The nervous system is the major target for Gold nanoparticle-based therapy: Evidence from RNA

sequencing data of *C. elegans*

Mo Jihao[1*], Wang Lei[2,3*], Li Shaoxia[1#]

1 Department of Medical Laboratory, Luoyang Orthopedic Hospital of Henan Province, Orthopedic

Institute of Henan Province, Henan, China

2 School of Biological Sciences, Nanyang Technological University, Singapore

3 Current address: Department of Physiology and Biophysics, Miller School of Medicine, University of

Miami, Miami, FL, USA

*These authors contributed equally to this work.

[#]Author for correspondence (HLOHCML@126.com)

Abstract

The increasing use of gold nanoparticles (NPs) raises concerns about the potential effect of gold NPs

exposure on human health. Therefore, gold NPs exposure is hard to evaluate at the organ level with

current measurement technology. The bio-distribution assay showed that intestine was the organ with

most gold NPs accumulated. However, our data indicated that 62.8% of the significant altered genes

were function in the nervous system using tissue enrichment analysis. Notably, gene ontology analysis

has demonstrated that NP exposure interfered with the development of animals. Furthermore, the

transcription factors DAF-16 and HSF-1 were regulating the oxidative stress genes induced by gold NPs.

Therefore, we proposed the localization of the oxidative stress genes in the neuron cells and how their

expression affect neuron communication. Our results demonstrate that the gold NPs-induced oxidative

stress affects the nervous system via physical damage to the neurons and disruption of cell-to-cell

communication. Future toxicology research on gold NPs should focus on neurons.

Keywords: gold nanoparticles (NPs), nervous system, oxidative stress, *C. elegans*.

Introduction

With the development of nanotechnology, there has been growing interest in nano-materials for a wide range of health and medicinal applications. With their nanoscale size, the nano-materials can interact with biological systems at the molecular level to protein, DNA, and RNA [1,2]. Gold NPs, due to the chemical and physical stability, optical property, like stimuli-response surface-enhanced Raman Scattering, surface plasmon resonance in the near infrared region, is one of the most studied nano-materials in bio-medical applications [3]. In recent decades, the interactions between engineered gold NPs and liver, kidney, blood vessels, tumors have been studied [4-7]. Additionally, the gold NPs can penetrate the blood-brain barrier in the brain, allowing them to act in the central nervous [8]. Targeted drug delivery using gold NPs has been tested on tumors, the liver, lung, and inhalation [9-12]. Although relatively low toxicity has been reported on gold NPs, most researchers agree that exposure to nano-scale materials can lead to chronic toxicity, which may be due to the responses at the gene and protein level [13,14].

Despite the numerous cytotoxicity studies for gold NPs, which have been investigated *in vivo* and *in vitro*, the results vary based on the type of cells used, the time of exposure, the physical and chemical property and the surface modification of the nanomaterials. *In vitro* studies using human oral cancer cell (HSC-3) have demonstrated that gold NPs enter the cytoplasm, mitochondria, and nucleus of the cells[15]. Few publications claim toxicity of gold NPs at a lower concentration than 1 ppm [16]. However, when increased to 10 ppm or higher, increased expression of apoptosis, oxidative stress, and pro-inflammatory genes was reported [17]. Apoptosis, autophagy, DNA damage, and necrosis were also observed in studies in HSC-3, HeLa cells, and liver of BALB/c mice [18-20]. Furthermore, it has been shown that the higher levels

of oxidative stress generated by the large surface of gold NPs, which cause DNA and Mitochondrial

damage, further disrupts cellular homeostasis [19].

Biodistribution is a key factor to understand how NPs accumulate and interact with each organ. The

current quantification techniques require the isolation of each internal organ or the fluorescent/radio

imaging modalities to modulate the whole animal. As well as the biodistribution of the NPs, to understand

how each organ responds to NPs *in vivo* is a huge challenge in humans but it is possible in small animals.

Studies in mice show that 13 nm PEG-coated gold NPs were mainly deposited in the liver, kuffer cells,

and the spleen [21]. While other studies in rats proved 18 nm gold NPs were accumulated in the liver and

spleen, but 1.4 nm mainly in the kidney [22]. The intestine of *C. elegans*, have the combined function of

liver, spleen and kidney, accumulates most of the gold NPs [23]. Although these organs accumulate gold

NPs, further investigations should be focused on how each organ responds to the gold NPs, and how the

expression of genes in each tissues restore homeostasis.

