1	Description of Klebsiella spallanzanii sp. nov.
2	and of Klebsiella pasteurii sp. nov.
3	
4	Cristina Merla <sup>1,2</sup> , Carla Rodrigues <sup>3</sup> , Virginie Passet <sup>3</sup> , Marta Corbella <sup>1</sup> , Harry A.
5	Thorpe <sup>4</sup> , Teemu V.S. Kallonen <sup>5,6</sup> , Zhiyong Zong <sup>7</sup> , Piero Marone <sup>1</sup> , Claudio Bandi <sup>8</sup> ,
6	Davide Sassera <sup>9</sup> , Jukka Corander <sup>5,6,10</sup> , Edward J. Feil <sup>4</sup> , and Sylvain Brisse <sup>3,#</sup>
7	
8	<sup>1</sup> Fondazione IRCCS Policlinico San Matteo, Unità Operativa Complessa Microbiologia
9	e Virologia, Pavia, Italy.
10	<sup>2</sup> Scuola di Specializzazione in Microbiologia e Virologia, Università degli Studi di Pavia,
11	Pavia, Italy
12	<sup>3</sup> Institut Pasteur, Biodiversity and Epidemiology of Bacterial Pathogens, Paris, France.
13	<sup>4</sup> The Milner Centre for Evolution, Department of Biology and Biochemistry, University
14	of Bath, Bath, United Kingdom.
15	<sup>5</sup> Infection Genomics, Wellcome Sanger Institute, Cambridge, United Kingdom.
16	<sup>6</sup> Department of Biostatistics, University of Oslo, Oslo, Norway.
17	<sup>7</sup> Center of Infectious Diseases, West China Hospital, Sichuan University, Chengdu, PR
18	China.
19	<sup>8</sup> Department of Biosciences, University of Milan, Milan, Italy; Pediatric Clinical
20	Research Center "Romeo ed Enrica Invernizzi", University of Milan, Milan, Italy.
21	<sup>9</sup> Dipartimento di Biologia e Biotecnologie "L. Spallanzani", Università di Pavia, Pavia,
22	Italy.
23	<sup>10</sup> Helsinki Institute for Information Technology HIIT, Department of Mathematics and
24	Statistics, University of Helsinki, Finland
25	

#### 2

#### 26 **# Corresponding author**:

- 27 Sylvain Brisse
- 28 Institut Pasteur,
- 29 Biodiversity and Epidemiology of Bacterial Pathogens,
- 30 28 rue du Docteur Roux, F-75724 Paris, France.
- 31 e-mail: sylvain.brisse@pasteur.fr; Phone +33 1 45 68 83 34
- 32
- 33
- 34 Running title: Klebsiella spallanzanii and Klebsiella pasteurii

3

#### 35 Abstract

36 Klebsiella oxytoca causes opportunistic human infections and post-antibiotic haemorrhagic diarrhoea. This Enterobacteriaceae species is genetically heterogeneous and is currently 37 38 subdivided into seven phylogroups (Ko1 to Ko4, Ko6 to Ko8). Here we investigated the 39 taxonomic status of phylogroups Ko3 and Ko4. Genomic sequence-based phylogenetic 40 analyses demonstrate that Ko3 and Ko4 formed well-defined sequence clusters related to, but 41 distinct from, Klebsiella michiganensis (Ko1), Klebsiella oxytoca (Ko2), K. huaxiensis (Ko8) 42 and K. grimontii (Ko6). The average nucleotide identity of Ko3 and Ko4 were 90.7% with K. 43 huaxiensis and 95.5% with K. grimontii, respectively. In addition, three strains of K. huaxiensis, 44 a species so far described based on a single strain from a urinary tract infection patient in China, 45 were isolated from cattle and human faeces. Biochemical and MALDI-ToF mass spectrometry analysis allowed differentiating Ko3, Ko4 and Ko8 from the other K. oxytoca species. Based 46 47 on these results, we propose the names *Klebsiella spallanzanii* for the Ko3 phylogroup, with SPARK\_775\_C1<sup>T</sup> (CIP 111695<sup>T</sup>, DSM 109531<sup>T</sup>) as type strain, and *Klebsiella pasteurii* for 48 Ko4, with SPARK\_836\_C1<sup>T</sup> (CIP 111696<sup>T</sup>, DSM 109530<sup>T</sup>) as type strain. Strains of 49 50 K. spallanzanii were isolated from human urine, cow faeces and farm surfaces, while strains of 51 K. pasteurii were found in faecal carriage from humans, cows and turtles.

52

53 Keywords: *Klebsiella oxytoca* complex; phylogeny; taxonomy; genome sequencing; *bla*<sub>OXY</sub>;
54 MALDI-ToF mass spectrometry

55 Abbreviations: ANI, average nucleotide identity; HCCA, a-cyano-4-hydroxycinnamic acid;

56 isDDH, in silico DNA-DNA hybridization; SCAI, Simmons citrate agar with inositol; MALDI-

57 ToF MS: Matrix-assisted laser desorption/ionization time of flight mass spectrometry

58 Accession numbers

4

59	The nucleotide sequences generated in this study were deposited in ENA and are available
60	through the INSDC databases under accession numbers MN091365 (SB6411 <sup><math>T</math></sup> =
61	SPARK775C1 <sup>T</sup> ), MN091366 (SB6412 <sup>T</sup> = SPARK836C1 <sup>T</sup> ) and MN104661 to MN104677 (16S
62	rRNA), MN076606 to MN076643 (gyrA and rpoB), and MN030558 to MN030567 (bla <sub>OXY</sub> ).
63	Complete genomic sequences were submitted to European Nucleotide Archive under the

