1	The Neural Basis of Predictive Pursuit
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3	Short title
4	The neural basis of predictive pursuit
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6	One-sentence summary
7	In a dynamic pursuit environment, monkeys actively predict future prey positions and
8	dACC neurons encode these future positions.
9	
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26	Newtonian physics, prediction, dorsal anterior cingulate cortex,
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ABSTRACT

29 It remains unclear how and to what extent non-human animals make demanding on-the-30 fly predictions during pursuit. We studied this problem in a novel laboratory pursuit task that 31 incentivizes prediction of future prey positions. We trained three macaques to perform joystick-32 controlled pursuit of prey that followed intelligent escape algorithms. Subjects reliably aimed 33 towards the prey's likely future positions, indicating that they generate internal predictions and 34 use those predictions to guide behavior. We then developed a generative model that explains 35 real-time pursuit trajectories and showed that our subjects use prey position, velocity, and 36 acceleration to make predictions. We identified neurons in the dorsal anterior cingulate cortex 37 (dACC) whose responses track these three variables. These neurons multiplexed prediction-38 related variables with a distinct and explicit representation of the prey's future position. Our 39 results provide a clear demonstration that the brain can explicitly represent future predictions and 40 highlight the critical role of anterior cingulate cortex for future-oriented cognition.

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INTRODUCTION

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Many foragers pursue fleeing prey. The ability to effectively pursue prey is thus a critical element in our behavioral repertoires ^{1,2}. To pursue effectively, a forager needs to perform a series of computations: it must maintain a representation of its current position relative to that of the prey, then compute a best path to capture the prey, then execute that path. Because the ability to perform such computations can determine foraging success, pursuit has likely been an important driver of our cognition and its underlying brain systems ^{3–6}.

48 One way to improve pursuit effectiveness is to predict the future position of the prev and head towards the predicted position⁷. Estimating future positions can be done using the prey's 49 50 basic Newtonian variables (most importantly, its current position, velocity, and acceleration) and 51 can be improved using additional (potentially even recursive) variables, such as predictions 52 about the likely evasive strategy of the prey in response to the predator's own future path. By 53 using such information, the forager may be able to formulate a representation of the predicted 54 future position of the prey. The ability of non-human animals to actively predict positions of prey 55 during pursit is poorly understood. Nonetheless, predictive pursuit is an important part of the 56 repertoire of many species.

57 Prediction is important for many cognitive and behavioral processes, not just foraging.
58 These include motor control, economic decision-making, and abstract long-term planning ^{8–14}.
59 There is some evidence that foraging animals can predict the long-term future - that is, they may
60 be able to travel mentally in time and see themselves in the future ^{15,16}. However, observations
61 about animal prediction tend to be limited to a small number of highly adapted species in unique
62 contexts. And, while future planning of movements is relatively well-studied, the ability to
63 predict future positions of prey during dynamic behavior with rapidly changing goals – which

feed into but are distinct from motor plans – is not. In the context of pursuit, a critical question is
whether future-predicting foragers maintain a specific representation of potential future prey
positions and whether those representations (assuming they exist) make use of specialized
processes.

68 Although the neural bases of predictive pursuit remain unclear, we can draw some inferences about its likely neuroanatomy. In particular, the dorsal anterior cingulate cortex 69 (dACC) has been implicated in prediction, prospection, and related processes ^{17–20}. For example, 70 71 neuroimaging studies indicate that human dACC is a key region for economic prediction²¹, for prospective reasoning ¹¹ and for more open-ended prospective processes ^{21,22}. The dACC is well-72 73 positioned for this role: it receives broad inputs from limbic and cognitive systems, integrates 74 these, and generates high-level control signals that regulate behavior in an abstract and high-level way ^{19,22–24}. 75

76 Here, we examined the future predicting abilities of rhesus macaques using a novel 77 virtual pursuit task. Subjects used a joystick to move an avatar in an open two-dimensional field 78 displayed on a computer screen. Subjects, controlling the avatar, pursued a fleeing prey item that 79 used an artificially intelligent (AI) algorithm to avoid predation. By examining the properties of 80 a generative model fit to our data, we found that our subjects moved towards extrapolated future 81 positions of prey rather than just pointing towards the preys' present positions. Our subjects' 82 made their predictions based on three Newtonian variables associated with the current state, but 83 not other factors that could further improve predictions (such as the effect of the subject's 84 movements on the future position of the prey). We also found that neurons in dACC were 85 selective for those three Newtonian variables (and not others), indicating that responses in this 86 region provide sufficient information to generate the types of predictions our subjects made.

- 87 Finally, we found that dACC neurons used a spatial code to explicitly represent the predicted
- 88 future position of the prey, and that this future representation is multiplexed with the
- 89 representation of current Newtonian variables.

RESULTS

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91 **Behavioral results**

92 Three macaques (Macaca mulatta, subjects K, H, and C) used a joystick to control the 93 position of an avatar (a yellow or purple circle) moving continuously and smoothly in a 94 rectangular field on a computer screen (Figure 1 and Methods). On each trial, subjects had up to 95 20 seconds to capture a prey item (a fleeing colored square) to obtain a juice reward. Prey 96 avoided the avatar with a deterministic strategy that combined repulsion from the subject's 97 current position with repulsion from the walls of the field. The prey item was drawn randomly 98 from a set of five, identified by color, that differed in maximum velocity and associated reward 99 size. 100 All subjects showed stable behavior within twelve 2-hour training sessions that followed 101 a longer training period on joystick use (Figure S1 and Figure S2). All data presented here were 102 collected after the training sessions (number of trials, K: 3229; H: 3890; C: 2512). Subjects 103 successfully captured the prey in over 95% of trials and, on successful trials, did so in an average 104 of 5.04 seconds (K: 4.26 sec, H: 5.32 sec, C: 5.54 sec) and median of 3.62 seconds (K: 3.36sec, 105 H: 3.73 sec, C: 3.93 sec). Subjects' performance varied lawfully with prey type, indicating 106 sensitivity to manipulation of reward and/or difficulty (Figure S1). 107

108 Behavioral evidence of future state prediction

For analysis purposes, we split all data into one-second segments (**Figure S3**). Within each segment, we calculated the error (sum of squares) between the model (see below) and the behavior at each frame (i.e., each 16.67 ms). For each segment, we computed the minimum point

