

The relative impact of evolving pleiotropy and mutational correlation on trait divergence.

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Abstract

Both pleiotropic connectivity and mutational correlations can restrict the decoupling of traits under divergent selection, but it is unknown which is more important in trait evolution. In order to address this question, we create a model that permits within-population variation in both pleiotropic connectivity and mutational correlation, and compare their relative importance to trait evolution. Specifically, we developed an individual-based, stochastic model where mutations can affect whether a locus affects a trait and the extent of mutational correlations in a population. We find that traits can decouple whether there is evolution in pleiotropic connectivity or mutational correlation but when both can evolve then evolution in pleiotropic connectivity is more likely to allow for decoupling to occur. The most common genotype found in this case is characterized by having one locus that maintains connectivity to all traits and another that loses connectivity to the traits under stabilizing selection (subfunctionalization). This genotype is favoured because it allows the subfunctionalized locus to accumulate greater

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effect size alleles, contributing to increasingly divergent trait values in the traits under divergent selection without changing the trait values of the other traits (genetic modularization). These results provide evidence that partial subfunctionalization of pleiotropic loci may be a common mechanism of trait decoupling under regimes of corridor selection.

1 Introduction

2 One of the central problems in evolutionary biology is understanding the
3 processes through which new traits arise. One process that can lead to the
4 creation of new traits is when existing traits become differentiated from one
5 another because they are selected for a new purpose (Rueffler et al., 2012).
6 There has long been evidence that this can happen through gene duplica-
7 tion followed by trait decoupling (Muller, 1936; Ohno, 1970; Rastogi and
8 Liberles, 2005; Han et al., 2009). One example in vertebrates is the dif-
9 ferentiation of forelimbs from hind limbs, where the same gene that was
10 responsible for both fore and hind limb identity in development diverged
11 (Graham and McGonnell, 1999; Minguillon et al., 2009; Petit et al., 2017).
12 In this case, the paralogous genes *Tbx4/Tbx5* that encode transcription fac-
13 tors for fore/hindlimb identity likely evolved from the same ancestral gene,
14 and their expression differentiated after duplication (Minguillon et al., 2009).
15 Somehow during selection for functional divergence, there was a decoupling
16 of genetically integrated traits, which allowed them to respond to selection as
17 independent genetic modules (Wagner and Altenberg, 1996; Hansen, 2006).
18 Genetic decoupling was likely also responsible for the evolution of trait di-
19 vergence in vertebrate metameric segmentation into differentiated somites,
20 and the emergence of cell differentiation in multicellular organisms (Holley
21 2007; Wagner et al. 2019; but see Newman 2020).

22 Although modular structures in phenotypic covariation (where pheno-
23 typic variation is more correlated within groups of traits than between them)
24 are found in a wide range of organisms, including yeast, round worms, mice,

25 and humans (Jiang et al., 2008; Wang et al., 2010; Hlusko, 2016), the un-
26 derlying genetic architectures producing genetic integration between traits
27 are still uncertain. Genetic integration, constraining the decoupling of traits,
28 may arise from pleiotropic connections between loci and traits, where they
29 may or may not create genetic and phenotypic covariation (Batz and Wag-
30 ner, 1997; Kenney-Hunt et al., 2008; Smith, 2016). When selection favours
31 the divergence of traits, the constraining effect of pleiotropy may come in
32 two forms: a pleiotropic connectivity effect **or** a mutational correlation ef-
33 fect (Stern, 2000). A pleiotropic connectivity effect depends on how highly
34 pleiotropically connected a gene is. For instance, a gene product (e.g. en-
35 zyme, transcription factor, etc.) may affect more than one trait (or func-
36 tion) by having multiple substrates or binding sites, thus affecting multiple
37 downstream processes. This may constrain the evolutionary divergence of
38 those traits because the effect of a mutation beneficial for one trait may be
39 deleterious for other traits (when those other traits are under stabilizing se-
40 lection). It is expected that the net fitness effect of a pleiotropic mutation is
41 decreased in proportion to the number of traits it affects (Orr, 2000; Welch
42 and Waxman, 2003; Martin and Lenormand, 2006). Therefore, a pleiotropic
43 connectivity effect can constrain divergent trait evolution even without creat-
44 ing genetic correlation among traits (a.k.a, hidden pleiotropy Wagner, 1989;
45 Batz and Wagner, 1997). Whereas, a mutational correlation effect is the
46 effect of a mutation affecting how correlated are the effects of mutations at
47 pleiotropic loci. Thus, a mutational correlation effect may induce correlated
48 changes in the traits affected by pleiotropic loci. However, the strength of
49 the correlational effect of the mutations is not dependent on the number of
50 traits affected but on the properties of the genes, processes, or traits affected.
51 When those effects are correlated among traits, they can constrain trait de-
52 coupling in addition to those caused by the dimensionality of the pleiotropic
53 loci (Lande, 1979; Arnold, 1992; Stern, 2000).

54 Biological examples may help to illuminate the distinction between the

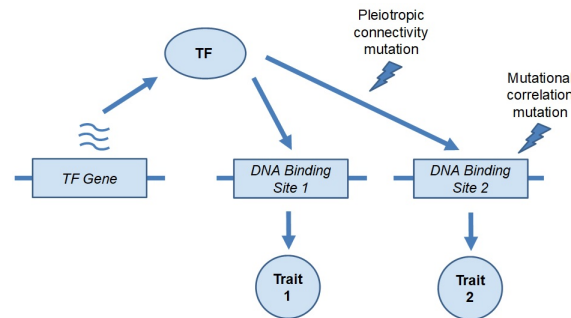


Figure 1: The two types of mutations affecting pleiotropic effects using a transcription factor (TF) as an example.

55 two types of pleiotropy that can hinder the decoupling of traits. Imagine
56 a transcription factor (TF) that has multiple target binding sites affecting
57 the expression of multiple genes, which in turn affect several traits. If the
58 binding sites have identical sequences, then mutations in the gene encoding
59 the TF are expected to be in perfect correlation with respect to their effects
60 on the traits. In this scenario, a mutational correlation mutation may be a
61 mutation in one of the binding sequences that leads to differential binding of
62 the transcription factor (Figure 1). Now, that the binding sites are no longer
63 identical, mutations in the gene encoding the TF may no longer have per-
64 fectly correlated effects on the traits. As the name suggests, the mutational
65 correlation mutation has affected the correlation between effects of mutations
66 in the TF's gene on the traits it affects. Whereas, another type of mutation
67 might affect a TF's access to one of its binding sites (e.g. by methylating
68 the DNA in the region of that binding site). If this type of mutation causes
69 the TF to affect more or less traits than it did before the mutation, it would
70 be considered a pleiotropic connectivity mutation.

71 Both pleiotropic connectivity and mutational correlations can evolve as a
72 result of divergent selection and affect the ability of traits to decouple from
73 one another. Although previous models have included either evolution in
74 pleiotropic connections or mutational correlation, their relative importance

75 in constraining trait decoupling remains to be seen (Jones et al., 2003, 2007;
76 Melo and Marroig, 2015; Chebib and Guillaume, 2017). Here we attempt
77 to answer this question by using stochastic simulations, where individuals
78 in a population can vary in both pleiotropic connections and mutational
79 correlations, while applying divergent selection on some traits but not others,
80 and then observing what affects the decoupling of traits.

81 **Methods**

82 *Simulation model*

83 We modified the individual-based, forward-in-time, population genetics
84 simulation software Nemo (v2.3.46) (Guillaume and Rougemont, 2006) to
85 allow for the evolution of pleiotropic connectivity and mutational correla-
86 tions. The simulations consisted of a single population of size N of randomly
87 mating, hermaphroditic, diploid individuals, with a probability $1/N$ of self-
88 fertilization, similar to a classical Wright-Fisher population model. Each
89 individual had two pleiotropic QTLs affecting four traits. The phenotypic
90 value of each trait, z_i , was calculated by adding the allelic values at the two
91 loci: $z_i = \sum_{l=1}^L (X_{i,l} + Y_{i,l})$, where X is the maternally inherited allele and
92 Y the paternally inherited allele, i is the trait number ($i \in [1, 4]$), and L
93 is the locus number ($L \in [1, 2]$) (Figure 2). For simplicity, we assumed no
94 environmental variance (i.e. heritability is 1).

