Single Cell Analysis Reveals Partial Reactivation of X-chromosome Instead of Chromosome-wide Dampening in Naïve Human Pluripotent Stem Cells

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Mandal S¹, Chandel D¹, Kaur H, Majumdar S, Arava M, and Gayen S*

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5 Department of Molecular Reproduction, Development and Genetics, Indian Institute of Science,
6 Bangalore-560012, India.

- 7 *Correspondence: <u>srimonta@iisc.ac.in</u>
- 8 ¹Equal contribution
- 9

10 Abstract

Recently, a unique form of X-chromosome dosage compensation has been demonstrated in 11 12 human preimplantation embryos, which happens through the dampening of X-linked gene 13 expression from both X-chromosomes. Subsequently, X-chromosome dampening has also been demonstrated in female human pluripotent stem cells (hPSCs) during the transition from 14 15 primed to naïve state. However, the existence of dampened X-chromosomes remains 16 controversial in both embryos and hPSCs. Specifically, in preimplantation embryos it has 17 been shown that there is inactivation of X-chromosome instead of dampening. Here, we have 18 performed allelic analysis of X-linked genes at the single cell level in hPSCs and found that 19 there is partial reactivation of the inactive X-chromosome instead of chromosome-wide 20 dampening upon conversion from primed to naïve state. In addition, our analysis suggests 21 that the reduced X-linked gene expression in naïve hPSCs might be the consequence of 22 erasure of active X-chromosome upregulation.

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Keywords: X-chromosome dampening; X-chromosome inactivation; X-chromosome
 upregulation, Human pluripotent stem cells; Naive & primed pluripotency; Human embryos;
 XIST

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32 Introduction

In therian mammals, to balance the X-chromosome dosage between males and females, one 33 34 X-chromosome becomes inactivated in female cells (Lyon, 1961). The dosage imbalance 35 between a single active X-chromosome and two copies of autosomes (AA) is compensated 36 through upregulation of the active-X chromosome in both males and females (Deng et al., 37 2011; Larsson et al., 2019; Ohno S, 1967). Recently, another form of X-chromosome dosage 38 compensation has been demonstrated in human preimplantation embryos, termed as X-39 chromosome dampening. Based on single cell transcriptome analysis of human 40 preimplantation embryos, Petropoulos et al. (2016) found that X-linked gene expression 41 gradually decreased from morula to blastocyst stage, while both X-chromosomes were 42 maintaining active state (Petropoulos et al., 2016). Based on these, they proposed that 43 dampening of X-linked gene expression from both X-chromosome as a likely dosage 44 compensation mechanism during human pre-implantation development. However, 45 dampening phenomenon in human embryos remains controversial (De Mello et al., 2017; 46 Saiba et al., 2018). De Mello et al. (2017) found evidence of inactivation of the X-47 chromosome instead of dampening in preimplantation embryos when they reanalyzed the 48 same transcriptome dataset of Petropoulos et al. (2016) with more stringency. In addition, 49 Sahakyan et al. (2017) showed that naïve human pluripotent stem cells (hPSCs) also exhibit 50 the X-chromosome dampening found in embryos (Sahakyan et al., 2017a). However, similar 51 to the human embryos, X-chromosome states in hPSCs remains unclear (Kaur et al., 2019; 52 De Mello et al., 2017). Conventional hPSCs derived from blastocysts represent a primed state 53 instead of naïve state and are therefore unable to recapitulate the preimplantation X-54 chromosome states (Nichols and Smith, 2009; Sahakyan et al., 2017b). To model the 55 preimplantation X-chromosome state, Sahakyan et al. (2017) converted primed hPSCs to the 56 naïve state using 5iLAF culture condition (Fig. 1A) (Theunissen et al., 2014). The primed cell 57 line used for their study, UCLA1, harbored one active-X chromosome and one inactive-X 58 chromosome. The transition of primed to naïve state happened through an intermediate early 59 naïve state (Fig. 1A). Primarily based on RNA-sequencing analysis using bulk cell 60 population, they suggested that the inactive X-chromosome was reactivated upon transition 61 from primed to the early naïve state and this was followed by X-chromosome dampening in 62 late naïve cells (Fig. 1A). However, considering the heterogeneity of cell states during the conversion process, in this study, we have analyzed available single cell RNA-Seq (scRNA-63

64 Seq) dataset of early and late naïve cells from Sahakyan et al. (Sahakyan et al., 2017a), to

65 gain better insight into X-chromosomal states (Fig. 1A).

