were compared by Fisher exact test.

215

216 **Protein accumulation assay**

217 Tagged human wild-type mRNAs cloned into a CMV promoted expression vector were 218 obtained from GeneCopoeia. The ORFs of AFF3 and AFF4 were inserted in pEZ-M13 vector 219 with a C-terminal FLAG tag, while the ORF of SIAH1 (NM 001006610) was inserted in 220 pEZ-M07 vector with a C-terminal 3xHA tag. The AFF3 NM 002285.2:c.697G>A, c.704T>G, and AFF4 NM_014423.4:c.772C>T mutations were engineered using the 221 222 QuikChange II XL Site-Directed Mutagenesis Kit (Agilent Technologies) following the 223 manufacturer's instructions. HEK293T cells cultured in complete medium (DMEM 224 containing 10% FBS and 1% penicillin-streptomycin) were transiently transfected with wild type and mutated plasmids using calcium phosphate. 24 hrs after transfection, medium was 225 226 changed to fresh complete medium. Total protein extracts were obtained after 48 hrs using 227 RIPA buffer with protease and phosphatase inhibitor cocktail. Denatured protein extracts 228 were immunoblotted with anti-FLAG (F3165), -HA (12CA5) and -B-actin (A2066) antibodies 229 from Sigma-Aldrich.

230

231 Results

232

233 We identified ten unrelated affected individuals (probands 1-10) with *de novo* missense 234 variants in the ALF domain of AFF3 (Figure 1A and Table 1) through trio-based exome 235 sequencing and data aggregation of multiple laboratories and clinical centers via 236 GeneMatcher³⁷. The four different identified variants (Table 1) (i) are not present in the 237 Genome Aggregation Database (gnomAD³⁸ v2.1.1); (ii) are predicted to be deleterious by SIFT³⁹, PROVEAN⁴⁰, PolyPhen2⁴¹ and MutationTaster2⁴²; (iii) are part of the top 1% of all 238 deleterious variants with CADD scores over 20; and (iv) modify highly conserved amino 239 240 acids (Figure 1B-C). Nine of the probands present variants affecting the same codon of exon 241 6, c.772G>T p.(A258S) (probands 1-2), c.772G>A p.(A258T) (probands 3-8), c.773C>T 242 p.(A258V) (proband 9), whereas proband 10 carries a variant perturbing a neighboring codon 243 c.779T>G p.(V260G) (NM 001025108.1, NP 001020279.1; Table 1). An eleventh 244 individual (deletion proband) carrying a 500kb microdeletion and an overlapping phenotype 245 (see below) was previously described¹⁵. This deletion removes exons 4 to 13 of *AFF3*, which 246 encode its N-terminal region, including the ALF and its degron and part of the transactivation 247 domains and was proposed to act as a dominant negative³⁵ (Figure 1A).

248

249 All AFF3 variants described here and CHOPS syndrome-associated AFF4 de novo missense 250 previously published^{16,17} map within the degron motif of the ALF domain. This highly conserved 9 amino acid sequence [xPxAxVxPx] (Figure 1A-B) mediates interaction with the 251 252 SIAH E3 ubiquitin ligase and regulates their degradation¹. According to pathogenic variant 253 enriched regions (PER)⁴³, the degron is predicted to be constrained within the ALF family. 254 Pathogenicity of the four de novo AFF3 identified variants is further supported by the three-255 dimensional representation of part of the encoded peptide (Figure 1D). The mutated residues 256 are located within the degron motif (KPTA258YV260RPM), which adopts a beta-strand 257 conformation directly contacting the SIAH ubiquitin ligase binding groove³⁰. The side chains 258 of Alanine 258 and Valine 260 are embedded into the hydrophobic core of the beta-sandwich where the binding pockets are too small to accommodate larger side chains³². Thus, the 259 260 variants p.(A258T), p.(A258S), p.(A258V) and p.(V260G) are likely to weaken or prevent binding to the ubiquitin ligase. Hence, all these *de novo* variants, as well as the 500kb deletion 261 previously reported¹⁵ that encompasses the degron, could result in hindered degradation and 262 thus accumulation of AFF3. Consistent with this hypothesis, transiently transfected FLAG-263

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tagged AFF3^{A2588} and AFF3^{V260G} proteins were more stable than wild-type FLAG-tagged AFF3 (**Figure 1E**). The previously reported AFF4 *de novo* variants p.(P253R), p.(T254A), p.(T254S), p.(A255T), p.(R258W) and p.(M260T) that also affect the degron motif (KP₂₅₃T₂₅₄A₂₅₅YVR₂₅₈PM₂₆₀) (**Figure 1A**) were similarly shown to reduce clearance of the ALF transcription factor by SIAH^{16,17}.

