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3 Synthetic mycobacterial molecular patterns work synergistically to recapitulate complete

- 4 Freund's adjuvant
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- 27
- 28 Abstract
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Complete Freund's adjuvant (CFA) has historically been one of the most useful tools of 30 immunologists. Essentially comprised of dead mycobacteria and mineral oil, we asked ourselves 31 32 what is special about the mycobacterial part of this adjuvant, and could it be recapitulated synthetically? Here, we demonstrate the essentiality of *N*-glycolylated peptidoglycan plus 33 trehalose dimycolate (both unique in mycobacteria) for the complete adjuvant effect using 34 35 knockouts and chemical complementation. A combination of synthetic N-glycolyl muramyl dipeptide and minimal trehalose dimycolate motif GlcC14C18 was able to induce experimental 36 autoimmunity qualitatively similar to the whole mycobacteria in CFA. This research outlines 37 38 how to replace CFA with a consistent, molecularly defined adjuvant which may inform the design of immunotherapeutic agents and vaccines benefitting from cell-mediated immunity. We 39

- also anticipate using synthetic microbe-associated molecular patterns (MAMPs) to study 40
- mycobacterial immunity and immunopathogenesis. 41
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47 Introduction

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49 Infection with Mycobacterium tuberculosis, or administration of bacille Calmette-Guérin 50 (BCG), normally leads to cell-meditated immunity (CMI) to the corresponding bacterial antigens. The tuberculin skin test is positive when the immune response, a type IV 51 52 hypersensitivity reaction or delayed-type hypersensitivity (DTH), occurs to tuberculin (a protein 53 extract of *M. tuberculosis*). This "cutaneous sensitivity" was closely examined in the 1940s 54 using complete Freund's adjuvant (CFA, heat-killed M. tuberculosis in mineral oil plus surfactant)^{1,2}. These studies provided the first direct evidence for the cellular nature of DTH by 55 56 transfer from a CFA-immunized guinea pig to a naïve one only through the washed, heat-liable cellular fraction of peritoneal exudates 1,2 . It is now known that DTH is mediated specifically by 57 58 antigen-sensitive T cells. 59

60 Today, CFA is a 'gold standard' adjuvant for eliciting CMI in research models of autoimmune disease. Notable is the experimental autoimmune encephalomyelitis (EAE) model 61 of T-cell meditated destruction of myelin causing ascending paralysis, used most often to model 62 multiple sclerosis ^{3,4}. CFA is not used in humans because of high reactogenicity ⁵. We have 63 asked ourselves: what was the impetus for Jules Freund to develop his eponymous adjuvant with 64 65 *M. tuberculosis*? For those conducting TB research, it is well appreciated that handling *M*. 66 tuberculosis (a slow growing, clumping, fastidious, and lethally pathogenic organism) is a significant task per se. So, why did Freund choose to incorporate these bacteria? 67

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69 Effort has been made to describe the microbe-associated molecular patterns (MAMPs) in mycobacteria that drive the adjuvant effect. Evidence has pointed to mycobacterial 70 peptidoglycan (PGN), specifically down to the molecular motif muramyl dipeptide (MDP)^{6,7}. 71 Mycobacteria are distinct in that they produce the N-glycolyl MDP motif in their cell wall^{8, 9, 10} 72 73 and this PGN modification has been shown to be more potent compared to the common N-acetyl MDP motif possessed by other bacteria^{7,11}. MDP is thought to be recognized through the host 74 molecule NOD2¹², and mutations in NOD2 predispose humans to increased risk of mycobacterial and inflammatory diseases^{13, 14, 15, 16}. Alternatively, others have pointed to the 75 76 mycobacterial cell wall lipid trehalose-6,6-dimycolate (TDM) alone or synergistically with 77 purified peptidoglycan¹⁷. TDM is recognized by the host with the C-type lectin Mincle in 78 concert with FcR γ and MCL^{18, 19}. TDM has been demonstrated in animal models to alone be 79 sufficient for granuloma formation and immunopathological responses ^{18, 20}. 80 81

Both N-glycolyl MDP and TDM are MAMPs unique to mycobacteria. Their role in 82 mycobacterial immune responses is supported by the literature and their host receptors are well-83 known. Additionally, *N*-glycolyl MDP is producible synthetically ^{7, 21, 22}. Recently a minimal 84 motif of TDM called GlcC14C18 was produced synthetically and shown to retain adjuvancy but 85 with minimal toxicity²³. As a complex biologic, CFA is subjected to batch inconsistency. We 86 hypothesized that it is possible to create an entirely synthetic CFA using a rational approach to 87 identify essential MAMPs to replace the whole mycobacteria in the adjuvant. In this work, we 88 establish the necessity for *N*-glycolylation of PGN, NOD2 and Mincle for full CFA adjuvancy. 89 We also demonstrate that the mycobacteria in CFA can be replaced with synthetic *N*-glycolyl 90 91 MDP and GlcC14C18 to at least partially restore the adjuvant effect in both a murine model of antigen-specific T-cell immunity as well as the EAE model of autoimmune ascending paralysis. 92

MAMPs worked synergistically, highlighting the need for considering more than one MAMP in 93

94 adjuvant design. An entirely synthetic adjuvant may benefit animal experiments currently using

95 CFA as well as indicate how to translate the CFA effect into products for human use. We

N-glycolylation of PGN is required for complete mycobacterial adjuvancy

96 suggest such synthetics may be used to dissect immuno-pathogenesis of mycobacterial diseases.

- 97
- 98 **Results** 99
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102 Previous work from our group indicated mycobacteria required N-glycolylated PGN to elicit a maximal immune response during live infection ^{7, 11}. To determine if CMI elicited from 103 dead mycobacteria in the context of Freund's adjuvant similarly required N-glycolylated PGN, 104 105 we prepared in-house complete Freund's adjuvant with heat-killed *M. tuberculosis* strain H37Rv, and H37Rv $\Delta namH$. NamH is the enzyme responsible for N-glycolylation of PGN units as they 106 are being synthesized in the cytoplasm, and the $\Delta namH$ mutant has been characterized 107 previously to be devoid of N-glycolylation ¹¹ (fig. 1A). We immunized mice against ovalbumin 108 (OVA, an exemplary antigen) with our CFAs, and also with incomplete Freund's adjuvant (IFA, 109 lacks mycobacteria), and examined the OVA-specific T-cell response in the draining lymph 110 nodes seven days later through hallmark cytokine production (fig. 1B; gating in fig. S1). We 111 focused on CD4+CD8- cells because they could significantly produce different cytokines (IL-4, 112 IL-10 and IL-17A in addition to IFN- γ). CD4+CD8- cells were generally the majority OVA-113 specific IFN-y producing lymph node cell population and showed greater difference between 114 CFA and IFA immunized mice in terms of OVA-specific IFN-γ (not shown). CD4+CD8- cell 115 responses also correlated very well with IFN-y ELISpot when tested (not shown). Maximum 116 OVA-specific IFN- γ production from CD4+ T cells was depended on mycobacteria and *namH*; 117 118 OVA-specific IL-17A was strongly dependent on mycobacteria, but did not depend on *namH* (fig. 1C). We show both percentages and numbers of cells because incorporation of 119 120 mycobacteria into IFA induced increased lymphocyte numbers in the draining lymph nodes (fig. 121 S2). The data show that *namH* contributes to about one third of mycobacterial-dependent antigen-specific IFN- γ in CD4+ cells (fig. S3). OVA-specific IL-4 and IL-10 were weakly 122 dependent on mycobacteria, but not clearly on *namH* (fig. S2). This is consistent with the 123 124 hypothesized role for mycobacteria being a key ingredient in CFA for eliciting CMI, and that mycobacterial PGN modification by NamH makes a more potent adjuvant. 125

