# **1** Association of the gut microbiota with colorectal cancer in a South

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# Asian cohort of patients

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# 19 Abstract

Background: As the gut microbiome is thought to play a role in the pathogenesis of colorectal
carcinoma (CRC) and affected by the diet and the genetic composition, we sought to investigate
the patterns of gut microbiota that associate with CRC in a South Asian cohort of patients with
CRC.

Methodology: The relative abundance of 45 types of gut microbial species were determined in
faecal samples of CRC patients (n=24), DM (n=20) and healthy age matched controls (n=44),
using a PCR array. Data was analyzed using the specific software for analysis of bacterial DNA
quantification.

Results: The species Bacteroides fragilis (23.9-fold), Bacteroides thetaiotaomicron (8-fold) and 28 Akkermansia muciniphila (5.9 fold) were several-fold over expressed in patients with CRC 29 compared to healthy individuals, whereas bacterial species of the Phylum Proteobactria were under 30 expressed. There was no difference in the abundance of these 3 species of bacteria with tumour 31 32 stage or gender and age of patients. Aeromonas species, Enterococcus faecium and Shigella dysenteriae (Proteobacteria) were over 100-fold over abundant in those with DM compared to 33 34 healthy individuals. Although 70.83% of those with CRC also had diabetes, the relative abundance of microbiota in CRC patients were different to those who had diabetes and no CRC. 35

Conclusions: Patients with CRC and DM harbor a markedly different gut microbiota patterns
compared to their healthy counterparts. Similar patterns of gut microbial dysbiosis that associate
with CRC and DM appear be seen in South Asian populations, compared to Western countries,
despite differences in the diet and ethnicity.

# 41 Background

Colorectal cancer (CRC) is the third commonest cause of cancer worldwide and is the fourth 42 commonest cancer leading to death [1]. It has been predicted that the deaths due to colonic cancer 43 and rectal cancer will increase by 60% and 71.5%, respectively until year 2035 due to the increase 44 in the aging population [1]. The increase in the incidence of CRC is predicted to rise substantially 45 more in developing countries vs developed countries due to these changes in population 46 demographics[2]. As this increase in the incidence of CRC in developing countries is likely to 47 result in a huge burden to their economies, there is an urgent need to implement programs that 48 reduce its occurrence and adopt novel diagnostic and treatment methods of CRC. 49

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51 Diet and lifestyle are major risk factors for development of CRC along with genetic susceptibility, the presence of metabolic diseases such as diabetes and obesity and inflammatory bowel disease 52 [3-6]. Metabolic diseases and CRC are associated with microbial dysbiosis, which is characterized 53 54 reduced diversity of the gut microbiome with an overabundance of the genera Proteobacteria and Firmicutes [7]. Several types of microbiota have been shown to associate with CRC such as pks-55 positive E.coli, enterotoxigenic Bacteriodes fragilis, Fusobacterium nucleatum and Streptococcus 56 gallolvticus [8-12]. While some of these microbes were overabundant in the gut microbiome of 57 patients with CRC, some have been detected specifically in tumor tissue and also in distance 58 metastasis, suggesting that they may play a role in the pathogenesis of this cancer [12]. They are 59 thought predispose to the development of CRC by inducing epigenetic changes and thereby 60 affecting gene transcription, inducing DNA damage and reactive oxygen species and by inducing 61 procarcinogenic cytokines [12]. 62