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112 on a 201x201 matrix of intensities for each parameter pair (force by time, **Figure 2**, see below). 113 We then averaged over all segments and all trials, separately for the three subjects. 114 We developed a generative model of behavior (see **Methods**). We used the variable τ 115 (tau) to refer to the prediction parameter for each subject. The variable τ comes from the model 116 and refers to a fit scalar variable, which is multiplied by future position (see the equations in 117 Methods section "Behavioral Model"). In practice, it can be interpreted as the distance into the 118 future that the subject prospects to guide his behavior (Figure 2A). The variable τ can have 119 positive, negative, or zero values. A positive value for τ indicates that the subject points towards 120 the expected future position of the prey - that is, the strategy reflects prediction. A zero τ 121 indicates that the subject points the joystick directly at the current position of the prey. A 122 negative value for τ indicates that the subject points the joystick towards where the prey was in 123 the recent past. Note that all of these strategies (within limits) are capable of eventually catching 124 all prey, since the subject's avatar is, by design, faster than the prey. The scalar parameter κ 125 (kappa) reflects the amount of force applied toward the direction of the predicted position. Thus, 126 a negative value indicates that force is exerted away from (180 degrees opposite) the prey's 127 position, whereas a positive value indicates that force is exerted towards it. 128 We also added an inertia term to the model. Specifically, we computed an inertially 129 biased path for each 16.67 ms frame. The biased path is a vector sum of the computed best 130 predicted direction and the previous direction $(P_{subject}(t) - P_{subject}(t-1))$. In our implementation, 131 these two terms have equal weighting. Note that in practice, their relative weighting may 132 nonetheless vary because the force term (κ , which is fit in the model), affects the weight of the 133

133 new direction relative to the past direction. This approach for implementing inertia is designed to

align intuitively with how inertia works (see **Methods**, **Figure S2**, and **S4**).

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135 We called our first model the *physics variable based prediction model* (PVBP). It 136 assumes that subjects' prediction derives from the the prey's current position, velocity (i.e. both 137 speed and direction), and acceleration (which includes both direction and magnitude of 138 acceleration), as well as further derivatives, see **Figure S5**). For all three subjects, the best fitting τ is positive, indicating that they point the joystick towards the prey's future position. For ease of 139 140 interpretation, we translated τ into time units by calculating the distance between the current 141 position and estimated position, then divided that quantity by the average velocity of the prev 142 across the session. The results of this calculation indicate that subjects K, H and C pointed the 143 joystick towards the position that the prey would occupy in an average of 800 ms, 767 ms, and 144 733 ms in the future, respectively. In the context of the task, these numbers are substantial: they 145 reflect 18.78%, 14.42%, and 13.23% of the average trial duration for K, H, and C, respectively. 146 To determine whether the positive prediction parameter τ is significantly greater than 147 zero, we performed a bootstrap (randomization test making use of resampling with replacement) 148 of heatmap slices from each segment (individual heatmap from 500 segments). This resampling 149 was performed 500 times and resulting heatmaps were added. Then the τ and κ that best explain 150 each segment (that is, the one resulting in the lowest cost) was selected in each resampling. We 151 confirmed that the estimated value τ and κ are both greater than zero more than 99% of the time 152 (i.e., p<0.01). 153 The distance into the future that our subjects predicted did not detectably depend on the 154 the speed of the prey (linear regression between reward/speed and mean τ , K: β =3.0316,

155 p=0.1110; H: β =4.5798, p=0.1791; C: β =7.1007, p=0.0957; the term β refers to the regression 156 coefficient for speed against neural activity). We next asked whether taking more complex paths 157 (ones with more turns vs. more straight paths) affected prediction span. Prey path complexity (as

158 measured by path curvature estimated by average angle method) affected prediction.

159 Specifically, subjects predicted less far into the future when the prey path had more curves (K:

160 β =-0.0687; H: β =-0.0567; C: β =-0.0898, p<0.0001 for each). Thus, subjects had the ability to

161 dynamically adjust their own prediction in light of changing circumstances.

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163 Alternative models do not predict trajectories as well as physics-based prediction

164 We next compared the physics-based model to two other models implementing different 165 prediction algorithms (Figure 2B). First, the veridical prediction (VP) model assumes that the 166 subjects will make perfect predictions that incorporate all game dynamics, including preys' 167 repulsion from the walls and the subject's avatar. This means that a subject that makes a 168 veridical prediction takes into account the effect his own movements will have on the prey's 169 strategy. Second, the cost contour map prediction (CCMP) model is the same as VP but excludes 170 repulsion from the avatar, meaning that the subject's prediction model for the prey would not 171 take into account their own motion. We compared the performance of each model by computing 172 the sum of squares error between the prediction trajectory and the observed trajectories over all 173 time bins.

Using the Akaike Information Criterion (AIC), we found that the PVBP fit better than the other two models in our well-trained subjects (K: 7.529×10^6 , for subject K, second best was VP: 7.542×10^6 ; H, PVBP: 8.923×10^6 ; for subject H, second best was CMPP: 8.950×10^6 , **Figure 2D**). We fit each segment with distinct τ and κ parameters, and we fit these same two parameters for each of our three models. As a consequence, the comparison of models can be done directly without concern of potential bias toward any specific model. In other words, by fitting each of the three models subject to identical constraints, we ensured a fair comparison across models.

For the less well-trained subject, C, the CCMP model explained trajectories most accurately
(7.955x10⁶).

183 We speculated that one factor that may influence strategy is the speed of the prey. Indeed, 184 we found that all three subjects used PVBP more frequently when the speed of the prey was 185 faster (Figure S6). Note that this observed link between speed and the fit of the PVBP occurs 186 even in our third (less fully trained) subject (p < 0.001, logistic regression, Figure S6). In any 187 case, our model's classification of strategies appears to be robust: the same results were obtained 188 using a different method. Specifically, we fit all individual segments to the best model and 189 computed the model that fit the most overall number of segments (Figure 2D and Methods). 190 Doing the fitting this way may seem excessively flexible. That is, using two times the 191 number of segments might allow us to fit only noise. (Consider, for example, the case of fitting 9 192 data points with 9th-order polynomial curve). On the other hand, the extra freedom may allow us 193 to better fit signal - or, of course, it may fit both noise and signal. The key question, then, is 194 whether using a large number of parameters makes the fit better despite the possibility of fitting 195 noise. To answer this question, we directly compared the two approaches (Figure S3). 196 Specifically, we compared a model assigning two parameters globally versus one applying two 197 parameters for each one-second segment (i.e., Monkey K: 28,164; Monkey H: 35,308; Monkey 198 C: 20,720 parameters, Figure S3). We then used AIC to compare models. We found that the 199 second-by-second fitting resulted in lower AIC values, implying a better fit, than the 2-parameter 200 counterpart. Specifically, in this figure, for all individual subjects, the change in AIC (AIC for 201 global parameter model minus AIC for second-by-second model) was positive - implying the 202 model fit by second-by-second model explains the data better than the counterpart - for the best 203 physics-based model explaining the subject's behavior.

