INeo-Epp: A novel T-cell HLA class-I immunogenicity or neoantigenic epitope prediction method based on sequence related amino acid features

Guangzhi Wang^{1,2}, Huihui Wan^{2,3}, Xingxing Jian^{2,4}, Yuyu Li¹, Jian Ouyang²,
XiaoxiuTan³, Yong Zhao^{1*}, Yong Lin^{3*}, Lu Xie^{1,2*}

¹ College of Food Science and Technology, Shanghai Ocean University, Shanghai,
201306, China

² Shanghai Center for Bioinformation Technology, Shanghai Academy of Science and
 ⁹ Technology, Shanghai, 201203, China

10 ³ School of Medical Instrument and Food Engineering, University of Shanghai for

- 11 Science and Technology, Shanghai, 200093, China
- ⁴ Key Laboratory of Carcinogenesis and Cancer Invasion, Ministry of Education; Key
- 13 Laboratory of Carcinogenesis, National Health and Family Planning Commission,
- 14 Xiangya Hospital, Central South University, Changsha, 410008, China.
- 15 Correspondence should be addressed to Lu Xie;luxiex2017@outlook.com

16 Abstract

17 In silico T-cell epitope prediction plays an important role in immunization experimental

design and vaccine preparation. Currently, most epitope prediction research focuses on 18 19 peptide processing and presentation, e.g. proteasomal cleavage, transporter associated with antigen processing (TAP) and major histocompatibility complex (MHC) 20 21 combination. To date, however, the mechanism for immunogenicity of epitopes remains 22 unclear. It is generally agreed upon that T-cell immunogenicity may be influenced by 23 the foreignness, accessibility, molecular weight, molecular structure, molecular 24 conformation, chemical properties and physical properties of target peptides to different 25 degrees. In this work, we tried to combine these factors. Firstly, we collected significant 26 experimental HLA-I T-cell immunogenic peptide data, as well as the potential 27 immunogenic amino acid properties. Several characteristics were extracted, including 28 amino acid physicochemical property of epitope sequence, peptide entropy, eluted 29 ligand likelihood percentile rank (EL rank(%)) score and frequency score for 30 immunogenic peptide. Subsequently, a random forest classifier for T cell immunogenic 31 HLA-I presenting antigen epitopes and neoantigens was constructed. The classification 32 results for the antigen epitopes outperformed the previous research (the optimal 33 AUC=0.81, external validation data set AUC=0.77). As mutational epitopes generated 34 by the coding region contain only the alterations of one or two amino acids, we assume 35 that these characteristics might also be applied to the classification of the endogenic mutational neoepitopes also called 'neoantigens'. Based on mutation information and 36 37 sequence related amino acid characteristics, a prediction model of neoantigen was 38 established as well (the optimal AUC=0.78). Further, an easy-to-use web-based tool 39 'INeo-Epp' was developed (available at http://www.biostatistics.online/INeo-40 Epp/neoantigen.php)for the prediction of human immunogenic antigen epitopes and neoantigen epitopes. 41

42 Introduction

An antigen consists of several epitopes, which can be recognized either by B- or T-cells 43 44 and/or molecules of the host immune system. However, usually only a small number of amino acid residues that comprise a specific epitope are necessary to elicit an immune 45 response [1]. The properties of these amino acid residues causing immunogenicity are 46 47 unknown. HLA-I antigen peptides are processed and presented as follows: a). cytosolic and nuclear proteins are cleaved to short peptides by intracellular proteinases; b). some 48 49 are selectively transferred to endoplasmic reticulum (ER) by TAP transporter, and 50 subsequently are treated by endoplasmic reticulum aminopeptidase;c). antigen 51 presenting cells (APCs) present peptides containing 8-11 AA (amino acid) residues on 52 HLA class I molecules to CD8+ T cells [2]. Researchers can now simulate antigen 53 processing and presentation by computational methods to predict binding peptide-MHC complexes (p-MHC). Several types of software systems have been developed, 54 55 including NetChop [3], NetCTL [4], NetMHCpan [5], MHCflurry [6]. However, the binding to MHC molecules of most peptides is predicted, only 10%~15% of those have 56 57 been shown to be immunogenic [7-10]. For neoantigens the result was approximately 58 5% (range, 1%-20%) due to central immunotolerance [11, 12]. As a result, the cycle for 59 vaccine development and immunization research is extended. Here, we aim to develop 60 a T-cell HLA class-I immunogenicity prediction method to further identify real 61 epitopes/neoepitopes from p-MHC to shorten this cycle.

62 Many experimental human epitopes have been collected and summarized in the 63 immune epitope database (IEDB) [13], which makes it feasible to mathematically predict human epitopes. However there still exist two limitations: i) a high level of 64 MHC polymorphism produces a severe challenge for T-cell epitope prediction. ii) there 65 66 is an extremely unequal distribution of data to compare epitopes and non-epitopes. It is not conducive to analyze the potential deviation existing in TCR recognition owing to 67 the presentation of different HLA peptides. A general analysis of all HLA presented 68 69 peptides, ignoring the specific pattern of TCR recognition of individual HLA presented 70 peptides, may result in a lower predictive accuracy.

71 With the advances in HLA research, Sette et al [14] classified, for the first time, 72 overlapping peptide binding repertoires into nine major functional HLA supertypes (A1, 73 A2, A3, A24, B7, B27, B44, B58, B62). In 2008, John Sidney et al [15] made a further 74 refinement, in which over 80% of the 945 different HLA-A and -B alleles can be 75 assigned to the original nine supertypes. It has not been reported whether peptides 76 presented by different HLA alleles influence TCR recognition. Hence, we collected 77 experimental epitopes according to HLA alleles and assume that epitopes belonging to 78 the same HLA supertypes have similar properties.