Of the factors that affect the diversity of the gut microbiome, the diet plays a central role. Although 63 the relative abundance of gut microbiota depends on an individual's genetic composition (12%). 64 the influence of the diet is much greater (57%)[13]. Individuals who predominantly consume a 65 Mediterranean diet, rich in grains, legumes, nuts, vegetable and fruits were found to have a gut 66 microbiome which reduced the risk of metabolic diseases, inflammatory bowel disease and colonic 67 68 cancer compared to those who consume a typical Western diet [14]. South Asian individuals have a very different diet than those of Western and the South East Asian populations, due to differences 69 in religious and cultural practices. Their diets are typically rich in grains, pulses, vegetables and 70 71 fruits with a low intake of red meat. These vast differences in the diet are likely to influence the microbial composition and thus protect or predispose to the development of CRC. In fact, is has 72 been shown that the gut microbiome is significantly different in individuals of different ethnicity, 73 living in the same geographical area [15]. Since dietary factors are likely to directly contribute to 74 the microbial composition and thus to the risk of developing CRC, change in the dietary patterns 75 can be an important strategy in the prevention and treatment of CRC[16]. Therefore, in order to 76 implement such preventive and therapeutic strategies, it would be important to initially 77 characterize the gut microbial patterns in South Asian individuals with CRC living in those 78 countries. 79

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In this study, we have determined the relative abundance of 45 species of gut microbiota on patients with CRC, aged matched healthy individuals and also in patients with metabolic diseases such as diabetes. We found that that pattern of the gut microbiota was significantly different in those with CRC and diabetes compared to healthy individuals.

# 85 Methods

#### 86 Patients

We recruited 24 patients with CRC who underwent colonoscopy at Colombo South Teaching Hospital, Sri Lanka between January 2017 and April 2018, following informed written consent. Stool samples were obtained from these patients two weeks after colonoscopy. All clinical details regarding altered bowel habits, abdominal pain, loss of weight, appetite along with laboratory and radiological investigations such full blood count, ultrasound scanning of the abdomen and CT scans were recorded and CRC grading was carried out according to TNM staging classification [17].

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#### 95 Recruitment of healthy controls and patients with diabetes mellitus

In order to compare the changes that associate with CRC, we recruited healthy individuals (n=44) who underwent colonoscopy and were found not to have any bowel pathology and who were non-obese, (BMI <23.9), waist circumference <80cm for females and <90cm for males and who did not have diabetes or hyperlipidemia. Again, the stool samples were obtained from these patients two weeks after colonoscopy.</p>

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In addition to the above controls, as most of the patients with CRC also had diabetes mellitus (DM), in order to differentiate the changes in the patterns of gut microbiota with those that are specific to CRC, we also recruited patients with DM (n= 20) who underwent colonoscopy and were found not to have any CRC or gut pathology, between January 2017 to April 2018. As in patients with CRC, stool samples were obtained from these individuals, two weeks following colonoscopy. Patients who had adenomas, who received antibiotic therapy for more than 1 week

- 108 prior to stool sample collection, who received chemotherapy and/or radiation or with a history of
- 109 CRC and inflammatory bowel disease were excluded.

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#### 111 Ethics Statement

All subjects provided informed written consent prior to participating in the study. Ethical approval
was granted by Ethical Review Committee, Faculty of Medical Sciences, University of Sri
Jayewardenepura (Application No: 35/16).

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## **116** Sample collection and DNA Extraction from stools

The stool samples were collected two weeks after the patients underwent colonoscopy giving time for the gut microbiota to re-establish itself. The stool samples were transported to the laboratory within 24 hours after collection. DNA was extracted using QIAamp DNA Stool Mini Kit (QS, Hilden, Germany) according to the manufacturer's instructions and the extracted DNA stored at -20°C prior to quantification of the stool microbiota.

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## 124 Quantification of the gut microbiota

The Microbial DNA qPCR Array Intestinal Infection 2 kit (Qiagen, Hilden, Germany) (Supplementary table 1) was used to amplify species specific 16S rRNA genes in order to identify and to quantify the relative abundance of 45 types of gut microbiota according to the manufacturer's instructions (Qiagen, Hilden Germany) (Supplementary table 1). Briefly, 5000ng (0.005 mg) extracted bacterial DNA from each stool specimen was used in the microbial qPCR