95 Generations were non-overlapping and consisted of three main stages:
96 mating, viability selection, ageing. In the mating stage, pairs of individuals
97 were chosen to produce offspring (with a mean fecundity of three offspring
98 to ensure population size replenishment). It was during the mating stage
99 that recombination between loci and mutations occurred. In the viability
100 selection stage, Gaussian stabilizing selection was applied on offspring and
101 determined the survival probability of individuals, whose fitness was calcu-
102 lated as $w = \exp \left[-\frac{1}{2} ((\mathbf{z} - \theta)^T \cdot \mathbf{\Omega}^{-1} \cdot (\mathbf{z} - \theta)) \right]$, where \mathbf{z} is the individual
103 trait value vector, θ is the vector of local optimal trait values, and $\mathbf{\Omega}$ is the

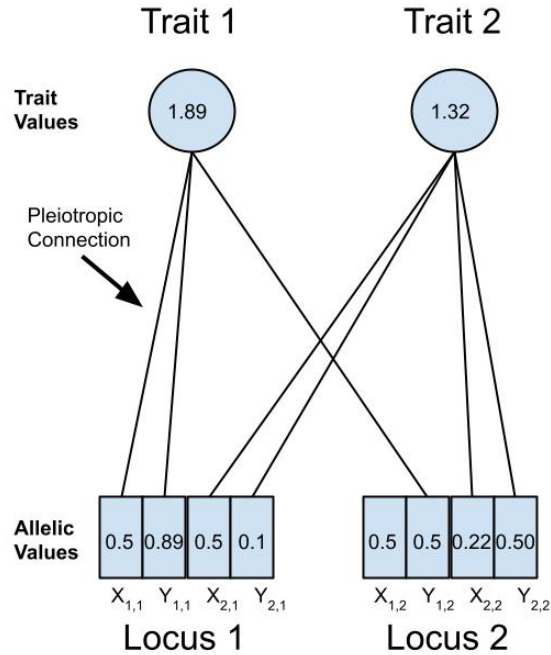


Figure 2: Pictorial representation of quantitative trait locus (QTL) alleles and the traits they affect with example values for illustration. Here, Trait 1 has a value of 1.89 determined by the sum of allelic values ($X_{1,1}$, $Y_{1,1}$, and $Y_{1,2}$) pleiotropically connected to it from Locus 1 ($0.5 + 0.89$) and Locus 2 (0.5), where $Y_{1,2}$ represents the maternally inherited allele of Locus 2 that affects Trait 1. Trait 2 is affected differently by the two loci and has a value of 1.32 ($0.5 + 0.1 + 0.22 + 0.5$). The allelic values of a QTL were affected by mutation at a rate of μ . The pleiotropic connections between a QTL and a trait could be removed or added by mutation at a rate of μ_{pleio} , and toggled whether an allelic value was added to a trait value or not.

104 selection variance-covariance matrix ($n \times n$, for n traits) describing the mul-
 105 tivariate Gaussian selection surface. The Ω matrix is a diagonal matrix with
 106 diagonal elements corresponding to the strength of selection, ω^2 , on each
 107 trait (where strength of selection scales inversely with ω^2), and off-diagonal
 108 elements corresponding to the strength of correlational selection, ρ_{wij} , be-
 109 tween traits i and j . In the ageing stage, the adults were removed from
 110 the population and the offspring matured into breeding adults for the next

111 generation.

112 Three types of mutations (each with a separate mutation rate) were pos-
113 sible: mutations at the additive QTL affecting the traits, mutations at a set
114 of modifier loci separately affecting the correlation of the mutational effects
115 at the additive QTL, and mutations affecting the connectivity of the QTL to
116 the traits, reducing or increasing the pleiotropic degree (the number of traits
117 a locus affects) of each locus. The first type of mutations changed the allelic
118 values of a QTL by randomly drawing effects from a multivariate normal dis-
119 tribution, with variance-covariance matrix \mathbf{M} . The mutational effects were
120 added to the existing allelic values at the QTL (continuum-of-alleles model;
121 Crow and Kimura, 1964). These mutations appeared at a rate given by μ .
122 The variance of the mutational effect for all traits were constant and set at
123 $\alpha^2 = 0.1$ in the diagonal of the \mathbf{M} -matrix. Each pairwise trait covariance of
124 the \mathbf{M} matrix was governed by its own separate modifier locus. We will refer
125 to variance-standardized covariance values, or mutational correlation $\rho_{\mu ij}$ as
126 the off-diagonal elements of the \mathbf{M} matrix. As \mathbf{M} is a 4×4 symmetrical ma-
127 trix, the 6 $\rho_{\mu ij}$ coefficients were controlled by 6 diploid modifier loci, carried
128 by each individual and inherited in the same manner as the additive QTL.
129 Each individual thus carried its own \mathbf{M} matrix. The second type of mutation
130 thus changed these mutational correlation allelic values by randomly drawing
131 from a uniform distribution ($-0.2 * \log[1 - \mathbf{U}(0, 1)]$), and adding the effect to
132 the existing allelic value (which was bound between -1 and 1). These muta-
133 tions appeared at a rate given by μ_{mutcor} . In order to get a particular muta-
134 tional effect correlation, $\rho_{\mu ij}$, the two mutational correlation allelic values of
135 the corresponding modifier locus were averaged together (Figure 3). All the
136 pairwise mutational effect correlations ($\rho_{\mu ij}$) were combined with mutational
137 effect variances (α_i^2) to create the \mathbf{M} matrix for an individual, whenever a
138 mutational effect on a QTL that directly affected traits was required. The
139 third type of mutation affected the pleiotropic connections between QTLs
140 and traits, determining whether the allelic value of a QTL was added to a

141 trait value. A mutation of this type affected the pleiotropic connections be-
 142 tween a trait and the maternally or paternally inherited alleles separately.
 143 Thus, QTLs could be ‘heterozygotes’ in their pleiotropic degree depending
 144 on the pleiotropic degree of the maternally and paternally inherited alleles.
 145 These mutations appeared at a rate given by μ_{pleio} (Figure 2).

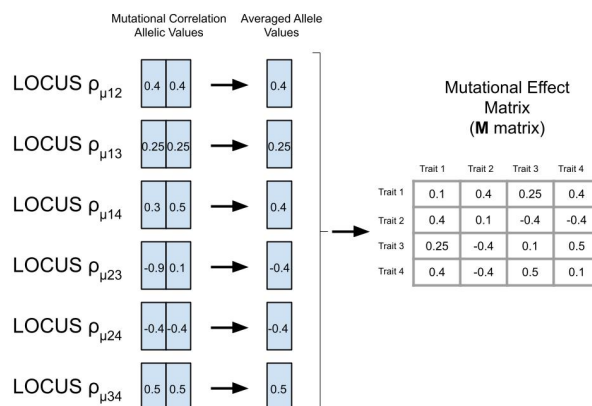


Figure 3: Pictorial representation of the modifier loci that contained the allelic values for producing the pairwise mutational effect correlations ($\rho_{\mu ij}$) between Traits i and j . The allelic values of a modifier locus were affected by mutation at a rate of μ_{mutcor} , and were averaged together to produce the corresponding correlation for the **M** matrix. The mutational effect variances, α^2 , remained static with a value of 0.1 for all traits.

146 *Experimental design*

147 To understand the impact of divergent selection on the structure of ge-
 148 netic architecture, simulations were run with a population of 500 individuals
 149 that had two additive loci underlying four traits (Figure 4). The initial
 150 conditions were set to full pleiotropy (each locus affecting every trait) and
 151 strong mutational correlations between trait pairs ($\rho_{\mu} = 0.99$). This way,
 152 mutational effects in phenotypic space were highly constrained to fall along
 153 a single direction, and reducing variation for divergent selection. All traits
 154 had an initial phenotypic value of 2 with equal allelic values of 0.5 at each
 155 allele of the two QTL.

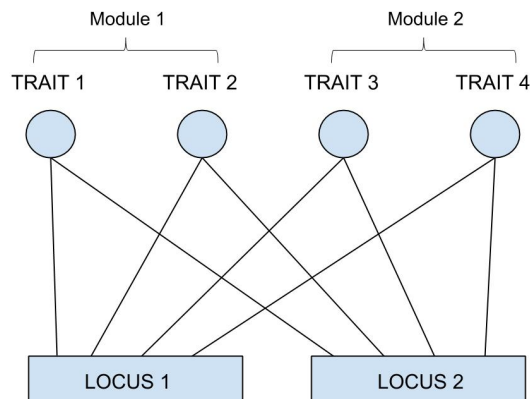


Figure 4: Pictorial representation of the genetic architecture modelled within individuals at the start of the simulations, with 2 loci, 4 traits, and full pleiotropic connectivity between them.

