

1 **Adaptations of energy metabolism in cetaceans have consequences for their response to**
2 **foraging disruption**

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18

19 **Abstract**

20 Cetaceans have varied their anatomical structure, physiology and metabolism to adapt to the
21 challenges of aquatic life. Key to this change is the deposition of blubber. This adipose tissue
22 plays a significant regulatory and signaling role in mammalian metabolism. As foraging
23 disruption by human activities is emerging as a key conservation threat for cetaceans, we
24 need to understand how selection for aquatic life might have altered key nutrient sensing
25 pathways associated with adipose signaling. We compared selection pressure on those
26 energy metabolism biological pathways by contrasting the rate of substitution observed in
27 genes associated with them in cetacean and artiodactyl genomes. We then estimated the
28 likely consequence of these selection pressures for pathway functions. Here we show that
29 genes involved in the insulin, mTOR, SIRT and NF- κ B pathways were under significant positive
30 selection in cetaceans compared to their terrestrial sister taxon. Our results suggest these
31 genes may have been positively selected to adapt to a glucose-poor diet and it is unlikely that
32 fat depots signaling function in the same manner as in terrestrial mammals. Secondary
33 adaptation to life in water significantly affected functions in nutrient sensing pathways in
34 cetaceans. Insulin is not likely to play the same role in energy balance as it does in terrestrial
35 mammals and adiposity is not likely to have the deleterious health consequences it has in
36 terrestrial mammals. The physiological ecology of cetacean fat deposition, and therefore its
37 value as a condition index, needs to be interpreted in this evolutionary context.

38

39

40 **Introduction**

41 Cetaceans are mammals that transitioned from a terrestrial to an aquatic lifestyle
42 approximately 53–56 million years ago by adapting their anatomical structure, physiology and
43 metabolism. These critical morphological and physiological adaptations ensured the
44 maintenance of body temperature and energy reserves (Parry, 1949; Scholander, Walters,
45 Hock, & Irving, 1950). For example, this included a thickening of the blubber (i.e. adipose
46 tissue equivalent) to provide thermal insulation, to deal with more sporadic foraging
47 opportunities, and to support locomotion (Vasseur & Yodzis, 2004; T M Williams, Friedl, &
48 Haun, 1993; Terrie M. Williams, Haun, Davis, Fuiman, & Kohin, 2001). Crucially, these
49 adaptations impact the ways in which individuals decide to invest in reproduction and define
50 their abilities to survive under varied environmental pressures, in particular nutrient
51 availability. Human-caused perturbations, such as shipping, tourism, naval activities, coastal
52 urbanization and offshore energy development, can perturb environmental nutrient levels
53 and affect cetacean foraging abilities. These factors are becoming a pervasive and prevalent
54 threat to many cetacean species (Pirodda et al., 2018) and are a key priority in cetacean
55 conservation policy (eg. National Academies of Sciences, Engineering, 2017).

56 Cetaceans detect fluctuations in environmental nutrient levels by nutrient sensing
57 pathways and some of these are evolutionary conserved across species (Chantranupong,
58 Wolfson, & Sabatini, 2015). These pathways detect intracellular and extracellular levels of
59 sugar, amino acids and lipids and their surrogate metabolites. Nutrients can trigger the
60 release of several hormones, which induce coherent responses in several pathways involved
61 in regulating metabolism. Unsurprisingly, a large portion of positively selected genes in
62 cetaceans are involved in energy metabolism (Nery, González, & Opazo, 2013). Bottlenose
63 dolphins (*Tursiops truncatus*) show insulin resistance likely caused by early metabolic shifts in

64 substrate utilization as the species shifted from a terrestrial high carbohydrate diet to a
65 marine high protein diet (Wang et al., 2016). Dolphin diet has a high fat and protein content
66 and is almost devoid of carbohydrates (Wells et al., 2013). Fasted healthy bottlenose dolphins
67 (*Tursiops truncatus*) have elevated fasting plasma glucose concentration that are similar to
68 diabetic humans (S. Venn-Watson, Carlin, & Ridgway, 2011; S. K. Venn-Watson & Ridgway,
69 2007).. During fasting, metabolism is believed to be primarily fueled by large adipose stores
70 (i.e. blubber) that are mobilized in response to insulin suppression (Duncan, Ahmadian,
71 Jaworski, Sarkadi-Nagy, & Sul, 2007).

72 This fasting response is expected to take place when foraging is disrupted in the wild by
73 human activities. Regulatory genes related to lipolysis are positively selected in cetacean-
74 specific lineages but not in terrestrial mammals (Wang et al., 2015). Specifically, genes related
75 to triacylglycerol (TAG) metabolism were suggested to play an essential role in the secondary
76 adaption of cetaceans to aquatic life (Wang et al., 2015). The processes of lipid deposition
77 and utilization is regulated by the gene leptin (LEP) (Duncan et al., 2007). Recent work shows
78 that in bowhead whales (*Balaena mysticetus*) and belugas (*Delphinapterus leucas*), the
79 regulation of LEP and lipolysis is adapted to seasonal cycles of blubber deposition and
80 utilization (Ball et al., 2017). Although adipose tissue biology of terrestrial mammals show a
81 similarity to the functioning of cetacean blubber, some differences in key genes have been
82 identified (Ball et al., 2017). These changes have therefore the scope to alter the way
83 individual take biological decisions about demographic contributions, particularly
84 reproduction, given their energetic metabolic state. We need to place gene selection in the
85 context of the biological pathways in which they are involved to contextualize those changes
86 and understand the potential demographic consequences of foraging disruption when the
87 environmental nutrient levels of cetaceans are perturbed. Here, we aimed to determine

88 whether the selective pressure from secondary adaptations to life in water led to a change in
89 the key nutrient signaling pathways. We aim to predict whether these changes are likely to
90 affect biological functions.

91 **Material and Methods**

92 To understand the evolutionary-driven changes in the regulation of metabolic
93 processes in cetaceans, we took a targeted approach and focused on 6 signaling pathways:
94 the p53 signaling pathway, the insulin signaling pathway, the mTOR signaling pathway, the
95 leptin signaling pathway, the NF- κ B signaling pathway and the SIRT signaling pathway. A total
96 of 532 genes involved in these pathways were obtained from the Kyoto Encyclopedia of Genes
97 and Genomes (KEGG) website (<http://www.genome.jp/kegg/>) and from the Ingenuity
98 Pathway Analysis (IPA) program (version 2000-2019, Ingenuity Systems, www.ingenuity.com).