	master mix and target-specific fluorescent probes. The reaction was performed in an Applied
131	Biosystems7500, 96-well plate detection system. qPCR cycling condition first step comprise of
132	one cycle of initial PCR activation step for 10 minutes at 95°C, following 40 cycles of denaturation
133	for 15 sec at 95°C and annealing and extension for 2 minutes at 60°C as the second cycling reaction
134	step. The threshold cycle value (Ct) for each reaction was determined by manually setting the
135	threshold limit. The relative abundance of different bacterial species was determined by comparing
136	the Ct value of each bacterial species with the Ct values of same bacterial species generated from
137	the healthy controls using the Baid 1407 intestinal infections microbial profiling data analysis
138	software (Qiagen, Hilden Germany).
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140	Statistical analysis
141	Statistical analysis was performed using Graph PRISM version 7. Differences in the relative
142	abundance of different bacterial species in patients with CRC, patients with diabetes and healthy
143	individuals were compared using the Mann-Whitney U test (two tailed),
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# 153 **Results**

#### 154 Characteristics of patients with CRC

- 155 Of the 24 patients with CRC, 15 (62.5%) were males and 9 (37.5%) were females. The median
- age of those with CRC was 59 years (IQR 53.25 to 64 years), in those with DM was 65 (IQR 59.5
- to 68 years) and in healthy individuals was 53 (IQR 47 to 65 years). In patients with CRC, 4/24
- (16.67%) of the tumors were present in the descending colon, 4/24 (16.67%) in the sigmoid colon,
- 159 11/24 (45.83%) in the rectum, 2/24 (8.33%) in recto –sigmoid junction and 1/24 (4.17%) in hepatic
- 160 flexure, 1/24 (4.17%) in anal verge and 1/24 (4.17%) in transverse colon. 17/24 (70.83%) of those
- 161 with CRC had DM.
- 162
- 163 2/24 of CRC patients were in stage 0 and i based on the TNM classification at the time of diagnosis,
- 164 7 were in stage ii, 8 s in stage iii and 7 patients were in stage iv. None of the patients with CRC,
- 165 DM or the healthy individuals had a previous history of inflammatory bowel disease or CRC. The
- 166 clinical details of all patients with CRC is shown in Table 1.

Patient ID	Gender	Age	Tumour	Tumour location	Diabetes
			stage		mellitus
1	М	74	0	rectum	DM+
2	М	62	iii	sigmoid colon	DM+
3	М	68	i	hepatic flexture	DM+
4	М	67	ii	rectum	DM+
5	F	54	ii	rectum	DM+
6	F	43	ii	rectum	DM0
7	М	54	ii	Rectum	DM0
8	М	59	ii	Recto - sigmoid junction	DM+
9	М	91	ii	desending colon	DM+
10	F	43	ii	rectum	DM0
11	М	48	iv	Lower and mid rectal CA	DM0
12	М	64	iii	lower rectum	DM+
13	F	64	iii	anal verge	DM+

168	14	F	63	iii	rectum	DM+
169	15	М	45	iii	Splenic flexture,	DM0
170					desending colon	
171						
172	16	F	59	iii	desendin colon	DM0
173	17	М	68	iii	transverse colon	DM+
174	18	М	59	iii	rectum, distal	DM+
175	10	1 <b>V1</b>		111	lectuili, distai	DIVIT
176	19	F	42	iv	sigmoid colon	DM+
177	20	F	64	iv	rectum and	DM0
178					sigmoid colon	
179						
180	21	F	63	iv	sigmoid colon	DM+
181	22	М	54	iv	rectum	DM+
182						
183	23	М	56	iv	desending colon	DM+
184	24	М	51	iv	sigmoid colon	DM+
105						

188 Table 1: Clinical characteristics of patients with Colorectal cancer

## 191 Patterns of gut microbiota in CRC compared to healthy individuals

As the relative abundance of gut microbiota has shown to be markedly different in those with CRC
compared to healthy individuals<sup>7</sup>, we proceeded to determine the patterns of gut microbiota in our
cohort of patients, in comparison to healthy individuals.

