Supramodal selective attention differentially adjusts frequency and phase of

entrained oscillations in primary sensory areas and frontoparietal areas

Attention in sensory and frontoparietal areas

Nina Suess*[1], Thomas Hartmann[1], & Nathan Weisz[1]

[1]Centre for Cognitive Neuroscience and Department of Psychology, University of Salzburg,

Hellbrunner Straße 34, A-5020 Salzburg, Austria/Europe

Corresponding author:

Nina Suess (Nina Süß)

E-Mail: nina.suess@sbg.ac.at

Number of pages: 34

Number of figures: 5

Number of words: 7774

Conflict of interest statement:

The authors declare no competing financial interests.

Acknowledgements:

This work is supported the University of Salzburg, #158/2017 and by the Austrian Science

Fund, P31230.

Abstract

Continuously prioritizing behaviourally relevant information from the environment for improved stimulus processing is a crucial function of attention. Low-frequency phase-locking of neural activity (entrainment) in primary sensory areas has been suggested to support top-down prioritization. In the current MEG study, we studied how entrained oscillatory activity of both sensory and non-sensory brain regions are differentially impacted upon by attentional focus. Participants performed an established intermodal selective attention task, where low-frequency auditory (1.6 Hz) and visual (1.8 Hz) stimuli were presented simultaneously. We instructed participants to attend to one stimulus stream and to detect targets while ignoring the other stimulus stream. As expected, the strongest entrainment was observed in primary sensory regions for auditory and for visual stimulation, independent of attentional focus. We found greater differences in entrainment between attended and ignored stimulation for the visual modality. Interestingly, auditory temporal regions show small but significant attention-dependent entrainment even for visual stimulation. Extending findings from invasive recordings in non-human primates, we demonstrate an effect of attentional focus on the phase of the entrained oscillations in auditory and visual cortex. Additionally, attentional focus adjusted the peak frequencies in non-sensory areas. Spatially these areas show a striking overlap with core regions of the dorsal attention network and the frontoparietal network. This suggests that these areas prioritize the attended modality by optimally exploiting the temporal structure of stimulation. Overall, our study complements and extends previous work by showing a differential effect of attentional focus on entrained oscillations in primary sensory areas and frontoparietal areas.

Significance Statement

When occurrence of environmental input shows some rhythmicity, the brain can prioritize certain aspects by adjusting its dominant frequency or phase of the entrained activity. Here we investigate these possible attention-supporting patterns on a whole brain level. We find that primary sensory areas entrain to simple visual and auditory stimulation regardless of attention, but stronger attention-dependent differences are seen for the visual cortex. Both primary sensory areas clearly adjust the phase of entrained oscillations depending on whether the respective modality was attended or ignored. Downstream frontoparietal areas, strongly overlapping with the dorsal attention network, amplified only the attended stimulation frequency. Our study goes beyond similar invasive works by showing different attention-related processing patterns for primary sensory areas and frontoparietal areas.

1. Introduction

Optimal processing of sensory stimuli from the environment is a crucial prerequisite for goal-directed adaptive behaviour. Interestingly, most behaviourally relevant sensory events like speech, show quasi-rhythmicity at slow frequencies (Ghitza, 2011), enabling the brain to track those slow modulations. Alongside increases in activity to attended features in sensory areas (Alho et al., 1992; Bidet-Caulet et al., 2007; Mehta et al., 2000), a complementary process seems to exploit the temporally predictable structure of the input (Lakatos et al., 2007). In this context, neural entrainment (or phase alignment) of slow oscillations has been proposed to be an important process (Lakatos et al., 2008) for optimally adjusting cycles of neural excitability to the attended/ignored input. This process of adjusting phase "in a broad sense" (Obleser & Kayser, 2019) in sensory areas seems to be top-down mediated, putatively by higher-order brain regions which underlie sensory selection. Indeed several studies propose an interaction between primary sensory and non-sensory 'control' regions (Gazzaley & Nobre, 2012). In particular, areas of the so-called dorsal attention network (DAN), which involves connections between the intraparietal sulcus (IPS) and frontal eye fields have been implicated in top-down mediated target selection and target detection of bottom-up distinctiveness between stimuli (Buschman & Miller, 2007; Corbetta & Shulman, 2002). Additionally, areas in the frontoparietal network also act upon attentional modulation, with the IPS being involved in processing surprise targets, while the anterior cingulate cortex and the dorsolateral prefrontal cortex interact when guiding attention (Wang et al., 2009). Currently the effects of attention on entrained oscillations in higher-order (non-sensory) regions are unknown. To fill this gap, this study investigated entrained oscillatory activity using MEG. We used an established intermodal selective attention task which has been widely used to study attentional effects on entrained oscillations in primary sensory areas of the brain. This has previously been demonstrated using invasive recordings in non-human primates (Lakatos et al., 2016), as well as in patients with epilepsy (Gomez-Ramirez et al., 2011). Individuals were simultaneously presented with an auditory and a visual stimulus stream, and instructed to attend to one of

these, while ignoring the other. To track the influence of attention on entrained oscillations

separately for both modalities, each modality was stimulated with a different frequency. After

replicating established attentional effects on phase alignment in primary sensory areas

(Lakatos et al., 2016), we investigated properties of attentional entrainment effects in non-

sensory areas which could support flexible switching between modalities. We show a

distributed set of regions, strikingly overlapping with core regions of the dorsal attention

system (Corbetta et al., 2008; Shulman et al., 2010; Szczepanski et al., 2013) and

frontoparietal regions (Ptak, 2012) which adjust their peak frequency of entrainment flexibly to

the rhythm of the attended modality. This finding is an important advancement in integrating

reports of attentional effects on entrained oscillations in primary sensory areas with high-level

and putatively supramodal processes of the dorsal attention network and the frontoparietal

network.

2. Materials and Methods

2.1 Participants

We recruited 33 participants (15 females; 4 left-handed; mean age: 26.3 years; SD: 7.9 years) for the experiment. Two subjects had to be excluded, the first one because there were problems with the head digitization and the second participant was not able to perform the visual task. All participants had normal or corrected-to-normal eyesight, normal hearing and no neurological disorders. All participants received either a reimbursement of €15 for their time, or if they were Psychology students, they received credits for their participation. All participants signed an informed consent form. The experimental procedure was approved by the Ethics Committee of the University of Salzburg.