269

270 We compared the phenotypes of the ten individuals with *de novo* variants in AFF3 described here and that of the previously reported case carrying AFF3 partial deletion¹⁵ (Table S1 for 271 272 detailed phenotypes). They exhibit severe developmental epileptic encephalopathy (10 273 probands out of 11), along with mesomelic dysplasia resembling Nievergelt/Savarirayan 274 mesomelic skeletal dysplasia (NSMSD) (10/11) and failure to thrive (10/11). These three 275 features are often associated with microcephaly (7/11), global brain atrophy and/or 276 ventriculomegaly (7/9) (Figure S1), fibular hypoplasia (9/11), horseshoe kidney (8/11), 277 abnormalities of muscle tone (9/10), gastroesophageal reflux disease (5/10) and other 278 gastrointestinal symptoms (10/10). They also share common dysmorphic facial features such 279 as a bulbous nasal tip (6/9), a wide mouth with square upper lip (7/10), abnormalities of the 280 teeth and gums (9/10) and hypertrichosis (8/9) (Figure 2-3). Respiratory 281 difficulties/pulmonary involvement were observed in about half of the probands with *de novo* 282 variants (6/11). Whereas respiratory arrest led to the death of proband 3 at 21 years, the 283 deletion proband died at four months after recurrent apneic episodes (**Table S1**).

284

285 This constellation of features recalls some features of CHOPS-affected individuals. The three 286 originally described probands¹⁶, along with the eight recently identified¹⁷, presented with 287 distinctive facial dysmorphic features reminiscent of CdLS, short stature with obesity (11/11), 288 developmental delay/intellectual disability (DD/ID) (11/11) and microcephaly (6/11) without 289 epilepsy. They showed gastrointestinal abnormalities (8/11), accompanied by abnormal 290 feeding behavior (6/6), hearing loss (8/11), cardiac (8/11) and pulmonary defects (8/11) and 291 rarely horseshoe kidney (2/11). Whereas they present with vertebral abnormalities (5/11) and 292 brachydactyly (8/11), mesomelic dysplasia is never observed and hypoplastic fibula rarely 293 (1/11).

294

Although phenotypes of *AFF3* and *AFF4* missense carriers are overlapping, they are not identical. We thus suggest naming the distinct autosomal dominant AFF3-associated disorder KINSSHIP syndrome (<u>KI</u>dney anomalies, <u>N</u>ievergelt/<u>S</u>avarirayan mesomelic dysplasia, <u>Seizures</u>, <u>Hypertrichosis and Intellectual disability with Pulmonary involvement</u>, MIM #XXXX) to evoke both some of its cardinal characteristics, as well as its similarity (common mode of action and inheritance and overlapping phenotypes) with CHOPS syndrome.

301

302 To better understand the functional effects of AFF3 variation, we investigated both knock-out 303 and knock-in mouse models (Table 2). We first studied the knock-out mouse line engineered by the International Mouse Phenotyping Consortium³³ (IMPC). The IMPC routinely measures 304 an extensive series of parameters and evaluate if those are significantly different from wild-305 type mice⁴⁴ ($p \le 10^{-04}$). Aff3^{+/-} and Aff3^{-/-} mice exhibit skeletal defects including fusion of 306 vertebral arches, vertebral transformation and decreased caudal vertebrae number. 307 308 Homozygous knock-out mice also show an abnormal skull shape with a small, deviated snout 309 and malocclusion as well as decreased serum fructosamine and albumin levels that could 310 reflect kidney defects and/or metabolic dysregulation. Neurological dysfunctions were also 311 noted with an increased or absent threshold for auditory brainstem response (signs of hearing impairment) and diminished grip strength. As Aff3 is expressed in progenitor neurons⁴⁵ and 312 313 required for neuronal migration in the cerebral cortex⁴⁶, we further assessed the consequences 314 of Aff3 disruption on brain development by measuring a standardized set of 78 parameters across 22 brain regions³⁴. Compared with wild type males, homozygous Aff3^{-/-}, but not 315 heterozygous Aff3^{+/-} males, exhibited significantly enlarged lateral ventricles ($p = 1.24 \times 10^{-10}$ 316 ⁰⁴) and decreased corpus callosum size ($p = 3.02 \times 10^{-06}$; Figure 4), similar to the phenotypes 317 318 observed in proband 2, 3 and 6 and in the previously reported deletion proband (Table S1, 319 Figure S1)¹⁵. These features are in stark contrast with results obtained with another engineered Aff3^{-/-} line that showed no phenotypic perturbations possibly because of genetic 320 321 background differences, i.e. C57BL/6N versus CD1, and/or focusing on limb morphology 322 onlv³⁵.

323

324 We then reassessed mouse models mimicking the deletion identified in the previously 325 described proband, which were previously engineered to assess an aggregation method for the rapid generation of structural variants³⁵. Consistent with the phenotype of the deletion 326 327 proband, homozygous animals chimeric for a 353kb deletion syntenic to the 500kb human 328 deletion exhibited mesomelic dysplasia, triangular tibia, severe hypoplastic fibula and polydactyly of the feet³⁵ (Table 2). Reexamination of these Aff3^{del/del} (a.k.a. Laf4^{del/del}) mice 329 showed that they also presented with reduced body size, craniofacial dysmorphisms with 330 331 delayed ossification of skull bones, hypoplastic pelvis, intestinal prolapse and neurological

dysfunction (**Figure 5A-C**). Chimeric $Aff3^{del/+}$ heterozygotes presented with variable features ranging from unaffected to homozygous deletion-like phenotypes. Whereas $Aff3^{del/+}$ animals with low chimerism were fertile they produced no heterozygous offspring suggesting lethality of the 353kb deletion (**Table 2**). While these results support a causative role for the deletion in the deletion proband, they do not allow differentiating between gain-of-function and haploinsufficiency.