79 Moreover, screening for endogenic mutational neoepitopes is one of the core steps 80 in tumor immunotherapy. In 2017, Ott PA et al. [16] and Sahin et al [17]. confirmed that 81 peptides and RNA vaccines made up of neoantigens in melanoma can stimulate and 82 proliferate CD8+ and CD4+ T cells. In addition, a recent research suggests that 83 including neoantigen vaccination not only can expand the existing specific T cells, but also induce a wide range of novel T-cell specificity in cancer patients and enhance 84 85 tumor suppression[18]. Meanwhile, a tumor can be better controlled by the combination 86 therapy of neoantigen vaccine and programmed cell death protein 1 (PD-1)/PD1 ligand 87 1(PDL-1) therapy [19, 20]. Nevertheless, a considerable number of predicted candidate 88 p-MHC from somatic cell mutations may be false positive, which would fail to 89 stimulate TCR recognition and immune response. This is undoubtedly a challenge for 90 designing vaccines against neoantigens.

In our study, based on HLA-I T-cell peptides collected from experimentally
validated antigen epitopes and neoantigen epitopes, we aim to build a novel method to
further reduce the range of immunogenic epitopes screening based on predicted p-MHC.
Finally, a simple web-based tool, INeo-Epp (immunogenic epitope/neoepitope
prediction), was developed for prediction of human antigen and neoantigen epitopes.

96 Materials and Methods

98

99

97 The flow chart for 'INeo-Epp' prediction is shown as follows. (see Figure 1)

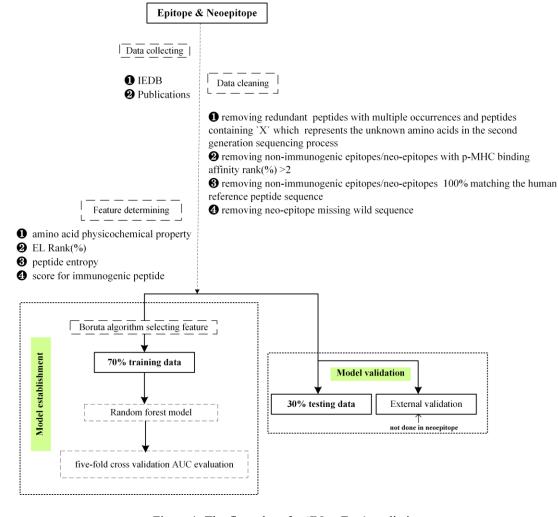


Figure 1: The flow chart for 'INeo-Epp' prediction

100 Construction of immunogenic and non-immunogenic epitopes

Peptides that can promote cytokine proliferation are considered to be immunogenic epitopes. However, non-immunogenic epitopes may result for the following reasons: a) p-MHC truly unrecognized by TCR; b) peptides not presented by MHC (quantitatively expressed as rank(%)>2, see rank(%) score (below: C24) for details); c) negative selection/clonal presentation induced by excessive similarity to autologous peptides[21]. In this work, to further study the recognition preferences of T cells,

107	peptides with >2 rank(%) were regarded as not in contact with TCR, and sequences
108	100% matching the human reference peptides (<u>ftp://ftp.ensembl.org/pub/release-</u>
109	<u>97/fasta/homo_sapiens/pep/</u>) were regarded as exhibiting immune tolerance. Hence,
110	we removed these from the definition of non-immunogenic peptides.

111 Construction of data sets: epitopes, external validation epitopes and neoepitopes

112 Antigen epitope data were collected from IEDB (Linear epitope, Human, T cell assays,

113 MHC class I, any disease were chosen). Data collection criteria: each HLA allele

114 quantity >50 and frequency >0.5% (refer to allele frequency database [22]) (Table 1,

115 check Table S1 for detailed information).

Table 1: Summary of IEDB epitope data

HLA supertype	IEDB HLA	N	umber	HLA allele frequency	Motif view
	data	Negative	Positive	Asian / Black / Caucasian	
A1	A01:01	811	103	0.154 / 0.046 / 0.164	1-2(ST)-3-4-5-6-7-8-9(Y)
	A26:01	83	19	0.041 / 0.014 / 0.030	1(DE)-2(ITV)-3-4-5-6-7-8-9(FMY)
A2	A02:01	1883	1580	0.049 / 0.123 / 0.275	1-2(LM)-3-4-5-6-7-8-9(ILV)-10(V)
A3	A11:01	196	174	0.139 / 0.014 / 0.060	1-2(IMSTV)-3-4-5-6-7-8-9(K)-10(K
	A03:01	1400	169	0.063 / 0.083 / 0.139	1-2(ILMTV)-3-4-5-6-7-8-9(K)-10(K
A24	A24:02	207	219	0.136 / 0.024 / 0.084	1-2(WY)-3-4-5-6-7-8-9(FIW)
	A23:01	1138	12	0.006 / 0.109 / 0.019	1-2(WY)-3-4-5-6-7-8-9-10(F)
B7	B35:01	63	248	0.062 / 0.068 / 0.055	1-2(P)-3-4-5-6-7-8-9(FMY)
	B07:02	523	244	0.034 / 0.005 / 0.0143	1-2(p)-3-4-5-6-7-8-9(FLM)
	B51:01	13	51	0.074 / 0.021 / 0.047	1-2(P)-3-4-5-6-7-8-9(IV)
B8	B08:01	317	195	0.036 / 0.037 / 0.114	1-2-3-4-5(HKR)-6-7-8-9(FILMV)
B27	B27:05	100	86	0.008 / 0.008 / 0.037	1(RY)-2(R)-3(FMLWY)-4-5-6-7-8-9
B44	B37:01	1036	10	0.034 / 0.005 / 0.014	-
	B40:01	67	65	0.022 / 0.012 / 0.052	-
	B44:02	73	66	0.008 / 0.020 / 0.095	1-2(E)-3-4-5-6-7-8-9(FIWY)
B58	B58:01	11	62	0.041 / 0.037 / 0.007	1-2(AST)-3-4-5-6-7-8-9(W)
B62	B15:01	3	70	0.016 / 0.010 / 0.060	1-2(LMQ)-3-4-5-6-7-8-9(FY)
Total		7924	3373		
Remove negative rank(%)>2		5123	3373		
Remove negative human 100% similar		4943	3373		

117 The external antigen epitope validation set was collected from seven published 118 independent human antigen studies [23-29], consisting of 577 non-immunogenic 119 epitopes and 85 immunogenic epitopes (Table 2, S2 Table)

Table 2: External data included in validation set

Publication time	PMID	Author	non-epitopes	epitopes
2013	23580623	Weiskopf et al	477	42
2018	29397015	Hendrik Luxenburger et al	100	26
2018	30260541	Youchen Xia et al	-	1
2018	30487281	Hawa Vahed et al	-	4
2018	30518652	Atefeh Khakpoor et al	-	2
2018	30587531	Alina Huth et al	-	4
2018	30815394	Solomon Owusu Sekyere et al	-	6
Total		•	577	85
Remove negative with r	ank(%) >2 and HLA supe	rtypes (not appeared in training set)	321	69

Here, we removed peptides for which HLA supertypes do not appear in training set, because we assume peptides belonging to the same HLA supertypes to have similar properties. In the external validation set, some peptides bind to rare HLA supertypes. Their characteristics were not included in the training set. Hence, these peptides in the external validation data might lead to a classification bias.