156 Selection regimes were designed to mimic divergent selection between
157 trait modules, where Trait Module 1 included Traits 1 and 2, and Trait
158 Module 2 included Traits 3 and 4. Initially, optimum trait values, θ_k , ($k \in$
159 $1, 2, 3, 4$), were all set to 2 (the same as the initial trait values). There
160 was moderately strong stabilizing selection on each trait ($\omega^2 = 5$), strong
161 correlational selection between traits in the same trait module ($\rho_{\omega 12} = \rho_{\omega 34} =$
162 0.9), and no correlation between traits in different trait modules ($\rho_{\omega 13} =$
163 $\rho_{\omega 14} = \rho_{\omega 23} = \rho_{\omega 24} = 0$). After this, divergent directional selection proceeded
164 by maintaining constant optimal trait values for Traits 3 and 4 ($\theta_3 = \theta_4 =$
165 2) and increasing the optimal trait values for Traits 1 and 2 by 0.001 per
166 generation for 5000 generations, bringing the trait optima to $\theta_1 = \theta_2 = 7$
167 (corridor model of selection *sensu* Wagner, 1984; Bürger, 1986). These 5000
168 generations of divergent, directional selection on Traits 1 and 2 were then
169 followed by 5000 generations of purely stabilizing selection.

170 In order to compare the differential effects of evolving pleiotropic connec-
171 tivity and evolving mutational correlations on trait decoupling, nine different
172 simulations were run with all combinations of three different rates of muta-

173 tion in pleiotropic connectivity and mutational correlations (μ_{pleio} and μ_{mutcor}
174 = 0, 0.001, or 0.01) representing no evolution, and mutation rates below, at
175 and above the QTL allelic mutation rate ($\mu = 0.001$), respectively.

176 Simulations were also run with initial mutational correlations between
177 all pairs set to 0 ($\rho_{\mu} = 0$) to compare highly constraining genetic architec-
178 ture (within a corridor selection regime) to ones with no constraints in the
179 direction of mutational effects.

180 We observed general patterns of average trait value divergence, popula-
181 tion fitness, genetic correlation, pleiotropic degree (the number of traits a
182 locus affects) and mutational correlation. In the case of pleiotropic degree,
183 the two loci affecting trait values were sorted into a high and low pleiotropic
184 degree locus for each individual before averaging over populations or repli-
185 cates so that differential effects of the two loci were not averaged out in the
186 final analysis. Statistics were averaged over 50 replicate simulations for each
187 particular set of parameter values.

188 Results

189 *Trait divergence and genetic modularity under constraints to genetic decou-* 190 *pling*

191 In the absence of genetic architecture evolution ($\mu_{pleio} = \mu_{mutcor} = 0$),
192 traits are still capable of divergence, but do not follow trait optima closely
193 since traits 3 and 4 get pulled away from their optima as traits 1 and 2
194 increase to follow theirs (Figure 5). With the introduction of variation in
195 genetic architecture through mutation ($\mu_{pleio}, \mu_{mutcor} > 0$), average trait val-
196 ues follow their optima more closely and the capability of trait divergence
197 increases as mutation rates in genetic architecture increases, which leads to
198 higher average population fitness values by generation 5000 (Figure 6). Also
199 by generation 5000, simulations with higher pleiotropic connection mutation
200 rates ($\mu_{pleio} \geq 0.001$ or $\mu_{mutcor} = 0.01$) have distinctly modular genetic cor-
201 relation structures with stronger correlations between traits 1 and 2 than

202 between traits 3 and 4 (Figure 7). But at the highest pleiotropic connec-
203 tivity mutation rate ($\mu_{pleio} = 0.01$) the genetic integration of trait 3 with
204 4 (and even trait 1 with 2) is no longer as strong (i.e., the genetic correla-
205 tion drops, see Figure 7). An increase in pleiotropic connectivity variation
206 has a greater impact on trait divergence evolution and modularization of the
207 genetic architecture of the traits than the same increase in mutational cor-
208 relation variation, which is evident when either μ_{pleio} or μ_{mutcor} is the same
209 as the allelic mutation rate ($\mu = 0.001$). Even when the mutation rate for
210 mutational correlation is at the highest tested ($\mu_{mutcor} = 0.01$), an increase
211 in the mutation rate for pleiotropic connections still improves the ability for
212 traits to diverge, which can be seen in the decrease in variance over replicate
213 simulations (Figure 5).

214 *Effects of pleiotropic connectivity and mutational correlation evolution on*
215 *rate and extent of trait decoupling*

216 When evolution of pleiotropic connections is possible ($\mu_{pleio} > 0$), the most
217 common allele in almost all cases is one that maintains connections to Traits
218 1 and 2, but has lost connections to traits 3 and 4 after two mutational events.
219 This allele is found in Locus 1 or 2 at a frequency of 0.873 averaged over the
220 populations of all simulations where evolution of pleiotropic connections is
221 possible. The allele goes to fixation or near fixation in one locus where its
222 pleiotropic degree decreases from four to two, and this happens more rapidly
223 as μ_{pleio} increases (Figure 8). The decrease in pleiotropic degree resulting
224 from the increase in frequency of this allele coincides with the modularization
225 of genetic correlations, the divergence of traits and the increase in fitness.
226 The proportion of times in which this particular allele becomes common in
227 Locus 1 or in Locus 2 is approximately equal over all simulations (0.491 and
228 0.509, respectively, over 300 simulations) and is never observed in both loci
229 in any one individual.

230 When the mutation rate for pleiotropic connectivity (μ_{pleio}) is zero, mu-
231 tational correlation evolution can still lead to trait divergence but this takes