204	Overall, the model comparison results showed that subjects predict the upcoming position
205	of the prey using Newtonian physics but ignore the walls and their own influence on the prey.
206	That is, subjects use a simplified approximation of the structure of the game to make future
207	predictions; presumably this simplified one is sufficient to generate good predictions with lower
208	mental effort costs. Indeed, the correlation between speed of prey and subjects' reliance on
209	physics based prediction (a result confirmed with two different analytical approaches) suggests
210	that prediction might have a computational cost.
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211	Prediction-related information encoded in dorsal anterior cingulate cortex
	Prediction-related information encoded in dorsal anterior cingulate cortex Based on its role as a nexus for motivational, cognitive, and motor information ^{18,23} , and
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212213214	Based on its role as a nexus for motivational, cognitive, and motor information ^{18,23} , and its demonstrated role in human prospection ^{11,25} , we hypothesized that dACC would be critical
212213214215	Based on its role as a nexus for motivational, cognitive, and motor information ^{18,23} , and its demonstrated role in human prospection ^{11,25} , we hypothesized that dACC would be critical for predictive pursuit (Figure 3A). We fit a statistically unbiased Linear-Nonlinear Generalized

219 proportions of neurons (**Figure 3**; position: 62.00%, n=93/150; speed: 35.33%, n=53/150;

220 36.67%; direction: n=55/150, acceleration: 24.67%, n=37/150, p<0.01 in all cases, two-way

binomial test). The model fit shown in magenta is the shape of reconstructed filter (examples,

Figure 3C, D). According to the GLM, jerk, the derivative of acceleration, is not encoded (see

Figure S5). Jerk also did not measurably affect the subject's neural responses (it only modulated

224 2.00% of cells, n=3/150, p=0.1288). Together, these results indicate that dACC ensembles carry

the major raw ingredients that our subjects use to predict prey positions.

226	We wondered whether ostensible coding for prey variables could be the byproduct of
227	coding for self-position, since self-position and prey position do tend to be somewhat correlated.
228	We therefore repeated our GLM analyses but included self-position, self-direction, and self-
229	speed as explanatory factors and considered variance explained by prey parameters only after
230	accounting for these variables. Doing this, the proportion of neurons selective for the prey's
231	position information remained significant (position: 65.45% ; p < 0.01, two-way binomial test),
232	as did neurons selective for prey speed (18.56%; p<0.01), and direction (10.78%, p=0.021).
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234	Neurons in dACC encode future position
235	We next asked whether dACC neurons encode the future position of the prey. For each
236	neuron, we refit the GLM using an additional parameter, the position of the prey at time t in the
237	future. We selected the time t (t=833ms) that was most similar to the value of τ resulting from
238	our generative model, that is, the one indicating the most likely time span of prediction (733,
239	766, and 800 for the three subjects, respectively) subject to the additional constraint of being a
240	multiple of 166.67 (i.e. 10 frames). Note that although this value was chosen in advance, it aligns
241	with the empirically derived measure of peak future position coding (Figure 4D, see below).
242	Our analysis approach deals with the problem of correlation between the set of current
243	Newtonian variables (including current position) and future position by assigning all explanatory
244	power to the set of current variables first, and only counting as significant any additional
245	variance explained by future position (see Methods). Despite this conservative criterion, we
246	found that responses of 24.67% of dACC neurons are selective for the prey's future position at
247	time t (n=37/150).

Visual inspection of the neurons' filters shows that their selectivity is complex (examples are shown in **Figure 4C**). That is, they are positionally tuned, but, unlike place cells, have nonpoint-like shapes. They contain multiple peaks. They do not appear to be smooth gradients. Instead, they appeared to be heterogeneously spatially tuned. In this manner, they resemble recently identified non-grid-like space-selective cells in entorhinal cortex ²⁶. Notably, conventional methods for detecting place/grid-like cells will greatly underestimate the proportion of such tuning.

We next asked how *strongly* dACC neurons encode the future position of the prey. We calculated the proportion of log likelihood increase (LLi) between the current position model and the current plus future model (**Figure 4A**). Our neurons showed a wide range in marginal variance explained. On average, adding the future position term improved variance explained by 6.89% (the mean of this proportion is significantly different from zero, p<0.001, Wilcoxon signrank test, **Figure 4A inset**).

We then asked whether these newly discovered *future position cells* constitute a separate class of neurons from the cells that tracked the current position of the prey. To do this, we computed the explanatory variance accounted for by future position (variance explained by the combined model minus variance explained by current position) and current position, as defined by log likelihood improvement (LLi) in fitting. We found a positive correlation between these variables (**Figure 4B**), indicating current and future position were multiplexed in the same population of cells (r = 0.7394, p < 0.001, cf. ²⁹).

To quantify the difference between current and future position coding, we fit separate models: one incorporated current position plus current Newtonian variables; the other was the same but used future position (assuming t=833 ms) instead of current position. For the 36

neurons with significant tuning for both current and future position, we calculated the similarity
between the filters, using a technique known as *spatial efficiency*, SPAEF ³⁰ (Figure 4C). A zero
SPAEF indicates orthogonal filters; positive SPAEF indicates similar filters; negative SPAEF
indicates anticorrelated filters. Although the mean of the spatial efficiency for our neurons was
positive, it was not significantly so, and spanned a large range of values from negative to positive
(mean of population spatial efficiency=0.0440, Wilcoxon sign-rank test, p=0.3790).

277 Finally, we assessed future encoding by examining the accuracy of model fitting to each 278 of several possible future times, ranging from 0 to 1333 ms in the future. We ran a type of sliding 279 window analysis that involved sampling one frame (16.67 ms) every ten frames (166.67 ms) and 280 ignoring the intervening nine frames. We found that the value of 833 ms fit the largest number of 281 neurons. (Values around it fit many neurons too). Specifically, the plurality, 24.67% of neurons, 282 were tuned for prev position at 833 ms (Figure 4D). The roughly equivalent value of the neural 283 and the behaviorally fit prospective distance (733 and 800 ms for those two subjects) suggests 284 that these neurons encode the future position of prey on the same approximate timescale as the 285 subject actively predicts.