2.2 Stimuli

Participants were presented with an auditory and a visual stimulus stream simultaneously in each block. Before the main experiment, a 4-minute training session was carried out for auditory and visual targets separately to determine the perception threshold at which 75% of the target stimuli were detected by the participants. This was achieved using a Bayesian active sampling protocol to estimate the model parameters of the psychometric function (Kontsevich & Tyler, 1999; Sanchez et al., 2016). The procedure was implemented using the VBA toolbox in Matlab (Daunizeau et al., 2014). The procedure was carried out in the same environment and with the same hardware as the final experiment. The visual standard stimuli were black circles with a visual angle of 3.5° on a grey screen. The visual targets were different from the standard stimuli in terms of colour, meaning that according to the adjusted perception threshold a grey circle instead of a black circle was presented. Visual stimuli were back-projected for 25 ms on a translucent screen by a Propixx DLP projector (VPixx technologies, Canada) with a refresh rate of 120 Hz per second and a screen resolution of 1920 x 1080 pixels. The translucent screen was placed ~110 cm in front of the participant and had a size of 74 cm. Auditory standard stimuli were 440 Hz pure tones of 25 ms duration that were

presented binaurally with MEG-compatible in-ear headphones (SOUNDPixx, VPixx

technologies, Canada). The auditory targets were different from the standard tones in

frequency, meaning that the targets were higher. The presented frequency for the targets was

also determined in the aforementioned staircase.

2.3 Procedure

In the main experiment, participants performed 10 blocks of a selective intermodal attention

task (Figure 1). Participants were instructed before each block to attend to either the auditory

stream and detect the deviant tone which was higher while ignoring the simultaneously

presented visual stimuli ("attend auditory") or alternatively, to attend to the visual stream and

detect the deviant circle which was brighter while ignoring the presented auditory stream

("attend visual"). The "attend visual" and "attend auditory" blocks were alternated (see also

Besle et al., 2011). The different stimulus streams were presented with differing SOAs to avoid

having a constant temporal relationship between visual and auditory stimulus streams to allow

for independent tagging of the frequency in the regions of interest (auditory and visual primary

sensory areas, respectively) (see also Lakatos et al., 2016). The visual stream was

programmed to have a 1.8 Hz repetition rate but since our projector was limited to a refresh

rate of 120 Hz, our repetition rate resulted in 1.79 Hz with a SOA of 558.1 ms. For the purpose

of simplification, we from now on refer to the visual stimulation rate as 1.8 Hz. The auditory

stream had a SOA of 625 ms (1.6 Hz repetition rate). These frequencies were chosen to

correspond to the delta frequency range (1-3 Hz) of ongoing brain oscillations to match the

frequencies used by Lakatos et al. (2016) in a similar paradigm. The response time window

matched the SOA between the stimuli (558.1 ms for the visual stream and 625 ms for the

auditory stream, respectively). The responses were given with MEG-compatible response

boxes (ResponsePixx, VPixx technologies, Canada). If the person took longer for a response,

the trial was classified as a miss. All participants were instructed to use their left thumb for

responding. Each run was 4 minutes long, resulting in 384 auditory stimuli and 432 visual

stimuli. Out of those stimuli, 10% were targets (38 and 43 for every block, respectively,

resulting in 215 visual targets and 190 auditory targets for every subject). The whole

experiment lasted about 1.5 hours including preparation and staircase procedure. The

experimental procedure was programmed in Matlab with the Psychtoolbox 3 (Brainard, 1997)

and an additional class-based abstraction layer (https://gitlab.com/thht/th_ptb) programmed

on top of the Psychtoolbox.

Figure 1: Intermodal selective attention task. For the auditory stream (1.6 Hz repetition rate),

blue bars represent standard tones, grey bars represent target tones. For the visual stream

(1.8 Hz repetition rate), red bars represent standard stimuli, black bars represent target stimuli.

Performance was recorded by pushing a button right after target appearance. For visualization

purposes we just depicted the "attend auditory" condition. Since false alarms did not occur

during the experiment, they are not depicted in this figure.

2.4 Data acquisition

Brain activity was measured using a 306-channel whole head MEG system (Neuromag

TRIUX, Elekta) with a sampling rate of 1000 Hz. This system uses 204 planar gradiometers

and 102 magnetometers. Before entering the magnetically shielded room (AK3B,

Vakuumschmelze, Hanau, Germany), the head shape of each participant was acquired using

about 300 digitized points on the scalp was acquired, including fiducials (nasion, left and right

pre-auricular points) with a Polhemus Fastrak system (Polhemus, Vermont, USA). After

acquisition, the continuous MEG data was preprocessed off-line with the signal space

separation method from the Maxfilter software (Elekta Oy, Helsinki, Finland) to correct for

different head positions across blocks and to suppress external interference (Taulu et al.,

2005). The head position of each individual subject relative to the MEG sensors was controlled

once before each experimental block. Additionally, vertical and horizontal eye movement and

electrocardiographic data were recorded and used for artefact detection.

2.5 Data analysis

2.5.1 Preprocessing

Acquired datasets were analysed using the Fieldtrip toolbox (Oostenveld et al., 2011). The

maxfiltered MEG data was highpass-filtered at 0.1 Hz using a finite impulse response (FIR)

filter (Kaiser window, order 36222). For extracting physiological artefacts from the data, 60

principal components were calculated from the high-pass filtered data at 0.1 Hz. Via visual

inspection, the components showing eye-movements, heartbeat and external power noise

from the train (16.67 Hz) were removed from the data. We removed on average 4 components

per subject (SD = 1). To be able to extract the Fourier coefficients for the exact frequency of

interest (1.6 Hz for auditory stimulation and 1.79 Hz for visual stimulation), we chose a window

length of five cycles per frequency of interest (cpf) as this yields the necessary spectral

resolution at low frequencies. We thus extracted 3.125 seconds for each auditory trial and

2.79 seconds for each visual trial, data centered at stimulus onset. The extracted data was

then multiplied by a hanning taper to reduce spectral leakage. Finally, we applied a Fourier

Transform to each of the tapered single trials to obtain the respective complex fourier

coefficients.

2.5.2 Source projection of MEG data

We used a standard structural brain from the Montreal Neurological Institute (MNI, Montreal,

Canada) and warped it into the individual head shape (Polhemus points) to match the

individuals fiducials and head shape reference landmarks as accurately as possible. A 3-D

grid with 1 cm resolution and 2982 voxels based on an MNI template brain was morphed into

the brain volume of each participant. This allows group-level averaging and statistical analysis

as all the grid points in the warped grid belong to the same brain region across subjects. These

aligned brain volumes were also used for computing single-shell head models and leadfields.