338

339 To further assess the underlying mutational mechanism in our missense probands, we engineered a knock-in mouse model carrying the $Aff3^{A233T}$ mutation that is the equivalent of 340 341 the most commonly observed *de novo* variant, identified in probands 3 to 8 [p.(A258T)]. The 342 microinjection of a total of 410 C57BL/6NTac zygotes and transfers into 14 recipient females 343 to allow CRISPR/Cas9 editing resulted in only 13 pups at weaning. Genotyping showed that 344 most of them were either wild type (8 individuals) or carried CRISPR/Cas9-mediated 345 mutations (4) although reduced gRNA activity was used for microinjection. A single female 346 F0 founder animal showed the targeted A233T knock-in but with a very low mosaicism rate 347 of 16.7% in an ear biopsy. Genotyping showed that none of its offspring from four 348 consecutive pregnancies were heterozygous for the mutation. These results suggest that the Aff3^{A233T} mutation is lethal with high mosaicism (homozygous Aff3^{A233T/A233T} and 349 heterozygous Aff3^{+/A233T} chimeras), in gametes or during the fetal period (heterozygous 350 Aff3^{+/A233T}; Table 2). The success statistics of similar CRISPR/Cas9 knock-in projects 351 352 performed by Taconic Biosciences GmbH (Cologne, Germany) through the years further support this hypothesis. Out of 92 attempted knock-in constructs 98% were successful with 353 354 only 2% failing to generate F0 animals. For most projects, positive F1 animals were also 355 generated.

356

357 To lend further support to the model centered on a pathological increase of AFF3 protein 358 product in affected individuals, we assessed its accumulation in zebrafish. Whereas the 359 genome of these teleosts encodes four ALF transcription factors orthologous to the 360 mammalian AFF1 to AFF4, these genes do not harbor a [xPxAxVxPx] degron motif 361 suggesting that their degradation is regulated differently in fish. Therefore, we modeled 362 accumulation by independently overexpressing increasing amounts of unmutated human AFF3 and AFF4 mRNA in zebrafish embryos. We observed a dose-dependent increase in the 363 364 fraction of 4 dpf embryos with morphological defects upon overexpression of AFF3. The 365 observed phenotypes included bent body axis, yolk sac edema and generalized body

development defects at higher doses (Figure 5D-E). A similar albeit less pronounced dose dependent increase in zebrafish embryos with morphological defects was seen upon
 overexpression of AFF4 (Figure 5E).

369

370 To further assess the redundancy of ALF transcription factors, we took advantage of 371 published knockdown experiments⁴⁷. Luo and colleagues established and profiled the 372 transcriptome of stable HEK293T cell lines independently knocked down for AFF2, AFF3 373 and AFF4 expression by specific shRNAs. Reanalysis of these data confirmed that ALF 374 transcription factors have mostly different target genes, as 55% (125 out of 226), 62% 375 (261/423) and 87% (966/1116) of the genes are specifically perturbed by the knock down of 376 AFF2, AFF3 and AFF4, respectively (Figure 6A). Intriguingly, the subset of common targets 377 is similarly influenced by decreased expression of AFF2 and AFF3 (Figure 6C,D), whereas 378 knocking down AFF3 and AFF4 had opposite effect (Figure 6B,C). 95% (119 out of 125) of 379 common targets are decreased upon reduction of AFF3 and increased upon reduction of AFF4 380 expression suggesting that these two transcription factors act as positive and negative 381 regulators of common pathways. Within the genes perturbed by both AFF3 and AFF4, we 382 observed a significant overrepresentation of genes implicated in the gastrin hormone pathway 383 (CCKR signaling map, P06959) and a proton pump complex (vacuolar proton-transporting V-384 type ATPase complex, GO:0016471) possibly associated with the gastroesophageal reflux 385 disease observed in both KINSSHIP and CHOPS individuals. Genes linked to the 386 gonadotropin-releasing hormone receptor pathway are similarly enriched (P06664). This 387 observation could be related to cryptorchidism of KINSSHIP proband 1 and small 388 genitalia/cryptorchidism in three out of five males affected by CHOPS syndrome^{16,17}, as well 389 as the erratic menstrual cycle of proband 4 (most probands being too young to predict any 390 pubertal anomaly) and popliteal pterygium in proband 8 (Table S1).

391

Discussion

All eleven individuals with an *AFF3* variant we identified have a complex but overlapping clinical presentation, which we named KINSSHIP syndrome. One of the cardinal characteristics of this rare autosomal dominant syndrome is mesomelic dysplasia with short forearms, radial head dislocation/subluxation, triangular and/or short tibia, fibular hemimelia, hip dislocation, tarsal and/or metatarsal synostosis resembling NSMSD (**Figure 3**). NSMSD is a sporadic or rare autosomal dominant condition^{48,49} associated with neurodevelopmental and often urogenital abnormalities^{50,51}. KINSSHIP affected individuals similarly present with vertebral and bone mineralization defects, scoliosis, epilepsy, severe global DD/ID sometimes
associated with structural brain abnormalities, significant feeding difficulties, horseshoe
kidney, hypertrichosis and recognizable facial features. Multiple probands showed coarsening
facial features with age, including a large nose with bulbous nasal tip, a prominent columella
and a wide mouth with square upper lip (Figure 2-3, Table S1, Figure S1).