The neoantigens data were collected from 11 publications [19, 30-39] and IEDB mutational epitopes, and 13 published data sets collected by Anne-Mette B in one

¹²⁰

128	publication [40]	in 2017 (see Table 3,	S3 Table for details)	were also included.
-----	------------------	-----------------------	-----------------------	---------------------

Publication time	PMID	Author	Tumor Type	Non-immunogenic neo-epitopes	Immunogenic neo-epitopes	T-cell assay
2013-12	24323902	Darin A. W et al.	Ovarian Cancer	—	1	ELISPOT
2015-9	26359337	Eliezer M et al.	Melanoma	-	18	Clinical benefit
2015-11	26752676	Takahiro K et al.	Lung adenocarcinoma	-	4	_
2016-1	26901407	Alena Gros et al.	Melanoma	12	14	ELISPOT
2016-5	27198675	Erlend Strønen et al.	Melanoma	1134	16	CTL clone
2016-12	28405493	Annika Nelde et al.	Lymphoma	-	2	ELISPOT
2017-6	28619968	Xiuli Zhang et al.	Breast cancer	-	4	Flow cytometry
2017-10	29104575	Markus M et al.	Melanoma	10	16	_
2017-11	29187854	Anne-Mette B et al.	Polytype	1874	42	ELISPOT et al.
2017-11	29132146	Vinod P. B et al.	pancreatic	—	10	Flow Cytometry
2018-5	29720506	Tatsuo Matsuda et al.	Ovarian Cancer	-	3	ELISPOT
2018-12	29409514	Sonntag et al.	pancreatic ductal carcinoma	-	3	Flow Cytometry
2018-10	30357391	Randi Vita et al.	_	6	35	_
Total				3030	168	
Remove duplication				2837	164	
Remove negative rank(%)>2 and human 100% similar				1697	164	

Table 3: Neoepitopes data included in this study

130 Construction of potential immunogenicity feature

120

131 **Characteristics calculation of peptides based on amino acid sequences.** The formula 132 for calculating peptide characteristics is shown in (1). P_N , P_2 , P_C (N-terminal, position 133 2, C-terminal as anchored sites by default) are considered to be embedded in HLA 134 molecules and no contact with TCRs, therefore not evaluated.

135
$$P_{c} = \{\sum_{x \in Pos(P)}^{x \notin (N,2,C)} P_{A_{c}}\} / (len(P) - 3)$$
(1)

136 P, peptide. c, characteristic. Where P_c represents characteristics of peptides. A, amino 137 acid. N, N-terminal in a peptide. C, C-terminal in a peptide. Pos, amino acid position in 138 peptide. Where P_{Ac} represents characteristics of amino acids in peptides.

Frequency score for immunogenic peptide (C22). Amino acid distribution frequency
 differences between immunogenicity and non-immunogenic peptides at TCR contact
 sites (excluding anchor sites) were considered as a feature (2).

142
$$\boldsymbol{P}_{score} = \sum_{x \in Pos(P)}^{x \notin (N,2,C)} \{ \boldsymbol{P}_{ie^+}(f'_A) - \boldsymbol{P}_{ie^-}(f'_A) \}$$
(2)

143 P_{ie^+} , immunogenic peptides. P_{ie^-} , non-immunogenic peptides. f'_A , amino acid frequency 144 in TCR contact position. Where $P_{ie^+}(f'_A)$ represents frequency of amino acids in 145 immunogenic peptides at TCR contact sites.

146 **Calculating peptide entropy (C23)**. Peptide entropy [41] was used as a feature (3).

147
$$\boldsymbol{P}_{H} = \{-\sum_{x \in Pos(P)}^{x \notin (N,2,C)} \boldsymbol{P}_{f_{A}} * \log_{2}(\boldsymbol{P}_{f_{A}})\} / (len(P) - 3)$$
(3)

148 P_{H} , peptide entropy. f_{A} , amino acid frequency in human reference peptide sequence. 149 Where P_{fA} , represents the frequency in human reference peptide sequence of amino 150 acids in epitope peptides.

Rank(%) score (C24). HLA binding prediction were performed using netMHCpan4.0.
rank(%) provides a robust filter for the identification of MHC-binding peptides , in
which rank(%) was recommended as an evaluation standard, rank(%)<0.5 as strong

154 binders, $0.5 < \operatorname{rank}(\%) < 2$ as weak binders, $\operatorname{rank}(\%) > 2$ as no binders.

155 Five-fold cross-validation, feature selection, random forests and ROC generation.

The 5-fold cross-validation was implemented in R using the package caret [42] (method 156 = "repeatedcv", number = 5, repeats = 3). The feature screening results were generated 157 158 in R using the package Boruta [43] (a novel random forest based feature selection 159 algorithm for finding all relevant variables, which provides unbiased and stable selection of important and non-important attributes from an information system. It 160 161 iteratively removes the features which are proven by a statistical test to be less relevant 162 than random probes. It uses Z score (computed by dividing the average loss by its 163 standard deviation) as the importance measure and it takes into account the fluctuations of the mean accuracy loss among trees in the forest). R package randomForest [44] was 164 165 used for training data (the R language machine learning package caret provides automatic iteration selection of optimal parameters, mtry=15 for antigen epitope, 166 mtry=14 for neoantigen epitope, the remaining parameters use default values). R 167 package ROCR [45] was used for drawing ROC. 168

169 Web tool implementation

170 The front-end of Ineo-Epp was constructed via HTML/JavaScript/CSS. The back end 171 was written in PHP, connecting the web interface and Apache web server. A python 172 script was used for calculating peptide characteristics and extracting mutation 173 information. Models were built using R.