C. elegans is a transparent animal with multiple important signaling pathways conserved in mammals,

like autophagy, insulin, and apoptosis signaling pathways [24]. We analyzed the RNA sequencing data set

(GSE32521) from gold NPs exposed worm. However, different with the biodistribution data, the results

showed that the nerve system was the most affected when exposing organisms to gold NPs. Further

studies show that the oxidative stress transcription factor DAF-16 and HSF-1 are the main transcription

factors that regulate the gold NP-induced gene expressions. The ion-channel protein analysis indicated

that the potassium and solute carrier (SLC) channels are the most altered channels by gold NPs, which

could be the direct way of how gold NPs affect neuron activity.

Materials and Methods

RNA sequencing data Analysis

The RNA sequencing data, reference series GSE32521, was obtained from Gene Expression Omnibus,

National Center for Biotechnology Information. Age-synchronized L3 worms were exposed to 4 nm gold

NPs with a concentration of 5.9 mg/L in 50% K-Medium. Then they were cultured with 50% K-Medium

and left for another 12h. The RNA was extracted from each of the replicates. Significantly changed genes

with 1.5 fold and $p < 0.05$ and FDR < 0.1 were analyzed [23].

Gene Expression Analysis

The volcano plot was generated by R (version 3.5.2) using package ggplot2. Significantly changed genes

with 1.5 fold and $p < 0.05$ were marked red. Genes with 1.5 fold and $p > 0.05$ were marked blue. The

tissue enrichment analysis was proceeded by Gene Set Enrichment Analysis function of Wormbase

(https://www.wormbase.org/tools/enrichment/tea/tea.cgi) [25]. The color of each sub-tissue was marked

based on the tissue. The motif sequence was analyzed using the motif discovery function of MEME

(http://meme-suite.org/tools/meme) [26]. The length of the enriched motifs was set between 6 to 50

nucleotides.

Protein function and sub-cellular localization analysis

The protein-protein function analysis was measured using genes that significantly increased in

expression. The co-expression, genetic interactions and physical interactions were used as parameters

to analyze the interaction using Genemania (https://genemania.org/) [27]. The top 20 genes with increased

expression   were   marked   by   differences   in   function   using   STRING

(https://string-db.org/cgi/input.pl?sessionId=pSsnm1zTtNsB&input_page_show_search=on) [28].

The sub-cellular localization analysis was investigated based on the structure domain and signal

sequence using iLoc-Animal (http://www.jci-bioinfo.cn/iLoc-Animal) [29]. The number of enriched proteins

in each organelle was shown by using a heat map.

Ion Channel protein Analysis

The proteins specific to each ion channel were isolated from RNA sequencing data as previously

reported [30]. The genes significantly changed in expression, were analyzed and data was shown using a

heat map generated by R. The activation of each ion channel was predicted based on the change in

expression of ion channel proteins.

Results

The nervous system is the most affected by gold NPs

In mice, the liver and spleen are the target organs for gold NPs accumulation [21]. In *C. elegans*, the

intestine plays a role as the liver, kidney, spleen, and stomach, which is also the organ that has most gold

NPs accumulated [23]. For the worms treated with 4 nm gold NPs, 773 genes were significantly increased

for at least 2-fold and 268 genes were significantly decreased for at least 2-fold (Fig. 1A). The tissue

enrichment assay showed that 62.8% of the significantly altered genes are localized in neuron cells,

14.9% enriched intestine, 7.8% for reproductive system, 1.6% for muscles and 13% for other tissues (Fig.

1B). The PVD neuron and outer labial sensillum have genes significantly increased and decreased, while

the thermosensory neuron, amphid sensillum, pharyngeal interneuron, ASE, lateral ganglion, touch

receptor neuron, BDU and retrovesicular ganglion have genes only significantly increased, nerve ring

and lateral, dorsal nerve cord have genes only significantly decreased (Fig. 1C). The intestine enriched

genes show a significant increase only. Meanwhile, the reproductive system and muscle enriched genes

shows a significant decrease. From the analysis of the promoter sequence of all the significantly

increased genes, a conserved 43 base pairs motif with an e value of 1.5^{-222} was found. This result

indicates that the nervous system is the most affected organ by gold NPs exposure and most of the

significantly increased genes are enriched in the nervous system and intestine. Most of the significantly

decreased genes are enriched in the reproductive system and muscle.