405

406 AFF3 is one of the targets of the Wnt/ β -catenin pathway, an important contributor to 407 pathways involved in bone development and homeostasis^{52,53}. Variants in *WNT* genes cause a 408 diverse range of skeletal dysplasias including mesomelic defects (WNT5A; Robinow 409 syndrome, dominant type, OMIM#180700), decreased bone density (WNT1; Osteogenesis 410 imperfecta, type XV, OMIM#615220) and limb hypoplasia-reduction defects including 411 fibular a/hypoplasia (WNT3 and WNT7A; Tetra-amelia OMIM#273395 and Fuhrmann 412 syndrome OMIM#228930, respectively). Of note individuals with Robinow rhizo/mesomelic 413 dysplasia also present with developmental kidney abnormalities⁵⁴, whereas perturbations of 414 the Wnt/b-catenin pathway have been associated with the development of ectodermal 415 appendages like hair and teeth⁵⁵. Nine out of ten KINSSHIP probands show dental anomalies. 416 While widespread hypertrichosis may have been partially caused by multi-drug, antiepileptic 417 treatment in probands 3 and 4, its presence in the only non-epileptic AFF3 individual 418 (proband 9) and the much younger proband 5 seems to confirm the association of this feature 419 with AFF3 genetic variants. It is possible that the complex clinical presentation of the cases 420 described here (Table S1) may represent the effects of impaired AFF3 function on a number of downstream targets within the Wnt/β-catenin pathway. In-depth transcriptome analysis of 421 422 affected individuals and/or animal models is warranted to confirm this hypothesis.

423

424 Despite the limited number of individuals for both conditions, similarities and differences are 425 notable between individuals with KINSSHIP and CHOPS^{16,17} syndrome. Individuals with 426 variants in AFF3 and AFF4 share features that include respiratory difficulties and vertebral 427 abnormalities, as well as less specific clinical findings such as microcephaly, DD/ID and 428 gastroesophageal reflux disease. Although skeletal abnormalities are reported in both CHOPS 429 and KINSSHIP syndrome, KINSSHIP individuals present with mesomelic dysplasia, whereas 430 CHOPS individuals show brachydactyly. Seizures and failure to thrive are specific to 431 KINSSHIP and obesity with short stature to CHOPS. Congenital heart defects and hearing 432 loss are typically observed in CHOPS, while horseshoe kidney and hypoplastic fibula are 433 predominantly present in KINSSHIP (80% versus 18% and 80% versus 9% of affected

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individuals, respectively). Despite having thick hair and coarse facies in common, CHOPS
probands differ from KINSSHIP probands by their round face and dysmorphic features
resembling those of CdLS individuals^{16,17}.

437

438 Although proteins encoded by AFF2, AFF3 and AFF4 were reported to be functionally 439 redundant, at least in regulating splicing and transcription during normal brain development⁵⁶, 440 the clinically distinct phenotypes of individuals carrying *de novo* variants in the degron of 441 AFF3 and AFF4 and our zebrafish results suggest that the encoded proteins are not fully 442 redundant. Further support for this hypothesis is provided by the intolerance to LoF variant of AFF1 (pLI=0.8), AFF2 (pLI=1), AFF3 (pLI=1) and AFF4 (pLI=1) reported by GnomAD. 443 Whereas homozygous *Aff3^{-/-}* knockout mice display features comparable to those presented by 444 445 KINSSHIP individuals such as skeletal anomalies, kidney defects, brain malformations and 446 neurological anomalies, these animals do not recapitulate the characteristic mesomelia contrary to Aff3^{del/del} mice model. This result and the aforementioned intolerance to LoF 447 448 suggest that AFF3 could be associated with two different syndromes, the one described here 449 caused by missense degron variants and a hemizygous deletion of the degron, as well as a 450 second one associated with LoF variants for which affected humans remain to be identified. 451 Although this hypothesis warrants further investigation, we have identified by exome 452 sequencing an individual with features partially overlapping those of KINSSHIP. He is 453 compound heterozygote for a truncating mutation and a predicted to be deleterious missense 454 variant outside of the degron.

455

In conclusion we describe a new pathology that we propose to name KINSSHIP syndrome. It is associated with variants in the degron of *AFF3* that affect the degradation of the encoded protein. This syndrome shows similarities with the *AFF4*-associated CHOPS syndrome, in particular its gain of protein stability and affected tissues. However, specific KINSSHIP features such as mesomelic dysplasia combined with horseshoe kidney allow a differential diagnosis.