64 BioProject number PRJEB15325.

5

#### 65 Introduction

66 The genus Klebsiella, a member of the Enterobacteriaceae family, includes Gram-negative, non-motile (except K. aerogenes) and non-spore-forming capsulated bacteria. Bacteria 67 68 belonging to the genus *Klebsiella* are found in water, soil and plants, and as commensals in the gut of animals including humans (1,2,3). In humans, *Klebsiella* species are frequently 69 70 associated with hospital-acquired infections and are increasingly multidrug-resistant (4). 71 *Klebsiella oxytoca* is the second most common *Klebsiella* species causing disease in humans, 72 after K. pneumoniae (5). K. oxytoca carries a chromosomally encoded  $\beta$ -lactamase gene 73 (bla<sub>OXY</sub>) that confers resistance to amino- and carboxypenicillins (6). This gene was shown to 74 have diversified in parallel to housekeeping genes, and variants were classified into seven 75 groups (*bla*<sub>OXY-1</sub> to *bla*<sub>OXY-7</sub>) (7, 8, 9, 10). *K. oxytoca* phylogenetic lineages were named Ko1, 76 Ko2, Ko3, Ko4, Ko6 and Ko7 reflecting which  $bla_{OXY}$  variant they carry; note that Ko5 was 77 not defined, as isolates carrying *bla*<sub>OXY-5</sub> represent a sublineage of Ko1 (9). Taxonomic work 78 has shown that K. oxytoca (sensu lato, i.e., as commonly identified in clinical microbiology 79 laboratories) is in fact a complex of species, with K. oxytoca (sensu stricto) corresponding to 80 phylogroup Ko2, K. michiganensis to Ko1 (11) and K. grimontii to Ko6 (12). The closely 81 related K. huaxiensis (13) represents yet another phylogroup, which we here denominate as Ko8 82 and which carries blaoxy-8. Phylogroups Ko3, Ko4, Ko7 and K. huaxiensis were so far 83 described only based on a single strain (9, 13), which has limited our ability to define their 84 genotypic and phenotypic characteristics. While analysing a large number of *Klebsiella* strains 85 from multiple human, animal and environmental sources in and around the Northern Italian 86 town of Pavia, we identified 3 Ko3, 13 Ko4 and 3 K. huaxiensis strains. The aim of this work 87 was to define the taxonomic status of K. oxytoca phylogroups Ko3 and Ko4 and provide 88 identification biomarkers for all members of the K. oxytoca species complex.

#### 6

#### 89 Material and methods

**Bacterial strains.** Novel strains (3 Ko3, 13 Ko4 and 3 Ko8) were isolated through enrichment in Luria-Bertani broth supplemented with 10  $\mu$ g/mL of amoxicillin, followed by isolation on Simmons citrate agar with 1% inositol (SCAI) medium (14) and re-isolation on MacConkey agar. Additional strains, including type and reference strains of each *K. oxytoca* phylogroup and the type strain of *K. pneumoniae* (15) were included in the study (**Table 1**). Strain SG271 (internal strain bank identifier, SB3356) and SG266 (SB3355) were included as reference strains for the phylogroups Ko3 and Ko4, respectively (9).

97 Genome sequencing and analyses. Colonies from the novel strains grown on MacConkey agar 98 were collected and resuspended in distilled water for DNA purification, which was performed 99 using QIAsymphony automated instrument with the kit QIAsymphony DSP Virus/Pathogen 100 following the manufacturer's recommendation. DNA was stored at -20°C until sequencing on 101 an Illumina HiSeq X Ten platform with a 2 x 150 nt paired-end protocol. Reads were assembled 102 using SPAdes v3.11 and the assemblies were annotated using Prokka v1.12 (16). JSpeciesWS 103 (17) was used to calculate the average nucleotide identity (ANI) using the BLAST algorithm 104 (ANIb), whereas in silico DNA-DNA hybridization (isDDH) was performed through GGDC 105 tool (http://ggdc.dsmz.de; formula 2) (18). Sequences of gyrA and rpoB genes were obtained 106 from genome assemblies using BLASTN, while 16S rRNA gene sequences were obtained using 107 Barrnap (https://github.com/tseemann/barrnap). The chromosomal *bla*<sub>OXY</sub> sequences were also 108 extracted, and the new amino-acid sequence variants were submitted to the Institut Pasteur 109 MLST nomenclature database (https://bigsdb.pasteur.fr/klebsiella) for variant number 110 attribution, and to NCBI for accession number attribution. 16S rRNA, gyrA, rpoB and blaOXY 111 beta-lactamase gene sequences were aligned using Muscle (19), concatenated (in the case of 112 rpoB and gyrB) and phylogenetic relationships were assessed using MEGA v7.0 (20). Genetic 113 distances were inferred using the neighbor-joining method with the Jukes-Cantor correction

7

(21) in the case of nucleotide sequences or maximum-likelihood with Jones-Taylor-Thornton
(JTT) (22) model in the case of the beta-lactamase protein sequences. The genome-based
phylogenetic analysis was performed on the concatenation of 3,814 core genes defined using
Roary v3.12 (23) with a blastP identity cut-off of 80% and presence in more than 90% of the
isolates. *K. pneumoniae* ATCC 13883<sup>T</sup> (GCA\_000742135.1) was used as outgroup. An
approximate maximum-likelihood phylogenetic tree was inferred using FastTree v2.1 (24).

120 **Biochemical and proteomic analyses.** A representative subset of strains (n=30, 7 Ko1, 5 Ko2, 121 4 Ko3, 5 Ko4, 6 Ko6, 3 Ko8) of phylogroups of the K. oxytoca complex was subjected to 122 API20E (BioMérieux) and to phenotype microarray characterization using plates PM1 and PM2 123 (Biolog, Hayward, CA, USA) in aerobic conditions as previously described by Blin and 124 colleagues (25). The same subset of strains was also used to perform a MALDI-ToF mass 125 spectrometry (MS) analysis following the protocol described by Rodrigues et al. (26). Briefly, 126 cell extracts were spotted onto an MBT Biotarget 96 target plate, air dried and overlaid with 1 127 μL of a saturated α-cyano-4-hydroxycinnamic acid (HCCA). Mass spectra were acquired on a Microflex LT mass spectrometer (Bruker Daltonics, Bremen, Germany) using the default 128 129 parameters, preprocessed (applying smoothing and baseline subtraction) with FlexAnalysis 130 software, and then imported and analyzed in a dedicated BioNumerics v7.6 (Applied-Maths, 131 Belgium) database.

8

#### 132 **Results**

133 The genome-based phylogenetic analysis based on the concatenation of 3,814 core genes 134 (Figure 1) showed six distinct and highly supported branches. The thirteen Ko4 strains were 135 clustered with Ko4 reference strain SG266 (SB3355) and this group was related to, but clearly 136 distinct from, K. grimontii (Ko6). The three Ko3 strains (SPARK\_350\_C1, SPARK\_775\_C1 137 and SPARK 1442 C1) formed a well-defined cluster with Ko3 reference strain SG271 138 (SB3356, Figure 1), whereas the remaining three strains (SPARK 1445 C2, 139 SPARK 1448 C1, SPARK 1495 C1) clustered with K. huaxiensis, which formed a distinct 140 phylogroup that we here name Ko8. We therefore identified novel strains of these three 141 phylogroups, which were each previously recognized based on a single strain. Furthermore, 142 genome-based phylogeny revealed that Ko4 shares a common ancestor with K. grimontii, K. 143 michiganensis and K. oxytoca, whereas Ko3 and K. huaxiensis share a common ancestor 144 distinct from the Ko1/Ko4/Ko6 one (Figure 1).