66 **Results**

Increased *XIST* expression and reduction in X-linked gene expression upon conversion of early to late naïve state

69 First, in early and late naïve cells, we quantified the expression of XIST, a master regulator of 70 X-inactivation. We found that a majority of the early naïve cells had very low level of XIST 71 expression, whereas late naïve cells mostly showed higher level of XIST expression (Fig. 72 S1A). Overall our analysis revealed that transition of early to late naïve state was associated 73 with significant increase of XIST expression (Fig. S1B). Next, based on quality (RPKM sum 74 and mean) and XIST expression level, we selected the top 28 early cells having low level of 75 *XIST* and the top 30 late cells having higher level of *XIST* expression for further analysis (Fig. 76 1B). Again, comparison of XIST expression in these cells (28 early vs 30 late) showed a 77 significant increase in late naïve cells compared to early naïve cells (Fig. 1B). In addition, we 78 found that there was a significant reduction in X-linked gene expression in late naïve cells 79 compared to early naïve cells (Fig. 1C; Supplementary file1). Altogether, our analysis of 80 scRNA-Seq data showed increased XIST expression and reduction in X-linked gene 81 expression upon transition from the early to late naïve state.

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Reduction in X-linked gene expression in late naïve cells is independent of *XIST*

84 It has been shown that X-chromosome dampening in preimplantation embryos is associated 85 with the expression of XIST from both X-chromosomes (Petropoulos et al., 2016). In fact, X-86 dampening initiates concomitantly with the initiation of *XIST* expression and therefore it is 87 thought that XIST might have an important role in the dampening process. To test this, we 88 examined what fraction of cells show XIST expression from both X-chromosomes in late 89 naïve cells and if the reduction in X-linked gene expression is restricted to the biallelically 90 XIST expressed cells. We found about 26% cells (9 of 35) expressed XIST from both X-91 chromosomes (Fig. 2A; Supplementary file 2). Interestingly, comparison of the global X-92 linked gene expression level of XIST biallelic vs monoallelic cells did not show any 93 significant difference (Fig. 2B; Supplementary file 2). Moreover, we observed that there was 94 no significant difference in X-linked gene expression when we compared against the XIST 95 negative cells (Fig. 2B; Supplementary file 2). Based on these data, we concluded that 96 reduction in X-linked gene expression in late naïve cells was independent of XIST.

97 No evidence of X-chromosome inactivation or dampening

98 We then looked into possible mechanisms behind the reduction in X-linked gene expression 99 in late naïve hPSCs. First, we postulated that it could be due to the either X-chromosome 100 inactivation or dampening. To test for X-inactivation, we profiled allelic expression of 101 multiple X-linked genes distributed across the X-chromosome based on single nucleotide 102 polymorphisms (SNPs) in early and late cells (Fig. 3; Supplementary file 3). We found that 103 the majority of early cells showed monoallelic expression of many genes along with 104 biallelically expressed X-linked genes, which indicated incomplete reactivation of X-linked 105 genes (Fig.3A & 3B). Moreover, we found significant variation in the proportion of biallelic 106 vs monoallelic genes between these cells (Fig. 3B). Interestingly, late naïve cells showed a 107 similar pattern of allelic expression as early cells. However, there was a significant increase 108 in the fraction of SNPs showing biallelic expression in late naïve cells compared to early 109 naïve cells. (Fig. 3B). Altogether, these data indicated that late naïve cells do not harbor 110 inactive X-chromosome but rather they have partially reactivated X-chromosome. If the late 111 naïve cells underwent X-inactivation, then monoallelic expression of most of the X-linked 112 genes would be expected. In contrast, we observed increased biallelic expression upon 113 transition from early to late stage. Next, we examined whether X-dampening was causing 114 reduction of X-linked gene expression in late naïve cells. From the allelic analysis of X-115 linked gene expression it was clear that late naïve cells harbor partially reactivated X-116 chromosome. If these cells harbored dampened X-chromosomes, we would have expected 117 biallelic expression of most of the genes chromosome -wide, which was not observed. In 118 addition, we compared median expression of biallelically expressed genes of early cells to 119 that of the late naïve cells. If X-dampening was occurring then we would have expected a 120 significant decrease in median expression of biallelically expressed genes in late cells. 121 However, significant differences were not observed (Fig. 3C). Taken together, we concluded 122 that there was neither X-inactivation nor dampening upon conversion of early to late naïve 123 cells. Second, to determine whether loss of an X-chromosome in late cells is causing the 124 reduction in X-linked gene expression in late naïve cells, we explored X-chromosome ploidy 125 of these cells. It was clear that cells harbored two X-chromosomes as evident by the biallelic 126 expression of some X-linked genes (Fig. 3A). However, the possibility existed that these cells 127 may lose part (s) of the X-chromosome. To test for this, the gene expression ratio of X-linked