174 **Results**

175 Ultimately, 11,297 validated epitopes and non-epitopes with the length of 8-11 amino acids were collected from IEDB. T-cell responses included activation, cytotoxicity, 176 177 proliferation, IFN-y release, TNF release, granzyme B release, IL-2 release, IL-10 178 release, etc. Seventeen different HLA alleles were collected (Fig 2A), and the detailed 179 antigen length distribution is shown in (Fig 2B). Additionally, we collected the 180 neoantigen data from 12 publications, including 2837 non-neoepitopes and 164 181 neoepitopes (Fig 2C), and the detailed neoantigen length distribution is shown in (Fig 182 2D).

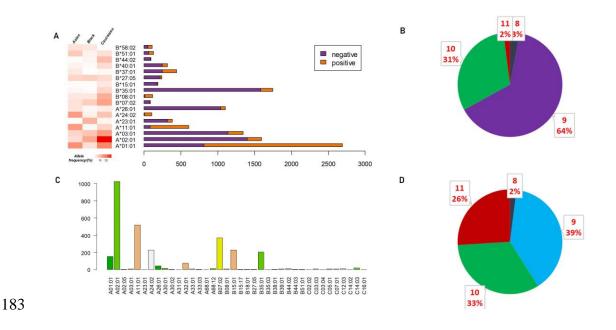
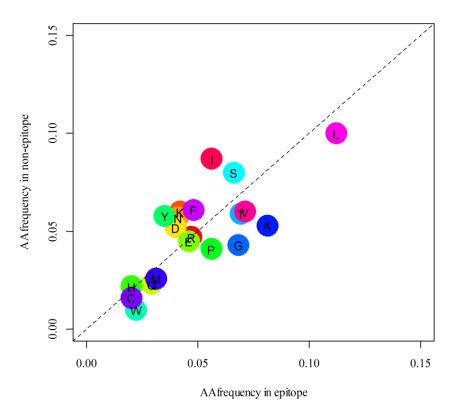


Figure 2: Epitope/neoepitope peptides composition and amino acid lengths distribution. (a) Detailed data distribution of seventeen HLA alleles of antigen peptides and proportion of each HLA allele (positive and negative) epitopes and the corresponding HLA frequency in Asian, Black, Caucasian. (b) Proportion of antigen peptides of 8-11 AA lengths. (c) Data distribution of HLA alleles of neoantigen peptides. (d) Proportion of neoantigen peptides of 8-11 AA lengths.

189 The TCR contact position plays a crucial role in the analysis of immunogenicity, 190 as TCRs might be more sensitive to some amino acids, the amino acids preference in antigen epitope peptide and antigen non-epitope peptide was further analyzed after 191 192 excluding anchor sites (N-terminal, position 2, C-terminal) (Fig 3). We found that TCRs 193 tend to identify hydrophobic amino acids. For example, 3/4 hydrophobic amino acids (L, W, P, A, V, M) occur more frequently in immunogenicity epitopes. Charged amino 194 195 acids (e.g. D, K) are enriched in non-epitopes whereas the rest of charged amino acids 196 (R, H, E) show no difference .Based on the result in figure 3, the amino acid distribution 197 difference at the TCR contact sites was regarded by us as one of the immunogenicity 198 features (*i.e.* Frequency score for immunogenic peptide (C22)).



199

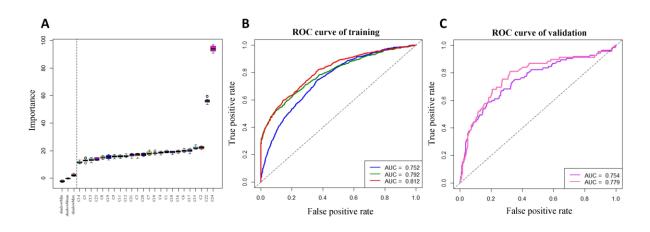
Figure 3: Antigen epitope amino acid distribution frequency in TCR contact site of epitopes and nonepitopes. Frequency distribution of amino acids at TCR contact sites in antigen epitope and non-epitope peptides, and the amino acids below the dotted line are preferred by the epitope.

203 Classification prediction model for antigen epitopes

204 We constructed the features of peptides on the basis of the characteristics of amino acids 205 (see Materials and Methods section: Characteristics Calculation of peptides based on 206 amino acids). All amino acid characteristics were selected from Protscale [46] in 207 ExPASy (SIB bioinformatics resource portal). The 21 involved features are as follows: 208 Kyte–Doolittle numeric hydrophobicity scale (C1) [47], molecular weight (C2), 209 bulkiness (C3) [48], polarity (C4) [49], recognition factors (C5) [50], hydrophobicity 210 (C6) [51], retention coefficient in HPLC (C7) [52], ratio hetero end/side (C8)[49], 211 average flexibility (C9) [53], beta-sheet (C10) [54], alpha-helix (C11) [55], beta-turn (C12) [55], relative mutability (C13) [56], number of codon(s) (C14), refractivity (C15) 212 [57], transmembrane tendency (C16) [58], accessible residues (%) (C17) [59], average 213 area buried (C18) [60], conformational parameter for coil (C19) [55], total beta-strand 214 215 (C20) [60], parallel beta-strand (C21) [61] (see Table S4 in detail). Also, frequency 216 score for immunogenic peptide (C22), peptide entropy (C23) and rank(%) (C24) were also taken into consideration. Together, 24 immunogenic features were collected, and 217 all features were retained for antigen epitopes prediction after screening using the R 218 package Boruta. Compared with other characteristics, the frequency score for 219

immunogenic peptide and rank(%) have higher impact, suggesting they have more significant influence on antigen epitopes classification (Figure 4A).