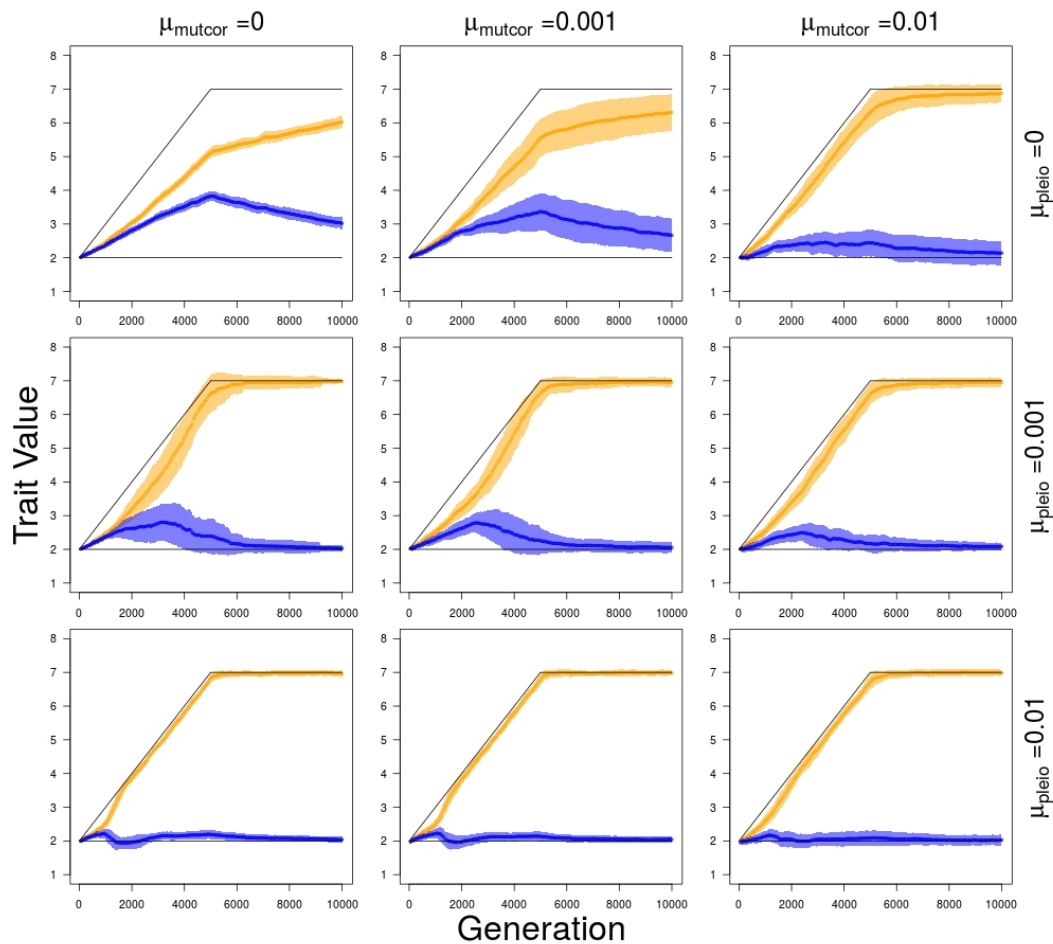


Figure 5: Trait value divergence over 5,000 generations of divergent selection on Traits 1 and 2 (Trait Module 1) followed by 5,000 generations of stabilizing selection for different combinations of mutation rate in pleiotropic connectivity (μ_{pleio}) and mutational correlations (μ_{mutcor}). Orange – average values of Traits 1 and 2; Blue – average values of Traits 3 and 4; Black – trait value optima for Trait Modules 1 and 2. Shaded regions show standard errors of the mean for 50 replicate simulations.

232 longer, does not diverge as fully, and therefore leads to lower population
 233 mean fitness. Evolution of the mutational correlation occurs by a general de-
 234 crease in all mutational correlations between traits at a rate determined by
 235 the mutation rate of mutational correlations (Figure 9). When the mutation
 236 rate at the mutational correlation loci is higher than the pleiotropic muta-

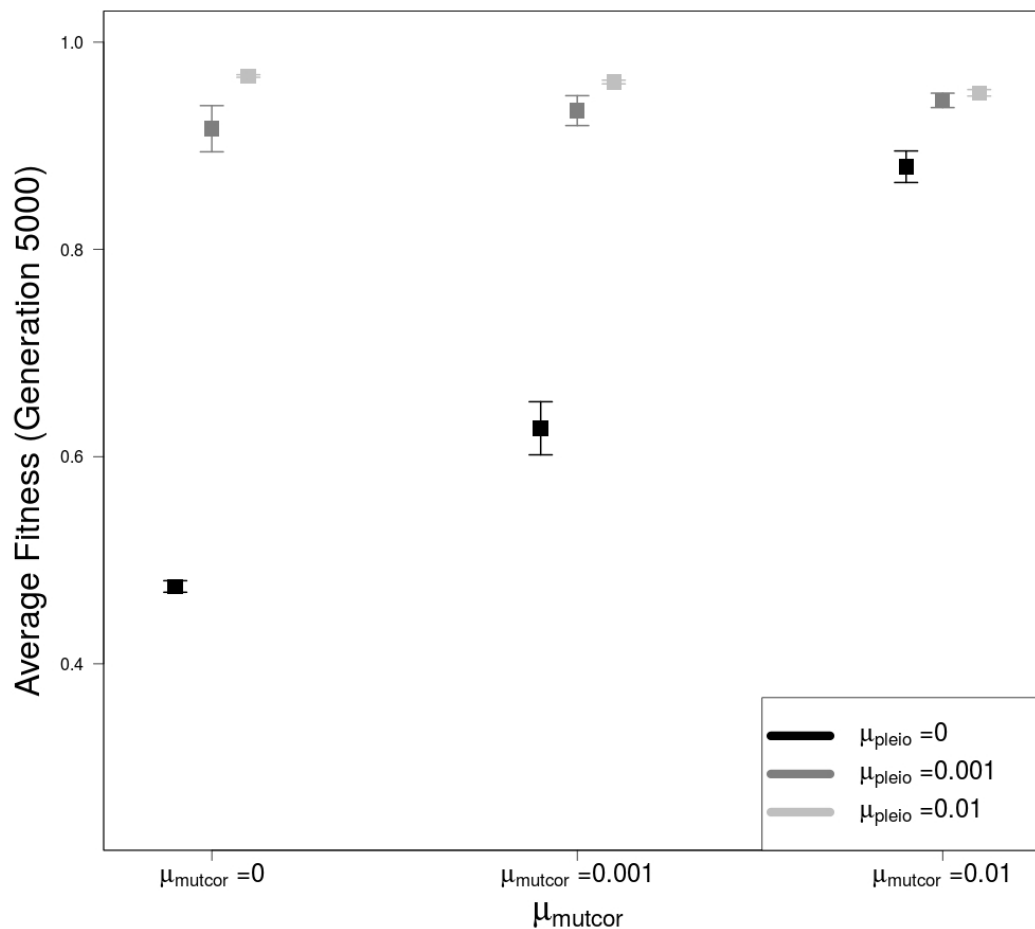


Figure 6: Average population fitness after 5,000 generations of divergent selection on Traits 1 and 2 (Trait Module 1) for different combinations of mutation rate in pleiotropic connectivity (μ_{pleio}) and mutational correlations (μ_{mutcor}). All error bars represent standard errors of the mean for 50 replicate simulations.

237 tion rate then genotypic patterns do emerge where one locus disconnected
238 from Trait 3 combines with lower mutational correlations between Traits 1
239 and 4 or 2 and 4, *or* a locus disconnected to Trait 4 combines with lower
240 mutational correlations between Traits 1 and 3 or 2 and 3 (at frequencies of
241 0.16 and 0.10 over 50 replicates, respectively). But even in the case with a
242 higher mutation rate for mutational correlation than the pleiotropic connec-

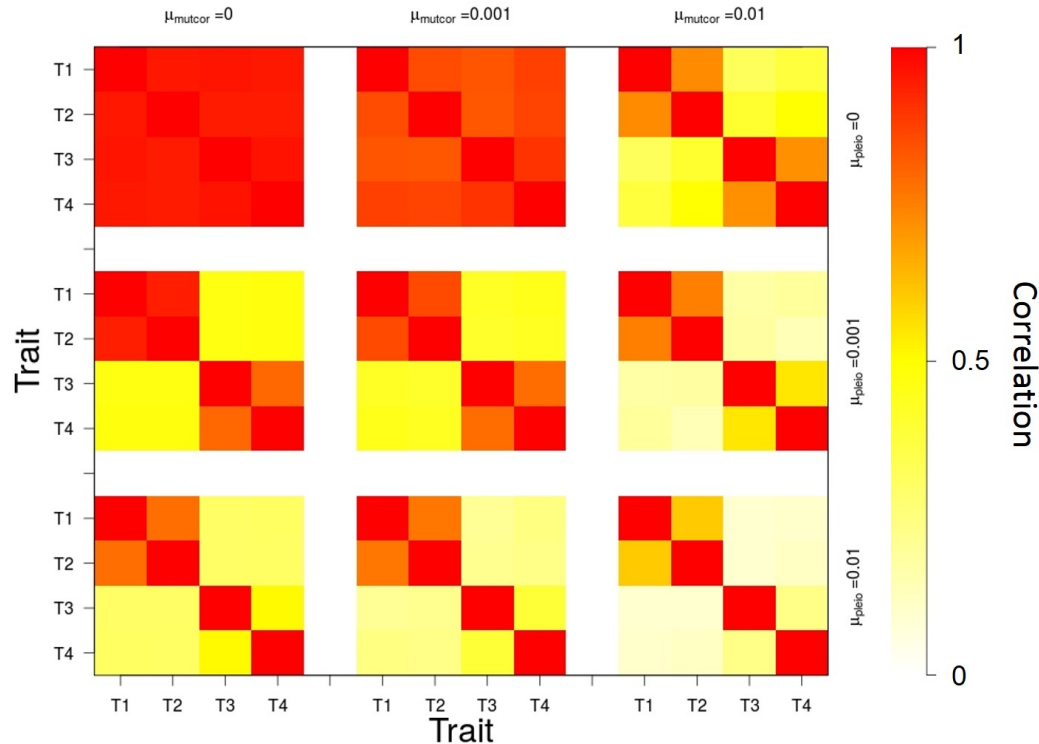


Figure 7: Genetic correlations between traits after 5,000 generations of divergent selection on Traits 1 and 2 (Trait Module 1) for different combinations of mutation rate in pleiotropic connectivity (μ_{pleio}) and mutational correlations (μ_{mutcor}). Red – higher genetic correlation. White – no genetic correlation

243 tivity mutation rates, full subfunctionalization (one locus loses connections
 244 to Traits 3 and 4) is a possible outcome occurring in 18% of 50 replicates
 245 after 5,000 generations.