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We observed marked differences in the gut microbiota patterns in patients with CRC when compared to healthy individual groups (Fig 1). The five most abundant bacterial species among in patients with CRC compared to healthy individuals were *Bacteroides fragilis, Bacteroides thetaiotaomicron, Akkermansia muciniphila, Aeromonas spp. (Aeromonas enteropelogenes, Aeromonas hydrophila, Aeromonas punctata, Aeromonas media*) and *Bacteroides vulgatus* (Table 1). Most notably *Bacteroides fragilis* was 23.9-fold over expressed in those with CRC compared to healthy individuals followed by *Bacteroides thetaiotaomicron*.

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# **Figure 1: Gut microbial patterns of patients with CRC compared to healthy individuals.** The relative abundance of 45 gut microbiota species in patients with CRC (n=24) and healthy individuals (n=44) were investigated using a PCR array amplifying the 16S rRNA genes in stool samples. The relative abundance of each microbial species relative to the abundance in healthy volunteers is shown.

# Changes in the patterns of gut microbiota in patients with diabetes mellitus when compared to healthy individuals

The relative abundance of the gut microbiota has shown to be different in those with metabolic diseases such as DM [7, 18]. As 17/24 (70.83%) patients with CRC also had DM, we proceed to

determine if these changes in the gut microbiota observed in those with CRC were associated with CRC or with DM. In order to determine the changes associated with DM, we compared the patterns of gut microbiota in patients with DM (n=20) with the group of healthy volunteers (n=44). Again. we observed marked differences in the gut microbiota pattern in patients with DM compared to healthy individuals (Fig 2).

**Figure 2: Gut microbial patterns of patients with DM compared to healthy individuals.** The relative abundance of 45 gut microbiota species in patients with DM (n=20) and healthy individuals (n=44) were investigated using a PCR array amplifying the 16S rRNA genes in stool samples. The relative abundance of each microbial species relative to the abundance in healthy volunteers is shown.

Aeromonas spp. (Aeromonas enteropelogenes, Aeromonas hydrophila, Aeromonas punctate, Aeromonas media) were the predominant microbes, in patients with DM, which were seen at 226.64-fold times higher than healthy individuals. The abundance of *Enterococcus faecium*, *Bacteroides thetaiotaomicron, Streptococcus agalactiae. Shigella dysenteriae, Enterococcus faecalis, Bacteroides fragilis and Plesiomonas shigelloides* were also several fold higher in the stool samples of DM patients compared to healthy individuals (Table 2) followed by *E. faecium*, *S. dysenteriae* and *Streptococcus agalactiae*. In contrast, to what we observed in patients with CRC, all the 45 bacterial species investigated several folds more abundant in patients with DM compared to healthy individuals.

#### Comparison of the gut microbial patterns of CRC patients compared to those with DM

As we observed marked differences the relative abundance of the gut microbiota between those with CRC and healthy individuals and those with DM and healthy individuals, and since 17/24 (70.83%) of the individuals with CRC had DM, we proceeded to analyze the gut microbial patterns specific to CRC by comparing the microbial patterns of those with CRC (n=24) with those with DM (n=20).

Although all 45 bacterial species were overabundant in patients with DM compared to those with CRC, of whom 70.8% had DM, *Bacteroides fragilis, thetaiotaomicron* and *Akkermansia muciniphila* were least expressed (Figure 3). As, these 3 species of bacteria appear to be associated with CRC, we evaluated the expression of these 3 species related to tumour grade and site of the tumor. There was no difference in the abundance of these 3 species of bacteria with the stage 0 to ii (n=9), iii (n=9) and iv (n=6), when analysed using the Kruskal-Wallis test. There was also no difference in their abundance based on gender or age.

**Figure 3: Gut microbial patterns of patients with CRC compared to patients with DM.** The relative abundance of 45 gut microbiota species in patients with CRC (n=24) and patients with diabetes mellitus (n=20) were investigated using a PCR array amplifying the 16S rRNA genes in stool samples. The relative abundance of each microbial species relative to the abundance in healthy volunteers is shown.