145 To determine how previously-used phylogenetic markers (7, 8, 9, 27) would group these novel 146 strains, the sequences of internal portions of the housekeeping genes gyrA (383 nt) and rpoB 147 (501 nt), as well as the rrs (1.454 nt) sequence coding for 16S rRNA, were extracted from 148 genomic sequences and compared to previously characterized sequences of reference and type 149 strains from the *K. oxytoca* complex (**Table 1**). The clustering of Ko4 strains and Ko3 strains 150 was supported by phylogenetic analysis of combined gyrA and rpoB gene sequences (Figure 151 2), as well as by single gene phylogenies (Figures S1 and S2), showing that either gene used 152 alone would allow reliable identification. The phylogeny of the chromosomal OXY beta-153 lactamase gene (Figure S3) was also in concordance with previous phylogenetic analyses. 154 However, phylogroup Ko1 and Ko3 each harbored two different types of *bla*<sub>OXY</sub>, coding for 155 OXY-1/OXY-5 and OXY-3/OXY-9, respectively (Figure S3). As previously reported (12, 28, 156 29), the phylogeny based on the rrs gene was not reliable for species or phylogroup

9

157 identification (type strain sequences were > 97.8% similar), with only a few informative
158 variable sites (Figure S4).

159 Average nucleotide identity (ANI) was estimated between Ko3 and Ko4, and the type strains 160 of species of the K. oxytoca complex (Table 2). The three Ko3 strains, including SPARK 775 C1<sup>T</sup>, shared high identity (above 98%) with the Ko3 strain SG271 (SB3356) (data 161 not shown). The ANI values of SPARK\_775\_C1<sup>T</sup> (Ko3) strain with K. huaxiensis 162 (WCHKl090001<sup>T</sup>), K. michiganensis (W14<sup>T</sup>), K grimontii (06D021<sup>T</sup>) and K. oxytoca (ATCC 163 164 13182<sup>T</sup>) were 90.7%, 88.4%, 88.3% and 87.9%, respectively (**Table 2**). The novel Ko4 strains 165 showed approximately 98% ANI with Ko4 strain SG266 (SB3355). The ANI values of SPARK 836 C1<sup>T</sup> (Ko4) with K. grimontii, K. michiganensis, K. oxytoca and K. huaxiensis 166 167 were 95.5%, 93.3%, 90.6% and 87.1%, respectively (Table 2). Finally, the three Ko8 strains 168 presented ANI values >99% with the type strain of K. huaxiensis (WCHK1090001<sup>T</sup>), showing 169 that they belong to this recently described species. The isDDH relatedness range between the 170 Ko3 and Ko4 type strains and other species was 36.3-44.1% and 34.3-67.8%, respectively. In 171 conclusion, both ANI and isDDH values were below the thresholds proposed (30) for species 172 distinction (~95-96% in the case of ANI, ~70% in the case of isDDH), indicating that Ko3 and Ko4 represent two new species. 173

174 The phenotypic characteristics of Ko3 and Ko4 strains were analyzed and compared with those of other Klebsiella isolates. We confirmed that all strains were non-motile by microscopy and 175 176 that all isolates were positive for indole, lactose, mannitol, malonate, lysine decarboxylase and 177 the ONPG test, and reduced nitrate to nitrite, whereas they were all negative for ornithine 178 decarboxylase. Ko8 and Ko3 isolates were negative for Voges–Proskauer test and Ko3 isolates 179 were urease positive (similar to Ko2). To define further the biochemical features of the 180 K. oxytoca phylogroups, their carbon source utilization profiles were analysed. Among 190 181 substrates, several appeared useful for differentiating the phylogroups among themselves and

10

to differentiate Ko3 and Ko4 strains from other groups (Table 3, Figure S5). The inability to
metabolize L-proline and tricarballylic acid differentiated Ko3 strains from other phylogroups
except Ko8, which can be differentiated based on its unique ability to utilize 3-O-methylglucose. Ko4 had a weak but unique capacity to utilize glyoxylic acid, and differed from Ko6
(*K. grimontii*) by its inability to metabolize D-melezitose; Ko4 was otherwise similar to Ko6
for many features, consistent with their phylogenetic association.

188 We also analysed the MALDI-ToF MS peak patterns of the different members of the K. oxytoca 189 complex. Based on the MALDI Biotyper Compass database version 4.1.80 (Bruker Daltonics, 190 Bremen, Germany), the thirty strains were identified either as K. oxytoca (23 strains, all 191 belonging to Ko1, Ko2, Ko4, and Ko6) or as *Raoultella ornithinolytica* (7 strains, all strains of 192 Ko3 and Ko8). These misidentifications can be explained by the lack of reference spectra of 193 most phylogroups in the reference database. Figure S6 summarizes the peak positions found in 194 each strain. A total of 31 biomarkers (2383–10152 m/z) associated with specific members of 195 the K. oxytoca complex were identified (Table S1, Figure S6). Consistent with genetic and 196 biochemical findings, we also observed that Ko4 shared most of its spectral peaks with Ko1 197 and Ko6, presenting only one specific peak (which was variably present) at 3681 m/z, whereas 198 Ko3 shared six peaks with only Ko8 and presented two unique peaks at 5178 and 6795 m/z. For 199 the remaining phylogroups, specific peaks were observed for Ko2 and Ko8, whereas Ko1 and 200 Ko6 could be identified by specific peak combinations. Based on the current dataset, the 201 specificity and sensitivity of their distribution among phylogroups ranged between 60–100% 202 and 80-100%, respectively (Table S1). This finding paves the way to identify isolates of 203 the K. oxytoca complex at the species (or phylogroup) level based on MALDI-ToF MS analysis, 204 pending incorporation of reference spectra of the various taxa into reference spectra databases.

11

Based on the above genomic, phenotypic and proteomic characteristics, we propose Ko3 and
Ko4 to be considered as two novel species, which we propose to name *K. spallanzanii* and *K. pasteurii*, respectively.

208

#### 209 Description of Klebsiella spallanzanii sp. nov.

*Klebsiella spallanzanii* (spal. lan.za 'ni.i N. L. gen. n. referring to Lazzaro Spallanzani, Italian biologist, important contributor to the experimental study of bodily functions and of animal reproduction. He provided what is considered the first disproval of the theory of the spontaneous generation of microbes).