genes across the X-chromosome was analyzed, but significant differences between early vs late naïve cells were not identified (Fig. 3D; Supplementary file 4). Therefore, we confirmed that loss of a portion of the X-chromosome is not the cause of reduction in X-linked gene expression in late naïve cells.

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Erasure of active-X upregulation might be the cause of reduced X-linked gene expression in late naïve cells

135 Next, we investigated if the erasure of active X-chromosome upregulation might be causing 136 the reduction in X-linked gene expression in late naïve cells as proposed by De Mello et 137 al. (De Mello et al., 2017). In recent years, the existence of upregulated active X-chromosome 138 has been extensively demonstrated in mammals as hypothesized by Ohno (Deng et al., 2011, 139 2013; Li et al., 2017; Lin et al., 2011; De Mello et al., 2017; Sangrithi et al., 2017). Although 140 some studies have found lack of active-X upregulation (Chen and Zhang, 2016; Xiong et al., 141 2010). To probe this further, we analyzed X to autosomal (X:A) gene expression ratio of 7 142 different male primed hPSC lines. If a diploid male cell has upregulated active-X and then the 143 X:A ratio should be more than 0.5 and closer to 1. Indeed, we found the X:A ratio of all male 144 primed cells was greater than 1, indicating that primed hPSCs harbor an upregulated active 145 X-chromosome (Fig. 4A; Supplementary file 5). We then asked whether the active-X upregulation becomes erased in naïve hPSCs. To test this, we compared the X:A ratio of male 146 147 primed cells against different male naïve cell lines. Interestingly, a significant reduction of 148 X:A ratio in naïve cells compared to the primed cells was observed, suggesting erasure of 149 active X-chromosome upregulation in naïve cells (Fig. 4A). We made sure that the X:A ratio 150 was not impacted by the difference between X-linked and autosomal gene expression 151 distribution for each dataset (Fig. 4B). We focused on male cells for analysis of active-X 152 upregulation as in female cells X-linked gene expression is often confounded with X-153 chromosome inactivation / reactivation / erosion. However, we profiled the X:A ratio in 3 154 different primed female cells (including UCLA1), which are known to harbor one inactive-X 155 chromosome (Fig. 4C). We found the X:A ratio of female primed cells was above 1, which 156 indicated that these cells harbor an upregulated active-X chromosome (Fig. 4C; Fig. S2B). 157 Next, we examined the X:A ratio dynamics during the primed to naïve conversion of UCLA1 158 female cells. An significant increase in the X:A ratio upon transition from primed to early 159 naïve state was observed (Fig. 4D; Fig. S2B). Considering the partial X-reactivation upon 160 transition of primed to early naïve cells, it is obvious that the X:A ratio should increase 161 provided that the active-X upregulation is not completely erased. If there was complete 162 erasure of active-X upregulation, the X:A ratio should not have an observable increase. 163 Therefore, this data indicated that erasure of active-X upregulation was incomplete in the 164 early naïve state. Conversely, a decrease in the X:A ratio was observed in the late naïve state 165 compared to the early naïve cells, which suggested that erasure of active-X upregulation was 166 occurring (Fig. 4D; Fig. S2B). In this scenario, it is possible that the erasure of active-X 167 upregulation led to the overall reduction in X-linked gene expression in late naïve cells. 168 However, the decrease in X:A ratio from early to late naïve state was not significant as 169 expected. We think that a significant increase in biallelic X-linked gene expression from early 170 to late naïve state was masking this to some extent. In summary, our data suggests that X-171 dampening is not the determining factor for the reduction in X-linked gene expression in late 172 naïve cells, and erasure of active-X upregulation might be leading to the decrease of X-linked 173 gene expression (Fig. 4E).