# Discussion

In this study we investigated the differences in the gut microbial patterns in a South Asian cohort of individuals with CRC and found that the gut microbial patterns were vastly different between those with CRC and healthy individuals. As a large proportion (70.83%) of those with CRC also had diabetes, in order to determine if the changes in the relative abundance of different bacteria were due to the presence of diabetes, we compared the gut microbial patterns in patients with DM with age matched healthy individuals. Again, we found that the gut microbial patterns were indeed markedly different in those with DM compared to healthy individuals. Further comparison of the microbial patients with DM with those with CRC showed that three bacterial species were more likely to be associated with CRC. These are *Bacteroides fragilis, Bacteroides thetaiotaomicron* and *Akkermansia muciniphila*. However, the relative abundance of these three bacterial species did not differ based on the tumour stage, age or the gender of patients.

Many previous studies have shown the association between CRC and *Bacteroides fragilis* [19]. The toxin produced by Enterotoxigenic *Bacteroides fragilis* has shown to change gene transcription in the colons of mice models by inducing epigenetic changes, which subsequently result in development of tumors [20]. The toxin producing strains of *Bacteroides fragilis* has shown to be more prevalent in patients with CRC [10]. Although we did not specifically assess toxin production by *Bacteroides fragilis* in this study, this bacterium was found to be 23.9 times overabundant in those with CRC compared to healthy individuals. Although we found that *Bacteroides vulgatus* was also two-fold over abundant in those with CRC compared to healthy

individuals, other studies have shown that *Bacteroides vulgatus* was more abundant in healthy individuals [21]. In addition, we found that *Bacteroides thetaiotaomicron* was 8-fold more over abundant in those with CRC when compared to those with CRC, which has not been reported before.

Ethnic differences have shown to play a significant role in the outcome of CRC, which has been attributed to possible differences in the gut microbiome [22]. For instance, while Bacteroides species, *Fusobacterium nucleatum* and *Enterobacter* species were more abundant in African-American patients with CRC, *Akkermansia muciniphila* and *Bifidobacterium* species were more abundant in Caucasians [22]. As our PCR array did not have *Fusobacterium nucleatum* and *Bifidobacterium* species included, we could not assess their relative abundance. However, *Akkermansia muciniphila* was 5.9-fold over abundant in those with CRC, compared to healthy individuals. Therefore, it would be important to carry out 16S sequencing of the whole gut microbiome to derive better data to find out the microbiota that associate with CRC in the Sri Lankan population.

As 70.8% of the CRC patients also had DM, in order to identify the gut microbiota patterns related to DM, we assessed the patterns between healthy individuals and those with DM. We found that all the 45 types of gut microbiota assessed in this study, were several folds over expressed in those with DM compared to healthy individuals. Specifically, the Aeromonas species, *Enterococcus faecium* and *Shigella dysenteriae* were over 100-fold over abundant in those with DM compared to healthy individuals. Therefore, bacteria of the Phylum Proteobacteria do appear to be 100-fold

more abundant in South Asian patients with DM, similar to the observations in Western countries, despite differences in their diets [23]. This gut microbial dysbiosis that occurs due to the overgrowth of bacteria of the Phylum Proteobacteria has shown to associate with low grade endotoxaemia [24]. The presence of low levels of bacterial lipopolysaccharide (LPS) has shown to associate with DM and other metabolic diseases such as non-alcoholic steatohepatitis, which are rapidly increasing in all South Asian countries [25-27]. Therefore, similar patterns of gut microbial dysbiosis that associate with CRC and DM appear be seen in South Asian populations as in other countries, despite differences in the diet and ethnicity.

In summary, we studied the gut microbial patterns in a South Asian cohort of patients with CRC and found that *Bacteroides fragilis, Bacteroides thetaiotaomicron* and *Akkermansia muciniphila* were several folds over abundant in those with CRC when compared to healthy individuals. However, as this study was limited to studying only 45 genera and species of microbiota, it would be important to study the whole gut microbiome by carrying out 16S sequencing to identify other possible microbes that associate with CRC. Early identification of such gut microbial dysbiosis could lead to prevention and treatment strategies in populations by possible nutrition interventions.