99

100 *Analysis of positive gene selection and amino-acid substitutions*

101 We obtained the full amino-acid sequences from 532 human KEGG proteins included in our
102 pathways of interest and downloaded from *NCBI* the genome assemblies of human, mouse, 16
103 cetacean species and 37 artiodactyl species (Table S1). We aligned the KEGG proteins to all
104 genomes using *EXONERATE* 2.2.0 (Slater & Birney, 2005) with the *protein2genome* model to
105 allow for spliced alignments across introns. For each genome, the single match with the
106 highest alignment score was retained and the nucleotide sequence of the match was
107 extracted. If multiple best matches with the same score were present, one match was chosen
108 at random. Sequences were codon-aligned with guidance from corresponding amino-acid
109 alignments and allowing for frame-shifts using *MACSE* 2.03 (Ranwez, Douzery, Cambon,
110 Chantret, & Delsuc, 2018), and maximum-likelihood gene trees were inferred in *IQ-TREE* 1.6.8

111 (Nguyen, Schmidt, Von Haeseler, & Minh, 2015) with automatic selection of nucleotide
112 substitution models. A species tree was then inferred from all 532 gene trees using *ASTRAL-III*
113 5.6.3 (Zhang, Rabiee, Sayyari, & Mirarab, 2018) and rooted at the two outgroups human and
114 mouse.

115 Codon sequence evolution was modelled in the *codeml* program of *PAML* 4.9f (Yang, 2007),
116 using the species tree with a trifurcated root (=derooted). To minimize the impact of missing
117 data, all codons with more than 20 % missing data were removed from the alignments using
118 *TRIMAL* 1.4 (Capella-Gutiérrez, Silla-Martínez, & Gabaldón, 2009). Three types of models were
119 run per alignment. First, the null model estimated a single dN:dS ratio (ω) for the entire
120 alignment. Second, the branch model (model = 2; NSsites = 0) estimated a single ω for all
121 cetacean lineages (foreground) and a second ω for all other lineages (background). Third, the
122 branch-site model (model = 2; NSsites = 2) estimated different ω among codons within the
123 foreground and background branches. The branch models and branch-site models were each
124 run twice, either allowing the foreground ω to vary freely or fixing ω at 1 (=neutral evolution).
125 The statistical significance of the free ω estimates of interest was obtained via likelihood-ratio
126 tests carried out in *R* 3.4.0 (R Core Team, 2014). Both the free branch model and the free
127 branch-site model were contrasted with their corresponding neutral models and with the null
128 model by comparing twice the difference in likelihood ($2\Delta L$) of the models against a *Chi*-
129 square distribution with one degree of freedom. *P*-values were corrected for multiple testing
130 across all genes within each type of contrast using the false-discovery-rate method (Benjamini
131 & Hochberg, 1995).

132 From all branch-site models with free ω that fitted significantly better than the neutral
133 branch-site model and the null model, we identified the specific codons under positive
134 selection in the cetacean lineage using the Bayes Empirical Bayes (BEB) method (Yang, 2007).

135 We then examined whether the amino-acid substitutions in the cetacean lineage at these
136 sites would have detrimental effects on the function of the protein using *PROVEAN* 1.1.5 (Choi,
137 Sims, Murphy, Miller, & Chan, 2012). For each protein, the *Homo sapiens* sequence was used
138 as the reference sequence, and the single most frequent alternative amino acid observed
139 among the 16 cetacean genomes was queried.

140

141 *Pathway level comparison*

142 The value of statistically significant likelihood-ratio test statistics ($2\Delta L$) between the
143 positive and neutral branch-models were used as a measure to visualize the interactions of
144 the positive gene selection at a pathway level using IPA signaling pathways for each of the
145 target pathway (version 2000-2019, Ingenuity Systems, www.ingenuity.com). IPA did not
146 have a prebuilt sirtuin signaling pathway. We therefore manually constructed this pathway
147 based on the summarized data by Nakagawa and Guarente (2011) (Nakagawa & Guarente,
148 2011).

149

150 *Prediction of functional effects*

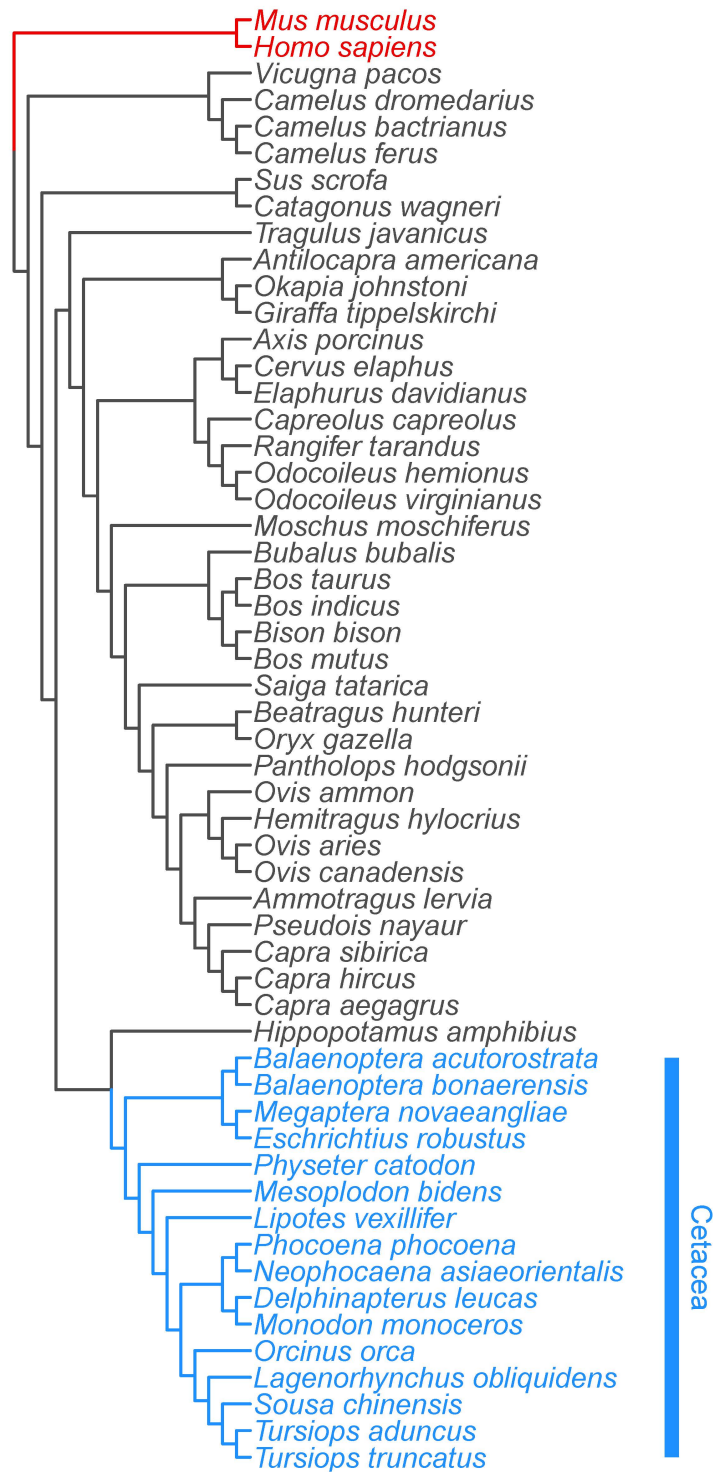
151 Deleterious amino acid substitutions (*PROVEAN* scores ≤ -2.5) in positively selected
152 codons were visualized using IPA and possible downstream effects in the pathways based on
153 the damaged protein were predicted using the Molecule Activity Predictor (MAP) function in
154 IPA.