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175 Discussion

176 X-chromosome states in female human preimplantation embryos remains elusive till date. 177 While it has been reported that preimplantation embryos carry dampened X-chromosomes, 178 other studies have provided evidence of inactivation of one of the X-chromosomes. One of 179 the major challenges to resolve this issue is the lack of availability of surplus number of 180 human embryos for experimentation. Therefore, hPSCs derived from human embryos serve 181 as an alternative system. However, conventional hPSCs represent the primed state instead of 182 the naïve state of preimplantation embryos (Davidson et al., 2015; Sahakyan et al., 2017b). 183 Recently, Sahakyan et al. (2017) converted primed hPSCs to naïve state to model the X-184 chromosome states of preimplantation embryos and suggested that naïve hPSCs also carry 185 dampened X-chromosomes. However, our analysis indicates that the conversion of primed 186 hPSCs to naïve state is associated with the partial reactivation of the inactive-X chromosome 187 instead of the chromosome-wide dampening (Fig. 4E). The main reason behind the dissimilar 188 outcomes between our study and Sahakyan et al. (2017) is likely that their conclusion is 189 primarily based on analysis of bulk RNA-sequencing of cell population, whereas our 190 conclusion is based on analysis of single cell RNA-Seq dataset. Since, single cell RNA-Seq 191 provides better clarity on cellular state and gene expression to distinguish the heterogeneity

among cells in a population, we believe that our analysis provides better insight into Xchromosome states of hPSCs. In addition, our study suggests that erasure of active-X
upregulation might be causing the reduction in X-linked gene expression in late naïve cells
(Fig. 4E).

196 Although two studies led to the dissimilar outcomes, several observations in our analyses 197 were consistent with findings by Sahakyan et al. (2017). For example, we also found that 198 early naïve cells were mostly XIST negative and transitioned to XIST positive in the late naïve 199 state which was accompanied by a reduction in X-linked gene expression (Fig. 1). Moreover, 200 about 26% of cells in late naïve state showed XIST expression from both X-chromosomes, 201 which was similar to what was reported by Sahakyan et al. (2017). We should point out that 202 in preimplantation embryos majority of the cells (\sim 85%) express XIST from both X-203 chromosomes (Okamoto et al., 2011) and it is believed that XIST might have an important 204 role in X-dampening. However, we found that reduction in X-linked gene expression was 205 independent of XIST as XIST-biallelic, -monoallelic and -negative cells showed almost 206 similar level of gene expression in late naïve cells (Fig. 2B).

207 On the other hand, allelic analysis of X-linked gene expression at the single cell level 208 revealed striking differences between the two studies. We found that early and late naïve cells 209 harbored partially reactivated X-chromosome as indicated by monoallelic expression of many 210 genes in each cell of both cell states (Fig. 3). This was contrary to Sahakyan et al. (2017) 211 where they found most of the genes had biallelic expression. Importantly, we found 212 significant variations in allelic patterns of gene expression in different cells within a 213 population, such as same genes, which were monoallelic in some cells, showed biallelic 214 expression in other cells. In this scenario, bulk cell population analysis will always show 215 biallelic gene expression, which was might be the case for Sahakyan's observation. 216 Interestingly, while Sahakyan *et al.* (2017) interpreted the reduction in X-linked gene 217 expression in late naïve cells as dampening, we found lack of dampening since there was no 218 significant difference in the median expression of biallelically expressed genes between early 219 and late naïve cells (Fig. 3C). In fact, Theunissen et al. (2016) found that female naive cells 220 had significantly higher X-linked gene expression compared to male naive cells, which also 221 suggested that naïve female cells harbored active X-chromosomes instead of dampened X-222 chromosomes (Theunissen et al., 2016). In addition, we observed that the proportion of 223 biallelically expressed genes increased significantly in late naïve cells compared to early 224 naïve cells, which indicated that the cells were still undergoing the reactivation process.

225 Some observations by Sahakyan et al. also indicated that there was an incomplete erasure of 226 epigenetic memory on the inactive X in the naïve state. They found, upon differentiation, 227 naïve hPSCs underwent non-random X-inactivation dissimilar to the normal development, 228 and the same X-chromosome that was inactive in the primed hPSCs was again inactivated. 229 Even, naïve cells showed an accumulation of H3K27me3 repressive marks on one of the X-230 chromosomes, that had not been observed in preimplantation blastocysts (Okamoto et al., 231 2011). We think the presence of H3k27me3 is the result of incomplete erasure of inactive-X 232 marks, which is consistent with our observation of a partially reactivated X-chromosome. 233 Taken together, these findings suggest that naïve cells were still in the process of removing 234 inactive-X epigenetic marks.