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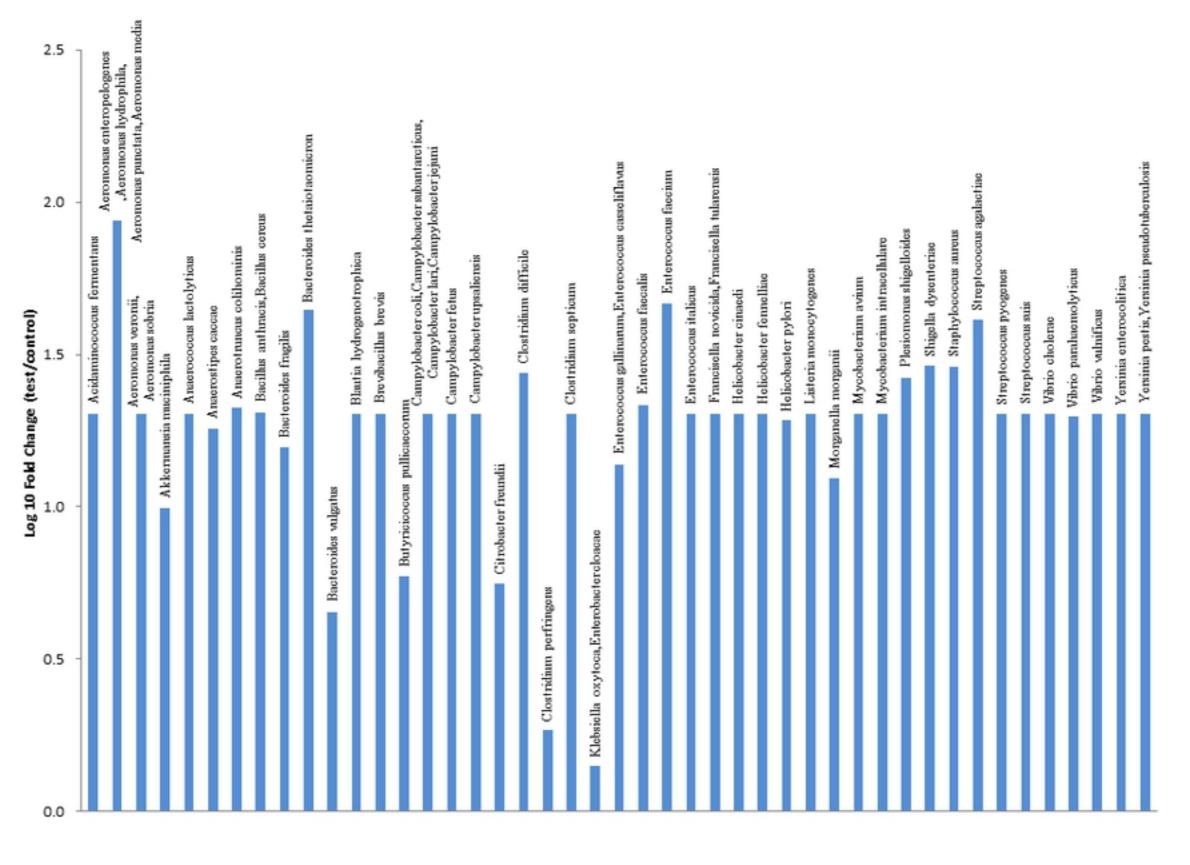
# Supporting information captions

Supplementary table 1: The Microbial DNA qPCR Array Intestinal Infection 2 kit (Qiagen, Hilden,

Germany)