246 *Effect of mutational correlation initial conditions set to zero ($\rho_{\mu} = 0$ versus*
 247 *$\rho_{\mu} = 0.99$)*

248 In simulations where all mutational correlations are initialized at zero,
 249 there is little to no constraint on trait divergence despite full pleiotropic
 250 connectivity. This can be observed in trait values that follow their optima

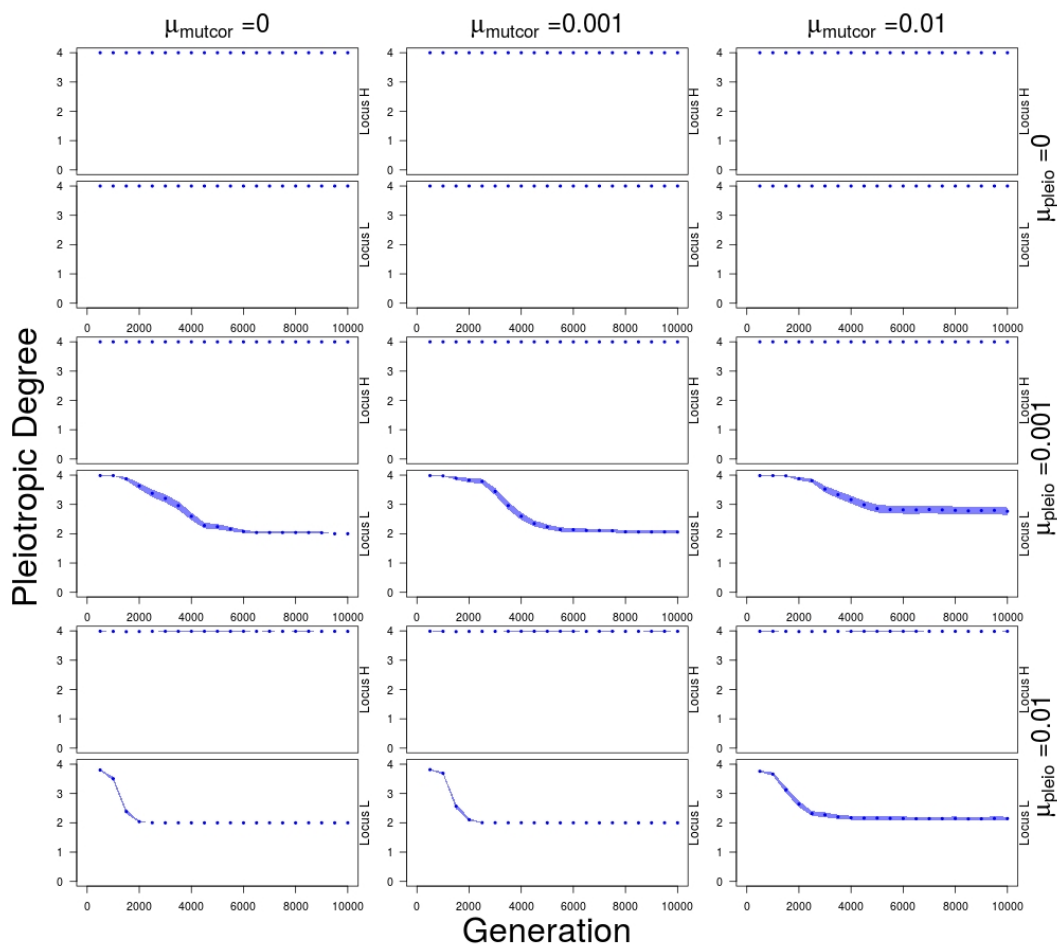


Figure 8: Average number of traits connected to each locus over 5,000 generations of divergent selection on Traits 1 and 2 (Trait Module 1) followed by 5,000 generations of stabilizing selection for different combinations of mutation rate in pleiotropic connectivity (μ_{pleio}) and mutational correlations (μ_{mutcor}). Loci are sorted so that locus with higher pleiotropic degree (Locus H) is always shown above and lower pleiotropic degree (Locus L) shown below. Shaded regions show standard errors of the mean for 50 replicate simulations.

251 closely, leading to little reduction in fitness as optima for Traits 1 and 2
 252 diverge from Traits 3 and 4, with little evolution in mutational correlations
 253 and pleiotropic degree during divergent selection (Figure 10). There are still
 254 patterns of genetic architecture evolution as alleles with lowered pleiotropic
 255 degree still emerge in the populations, but fixation is not common nor are

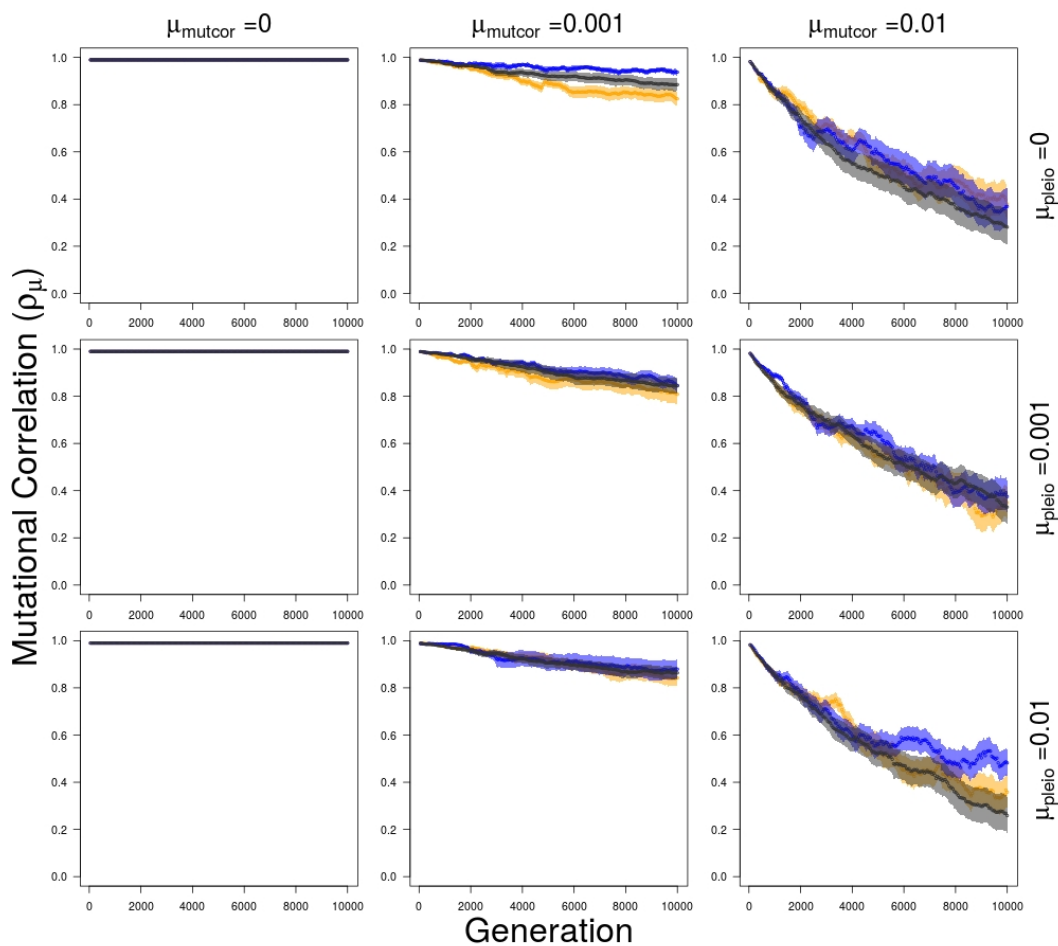


Figure 9: Average within and between trait module mutational correlation over 5,000 generations of divergent selection on Traits 1 and 2 (Trait Module 1) followed by 5,000 generations of stabilizing selection for different combinations of mutation rate in pleiotropic connectivity (μ_{pleio}) and mutational correlations (μ_{mutcor}). Orange – mutational correlation between Traits 1 and 2 (within Trait Module 1); Blue – mutational correlation between traits 3 and 4 (within Trait Module 2); Black – average mutational correlations between Traits 1 and 3, 1 and 4, 2 and 3, and 2 and 4 (between Trait Module 1 and 2). Shaded regions show standard errors of the mean for 50 replicate simulations.