235 Our study suggests that erasure of active-X upregulation might be causing the reduction in 236 X-linked gene expression upon transition from early to late naïve state. Many recent studies 237 have demonstrated the existence of upregulated active-X chromosomes in mammals (Deng et 238 al., 2013; Larsson et al., 2019). We also found evidence for upregulated active-X in 7 239 different male primed hPSC lines (Fig. 4A). Importantly, our analysis of male primed and 240 naïve cells strongly indicates that there is erasure of active-X upregulation upon conversion 241 of primed to naïve state (Fig. 4A). Erasure of upregulation has been demonstrated previously 242 in spermatids, during oogenesis and germ cell reprogramming of both sexes (Di and 243 Disteche, 2006; De Mello et al., 2017; Sangrithi et al., 2017). Moreover, our observation is 244 consistent with some other studies in mouse, which showed that naïve ESC has lower X:A 245 ratio compared to the differentiated cells (Lin et al., 2007; Marks et al., 2015). Specially, 246 during female ESC differentiation, upregulation increases concomitantly with the initiation of 247 X-inactivation (Larsson et al., 2019). In fact, Theunissen et al. (2016) found a reduction in X-248 linked gene expression in male naïve hPSCs compared to that in primed, which may be due 249 to the erasure of active X-chromosome upregulation (Theunissen et al., 2016). Furthermore, it 250 has been shown that male blastocyst had significantly lower X:A ratio compared to the 251 primed hPSCs (De Mello et al., 2017). Taken together, we believe that primed to naïve 252 conversion of hPSCs is accompanied by erasure of active-X upregulation. In addition, our 253 data indicate that the erasure of upregulation for female UCLA1 is might still ongoing in the 254 early naïve state and only reaches near the completion in the late naïve state, thereby leading 255 to the reduction in X-linked gene expression upon transition from early to late naïve state 256 (Fig. 4D). In fact, during germ cell reprogramming it has been shown that erasure of upregulation and reactivation of inactive-X does not occur simultaneously rather loss of
upregulation occurs later than loss of X-inactivation (Sangrithi et al., 2017).

259 Collectively, our study indicates that the conversion of primed to naïve state is associated with the incomplete reactivation of X-chromosome rather than X-inactivation or X-260 261 dampening. Importantly, our data also indicates that erasure of active-X upregulation might 262 be leading the reduction in X-linked gene expression in naïve hPSCs. Although our results 263 argue against dampening and propose erasure of active-X upregulation is leading to the 264 reduction in X-linked gene expression in late naïve cells, further work must be done with 265 better scRNA-Seq data. Finally, better culture conditions are necessary to establish naïve 266 hPSCs that recapitulate the X-chromosome states of preimplantation embryos.

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268 Experimental procedures

Data acquisition: RNA-Seq datasets were acquired from Gene Expression Omnibus (GEO)
under the accession number GSE87239 (Sahakyan et al., 2017a). For additional datasets see
the supplementary experimental procedures.

Variant calling: First, reads were mapped to the human genome (hg38) using STAR. To mark the duplicate reads from the aligned reads of single cells, we used Picard tools v2.18.11(<u>https://broadinstitute.github.io/picard/</u>). Next, we retrieved the allelic read counts for SNPs by using GATK (v3.8) "HaplotypeCaller". We considered those SNPs for our analysis, which were present in UCLA1 cell line database (GSM2420529). Further we annotated those SNPs using dbSNP Build 152 (GRCh38.p12).

278 Allelic expression analyses: For allelic expression analysis, we considered the SNPs having 279 \geq 3 reads per SNP site in a cell. Further, we proceeded with those SNPs having informative 280 reads in at least five different cells of each category; early and late naïve. 281 The allelic expression was calculated by directly counting the allele-specific reads covering a 282 SNP position mapped to the reference or the alternative allele and then dividing it by the total 283 number of reads covering that position. A SNP was considered monoallelic if at least 90% of 284 the allelic reads was coming from only one allele. We only considered allelic ratios of SNPs 285 for those genes, which had RPKM \geq 1. Finally, we considered only those SNPs for which the 286 allelic data was available in at least four cells for each early and late naïve cells. We validated 287 allele specific expression pipeline through analysis of genes of an autosome (Chr17), which

showed mostly biallelic expression of SNPs (Fig. S2A). Moreover, SNPs belongs to the same
gene showed almost similar allelic expression pattern in most of the cells, except for few
cells.

