Description of Klebsiella spallanzanii sp. nov.

and of Klebsiella pasteurii sp. nov.

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34 Running title: Klebsiella spallanzanii and Klebsiella pasteurii

Abstract Klebsiella oxytoca causes opportunistic human infections and post-antibiotic haemorrhagic diarrhoea. This Enterobacteriaceae species is genetically heterogeneous and is currently subdivided into seven phylogroups (Ko1 to Ko4, Ko6 to Ko8). Here we investigated the taxonomic status of phylogroups Ko3 and Ko4. Genomic sequence-based phylogenetic analyses demonstrate that Ko3 and Ko4 formed well-defined sequence clusters related to, but distinct from, Klebsiella michiganensis (Ko1), Klebsiella oxytoca (Ko2), K. huaxiensis (Ko8) and K. grimontii (Ko6). The average nucleotide identity of Ko3 and Ko4 were 90.7% with K. huaxiensis and 95.5% with K. grimontii, respectively. In addition, three strains of K. huaxiensis, a species so far described based on a single strain from a urinary tract infection patient in China, were isolated from cattle and human faeces. Biochemical and MALDI-ToF mass spectrometry analysis allowed differentiating Ko3, Ko4 and Ko8 from the other K. oxytoca species. Based on these results, we propose the names Klebsiella spallanzanii for the Ko3 phylogroup, with SPARK\_775\_C1<sup>T</sup> (CIP 111695<sup>T</sup>, DSM 109531<sup>T</sup>) as type strain, and *Klebsiella pasteurii* for Ko4, with SPARK\_836\_C1<sup>T</sup> (CIP 111696<sup>T</sup>, DSM 109530<sup>T</sup>) as type strain. Strains of K. spallanzanii were isolated from human urine, cow faeces and farm surfaces, while strains of K. pasteurii were found in faecal carriage from humans, cows and turtles. **Keywords:** Klebsiella oxytoca complex; phylogeny; taxonomy; genome sequencing; bla<sub>OXY</sub>; MALDI-ToF mass spectrometry **Abbreviations:** ANI, average nucleotide identity; HCCA, a-cyano-4-hydroxycinnamic acid;

- 55
- isDDH, in silico DNA-DNA hybridization; SCAI, Simmons citrate agar with inositol; MALDI-56
- 57 ToF MS: Matrix-assisted laser desorption/ionization time of flight mass spectrometry
- 58 **Accession numbers**

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The nucleotide sequences generated in this study were deposited in ENA and are available through the INSDC databases under accession numbers MN091365 (SB6411<sup>T</sup> = SPARK775C1<sup>T</sup>), MN091366 (SB6412<sup>T</sup> = SPARK836C1<sup>T</sup>) and MN104661 to MN104677 (16S rRNA), MN076606 to MN076643 (*gyrA* and *rpoB*), and MN030558 to MN030567 (*bla*<sub>OXY</sub>). Complete genomic sequences were submitted to European Nucleotide Archive under the

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BioProject number PRJEB15325.