256 any allelic patterns of mutational correlations.

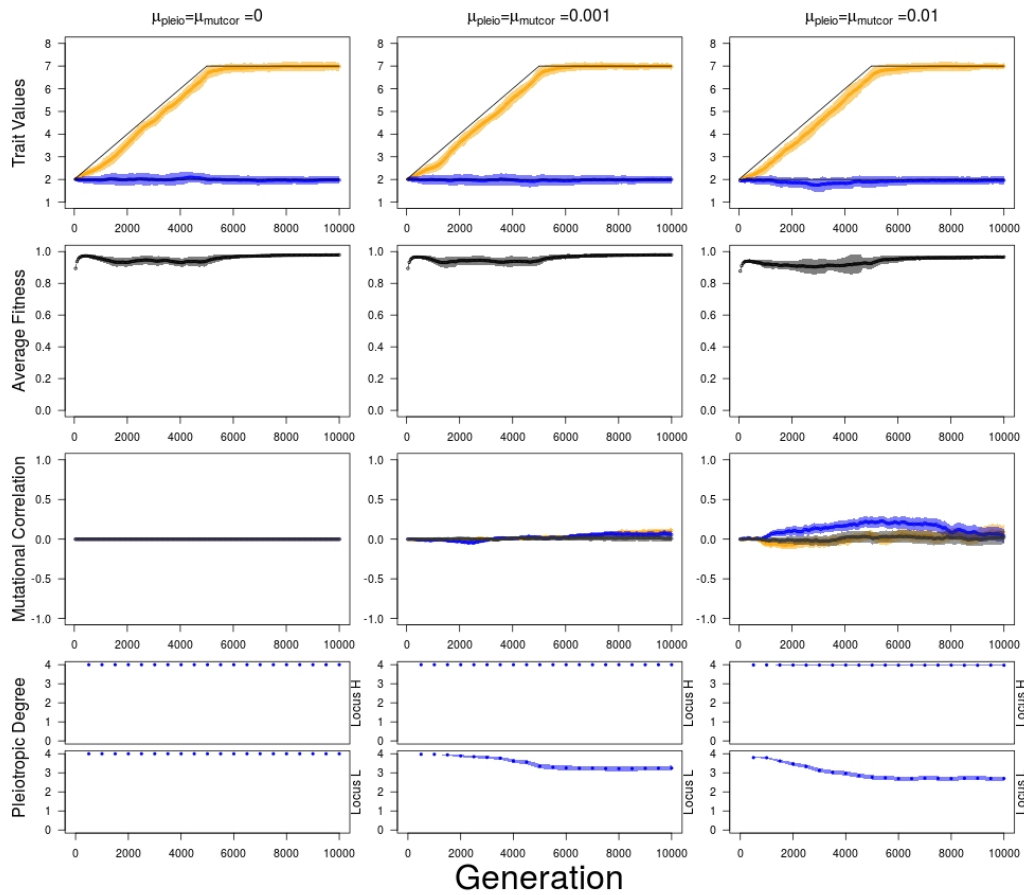


Figure 10: Average trait value, fitness, mutational correlation and pleiotropic degree, when all ρ_{μ} values are initialized to 0, over 5,000 generations of divergent selection on Traits 1 and 2 (Trait Module 1) followed by 5,000 generations of stabilizing selection for different combinations of mutation rate in pleiotropic connectivity (μ_{pleio}) and mutational correlations (μ_{mutcor}). For pleiotropic degree, loci are sorted so that locus with higher pleiotropic degree (Locus H) is always shown above and lower pleiotropic degree (Locus L) shown below. Orange – Trait 1 and 2 values or mutational correlation between Traits 1 and 2 (Trait Module 1); Blue – Trait 3 and 4 values or mutational correlation between Traits 3 and 4 (Trait Module 2); Black – average mutational correlations between Traits 1 and 3, 1 and 4, 2 and 3, and 2 and 4 (between Trait Modules 1 and 2). Shaded regions show standard errors of the mean for 50 replicate simulations.

257 Discussion

258 *Evolution in pleiotropic connectivity and mutational correlation can lead to*
 259 *trait divergence*

260 Previous models of genetic architecture evolution have shown that evo-
 261 lution in pleiotropic connections and mutational correlation can influence

262 genetic correlation between traits and therefore responses to selection, but
263 as far as we are aware this is the first time both have been allowed to evolve
264 in the same model. When a genetic architecture is highly constraining to
265 the decoupling of some traits from others, then evolution of the structure
266 of the genetic architecture itself can clearly facilitate the rate and extent of
267 trait divergence. Although genetic architecture may evolve through changes
268 in pleiotropic connectivity between genes and traits, and in the mutational
269 correlations between traits, the former leads to a greater release of genetic
270 constraints and faster adaptation in the corridor selection regime. A qual-
271 itative distinction exists between these two types of genetic constraints to
272 decoupling for two reasons. First, genetic constraints based on mutational
273 correlation distributions are more difficult targets of selection compared to
274 pleiotropic connections because mutations on modifiers of genetic correla-
275 tions do not affect the trait phenotypes directly, whereas a single allele that
276 differs in its pleiotropic connectivity does. Second, mutational correlations
277 require pleiotropic connections to be effectual on traits (there can be no mu-
278 tational correlations if a QTL affects only one trait), whereas the latter can
279 affect the rate of adaptation regardless of mutational correlation (Baatz and
280 Wagner, 1997; Chebib and Guillaume, 2017).

281 The results of this study corroborate results from previous models of
282 pleiotropic evolution. We observe that divergent selection in the form of the
283 corridor model leads to modular genetic architecture with greater genetic cor-
284 relations between traits *within* trait modules and lower correlations *between*
285 trait modules. This was also the case in both Melo and Marroig (2015) and
286 Pavlicev et al. (2011) under the corridor model. Unfortunately, it is unclear
287 whether patterns of partial modular pleiotropy that were responsible for the
288 emergence of genetic modularity in our study were also observed in these
289 studies because they did not report the most common resulting genotypes
290 after corridor selection. Melo and Marroig (2015) did however vary the mu-
291 tation rate in pleiotropic connectivity (while keeping allelic mutation rate the

292 same) and found that when μ_{pleio} was 10 times greater than μ , there were
293 higher within and between trait module correlation compared to when μ_{pleio}
294 and μ were the same. Though our results corroborate this relationship as
295 well, we cannot deduce the state of the pleiotropic connections that led to
296 those results in their simulations. Their study also did not include evolution
297 in mutational correlations so it is not possible to do a comparison on the rel-
298 ative effects of mutational correlation and pleiotropic connectivity evolution
299 on patterns of genetic modularity. Pavlicev et al. (2011) had a deterministic
300 model with rQTL (modifier loci) that affected the correlations between traits
301 directly instead of affecting the pleiotropic connections, making it difficult
302 to compare patterns of partial modular pleiotropic connectivity. Jones et al.
303 (2007) found “extreme” variation among replicates in the average mutational
304 correlation observed when ρ_{μ} was capable of evolving, similar to what was
305 observed in our study (as well as when simulations were run with the same
306 parameter values as the Jones et al. (2007) study; Supplemental Figure S1).
307 This variation of the evolution of mutational correlation is likely due to an
308 unstable equilibrium in the adaptive landscape in which highly positive or
309 negative mutational correlations have a selective advantage over mutational
310 correlations closer to zero (Lande (1980); Zhang and Hill (2002); Jones et al.
311 (2007); Supplemental Figure S2).