155

156 **Results**

157 *Strength of selective pressure on genes involved in nutrient sensing pathways*

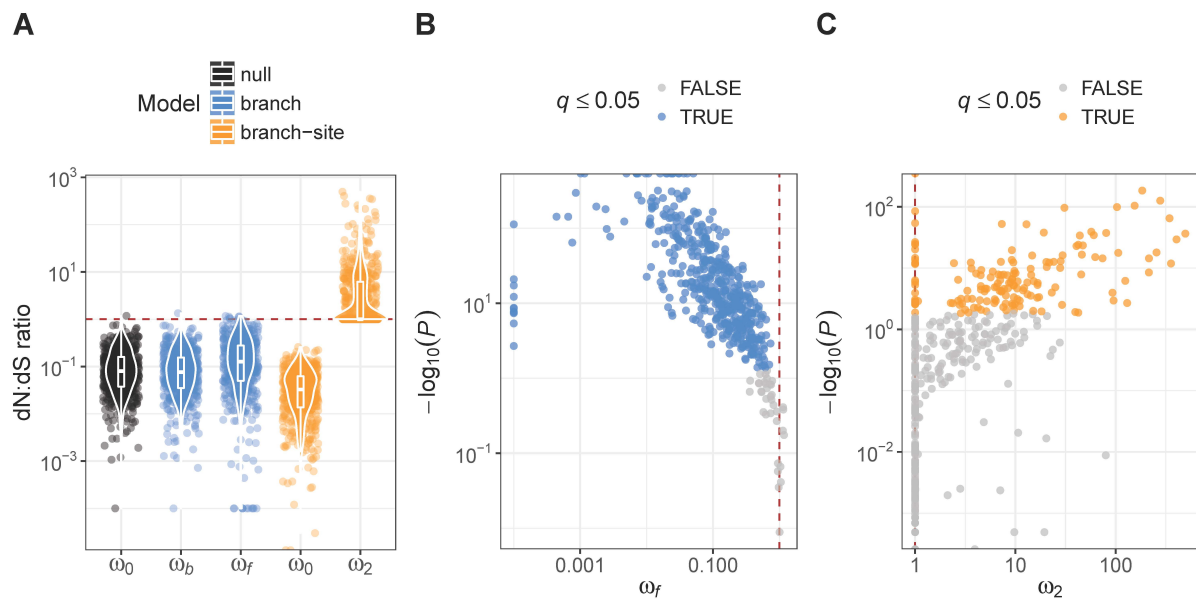
158 The species tree inferred from all gene trees (Fig 1) was consistent with published
159 cetacean and artiodactylan phylogenies (Zurano et al., 2019). Alignment-wide ω estimates
160 from the PAML null models were <1 for all but one gene (median: 0.08; mean: 0.12),
161 consistent with a baseline of strong purifying selection on protein function across all taxa and
162 all codons (Fig 2A). Contrasting the cetacean group with all other taxa using branch models
163 revealed that 224 out of 532 genes (42.1 %) departed significantly ($q \leq 0.05$) from neutral
164 codon evolution ($\omega \neq 1$), but all of these genes were under purifying selection ($\omega < 1$) instead
165 of positive selection ($\omega > 1$). Only seven genes were candidates for positive selection ($\omega > 1$),
166 but none of these estimates were significant (Fig 2B). In contrast, branch-site models revealed
167 significant ($q \leq 0.05$) positive selection ($\omega > 1$; median: 9.67; mean: 39.64) in the cetacean
168 group on a small subset of codons in 133 of 532 genes (25 %) (Fig 2C).



169

170 Figure 1. Species cladogram of the cetacea ingroup (blue) and artiodactyla, human and mouse

171 outgroups (black and red), derived from 532 gene alignments.



172
 173 Figure 2: Ratios of non-synonymous vs. synonymous nucleotide substitution rates (dN:dS
 174 ratios; ω) in 532 genes estimated from codon evolution models in PAML. A) baseline
 175 estimates for whole alignments from null models (ω_0), estimates for foreground (cetacea; ω_f)
 176 and background (all others; ω_b) branches from branch models, and estimates for codons
 177 under purifying (ω_0) and positive (ω_2) selection in foreground branch (cetacea) from branch-
 178 site models. B) Foreground dN:dS ratio (ω_f) and statistical significance (P -value) from
 179 likelihood-ratio tests between free-ratio branch models and neutral branch models.
 180 Significant tests after FDR correction ($q \leq 0.05$) are highlighted in blue. C) Foreground dN:dS
 181 ratio of positively selected codons (ω_2) and statistical significance (P -value) from likelihood-
 182 ratio tests between positive-selection branch-site models and neutral branch-site models.
 183 Significant tests after FDR correction ($q \leq 0.05$) are highlighted in orange. The red dashed lines
 184 in all plots represent neutral evolution ($\omega = 1$).
 185

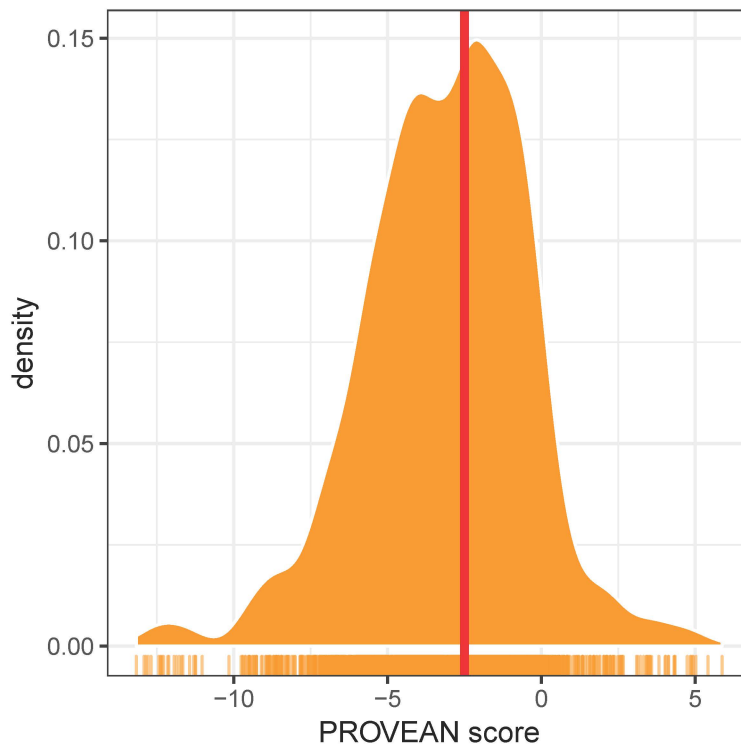
186 *Positive gene selection at the pathway level*