We considered the possibility that this peak at 833 ms was due to some unanticipated correlation between positions in the future and at the present. If this were so, then the average distance of the self and/or prey would show a local minimum at a point in the future corresponding to the peak. However, we did not see this. On the contrary, we found that the distance increases monotonically for both subjects (**Figure 4E**).

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292 State information is not confounded with gaze information

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293 Activity in dACC is selective for saccadic direction, and may therefore also correlate 294 with gaze direction (although this has not, to our knowledge, been shown ³¹). Consequently, it is 295 possible that our spatial kernels may reflect not task state but gaze information. Specifically, 296 what appears to be tuning for future position may instead be attributable to the fact that monkeys 297 looked towards the predicted future prey position. We tested this possibility by calculating the 298 Euclidean distance between eve position and prev position in a range from -80 to +80 frames 299 (Figure 5A). The distance between eye and prey position was the closest at -5 frames (77.09) 300 pixels), indicating that eye position *lagged* prey position. Thus, if gaze direction were a major 301 confound, it would show up as increased selectivity for past positions, not prediction of future 302 positions. Likewise, the chance that prey velocity encoding is a by-product of eye velocity 303 encoding was belied by the stark differences between gaze speed and prey speed (p < 0.001, 304 Wilcoxon sign rank test, but also clear from visual inspection of **Figure 5B**). Finally, we 305 repeated our GLM analyses (see above) but included eye position (only for the one subject from 306 which we collected gaze data). We found that that the number of tuned neurons for the prey did 307 not substantially change; that is, that adding in gaze position as a regressor did not qualitatively 308 change our results (Figure 5C).

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310 Encoding of reward and reward proximity in dACC

Research based on conventional choice tasks indicates that dACC neurons track values of potential rewards ³². We next asked how dACC encodes anticipated rewards in our more complex task. We found that, averaging over all other variables, the value of the pursued reward modulates activity of 8.67% of neurons (using a simple linear regression of firing rate against value; this proportion is greater than chance, p=0.038, one-way binomial test). Note that this

316	analysis ignores the potential encoding of prey speed, which is perfectly correlated with static
317	reward in our task design. We hypothesized that reward/speed would be encoded in a modulatory
318	manner ³³ , that is, that the pursued reward/speed would alter the shape of the tuning for other
319	task variables, rather than be multiplexed (Figure 6A). To test this hypothesis, we split our
320	dataset by reward size and, as a control, split it randomly. We found that for several variables
321	(prey position, prey direction, and prey speed), value splits produced greater differences than
322	random ones (purple bar, $p = 0.0221$ for prey speed, and $p < 0.001$ for other prey variables,
323	Figure 6B). This result indicates that the reward information encoded in dACC interacts
324	mathematically with encoding of other variables. In other words, selectivity is mixed.
325	A good deal of research suggests that dACC neurons also signal the approach in time of
326	impending rewards $^{34-36}$, even in continuous tasks 37,38 . We thus asked whether it does so here.
326 327	
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327	impending rewards ^{34–36} , even in continuous tasks ^{37,38} . We thus asked whether it does so here. We repeated our GLM, including relative (self-to-prey) distance as an explanatory variable. We
327 328	impending rewards $^{34-36}$, even in continuous tasks 37,38 . We thus asked whether it does so here. We repeated our GLM, including relative (self-to-prey) distance as an explanatory variable. We found that 38.67% of neurons (n=58/150) were tuned for self-prey distance. Interestingly, this
327 328 329	impending rewards $^{34-36}$, even in continuous tasks 37,38 . We thus asked whether it does so here. We repeated our GLM, including relative (self-to-prey) distance as an explanatory variable. We found that 38.67% of neurons (n=58/150) were tuned for self-prey distance. Interestingly, this relationship is heterogeneous - of these 58 neurons, 31.03% (n=18/58) showed a positive slope
327328329330	impending rewards $^{34-36}$, even in continuous tasks 37,38 . We thus asked whether it does so here. We repeated our GLM, including relative (self-to-prey) distance as an explanatory variable. We found that 38.67% of neurons (n=58/150) were tuned for self-prey distance. Interestingly, this relationship is heterogeneous - of these 58 neurons, 31.03% (n=18/58) showed a positive slope and 18.97 % (n=11/58) showed a negative slope. This bias is not itself significant (p=0.2649 for

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334	DISCUSSION
335	Pursuit is an important element of the behavioral repertoire of many foragers ^{2,6} . The
336	algorithmic bases of pursuit have recently attracted the interest of scholars in ecology,
337	engineering, psychology and other disciplines ^{4,7,39–44} . Nonetheless, we know very little about
338	how pursuit decisions occur in real time, and we know even less about their neuronal
339	underpinnings. Here, we examined how macaques pursue virtual prey in a continuous, time-
340	varying task. We developed a generative model based on a large dataset. The result from this
341	model suggests that our subjects follow a predictive strategy. That is, instead of pointing towards
342	the position of the prey, they extrapolate the future positions of prey and use this prediction to
343	adjust their heading. This strategy is more efficient (yields more reward per unit time) but may
344	be more computationally demanding than a simpler one that would involve pointing at and
345	tracking the current position of the prey. These results demonstrate that pursuing animals can
346	adopt complex future-predicting strategies that improve performance.
347	We found that dACC neurons track the elemental physical variables our subjects use to
348	predict the future and explicitly encode the prediction. Specifically, we found that firing rate
349	responses of neurons in dACC encode three Newtonian variables (position, velocity, and
350	acceleration) that our subjects used to track the prey and predict future prey positions. The same
351	neurons carry an additional representation of the future position of the prey that is multiplexed
352	with the Newtonian variables rather than maintained in a separate pool of specialized neurons.
353	Both representations make use of a two-dimensional response field, akin to place fields in
354	hippocampus, but not localized to a single position. Specifically, spatial representation in dACC
355	is qualitatively similar to place representations of non-grid cells in entorhinal cortex ²⁶ . It is

notable that dACC uses partially distinct spatial tuning functions to track the present and future 356

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positions of the prey, thus in principle allowing unambiguous decoding for a given populationresponse.