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463 Supplemental Data

- 464 Supplemental data include 1 figure and 1 table.
- 465 Figure S1: Brain MRI of proband 7 carrying a de novo variant in AFF3
- 466 Table S1: Phenotype of individuals with AFF3 variants
- 467

468 **Conflicts of Interests**

469 Tara Funari, Ganka Douglas, Jane Juusola, and Rhonda E. Schnur and Wendy K. Chung are

470 employees and former employees of GeneDx, respectively. The remaining authors declare

- 471 that they have no competing interests.
- 472

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497 Web Resources

- 498 ClustalOmega: http://www.clustal.org/omega/
- 499 DDD: http://www.ddduk.org/
- 500 GeneMatcher: <u>https://genematcher.org/</u>
- 501 GeneDx ClinVar submission page: http://www.ncbi.nlm.nih.gov/clinvar/submitters/26957/
- 502 GnomAD: https://gnomad.broadinstitute.org/about.
- 503 IMPC: http://www.mousephenotype.org/
- 504 MutationTaster2: http://www.mutationtaster.org/
- 505 PANTHER: http://www.pantherdb.org
- 506 PER viewer: http://per.broadinstitute.org/
- 507 PolyPhen-2: http://genetics.bwh.harvard.edu/pph2/index.shtml
- 508 PROVEAN: http://provean.jcvi.org/index.php
- 509 SIFT: http://sift.jcvi.org/
- 510 Varaft: https://varaft.eu
- 511 Varapp: https://varapp-demo.vital-it.ch

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688 Figure Titles and Legends

689

690 Figure 1: AFF3 and AFF4 degron motif variants

691 (A) Schematic protein structure of ALF proteins with from the amino terminus a N-terminal homology domain (NHD), the AF4/LAF4/FMR2 homology domain (ALF)²¹ containing the 692 693 SIAH-binding degron motif, a serine-rich transactivation domain (TAD)³, a bipartite 694 nuclear/nucleolar localization sequence (NLS), and a C-terminal homology domain (CHD). 695 The sequences of the degron motif of AFF3 and AFF4 are shown above. The residues 696 modified in the KINSSHIP probands described in this manuscript and individuals affected by 697 CHOPS^{16,17} are highlighted in bold and numbered. The extent of the 500kb deletion previously described³⁵ is indicated here. A red arrow pinpoints the position of the degron 698 699 motif.

(B) Amino acid sequences alignment of human AFF1, AFF2, AFF3 and AFF4 proteins (ENSP00000305689, ENSP00000359489, ENSP00000317421 and ENSP00000265343, respectively) showing the highly conserved degron motif (red rectangle) of the ALF homology domain that provides the binding moiety to the SIAH ubiquitin-ligase. Sequences alignment was performed using Clustal Omega and edited using Jalview. Shading is proportional to conservation among sequences.

706 (C) Amino acid sequences alignment of different AFF3 vertebrate orthologs showing the 707 conservation of the degron motif (red rectangle). Accession numbers are ENSP00000317421 708 ENSMUSP0000092637 (mouse), ENSFCAP0000024603 (human), (cat), 709 ENSLAFP00000010776 (elephant), ENSPSIP0000007060 (chinese turtle), 710 ENSACAP0000008035 (anole lizard) and ENSPMAP0000008605 (lamprey).

711 (D) 3D modeling of the binding of human AFF3 degron to the mouse Siah ubiquitin ligase. 712 PDB entry 2AN6³² was loaded in Swiss-PdbViewer³¹ and used as a template to align the human SIAH ubiquitin ligase (uniprot entry Q8IUQ4)³⁰. With respect to the mouse crystal 713 714 structure, the only difference is the presence of an aspartic acid residue instead of a glutamic 715 acid at position 116. The region of AFF3 containing the degron motif (LRPVAMVRPTV) was then aligned onto the Siah-interacting protein⁵⁰ peptide present in the crystal structure 716 717 (QKPTAYVRPMD) to highlight the position of the variants reported in this study. For clarity, 718 only side-chains of the core degron motif (P256, A258, V260 and P262) are shown, with 719 yellow highlights on the KINSSHIP mutated residues. A zoom in is displayed on the right. 720 The core degron motif adopts a beta-strand conformation directly contacting the ubiquitin 721 ligase-binding groove. The sidechains of A258 and V260 are embedded into binding pockets too small to accommodate larger side chains³². They are in direct proximity of Siah residues
 T156 (pink) and M180 (cyan), identified as key binding residues in a series of pull-down

- assays³². The longer side-chains of A258T, A258S, A258V variants and the smaller V260G
- are likely to weaken or prevent the interaction with the ligase.
- (E) Immunoblot showing the accumulation of mutated forms of AFF3 and AFF4 proteins
 compared to wild type (WT). Protein extracts of HEK293T cells independently expressing
 FLAG-tagged AFF3^{WT}, AFF3^{A258S}, AFF3^{V260G}, AFF4^{WT} and AFF3^{R258W}proteins were
 immunoblotted with an anti-FLAG antibody (upper portion) and an anti-β-actin antibody for
 loading control (bottom portion). The positions of FLAG-AFF3, FLAG-AFF4 and β-actin
 proteins are indicated on the right; they are 133kD, 127kD and 42kD respectively. Signal
- intensity is measured and normalized on corresponding loading control.
- 733