## Introduction

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The genus Klebsiella, a member of the Enterobacteriaceae family, includes Gram-negative, non-motile (except K. aerogenes) and non-spore-forming capsulated bacteria. Bacteria belonging to the genus Klebsiella are found in water, soil and plants, and as commensals in the gut of animals including humans (1,2,3). In humans, Klebsiella species are frequently associated with hospital-acquired infections and are increasingly multidrug-resistant (4). Klebsiella oxytoca is the second most common Klebsiella species causing disease in humans, after K. pneumoniae (5). K. oxytoca carries a chromosomally encoded β-lactamase gene (bla<sub>OXY</sub>) that confers resistance to amino- and carboxypenicillins (6). This gene was shown to have diversified in parallel to housekeeping genes, and variants were classified into seven groups (bla<sub>OXY-1</sub> to bla<sub>OXY-7</sub>) (7, 8, 9, 10). K. oxytoca phylogenetic lineages were named Ko1, Ko2, Ko3, Ko4, Ko6 and Ko7 reflecting which bla<sub>OXY</sub> variant they carry; note that Ko5 was not defined, as isolates carrying bla<sub>OXY-5</sub> represent a sublineage of Ko1 (9). Taxonomic work has shown that K. oxytoca (sensu lato, i.e., as commonly identified in clinical microbiology laboratories) is in fact a complex of species, with K. oxytoca (sensu stricto) corresponding to phylogroup Ko2, K. michiganensis to Ko1 (11) and K. grimontii to Ko6 (12). The closely related K. huaxiensis (13) represents yet another phylogroup, which we here denominate as Ko8 and which carries blaoxy-8. Phylogroups Ko3, Ko4, Ko7 and K. huaxiensis were so far described only based on a single strain (9, 13), which has limited our ability to define their genotypic and phenotypic characteristics. While analysing a large number of *Klebsiella* strains from multiple human, animal and environmental sources in and around the Northern Italian town of Pavia, we identified 3 Ko3, 13 Ko4 and 3 K. huaxiensis strains. The aim of this work was to define the taxonomic status of K. oxytoca phylogroups Ko3 and Ko4 and provide identification biomarkers for all members of the *K. oxytoca* species complex.

**Material and methods** 

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Bacterial strains. Novel strains (3 Ko3, 13 Ko4 and 3 Ko8) were isolated through enrichment in Luria-Bertani broth supplemented with 10 µg/mL of amoxicillin, followed by isolation on Simmons citrate agar with 1% inositol (SCAI) medium (14) and re-isolation on MacConkey agar. Additional strains, including type and reference strains of each K. oxytoca phylogroup and the type strain of K. pneumoniae (15) were included in the study (**Table 1**). Strain SG271 (internal strain bank identifier, SB3356) and SG266 (SB3355) were included as reference strains for the phylogroups Ko3 and Ko4, respectively (9). Genome sequencing and analyses. Colonies from the novel strains grown on MacConkey agar were collected and resuspended in distilled water for DNA purification, which was performed using QIAsymphony automated instrument with the kit QIAsymphony DSP Virus/Pathogen following the manufacturer's recommendation. DNA was stored at -20°C until sequencing on an Illumina HiSeq X Ten platform with a 2 x 150 nt paired-end protocol. Reads were assembled using SPAdes v3.11 and the assemblies were annotated using Prokka v1.12 (16). JSpeciesWS (17) was used to calculate the average nucleotide identity (ANI) using the BLAST algorithm (ANIb), whereas in silico DNA-DNA hybridization (isDDH) was performed through GGDC tool (http://ggdc.dsmz.de; formula 2) (18). Sequences of gyrA and rpoB genes were obtained from genome assemblies using BLASTN, while 16S rRNA gene sequences were obtained using Barrnap (https://github.com/tseemann/barrnap). The chromosomal blaoxy sequences were also extracted, and the new amino-acid sequence variants were submitted to the Institut Pasteur MLST nomenclature database (https://bigsdb.pasteur.fr/klebsiella) for variant number attribution, and to NCBI for accession number attribution. 16S rRNA, gyrA, rpoB and blaOXY beta-lactamase gene sequences were aligned using Muscle (19), concatenated (in the case of rpoB and gyrB) and phylogenetic relationships were assessed using MEGA v7.0 (20). Genetic distances were inferred using the neighbor-joining method with the Jukes-Cantor correction