Our work is directly inspired by important studies identifying mechanisms underlying pursuit in other animals ^{39,40,45}. Our work goes beyond these studies by developing a generative model, that is, a model that seeks to understand how the data are generated ⁴⁶. One benefit of the generative model is that it lets us probe how the decision is made at every time step and make guesses about the underlying mental process leading to decision. The generative model in turn is vital for extending our understanding of mechanism to the neuronal level.

365 This model allows us to generate results that provide novel insight into the role of dACC 366 in cognition. First, our results emphasize the core role of dACC in prediction, a role that is central to other theories, albeit not ones that directly involve pursuit ^{11,17,20,21,47,48}. One recent 367 study is particularly relevant to these results²⁰. The authors examined hemodynamic activity in 368 369 human dACC during a complex decision-making task in which subjects had to track previous 370 rewards and use a reinforcement learning-like mechanism to formulate a future prediction and 371 make the best choice. They found that dACC tracks multiple variables, but was particularly 372 selective for long-term estimates of expected prediction errors. These results highlight the key 373 role of dACC in prediction in general and suggest its role is conserved across species (see also 374 ¹⁷). Second, our findings highlight the importance of dACC to navigation. While studies of 375 navigation typically focus on the medial temporal lobe, a growing body of work has begun to 376 explore the role of cingulate cortex, which receives direct projections from medial temporal regions ^{25,49}. 377

There are several important limitations to the present work. First, and most obviously, our subjects were not performing a truly naturalistic task; they were performing a laboratory task that

380	required specialized training. Future studies will be needed to ascertain whether these results
381	relate to natural pursuit contexts that are ostensibly similar, such as pursuit of insects in the
382	peripersonal space ^{50,51} . Second, and relatedly, the task space we used was greatly constrained -
383	both agents were restricted to a small rectangular space and had strict speed limits. Subjects had
384	full information about the position of the prey at all times. To understand prediction more fully,
385	it will be critical to extend to contexts in which some information is hidden.
386	Traditional laboratory tasks that study topics of interest to cognitive neuroscience -
387	decision-making and executive control - have discrete steps and force the brain to adjust to that
388	structure ^{52,53} . One reason we developed the prey pursuit task is that it embeds those cognitive
388 389	structure ^{52,53} . One reason we developed the prey pursuit task is that it embeds those cognitive processes in a continuous time-varying task. Doing so allows us to study one of the brain's

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560 **Competing interests**

- 561 The authors have declared that no competing interests exist.
- 562

563 Author Contributions

- 564 SBMY and BYH conceptualized and designed the experiment. SBMY collected the data.
- 565 SBMY, and STP developed the behavioral model, SBMY, JCT, and BYH developed the
- 566 physiological model and analyzed the data. SBMY and BYH wrote the manuscript.

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Supplementary Material

568 Material and Methods

569 **Subjects.** All animal procedures were approved by the University Committee on Animal 570 Resources at the University of Rochester and/or the University of Minnesota and were designed 571 and conducted in compliance with the Public Health Service's Guide for the Care and Use of Animals. Three male rhesus macaques (Macaca mulatta) served as subjects for the behavior; two 572 573 of them also served as subjects for the physiology. Subjects had never previously been exposed 574 to decision-making tasks in which they could use a joystick to pursue a moving prey. Previous training history for these subjects included two types of foraging tasks ^{37, 57}, intertemporal 575 choice tasks 59 , several types of gambling tasks $^{60-62}$, attentional tasks (similar to those in ref 63), 576 and two types of reward-based decision tasks ^{64,65}. 577

Experimental Apparatus. The joystick was a modified version of commercially available joysticks with a built-in potentiometer (Logitech Extreme Pro 3D). The control bar was removed and replaced with a control stick (a 15 cm plastic dowel) topped with a 3 cm diameter plastic sphere designed to be easy for macaques to manipulate. The joystick position was read out by a custom coded program in Matlab running on the stimulus-control computer. The joystick was controlled by an algorithm that detected the positional change of the joystick and limited the maximum pixel movement to within 23 pixels in 16.67 ms.

585 Task Design. At the beginning of each trial, two shapes appeared on a gray computer 586 monitor placed directly in front of the subject. The yellow (subject K) or purple (subjects H and 587 C) circle (15-pixel diameter) represented the subject. Subject position was determined by the 588 joystick and was limited by the screen boundaries. A square shape (30 pixel length) represented 589 the prey. The movement of the prey was determined by a simple AI (see below). Each trial ended

590	with either the successful capture of the prey or after 20 seconds, whichever came first.
591	Successful capture was defined as any spatial overlap between the avatar circle and the prey
592	square. Capture resulted in immediate juice reward; juice amount corresponded to prey color:
593	orange (0.3 mL), blue (0.4 mL), green (0.5 mL), violet (0.6 mL), and cyan (0.7 mL).
594	The path of the prey was generated interactively using A-star pathfounding methods,
595	which are commonly used in video gaming ⁶⁶ . For every frame (16.67 ms), we computed the cost
596	of 15 possible future positions the prey could move to in the next time-step. These 15 positions
597	were spaced equally on the circumference of a circle centered on the prey's current position, with
598	radius equal to the maximum distance the prey could travel within one time-step. The cost in turn
599	was based on two factors: the position in the field and the position of the subject's avatar. The
600	field that the prey moved in had a built-in bias for cost, which made the prey more likely to move
601	towards the center (Figure 1B). The cost due to distance from the subject's avatar was
602	transformed using a sigmoidal function: the cost became zero beyond a certain distance so that
603	the prey did not move, and it became greater as distance from the subject's avatar decreased.
604	Eventually, the costs from these 15 positions were calculated and the position with the lowest
605	cost was selected for the next movement. If the next movement was beyond the screen range
606	(1920x1080 resolution), then the position with the second lowest cost was selected, and so on.
607	The maximum speed of the subject was 23 pixels per frame (and each frame was 16.67 ms). The
608	maximum and minimum speeds of the prey varied across subjects and were set by the
609	experimenter to obtain a large number of trials (Figure 1). Specifically, speeds were selected so
610	that subjects could capture prey on <85% of trials; these values were modified using a staircase
611	method. If subjects missed the prey three times consecutively, then the speed of the prey was
612	reduced. Once the subject intercepted the prey in a trial where the staircase method was used,

then the selection of prey speed was randomized again. To ensure sufficient time of pursuit, the
minimum distance between the initial position of each subject avatar and prey was 400 pixels. **Training Level Estimation**. Three subjects were trained for the same amount of time (8
weeks). As training progressed, each subject was exposed to a progressively more difficult

617 (faster) suite of prey, up to a fixed maximum. Subject K and subject H reached a similar range

618 for maximum speed of prey during the training period (K:15 pixels per frame; H: 14 pixel per

619 frame). However, subject C only attained a maximum speed of 8 pixels per frame (Figure S6). It

620 is for this reason we refer to him as the less well-trained subject.