187 We then visualized the interactions of the positive gene selection at a pathway level
 188 using IPA signaling pathways. Insulin signaling (Fig S1, Table S2), mTOR signaling (Fig S2, Table
 189 S3), NF- κ B signaling (Fig S3, Table S4) and SIRT signaling (Fig S4, Table S5) were found to be
 190 positively selected, especially those related to glucose metabolism and inflammation. Genes
 191 particularly upstream from lipid metabolism, cell growth and proliferation and apoptosis
 192 functions were positively selected in the cetacean lineage. Little differences in selection were
 193 found for p53 signaling (Fig S5, Table S6) and leptin signaling (Fig S6, Table S7).

194

195 *Prediction of functional effects*

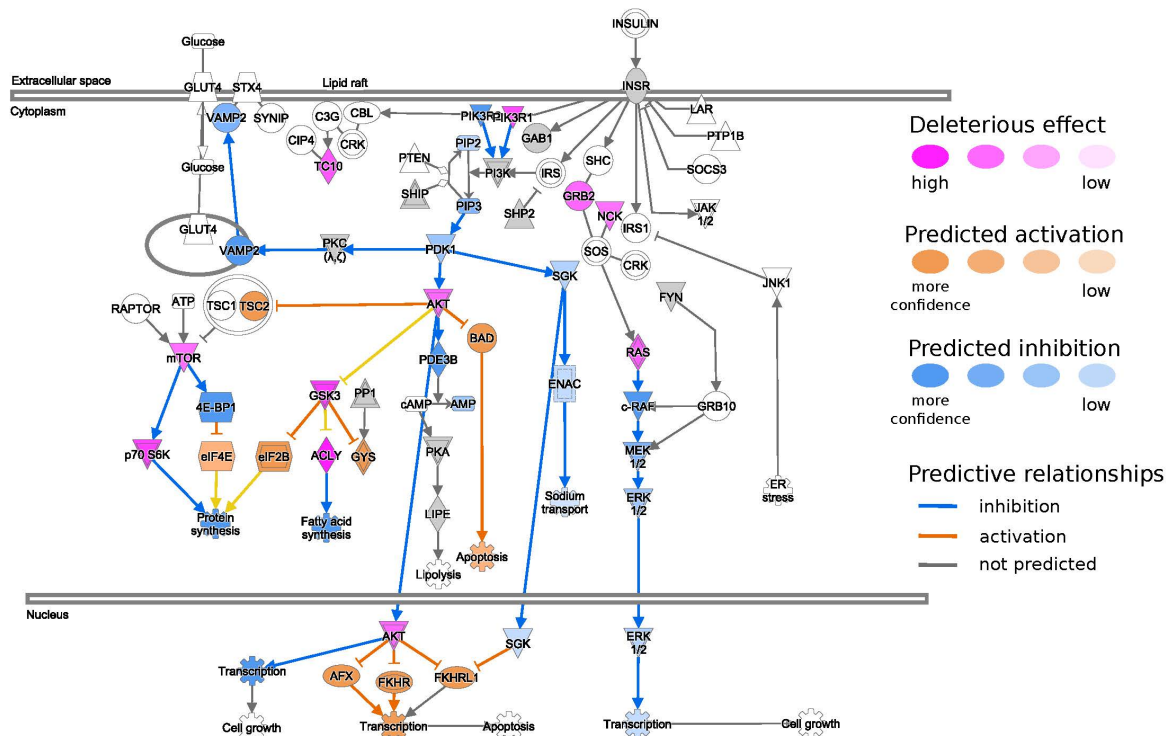
196 Using the BEB method in PAML, a total of 1936 codons among the 133 genes positively
197 selected in the cetacean lineage (mean 14.78 codons per gene) were under positive selection.
198 The predicted functional effects of the amino-acid substitutions in cetacea were
199 predominantly deleterious (median provean score: -2.9) and only 8.5 % of substitutions had
200 a positive score (Fig 3).



201 Figure 3. Distribution of *PROVEAN* scores of 1936 amino-acid substitutions under positive
202 selection in cetacea. The red line indicates the standard threshold (-2.5) below which the
203 effect of a substitution is considered deleterious.
204

205
206 These deleterious effects were likely to impact the way biological processes function.
207 Unsurprisingly, glucose metabolism is expected to be altered with changes in insulin signaling
208 (Fig 4), SIRT3 signaling with downstream regulation of insulin sensitivity, PPARA signaling with
209 downstream regulation of gluconeogenesis and oxidation of fatty acids (Fig 5). In addition, we
210 expect changes in upstream signaling of inflammation, hypoxia and cell survival via SIRT6,
211 RB1, NFKB and HIF1a (Fig 5&6). Importantly, both the mTOR complexes and its upstream and
212 downstream genes were estimated to be changed (Fig 7). The changes would have effects on
213 nutrient sensing and protein synthesis as well as key biological decisions about energetic
214 investment such as shift to glycolysis and de novo lipid synthesis.