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- 127 Host *Nod2* is required for complete mycobacterial adjuvancy
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Recognition of mycobacteria during live infection requires the host molecule NOD2²⁴. 129 130 Because we hypothesize mycobacterial PGN plays a role in CFA adjuvancy, specifically the MDP motif where N-glycolylation occurs, we addressed whether the PGN/MDP sensor NOD2 is 131 important for CFA adjuvancy in our OVA model. Nod2+/+ and Nod2-/- mice were immunized 132 against OVA in the context of CFA or IFA of commercial provenance. OVA-specific IFN-y 133 from CD4+ cells of CFA-immunized mice required Nod2 for maximal effect (fig. 2), and an 134 independently produced IFN-y ELISpot of total lymph node cells indicated that *Nod2* is required 135 for about half of the adjuvancy contribution of mycobacteria in CFA (fig. S4A). In the absence 136 137 of Nod2, OVA-specific IL-17A was also impaired in CFA-immunized mice (fig. 2). With IFA as adjuvant, IFN- γ and IL-17A responses were *Nod2*-independent as expected (fig. 2 and S4A). 138

No obvious phenotype was observed for IL-4 and IL-10 (fig. S4C). Together, these results
corroborate the role for NOD2 and accordingly mycobacterial PGN in the ability of CFA to elicit
CMI.

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43 <u>Host Mincle is required for complete mycobacterial adjuvancy</u>

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145 In the absence of *Nod2*, the adjuvant effect of mycobacteria declined partially, but not completely. Therefore, there is other mycobacterial MAMP recognition besides that through 146 NOD2 which is important for the remainder CFA adjuvancy. Others have indicated that Mincle-147 mediated recognition of TDM contributes to mycobacterial adjuvancy ¹⁷. We tested the dependence of our OVA-immunization model with *Mincle*-KO mice ²⁵. *Mincle*+/+ and *Mincle*-/-148 149 mice were immunized against OVA in the presence of CFA and IFA. CFA-elicited OVA-150 151 specific IFN- γ and IL-17A from CD4+ T cells was partially dependent on *Mincle* (fig. 3). 152 Similar trends of smaller differences were also observed for IL-4 and IL-10 (fig. S5B). IFAimmunized mice showed *Mincle*-independent responses, as expected (fig. 3 and S5). These 153 154 results implicate Mincle and thus its main mycobacterial ligand, TDM, in CFA adjuvancy as 155 well. Therefore, at least two mycobacterial MAMPs, N-glycolylated PGN as well as TDM, are 156 essential for the full adjuvant effect of mycobacteria in the context of CFA.

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Synthetic mycobacterial NOD2 and Mincle ligands can complement IFA to increase antigen specific T-cell responses.

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We have demonstrated that NOD2 and Mincle signalling are essential for the full 161 adjuvant effect of CFA. From this line of thought, we hypothesized that IFA can be 162 complemented with pure NOD2 and/or Mincle ligands to recapitulate some or all of the adjuvant 163 164 effect of whole mycobacteria. We initially attempted to complement IFA with N-glycolyl MDP alone and TDM alone in our OVA model; neither of these MAMPs produced substantial OVA-165 specific responses over the IFA baseline in the draining lymph nodes on their own (not shown). 166 167 TDM administration was limited by pathological inflammation at the injection site with increasing doses, occurring at 10 µg and higher, which is not observed with CFA injection (fig. 168 S6). Recently, a rationally determined minimal chemical structure of TDM for Mincle 169 recognition was produced (called GlcC14C18) (fig. 4A and B) which was reported to retain 170 activity as a Mincle agonist without the 'toxicity' associated with TDM²³. We compared TDM 171 and GlcC14C18 at a 10 µg dose in *Mincle+/+* and *Mincle-/-* mice to confirm if GlcC14C18 172 173 produced less pathological inflammation and whether inflammation was Mincle-dependent in our 174 mouse model. Pathological inflammation at the injection site was only observed with TDM, was *Mincle*-dependent (fig. 4C), and worsened over the course of the experiment (fig. S7). 175 176 177 By examining cytokine production from dendritic cells *in vitro*, it became apparent to us 178 that MDP and TDM elicit maximal immune responses when combined synergistically (fig. S8).

179 Interestingly, GlcC14C18 also synergized with *N*-glycolyl MDP *in vitro* (fig. S8). Using

180 minimal synthetic MAMPs for PGN (i.e. *N*-glycolyl MDP) and TDM (i.e. GlcC14C18), we

181 complemented IFA to test if CMI could be achieved with a completely synthetic adjuvant

containing these essential mycobacterial MAMPs. OVA-specific cytokine responses in CD4+
 cells were higher with increasing doses of GlcC14C18 over a 30 µg *N*-glycolyl MDP dose,

relative to MDP alone by flow cytometry (fig. 5); IFN- γ and IL-17A reached only near half of

185 the CFA level at the tested doses, but IL-4 and IL-10 were complemented sufficiently at 10 ug 186 GlcC14C18. GlcC14C18 clearly induced lymphoproliferation in the draining lymph nodes (fig. S9A), and IFN- γ ELISpot corroborated results obtained with flow cytometry IFN- γ (fig. S9B). 187 188 To be sure GlcC14C18 adjuvancy was enhanced by addition of MDP *in vivo*, we performed a titration of lower MDP doses on 10 µg GlcC14C18 which confirmed synergy between the 189 190 synthetic mycobacterial MAMPs (fig. S10). These results show that mycobacterial adjuvancy in the context of CFA can be at least partially phenocopied synthetically with just two 191 mycobacterial MAMPs: namely N-glycolyl MDP and GlcC14C18. 192 193 194 Synthetic mycobacterial MAMPs can induce EAE similar to CFA 195 196 We were able to partially complement IFA with synthetic mycobacterial MAMPs in our 197 model of OVA immunization according to immunological readouts. One of the common uses of 198 CFA is in animal models of autoantigen-specific autoimmunity. To determine if our synthetic 199 formulation can phenocopy the effect of whole mycobacteria to produce a more complex 200 biological outcome such as autoimmunity, and thereby provide further validation of the 201 'completeness' of the synthetic formula, we tested IFA + GlcC14C18 + N-glycolyl MDP against CFA in ability to induce relapsing-remitting EAE (RR-EAE). Briefly, mice were randomly 202 immunized against myelin oligodendrocyte glycoprotein (MOG) synthetic peptide with CFA or 203 204 IFA + 10 μ g GlcC14C18 + 30 μ g N-glycolyl MDP, and onset of RR-EAE was determined by clinically scoring ascending paralysis daily in a blinded manner (fig. 6A). Both CFA and IFA + 205 GlcC14C18 + MDP produced RR-EAE that was indistinguishable except quantitatively: over the 206 207 course of the experiment, the average EAE score was lower with the synthetic adjuvant compared to the CFA control (fig. 6B), with a cumulative score suggesting about half the disease 208 209 burden (58% of CFA cumulative score) (fig. 6C). Expectedly, lower EAE scores also 210 corresponded with less weight-loss (fig. S11A). Of note, there were mice in the synthetic adjuvant group that reached the same scores as in the CFA group, but fewer (fig. S11B). 211 Therefore, GlcC14C18 and N-glycolyl MDP were sufficient to recapitulate the adjuvant effect of 212 213 the whole mycobacterial cell in EAE, albeit quantitatively less at the tested doses of MAMPs. Additionally, we had attempted RR-EAE with IFA + TDM + MDP previously, which produced a 214 far less compelling phenocopy of CFA (fig. S12). In our hands, the IFA + GlcC14C18 + N-215 216 glycolyl MDP adjuvant has both the advantage of being completely synthetic and more efficacious, than the TDM-containing adjuvant. 217 218