214 The description is based on 3 strains. Cells are Gram-negative, non-motile, non-spore-forming, 215 straight, rod-shaped and capsulated. Colonies are smooth, circular, white, dome-shaped and 216 glistening. The general characteristics are as described for the genus Klebsiella. Indole-positive, 217 ONPG-positive, lysine decarboxylase positive and ornithine decarboxylase negative. 218 Differentiated from the other species of the K. oxytoca complex by the urease-positive (similar 219 to Ko2) and Voges-Proskauer test negative (also negative for Ko8). Distinguished from the 220 other members of K. oxytoca complex also by the characteristics listed in Table 3. 221 Distinguishable from K. huaxiensis by the ability to use D-melezitose and the inability to 222 ferment 3-O-methyl-glucose, and from the remaining K. oxytoca members by the inability to 223 use L-proline. K. spallanzanii isolates were recovered from human urine and cow faeces.

The type strain is strain SPARK\_775\_C1<sup>T</sup> (=SB6411, CIP 111695T, DSM 109531), isolated in 2017 from the urine of a patient in Pavia, Italy. The INSDC (GenBank/ENA/DDBJ) accession numbers of the *gyrA*, *rpoB* and *rrs* (coding for 16S rRNA) genes are MN076620, MN076626 and MN091365, respectively. The genome sequence accession number is: *in process*. The DNA G+C content of the type strain is 53.3%.

12

#### 230 Description of *Klebsiella pasteurii* sp. nov.

*Klebsiella pasteurii* (pas. teu 'ri.i N. L. gen. n. referring to Louis Pasteur, a French
microbiologist, who made seminal contributions to microbiology and infectious diseases,
vaccination and pasteurization. He contributed decisively to disprove the theory of the
spontaneous generation of microbes).

235 The description is based on 14 strains. Cells are Gram-negative, non-motile, non-spore-236 forming, straight, rod-shaped and capsulated. Colonies are smooth, circular, white, dome-237 shaped and glistening. The general characteristics are as described for the genus Klebsiella. 238 Indole-positive, urease-negative, ONPG-positive, Voges-Proskauer test positive, lysine 239 decarboxylase positive and ornithine decarboxylase negative. Distinguished from the other 240 members of K. oxytoca complex by the characteristics listed in Table 3. Distinguishable from 241 K. grimontii by the ability to ferment D-melezitose and inability to ferment alpha-keto-glutaric 242 acid, and from the remaining K. oxytoca groups by the unique weak ability to ferment glyoxylic 243 acid. K. pasteurii isolates were recovered from faeces of cows, turtles and humans.

- 244 The type strain is strain SPARK\_836\_ $C1^{T}$  (=SB6412, CIP 111696T, DSM 109530), isolated in
- 245 2017 from the faeces of a patient in Pavia, Italy. The INSDC (GenBank/ENA/DDBJ) accession
- numbers of the gyrA, rpoB and rrs (coding for 16S rRNA) genes are MN076619, MN076625
- and MN091366, respectively. The genome sequence accession number is: *in process*. The DNA
- 248 G+C content of the type strain is 55.4%.
- 249

#### 250 **Conflicts of interest**

- 251 The authors declare that there is no conflict of interest.
- 252

#### 253 Author contributions

- 254 Isolation of *Klebsiella* from diverse sources: CM, MC, PM, CB, DS; Microbiological
- characterization of isolates: CM, CR, VP, MC. Genomic sequencing : CM, HAT, TVSK, DS.
- 256 Sequence data analysis: CM, CR, HAT, TVSK. MALDI-TOF analysis: CR, VP. Phenotypic

13

microarray analyses: VP, SB. Initial manuscript writing: CM, CR, SB. Manuscript revision:
all. Funding acquisition: EJF, SB, JC, CB, DS.

- 259
- 260

#### 261 Acknowledgements

We acknowledge Marie-Hélène Nicolas-Chanoine and Alan McNally for providing strainsincluded in this study.

264

#### 265 **Funding**

This work received financial support from the SpARK project "The rates and routes of 266 267 transmission of multidrug resistant Klebsiella clones and genes into the clinic from 268 environmental sources", which has received funding under the 2016 JPI-AMR call 269 "Transmission Dynamics" (MRC reference MR/R00241X/1); and by the French government's 270 Investissement d'Avenir program Laboratoire d'Excellence 'Integrative Biology of Emerging 271 Infectious Diseases' (ANR-10-LABX-62-IBEID). CR was supported financially by the 272 MedVetKlebs project, a component of European Joint Programme One Health EJP, which has 273 received funding from the European Union's Horizon 2020 research and innovation programme 274 under Grant Agreement No 773830. JC was funded by the ERC grant no. 742158 and by the 275 Norwegian Research Council JPIAMR grant no. 144501.

14

#### 276 **References**

- Brisse S, Grimont F, Grimont PAD. "The genus Klebsiella,". In Dworkin M, Falkow S,
   Rosenberg E, Schleifer K-H, Stackebrandt E, editors. The Prokaryotes-A Handbook on
   the Biology of Bacteria. New York, NY: Springer (2006). p. 159-197.
- 280 2. Caltagirone M, Nucleo E, Spalla M, Zara F, Novazzi F, Marchetti VM, et al. Occurrence 281 of Extended Spectrum  $\beta$ -Lactamases, KPC-Type, and MCR-1.2-Producing 282 Enterobacteriaceae from Wells, River Water, and Wastewater Treatment Plants in 283 Northern Italy. Front Oltrepò Pavese Area. microbiol (2017)8:2232. 284 10.3389/fmicb.2017.02232.
- Schmitz RA, Klopprogge K, Grabbe R. Regulation of nitrogen fixation in Klebsiella
   pneumoniae and Azotobacter vinelandii: NifL, transducing two environmental signals to
   the nif transcriptional activator NifA. J Mol Microbiol Biotechnol (2002) 4(3):235-42.
- 4. Paczosa MK, Mecsas J. Klebsiella pneumoniae: Going on the Offense with a Strong
  Defense. Microbiol Mol Biol Rev (2018) 80(3):629-661. 10.1128/MMBR.00078-15.
- 5. Broberg CA, Palacios M, Miller VL. Klebsiella: a long way to go towards

understanding this enigmatic jet-setter. F1000 Prime Rep (2014) 6:64. 10.12703/P6-64.