By using the leadfields and the common covariance matrix (pooling data from all blocks), a

common LCMV (Veen et al., 1997) beamformer spatial filter was computed. We then applied

the spatial filter to the complex fourier coefficients obtained in the previous step to find the

estimated complex source signal (Bardouille & Ross, 2008). The further analysis was limited

to the frequency band of interest of 1-3 Hz.

2.5.3 ITC analysis

To characterize the magnitude of entrainment across trials, we calculated the intertrial

coherence (ITC) at the respective frequencies of interest for all trials, including hits and misses

(1.6 Hz for "attend auditory" and 1.8 Hz for "attend visual" condition). We therefore extracted

the Fourier coefficient at every voxel and calculated the average of the lengths of the

normalized single-trial vectors, which then results in a single resultant vector. The length of

the resultant vector can reach a number between 0 and 1 and represents the similarity of

phases across trials. Higher values indicate that the phase distribution of the trials at a given

time-point is clustered more closely around the angle of the mean resultant vector, while lower

values indicate that the phase distribution of the trials at that given-time point are not clustered

around the mean resultant vector.

2.5.4 Phase differences

For calculating phase differences between attended and unattended stimulus streams we

used the phase opposition sum (POS) introduced by (VanRullen, 2016). Phase opposition is

defined as the difference in angles between two waves that are oscillating with the same temporal resolution. Maximal phase opposition is reached when at one particular time point the waves show a 180° phase difference. The POS is calculated by using the following formula:

$$POS = ITC_{attend} + ITC_{ignore} - ITC_{all}$$

ITC_{attend} stands for the attended condition (either auditory or visual stimulation) and the ITC_{ignore} stands for the ignored condition (attend auditory – visual stimulation or attend visual – auditory stimulation). ITC_{all} takes into account all the ITC values calculated in the attended and not attended stimulus stream. POS can be similarly interpreted as the PBI (phase bifurcation index) (Busch et al., 2009), meaning that the value will be positive when the ITC of each group is higher than the overall ITC value.

2.6. Statistical analysis

2.6.1. Region-of-interest analysis

We defined our functional regions-of-interest (ROI) by extracting the voxels that reached at least 75% of the maximum ITC value in the "attend auditory" condition and in the "attend visual" condition. The resulting areas corresponded anatomically to the temporal and occipital cortices, respectively (Figure 2a). We then averaged averaged the chosen voxels for every subject. For clarifying the relationship between conditions (factors: "attend" and "ignore"), region of interest (factors: "visual" and "auditory") and stimulation (factors: "visual" and "auditory"), we performed a 2 x 2 x 2 repeated-measures ANOVA with the following factors; CONDITION x ROI x STIMULATION.

2.6.2 Phase opposition sum (POS)

For our frequency of interest (1.6 Hz for the auditory stimulation and 1.8 Hz for the visual

stimulation) we individually computed an ITC value for every voxel. We used a 1 cm grid with

2982 voxels and then used the proposed POS analysis by VanRullen (2016) to compute the

values for each voxel separately. We used a permutation test containing 1000 permutations

where every trial was randomly assigned to the ITC attend or the ITC ignore condition and

after every permutation, the POS was recomputed for every voxel. The final p-value shows

the proportion of permutations with a higher measure than in the original data. After calculating

the p-values on a single-subject basis, we then combined the p-values for every voxel using

the Fisher's method where the p-values are combined in the log domain and it is assumed

that the null hypothesis follows a chi-square distribution. We then applied a Bonferroni

correction on a 0.1% level for the combined p-values.

2.6.3 Modality-independent attention effect

We were interested which regions adjust the frequency of their entrained oscillations relative

to the attentional focus. For this purpose, we first calculated the mean ITC over the attended

streams (in the "attend auditory" condition the values calculated for the auditory trigger (1.6

Hz) and in the "attend visual" condition the values calculated for the visual trigger (1.8 Hz)).

We continued with the same procedure for the ignored stimulation (taking the mean ITC values

from the respective ignored stimulus streams). The following formulas summarize the

computations:

273
$$ITC_{attend} = \frac{(ITC_{attend\ auditory} + ITC_{attend\ visual})}{2}$$

275
$$ITC_{ignore} = \frac{(ITC_{ignore\ auditory} + ITC_{ignore\ visual})}{2}$$

To contrast the ITC values from the attended stimulation with the ITC values from the ignored

stimulation, we compared all voxels using a dependent-samples t-test with Bonferroni

correction to control for multiple comparisons on a 5% level.

3. Results

3.1 ITC values are highest in primary sensory areas for modality specific (auditory or

visual) stimulation

We first performed a descriptive whole brain analysis to investigate which parts of the brain

show the highest entrainment to the stimulation. We found the highest entrainment for the

stimulation frequency in temporal areas (1.6 Hz, ITC_{attend} = 0.478, MNI coordinates [70.0 -

20.0 20.0]) and occipital areas (1.8 Hz, ITC_{attend} = 0.473, MNI coordinates [-20.0 -100.0 0.0])

(Figure 2a). These areas of visual and auditory cortex remain actively entrained while input

from the matched sensory modality is ignored, albeit at a somewhat lower level (ITC_{ignore} =

0.428 in temporal areas (Figure 2c) and ITC_{ignore} = 0.328 in occipital areas (Figure 2d)),

indicating that the temporal features of the input are still faithfully tracked, even when not

attended to. As expected, there was low entrainment to visual stimulation in the auditory cortex

(ITC_{ignore} = 0.079 (Figure 2c), ITC_{attend} = 0.132 (Figure 2d)) and the same low entrainment

pattern in the visual cortex for auditory stimulation (ITC_{attend} = 0.092 (Figure 2c), ITC_{ignore} =

0.077). Descriptive inspection revealed slightly higher entrainment for the attended not-

matching modality, especially in auditory areas, where attending to visual stimulation also lead

to higher entrainment in auditory cortex. For descriptive purposes, all values in Figures 2c and

2d described for sensory modalities are from the voxel with the highest value in the "attend"

condition.