215

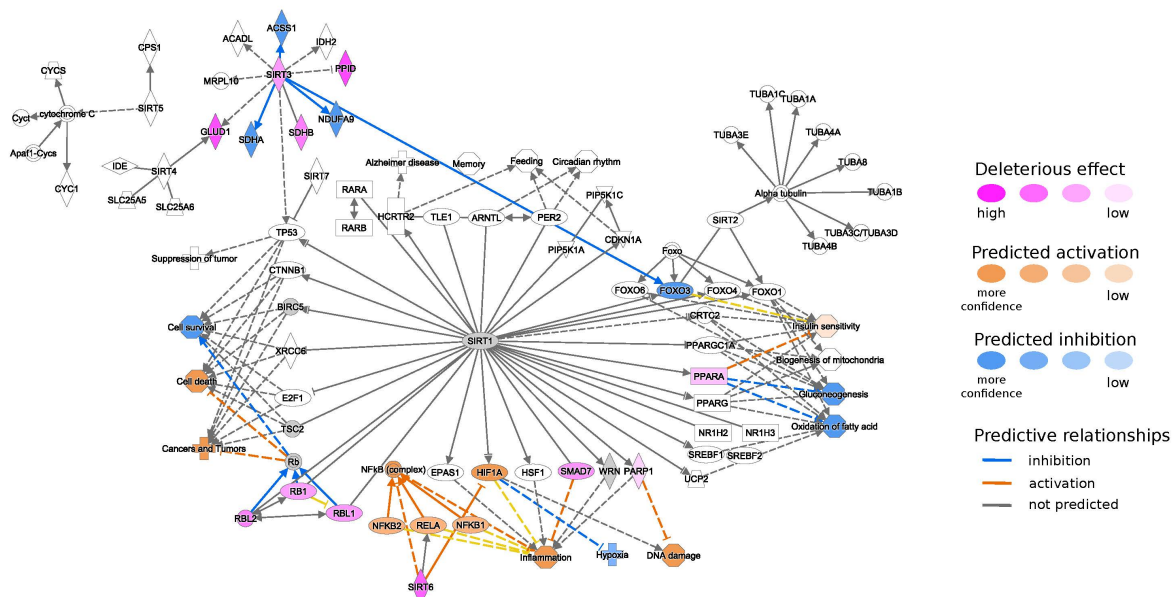


216

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217 Figure 4. The insulin signaling pathway obtained from the Ingenuity Pathway Analysis (IPA)
218 program. Genes with a damaging amino acid substitution are colored in magenta. Possible
219 downstream damaging effects of these genes were visualized using the Molecule Activity
220 Predictor (MAP) tool in IPA (see prediction legend).