222 The receiver operator characteristic (ROC) curve of models are shown in Fig 4. 223 The five-fold cross validation AUC was 0.81 in the prediction model for antigen epitope 224 (line in red Fig 4B) and the externally validated (see table 2) AUC was 0.75 (line in 225 purple Fig 4C). Here, we tried to remove peptides for which HLA supertypes not 226 appearing in training set from the externally validated antigen data and, the AUC, specificity, and sensitivity were increased to 0.78, 0.71, and 0.72, respectively. (line in 227 228 pink Fig4 C). This, to some extent, verifies our conjecture about TCR specific 229 recognition of different HLA alleles presenting peptides. 230



231 Figure 4: Feature selection in antigen epitopes and ROC curves of antigen epitopes classification. 232 (a)Peptide features: Twenty four features were screened and we defined the features on the right of the 233 dotted line as being effective. (b)Trained model: The line in blue represents antigen epitopes without 234 screening; the line in green represents selection with the deletion of rank(%)>2 non-epitope; and the line 235 in red represents selection with the deletion of the non-epitopes 100% matching human reference peptide 236 sequence. (c)External validation: The ROC curves for the external verification set, line in purple 237 represents modeling using antigen epitopes without filtering, the line in pink represents modeling using 238 antigen epitopes removing non-epitopes which rank(%)>2 and HLA for which supertypes not appearing 239 in training set.

240 Classification prediction model for neoantigen epitopes

Neoantigens derived from somatic mutations are different from the wild peptide 241 242 sequences. Therefore, some mutation-related characteristics were also taken into 243 account. For instance, difference in hydrophobility before and after mutation (C25), 244 differential agretopicity index (DAI, C26) [62] and whether the mutation position was anchored (C27). Finally, 27 features were selected for the neoantigen epitope prediction 245 246 model. However, only 25 neoantigen related features were retained after running Boruta, 247 because C25 and C27 were removed. Also, rank(%) showed a marked effect (Fig 5A). 248 in the five-fold cross-validation of the prediction model for neoantigen epitopes, AUC 249 was 0.78 (Fig 5B).

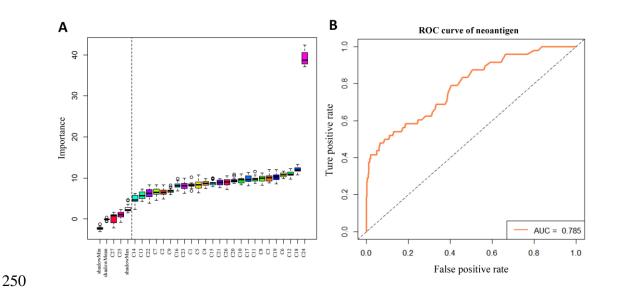


Figure 5: Feature selection in neoantigen epitopes and ROC curves of neoantigen epitopes classification.
(a) Twenty seven features were screened and the 25 features on the right of the dotted line were reserved
for modeling using a random forest algorithm. (b) ROC curves of neoantigen epitopes classification.

254 Web server for TCR epitope prediction

255 Based on these above-mentioned validated features, we established a web server for TCR epitope prediction, named 'INeo-Epp'. This tool can be used to predict both 256 257 immunogenic antigen and neoantigen epitopes. For antigen, the nine main HLA supertypes can be used. We recommend the peptides with the lengths of 8-12 residues, 258 259 but not less than 8. N-terminal, position 2, C-terminal were treated as anchored sites by 260 default. A predictive score value greater than 0.5 is considered as immunogenicity (Positive-High), the score between 0.4-0.5 is considered as (Positive-Low), the score 261 262 less than 0.4 is considered as (Negative-High).It is critical to make sure that HLA-263 subtype must match your peptides(rank(%)<2). Where HLA-subtypes mismatch, the large deviation of rank(%) value may strongly influence the results. Additionally, the 264 265 neoantigen model requires providing wild type and mutated sequences at the same time 266 to extract mutation associated characteristics, and currently only immunogenicity 267 prediction for neoantigens of single amino acid mutations are supported. Users can choose example options to test the INeo-Epp (http://www.biostatistics.online/INeo-268 269 Epp/neoantigen.php).

270 Discussion

Due to the complexity of antigen presenting and TCR binding, the mechanism of TCR recognition has not been clearly revealed. In 2013, J. A. Calis [63] developed a tool for epitope identification for mice and humans (AUC = 0.68). Although mice and human beings are highly homologous, the murine epitopes may very likely cause limitations in identifying human epitopes. Inspired by J. A. Calis , our research here focused on human beings' epitopes and has been conducted in a larger data set. 277 By analyzing epitope immunogenicity from the perspective of amino acid 278 molecular composition, we observed that TCRs do have a preference for hydrophobic 279 amino acid recognition. For short peptides presented by different HLA supertypes, 280 TCRs may have different identification patterns. The immunogenicity prediction based 281 on all HLA-presenting peptides may affect the accuracy of the prediction results. That 282 is, if the prediction could focus on specified HLA-presenting peptides the results may 283 improve. Therefore in our work we used HLA supertypes to improve the prediction of 284 HLA-presenting epitopes, including antigen epitopes and neoantigen epitopes, for a 285 better recognition by TCRs. At present, neoantigen epitopes that can be collected in 286 accordance with the standard for experimental verification are too few, the data of 287 positive and negative neoantigens are unbalanced, and there is not enough data to be 288 used for external verification set. In the future, we will continue to refine and expand 289 our training and verification datasets. Recently, Céline M. Laumont [64] demonstrated 290 that noncoding regions aberrantly expressing tumor-specific antigens (aeTSAs) may 291 represent ideal targets for cancer immunotherapy. These epitopes can also be studied in 292 the future. Increased epitope data may also help empower the prediction of potentially 293 immunogenic peptides or neopeptides.

294 Conclusions

295 Neoantigen prediction is the most important step at the start of preparation of 296 neoantigen vaccine. Bioinformatics methods can be used to extract tumor mutant 297 peptides and predict neoantigens. Most current strategies aimed at ended in presenting 298 peptides predictions and among the results of these predictions, probably only fewer 299 than 10 neoantigens might be clinically immunogenic and produce effective immune 300 response. It is time-consuming and costly to experimentally eliminate the false 301 positively predicted peptides. Our methods as developed in this study and the INeo-Epp 302 tool may help eliminate false positive antigen/neoantigen peptides, and greatly reduce the amount of candidates to be verified by experiments. We believe that in the age of 303 304 biological systems data explosion, computational approaches are a good way to 305 enhance research efficiency and direct biological experiments. With the development 306 of machine learning and deep learning, we expect the prediction of epitope 307 immunogenicity will be continually improved.