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293 Figure legends

294 Figure 1: Transition of early to late naïve state is associated with increased XIST 295 expression and reduction in X-linked gene expression. (A) Schematic representation of 296 different stages and corresponding X-chromosome states of conversion of primed hPSCs to 297 naïve state as described in Sahakyan et al. (2017). In this study, we performed analysis of 298 scRNA-Seq dataset acquired from the early and late naïve state. (B) Comparison of XIST 299 expression (RPKM) between early naïve (n=28 cells) and late naïve cells (n=30 cells). 300 p < 0.00001 (Mann- Whitney U-test) (C) Comparison of X-linked gene expression (n=57) 301 genes) between early and late naïve cells. p<0.00001 (Mann-Whitney U-test).

Figure 2: Reduction in X-linked gene expression in late naïve cells is independent of *XIST* (A) Allelic expression of *XIST* in late naïve cells (n=35 cells). (B) Comparison of Xlinked gene expression among *XIST* -monoallelic, -biallelic and -negative cells. Nonsignificant at p<0.05 (Mann Whitney U-test).

306 Figure 3: Partial reactivation of inactive-X chromosome upon conversion of primed to 307 naïve state. (A) Allelic expression analysis of X-linked genes in early and late naïve cells at 308 single cell level. Bottom, genomic position on the X-chromosome of the X-linked genes 309 analyzed (B) Histogram showing the percent of SNPs showing monoallelic and biallelic in 310 each cell of early and late naïve state. p < 0.00001, p < 0.01 (Avg. plot) (Student's t-test) (C) 311 Comparison of median of expression level of biallelically expressed genes between early and 312 late naïve cells. Non-significant at p < 0.05 (Mann Whitney U-test) (D) Ploidy analysis of the 313 cells of early and late naïve state through the analysis of gene expression ratio across the X-314 chromosome.

Figure 4: Reduction in X-linked gene expression in naïve hPSCs might be due to the

316 erasure of active-X upregulation. (A) Comparison of X:A ratio between male primed and

- naïve hPSCs. p<0.001(Student's t-test). (B) Histograms representing the distribution of X-
- 318 linked and autosomal gene expression for male primed and naïve hPSCs with their different 10

replicates (p > 0.05, by Kolmogorov-Smirnov test). (C) Analysis of X:A ratio in different

320 primed female hPSCs. (D) Comparison of X:A ratio in UCLA1 primed, early naïve (cl4) and

late naïve cells (cl9 & cl12). p < 0.05 (E) Proposed model representing the X-chromosome

states during the conversion of primed hPSCs to the naïve state.

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324 Author's Contribution

SG, SM (Susmita Mandal), DC, and HK conceptualized the study. SG supervised the study.
Bioinformatic analyses was done by SM (Susmita Mandal) and DC. SG, SM (Susmita
Mandal), DC, MA, and SM wrote, edited and proofread the manuscript. Final manuscript
was edited and approved by all the authors.