221

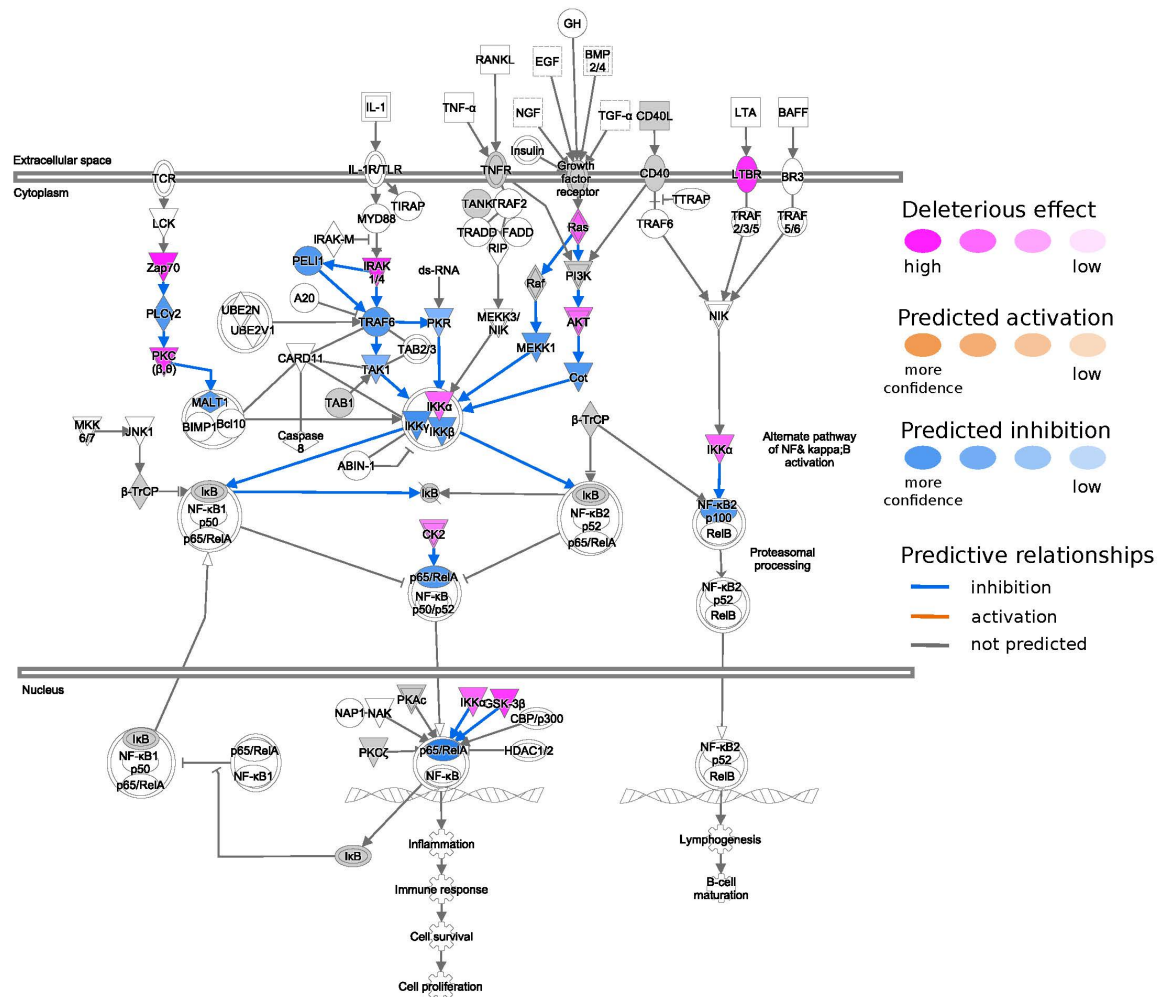


222

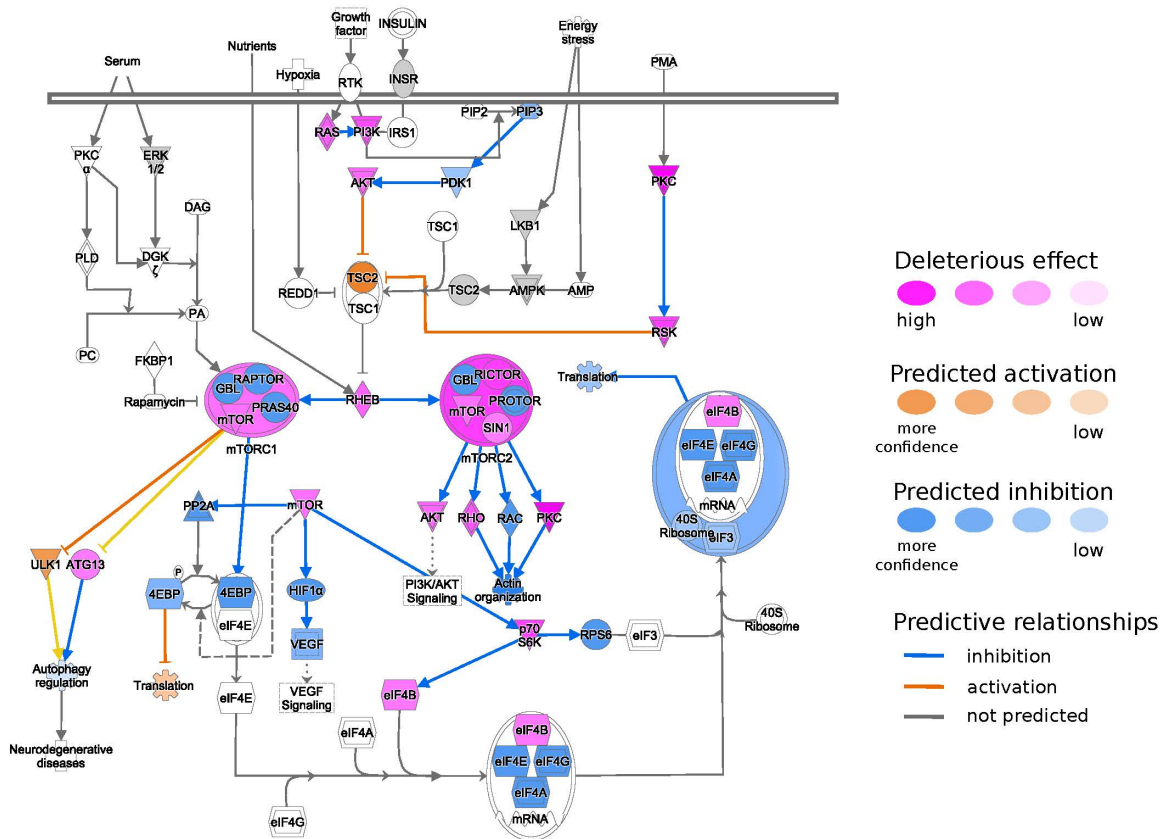
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223 Figure 5. The SIRT signaling pathway obtained from the Ingenuity Pathway Analysis (IPA)
224 program. Genes with a damaging amino acid substitution are colored in magenta. Possible

225 downstream damaging effects of these genes were visualized using the Molecule Activity
 226 Predictor (MAP) tool in IPA (see prediction legend).



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 228 Figure 6. The NF-κB signaling pathway obtained for Ingenuity Pathway Analysis (IPA) program.
 229 Genes with a damaging amino acid substitution are colored in magenta. Possible downstream
 230 damaging effects of these genes were visualized using the Molecule Activity Predictor (MAP)
 231 tool in IPA (see prediction legend).



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233 Figure 7. The mTOR signaling pathway obtained for Ingenuity Pathway Analysis (IPA) program.
 234 Genes with a damaging amino acid substitution are colored in magenta. Possible downstream
 235 damaging effects of these genes were visualized using the Molecule Activity Predictor (MAP)
 236 tool in IPA (see prediction legend).

237

238 Discussion

239 Here we took a targeted approach to identify positive selected genes in cetacean
 240 nutrient sensing pathways. This allowed us to better understand how combined changes
 241 might be focused on particular functions within these pathways and what the consequences
 242 of these changes might be for the way by which energy metabolism in cetaceans may differ
 243 from their terrestrial counterparts. These pathways have signaling cascades in common with
 244 hormones such as insulin and are linked with the release of hormones from adipose tissue
 245 (e.g. leptin). Our results indicate that genes involved in the insulin signaling pathway, the
 246 mTOR, SIRT and NF- κ B signaling pathway were significantly positively selected. These

247 pathways have profound effects on metabolism and the maintenance of energy reserves. In
248 terrestrial mammals, adipose tissue mass is related to metabolic fitness and expansion can
249 lead to inflammation triggering metabolic disfunctions and diseases (e.g. obesity, metabolic
250 syndrome, insulin resistance). The positive selection of genes related to glucose metabolism
251 and inflammation suggest that these genes may have been positively selected to adapt to a
252 glucose-poor diet and that fat deposits signaling may not be as limited by inflammation,
253 metabolic dysfunctions (e.g. insulin resistance) and reproduction. Understanding these
254 adaptations can help us manage conservation threats that perturb the environmental
255 nutrient levels of cetaceans (National Academies of Sciences, Engineering, 2017).

256 Cetaceans have a diet with a high fat and protein content and is almost devoid of
257 carbohydrates (Wells et al., 2013). Hence pathways regulating carbohydrate and glucose
258 metabolism would have been under selective pressure as these species underwent a shift in
259 substrate utilization. Genes related to the control of food intake, glycerol uptake and glucose
260 metabolism were found to be under positive selective pressure in dolphins (McGowen,
261 Grossman, & Wildman, 2012). A high glucose transport may be needed via erythrocytes to
262 deliver glucose to specific brain regions under normal or physiological stress conditions (e.g.
263 hypoxia while diving) (Craik, Young, & Cheeseman, 1998). We found positive selections in the
264 insulin signaling pathway which are consistent with this hypothesis. In fasted Northern
265 elephant seals components of the insulin signaling pathway were reduced including glucose
266 transport (GLUT4), phosphatidylinositol 3-kinase (PI3K) and phosphorylated insulin receptor
267 substrate 1 (IRS1) (Viscarra, Vázquez-Medina, Crocker, & Ortiz, 2011). In our study, both PI3K
268 and IRS1 genes were estimated to be under positive selection but not GLUT4.-Elephant seals
269 are also insulin resistant (Viscarra et al., 2011) and hence may share common evolutionary
270 selection of those mechanisms with cetaceans. We indeed identified deleterious changes to