308 In summary, this study provides a novel T-cell HLA class-I immunogenicity 309 prediction method from epitopes to neoantigens, and the INeo-Epp can be applied not 310 only to identify putative antigens, but also to identify putative neoantigens.

311 It needs to be stated here that we published the preprint [65] of this article in July 312 2019.This is a modified version.

313 Data Availability

The data used to support the findings of this study are included within the supplementary information file(s).

316 **Competing of Interests**

The author(s) declare(s) that there is no conflict of interest regarding the publication of this paper

319 Funding Statement

This work was funded by the National Natural Science Foundation of China (No. 31870829), Shanghai Municipal Health Commission, and Collaborative Innovation Cluster Project (No. 2019CXJQ02). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

323 collection and analysis, decision to publish, or preparation of the manus

324 Acknowledgments

We sincerely thank Drs. Menghuan Zhang, Hong Li and Qibing Leng for valuable discussion. We also acknowledge Dr. Michael Liebman for his critical reading and editing.

328 Supplementary Material

- 329 S1 Table IEDB antigen epitopes summary. Detailed description of 17 HLA molecules
- 330 collected from IEDB. (XLSX)
- S2 Table External validation antigen epitopes summary. Epitope details of 7
 publications. (XLSX)
- 333 S3 Table Neoantigen epitopes summary. Epitope details of 13 publications. (XLSX)
- 334 S4 Table Summary of amino acid characteristics. For all amino acid characteristics
- 335 (n=21) that are described in the ExPASy. (XLSX)

336 **References**

- 337 [1] D. V. Desai, and U. Kulkarni-Kale, "T-cell epitope prediction methods: an
 338 overview," Methods Mol Biol, vol. 1184, pp. 333-64, 2014.
- A. L. Goldberg, and K. L. Rock, "Proteolysis, proteasomes and antigen
 presentation," *Nature*, vol. 357, no. 6377, pp. 375-379,1992.
- K. Can, A. K. Nussbaum, S. Hansjörg *et al.*, "Prediction of proteasome cleavage
 motifs by neural networks," *Protein Eng*, no. 4, pp. 4, 2002.
- M. V. Larsen, C. Lundegaard, K. Lamberth *et al.*, "An integrative approach to
 CTL epitope prediction: A combined algorithm integrating MHC class I binding,
 TAP transport efficiency, and proteasomal cleavage predictions," *European Journal of Immunology*, vol. 35, no. 8, pp. 2295-2303,2005.
- V. Jurtz, S. Paul, M. Andreatta *et al.*, "NetMHCpan-4.0: Improved Peptide–
 MHC Class I Interaction Predictions Integrating Eluted Ligand and Peptide
 Binding Affinity Data," *Journal of Immunology*, vol. 199, no. 9, pp. ji1700893,
 2017.
- T. J. O'Donnell, A. Rubinsteyn, M. Bonsack et al., "MHCflurry: Open-Source
 Class I MHC Binding Affinity Prediction," Cell Syst, vol. 7, no. 1, pp. 129132.e4, Jul 25, 2018.

354	[7]	M. Wang, K. Lamberth, M. Harndahl <i>et al.</i> , "CTL epitopes for influenza A
355 356		including the H5N1 bird flu; genome-, pathogen-, and HLA-wide screening,"
350 357	[8]	Vaccine, vol. 25, no. 15, pp. 0-2831.2007.C. L. Perez, M. V. Larsen, R. Gustafsson <i>et al.</i>, "Broadly Immunogenic HLA
358	[0]	Class I Supertype-Restricted Elite CTL Epitopes Recognized in a Diverse
359		Population Infected with Different HIV-1 Subtypes," <i>Journal of Immunology</i> ,
360		vol. 180, no. 7, pp. 5092-5100,2008.
361	[9]	C. Lundegaard, I. Hoof, O. Lund et al., "State of the art and challenges in
362		sequence based T-cell epitope prediction," Immunome Research, vol. 6 Suppl 2,
363		no. Suppl 2, pp. S3, 2010.
364	[10]	J. L. Sanchez-Trincado, GP. Marta, and R. P. A., "Fundamentals and Methods
365		for T- and B-Cell Epitope Prediction," Journal of Immunology Research, vol.
366		pp. 1-14,2017.
367	[11]	E. G. Phimister, and V. N. Kristensen, "The Antigenicity of the Tumor Cell —
368		Context Matters," New England Journal of Medicine, vol. 376, no. 5, pp. 491-
369		493,2017.
370	[12]	K. Kiyotani, H. T. Chan, and Y. Nakamura, "Immunopharmacogenomics
371		towards personalized cancer immunotherapy targeting neoantigens," Cancer Sci,
372		vol. 109, no. 3, pp. 542-549, Mar, 2018.
373	[13]	V. Randi, J. A. Overton, J. A. Greenbaum et al., "The immune epitope database
374		(IEDB) 3.0," Nucleic Acids Research, no. D1, pp. D1, 2014.
375	[14]	A. Sette, and J. Sidney, "Nine major HLA class I supertypes account for the vast
376		preponderance of HLA-A and -B polymorphism," Immunogenetics, vol. 50, no.
377		3-4, pp. 201-12, Nov, 1999.
378	[15]	J. Sidney, B. Peters, N. Frahm et al., "HLA class I supertypes: a revised and
379	F1 (1	updated classification," vol. 9, no. 1, pp. 1-0,2008.
380	[16]	"An immunogenic personal neoantigen vaccine for patients with melanoma."
381	[17]	"Personalized RNA mutanome vaccines mobilize poly-specific therapeutic
382	F101	immunity against cancer," <i>Nature</i> , vol. 547, no. 7662, pp. 222-226,2017.
383	[18]	Z. Hu, P. A. Ott, and C. J. Wu, "Towards personalized, tumour-specific,
384 285		therapeutic vaccines for cancer," Nat Rev Immunol, vol. 18, no. 3, pp. 168-182,
385 286	[10]	Mar, 2018. E. M. Van Allan, D. Mine, P. Schilling <i>et al.</i> "Genemic correlates of response.
386 387	[19]	E. M. Van Allen, D. Miao, B. Schilling <i>et al.</i> , "Genomic correlates of response to CTLA-4 blockade in metastatic melanoma," <i>Science</i> , vol. 350, no. 6257, pp.
388		207-211, 2015.
389	[20]	M. Efremova, F. Finotello, D. Rieder et al., "Neoantigens Generated by
390	[20]	Individual Mutations and Their Role in Cancer Immunity and Immunotherapy,"
391		Front Immunol, vol. 8, pp. 1679, 2017.
392	[21]	L. Klein, M. Hinterberger, G. Wirnsberger <i>et al.</i> , "Antigen presentation in the
393	[~1]	thymus for positive selection and central tolerance induction," <i>Nature reviews</i> .
394		<i>Immunology</i> , vol. 9, no. 12, pp. 833-844,2009.
395	[22]	F. F. Gonzalez-Galarza, A. McCabe, E. J. Melo Dos Santos et al., "Allele
	r .1	, , ,