Gold NPs regulate development and reproduction through the nervous system

To further understand how gold NPs interact with the nervous system, the significantly increased genes

which enriched in the nervous system were analyzed by protein-protein interaction assays. In all the

significantly increased genes, 20 of them showed more interaction with other proteins (Fig. 2A). Function

analysis showed that they are involved in cellular component organization (*let-2, dyn-1, unc-51, unc-54,*

chc-1, ama-1, sec-24.2, eif-3.B, tfg-1, and *F13B9.1*), reproduction *(let-1, dyn-1, snx-14, unc-54,*

H28O16.1, dlst-1, chc-1, copb-1, csnk-1, eif-3.B, ama-1, tsr-1, sec-24.2, and *tfg-1*), multicellular

organismal development (*let-1, dyn-1, T22F3.3, unc-54, H28O16.1, dlst-1, chc-1, csnk-1, eif-3.B, ama-1,*

tsr-1, sec-24.2, pme-5, and *tfg-1*) , nematode larval development (*let-2, dyn-1, unc-51, T22F3.3, unc-54,*

H28O16.1, dlst-1, chc-1, csnk-1, eif-3.B, ama-1, sec-24.2, and *tfg-1*) and embryo development in birth or

egg hatching (*let-1, dyn-1, T22F3.3, H28O16.1, dlst-1, chc-1, csnk-1, eif-3.B, ama-1, tsr-1, sec-24.2,*

pme-5 and *tfg-1*) (Fig. 2B). To further understand how gold NPs affect the function of the nervous system,

gene ontology analysis was proceeded by the list of nervous system enriched genes. From the 32

significantly enriched terms, 10 of them were related to development, including larval, embryo, genitalia,

multicellular organism, vulval, gonad, germ cell, and dendrite development. Several cell signaling

pathways were shown to be affected, as endocytosis, apoptosis, phosphorylation, cell differentiation,

secretion. There are also some phenotype related changes as reproduction, locomotion, morphogenesis,

oviposition, lifespan, oogenesis and oocyte maturation (Fig. 2C). These results indicated that Gold NPs

exposure regulates development and reproduction through the nervous system.

Gold NPs damage the nervous system mainly through oxidative stress.

Since the nervous system was the most affected organ by gold NPs exposure, we further investigated

the mechanism of how gold NPs affect the nervous system function. Oxidative stress has been reported

when the cells and mice were exposed to gold NPs [19,21]. We analyzed our data by comparing the reactive

oxygen species signaling in C. elegans dataset (GSE54024) [31]. From all the genes significantly

increased and enriched in the nervous system, 26.5% of them were related to reactive oxygen species

signaling pathway (Fig. 3A). Gene ontology showed these 62 genes were related to development,

reproduction endocytosis, locomotion and egg hatching (Fig. 3B). In *C. elegans*, the transcription starts

from the transcription factor binding site by recognizing specific DNA motif. HSF-1 and DAF-16 are the

most well-known oxidative stress regulation transcription factors in *C. elegans* [32,33]. The motif in the

promoter region that HSF-1 and DAF-16 binds to have been well established (). From the DNA sequence

analysis near the promoter region, 62 out of 62 genes contain HSF-1 binding motif and 59 out of 62

genes contain DAF-16 binding motif (Fig. 3C). This result indicates that the nervous system regulates

gold NPs exposure induced oxidative stress mainly through transcription factor DAF-16 and HSF-1.

Gold NPs enriched oxidative stress genes are mainly expressed in the cytoplasm and nucleus in neuron

cells.

Since oxidative stress is the main way for gold NPs to damage neuron cells, we further analyzed the

subcellular distribution of the significantly increased and nervous system enriched genes that related to

the reactive oxygen species signaling pathway. From the protein sequence based subcellular localization

prediction, 57 out of 62 genes have been localized to each specific organelle. Forty genes were predicted

to be localized in the cytoplasm, 23 in the nucleus and 3 in the mitochondrion (Fig.4A and 4B). Neuron

cells communicate with each other by using signal molecules to send and receive ions and transporters.

Therefore, changes in ion channel proteins, transporters, receptors, motor proteins and complexes have

a huge impact on the stress response, movement and energy metabolism of the organism. Hereby, we

analyzed the ion channel genes that significantly changed in expression when worm exposed to gold

NPs. The *twk-47* from K+ channel, *ncx-2* d *nkcc-1* from SLC channel, *nep-17* and *nep-18* from

neuropeptides metabolism. The *egl-30, gpb-1* and *snx-14* from downstream of GPCRs, the *dlg-1, afd-1*

and *shn-1* from PDZ domain proteins, the *klp-7* and *klp-10* from kinesin-like motor proteins, the *hum-7*

from myosin motors, the *unc-52* and *mig-6* from extracellular immunoglobulin and leucine-rich repeat

domain-containing proteins and the *hmr-1* from cadherins were significantly increased in *C. elegans*

exposed to gold NPs. This result indicates that the proteins affected by gold NPs exposure were mostly

located in the cytoplasm and nucleus. The communication of neuron cells might be affected through

several significantly changed channel proteins and neuron transmitter proteins.