219 To verify if there were any overt qualitative histopathological differences in EAE 220 between CFA and the synthetic adjuvant, we examined spinal cords from three mice from each adjuvant group, having EAE scores 2, 3 and 3.5 at harvest (disease profile of these mice in fig. 221 222 S11C). Both cellular infiltrates and demyelination of the white matter looked equivalent 223 between adjuvants upon visual inspection (fig. 7A). Mice with different EAE scores had correspondingly different areas of diseased tissue of the spinal cord (fig. 7B), but in comparing 224 adjuvants there was no detectable difference in the area of diseased spinal cord tissue (with 225 statistical power to detect as low as +/- 15% CFA levels) (fig. 7C). The main determining 226 variable was EAE score, not adjuvant. Overall, we were not able to detect any overt differences 227 in the autoimmune pathology created by IFA + GlcC14C18 + MDP compared to the gold 228 229 standard CFA. Extrapolating histopathological data to all 30 the mice in our experiment, we would expect however that the synthetic adjuvant produced less pathology in the spinal cord on 230

average since EAE scores were lower on average. Whether this quantitative difference between

232 CFA and the synthetic formulation can be reduced with rigorous dose optimization is unclear.

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234 Discussion

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The ability of an adjuvant to elicit CMI can depend on ligand-receptor interactions, 236 237 specifically MAMP-PRR interactions. It is well appreciated since the hypothesis of Charles Janeway Jr. that the host decision to mount an adaptive immune response requires genetically 238 inborn sensors to detect the presence of microbial products, or microbes by association, and that 239 this is the foundation of classical adjuvants including CFA²⁶. These interactions can be thought 240 of as an "arms race" between host and microbe, originating through antagonistic evolution in the 241 case of immune evasion 27 . Additionally, we can imagine the case where a microbe might evolve 242 243 to increase a specific PRR interaction that favours a specific active immunological environment 244 necessary for its lifecycle. When mycobacteria interact with their host, either in the form of M. 245 tuberculosis infection, BCG vaccination or immunization using CFA, CMI normally occurs. 246 The immune response to mycobacteria has been attributed to its unique cell wall, especially the MDP motif of PGN ^{6, 7, 11, 24} and TDM ^{17, 19}. We have shown in the context of CFA-induced 247 immunization and autoimmunity that synthetically produced N-glycolyl MDP and TDM 248 (GlcC14C18) can contribute synergistically to recapitulate the mycobacterial adjuvant effect. 249

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There are also other mycobacterial MAMPs previously identified (and likely more yet 251 252 unidentified), plus a greater number of PRRs linked to these microbial products. The extent to 253 which some these MAMPs contribute to mycobacterial adjuvancy may be the subject of our 254 future studies. However, most are not yet producible synthetically. Purified ManLAM was 255 recently shown to elicit EAE in mice, dependent on the host C-type lectin Dectin-2 (Clec4n), at a dose of 500 μ g per animal²⁸. Of note, we were not able yet to produce synthetic mimics of 256 ManLAM that induce Dectin-2 signaling²⁹. Similarly, purified TDM has been used to elicit 257 EAE at 500 µg per mouse, mostly dependent on MCL (Clec4d) and partially on Mincle (Clec4e) 258 ¹⁹. These findings support a role for mycobacterial MAMPs driving the CFA effect, but with the 259 requirement of purifying biologically-sourced MAMPs and such high doses, we are concerned 260 that these phenotypes might be partly driven by the inclusion of other contaminating MAMPs, 261 262 which we have shown can have activity between 1-10 µg in vivo when used synergistically (i.e. even 1% impurity could alter results). TLR-2 has been documented to interact with multiple 263 mycobacterial lipids ³⁰. TLR-2 knockout mice have been used in EAE, but the literature is 264 inconsistent on whether TLR-2 promotes CFA-induced EAE^{31, 32, 33, 34}. Mycobacterial DNA was 265 recently shown to signal through the cGAS/STING pathway after phagosomal disruption via 266 ESX-1^{35, 36}. In the context of Freund's adjuvant, it is not clear if heat-killed mycobacteria could 267 268 have cytosolic access to activate cGAS/STING. The IFA fraction perhaps could deliver MAMPs across membranes. TLR-9 also senses DNA, and appears to play a role during *M. tuberculosis* 269 infection in mice ³⁷, and in humans ³⁸. TLR-9 was also shown to be necessary for full induction 270 of EAE ^{31, 33}. As synthetic DNA is available, pursuing the role of DNA in mycobacterial 271 adjuvancy interests us, perhaps as a third synthetic MAMP to work with MDP and GlcC14C18. 272 273

Mycobacteria are not completely unique in possessing MAMPs that elicit CMI. As an
example, the tuberculosis vaccine candidate M72/AS01E utilizes monophosphoryl lipid A
(MPLA), a TLR-4 agonist based on lipopolysaccharide (LPS), to elicit CMI. LPS is made in

Gram negative bacteria, not mycobacteria. We concede that many microbes likely contain
MAMPs able to elicit CMI; here we have concerned ourselves primarily with the history of

mycobacterial adjuvancy and Jules Freund. It is conceivable that a combination of MAMPs

from different bacteria could elicit 'unnatural' immunity that may be beneficial to control certain

- infectious agents that otherwise evade natural immune responses.
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283 We were able to show that N-glycolylation of M. tuberculosis PGN altered the adjuvancy of the mycobacterial cell in the context of CFA. This is consistent with our previous work on the 284 increased potency of mycobacterial PGN in other contexts ^{7,11}. Interestingly, the benefit of N-285 286 glycolylation of PGN seemed primarily skewed toward IFN-y production, and other Th cytokines showed limited change. This may indicate that mycobacteria retain N-glycolylation of 287 PGN specifically to shift immune responses in a Th1 bias and should be further investigated 288 289 during live infection. Corroboratively, Nod2 was also necessary for full CFA adjuvancy, for 290 IFN- γ but also cytokines indicative of other Th cell functionality. Together, this supports a role of mycobacterial PGN uniquely contributing to CFA adjuvancy. We were somewhat surprised 291 292 that MDP emulsified by itself in IFA was insufficient to recapitulate the adjuvant effect of CFA. In a 1975 paper, N-acetyl MDP appeared sufficient in a guinea pig model to elicit DTH using 293 294 OVA as antigen⁶. In 2009 using a very similar model, *N*-glycolyl MDP alone was sufficient to increase ELISpot IFN- γ to near CFA levels, while *N*-acetyl MDP failed ⁷. One hypothesized 295 explanation is the presence of contaminating MAMPs in certain preparations of OVA. To limit 296 this potential issue, in the current investigations we have used Endotoxin-free/ultra-pure OVA. 297 298 OVA is known to often come with a dose of endotoxin which affects the immunological outcome of experiments³⁹. MDP alone was clearly not sufficient for us in our recent studies, 299 and this is consistent with a large literature of *in vitro* experiments that show MDP works 300 synergistically in most cellular responses. 301