312 *Patterns of pleiotropy*

313 What explains the emergence of one dominant genotype that was ob-
314 served with one locus losing its connections to Traits 3 and 4, and the other
315 locus maintaining full pleiotropy? When mutational correlations are strong,
316 genetic modularization should arise so that mutational effects can increase
317 Traits 1 and 2 values without also increasing Traits 3 and 4, (especially when
318 stabilizing selection is strong compared to directional selection). If stabilizing
319 selection had been weaker and/or directional selection been much stronger,
320 then more loci affecting the traits would have increased the proportion of ad-
321 vantageous mutations allowing for divergence (Hansen, 2003). For the same

322 reason, we don't observe complete genetic modularization with one locus only
323 connected to Traits 1 and 2, and the other only connected to Traits 3 and
324 4. With both loci contributing to Traits 1 and 2, there is more mutational
325 input to increase their values, giving support to the idea that intermediate
326 levels of genetic integration will maximize evolvability when pleiotropic ef-
327 fects are all positive (Hansen, 2003). Also, since we were interested in the
328 evolution of genetic architectures allowing trait decoupling, we started our
329 simulations with a highly genetically integrated, monomorphic population.
330 This makes evolution in our model dependent on de-novo mutations and as
331 traits diverged, the negative effects of pleiotropy on traits under stabilizing
332 selection increased, leading to modularization in the genetic architecture.
333 But, if we had simulated genetic architectures where the allelic mutation
334 rate (μ) was high enough and/or selection acted on many loci with small
335 effects, pleiotropy may not have been as constraining, and integrated genetic
336 architectures (loci affecting all traits) could be more evolvable. Whether in-
337 tegrated or modular genetic architectures will evolve in response to divergent
338 selection is dependent on the relative effects of mutation and selection on the
339 different traits (Pavlicev and Hansen, 2011). This also would have been true
340 if standing genetic variation had already existed in pleiotropic connectivity
341 and mutational correlations in a population prior to divergent selection. We
342 could imagine that many possible combinations of pleiotropic connectivity
343 and mutational correlation alleles that allow for increased variation and re-
344 duced covariation between traits could also exist. In those scenarios, genetic
345 modularization may not be associated with trait divergence.

346 The results we obtain in this study are also related to work done on the
347 evolutionary fate of duplicated, pleiotropic genes (Ohno, 1970; Hahn, 2009;
348 Innan and Kondrashov, 2010; Guillaume and Otto, 2012). Previous models
349 describe the conditions under which both genes remained fully pleiotropic,
350 which is expected to be favorable when there is selection for increased dosage
351 as we had for traits 1 and 2 (Ohno, 1970). There is some empirical evidence

352 of this in ribosomal RNA, histone genes, as well as amylase genes in humans
353 with high starch diets (Zhang, 2003; Perry et al., 2007; Qian et al., 2010).
354 Other models describe when one or both genes lose their connection to some
355 traits, known as subfunctionalization, if there is a relaxation of selection after
356 duplication (Force et al., 1999; Lynch and Force, 2000). Empirical evidence
357 for subfunctionalization exists for vertebrate limb evolution, as discussed in
358 the introduction, as well as pathway specialization in plants (Bomblies and
359 Doebley, 2006; Des Marais and Rausher, 2008). Compared to models with
360 selection for increased dosage, our model has selection only for higher values
361 in Traits 1 and 2, whereas selection for increased values in all four traits is
362 expected to maintain all pleiotropic connections. The difference compared to
363 neutral models where subfunctionalization is the result is that in our model
364 there is no relaxation of selection due to duplication and redundancy. In that
365 case, Guillaume and Otto (2012) showed that the maintenance of pleiotropy
366 in one gene and subfunctionalization in the other (the most common outcome
367 in our simulations) is predicted when there is asymmetry in either the trait
368 contributions to fitness or in the expression levels of the genes. The gene
369 with higher expression was predicted to remain fully pleiotropic, with loss of
370 pleiotropy in the second, less expressed gene. Our results fit very well with
371 that later outcome, although the conditions were different. In Guillaume and
372 Otto (2012), a fitness trade-off emerged from the competitive allocation of the
373 gene product (amount of protein produced) between two traits under positive
374 selection (i.e., increased allocation to one trait reduced allocation to the other
375 trait). The fitness trade-off in our model arose from the corridor model of
376 selection whereby increased additive contributions to Traits 1 and 2 via fully
377 pleiotropic mutations with correlated allelic values trade-off negatively with
378 Traits 3 and 4 under stabilizing selection. The trade-off is quickly attenuated
379 when the mutational correlations between traits under divergent selection
380 decreases. Mutation in pleiotropic connections of the QTL was nevertheless
381 more efficient in breaking the constraint to trait divergence. It is also a

382 more plausible mechanism since mutations changing a transcription factors's
383 access to transcription binding sites may cause a drastic change associated
384 with a change in pleiotropic connectivity.

385 *Empirical evidence for mutational correlation and pleiotropy*

386 The pleiotropic connections and mutational correlations in our model ab-
387 stract out the types of molecular level changes that may lead to changes in
388 genetic correlations between traits. Some examples of variation in pleiotropic
389 connectivity come from empirical studies on transcriptional regulation. For
390 example, expression of the *Tbx4* gene (described earlier) is required not only
391 for hindlimb development but is also expressed in genital development (Chap-
392 man et al., 1996). Although the upstream enhancer of *Tbx4*, hindlimb en-
393 hancer B (or HLEB), is functional in both hindlimb and genital development
394 in both mice and lizards, HLEB appears to have lost its hindlimb enhancer
395 function in snakes due to mutations in one of the enhancer's binding regions
396 (Infante et al., 2015). A more recent example comes from two species of
397 *Drosophila* that diverged only 500,000 years ago. *D. yakuba* has both hypan-
398 drial and sex comb bristles whereas *D. santomea* has only sex comb bristles
399 (Rice and Rebeiz, 2019). Quantitative trait mapping crosses between the
400 species and with *D. melanogaster* revealed that a single nucleotide change in
401 a regulatory enhancer of the *scute* gene, which promotes bristle development,
402 was responsible for *D. santomea* losing its hypandrial bristles and increasing
403 its sex comb bristle number (Nagy et al., 2018). These examples provide
404 evidence that mutations in DNA binding sites can affect a gene's pleiotropic
405 degree, allowing for evolution of trait decoupling.

406 Correlated mutational effects, on the other hand, may arise from muta-
407 tions that cause correlated effects in more than one of a gene's molecular func-
408 tions or from mutations causing correlated effects in a gene product's multiple
409 processes, but empirical data is still needed to discover the mechanisms un-
410 derlying mutational correlations (Hodgkin, 1998; Wagner and Zhang, 2011).
411 Even if the specific molecular mechanism that is the cause of correlation is

412 not known, it is still possible to estimate the genomic \mathbf{M} -matrix which de-
413 scribes the combined pattern of (co)variation arising from mutations in all
414 loci that affect the traits of interest. Mutation accumulation experiments in
415 *D. melanogaster* (Houle and Fierst, 2013) or *C. elegans* (Estes et al., 2005)
416 provide examples of such genomic \mathbf{M} -matrix estimates and show the exist-
417 ence of strong mutational correlation among morphological and life-history
418 traits. Additionally, mutational correlations in *C. elegans* seem to corre-
419 spond to phenotypic correlations among traits after removing environmental
420 correlations and suggest that pleiotropy is somewhat restricted within traits
421 of related function (Estes et al., 2005). Unfortunately, the \mathbf{M} -matrix is only
422 a summary statistic, which represents patterns of mutational variance across
423 traits. It does not necessarily represent the correlations of mutational effects
424 underlying that mutational variance between traits, which may be hidden
425 due to multiple effects cancelling each other out.

426 It is also possible to discover evidence of modular pleiotropy from genome-
427 wide studies using gene knock-out/-down experiments as was performed in
428 yeast (Dudley et al., 2005; Güldener et al., 2005; Ohya et al., 2005), *C. elegans*
429 (Sönnichsen et al., 2005), and the house mouse (Bult et al., 2008), which
430 have shown that whole-gene pleiotropy is variable (not all genes affect all
431 traits) and often modular (Wang et al., 2010; Wagner and Zhang, 2011).
432 QTL studies further show variable pleiotropy in *D. melanogaster* (Mezey
433 et al., 2005), threespine stickleback (Albert et al., 2008), the house mouse
434 (Cheverud et al., 1997; Kenney-Hunt et al., 2008; Miller et al., 2014), and
435 *A. thaliana* (Juenger et al., 2005), among others (Porto et al., 2016).