734 Figure 2: Photographs of KINSSHIP individuals with AFF3 de novo missense variants

- 735 (A) Proband 2 at 2 years 6 months old;
- 736 **(B, I)** Proband 3 at 18 years old;
- 737 (C) Proband 4 at 9 months and (D, J) 21 years old;
- 738 (E) Proband 5 at 1 year 7 months and (N-O) 16 days old;
- 739 (F, K-M) Proband 6 at 9 years old;
- 740 (G) Proband 7 at 8 years old;
- 741 (H, P-R) Proband 8 at 7 years 9 months old;
- 742 **(S)** Proband 10 at 11 years old.
- 743 Note the synophrys and micrognathia, protruding ears, large nose with prominent nasal tip
- and prominent teeth in proband 3 (B), 4 (D), 6 (F) and 8 (H), as well as their hypertrichosis of
- the limbs (I, J, M, P). Together with probands 5 and 7, they exhibit thick hair, long eyelashes
- and a wide mouth (E, G). Facial features coarsen with age as shown by pictures of proband 4
- 747 at different ages (C-D), explaining the more delicate features of younger probands (A, E).
- 748 AFF3 de novo missense variant carriers also have hypoplastic talipes and abnormalities of
- toes (I, J, M-S). Proband 6 also shows clinodactyly and soft tissue syndactyly of both hands
- 750 **(K, L)**.
- 751

752 Figure 3: X-rays of KINSSHIP individuals with *de novo* missense variants in *AFF3*

- 753 (A-D) Proband 3 at 18 years old;
- 754 (E-I) Proband 4 at 21 years old;
- 755 (J-L) Proband 5 at 10 months old;

756 (M) Proband 7 at 8 years old;

757 (N-P) Proband 10 at 10 years old.

758 (A) Severe scoliosis and fusion of C2-C3 vertebral bodies and L5-S1 vertebral cleft (B) 759 Dorsal and radial bowing of the radius and "V-shaped" proximal carpal bones as seen in 760 Madelung deformity, (C) metaphyseal widening and hypoplastic fibula and (D) hypoplastic 761 talipes. (E) Static scoliosis, (F) Short ulna and radius and bilateral dislocation/subluxation of 762 radial heads. Note erratic articulation of the styloid process of the ulna on the radius rather 763 than on the carpal bones, (G) Congenital fusion of the bases of the second and third right 764 metatarsals, (H) Hypoplastic bowing femora and (I) short tibias with enlarged metaphyses. (J) Right foot with 4th and 5th metatarsals synostosis (K) and left foot missing the lateral ray, 765 (L) Extremely short rectangular fibula and bowed tibia. (M) Hypoplastic fibula. (N) Scoliosis 766 767 and cervical ribs, (O) bowed radius and ulna, (P) bowed tibia, severely hypoplastic fibula and 768 oligodactyly.

769

770 Figure 4: Neuroanatomical defects in *Aff3^{-/-}* mice

771 Merged double-stained sections in Aff3^{-/-} mice (right of dashed lines) and their matched 772 controls (WT: wild type, left of dashed lines) at the striatum (A) and at the hippocampus (B) 773 levels with schematic representation of the affected areas (C,D). Histograms showing the 774 percentage of increase or decrease of parameters in measured areas as compared to the 775 controls, for striatum (E) and hippocampus (F) sections. Red shading is proportional to the 776 stringency of the significance threshold. Numbers indicate studied areas: 1=total brain area, 777 2=lateral ventricles, 3=cingulate cortex (section 1) and retrosplenial cortex (section2), 778 4=corpus callosum, 5=caudate putamen (section 1) and hippocampus (section 2), 6=anterior 779 commissure (section 1) and amygdala (section 2), 7=piriform cortex, 8=motor cortex, 780 9=somatosensory cortex, 10=mammilo-thalamic tract, 11=internal capsule, 12=optic tract, 781 13=fimbria, 14=habenular, 15=hypothalamus, and 16=third ventricle. Results demonstrate an 782 enlargement of lateral ventricles (LV; p=1.24E-04 on section 1, p=4.64E-02 on section 2) and 783 a smaller genu of the corpus callosum (gcc; decreased corpus callosum size p=6.35E-02 784 indicated by the black dash and double arrow, decreased bottom width of the corpus callosum 785 p=3.02E-06 and decreased height of the corpus callosum p=4.96E-02). Other phenotypes of 786 lesser stringency can be observed such as atrophy of the anterior commissure (aca; p=1.02E-787 02) and smaller hippocampus (p=4.02E-02).

788

789 Figure 5: Animal models

(A) Schematic representation of the deletion generated in mice ES cells with the
 CRISPR/Cas9 system, which models the mutation observed in the deletion proband^{15,35}.