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Other groups have supported a role for TDM in mycobacterial adjuvancy ^{17, 19}. TDM 303 together with purified PGN was shown to synergistically promote IL-17 production from OVA-304 specific (OT-II) CD4+ T cells adoptively transferred into congenic mice ¹⁷. This and other work 305 directed us to test Mincle-dependence of CFA and MDP synergy with TDM. Mincle-/- mice 306 allowed us to infer that CFA adjuvancy required TDM for maximal effect for different Th-307 indicative cytokines including IFN- γ and IL-17A. TDM is thought to be the main Mincle ligand 308 309 in mycobacteria, however, there are other ligands. Purified from H37Rv, trehalose-6,6'monomycolate, glucose monomycolate, diacyl-trehalose and triacyl-trehalose were shown to 310 stimulate mouse and human Mincle (plus glycerol monomycolate stimulates human Mincle only) 311 23 . It is possible that the phenotype of reduced immunity is because sensing of these other 312 MAMPs is decreased. Nevertheless, we have shown Mincle signalling is essential for full CFA 313 314 adjuvancy.

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While *in vitro* assays showed clear synergy between TDM and MDP, *in vivo* experiments were limited by overt immunopathology from TDM. That TDM was able to synergize with MDP drove us to test GlcC14C18, which had been branded as a similarly efficacious, but nontoxic Mincle agonist ²³. GlcC14C18 together with *N*-glycolyl MDP was able to partly recapitulate CFA in the OVA model. Note also that the individual MAMPs had limited effect, and only together substantially showed complementation of IFA. This 'synergy' has excited us, and we are interested in further understanding the implications for MAMP signalling (which

MAMPs synergize together; what redundancy exists; do different synergies alter the Th type of 323 324 CMI? Etc.). It is unclear why MDP plus GlcC14C18 completely complemented IL-4 and IL-10, 325 while CFA immunized Nod2-/- and Mincle-/- mice showed only small, generally insignificant 326 defects for IL-4 and IL-10 compared to wild-type. Natural TDM might lack promotion of IL-327 4/IL-10 axes of Th immunity, consistent with the observed 'toxicity'. IFN- γ and IL-17A were 328 not fully complemented with the tested doses of MDP and GlcC14C18. An optimal, or 329 mycobacterial-representative, dose of these MAMPs may not have been reached. With the complexity of two MAMPs and the biological variability in our model, we did not find clearly 330 better doses than those tested in EAE. Other mycobacterial MAMPs may be necessary to fully 331 332 recapitulate the adjuvant effect of whole mycobacteria, which have been mentioned above. 333 334 With the wholly synthetic formulation of 10 µg GlcC14C18 plus 30 µg N-glycolyl MDP, 335 we were able to induce RR-EAE in mice that was qualitatively indistinguishable from that 336 induced with CFA (in terms of ascending paralysis induced, and spinal cord pathology), but milder overall (lower average EAE scores or disease burden). That the synthetically induced 337 338 EAE was less severe correlated with incomplete complementation in the OVA model using the synthetic adjuvant. EAE that was attempted with TDM plus MDP earlier (which worked poorly) 339 was also less potent in the OVA model than the synthetic formulation, further indicating 340 correlation between these readouts (not shown). CFA often needs to be 'titrated' to account for 341

342 batch-dependent efficacy in our experience, and EAE of the level seen with the synthetic adjuvant occurs sometimes with weaker CFA batches (not shown). CFA with higher 343 concentrations of mycobacteria (i.e. 4 mg/ml) is also used when a more severe, chronic 344 progressive EAE is necessary for studies, rather than relapsing-remitting EAE⁴⁰. These facts 345 point to dosing as a means of controlling the degree of EAE, which may also be true with the 346 347 synthetic adjuvant. Nonetheless, the current data are sufficient to demonstrate the mycobacterial 348 component of CFA can be replaced with mycobacterial MAMPs to induce EAE. Other experimental uses of CFA include the collagen-induced arthritis (CIA) model and for producing 349 specific antibodies. CIA generally takes longer and has a greater role for humoral immunity than 350 EAE which is T-cell driven, (our interest was in CMI for this study)⁴¹. Wherever mycobacterial 351 adjuvancy is useful, we suspect a synthetic adjuvant could be beneficial. Indeed, intravesical 352 injection of BCG is used to treat bladder cancer (i.e. BCG can provide MAMP-driven protection, 353 354 not simply antigen-specific protection). Furthermore, BCG administration to millions of babies 355 each year can protect not just against childhood tuberculosis, but non-specifically against other diseases 42. 356

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Our work demonstrates that mycobacterial NOD2 and Mincle ligands contribute to the adjuvant effect of the mycobacterial cell, and that a necessarily synergistic combination of synthetic MAMPs, *N*-glycolyl MDP and GlcC14C18 can at least partly recapitulate the adjuvant effect of the whole mycobacterial cell. In addition to demonstrating the first entirely synthetic multi-MAMP mycobacterial adjuvant, we have outlined an approach to investigate the contribution of other MAMPs to adjuvant design. Moreover, the synthetic approach may be useful to probe mycobacterial immunity and immunopathogenesis.