1.0 - 0.5 - 0.0 -		Aktornansu naciniphila		Bacteroides	Bacteroides vulgatus thetaiotaomicrom																									
-0.5 -	Acidaminococcus fermentans Aeromonas enteropelogenes, Aeromonas hydrophila, Aeromonas punctata, Aeromonas media Aeromonas veronii, Aeromonas sobria	yticus	A raterot nuncus colitionuinis				Butyricicoccus pullicacorum		Citrobacter freundii Micile										Morganella morganii			ides	s acalactiae							
-1.0 -	Acidaminococcus fermentans Aeromonas enteropelogenes, J punctata, Ac Aeromonas veronii, Aeromons	Amerococcus lactolyticus	A naterostipes caccae A nat	Bacilhus anthracis,Bacilhus corcus I		Blautia hydrogenotrophica	Campylobacter coli,Campylobacter subantarcticus Campylobacter lari,Campylobacter jejuni	upsalio	Citrol Clostridium difficile		Clostridium septicum	a, Enterobactereloacae Enterococcus gallinanum, Enterococcus casseliflavus Enterococcus faecalis	Enterococus faccium	Enterococcus italicus	Francisella novicida, Francisella tularensis	Helicobacter cinaedi	Helicobacter fermelliae Helicobacter neloci	Listeria monocytogenes	Mon	Mycobacterium avium	Mycobacterium intracellulare	Plesiononas shigelloides	Staphylococcus aureus Strentococcus acalactiae	Showlow-come processes	Strentococcus pyogenes Strentococcus suis	Whrie cholense	Vibrio parahaemolyticas	Vibrio valnificas	Yersinia enterocolitica	Yersinia pestis, Yersinia pseudotuberculosis
-1.5 -				B			Campylobae Campyl			Clostridium perfringens		Klebsiella oxytoca,Enterobactercloacae Enterococcus gallinanun, Enterococcus casseliflavas Enterococcus faecal			Franciso															Yorsinia



# Figure 2

0.0																						Г															
-0.5	-		sciniphila				Bacteroides fragilis	Bacteroides vulgatus		un			ji -																								
lange (test/control)	-		Akkormansia muciniphila				B: Bacteroides thetaiotaomicron	Bactero		Butyricicoccus pullicaeconum			Citrobacter freundii			ı oxytoca,Enterobacteroloacae									organii												
Log 10 Fold Change		ula,	sobna	tions -	ac Anarot nuncus colihominis		Bact			,str				Clostridium perfringens		Klebsiella									Morganella morganii												
-2.0	Acidaminococcus fermentars	Aeromonas enteropelogenes, Aeromonas hydrophila, Aeromonas punctata, Aeromonas media	onas veronu, Aeromonus sobra	Anaerococcus lactolyticus	Anacrostipes caccae Anacrotrun	acilhus			Blautia hydrogonotrophica Producitur barrie	Campylobacter coli, Campylobacter subantarcticus, Campylobacter levi Campylobacter subantarcticus,	Campylobacter fetus	Campylobacterupsaliensis	1. and 1. 1.	Clostndmin dufficile Cl	Clostridium septicum	Enterococcus gallinarum,	Enterococcus casseliflavus	Enterococcus laccaus	Enterococcus italicus	Francisella novicida, Francisella tularensis	Helicobacter cinnedi	Helicobacter fennelliae	Helicobacter pylori	Listeria monocytogenes	Hundred aritim aritim	Mycohacterium intracellulare	Plesiomonas shigelloides	sontoriao	lococcus aurous	Streptococcus agalactiae	Streptococcus pyogenes	Streptococcus suis	Vibrio cholenae	Vibrio parahaemolyticus	Vibrio vulnificus	Yersinia enterocolitica	Yersinia pestis,Yersinia pseudotuberculosis
-2.5	Acidar	Aeromonas enteropelog Aeromonas punci	Aeromonas ve		Лпа	Bacillus anthracis,B			Bla	Campylobacter coli,Ca Campolobacter la		Ca	Ę	5		Enteroco	Enteroco	Enterococcus	faccium	Francisella novici				1		Mveo	Ple	Shigolla dysontori	Staphylococci	Strep				2			Yersinia pestis,Yen

Figure 3