Figure 2: Entrainment effect in primary sensory areas. A) Comparison of the means of the attended stimulation (red line = auditory and blue line = visual) for voxels reaching at least a threshold of 75% of the maximum ITC value extracted from temporal areas (for auditory stimulation, 1.6 Hz) and from occipital areas (for visual stimulation, 1.8 Hz). Both areas show similar ITC when attending to the preferred stimulation. B) Comparison of the means of the ignored stimulation (red line = auditory, blue line = visual) for voxels reaching at least a threshold of 75% of the maximum ITC value extracted from temporal areas (for auditory stimulation, 1.6 Hz) and from occipital areas (for visual stimulation, 1.8 Hz). Both areas show a tendency to also track ignored stimulation, but less pronounced for the visual stimulation. C) Differences between auditory (1.6 Hz, red bars) and visual (1.8 Hz, blue bars) stimulation depicted for the voxel with the highest ITC value in the "attend auditory" condition extracted from temporal areas for the auditory ROI and from occipital areas for the visual ROI. High entrainment in temporal areas when attending to auditory stimulation (1.6 Hz), but also high

entrainment in occipital areas when ignoring the simultaneously presented visual stimulation

(1.8 Hz). D) Differences between auditory (1.6 Hz, red bars) and visual (1.8 Hz, blue bars)

stimulation depicted for the voxel with the highest ITC value in the "attend visual" condition

extracted from occipital areas for the auditory ROI and from auditory areas for the visual ROI.

High entrainment in occipital areas when attending to visual stimulation (1.8 Hz, blue), but also

high entrainment in temporal areas when ignoring the simultaneously presented auditory

stimulation (1.6 Hz, red). Error bars represent 1 SEM.

To statistically assess differences depicted in Figure 2, we performed a repeated-measures

ANOVA with the factors CONDITION x ROI x STIMULATION. We extracted the voxels

depicted in Figure 2a (for both auditory and visual stimulation) and averaged them for

statistical analyses. We found a significant difference between conditions ("attend" vs.

"ignore": $F_{(1, 30)}$ = 35.19, *p = 1.04e-08*, Figure 3a) and a significant difference between auditory

and visual ROIs ($F_{(1, 30)}$ = 4.52, *p = 0.035*, Figure 3b). We also found a clear interaction effect

for stimulation and ROI, ($F_{(1, 30)}$ = 652.05, *p < 2e-16*), showing that there is high entrainment

in auditory cortex for auditory stimulation and high entrainment in visual cortex for visual

stimulation (Figure 3c). Furthermore, we found a significant interaction effect between

condition and stimulation ($F_{(1, 30)}$ = 7.45, *p = 0.007*) depicted in Figure 3d, which shows after

further analysis (ANOVA with the factors CONDITION x STIMULATION) that while for the

auditory stimulation there was smaller, but still significant difference between the attended and

ignored condition ($F_{(1, 30)}$ = 4.48, *p = 0.036*), there was a big and significant difference for the

visual stimulation depending on the condition ($F_{(1, 30)}$ = 43.91, *p = 1.03e-09*). This shows that

the brain entrains differentially to the attended or ignored stimulation, but this distinction is

more prominent in the visual domain. This also suggests that the auditory cortex shows a

tendency to temporally align activity to visual information when attended (e.g. Besle et al.,

2011). Overall, our results show that sensory cortices entrain to rhythmic sensory input

regardless of whether the input is attended or not, but there is increased entrainment of the

attended stimulation. Interestingly, we find higher differences between the "attend visual" and

the "ignore visual" condition independent of cortical regions, suggesting that the auditory

cortex also shows modulation at the visual frequency. To explore this further, we investigated

phase differences for different modalities separately.

Figure 3: Main effects and interactions of the repeated measures ANOVA. A) Significant main

effect for attended vs. ignored stimulation. Higher ITC values when participants are instructed

to attend to a certain stimulation. B) Higher ITC values in the auditory ROI in general

regardless of stimulation or condition. C) Significant interaction between stimulation (auditory

and visual) and ROI. High ITC values in auditory ROI and low ITC values in visual ROI when

presenting auditory stimulation, while having high ITC values in visual ROI and low ITC values

in auditory ROI when presenting visual stimulation. D) Significant interaction between

conditions ("attend" and "ignore") and stimulation. Low differences in the conditions when

presenting auditory stimulation, but high differences in conditions when presenting visual

stimulation. Error bars represent 1 SEM for within-subject designs (Morey, 2008).

3.2 Attention modulates phase in primary sensory areas.

After finding entrainment effects most prominent in sensory areas, we were interested in how

attention shapes the phase of slow oscillations in the brain. It has been previously established

in primate studies that shifting the attentional focus also results in prominent phase shifts

between the attended and ignored stimulation (e.g. Lakatos et al., (2016), Lakatos et al.,

(2013)), but for non-invasively recorded data there hasn't been well established evidence yet,

especially in the visual domain. Using the fine temporal resolution of MEG, oscillatory

responses to attended and ignored stimulus streams were extracted and compared. Figure 4a

and 4c depict the evoked response time courses for a single subject in auditory and visual

cortex respectively. Descriptively these results are similar to monkey data, showing a clear

~180° phase shift for attended and ignored stimulation both in the auditory condition and in

the visual condition when extracting the voxels with the most significant p-value. For a group-

level analysis, we calculated the phase opposition sum (POS) proposed by VanRullen (2016)

on a single subject basis and then calculated the combined p-value over all subjects for each

voxel separately. The POS contrasts the attended and ignored ITC values separately for

auditory and visual stimulation. After Bonferroni correction, we still found significant

differences on a whole brain level so we decided to conservatively lower the significance

threshold to the lowest 1% of the observed p-values (separately for auditory and visual

stimulation). This procedure revealed strongest phase differences over primary sensory areas.

These phase differences were most prominent in the left superior temporal sulcus (MNI

coordinates [-60.0 -30.0 10.0], Figure 4b) for the auditory stimulation ($p = 8.5141e\text{-}50$). and

most prominent for visual stimulation ($p = 5.073e\text{-}61$) in right occipital areas (MNI coordinates

[10.0 -90.0 10.0], Figure 4d). Interestingly, this depiction also reveals strong POS effects in

 sensorimotor cortex for the auditory stimulation, underlining the involvement of these regions

 in auditory rhythm processing (Chen et al., 2006).