271 the insulin signaling pathway, including the Akt protein signaling kinase (Akt) and PI3K.
272 Damage to this pathway in various tissues has been linked to insulin resistance (Huang, Liu,
273 Guo, & Su, 2018). The three Akt isoforms have differential physiological functions but loss of
274 function in one isoform is compensated by another. Both Akt2^{-/-} and Akt3^{-/-} mice exhibit
275 severe glucose and insulin resistance (Dummler et al., 2006). Interestingly the Akt
276 phosphorylation at Ser473, which is required for its full activation, is accomplished by
277 mTORC2 (Oh & Jacinto, 2011; Sarbassov, Guertin, Ali, & Sabatini, 2005). Both mTORC1 and
278 mTORC2 were positively selected in the cetacean lineage to a point where we cannot expect
279 them to function in the same way as in terrestrial mammals. In addition to PI3K/AKT signaling,
280 PPAR α was also positively selected. Activation of the PPAR α isoform leads to improved lipid
281 and carbohydrate profile and to reduced inflammation (Moller & Berger, 2003). It's anti-
282 inflammatory properties have been linked to the suppression of NF- κ B (Fuentes, Guzmán-
283 Jofre, Moore-Carrasco, & Palomo, 2013). However, PPAR α is predominantly involved in
284 cellular uptake, activation and β -oxidation of fatty acids (Moller & Berger, 2003). PPAR α ^{-/-}
285 mice exposed to long-term high fat diet remained normoglycemic and normoinsulinemic
286 despite having high adiposity while the wild type developed hyperinsulemia. In addition,
287 glucose and insulin tolerance test indicated that high-fat-fed wild type developed insulin
288 resistance over time while the PPAR α ^{-/-} remained unchanged (Guerre-Millo et al., 2001).
289 Hence in absence of PPAR α , the increase in adiposity as a result of a high fat diet does not
290 lead to insulin resistance. Interestingly, when dolphins were fed a big meal of fish, they do
291 show signs of insulin resistant (Venn-Watson et al., 2011). However, when just fed dextrose
292 and water they showed an insulin-deficient response (S. Venn-Watson et al., 2013). This
293 response to glucose is similar to the GTT test of the PPAR α ^{-/-} mice. Hence key genes such as
294 PPAR α , AKT and PI3K in the insulin signaling pathway may be positively selected as an

295 evolutionary driver for insulin resistance and to switch between type 2 and type 1 diabetes
296 like states (S. Venn-Watson, 2014).

297 Maintaining adiposity can have negative consequences for survival in terrestrial
298 mammals. We know that a large volume of adipose tissue triggers inflammatory responses in
299 a range of species and can lead to metabolic dysfunctions at a physiological level (e.g. insulin
300 resistance) (Mantovani, Sozzani, Locati, Allavena, & Sica, 2002). NF- κ B is involved in the
301 molecular signaling of hypoxia during adipose tissue expansion and triggers these
302 inflammatory responses (Ye, Gao, Yin, & He, 2007). As the inflammatory function of NF- κ B is
303 linked to fat mass, the high level of adiposity in cetaceans would lead to chronic inflammation.
304 The thickened blubber of cetaceans is a result of the secondary adaptation to life in water and
305 hence selective pressure in this pathway may be a way to reduce intrinsic tissue inflammation.
306 Here we found that key genes in the NF- κ B signaling pathway were positively selected
307 inhibitor of nuclear factor kappa B kinase subunit beta (IKK β) and IKK α . Mice that have the
308 inflammatory pathway of NF- κ B disabled (IKK β knockout) are more insulin sensitive and are
309 partially protected from high fat diet induced glucose intolerance and hyperinsulinemia
310 (Arkan et al., 2005). In addition, the gene regulation of receptor interacting serine/threonine
311 kinase 1 (RIPK1) was also positively selected and its associated amino acid sequence changed
312 drastically compared to the outgroups. RIPK1 has a downstream effect on IKK α and IKK β , and
313 hence may influence the signaling in this pathway. The IKK complex has a NF- κ B independent
314 role in the protection of cells from RIPK-dependent death downstream from the tumor
315 necrosis factor receptor (TNFR1) (Dondelinger et al., 2015). Cetaceans do have a fully
316 functioning immune and endocrine responses and these are highly dependent on the
317 environment (e.g. pathogens, pollution and noise) (Fair & Becker, 2000; Fair et al., 2017). For
318 example transcripts encoding pro-inflammatory cytokines were significantly lower in

319 managed-care dolphins compared to free-ranging dolphins (Fair et al., 2017). A greater
320 understanding of tissue specific inflammatory responses is needed and may provide valuable
321 insights into how inflammatory responses are regulated in regards to the high adiposity in
322 these healthy but “obese” mammals, during periods of diving and environmental fluctuation.

323 Finally, most components in the mTOR pathway were positively selected including
324 both of its complexes (mTORC1 and mTORC2). mTORC1 regulates processes related to growth
325 and differentiation while mTORC2 plays a regulatory role in the insulin cascade (Lamming et
326 al., 2012). mTOR is primarily involved in immune response and sensing nutrient availability.
327 As elevated mTOR leads to increased hepatic gluconeogenesis and reduced glucose uptake
328 by muscles, it is maybe not surprising that several components in the mTOR pathway are
329 significantly changed in cetaceans. Especially as mTOR is involved in nutrient sensing. As
330 dolphins are able to switch between type 2 and type 1 diabetes like states based on their meal
331 content (S. Venn-Watson, 2014), specific components both up- and down- stream from mTOR
332 may be positively selected to facilitate such a response. In rats, a ketogenic diet (low in
333 carbohydrates) are able to reduce mTOR expression and likely via AKT (McDaniel, Rensing,
334 Thio, Yamada, & Wong, 2011). Rodents fed on a keto diet also exhibit lower insulin levels,
335 which likely induce a decreased mTOR signaling (Thio, Erbayat-Altay, Rensing, & Yamada,
336 2006). Cetaceans have a diet similar to ketogenic diet with a high fat and protein content and
337 almost devoid of carbohydrates (Wells et al., 2013). Hence, to optimise the uptake of the
338 limited available glucose in the diet, aspects in the insulin/mTOR pathway may be altered to
339 create insulin resistance.

340

341 **Conclusion**

342 Taken together, our findings provide novel insights into the role of the insulin, mTOR
343 and NF- κ B signaling pathways in the adaptation of cetaceans to an aquatic life. They point to
344 adaptations likely to reduce the health consequences of adiposity. These results mean that
345 condition measures based on adiposity must be used with caution. Indeed, lower bounds of
346 adiposity are influenced by thermoregulatory requirements and upper bounds of adiposity
347 will not be influenced by inflammatory response in the same way as it is in terrestrial
348 mammals.

349 Further work is needed to unravel the complex signaling mechanisms of adipose tissue
350 in cetacean energy metabolism and to determine the effects of these signaling molecules on
351 whole body functioning including appetite regulation, energy balance, and inflammatory
352 responses. Understanding these adaptations can help us manage conservation threats that
353 perturb the environmental nutrient levels of cetaceans (National Academies of Sciences,
354 Engineering, 2017).

355 **Authors' Contributions**

356 DL, JS and DD designed the study. DD wrote the manuscript and performed the pathway level
357 analyses and interpretation with input from DL. MW performed the gene-level analyses with
358 input from AD and JS. DD, MW and DL interpreted the data. All authors read and commented
359 on the manuscript.