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(21) in the case of nucleotide sequences or maximum-likelihood with Jones-Taylor-Thornton (JTT) (22) model in the case of the beta-lactamase protein sequences. The genome-based phylogenetic analysis was performed on the concatenation of 3,814 core genes defined using Roary v3.12 (23) with a blastP identity cut-off of 80% and presence in more than 90% of the isolates. K. pneumoniae ATCC 13883<sup>T</sup> (GCA 000742135.1) was used as outgroup. An approximate maximum-likelihood phylogenetic tree was inferred using FastTree v2.1 (24). **Biochemical and proteomic analyses.** A representative subset of strains (n=30, 7 Ko1, 5 Ko2, 4 Ko3, 5 Ko4, 6 Ko6, 3 Ko8) of phylogroups of the K. oxytoca complex was subjected to API20E (BioMérieux) and to phenotype microarray characterization using plates PM1 and PM2 (Biolog, Hayward, CA, USA) in aerobic conditions as previously described by Blin and colleagues (25). The same subset of strains was also used to perform a MALDI-ToF mass spectrometry (MS) analysis following the protocol described by Rodrigues et al. (26). Briefly, cell extracts were spotted onto an MBT Biotarget 96 target plate, air dried and overlaid with 1 μL of a saturated α-cyano-4-hydroxycinnamic acid (HCCA). Mass spectra were acquired on a Microflex LT mass spectrometer (Bruker Daltonics, Bremen, Germany) using the default parameters, preprocessed (applying smoothing and baseline subtraction) with FlexAnalysis software, and then imported and analyzed in a dedicated BioNumerics v7.6 (Applied-Maths, Belgium) database.

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The genome-based phylogenetic analysis based on the concatenation of 3,814 core genes (Figure 1) showed six distinct and highly supported branches. The thirteen Ko4 strains were clustered with Ko4 reference strain SG266 (SB3355) and this group was related to, but clearly distinct from, K. grimontii (Ko6). The three Ko3 strains (SPARK\_350\_C1, SPARK\_775\_C1 and SPARK 1442 C1) formed a well-defined cluster with Ko3 reference strain SG271 Figure 1), whereas the remaining three strains (SPARK 1445 C2, SPARK 1448 C1, SPARK 1495 C1) clustered with K. huaxiensis, which formed a distinct phylogroup that we here name Ko8. We therefore identified novel strains of these three phylogroups, which were each previously recognized based on a single strain. Furthermore, genome-based phylogeny revealed that Ko4 shares a common ancestor with K. grimontii, K. michiganensis and K. oxytoca, whereas Ko3 and K. huaxiensis share a common ancestor distinct from the Ko1/Ko4/Ko6 one (**Figure 1**). To determine how previously-used phylogenetic markers (7, 8, 9, 27) would group these novel strains, the sequences of internal portions of the housekeeping genes gyrA (383 nt) and rpoB (501 nt), as well as the rrs (1.454 nt) sequence coding for 16S rRNA, were extracted from genomic sequences and compared to previously characterized sequences of reference and type strains from the K. oxytoca complex (**Table 1**). The clustering of Ko4 strains and Ko3 strains was supported by phylogenetic analysis of combined gyrA and rpoB gene sequences (Figure 2), as well as by single gene phylogenies (Figures S1 and S2), showing that either gene used alone would allow reliable identification. The phylogeny of the chromosomal OXY betalactamase gene (Figure S3) was also in concordance with previous phylogenetic analyses. However, phylogroup Ko1 and Ko3 each harbored two different types of bla<sub>OXY</sub>, coding for OXY-1/OXY-5 and OXY-3/OXY-9, respectively (Figure S3). As previously reported (12, 28, 29), the phylogeny based on the rrs gene was not reliable for species or phylogroup

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pending incorporation of reference spectra of the various taxa into reference spectra databases.

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characterization of isolates: CM, CR, VP, MC. Genomic sequencing: CM, HAT, TVSK, DS.

Sequence data analysis: CM, CR, HAT, TVSK. MALDI-TOF analysis: CR, VP. Phenotypic

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after the strain name indicates that the strain is the type strain of its taxon.