Figure 4: Visualization of phase opposition and source maps of p-values calculated for every voxel for respective stimulation frequency. A) Time series of the most significant voxel showing ~180° phase opposition during auditory stimulation for one subject. Dark red line shows time series for the attended auditory stimulation, light red line shows time series for the ignored auditory stimulation. B) Brain plots show most significant phase opposition for the auditory stimulation present in voxels over left temporal areas and in the left superior temporal sulcus on a group level (MNI coordinates [-60.0 -30.0 10.0]). Marked (orange) voxels show the lowest 1% of significant p-values. C) Time series of the most significant voxel also showing ~180° phase opposition during visual stimulation for one subject. Dark blue line shows time series for the attended visual stimulation, light blue line shows time series for the ignored visual stimulation. D) Brain plots show most significant phase opposition for visual stimulation in

voxels over occipital areas on a group level (MNI coordinates [10.0 -90.0 10.0]). Marked

(orange) voxels show the lowest 1% of significant p-values.

3.3 Fronto-parietal areas adjust entrained oscillation frequency in a supramodal

manner.

While sensory regions exhibited attentional modulations at frequencies used for entrainment,

they were overall modality specific. The general role of the dorsal attention network in

mediating top-down guided attention to stimulus features together with the fronto-parietal

network being responsible for attentional control would imply a flexible supramodal process.

Here we tested in a data-driven manner the existence of regions that adjust their slow

oscillatory dynamics flexibly to the temporal and modality-specific structure of external input.

We used the formula explained in 2.6.3. with which we calculated the mean over the attended

and ignored ITC values independent from modalities and applied a dependent samples t-Test

with Bonferroni correction over all voxels to compare the "attend" condition with the "ignore"

condition. To illustrate that the entrainment effect for attention is corresponding to the dorsal

attention network by Corbetta and colleagues (2008), we marked all the voxels showing an

overlap with the dorsal attention network as reported in the parcellation approach by Gordon

et al. (2016). Additionally, we investigated the activation in voxels overlapping with the

frontoparietal network. These proposed areas involve in particular the frontal eye fields (FEF),

the intraparietal sulcus (IPS), the anterior cingulate cortex (ACC) and the dorsolateral

prefrontal cortex (DLPFC). Significant differences between the attended and ignored

stimulation were found in a distributed set of regions, with maximum effects in parietal and

frontal areas (highest *t*-value: $t(30) = 9.538$, $p < 0.000$, MNI coordinates [20.0 -50.0 60.0]). We

find clear overlaps in intraparietal areas and the frontal eye field (Figure 5a and Figure 5b)

which also show strongest t-values in our statistical analysis. Significant t-values overlap with

17.8% of the proposed dorsal attention network and with 15.4% of the frontoparietal network,

while only 8.5% and 2% of the significant voxels overlap with the default network and the

ventral attention network, respectively (Figure 5). This result shows that distinct areas entrain

flexibly to the endogenously attended stimulation rate independent of the sensory modality.

Plotting an average over all significant voxels corresponding to the dorsal attention network

(Figure 5c) and to the frontoparietal network (Figure 5d) revealed in total lower entrainment

values compared to entrainment in sensory cortices for the corresponding modality (see

Figure 2a and b). Still, voxels in the dorsal attention network and the frontoparietal network

show significantly higher entrainment for the attended stimulation. This higher entrainment is

not specific for one modality, providing evidence for a modality-independent processing of

sensory input in high-order areas.

Figure 5: Main attention effect across conditions and comparison between brain areas. A)
Different networks from the atlas proposed by Gordon et al. (2016). Shown are the dorsal
attention network, the ventral attention network, the default network and the frontoparietal
network. B) Comparison of attended and ignored stimulation independent from sensory

modality (dependent samples t-Test, Bonferroni corrected). Highest value over right parietal

areas, with expansion to frontal areas. Blue lines indicate overlap with the dorsal attention

network, red lines indicate overlap with the frontoparietal network. C) Differences between

"attend" and "ignore" condition depicted for voxels overlapping with the frontoparietal network

(1.6 Hz, auditory, and 1.8 Hz, visual, respectively). D) Differences between "attend" and

"ignore" condition depicted for voxels overlapping with the frontoparietal network (1.6 Hz,

auditory, and 1.8 Hz, visual, respectively). Error bars represent 1 SEM for within-subject

designs (Morey, 2008).

4. Discussion

The current results provide insights into the differential impact of endogenous attention on entrained oscillations of sensory regions and areas of the dorsal attention network (Corbetta & Shulman, 2002) and the frontoparietal network. As expected, entrainment is strongest in visual and auditory areas for the respective stimulation modality, independent of attention. However, an attentional modulation of phase locking for the stimulation rate of the visual input was also observed in auditory areas, while the reverse does not hold true for visual cortex. In accordance with the primate literature (Lakatos et al., 2013, 2016; O'Connell et al., 2014) attending to or ignoring a stimulus leads to an opposite phase in primary sensory areas for the specific input modality. Despite the small ITC effect in auditory cortex at the visual stimulation rate, the attentional effects in primary sensory areas were largely modality specific. Going beyond previous reports, we show that core areas of the dorsal attention network and the frontoparietal network flexibly adjust their entrained frequency to the attended stimulation independent from sensory input. This flexible adaptation is likely crucial in optimizing sensory processing of the selected input.

4.1 Entrainment is amplified by attention in primary sensory areas in a largely modality-specific manner

Calculating the grand average over all subjects and all voxels revealed as expected highest entrainment in primary sensory areas (Figure 2). We also found the highest modulation of entrainment for the respective stimulation frequency (1.6Hz for auditory stimulation over temporal areas and 1.8 Hz for visual stimulation over occipital areas), which is in line with previous intersensory attention tasks conducted with both human individuals and with primates (Cravo et al., 2013; Gomez-Ramirez et al., 2011; Lakatos et al., 2008, 2009). Even with day to day multi-sensory input such as that from movies, sensory areas are able to entrain to the low-frequency properties of the stimulation. Interestingly, the auditory cortex shows an increase at the visual stimulation rate when visual input is attended to, indicating that it also

tracks temporal aspects of the visual input. This result is in line with other studies showing an

effect of visual input on auditory processing (Besle et al., 2011; Lakatos et al., 2016; Luo et

al., 2010), proposing a crossmodal system for integrating different properties of the signal

(Ghazanfar et al., 2005). Another feature that has been shown to be specific for the auditory

cortex is the processing of rhythmicity (Zatorre et al., 2007). Synchronization of internal

oscillatory properties to external stimuli in both the auditory cortex and motor cortex is

important, especially in complex musical performances, (Chen et al., 2006), suggesting that

both the auditory and motor cortex process the rhythmicity of environmental cues independent

from modality to sample sensory input for optimal perception. However, in light of the results

described for frontoparietal regions, it should be emphasized that despite the attentional

increase of ITC at the visual stimulation rate in auditory cortex, the values are still only a

fraction of the ITC to the auditory stimulation rate and this is so even when only considering

the ignored condition (ITC_{ignore} = 0.428 for auditory stimulation vs. ITC_{attend} = 0.132 for visual

stimulation in auditory cortex). Thus, the overall strength of entrainment (assessed via ITC) in

sensory regions shows a clear preference for the corresponding input modality and this

response is amplified by attention.