- 6. Fournier B, Roy PH. Variability of Chromosomally Encoded b-Lactamases from
  Klebsiella oxytoca. Antimicrob Agents Chemother (1997) 41(8):1641–1648.
- 7. Granier SA, Plaisance L, Leflon-Guibout V, Lagier E, Morand S, Goldstein FW, et al.
  Recognition of two genetic groups in the Klebsiella oxytoca taxon on the basis of
  chromosomal b-lactamase and housekeeping gene sequences as well as ERIC-1 R PCR
  typing. Int J Syst Evol Microbiol (2003) 53:661–668.10.1099/ijs.0.02408-0.
- 298 8. Granier SA, Leflon-Guibout V, Goldstein FW, Nicolas-Chanoine MH. New Klebsiella
   299 oxytoca beta-lactamase genes bla(OXY-3) and bla(OXY-4) and a third genetic group of

- 300 K oxytoca based on bla (OXY-3). Antimicrob Agents Chemother (2003) 47:2922–2928.
- 301 10.1128/AAC.47.9.2922-2928.2003.
- 302 9. Fevre C, Jbel M, Passet V, Weill FX, Grimont PA, Brisse S. Six groups of the OXY b-
- 303 lactamase evolved over millions of years in Klebsiella oxytoca. Antimicrob Agents
- 304 Chemother (2005) 49:3453–3462. 10.1128/AAC.49.8.3453-3462.2005.
- 305 10. Izdebski R, Fiett J, Urbanowicz P, Baraniak A, Derde LP, Bonten MJ, et al.
- 306 Phylogenetic lineages, clones and  $\beta$ -lactamases in an international collection of
- 307 Klebsiella oxytoca isolates non susceptible to expanded-spectrum cephalosporins. J
- 308 Antimicrob Chemother (2015) 70(12):3230-7. 10.1093/jac/dkv273.
- 309 11. Saha R, Farrance CE, Verghese B, Hong S, Donofrio RS. Klebsiella michiganensis sp.
- 310 nov., a new bacterium isolated from a toothbrush holder. Curr Microbiol (2013) 66:72–
- 311 78. 10.1007/s00284-012-0245-x.
- 312 12. Passet V, Brisse S. Description of Klebsiella grimontii sp. nov. Int J Syst Evol Microbiol
  313 (2018) 68:377–381. 10.1099/ijsem.0.00251
- 314 13. Hu Y, Wei L, FengY, Xie Y, Zong Z. Klebsiella huaxiensis sp. nov., recovered from
- 315 human urine. Int J Syst Evol Microbiol (2019) 69(2):333-336. 10.1099/ijsem.0.003102.
- 316 14. Van Kregten E, Westerdaal NA, Willers JM. New, simple medium for selective
- 317 recovery of Klebsiella pneumoniae and Klebsiella oxytoca from human feces. J Clin
  318 Microbiol (1984) 20(5):936-41.
- 319 15. Brisse S, Passet V, Grimont PA. Description of Klebsiella quasipneumoniae sp. nov.,
- 320 isolated from human infections, with two subspecies, Klebsiella quasipneumoniae
- 321 subsp. Quasipneumoniae subsp. nov. and Klebsiella quasipneumoniae subsp.
- 322 similipneumoniae subsp. nov., and demonstration that Klebsiella singaporensis is a
- 323 junior heterotypic synonym of Klebsiella variicola. Int J Syst Evol Microbiol (2014)
- 324 64:3146–3152. 10.1099/ijs.0.062737-0.

	available under aCC-BY-NC-ND 4.0 International license.	uuc
		16
325	16. Seemann T. Prokka: rapid prokaryotic genome annotation. Bioinformatics (2014)	
326	30(14):2068-9. 10.1093/bioinformatics/btu153.	
327	17. Richter M, Rosselló-Móra R, Glöckner FO, Peplies J. JSpeciesWS: a web server for	
328	prokaryotic species circumscription based on pairwise genome comparison.	
329	Bioinformatics (2016) 32(6):929-31. 10.1093/bioinformatics/btv681.	
330	18. Meier-Kolthoff JP, Auch AF, Klenk HP, Göker M. Genome sequence-based species	
331	delimitation with confidence intervals and improved distance functions. BMC	
332	Bioinformatics (2013) 14:60.	
333	19. Edgar RC. MUSCLE: multiple sequence alignment with high accuracy and hi	gh
334	throughput Nucleic Acids Res (2004) 32(5):1792-1797. 10.1093/nar/gkh340.	
335	20. Kumar S, Stecher G, Tamura K. MEGA7: Molecular Evolutionary Genetics Analysis	•
336	Version 7.0 for Bigger Datasets. Mol Biol Evol (2016) 33(7):1870-4.	
337	10.1093/molbev/msw054.	
338	21. Jukes TH, Cantor CR. "Evolution of protein molecules". In: Munro HN, editor.	
339	Mammalian Protein Metabolism, New York, NY: Academic Press (1969) p. 21-132.	
340	22. Jones DT, Taylor WR, Thornton JM. The rapid generation of mutation data matrices	
341	from protein sequences. Comput Appl Biosci (1992) 8:275–282.	
342	23. Page AJ, Cummins CA, Hunt M, Wong VK, Reuter S, Holden MTG, et al. Roary:	

- 343 Rapid large-scale prokaryote pan genome analysis. Bioinformatics (2015).
- 344 31(22):3691-3693. 10.1093/bioinformatics/btv421.
- 345 24. Price MN, Dehal PS, Arkin AP. FastTree 2 - Approximately Maximum-Likelihood Trees 346 for Large Alignments. PLoS ONE (2010) 5(3):e9490. 10.1371/journal.pone.0009490.
- 25. Blin C, Passet V, Touchon M, Rocha EPC, Brisse S. Metabolic diversity of the emerging 347
- 348 pathogenic lineages of Klebsiella pneumoniae. Environ Microbiol (2017) 19(5):1881-
- 349 1898. 10.1111/1462-2920.13689.

17

350	26. Rodrigues C, Passet V, Rakotondrasoa A, Brisse S. Identification of Klebsiella
351	pneumoniae, Klebsiella quasipneumoniae, Klebsiella variicola and Related Phylogroups
352	by MALDI-TOF Mass Spectrometry. Front Microbiol (2018) 9:3000.
353	10.3389/fmicb.2018.03000.
354	27. Brisse S, Verhoef J. Phylogenetic diversity of Klebsiella pneumoniae and Klebsiella
355	oxytoca clinical isolates revealed by randomly amplified polymorphic DNA, gyrA and
356	parC genes sequencing and automated ribotyping. Int J Syst Evol Microbiol (2001)
357	51:915-24. 10.1099/00207713-51-3-915.
358	28. Naum M, Brown EW, Mason-Gamer RJ. Is 16S rDNA a reliable phylogenetic marker to
359	characterize relationships below the family level in the Enterobacteriaceae? J Mol Evol
360	(2008) 66(6):630-42. 10.1007/s00239-008-9115-3.
361	29. Boye K, Hansen DS. Sequencing of 16S rDNA of Klebsiella: taxonomic relations within
362	the genus and to other Enterobacteriaceae. Int J Med Microbiol (2003) 292(7-8):495-
363	503. 10.1078/1438-4221-00228.
364	30. Rossello-Mora R, Amann R. Past and future species definitions for Bacteria and
365	Archaea. Syst Appl Microbiol (2015) 38:209-216. 10.1016/j.syapm.2015.02.001.