396 397 398	[23]	Frequency Net Database," Methods Mol Biol, vol. 1802, pp. 49-62, 2018. D. Weiskopf, M. A. Angelo, E. L. D. Azeredo <i>et al.</i> , "Comprehensive analysis of dengue virus-specific responses supports an HLA-linked protective role for
399 400		CD8(+) T cells," <i>Proc Natl Acad Sci U S A</i> , vol. 110, no. 22, pp. E2046-E2053, 2013.
401	[24]	H. Luxenburger, F. Grass, J. Baermann et al., "Differential virus-specific CD8(+)
402		T-cell epitope repertoire in hepatitis C virus genotype 1 versus 4," J Viral Hepat,
403	50.53	vol. 25, no. 7, pp. 779-790, Jul, 2018.
404	[25]	Y. Xia, W. Pan, X. Ke <i>et al.</i> , "Differential escape of HCV from CD8+ T cell
405		selection pressure between China and Germany depends on the presenting HLA
406 407	[26]	class I molecule," <i>Journal of Viral Hepatitis,</i> vol. 26, no. 1, pp. 73-82, 2019. H. Vahed, A. Agrawal, R. Srivastava <i>et al.</i> , "Unique Type I Interferon,
407	[20]	Expansion/Survival Cytokines, and JAK/STAT Gene Signatures of
409		Multifunctional Herpes Simplex Virus-Specific Effector Memory CD8 T Cells
410		Are Associated with Asymptomatic Herpes in Humans," <i>Journal of Virology</i> ,
411		vol. 93, no. 4, pp. e01882-18, 2019.
412	[27]	A. Khakpoor, Y. Ni, A. Chen et al., "Spatiotemporal Differences in Presentation
413		of CD8 T Cell Epitopes during Hepatitis B Virus Infection," J Virol, vol. 93, no.
414		4, Feb 15, 2019.
415	[28]	A. Huth, X. Liang, S. Krebs et al., "Antigen-Specific TCR Signatures of
416		Cytomegalovirus Infection," J Immunol, vol. 202, no. 3, pp. 979-990, Feb 1,
417		2019.
418	[29]	S. O. Sekyere, B. Schlevogt, F. Mettke <i>et al.</i> , "HCC immune surveillance and
419		antiviral therapy of hepatitis C virus infection," <i>Liver cancer</i> , vol. 8, no. 1, pp.
420	[20]	41-65, 2019.
421 422	[30]	D. A. Wick, J. R. Webb, J. S. Nielsen <i>et al.</i> , "Surveillance of the Tumor Mutanome by T Cells during Progression from Primary to Recurrent Ovarian
422		Cancer," <i>Clinical Cancer Research</i> , vol. 20, no. 5, 2013.
424	[31]	T. Karasaki, K. Nagayama, M. Kawashima <i>et al.</i> , "Identification of Individual
425	[01]	Cancer-Specific Somatic Mutations for Neoantigen-Based Immunotherapy of
426		Lung Cancer," Journal of Thoracic Oncology Official Publication of the
427		International Association for the Study of Lung Cancer, vol. 11, no. 3, pp. 324-
428		333, 2015.
429	[32]	A. Gros, M. R. Parkhurst, E. Tran et al., "Prospective identification of
430		neoantigen-specific lymphocytes in the peripheral blood of melanoma patients,"
431		<i>Nature Medicine</i> , vol. 22, no. 4, pp. 433-438,2016.
432	[33]	E. Strønen, M. Toebes, S. Kelderman <i>et al.</i> , "Targeting of cancer neoantigens
433		with donor-derived T cell receptor repertoires," <i>Science</i> , vol. 352, no. 6291, pp.
434 435	[2/]	1337-1341, 2016. A Nelde I S Walz D I Kowalewski <i>et al.</i> "HI A class I restricted MVD88
433 436	[34]	A. Nelde, J. S. Walz, D. J. Kowalewski <i>et al.</i> , "HLA class I-restricted MYD88 L265P-derived peptides as specific targets for lymphoma immunotherapy,"
430 437		OncoImmunology, vol. 6, no. 3, Mar 4, 2017.