436 One empirical study based on human patient data manages to link mu-
437 tational correlation with modular variation of pleiotropy by measuring both
438 the genomic \mathbf{M} -matrices and the pleiotropic degree of main and epistatic
439 effects of mutations affecting the replicative capacity (fitness) of HIV-1 in
440 different drug environments (Polster et al., 2016). In doing so, they dis-
441 covered that epistasis can affect the pleiotropic degree of single mutations

442 producing modular genetic architectures and that epistatic-pleiotropic effect
443 modules matched modules of fitness co-variation among drugs. These results
444 suggest that epistasis may be fundamental in shaping the genetic integration
445 itself, which may allow organisms to enhance their evolvability in the face of
446 selection (Pavlicev et al., 2008, 2011; Pavlicev and Cheverud, 2015).

447 **Conclusion**

448 Both pleiotropic connectivity and mutational correlation can constrain
449 the divergence of traits under divergent selection, but when both can evolve,
450 trait divergence occurs because pleiotropic connections are broken between
451 loci and traits under stabilizing selection. The evolution of pleiotropic con-
452 nectivity is favoured because it is an easier target of selection than a distri-
453 bution of mutational effects. The most commonly observed genotype thus
454 includes one locus that maintains connections to both traits under direc-
455 tional selection and both traits under stabilizing selection, and the other
456 locus losing its connection to the traits under stabilizing selection (subfunc-
457 tionalization). The subfunctionalization of one locus allows it to contribute
458 to increasingly divergent trait values in the traits under directional selection
459 without changing the trait values of the other traits, which leads to separate
460 genetic modules. These results indicate that partial subfunctionalization is
461 sufficient to allow genetic decoupling and the divergence of traits with little
462 to no loss of average fitness.

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469 **Author Contributions**

470 J.C. performed software modification for model implementation and ac-
471 quisition of data, as well as drafting of manuscript. J.C. and F.G. performed
472 study conception and design, analysis and interpretation of data, and critical
473 revision of manuscript.

474 **Data Archival**

475 The data and initialization files for this study are available online through
476 Zenodo online repository at: <https://zenodo.org/record/3980997#.XzPnFcBK70>
477 and code for simulations can be found at: https://github.com/jmchebib/nemo_evolving_pleio

478 **Conflict of interest disclosure**

479 The authors of this article declare that they have no financial conflict of
480 interest with the content of this article.

481 Supplemental

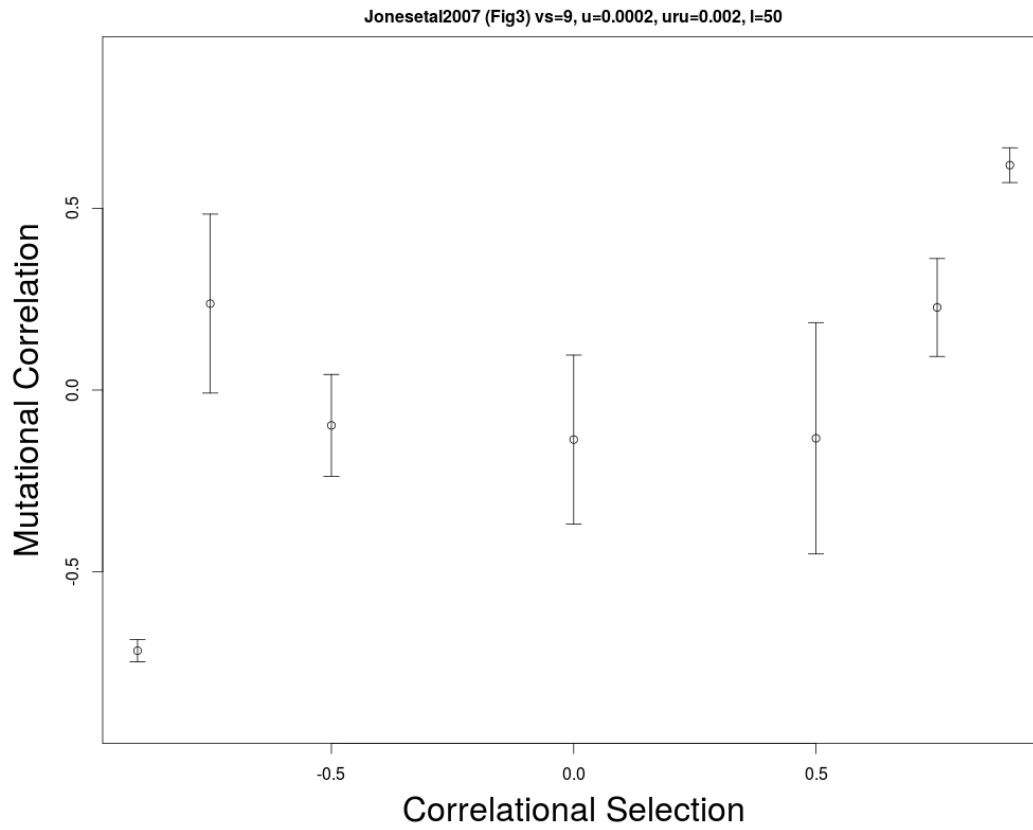


Figure S1: Average mutational correlation ρ_μ values for different values of correlational selection ρ_ω . Parameter values were chosen to match those used in Jones et al. 2007 wherever possible and averages were taken over values from every five generations after burn-in between generation 10,000 and 20,000. Number of loci = 50, Number of traits = 2, $N = 2372$, $\omega^2 = 9$, $\mu = 0.0002$, $\mu_{mutcor} = 0.002$, and $\alpha^2 = 0.05$.

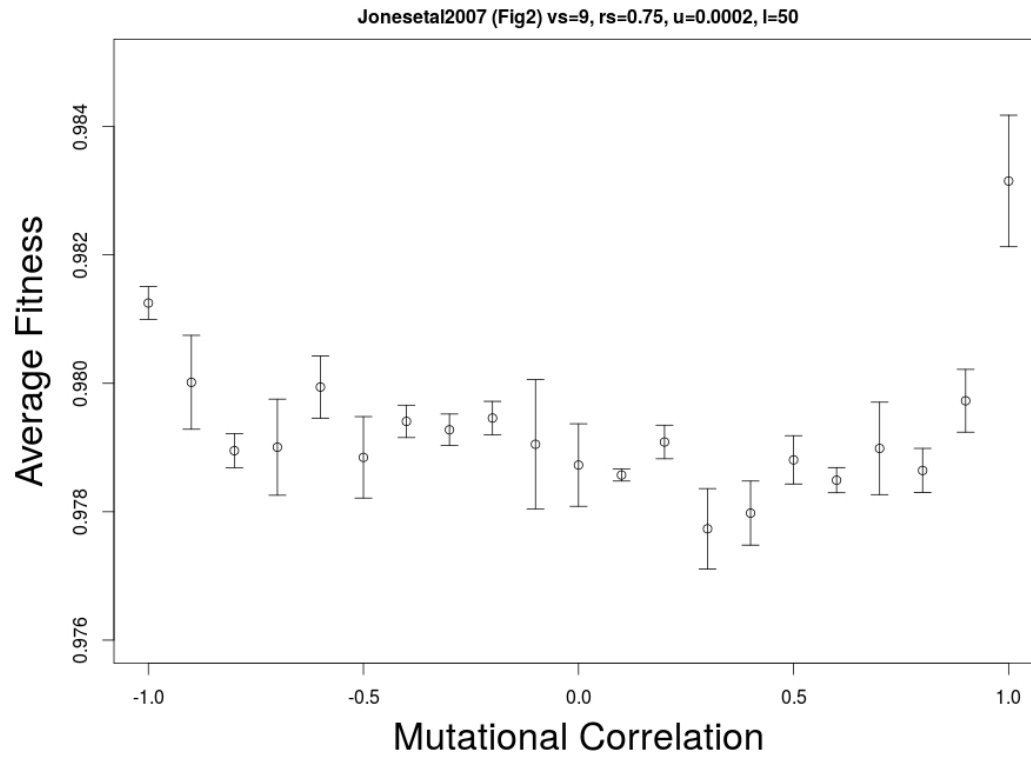


Figure S2: Average fitness for different values of mutational correlation (static). Parameter values were chosen to match those used in Jones et al. 2007 wherever possible and averages were taken over values from every five generations after burn-in between generation 10,000 and 15,000. Number of loci = 50, Number of traits = 2, $N = 2372$, $\omega^2 = 9$, $\rho_\omega = 0.75$, $\mu = 0.0002$, and $\alpha^2 = 0.05$.

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