621 Behavioral Model. To fit each subject's movement, each trial was divided into 1 second-622 long segments. Each segment included 61 data points (because we used 16.67 ms resolution). 623 We modeled these trajectories using a single prediction and a single force parameter for the 624 entire trial, as a simplifying assumption. Nonetheless, it is reasonable to assume that throughout 625 a long, 20-second period, there would be active adjustment of prediction and force. Actual 626 comparison by AIC supported our intuition, and we used segment as the unit of analysis 627 throughout (values of 'AIC of segment/AIC of trial' was 0.9328, 0.9214, 0.9227, for subjects K, 628 H, and C (or whatever) respectively.

629 Overall, the position of the subject was generated according to the following:

630
$$P_{subject}(t+1) = \kappa f\left(P_{subject}(t)\right) + m$$

631 where $P_{subject}(t)$ is position of the subject at time t, m is the inertia of subject as calculated from 632 the joystick, and κ is the force parameter. The vector $\Box f(P_{subject}(t))$ was then summed with the 633 inertia m that was defined as following:

$$634 m = P_{subject}(t) - P_{subject}(t-1)$$

635 $P_{prey}(t)$ indicates the position of the prey at time t. The function with respect to subject position at 636 time t was defined as:

$$f(P_{subject}(t)) = P_{prey}(t+1) - P_{subject}(t)$$

638
$$P_{prey}(t+1) = P_{prey}(t) + \tau \sum_{n=0}^{k} \frac{d^{n} P_{prey}(t)}{dt^{n}}$$

639 Where the n indicates the order of derivation with respect to the time. Thus, n=1 indicates 640 velocity, and n = 2 indicates acceleration.

641 The Physics Variable-Based Prediction (PVBP) model incorporates one previous time 642 step to predict the prey's next position. This approach is similar to a Kalman filter ⁶⁷. The other 643 two models we tested do not utilize any past information. The model assuming prediction using 644 the cost contour map (CCMP model) considers only the lowest cost location at the next time 645 step. The model assuming veridical prediction (VP) automatically finds the exact position of the 646 prey at the next time step. Once the prey's position on the next time step is predicted, the model 647 computes how far this predicted position is from the agent's current position. A prediction value 648 of 1 indicates that the future position will be as far as from the agent's current position as the 649 prey's current position. The best-fitting parameter pairs were determined by performing a grid 650 search across the ranges of both parameters.

During this search, we tested the range of the prediction parameter between -400 to 400 subjects H and C, and -200 to 200 for subject K. (Units for this range correspond to the distance the prey moved in the previous timestep). Subjects H and C had a larger range, because over 5% of their trajectories resulted either in -200 or 200 in prediction parameter value. Representative parameters for explaining each segment were selected based on the value of the sum of squared error between the actual segment and the segment generated by the model.

29

657 Significance Testing. To determine whether the positive prediction parameter was
658 significantly greater than zero, we performed a bootstrap of heatmap slices from each segment.
659 This resampling was performed 500 times, and selected heatmaps were added. Then, the
660 parameter set resulting in the lowest cost was selected in each resampling.

661 **Model Evaluation.** To evaluate model performance and compare among models, we 662 computed the Akaike Information Criteria (AIC) using the likelihood of each model (Figure 2, 663 and Figures S4 and S5). We first calculated the mean and variance of all the sum-of-squared 664 errors across trajectories. Then we estimated the likelihood assuming a normal distribution 665 centered on the mean of the sum-of-squared errors with a variance equivalent to the variance of the sum-of-squared errors across all trajectories. To validate whether subjects used a single 666 667 prediction and force across the all the trials or adaptively changed their prediction method, we 668 compared the AIC value between cases where the parameter pair varied across all trajectories, 669 using only the single best parameter pair.

670 **Electrophysiological recording.** One subject (H) was implanted with multiple floating 671 microelectrode arrays (FMAs, Microprobes for Life Sciences, Gaithersburg, MD) in the dorsal anterior cingulate cortex (dACC). This is the region that we define as Area 24¹⁸ and that 672 corresponds to dACC in most other primate studies, including those from our lab ^{37,60,68}. Each 673 674 FMA had 32 electrodes (impedance 0.5 MOhm, 70% Pt, 30% Ir) of various lengths to reach 675 multiple layers within dACC. Neurons from subject K were recorded with laminar V-probes 676 (Plexon, Inc, Dallas, TX) that had 24 contact points with 150 µm inter-contact distance. 677 Continuous, wideband neural signals were amplified, digitized at 40 kHz and stored using the 678 Grapevine Data Acquisition System (Ripple, Inc., Salt Lake City, UT). Spike sorting was done

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679 manually offline (Plexon Offline Sorter). Spike sorting was performed blind to any experimental680 conditions to avoid bias.

681**Details of LN model.** To test the selectivity of neurons for various experimental682variables, we constructed Generalized Linear Models with navigational variables (GLM 26,28).683The GLM models estimated the spike rate (r_i) of one neuron during time bin t as an exponential684function of the weighted sum of the relevant value of each variable at time t, which the weights685are determined by set of coefficients (w_i). The estimated firing rates from the GLM models can686be expressed as:

$$r = \exp\left(\sum_{i} X_{i}^{T} w_{i}\right) / dt$$

688 Where r denotes a vector of firing rates for one neuron over T time points across the session, and 689 i indexes the variables of interest, e.g. position of avatar on screen. The vector of firing rates over 690 T time points provides the benefit for modeling the neural activity without specific time-locking 691 to behavioral event. X_i is a matrix in which each column represents a set of "state variables" of 692 the animal (e.g. one of twelve speeds, determined by post-hoc binning) obtained from binning 693 the continuous variable so that all the columns for a particular row are 0, except for one column. 694 Unlike conventional tuning curve analysis, GLM analysis does not assume the parametric shape 695 of the tuning curve *a priori*. Instead, the weights, which define the shape of tuning for each 696 neuron, were optimized by maximizing the Poisson log-likelihood of the observed spike train 697 given the model-expected spike number, with additional regularization for the smoothness of 698 parameters in a continuous variable, and a lasso regularization for parameters in a discrete 699 variable. Position parameters were smoothed across rows and columns separately. The 700 regularization hyperparameter was chosen by maximizing the cross-validation log-likelihood 701 based on several randomly selected neurons. The unconstrianed optimization with gradient and

Hessian was performed (MATLAB fminunc function). Model performance of each neuron was
quantified by the log-likelihood of held out data under the model. This cross-validation
procedure was repeated 10 times (10-fold cross-validation), and overfitting was penalized.
Through multiple levels of penalties, we can compare performance of models with varying
complexity.