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369 Methods

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371 <u>Mice</u>

- 372 C57BL/6 ('wild-type') as well as *Nod2-/-* mice were obtained from Jackson laboratories and
- 373 were bred or used immediately for experiments. *Mincle-/-* mice breeders were provided courtesy
- of the laboratory of Christine Wells 25 . Mice were genotyped to confirm absence of the *Dock2*
- mutation recently reported in *Nod2-/-* mice 43 . All experiments used mice from 6 to 20 weeks of
- age. All protocols involving mice followed the guidelines of the Canadian Council on Animal
- 377 Care (CCAC) and were approved by the ethics committees of the RI-MUHC.
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- 379 <u>Preparation of adjuvants and ovalbumin immunization</u>
- Complete and incomplete Freund's adjuvant were purchased from Sigma or Invivogen. In some
- cases, IFA was made by the experimenter from purified mineral oil and mannide monooleate
- 382 (Sigma). Adjuvants were prepared on the day of immunization by emulsifying CFA (1 mg/ml
- 383 *M. tuberculosis*) or IFA with a PBS solution containing 1 mg/ml ovalbumin (Endofit brand,
- invivogen) in a 1:1 ratio. Emulsification was accomplished using all-plastic syringes and
- repeated passage through an 18-G blunt-end needle. Where IFA was complemented with
- mycobacterial components: MDP (Invivogen) was diluted in the PBS fraction of the adjuvant
- before emulsification; TDM (Sigma) or GlcC14C18²³ were dissolved in the IFA fraction before
- emulsification as described previously ¹⁷; heat-killed mycobacteria in saline were diluted in PBS
- and added to the PBS fraction of the adjuvant before emulsification for mice to receive an
- equivalent of 10^8 CFU. To make heat-killed mycobacteria, cultures were grown to equivalent
- 391 600-nm absorbance at mid-log phase, were pelleted and washed three times with saline, then
- heat-killed at 100°C for 30 minutes and frozen at -80°C until use. Mice were injected
- subcutaneously with 100 μ l adjuvant-antigen emulsions in the tail 1-2 cm from the body, towards
- the body, for sufficient and consistent drainage to the inguinal lymph nodes. Seven days after
- injection mice were euthanized and organs were harvested for analysis.
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- 397 <u>ELISpot and flow cytometry of lymph node cells</u>
- Lymph nodes extracted from immunized mice were gently crushed over 70-µm cell strainers to
- release the cells therein. Cell concentrations were determined by counting with a
- 400 haemocytometer or with a BD Accuri flow cytometer. Lymph node cells (LNCs) were washed
- 401 in culture medium, counted and cultured in 100 μ l RPMI + 10% FBS (R10) at 250,000 or
- 402 500,000 cells per well in IFNγ ELISpot plates (R&D Systems) with or without 100 μg OVA for
- 403 ~40 hours at $37^{\circ}C$ 5% CO₂ before developing the ELISpot plates. For flow cytometry analysis,
- 404 washed LNCs were cultured at 6 million cells per ml in 200 µl R10 with or without 200 µg OVA
- for ~40 hours at 37° C 5% CO₂, Brefeldin A (GolgiPlugTM, BD) was added for an additional 5
- 406 hours, and then cells were stained and fixed (BD fixation/permeabilization buffer) for flow
- 407 cytometry on ice. Intracellular staining was performed on the same or the next day using BD
- 408 permeabilization buffer. See Table 1 for antibodies used for flow cytometry. A BD Fortessa
- 409 X20 was used for cellular phenotyping.
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- 411 <u>Relapsing-remitting experimental autoimmune encephalomyelitis (RR-EAE)</u>
- Adjuvants were prepared similarly as above, but with myelin oligodendrocyte glycoprotein
- 413 (MOG) used as antigen. Briefly, PBS solution containing 1 mg/ml MOG +/- 600μ g/ml N-
- glycolyl MDP was emulsified with CFA (1 mg/ml *M. tuberculosis*) / IFA plus 200 µg/ml

- GlcC14C18 (or 20 μg/ml TDM) by back-and-forth extrusion through an 18 G two-way needle
- 416 with two all-plastic syringes. 8-12 week old female C57BL/6 mice (Charles River) were induced
- for RR-EAE by a standard protocol: briefly, on day 0, pertussis toxin (PT) (200 ng) was
- 418 administered i.v. in the tail vein, and mice were immunized with 50 μ g MOG by bilateral s.c.
- injection in the back towards the tail with 100 μ l total of an emulsification of CFA or IFA plus mycobacterial MAMPs. On day 2, mice received a second equivalent dose of PT i.v.. After
- 420 inycobacterial MAMPS. On day 2, ince received a second equivalent dose of PT1.v.. After 421 about one week, EAE was scored blinded (i.e. the scorer did not know the adjuvant received by
- the mouse) every day according to these cumulative criteria: 0, no paralysis (normal); 0.5, partial
- tail weakness observed as < 50% of tail dragging when mouse walks; 1, tail paralysis observed
- 424 as >50% of tail dragging as mouse walks; 2, slow righting reflex (delay < 5 seconds) when
- 425 mouse is flipped; 3, very slow or absent righting reflex (> 5 seconds) or inability to bear weight
- 426 with back legs observed as dragging hindquarters when walking; 3.5, partial paralysis of one or
- both hind limbs; 4, complete paralysis of one or both hindlimbs; 4.5, complete paralysis of one
- 428 or both hind limbs plus trunk weakness; 5, weakness or paralysis of forelimbs; 6, found dead.
- 429 Mice were weighed every other day during scoring. Mice reaching a score of 5 were euthanized
- 430 within 24 hours. Blinding was accomplished by having one person inject the mice and another
- 431 person score/weigh the mice, unaware of experimental group.
- 432
- 433 <u>Histopathology</u>
- 434 At the end of EAE scoring, the experiment was un-blinded and three mice from each group with
- 435 matching scores were selected. These six mice were anesthetized with peritoneal ketamine
- 436 injection, were perfused with PBS and formalin, and then spinal cords were extracted,
- 437 equilibrated in sucrose and then frozen in OTC compound (VWR). Frozen tissue was sectioned
- 438 14-nm thick onto slides and then stained (Nissl or Luxol fast blue). Sections of good quality (20
- 439 per mouse, 5 cervical, 5 upper thoracic, 5 lower thoracic and 5 lumbar) were randomly selected
- and photographed with a Nikon Eclipse microscope. Randomized (blinded) Nissl stain
- 441 photographs were used to quantify the area of disease in the spinal cord by subtracting the total
- 442 2D area of each tissue section with the area without cellular infiltration in white matter (thus, the
- difference of areas is the area with cellular infiltration). This was divided by the total area to
- determine the fraction or percent of diseased area.
- 445
- 446 <u>Software, data analysis and statistics</u>
- 447 Flow cytometry data was acquired using FACSDivaTM software (BD). FCS files were analyzed
- using FlowJo V10 (BD). Digital microscopy images were analyzed with ImageJ (NIH). Graphs
- were generated with, and routine statistical testing was accomplished with GraphPad Prism v7 or
- 450 v8 (GraphPad Software Inc.). Assessment for normal data, then parametric or non-parametric
- analyses were applied, as indicated. Sample-size and power calculations were performed
- 452 manually using Microsoft Excel. Manuscript figures were assembled with Microsoft
- 453 PowerPoint.
- 454

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603					
604 605	Ackr	nowledgments			

606 We would like to thank the Containment Level 3 platform of the Research Institute of the McGill University Health Centre (RI-MUHC) for providing the needed facilities and intellectual 607 608 support. Similarly, we thank the Immunophenotyping platform of the RI-MUHC for cytometers and advice. We are very grateful to Christine Wells (University of Melbourne, Australia) for 609 providing *Mincle*-KO mice and to Alexiane Decout for preparing GlcC14C18. For tutelage and 610 611 technical assistance, J.Y.D. is thankful to Damien Montamat-Sicotte, Ourania Tsatas, Sarah 612 Danchuk, Iain Roe, Andréanne Lupien, Nimara Asbah, and Daniel Houle. J.Y.D. was personally 613 supported by the Canadian Institutes of Health Research (CIHR) Canada Graduate Scholarship – 614 Master's Program, Fonds de Recherche du Québec - Santé (FRQ-S) Doctoral Training Award, RI-MUHC studentships (Master's and Ph.D.) and scholarships from the McGill Department of 615 616 Microbiology and Immunology. Research activities were funded by CIHR foundation grant held 617 by M.B. and a CIHR grant held by S.D. 618

619 Author Contributions

620

- 621 Conceptualization, J.Y.D. and M.B.; Methodology, J.Y.D. and J.G.Z.; Investigation, J.Y.D.,
- 622 F.M. and J.G.Z.; Resources, S.D., J.N. and M.B.; Writing Original Draft, J.Y.D. and M.B.;
- 623 Writing Review & Editing, J.Y.D., F.M., J.G.Z., S.D., J.N. and M.B.; Visualization, J.Y.D.;
- Supervision, S.D. and M.B.; Funding acquisition, J.Y.D., S.D. and M.B.
- 625
- 626 **Competing Interests**
- 627
- 628 The authors have no competing interests
- 629