4.2 Attention adjusts low-frequency phase in primary sensory areas

Further to an amplification of sensory responses, and given the predictable rhythmicity of the

stimulation, selective attention can also exploit temporal information to optimally align

excitable phases according to the occurence of the prioritized or ignored features. This has

previously been shown in invasive recordings to lead to marked phase alignment of entrained

frequencies in primary sensory regions (Lakatos et al., 2008, 2016). These phasic modulations

provide an optimal processing window and differentiate between perception and non-

perception (Lakatos et al., 2007, 2009; Monto et al., 2008; Schroeder et al., 2010) and can

also lead to faster reaction times (Stefanics et al., 2010). Calculating the POS for attended

and ignored stimulation on a group-level basis revealed significant phase differences which

were most prominent over corresponding sensory cortices on a group-level. The findings are

in line with literature on recordings from monkey cortices (Lakatos et al., 2009, 2016;

O'Connell et al., 2014) where counter-phase entrainment depending on attention has been

reported. Shifts of entrained oscillatory rhythms by attention has been reported in occipital

areas in nonhuman primates (Lakatos et al., 2008) further supporting the same mechanism

for attentional selection in visual and auditory modalities (for invasive recordings in humans

see Besle et al. (2011)). Until now, studies looking at low-frequency phase modulations in

primary sensory areas mostly focused on one modality-specific region and mainly on phasic

modulations in auditory cortex using invasive recordings (Lakatos et al., 2008). Our study non-

invasively captures the attentional phase adjustment of entrained oscillations simultaneously

in auditory and visual cortex, underlining previous reports that this mechanism is a versatile

process across sensory modalities when prioritizing attended information. Going beyond the

previous invasive studies, our work points to attentional phase adjustment effects also in

sensorimotor cortex with respect to the auditory stimulation. This effect is compatible with

models that hold an important role of motor-cortical areas in processing of auditory rhythm

information (Zatorre et al., 2007). To what extent this motor effect is functionally relevant in

the context of this task will be an interesting question for future studies.

4.3 The dorsal attention system flexibly adjusts its entrained frequency to the selected

modality

Our previous results show that with regards to the magnitude and phase of entrained

oscillations, attentional modulations in sensory regions are largely modality specific. Flexible

endogenous selection of attended features across different sensory modalities would however

require supramodal processes. Here we show for the first time that core regions of the dorsal

attention network (DAN) and the frontoparietal network differentially entrain to attended

temporal properties of sensory stimulation. While the right intraparietal sulcus, (involved in

orientation to person, place, and time), registers salient events in the environment not only in

the visual, but also in the auditory and tactile modalities (Downar et al., 2000; Lee et al., 2014),

the inferior parietal lobe seems to be responsible for attention shifting, switching and the

maintenance of attention (Ptak, 2012). Even when shifting attention voluntarily between visual

or auditory input, the brain shows increased activation in posterior parietal and superior

prefrontal cortices (Shomstein & Yantis, 2004), highlighting the importance of those areas for

attentional control functions. These findings are supported and most importantly extended by

our results (Figure 5) as we show entrainment to exogenous stimulation in parietal and frontal

areas corresponding to the dorsal attention system (Corbetta et al., 2008) and the

frontoparietal network (Marek & Dosenbach, 2018). Our results add to previous reports by

showing that the regions of key attentional networks can flexibly switch between modalities

and tune their dominant tracking speed to the to-be-attended frequency, further supporting

optimal stimulus processing. This seems to be of particular importance as the delta frequency

is the basis for modulating faster rhythms in the brain (Lakatos et al., 2005; Schroeder et al.,

2008). We argue that slow-frequency modulations play a crucial role even in non-sensory

regions for the modulation of faster frequencies guiding attention (Szczepanski et al., 2014).

Here we extend the findings from visuospatial attention tasks (Szczepanski et al., 2013) to the

audiovisual domain, showing that frontoparietal regions can also flexibly entrain to temporal

properties of behaviourally relevant information.

5. Conclusion

The present study confirms and extends previous studies showing that attention can act on the frequency and phase of entrained oscillations. Critically, we show a differential pattern for primary sensory regions and non-sensory attentional systems. While selective attention modulated the strength and phase of entrained oscillations in a largely modality-specific manner in primary sensory areas, frontoparietal regions (including the DAN) in general flexibly adjusted the frequency of the entrained oscillation to the selected sensory modality. Whereas our study used highly artificial stimulus settings (for a review on similar experiments see Calderone et al. (2014)), it will be interesting in future studies to scrutinize rich conditions with more naturalistic stimuli like communication. Further investigating naturalistic human interaction is needed to understand how attention is extracting relevant information when presented with more sophisticated input.

6. References

Alho, K., Woods, D. L., Algazi, A., & Näätänen, R. (1992). Intermodal selective attention. II.

Effects of attentional load on processing of auditory and visual stimuli in central

space. *Electroencephalography and Clinical Neurophysiology*, *82*(5), 356–368.

https://doi.org/10.1016/0013-4694(92)90005-3

Bardouille, T., & Ross, B. (2008). MEG imaging of sensorimotor areas using inter-trial

coherence in vibrotactile steady-state responses. *NeuroImage*, *42*(1), 323–331.

https://doi.org/10.1016/j.neuroimage.2008.04.176

Besle, J., Schevon, C. A., Mehta, A. D., Lakatos, P., Goodman, R. R., McKhann, G. M.,

Emerson, R. G., & Schroeder, C. E. (2011). Tuning of the human neocortex to the

temporal dynamics of attended events. *Journal of Neuroscience*, *31*(9), 3176–3185.

https://doi.org/10.1523/JNEUROSCI.4518-10.2011

Bidet-Caulet, A., Fischer, C., Besle, J., Aguera, P.-E., Giard, M.-H., & Bertrand, O. (2007).