**Table 1.** Strains included in the study, with provenance and genomic information

Taxonomic	<b>PhG</b> <sup>a</sup>	Strain	Strain Name	Isolation	Host	Source	Country	City	Accession no.	Intrinsic Beta-lactamase <sup>c</sup>
designation		bank		year						(Accession no.)
		(SB) ID <sup>b</sup>								
Klebsiella michiganensis	Ko1	SB4934	W14 T (=CIP 110787 T)	2010	n.a.	Tooth brush holder	USA	Michigan	GCA_901556995	<b>OXY_1-7</b> (MN030558) OXY_1-2 (AY077484)
K. michiganensis	Ko1	SB9	16A079	1997	Human	Blood	Spain	Sevilla	GCA_901553745	
K. michiganensis	Ko1	SB2908	10A188	1997	Human	Blood	Italy	Genoa	GCA_901563895	OXY_5-1 (AJ871868)
K. oxytoca	Ko2	SB175	ATCC 13182 T	NA	NA	NA	NA	NA	GCA_900977765	OXY_2-2 (AF473577)
K. spallanzanii	Ko3	SB6408	SPARK_350_C1 SPARK 775 C1 T	2017	n.a.	Boot	Italy	Pavia	ERS3550822	<b>OXY_3-2</b> (MN030559)
K. spallanzanii	Ко3	SB6411	(=CIP 111695T)	2017	Human	Urine	Italy	Pavia Valle	ERS3550824	OXY_3-3 (MN030560)
K. spallanzanii	Ко3	SB6419	SPARK_1442_C2	2018	Cow	Faeces	Italy	Salimbene	ERS2601707	<b>OXY_9-1</b> (MN030564) OXY_3-1 (AF491278)
K. spallanzanii	Ko3	SB3356	SG271	2000	Human	Peritoneal fluid	France	Paris	GCA_901563875	
K. pasteurii	Ko4	SB3355	SG266	2000	Human	Wound	France	Paris	GCA_901563825	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6407	SPARK_327_C1	2017	Cow	Faeces	Italy	Pavia Sant'Alessio	ERS3550826	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6410	SPARK_613_C1	2017	Turtle	Faeces	Italy	con Vialone	ERS2600949	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6412	SPARK_836_C1 T (=CIP111696T)	2017	Human	Faeces	Italy	Pavia	ERS3550825	<b>OXY_4-2</b> (MN030561)
K. pasteurii	Ko4	SB6424	SPARK_1489_C1	2018	n.a.	Soil	Italy	San Genesio	ERS2601773	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6409	SPARK_534_C3	2017	Turtle	Faeces	Italy	Sant'Alessio con Vialone	ERS3550823	OXY_4-1 (AY077481)

K. pasteurii	Ko4	SB6413	SPARK_1058_C2	2018	Human	Faeces	Italy	Pavia	ERS2601251	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6414	SPARK_1260_C1	2018	Cow	Faeces	Italy	Magherno	ERS2601488	<b>OXY_4-3</b> (MN030562)
K. pasteurii	Ko4	SB6415	SPARK_1268_C1	2018	Cow	Milk	Italy	Magherno	ERS2601499	<b>OXY_4-3</b> (MN030562)
K. pasteurii	Ko4	SB6416	SPARK_1269_C1	2018	Cow	Milk	Italy	Magherno	ERS2601500	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6417	SPARK_1286_C1	2018	Human	Faeces	Italy	Pavia Valle	ERS2601525	<b>OXY_4-4</b> (MN030563)
K. pasteurii	Ko4	SB6420	SPARK_1445_C1	2018	Cow	Faeces	Italy	Salimbene Valle	ERS2601710	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6423	SPARK_1448_C2	2018	Cow	Faeces	Italy	Salimbene	ERS2601714	<b>OXY_4-5</b> (MN030567)
K. pasteurii	Ko4	-	SPARK_1531_C1	2018	n.a.	Water	Italy	Lardirago	ERS2601825	OXY_4-1 (AY077481)
K. grimontii	Ko6	SB73	06D021 T	1997	Human	Wound	France	Lille	GCA_900200035	OXY_6-1 (AJ871873)
K. huaxiensis	Ko8	SB6421	SPARK_1445_C2	2018	Cow	Faeces	Italy	Valle Salimbene Valle	ERS2601711	OXY_8-2 (MN030565)
K. huaxiensis	Ko8	SB6422	SPARK_1448_C1	2018	Cow	Faeces	Italy	Salimbene	ERS2601714	OXY_8-3 (MN030566)
K. huaxiensis	Ko8	SB6425	SPARK_1495_C1	2018	Human	Faeces	Italy	Pavia	ERS2601786	OXY_8-1 (WP_112215366)
K. huaxiensis	Ko8	SB6550	WCHK1090001 T	2017	Human	Urine	China	Chengdu	GCA_003261575	OXY_8-1 (WP_112215366)