630 Figure Legends

631

Figure 1. CFA-dependent cell-mediated immune responses as a function of mycobacterial

633 *namH*. A, PGN of 'wild-type' H37Rv *M*. *tuberculosis* (left) and PGN of the $\Delta namH$ mutant

634 (right). The MDP motif is drawn in red, and the site of *N*-glcolylation is in bold font. With

NamH, *N*-glycolylation was shown on \sim 70% of muramic acid residues, with *N*-acetylation on the

- remaining $\sim 30\%^{-8, 11}$. **B**, immunization scheme (relevant to figs. 1-5): C57Bl/6J mice were
- 637 immunized with adjuvant emulsion containing OVA by s.c. injection at the base of the tail, and
- after seven days, inguinal (draining) lymph nodes were harvested. Lymph node cells were
- cultured *ex vivo* with or without OVA to examine OVA-specific cytokine response by flow
- 640 cytometry or ELISpot. C, cytokine production from CD4+CD8- lymph node cells of mice
- immunized against OVA with heat-killed *M. tuberculosis* strain H37Rv, H37Rv $\Delta namH$, or IFA
- alone, seven days prior. Shown are data pooled from four separate experiments, from individual
- 643 mice, with averages +/- SEM. p-values were calculated with two-tailed student's t-tests.
- ⁶⁴⁴ *p<0.05; **p<0.01; ns, not significant, p>0.05. For IFA + H37Rv, IFA + H37Rv Δ*namH*, and IFA alone, N = 31, 27 and 16, respectively.
- 646

Figure 2. CFA-dependent cell-mediated immune responses as a function of host *Nod2***.**

648 Cytokine production from CD4+CD8- lymph node cells of *Nod2*+/+ and *Nod2*-/- mice

649 immunized against OVA with CFA or IFA seven days prior. Shown are data representative of

two independent experiments, from individual mice with averages +/- SEM. p-values were

- calculated with two-tailed student's t-tests. *p<0.05; **p<0.01; ns, not significant, p>0.05. For CFA *Nod*2+/+, CFA *Nod*2-/-, IFA *Nod*2+/+ and IFA *Nod*2-/-, N = 12, 13, 9 and 7, respectively.
- 653

Figure 3. CFA-dependent cell-mediated immune responses as a function of host *Mincle*.

655 Cytokine production from CD4+CD8- lymph node cells of *Mincle*+/+ and *Mincle*-/- mice

656 immunized against OVA with CFA or IFA seven days prior. Shown are data pooled from two

657 independent experiments, from individual mice, with averages +/- SEM. p-values were

calculated with two-tailed student's t-tests. *p<0.05; **p<0.01; ns, not significant, p>0.05. For

- 659 CFA Mincle+/+, CFA Mincle-/-, IFA Mincle+/+ and IFA Mincle-/-, N = 14, 16, 15 and 11,
- 660 respectively.
- 661

Figure 4. *Mincle*-dependent inflammation from TDM is not observed with synthetic TDM

analogue GlcC14C18. A, TDM molecular structure. B, GlcC14C18 molecular structure. C,

representative tail injection site pathology seven days after injection of $10 \ \mu g \ TDM$ or $10 \ \mu g$

- 665 GlcC14C18 in IFA-PBS emulsion. Pictures are representative of 3 mice per group.
- 666

667 Figure 5. Complementation of IFA with synthetic mycobacterial MAMPs. Cytokine

668 production from CD4+CD8- lymph node cells of wild-type mice immunized against OVA seven

- days prior with IFA + 30 μ g *N*-glycolyl MDP (N=6), IFA + 30 μ g *N*-glycolyl MDP + 10 μ g 669
- 670 GlcC14C18 (N=7), IFA + 30 µg *N*-glycolyl MDP + 30 µg GlcC14C18 (N=7), or CFA (N=7).
- Shown are data from individual mice, with averages +/- SEM. 671
- 672

673 Figure 6. RR-EAE induced by IFA+GlcC14C18+MDP. A, experimental timeline. B,

- average EAE score +/- SEM over time of mice induced with CFA (N=15) or IFA + 10 μ g 674
- GlcC14C18 + 30 µg N-glycolyl MDP (N=15). Mice were euthanized on day 28 post injection. 675
- 676 **C**, Cumulative EAE score, obtained by adding the EAE score of each mouse over each of the 28
- days. Lines represent averages +/- SEM. p-value was calculated with two-tailed student's t-677 tests. **p = 0.0022
- 678 679

680 Figure 7. Spinal cord pathology in RR-EAE mice induced by IFA+GlcC14C18+MDP. A,

Nissl and Luxol fast blue (LFB) stains of spinal cord sections from RR-EAE-induced mice at day 681

28 post injection, having an EAE score of 3.5 upon euthanasia. Red boxes highlight cellular 682

683 infiltration and spatially associated demyelination of the white matter seen by Nissl and LFB

staining, respectively. **B**, Quantitative spinal cord pathology per EAE score upon euthanasia. 684

Statistical significance was determined by Tukey's multiple comparisons test. *p<0.05 and 685

****p<0.0001 (adjusted for multiple comparisons). N=40 for each group (20 from CFA and 20 686

- from synthetic adjuvant of equivalent EAE scores). Lines indicate averages +/- SEM C, 687
- Quantitative spinal cord pathology per adjuvant. Statistical significance was tested with two-688
- 689 tailed unpaired Welch's t-test; a power calculation for given variances and N=60 per group
- 690 indicated an ability to discern +/- 15% difference vs. CFA control. Lines indicate averages +/-SEM.
- 691
- 692
- 693 **Tables**
- 694

695 Table 1. Flow cytometry antibodies

•	•		
Target	Brand	Clone	Fluorochrome
CD3e	BD	145-2C11	PE
CD4	BD	GK1.5	BV786
CD8a	BD	53-6.7	BV711
CD19	Biolegend	6D5	PE-Dazzle594
B220/CD45R	BD	RA3-6B2	BUV737
IFN-γ	Biolegend	XMG1.2	APC
IL-2	BD	JES6-5H4	BV605
IL-4	eBiosciences	BVD6-24G2	PE-Cy7
IL-17A	BD	TC11-18H10	BUV395
IL-10	BD	JES5-16E3	FITC

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702 Supplementary Information

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704 <u>Supplemental Method: Bone marrow-derived dendritic cells (BMDCs)</u>

Bone marrow was extracted from mice by flushing femora and tibiae with PBS + 2% BSA + 2%

706glucose using a 25-G syringe. Red blood cells were lysed and remaining bone marrow cells

were filtered through 70 μ m cell strainer. Cells were cultured at 500,000 cells / ml R10 with 20

- ng/ml murine rGM-CSF (PeproTech); cells were fed on day 3 with R10 + rGM-CSF, and on day
- 6 with R10 alone. On day 7, loosely adherent cells were harvested by gentle pipetting and usedin assays.
- 711
- 712 <u>Supplemental Figure Legends</u>
- 713

Figure S1. Flow cytometry gating strategy for lymph node cells. Shown is representative
 gating and data from an OVA-stimulated sample.