Effects of Selective Attention on the Electrophysiological Representation of

Concurrent Sounds in the Human Auditory Cortex. *Journal of Neuroscience*, *27*(35),

9252–9261. https://doi.org/10.1523/JNEUROSCI.1402-07.2007

Brainard, D. H. (1997). The psychophysics toolbox. *Spatial Vision*, *10*(4), 433–436.

https://doi.org/10.1163/156856897X00357

Busch, N. A., Dubois, J., & VanRullen, R. (2009). The phase of ongoing EEG oscillations

predicts visual perception. *The Journal of Neuroscience,* *29*(24), 7869–7876.

https://doi.org/10.1523/JNEUROSCI.0113-09.2009

Buschman, T. J., & Miller, E. K. (2007). Top-Down Versus Bottom-Up Control of Attention in

the Prefrontal and Posterior Parietal Cortices. *Science*, *315*(5820), 1860–1862.

https://doi.org/10.1126/science.1138071

Calderone, D. J., Lakatos, P., Butler, P. D., & Castellanos, F. X. (2014). Entrainment of

neural oscillations as a modifiable substrate of attention. *Trends in Cognitive*

Sciences, *18*(6), 300–309. https://doi.org/10.1016/j.tics.2014.02.005

Chen, J. L., Zatorre, R. J., & Penhune, V. B. (2006). Interactions between auditory and

dorsal premotor cortex during synchronization to musical rhythms. *NeuroImage*,

32(4), 1771–1781. https://doi.org/10.1016/j.neuroimage.2006.04.207

Corbetta, M., Patel, G., & Shulman, G. L. (2008). The Reorienting System of the Human

Brain: From Environment to Theory of Mind. *Neuron*, *58*(3), 306–324.

https://doi.org/10.1016/j.neuron.2008.04.017

Corbetta, M., & Shulman, G. L. (2002). Control of goal-directed and stimulus-driven attention

in the brain. *Nature Reviews Neuroscience*, *3*(3), 201–215.

https://doi.org/10.1038/nrn755

Cravo, A. M., Rohenkohl, G., Wyart, V., & Nobre, A. C. (2013). Temporal Expectation

Enhances Contrast Sensitivity by Phase Entrainment of Low-Frequency Oscillations

in Visual Cortex. *Journal of Neuroscience*, *33*(9), 4002–4010.

https://doi.org/10.1523/JNEUROSCI.4675-12.2013

Daunizeau, J., Adam, V., & Rigoux, L. (2014). VBA: A Probabilistic Treatment of Nonlinear

Models for Neurobiological and Behavioural Data. *PLOS Computational Biology*,

10(1), e1003441. https://doi.org/10.1371/journal.pcbi.1003441

Downar, J., Crawley, A. P., Mikulis, D. J., & Davis, K. D. (2000). A multimodal cortical

network for the detection of changes in the sensory environment. *Nature*

Neuroscience, *3*(3), 277. https://doi.org/10.1038/72991

Gazzaley, A., & Nobre, A. C. (2012). Top-down modulation: Bridging selective attention and

working memory. *Trends in Cognitive Sciences*, *16*(2), 129–135.

https://doi.org/10.1016/j.tics.2011.11.014

Ghazanfar, A. A., Maier, J. X., Hoffman, K. L., & Logothetis, N. K. (2005). Multisensory

Integration of Dynamic Faces and Voices in Rhesus Monkey Auditory Cortex. *Journal*

of Neuroscience, *25*(20), 5004–5012. https://doi.org/10.1523/JNEUROSCI.0799-

05.2005

Gomez-Ramirez, M., Kelly, S. P., Molholm, S., Sehatpour, P., Schwartz, T. H., & Foxe, J. J.

(2011). Oscillatory Sensory Selection Mechanisms during Intersensory Attention to

Rhythmic Auditory and Visual Inputs: A Human Electro-Corticographic Investigation. *The Journal of Neuroscience*, *31*(50), 18556–18567. https://doi.org/10.1523/JNEUROSCI.2164-11.2011

Kontsevich, L. L., & Tyler, C. W. (1999). Bayesian adaptive estimation of psychometric slope and threshold. *Vision Research*, *39*(16), 2729–2737. https://doi.org/10.1016/S0042-6989(98)00285-5

Lakatos, P., Barczak, A., Neymotin, S. A., McGinnis, T., Ross, D., Javitt, D. C., & O'Connell, M. N. (2016). Global dynamics of selective attention and its lapses in primary auditory cortex. *Nature Neuroscience*, *19*(12), 1707–1717. https://doi.org/10.1038/nn.4386

Lakatos, P., Chen, C.-M., O'Connell, M. N., Mills, A., & Schroeder, C. E. (2007). Neuronal oscillations and multisensory interaction in primary auditory cortex. *Neuron*, *53*(2), 279–292. https://doi.org/10.1016/j.neuron.2006.12.011

Lakatos, P., Karmos, G., Mehta, A. D., Ulbert, I., & Schroeder, C. E. (2008). Entrainment of neuronal oscillations as a mechanism of attentional selection. *Science*, *320*(5872), 110–113. https://doi.org/10.1126/science.1154735

Lakatos, P., Musacchia, G., O'Connel, M. N., Falchier, A. Y., Javitt, D. C., & Schroeder, C. E. (2013). The Spectrotemporal Filter Mechanism of Auditory Selective Attention. *Neuron*, *77*(4), 750–761. https://doi.org/10.1016/j.neuron.2012.11.034

Lakatos, P., O'Connell, M. N., Barczak, A., Mills, A., Javitt, D. C., & Schroeder, C. E. (2009). The Leading Sense: Supramodal Control of Neurophysiological Context by Attention. *Neuron*, *64*(3), 419–430. https://doi.org/10.1016/j.neuron.2009.10.014

Lakatos, P., Shah, A. S., Knuth, K. H., Ulbert, I., Karmos, G., & Schroeder, C. E. (2005). An Oscillatory Hierarchy Controlling Neuronal Excitability and Stimulus Processing in the Auditory Cortex. *Journal of Neurophysiology*, *94*(3), 1904–1911. https://doi.org/10.1152/jn.00263.2005

Lee, A. K. C., Larson, E., Maddox, R. K., & Shinn-Cunningham, B. G. (2014). Using neuroimaging to understand the cortical mechanisms of auditory selective attention.