NA, information not available; n.a. not applicable; T, Type strain.

<sup>389</sup> aPhG, K. oxytoca phylogroup; bInternal strain collection number of the Biodiversity and Epidemiology of Bacterial Pathogens unit, Institut Pasteur. bold characters represent the new OXY beta-

lactamases submitted to the nomenclature database at <a href="https://bigsdb.pasteur.fr/klebsiella/klebsiella.html">https://bigsdb.pasteur.fr/klebsiella/klebsiella.html</a>.

**Table 2**. Average nucleotide identity (ANI) values obtained among the type strains of members of the *Klebsiella oxytoca* complex.

			Average nucleotide identity of test genome against query genomes							
Query	Size	DNA G+C content	Ko1	Ko2	Ко3	Ko4	Ko6	Ko8		
<b>genome</b> <sup>a</sup>	(nucleotides)	(mol%)								
Ko1	6 193 009	56.0	*	91.65	88.53	93.09	93.23	87.47		
Ko2	5 672 774	55.1	91.92	*	88.22	90.81	91.06	87.05		
Ko3	6 186 380	53.3	88.4	87.9	*	87.99	88.32	90.7		
Ko4	6 006 767	55.3	93.29	90.61	88.12	*	95.52	87.11		
Ko6	6 168 876	55.4	93.27	90.9	88.5	95.56	*	87.45		
Ko8	6 206 993	53.3	87.07	86.64	90.58	86.78	87.07	*		

<sup>a</sup>Ko1, K. michiganensis W14<sup>T</sup>; Ko2, K. oxytoca ATCC13182<sup>T</sup>; Ko3, K. spallanzanii SPARK\_775\_C1<sup>T</sup>; Ko4, K. pasteurii SPARK\_836\_C1<sup>T</sup>; Ko6, K. grimontii 06D021<sup>T</sup>; Ko8, K. huaxiensis WCHKl090001<sup>T</sup>.

**Table 3.** Differential biochemical characteristics of the taxa under study.

Metabolic phenotypes	(Ko1, n=7)	(Ko2, n=5)	K. spallanzanii (Ko3, n=4)	K. pasteurii (Ko4, n=5)	<b>K. grimontii</b> (Ko6, n=6)	K. huaxiensis (Ko8, n=3)
L-proline	+	+	-	+	+	-
D,L-a-Glycerol-phosphate	+	+	V	+	v	-
alpha-Keto- Glutaric Acid	<u>-</u>	-	-	-	+	-
Glyoxylic Acid	-	-	-	V	-	-
Tricarballylic acid	+	+	-	+	+	-
Acetyl-b-D-Mannosamine	v	+	V	+	+	+
D-Melezitose	+	+	+	+	-	v
3-O-Methyl-Glucose	<del>-</del>	-	-	-	-	+
g-Amino-Butyric Acid	+	+	-	V	V	-
L-Tartaric Acid	V	v	V	+	+	-

<sup>-,</sup> less than 20% of positive strains; +, more than 80% of positive strains; v, between 20% and 80% of positive strains.