716

717 Figure S2. CFA-dependent lymphoproliferation, IL-4 and IL-10 as a function of

718 mycobacterial *namH*. These data are from the same set shown in fig. 1B (refer to fig. 1B

719 legend for details). p-values were calculated with two-tailed student's t-tests.

720

721 Figure S3. Contribution of *namH* to the mycobacterial portion of OVA-specific IFN-γ

relicited by CFA. These transformed data are from the same set shown in fig. 1B (refer to fig.

1B legend for details). A, result was obtained from %IFN- γ + data by subtracting the average

IFA background from all CFA data, and plotting the results as % of IFA+H37Rv 'wild-type'. **B**,

result was obtained from # IFN- γ + data by subtracting the average IFA background from all

CFA data, and plotting the results as % of IFA+H37Rv 'wild-type'.

727

728 **Figure S4. CFA-dependent ELISpot IFN-**γ, lymphoproliferation, IL-4 and IL-10 as a

function of host *Nod2***. A**, IFN- γ ELISpot of total lymph node cells of immunized mice

produced in an independent experiment. p-values were calculated with two-tailed student's ttests. *p<0.05 and ***p<0.0005. For CFA *Nod*2+/+, CFA *Nod*2-/-, IFA *Nod*2+/+ and IFA

Nod2-/-, N = 6, 8, 6 and 6, respectively. **B-C**, data are from the same set shown in fig. 2 (refer to fig. 2 legend for details).

734

735 Figure S5. CFA-dependent lymphoproliferation, IL-4 and IL-10 as a function of host

736 *Mincle*. These data are from the same set shown in fig. 3 (refer to fig. 3 legend for details).

737

Figure S6. TDM-induced injection site pathology. Tail injection site pathology seven days
 after injection of 1 µg TDM or 10 µg TDM in IFA-PBS emulsion, or CFA control. Pictures are
 representative of 5 mice per group.

741

Figure S7. Semi-quantification of *Mincle*-dependent inflammation from TDM. These data
are from the same set shown in fig. 4C (refer to fig. 4C legend for more details). A, injection site
pathology over time (scores were given by these criteria: 0, normal; 1, any mark/discoloration at
site; 2, obvious swelling; 3, 2+abnormal skin). B, scores from A for each day added together.

746 Shown are means +/- SD. N=3 for all groups.

747

748 Figure S8. Murine BMDCs respond to N-glycolyl MDP, TDM and GlcC14C18 749 synergistically. 200,000 BMDCs were transferred per well into 96-well plates precoated with 750 50 ng/well of TDM or GlcC14C18 by dissolving in isopropanol and drying (lipid-free conditions 751 used wells treated with isopropanol alone). Where present, MDP is 1 µg/ml. BMDCs were incubated with stimulants for 6 hours at 37°C, 5% CO₂ before supernatant was removed for 752 753 ELISA analysis. Shown are averages +/- SEM of 3-6 individually stimulated and assayed wells. 754 755 Figure S9. Adjuvant-dependent lymphoproliferation and ELISpot IFN-y. These data are 756 from the same set shown in fig. 5 (refer to fig. 5 legend for details). 757 Figure S10. Complementation of IFA with fixed GlcC14C18 dose, increasing N-glycolyl 758 759 MDP dose. A, lymphoproliferation and B, cytokine production from CD4+ lymph node cells of 760 wild-type mice immunized against OVA seven days prior with IFA (N=4), IFA + 10 μ g 761 GlcC14C18 (N=7), IFA + 10 µg GlcC14C18 + 1 µg MDP (N=6), or IFA + 10 µg GlcC14C18 + 3 µg MDP (N=7). Shown are data from individual mice, with averages +/- SEM. 762 763 Figure S11. Descriptive statistics for RR-EAE induced by IFA+GlcC14C18+MDP. These 764 data are from the same set shown in fig. 6 (refer to fig. 6 legend for details). A, average weight 765 +/- SEM over time of mice. **B**, maximum EAE score reached on any day by mice, as of day 28. 766 C, disease course of mice selected for spinal cord histopathology. 767 768 769 Figure S12. Descriptive statistics for RR-EAE induced by IFA+TDM+MDP. A, average 770 EAE score +/- SEM over time of mice induced with CFA (N=13) or IFA + 1 μ g TDM + 30 μ g

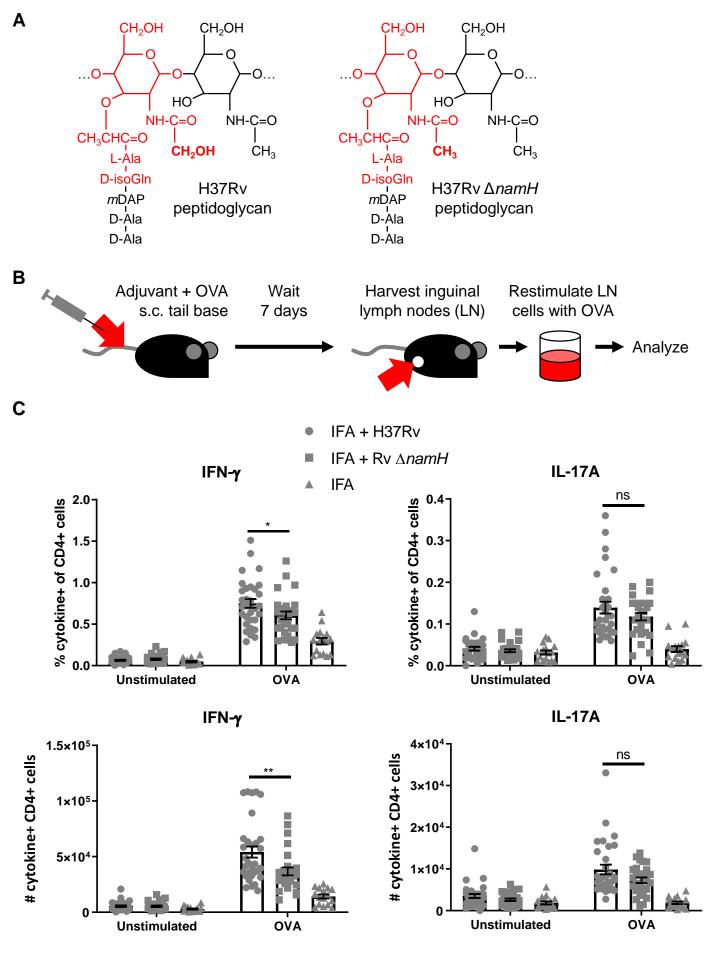
771 *N*-glycolyl MDP (N=13). Mice were euthanized on day 27 post injection. **B**, cumulative EAE

score, obtained by adding the EAE score of each mouse over each of the 27 days. Lines

represent averages +/- SEM. C, average weight +/- SEM over time of mice. D, maximum EAE

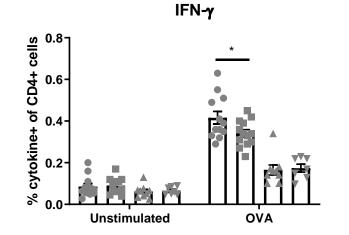
score reached on any day by mice, as of day 27.