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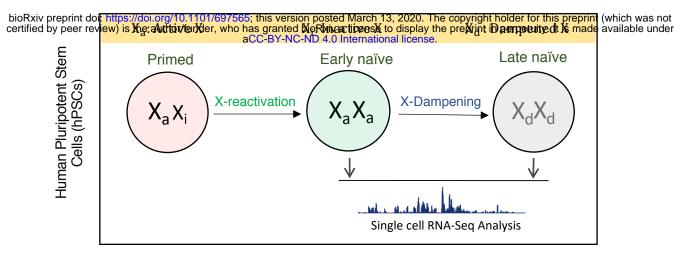
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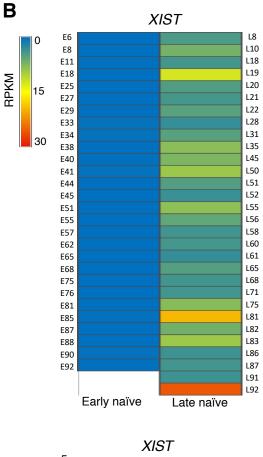
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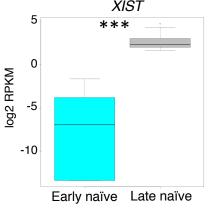
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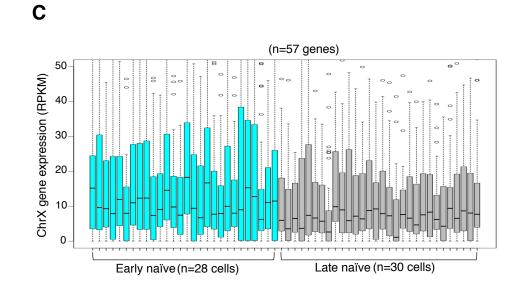
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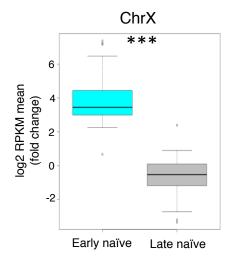
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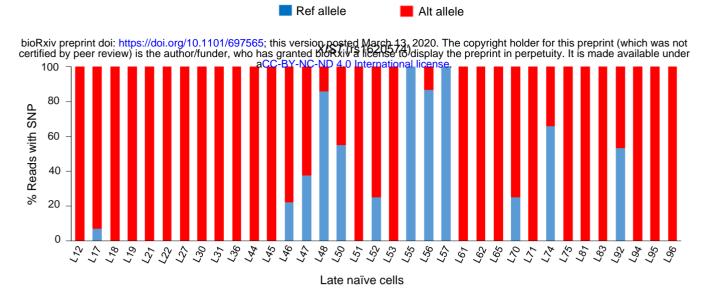