Discussion

Considering the growing interest in gold NPs used in nanomedicine and target drug delivery, the potential

health effect of gold NPs need to be well studied. A lot of research has been done to investigate the effect

of gold NPs on cancers, the lung and neuron cells [5,18,34]. More detail needs to be investigated at the

organ level. Although biodistribution assays have been done on *C. elegans* and mice [23,35], the organ

(intestine for worm, liver, kuffer cells and spleen for mice) have most gold NPs accumulated, has a

higher possibility to be the most affected organ. However, based on the analysis of whole-body RNA-seq

data from *C. elegans,* our research shows the nervous system was most affected.

Many researchers have demonstrated that gold NPs exhibit less cytotoxic and genotoxic effects

compared to other nanomaterials like Zn and Ag NPs [36,37]. However, research in *Drosophila*

melanogaster reported the gold NPs induces decreased infertility and numbers of progeny, increased in

aberrant phenotype [38]. These results indicating that gold NPs might affect the development of animals,

mostly in the reproductive system. Similar research conducted in *C. elegans* claimed that gold NPs

maternal exposure causes a trans-generational effect [39]. Furthermore, gold NPs disrupt eye

development and pigmentation in Zebrafish [40]. Our finding claimed that most of the nervous system

enriched genes, which significantly changed in expression in gold NPs exposed worms, are development

related genes. Future studies will investigate how neurons regulate development when exposing to gold

NPs.

Many cellular responses induced by gold NPs, such as unfolded protein stress, apoptosis and DNA

damage, are characterized by an increase in the oxidative stress. Previous experiments demonstrated

that 1.4 nm gold NPs induce necrosis in HeLa cells by oxidative stress further amplified by mitochondrial

damage [19]. Gold NPs induced apoptosis and upregulation of pro-inflammatory genes were discovered [17].

The researcher claimed that smaller Au NPs with larger surface area, might yield higher levels of

oxidative stress. Gold NPs with a diameter less than 50 nm can easily penetrate the cell membranes and

enter the nucleus of the cells [41-44].

Gold NPs modulate neural activity has been studied in multiple nervous systems [45-48]. It has been shown

that gold NPs increase neurite length, promoter adhesion and proliferation, change the action potentials,

and affect Ca^{2+} influx [49-51]. Furthermore, engineered gold NPs were able to bind to sodium channels,

transient receptor potential vanilloid member 1 channels, and P2X3 receptor ion channel in dorsal root

ganglion neurons, which affect the neuron-neuron communication [48]. Our data showed that genes related

to potassium channel, SLC channel, GPCR, cadherins, motor proteins were upregulated by gold NPs

exposure, which indicated that gold NPs might have affected the function of related channels. Further

research should be conducted on related channels.

Acknowledgement

We are grateful to our colleagues Cristina Johnson Kay and Nicole Encalada for critical reading of the

manuscript.

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Figure Legends

Figure 1. The nervous system is the most affected organ in *C. elegans* after gold NPs exposure. (A)

Volcano plot depicting changes in gene expression in *C. elegans* after gold NPs exposure was analyzed.

Gene fold changes are plotted against a *P* value. Genes with upregulated or downregulated by more than

1.5 fold and with a FDR less than 0.05 are marked as blue circles. Genes with upregulated or

downregulated by less than 1.5 fold and with a FDR more than 0.05 are marked as red circles. (B) Pie

graph and (C) bar graph of the tissue enrichment analysis of genes upregulated (blue) and

downregulated (red) in gold NPs exposed *C. elegans* group. (D) DNA motif sequence enriched in the

promoter region of genes upregulated in *C. elegans* after gold NPs exposure.

Figure 2. The protein-protein interaction analysis of genes upregulated in the gold NPs exposed C.

elegans group. (A) The protein-protein interaction map of genes upregulated in the gold NPs exposed C.

elegans group. (B) The protein-protein interaction between the top 20 upregulated genes. The biological

process of each gene was marked based on gene functions. (C) Bar graph of the biological process

analysis of genes upregulated in the gold NPs exposed *C. elegans* group based on *P* value. The

development related biological process was marked as blue, others was marked as yellow.

Figure 3. The gold NPs induced oxidative stress in the nervous system were regulated through

transcription factor DAf-16 and HSF-1. (A) Venn diagram of genes enriched in oxidative stress induced

by treatment with the pro-oxidant paraquat and genes enriched in nervous system induced by gold NPs.

(B) The word cloud based on the function of the genes in oxidative stress and enriched in nervous

system. (C) The location of DNA motif recognized by transcription factor HSF-1 and DAF-16 in the

promoter region of acly-1, sec-16 and mex-5.

Figure 4. The gold NPs induced oxidative stress affects multiple organelles in neuron cells and their

communication . (A) The heat map and (B) the schematic diagram of subcellular location of the oxidative

stress genes enriched in neuron cells. (C) The heat map and (B) the schematic diagram of the oxidative

stress affected channel genes which functions in neuron cell communication.

Figure 1

Figure 2

Figure 3

Figure 4