Hearing Research, *307*, 111–120. https://doi.org/10.1016/j.heares.2013.06.010

Luo, H., Liu, Z., & Poeppel, D. (2010). Auditory Cortex Tracks Both Auditory and Visual

Stimulus Dynamics Using Low-Frequency Neuronal Phase Modulation. *PLOS*

Biology, *8*(8), e1000445. https://doi.org/10.1371/journal.pbio.1000445

Marek, S., & Dosenbach, N. U. F. (2018). The frontoparietal network: Function,

electrophysiology, and importance of individual precision mapping. *Dialogues in*

Clinical Neuroscience, *20*(2), 133–140.

Mehta, A. D., Ulbert, I., & Schroeder, C. E. (2000). Intermodal Selective Attention in

Monkeys. I: Distribution and Timing of Effects across Visual Areas. *Cerebral Cortex*,

10(4), 343–358. https://doi.org/10.1093/cercor/10.4.343

Monto, S., Palva, S., Voipio, J., & Palva, J. M. (2008). Very Slow EEG Fluctuations Predict

the Dynamics of Stimulus Detection and Oscillation Amplitudes in Humans. *Journal*

of Neuroscience, *28*(33), 8268–8272. https://doi.org/10.1523/JNEUROSCI.1910-

08.2008

Obleser, J., & Kayser, C. (2019). Neural Entrainment and Attentional Selection in the

Listening Brain. *Trends in Cognitive Sciences*, *23*(11), 913–926.

https://doi.org/10.1016/j.tics.2019.08.004

O'Connell, M. N., Barczak, A., Schroeder, C. E., & Lakatos, P. (2014). Layer Specific

Sharpening of Frequency Tuning by Selective Attention in Primary Auditory Cortex.

Journal of Neuroscience, *34*(49), 16496–16508.

https://doi.org/10.1523/JNEUROSCI.2055-14.2014

Oostenveld, R., Fries, P., Maris, E., & Schoffelen, J.-M. (2011). FieldTrip: Open source

software for advanced analysis of MEG, EEG, and invasive electrophysiological data.

Intell. Neuroscience, *2011*, 1:1–1:9. https://doi.org/10.1155/2011/156869

Ptak, R. (2012). The Frontoparietal Attention Network of the Human Brain: Action, Saliency,

and a Priority Map of the Environment. *The Neuroscientist*, *18*(5), 502–515.

https://doi.org/10.1177/1073858411409051

Sanchez, G., Lecaignard, F., Otman, A., Maby, E., & Mattout, J. (2016). Active SAmpling

Protocol (ASAP) to Optimize Individual Neurocognitive Hypothesis Testing: A BCI-

Inspired Dynamic Experimental Design. *Frontiers in Human Neuroscience*, *10*.

https://doi.org/10.3389/fnhum.2016.00347

Schroeder, C. E., Lakatos, P., Kajikawa, Y., Partan, S., & Puce, A. (2008). Neuronal

oscillations and visual amplification of speech. *Trends in Cognitive Sciences*, *12*(3),

106–113. https://doi.org/10.1016/j.tics.2008.01.002

Schroeder, C. E., Wilson, D. A., Radman, T., Scharfman, H., & Lakatos, P. (2010).

Dynamics of active sensing and perceptual selection. *Current Opinion in*

Neurobiology, *20*(2), 172–176. https://doi.org/10.1016/j.conb.2010.02.010

Shulman, G. L., Pope, D. L. W., Astafiev, S. V., McAvoy, M. P., Snyder, A. Z., & Corbetta, M.

(2010). Right Hemisphere Dominance during Spatial Selective Attention and Target

Detection Occurs Outside the Dorsal Frontoparietal Network. *Journal of*

Neuroscience, *30*(10), 3640–3651. https://doi.org/10.1523/JNEUROSCI.4085-

09.2010

Stefanics, G., Hangya, B., Hernádi, I., Winkler, I., Lakatos, P., & Ulbert, I. (2010). Phase

Entrainment of Human Delta Oscillations Can Mediate the Effects of Expectation on

Reaction Speed. *Journal of Neuroscience*, *30*(41), 13578–13585.

https://doi.org/10.1523/JNEUROSCI.0703-10.2010

Szczepanski, S. M., Crone, N. E., Kuperman, R. A., Auguste, K. I., Parvizi, J., & Knight, R. T.

(2014). Dynamic Changes in Phase-Amplitude Coupling Facilitate Spatial Attention

Control in Fronto-Parietal Cortex. *PLOS Biology*, *12*(8), e1001936.

https://doi.org/10.1371/journal.pbio.1001936

Szczepanski, S. M., Pinsk, M. A., Douglas, M. M., Kastner, S., & Saalmann, Y. B. (2013).

Functional and structural architecture of the human dorsal frontoparietal attention

network. *Proceedings of the National Academy of Sciences*, *110*(39), 15806–15811.

https://doi.org/10.1073/pnas.1313903110

Taulu, S., Simola, J., & Kajola, M. (2005). Applications of the signal space separation

method. *IEEE Transactions on Signal Processing*, *53*(9), 3359–3372.

https://doi.org/10.1109/TSP.2005.853302

VanRullen, R. (2016). How to Evaluate Phase Differences between Trial Groups in Ongoing

Electrophysiological Signals. *Frontiers in Neuroscience, 10.*

https://doi.org/10.3389/fnins.2016.00426

Veen, B. D. V., Drongelen, W. V., Yuchtman, M., & Suzuki, A. (1997). Localization of brain

electrical activity via linearly constrained minimum variance spatial filtering. *IEEE*

Transactions on Biomedical Engineering, *44*(9), 867–880.

https://doi.org/10.1109/10.623056

Wang, L., Liu, X., Guise, K. G., Knight, R. T., Ghajar, J., & Fan, J. (2009). Effective

Connectivity of the Fronto-parietal Network during Attentional Control. *Journal of*

Cognitive Neuroscience, *22*(3), 543–553. https://doi.org/10.1162/jocn.2009.21210

Zatorre, R. J., Chen, J. L., & Penhune, V. B. (2007). When the brain plays music: Auditory–

motor interactions in music perception and production. *Nature Reviews*

Neuroscience, *8*(7), 547–558. https://doi.org/10.1038/nrn2152