707 Forward model selection. Model selection was based on the cross-validated log-708 likelihood value for each model. We first fit *n* models with a single variable, where n is the total 709 number of variables. The best single model was determined by the largest increase in spike-710 normalized log-likelihood from the null model (i.e., the model with a single parameter 711 representing the mean firing rate). Then, additional variables (n-1 in total) were added to the best 712 single variable model. The best two-variable model was preferred over the single variable model 713 only if it significantly improved the cross-validation log-likelihood (Wilcoxon Signed Rank Test, 714 $\alpha = 0.05$). Likewise, the procedure was continued for the three-variable model and beyond if 715 adding more variables significantly improved model performance, and the best, simplest model 716 was selected. The cell was categorized as not tuned to any of the variables considered if the log-717 likelihood increase was not significantly higher than baseline, which was mean firing rate of 718 fitted neurons across the session.

Future position models. We examined effect of future position by fitting a GLM having
future position' and 'current position' together as the input variable. Then we compared to the
GLM model with only current position. Difference between the two models was evidence that
additional variance was explained by including future position.

Comparison between current and future position filters. For this purpose, we
 constructed two GLMs: one with current position and current Newtonian variables (velocity and

acceleration), and another with future position and current Newtonian variables. Then we
selected the neurons that showed significant tuning for both models. To compare the similarity
between two positional filters, we used the SPAtial EFficiency metric (SPAEF) that prior
literature suggests to be more robust than the 2D spatial correlation ³⁰. It quantifies the similarity
between two maps:

$$SPAEF = 1 - \sqrt{(A-1)^2 + (B-1)^2 - (C-1)^2}$$

A is the Pearson correlation between two maps, B is the ratio between the coefficients of
variation for each map, and C is the activity similarity measured by histogram profiles. Values
near -1 indicate anticorrelated maps (one tends to be high when the other is low); 0 indicates
uncorrelated maps; 1 indicates perfect matching between the two.

Velocity Dependent Physics Variable-Based Model (PVMP) Prediction Bias. We
examined whether PVBP is preferred when the velocity of prey is high (Figure S6). We first
obtained the average velocity of the prey at each segment, and then categorized each segment as
belonging to either the physics or non-physics variable-based prediction based on which fit result
was best. With the prey velocity and segment category, we performed logistic regression with
velocity as a predictor and category as the dependent variable (glmfit in MATLAB).

Data availability. The datasets generated during the current study are available on the
Hayden lab website, http://www.haydenlab.com/, or from the authors on reasonable request. The
code generated to perform the analyses for the current study is available from the corresponding
author.

745	Figure Captions
746 747	Figure 1 Experimental neurolism and hebeviously maybe (A) Contact of winter downwith tech
747 748	Figure 1 . Experimental paradigm and behavioral results. (A) Cartoon of <i>virtual pursuit task</i> .
748 749	Subject uses a joystick to control an avatar (circle) and pursue prey (square) on a computer screen. (B) Raincloud plot showing each subject's capture times in an example session (limit was
750	20 seconds). The box plot indicates 2nd and 3rd quartile of the data; midline indicates the
751	median of the data (K: 3.36 sec, H: 3.73 sec, C: 3.93 sec). The dots under the probability density
752	functions indicate individual data points. (C) Avatar and prey trajectories on example trials.
753	Grey: path of avatar; red/blue: path of prey. Color gradient indicates the time progression
754	through the trial.
755	
756	Figure 2. Model description and fitting results. (A) Cartoon of model for generating future
757	position based on prediction. Solid black arrow indicates movement from previous time frame to
758 759	the current one. Subjects are assumed to aim at a point that leads (red solid arrow) or lags (cyan solid arrow) the prey. The resulting movement (red/blue dashed arrow) vectors are constrained to
760	a maximum speed and inertia (black dashed arrow). (B) Fitting results: Akaike Information
761	Criterion (AIC, left) across all the trajectories and percentage of trials best explained by each
762	model (right). For calculating the AIC, we summed the log-likelihood across the whole data set
763	from each subject individually and used the quantity (2 x number of the segments) as the number
764	of free parameters. This quantity was: subject K, 28,164; subject H, 35,308; subject C, 20,720
765	parameters. Predictive models provide better fits than zero prediction ones. (C) Example
766 767	trajectories and corresponding fit trajectories generated by predictive and non-predictive models.
767	(D) Heatmap plots of model performance explaining subject's pursuit segment across parameter space from a single subject (Subject K) for physics based model (left), cost model (center), and
769	veridical (right). The small gray circle at the peak indicates the best parameter combination
770	explaining that subject's behavior, that is, the one that generates the smallest distance between
771	the actual segment and model-predicted segment.
772	
773	Figure 3. Basic neural results. (A) Cartoon showing location of recorded brain areas in dACC;
774	sagittal and coronal views. (B) Filters (tuning surfaces) of two example neurons showing
775	selectivity for current position of the prey. (C) Example neuron showing tuning for speed (black
776	line) and the corresponding model fit (magenta line). (D) Example neuron showing tuning for
777	prey direction (black line) and the corresponding model fit (blue line). (E) Preponderance of
778	tuning for the Newtonian physics variables tested. Tuning for future position is counted only if
779	the neuron is selectively tuned for future position above and beyond current position.
780	
781	Figure 4. Properties of future position selectivity. (A) Proportion of variance explained by
782 783	including future position in each neuron (only neurons that are selective for current position are shown). Neurong are control according to empound of additional variance explained by future
785 784	shown). Neurons are sorted according to amount of additional variance explained by future position. (B) Log likelihood increase (LLi, a measure of explanatory power) for current and
785	future position are correlated on a cell-by-cell basis. Red solid line indicates the linear regression
786	line. (C) Example filters from neurons that are significantly tuned for both current and future
787	prey position. Spatial efficiency (SPAEF), a measure of the similarity of two-dimensional
788	filters ²⁹ , is show on the y-axis of the central plot. A more positive SPAEF indicates that the