- 792 (B) Skeletal staining of E18.5 mouse embryos show mesomelic dysplasia with triangular tibia
- and hypoplastic fibula (see Figure 3 in reference³⁵), as well as a hypoplastic pelvis in $Aff3^{del/del}$
- mice, especially noticeable in the iliac wing (black arrows) and acetabulum (orange arrows),
- 795 perturbations also observed in the deletion proband.
- 796 (C) Delayed ossification of flat bones in the skull of *Aff3*^{del/del} mice.
- (D) Lateral (top line) and dorsal (bottom line) views of the observed phenotypes of 4 dpf ABWT zebrafish embryos injected with human AFF3 mRNA (hAFF3). hAFF3-injected
 zebrafish embryos exhibit severe developmental defects including a bent body axis and yolk
 sac edema (D3-6), as well as extreme malformations with absence of body axis, tail and fins
 and cyclopia (D7-8). Embryos with normal development are displayed for comparison (D12).
- 803 (E) Proportions of normal and developmentally defective 4 dpf AB-WT zebrafish embryos 804 upon injection of increasing doses of hAFF3 (left panel) and hAFF4 (right panel) mRNA. 805 Dark and light colors indicate developmentally defective and normal animals, respectively. 806 Control injections with Phenol Red show no significant (ns) differences with WT in both 807 AFF3 and AFF4 experiments (Fisher exact test, p=0.09 and p=0.12 respectively). hAFF3 808 mRNA injection significantly increases the number of zebrafish with developmental defects 809 when compared to controls starting from 150ng (*; p=0.03) and reinforced at 300ng (***; 810 p=3.2E-5). AFF4 injections do not have a significant impact on zebrafish development 811 compared to WT, even at the same dose (300ng, p=0.29).
- 812

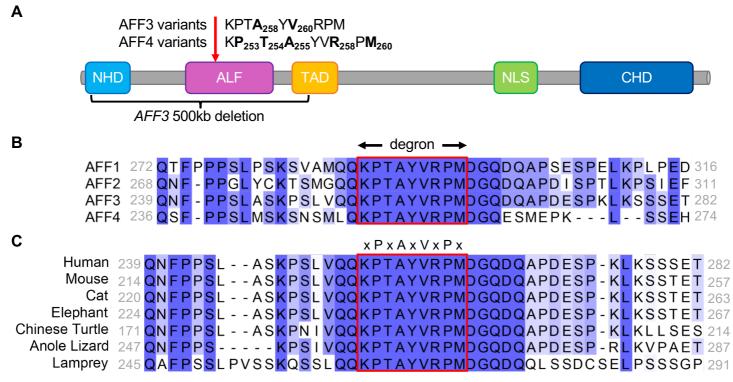
813 Figure 6: AFF2, AFF3 and AFF4 targets

- 814 **(A)** Venn diagram of the differentially expressed genes from independent RNAi of *AFF2*, 815 *AFF3*, and *AFF4* (adapted from Luo et al⁴⁷) showing that ALF transcription factors have 816 different targets. The knocked-down gene color code is indicated on the right.
- The expression modifications of common targets are presented in panels **B-E**. Common differentially expressed genes show adverse regulation between knockdown of *AFF3* and *AFF4* (**B**), *AFF2* and *AFF4* (**E**) and *AFF2/AFF3* and *AFF4* (**C**). Whereas RNAi of *AFF2* and *AFF3* similarly influence their 76 common targets (**D**), knockdowns of *AFF2* or *AFF3* have opposite effects to that of *AFF4* on their common targets [54/64=84% (**E**) and 119/125=95%
- 822 **(B)** of common targets with opposite perturbation of their expression levels, respectively].

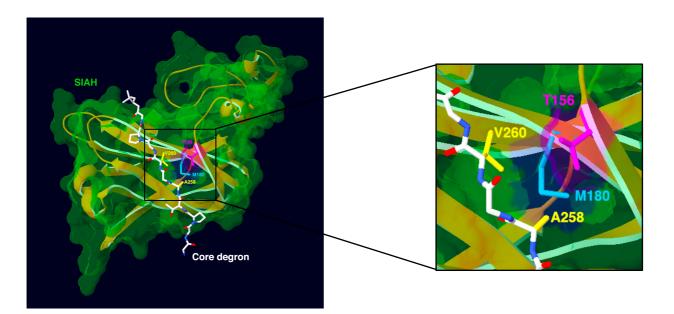
Table 1. Predicted	pathogenicit	y and allele freque	ncies of AFF3	8 de novo v	ariants						
		chromosome coordinates (GRCh37/hg19)	Nucleotide change	Amino acid change	dbSNP (v152)	GnomAD allele frequency (v2.1.1)	Deleteriousness prediction (score)				
Gene	Individual						CADD_PHRED (GRCh37-v1.4)	SIFT (v4.0.3)	PROVEAN (v1.1)	PolyPhen2 (v2.2.2)	Mutation Taster2 (09.01.19)
	1	Chr2:100623270	c.772G>T	A258S	-	0	23.8	Damaging (0.000)	Neutral (-2.48)	Probably damaging (1.000)	Disease causing
	2										
	3	Chr2:100623270	c. 772G>A	A258T	rs1131692272	0	24.3	Damaging (0.000)	Deleterious (-3.30)	Probably damaging (1.000)	Disease causing
	4										
AFF3	5										
NM 001025108.1	6										
NP_001020279.1	7										
	8										
	9	Chr2:100623269	c.773C>T	A258V	-	0	24.2	Damaging (0.000)	Deleterious (-3.30)	Probably damaging (1.000)	Disease causing
	10	Chr2:100623263	c. 779T>G	V260G	-	0	24.4	Damaging (0.000)	Deleterious (-5.85)	Probably damaging (1.000)	Disease causing
Footnote: SIFT cut	off=0.05, PR	OVEAN cutoff=-2.5	5, PolyPhen2 c	utoff=0.5.							