18

#### 367 Figure legends

368

- Figure 1. Maximum likelihood phylogenetic tree inferred based on the concatenated
   nucleotide sequence alignments of 3,814 core genes.
- 371 The tree was rooted using *K. pneumoniae* DSM  $30104^{T}$  (=ATCC 13883<sup>T</sup>). Taxonomic
- 372 groups are indicated in front of the branches. Branch lengths represent the number of 373 nucleotide substitutions per site (scale, 0.01 substitution per site). Bootstrap values are 374 indicated at major nodes. Strain labels are given as Strain Bank ID (*e.g.*, SB73) followed 375 by original strain name, followed by the phylogroup. A 'T' after the strain name 376 indicates that the strain is the type strain of its taxon.
- 377

Figure 2. Phylogenetic relationships (neighbor-joining method, Jukes-Cantor
correction) based on the concatenated sequences of *gyrA* and *rpoB* genes.

The tree was rooted using *K. pneumoniae* DSM 30104<sup>T</sup> (=ATCC 13883<sup>T</sup>). Taxonomic groups are indicated in front of the branches. Bootstrap proportions obtained after 1000 replicates are indicated at the nodes. Branch lengths represent the number of nucleotide substitutions per site (scale, 0.01 substitution per site). Strain labels are given as Strain Bank ID (e.g., SB73) followed by original strain name, followed by phylogroup. A 'T' after the strain name indicates that the strain is the type strain of its taxon.

Taxonomic	<b>PhG</b> <sup>a</sup>	Strain	Strain Name	Isolation	Host	Source	Country	City	Accession no.	Intrinsic Beta-lactamase <sup>c</sup>
designation		bank		year						(Accession no.)
		(SB) ID <sup>b</sup>								
Klebsiella michiganensis	Ko1	SB4934	W14 T (=CIP 110787 T)	2010	n.a.	Tooth brush holder	USA	Michigan	GCA_901556995	<b>OXY_1-7</b> (MN030558)
K. michiganensis	Ko1	SB9	16A079	1997	Human	Blood	Spain	Sevilla	GCA_901553745	OXY_1-2 (AY077484)
K. michiganensis	Ko1	SB2908	10A188	1997	Human	Blood	Italy	Genoa	GCA_901563895	OXY_5-1 (AJ871868)
K. oxytoca	Ko2	SB175	ATCC 13182 T	NA	NA	NA	NA	NA	GCA_900977765	OXY_2-2 (AF473577)
K. spallanzanii	Ko3	SB6408	SPARK_350_C1 SPARK_775_C1 T	2017	n.a.	Boot	Italy	Pavia	ERS3550822	<b>OXY_3-2</b> (MN030559)
K. spallanzanii	Ko3	SB6411	(=CIP 111695T)	2017	Human	Urine	Italy	Pavia Valle	ERS3550824	OXY_3-3 (MN030560)
K. spallanzanii	Ko3	SB6419	SPARK_1442_C2	2018	Cow	Faeces	Italy	Salimbene	ERS2601707	<b>OXY_9-1</b> (MN030564) OXY_3-1 (AF491278)
K. spallanzanii	Ko3	SB3356	SG271	2000	Human	Peritoneal fluid	France	Paris	GCA_901563875	_ 、 ,
K. pasteurii	Ko4	SB3355	SG266	2000	Human	Wound	France	Paris	GCA_901563825	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6407	SPARK_327_C1	2017	Cow	Faeces	Italy	Pavia Sant'Alessio	ERS3550826	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6410	SPARK_613_C1 SPARK_836_C1 T	2017	Turtle	Faeces	Italy	con Vialone	ERS2600949	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6412	(=CIP111696T)	2017	Human	Faeces	Italy	Pavia	ERS3550825	<b>OXY_4-2</b> (MN030561)
K. pasteurii	Ko4	SB6424	SPARK_1489_C1	2018	n.a.	Soil	Italy	San Genesio	ERS2601773	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6409	SPARK_534_C3	2017	Turtle	Faeces	Italy	Sant'Alessio con Vialone	ERS3550823	OXY_4-1 (AY077481)

### **Table 1.** Strains included in the study, with provenance and genomic information

K. pasteurii	Ko4	SB6413	SPARK_1058_C2	2018	Human	Faeces	Italy	Pavia	ERS2601251	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6414	SPARK_1260_C1	2018	Cow	Faeces	Italy	Magherno	ERS2601488	<b>OXY_4-3</b> (MN030562)
K. pasteurii	Ko4	SB6415	SPARK_1268_C1	2018	Cow	Milk	Italy	Magherno	ERS2601499	<b>OXY_4-3</b> (MN030562)
K. pasteurii	Ko4	SB6416	SPARK_1269_C1	2018	Cow	Milk	Italy	Magherno	ERS2601500	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6417	SPARK_1286_C1	2018	Human	Faeces	Italy	Pavia Valle	ERS2601525	<b>OXY_4-4</b> (MN030563)
K. pasteurii	Ko4	SB6420	SPARK_1445_C1	2018	Cow	Faeces	Italy	Salimbene Valle	ERS2601710	OXY_4-1 (AY077481)
K. pasteurii	Ko4	SB6423	SPARK_1448_C2	2018	Cow	Faeces	Italy	Salimbene	ERS2601714	<b>OXY_4-5</b> (MN030567)
K. pasteurii	Ko4	-	SPARK_1531_C1	2018	n.a.	Water	Italy	Lardirago	ERS2601825	OXY_4-1 (AY077481)
K. grimontii	Ko6	<b>SB73</b>	06D021 T	1997	Human	Wound	France	Lille	GCA_900200035	OXY_6-1 (AJ871873)
K. huaxiensis	Ko8	SB6421	SPARK_1445_C2	2018	Cow	Faeces	Italy	Valle Salimbene Valle	ERS2601711	<b>OXY_8-2</b> (MN030565)
K. huaxiensis	Ko8	SB6422	SPARK_1448_C1	2018	Cow	Faeces	Italy	Salimbene	ERS2601714	OXY_8-3 (MN030566)
K. huaxiensis	Ko8	SB6425	SPARK_1495_C1	2018	Human	Faeces	Italy	Pavia	ERS2601786	OXY_8-1 (WP_112215366)
K. huaxiensis	Ko8	SB6550	WCHK1090001 T	2017	Human	Urine	China	Chengdu	GCA_003261575	OXY_8-1 (WP_112215366)

388 NA, information not available; n.a. not applicable; T, Type strain.

389 aPhG, K. oxytoca phylogroup; bInternal strain collection number of the Biodiversity and Epidemiology of Bacterial Pathogens unit, Institut Pasteur.<sup>c</sup> bold characters represent the new OXY beta-

390 lactamases submitted to the nomenclature database at <u>https://bigsdb.pasteur.fr/klebsiella/klebsiella.html</u>.