- 438 [35] X. Zhang, S. Kim, J. Hundal *et al.*, "Breast Cancer Neoantigens Can Induce
 439 CD8 T-Cell Responses and Antitumor Immunity," *Cancer Immunology*440 *Research*, vol. 5, no. 7, pp. 516-523, 2017.
- 441 [36] M. Markus, G. David, C. George *et al.*, "'Hotspots' of Antigen Presentation
 442 Revealed by Human Leukocyte Antigen Ligandomics for Neoantigen
 443 Prioritization," *Front Immunol*, vol. 8, pp. 1367,2017
- V. P. Balachandran, M. Łuksza, J. N. Zhao *et al.*, "Identification of unique neoantigen qualities in long-term survivors of pancreatic cancer," *Nature*, vol. 551, no. 7681, pp. 512-516,2017.
- T. Matsuda, M. Leisegang, J.-H. Park *et al.*, "Induction of Neoantigen-Specific
 Cytotoxic T Cells and Construction of T-cell Receptor-Engineered T Cells for
 Ovarian Cancer," *Clinical cancer research : an official journal of the American Association for Cancer Research*, vol. 24, no. 21, pp. 5357-5367, 2018.
- 451 [39] K. Sonntag, H. Hashimoto, M. Eyrich *et al.*, "Immune monitoring and TCR
 452 sequencing of CD4 T cells in a long term responsive patient with metastasized
 453 pancreatic ductal carcinoma treated with individualized, neoepitope-derived
 454 multipeptide vaccines: a case report," *Journal of translational medicine*,
 455 16,2018.
- 456 [40] A.-M. Bjerregaard, M. Nielsen, V. Jurtz *et al.*, "An Analysis of Natural T Cell
 457 Responses to Predicted Tumor Neoepitopes," *Frontiers in immunology*, 8, 2017.
- 458 [41] C. E. Shannon, "A Mathematical Theory of Communication," *Bell System*459 *Technical Journal*, vol. 27, 1948.
- 460 [42] M. Kuhn, "Building Predictive Models in R Using the caret Package," *Journal*461 *of Statistical Software*, 2008.
- 462 [43] M. B. Kursa, and W. R. Rudnicki, "Feature Selection with the Boruta Package,"
 463 *Journal of Statistical Software*, vol. 036, 2010.
- 464 [44] A. Liaw, and M. Wiener, "Classification and Regression by randomForest," *R*465 *News*, vol. 23, no. 23, 2002.
- 466 [45] T. Sing, O. Sander, N. Beerenwinkel *et al.*, "ROCR: visualizing classifier
 467 performance in R," *Bioinformatics (Oxford, England)*, vol. 21, no. 20, pp. 3940468 3941, 2005.
- 469 [46] Walker, and M. J., "The proteomics protocols handbook," *Biochemistry*, vol. 71, no. 6, pp. 696-696, 2006.
- 471 [47] J. Kyte, and R. F. Doolittle, "A simple method for displaying the hydropathic character of a protein," vol. 157, no. 1, pp. 105-132,1982.
- 473 [48] J. M. Zimmerman, N. Eliezer, and R. Simha, "The characterization of amino acid sequences in proteins by statistical methods," *Journal of theoretical biology*, vol. 21, no. 2, pp. 170-201,1968.
- 476 [49] Grantham, and R., "Amino Acid Difference Formula to Help Explain Protein
 477 Evolution," *Science*, vol. 185, no. 4154, pp. 862-864,1974.
- 478 [50] Fraga, and Serafin, "Theoretical prediction of protein antigenic determinants
 479 from amino acid sequences," *Canadian Journal of Chemistry*, vol. 60, no. 20,

480		pp. 2606-2610,1982.
481	[51]	R. M. Sweet, and D. Eisenberg, "Correlation of sequence hydrophobicities
482		measures similarity in three-dimensional protein structure," Journal of
483		<i>molecular biology,</i> vol. 171, no. 4, pp. 479-488,1983.
484	[52]	Meek, and L. J., "Prediction of peptide retention times in high-pressure liquid
485		chromatography on the basis of amino acid composition," Proceedings of the
486		National Academy of Sciences of the United States of America, vol. 77, no. 3,
487		pp. 1632-1636,1980.
488	[53]	G. D. Rose, A. R. Geselowitz, G. J. Lesser et al., "Hydrophobicity of amino acid
489		residues in globular proteins," Science (New York, N.Y.), vol. 229, no. 4716, pp.
490		834-838, 1985.
491	[54]	P. Y. Chou, and G. D. Fasman, "Prediction of the secondary structure of proteins
492		from their amino acid sequence," Advances in enzymology and related areas of
493		<i>molecular biology,</i> vol. 47, pp. 45-148, 1978, 1978.
494	[55]	G. Deléage, and B. Roux, "An algorithm for protein secondary structure
495		prediction based on class prediction," Protein engineering, vol. 1, no. 4, pp.
496		289-294, 1987 Aug-Sep, 1987.
497	[56]	A. Burger, "Atlas of Protein Sequence and Structure 1969," Journal of
498		Medicinal Chemistry, vol. 13, no. 2, pp. 337-337, 1970.
499	[57]	D. D. Jones, "Amino acid properties and side-chain orientation in proteins: A
500		cross correlation approach," Journal of Theoretical Biology, vol. 50, no. 1, pp.
501		167-183,1975.
502	[58]	G. Zhao, and E. London, "Strong Correlation Between Statistical
503		Transmembrane Tendency and Experimental Hydrophobicity Scales for
504		Identification of Transmembrane Helices," Journal of Membrane Biology, vol.
505		229, no. 3, pp. p.165-168,2009.
506	[59]	J. Janin, "Surface and inside volumes in globular proteins," Nature, vol. 277,
507		no. 5696, pp. 491-492, 1979.
508	[60]	J. R. Green, M. J. Korenberg, R. David et al., "Recognition of Adenosine
509		Triphosphate Binding Sites Using Parallel Cascade System Identification,"
510		Annals of Biomedical Engineering, vol. 31, no. 4, pp. 462-470,2003.
511	[61]	S. Lifson, and C. Sander, "Antiparallel and parallel beta-strands differ in amino
512		acid residue preferences," Nature, vol. 282, no. 5734, pp. 109-111, 1979.
513	[62]	F. Duan, J. Duitama, S. Al Seesi et al., "Genomic and bioinformatic profiling of
514		mutational neoepitopes reveals new rules to predict anticancer immunogenicity,"
515		J Exp Med, vol. 211, no. 11, pp. 2231-48, Oct 20, 2014.
516	[63]	J. J. A. Calis, M. Maybeno, J. A. Greenbaum et al., "Properties of MHC class I
517		presented peptides that enhance immunogenicity," PLoS computational biology,
518		vol. 9, no. 10, pp. e1003266,, 2013.
519	[64]	C. M. Laumont, K. Vincent, L. Hesnard et al., "Noncoding regions are the main
520		source of targetable tumor-specific antigens," Sci Transl Med, vol. 10, no. 470,
521		Dec 5, 2018.

- 522 [65] G. Wang, H. Wan, X. Jian et al., "INeo-Epp: T-cell HLA class I immunogenic
- 523 or neoantigenic epitope prediction via random forest algorithm based on 524 sequence related amino acid features," bioRxiv, 2019.
- 525