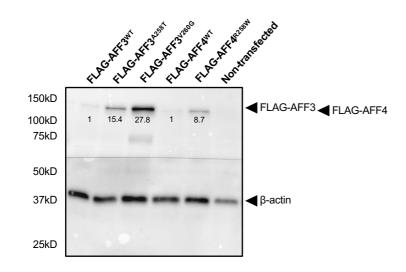
Producer Background Genotype		IMPC	33	Kraft et. al. ³⁵ CD1	This work			
		C57BL/	6N		CD	$\frac{C57BL/6N}{Aff3^{A233T}}$		
		Aff3 ^{+/-} Aff3 ^{-/-}		Aff3-/-	Aff3 ^{del/+}			Aff3 ^{del/del}
Phenotype	Craniofacial anomalies	-	+	NA	Variable features, from non-affected to homozygous-like phenotype	+	NA	
	Vertebral malformations	+	+	NA		NA		
	Mesomelic dysplasia	-	-	-		+ *		
	Polydactyly	-	-	-		+		
	Kidney malfunction	-	+	NA		NA		
	Intestinal prolapse	-	-	NA		+		
	Neurological dysfunctions	-	+	NA		+		
	Neuroanatomical defects	NA	+	NA		NA		
	Reduced body size	-	-	NA		+		
	Lethality	-	-	NA	Low chimerism, no heterozygous offspring, suggesting lethality	Postnatal lethality	No heterozygous offspring, suggesting lethality	

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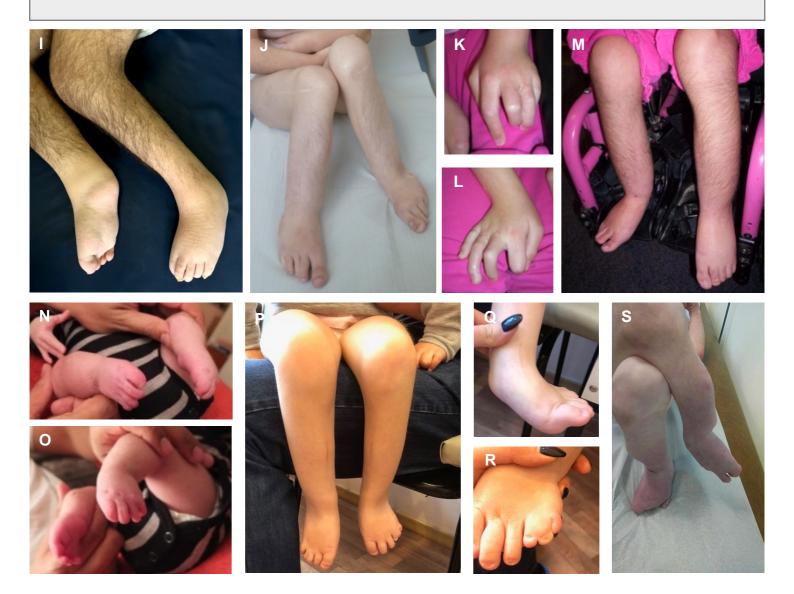




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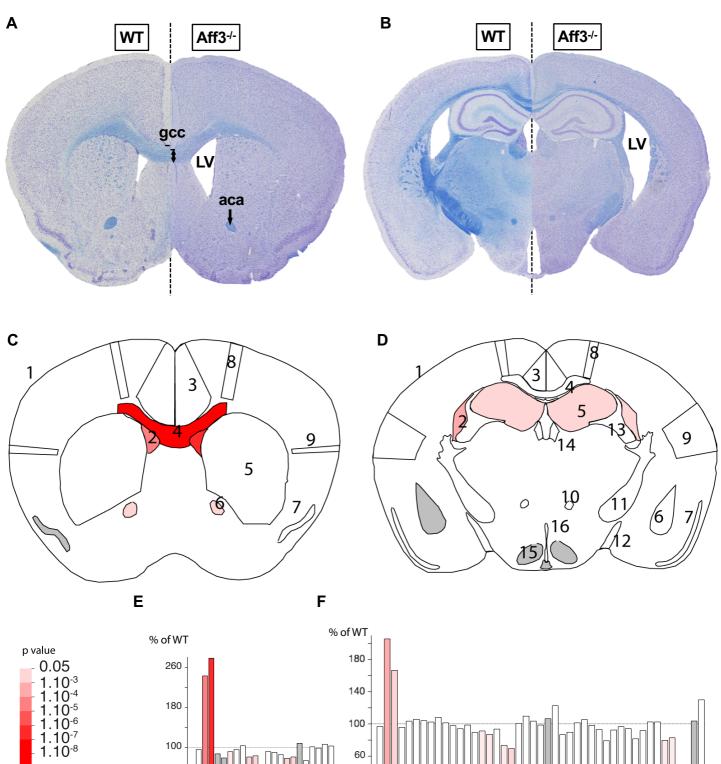
Because rapid and automated nature of preprint posting are incompatible with verification of informed consents, Biorxiv prohibits us to show these panels **A-H**.

Facial phenotypes are detailed in text and legends.



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