789 matrices are more similar to each other; low values indicate orthogonality. Only significant

- neurons are shown; cells are sorted by spatial efficiency. (**D**) Sliding window analysis for future
- position encoding strength. Plot shows proportion of neurons significantly selective for future
- position at several possible future delays. This curve peaks at around 700-800 ms, which
- corresponds to the average prediction distance for all three subjects. (E) The distance between
- current prey position and future prey position at time t rises roughly linearly with time. This
- finding indicates that the peaks found in panel D are not likely to be an artifact of some
- unforeseen periodicity in the relative paths of the subject and prey.
- 797

Figure 5. Analyses that control for potential gaze confounds. (**A**) The Euclidean distance between the eye position at t=0 and prey position (orange solid line) / self position (blue solid

- between the eye position at t=0 and prey position (orange solid line) / self position (blue solid line). Error bar = SEM, and is the width of the lines shown. (**B**) Speed distribution of prey
- 801 movement and smooth eye pursuit. (C) Proportion of neurons tuned for three key variables using
- the standard GLM described above and another version that assigns variance to eye position first.
- 803 All three variables are still significant in the population when including gaze position.
- 804

805 **Figure 6.** Modulatory effect of reward size on tuning for prey variables. (A) Responses of an

example neuron selective for the angle between self and prey; changes in the reward size of prey

807 (divided into three bins) appear to change the gain and not the offset of the neurons; that is,

808 reward interacts multiplicatively with angle. (B) This pattern is also observed in the population.

809 The proportion of neurons significantly tuned for prey variables (prey position, prey direction,

- 810 and prey speed) when splitting data randomly (grey bar) or according to value of pursued prey
- 811 (purple bar). The difference of value split was significant (p = 0.0221 for prey speed, and p < 0.0221 for prey speed.

812 0.001 for other prey variables).

813	Supplementary Figure Captions
814	
815	Figure S1. Subjects' behavior varies according to prey speed/reward. (A) Mean prey
816	velocity in each segment plotted separately for each subject. Pursuit result differs according to
817	color (equivalent to maximum speed) of prey. The maximum speed of prey increases from
818	orange (slowest with smallest reward) to cyan (fastest with largest reward). As maximum speed
819	increases, the mean capturing time (B) and percent of failed trials increases (C). However,
820	reward rate also increases, since the amount of reward is larger for faster prey (D). Errorbars are
821	the standard error of the mean, obtained by bootstrapping (1000 bootstraps).
822	
823	Figure S2. Different prediction strategies and influence of different amounts of inserted
824	force. (A) The strategic difference between physics variable based prediction (PVBP, red lines)
825	and veridical prediction (VP, blue lines). This generates different predictive points. (B) Effect of
826	inserted force, shown between small (pink) and large (purple) forces. Vector-summation with
827	inertia yields different outcomes for different force conditions.
828	
829	Figure S3. Dynamic changes of parameter sets at each segment explain each subject's
830	segments better than identical single parameter set across all the trajectories. AIC
831	comparison between the case of the single parameter set across all the sessions (case 1) or
832	adaptively changing parameter set at each segment (case 2). Delta AIC indicates the difference
833	between the cases (case 1 - case 2), and a positive value indicates adaptively changing the
834	strategy explains subject's segment better, even if there is a penalty for having more parameters.
835	Each column shows an individual subject's result.
836	
837	Figure S4. Including an inertia term improves model performance. (A) Model segment
838	comparison between models with and without inertia. (\mathbf{B}) Histogram results suggest that
839	incorporating an inertia component to the model leads to a better fit of the data (mean of sum-of-
840	squared error difference below zero at x-axis). 95% of data fall to the right of the black, dashed
841	line. Bootstrapping of difference in performance between the model with and without inertia was
842	performed in randomly sampled trajectories (number of resamples: 1000; randomly selected
843	trajectories: 2000).
844	
845	Figure S5. Additional terms after acceleration don't improve model performance. (A) Each
846	heatmap indicates the addition of more physical derivatives of position. The black circle
847	indicates the best parameter set for the model. (B) Summary bar graph. Physics include within-
848 849	physics prediction model comparison (from velocity to pop, the 6th derivative).

- 851 **Figure S6. Prey velocity dependent strategy selection.** All the subjects consistently show
- 852 biases using PVBP when the prey velocity is faster. Logistic regression was performed between
- 853 prey velocity and a categorical dependent variable (0: non-PVBP, 1: PVBP). The p-values of all
- 854 logistic coefficients were significant (p < 0.001).



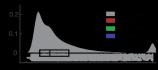


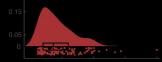


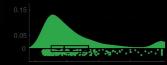


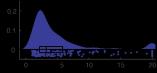






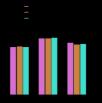






capture time (sec)







screen X

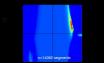


cost contour mode

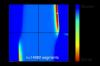
veridical mode



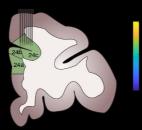


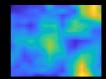










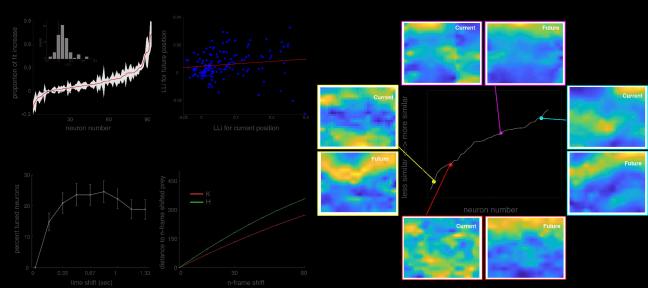


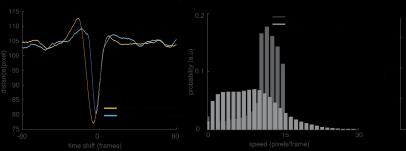




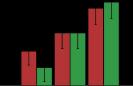








without gaze position



prey prey prey position direction speed