<b>Ko4</b> 93.09	<b>Коб</b> 93.23	<b>Ko8</b> 87.47
93.09	93.23	87.47
93.09	93.23	87.47
90.81	91.06	87.05
87.99	88.32	90.7
*	95.52	87.11
95.56	*	87.45
86.78	87.07	*
	87.99 * 95.56	87.9988.32*95.5295.56*

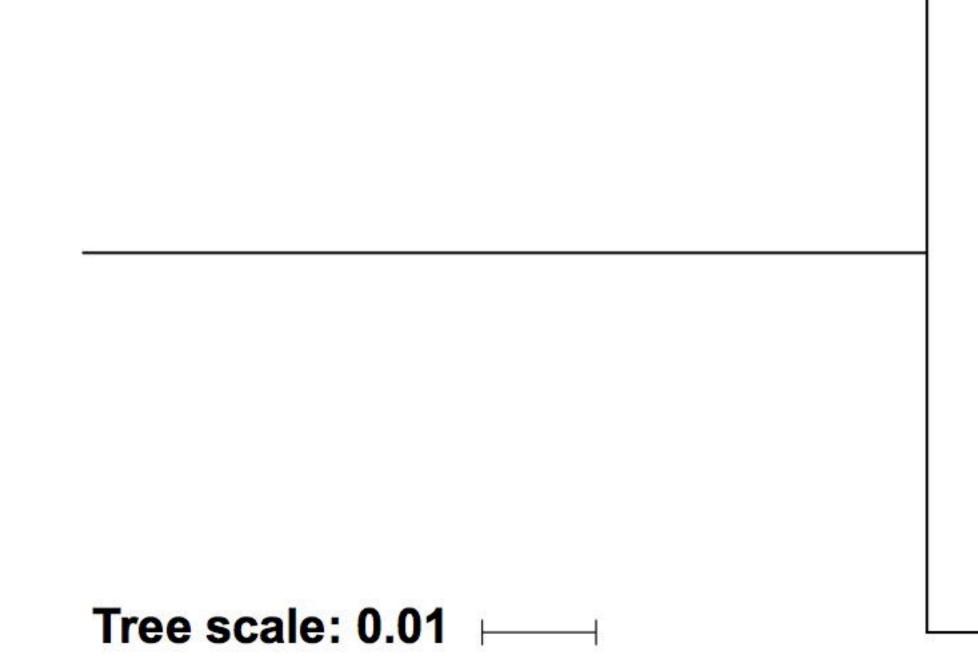
Table 2. Average nucleotide identity (ANI) values obtained among the type strains of members of the *Klebsiella oxytoca* complex.

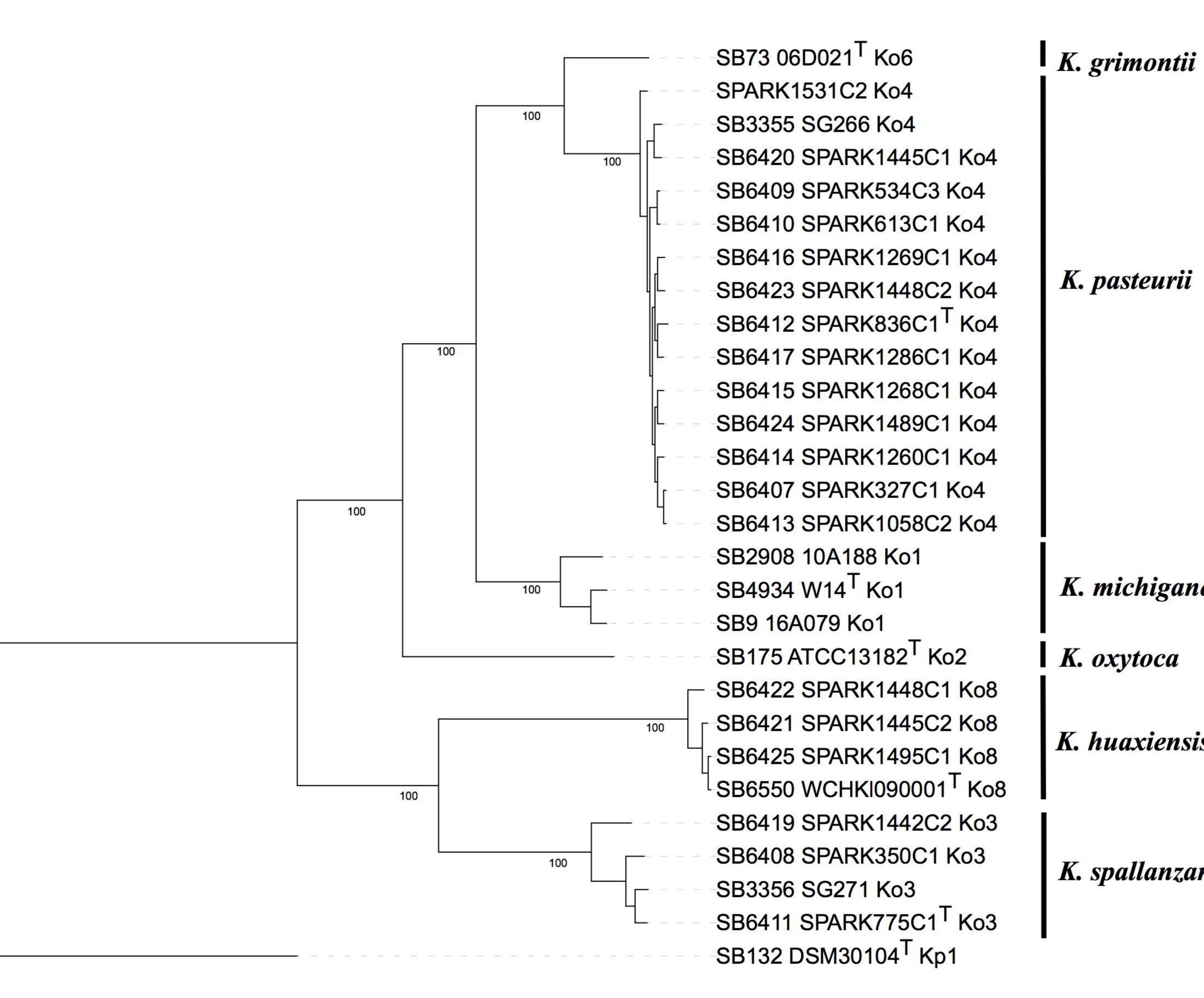
<sup>a</sup>Ko1, *K. michiganensis* W14<sup>T</sup>; Ko2, *K. oxytoca* ATCC13182<sup>T</sup>; Ko3, *K. spallanzanii* SPARK\_775\_C1<sup>T</sup>; Ko4, *K. pasteurii* SPARK\_836\_C1<sup>T</sup>; Ko6, *K. grimontii* 06D021<sup>T</sup>; Ko8, *K. huaxiensis* WCHK1090001<sup>T</sup>.