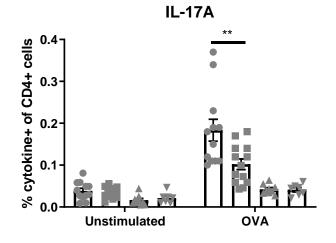


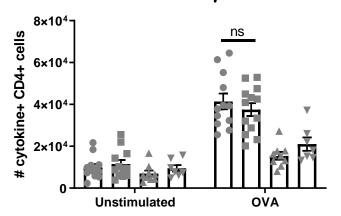
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- CFA Nod2+/+
- CFA Nod2-/-
- ▲ IFA Nod2+/+
- IFA Nod2-/-

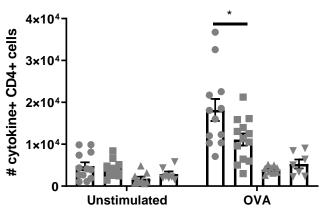




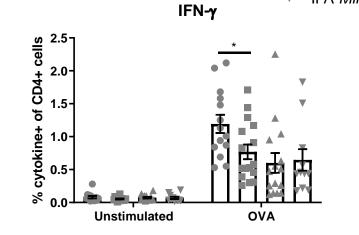




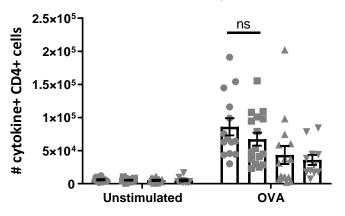


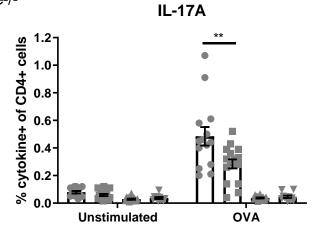


- CFA Mincle+/+
- CFA Mincle-/-
- ▲ IFA Mincle+/+
- ▼ IFA Mincle-/-

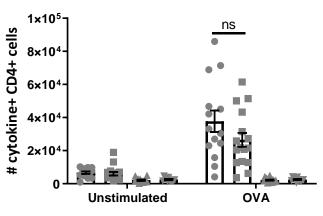




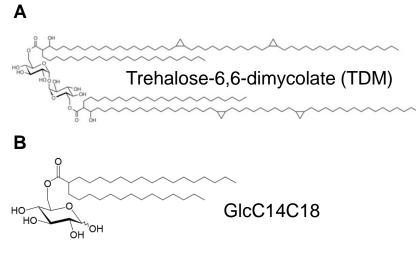








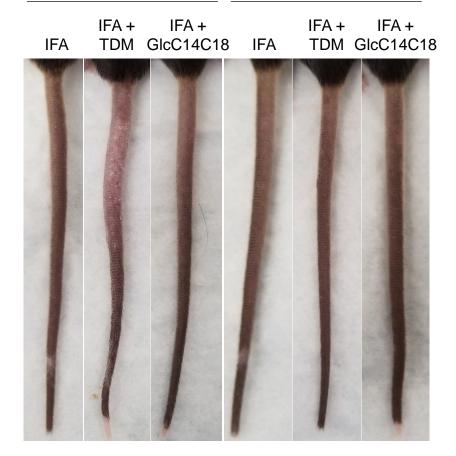
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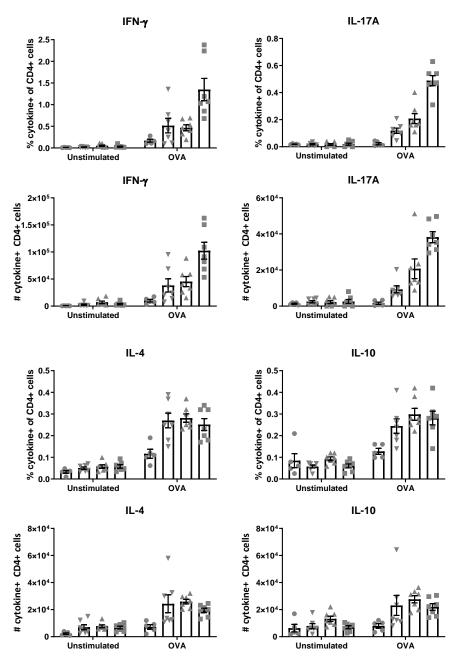
Mincle+/+

Mincle-/-

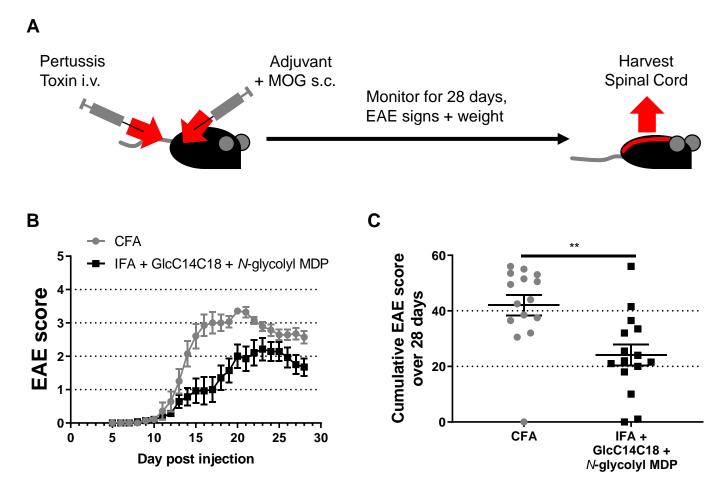


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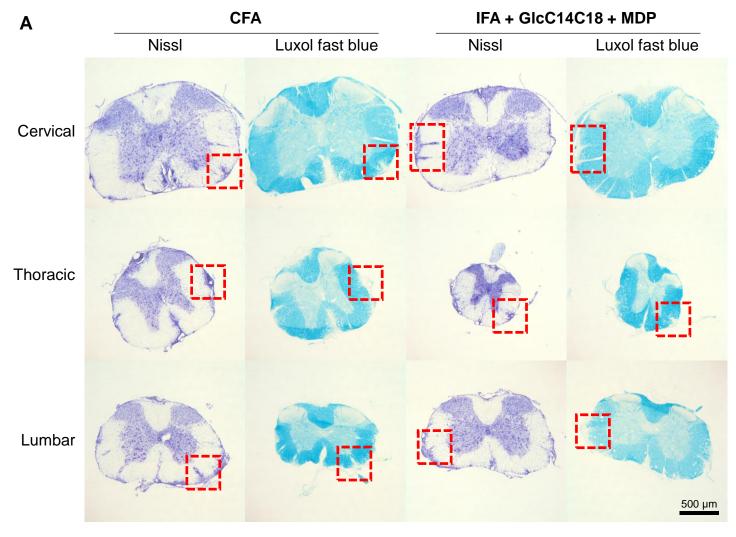
- IFA + 30 μg N-glycolyl MDP
- IFA + MDP + 10 μg GlcC14C18
- IFA + MDP + 30 μg GlcC14C18
- CFA

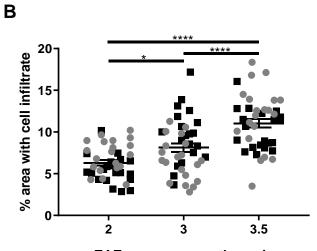


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EAE score upon euthanasia