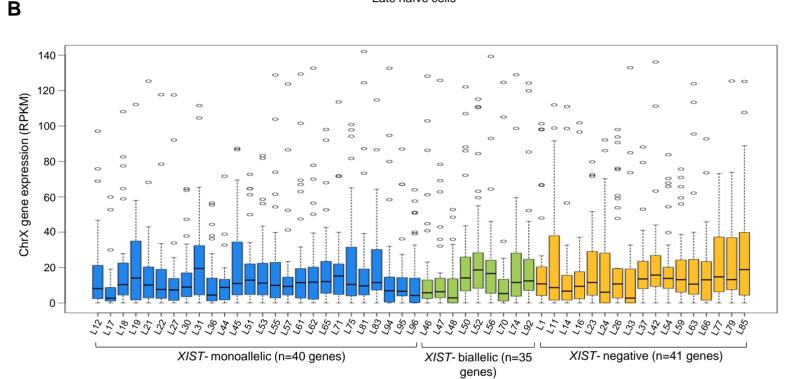


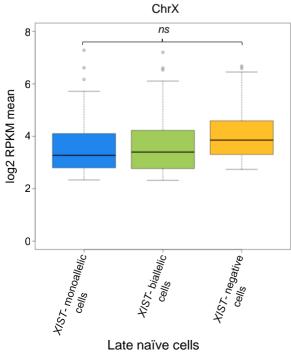




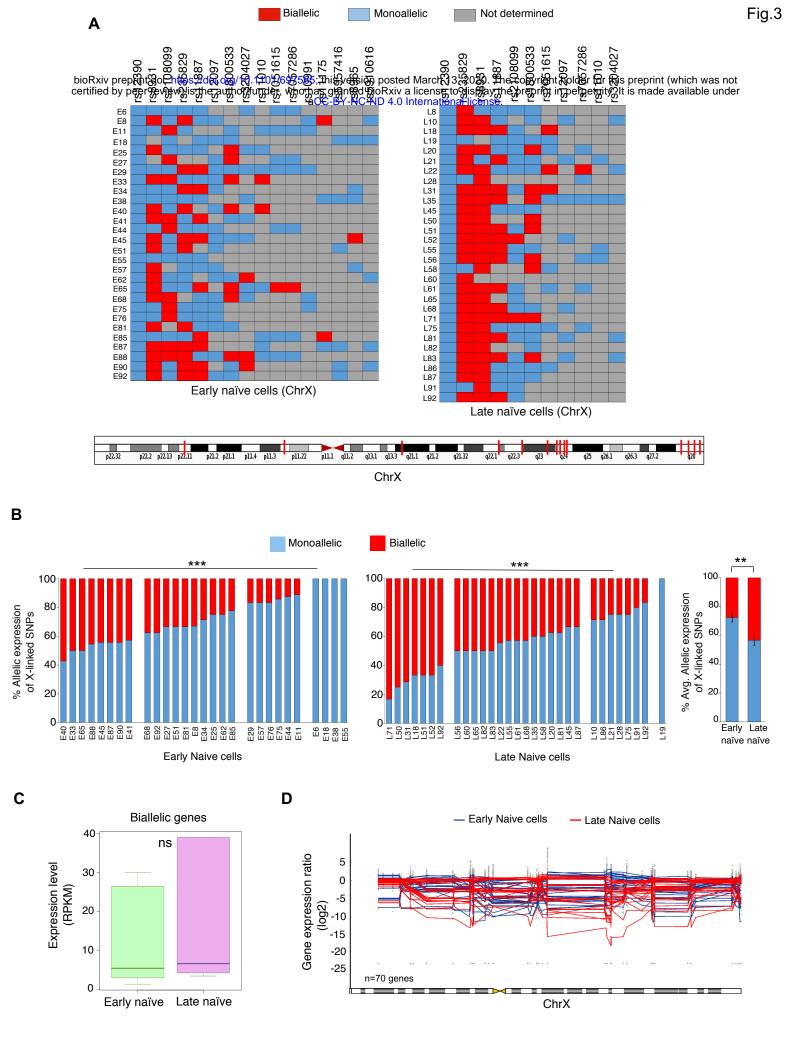


Α





Late naïve cells



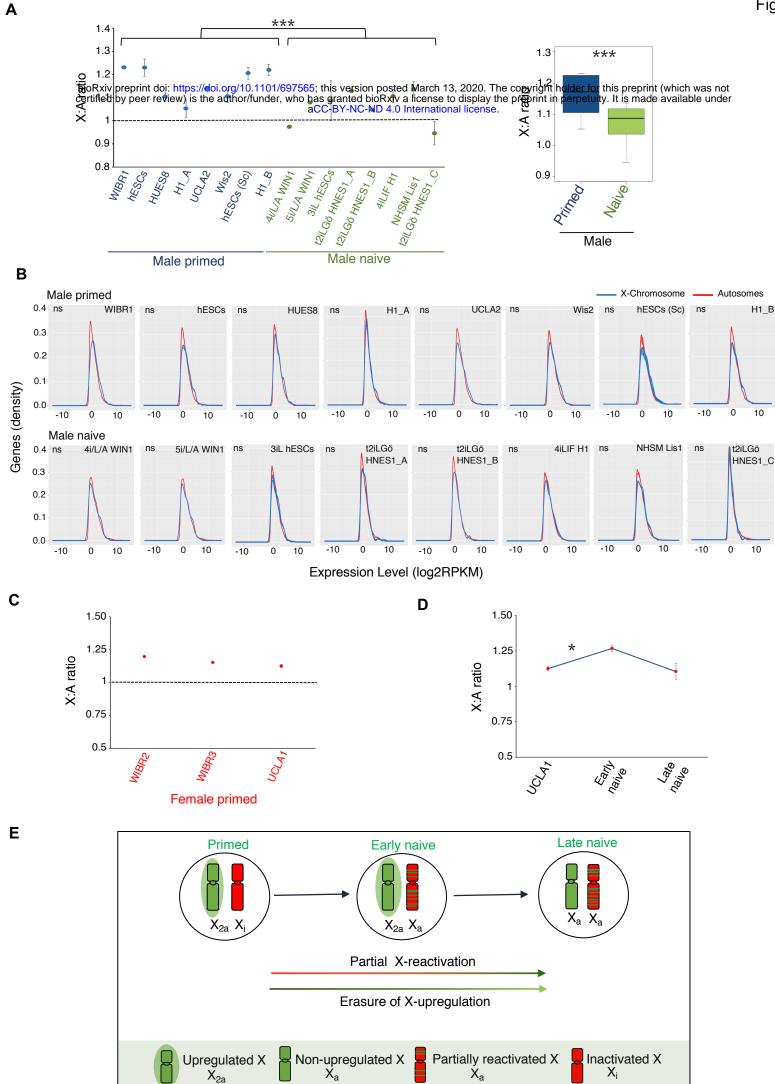


Fig.4