	<i>K. michiganensis</i> (Ko1, n=7)	<i>K. oxytoca</i> (Ko2, n=5)	<i>K. spallanzanii</i> (Ko3, n=4)	<b>K. pasteurii</b> (Ko4, n=5)	<i>K. grimontii</i> (Ko6, n=6)	<i>K. huaxiensis</i> (Ko8, n=3)
Metabolic phenotypes						<u>,                                 </u>
L-proline	+	+	-	+	+	-
D,L-a-Glycerol-phosphate	+	+	v	+	v	-
alpha-Keto- Glutaric Acid		-	-	-	+	-
Glyoxylic Acid	-	-	-	v	-	-
Tricarballylic acid	+	+	-	+	+	
Acetyl-b-D-Mannosamine	v	+	V	+	+	+
D-Melezitose	+	+	+	+	-	v
3-O-Methyl-Glucose		-	-	-	-	+
g-Amino-Butyric Acid	+	+	-	V	V	-
L-Tartaric Acid	v	V	v	+	+	-

**Table 3.** Differential biochemical characteristics of the taxa under study.

-, less than 20% of positive strains; +, more than 80% of positive strains; v, between 20% and 80% of positive strains.







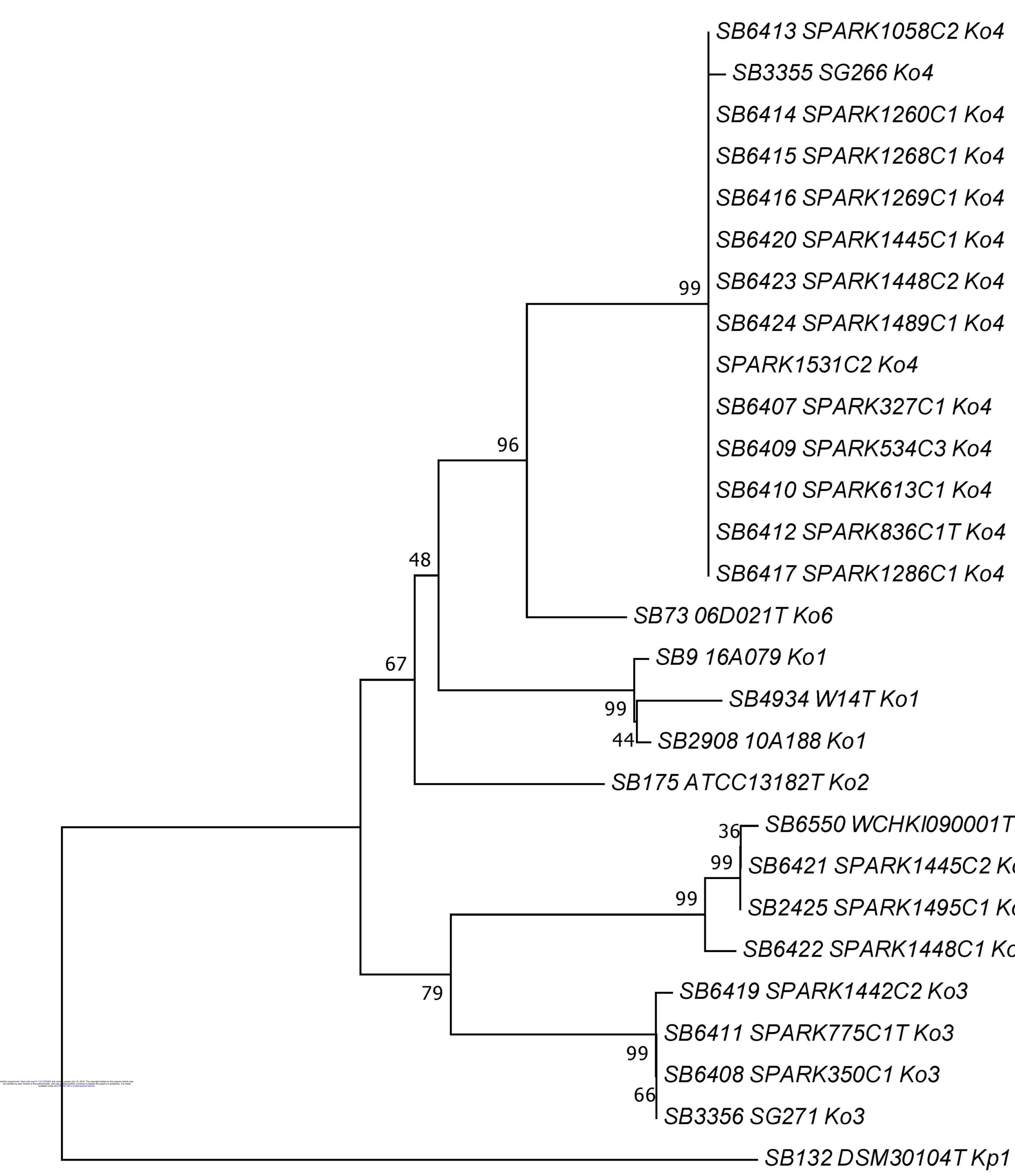
## K. pasteurii

# K. michiganensis

- K. oxytoca
- K. huaxiensis

K. spallanzanii





SB6413 SPARK1058C2 Ko4 SB6414 SPARK1260C1 Ko4 SB6415 SPARK1268C1 Ko4 SB6416 SPARK1269C1 Ko4 SB6420 SPARK1445C1 Ko4 SB6424 SPARK1489C1 Ko4 SB6407 SPARK327C1 Ko4 SB6409 SPARK534C3 Ko4 SB6410 SPARK613C1 Ko4 SB6412 SPARK836C1T Ko4 SB6417 SPARK1286C1 Ko4

36 SB6550 WCHKI090001T K08 99 SB6421 SPARK1445C2 Ko8 SB2425 SPARK1495C1 Ko8 SB132 DSM30104T Kp1

K. pasteurii

K. grimontii

K. michiganensis

K. oxytoca

K. huaxiensis

K. spallanzanii

K. pneumoniae