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364 **Data accessibility**

365 Data will become openly available after uploading on Dryad.

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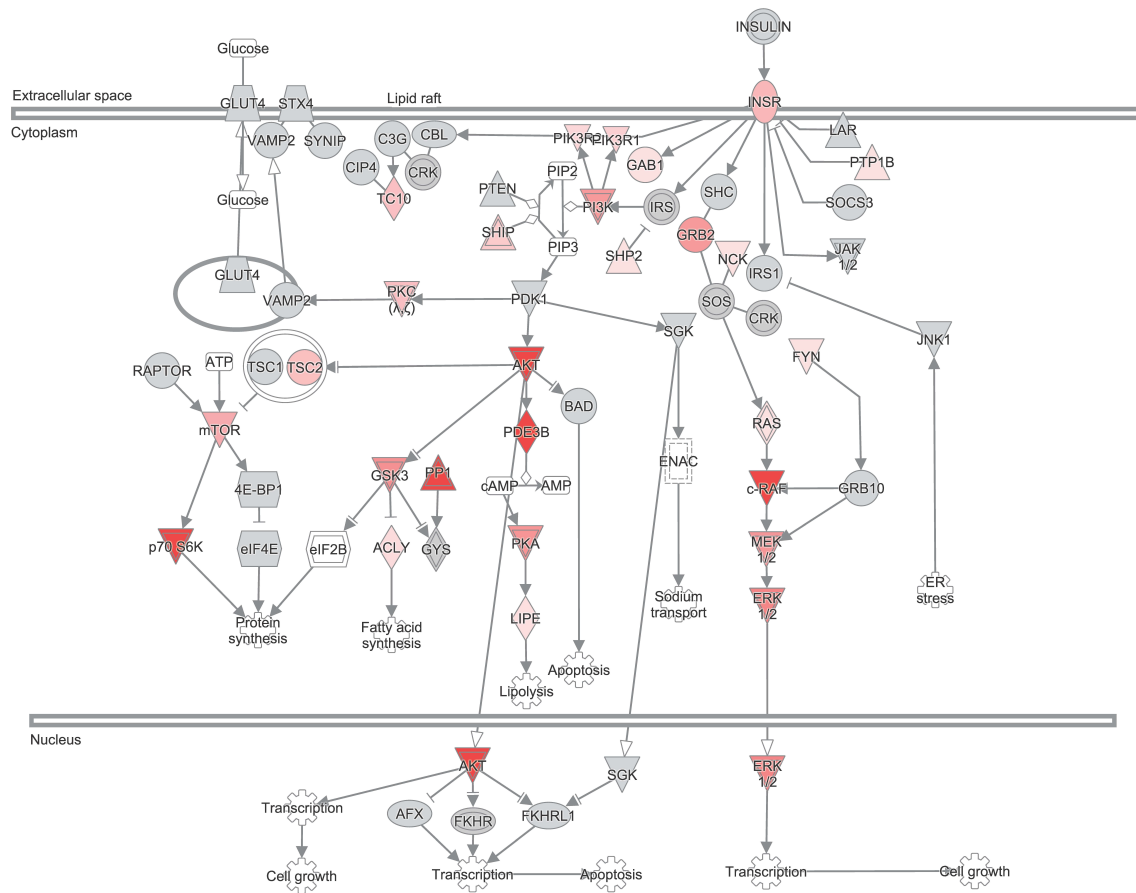
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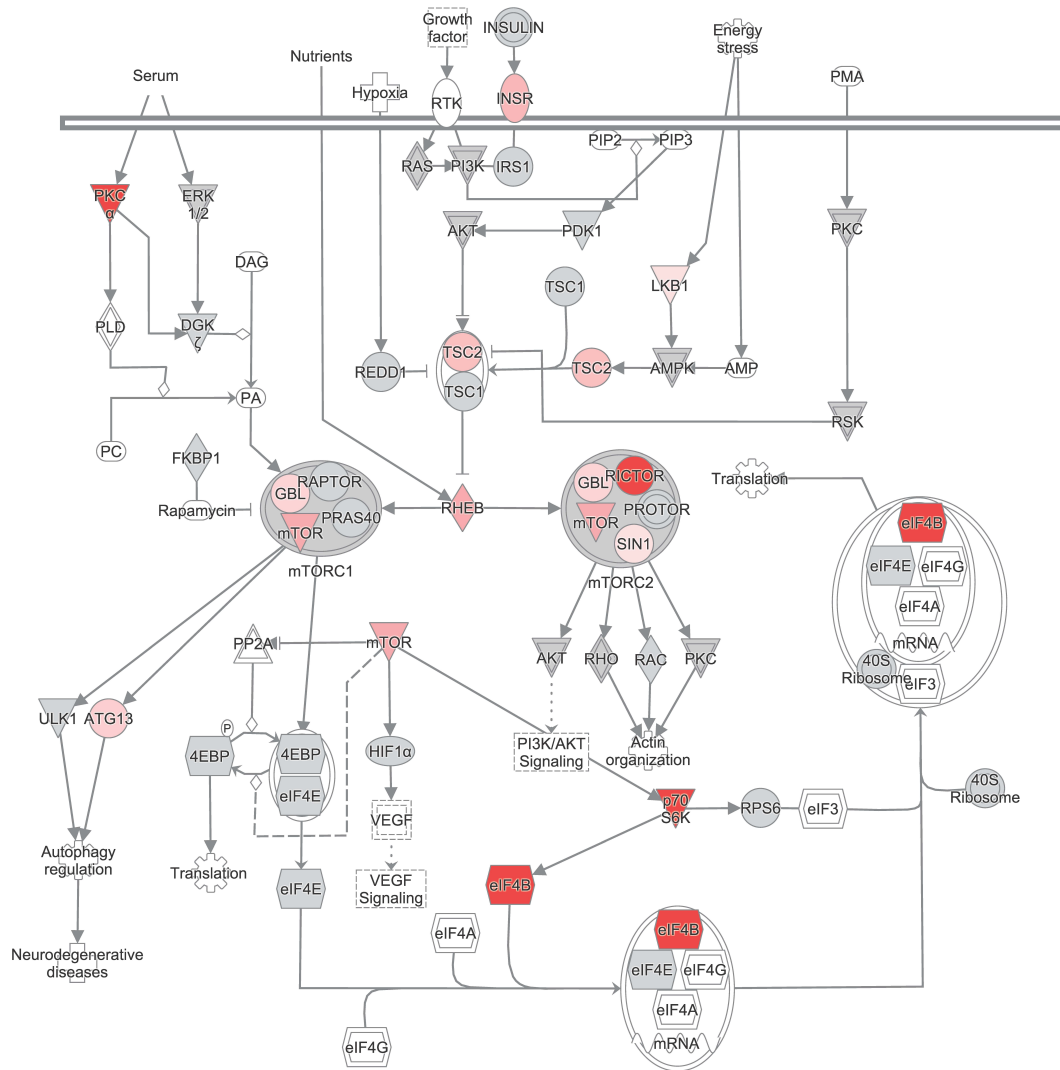
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515 **Supplementary Figures**



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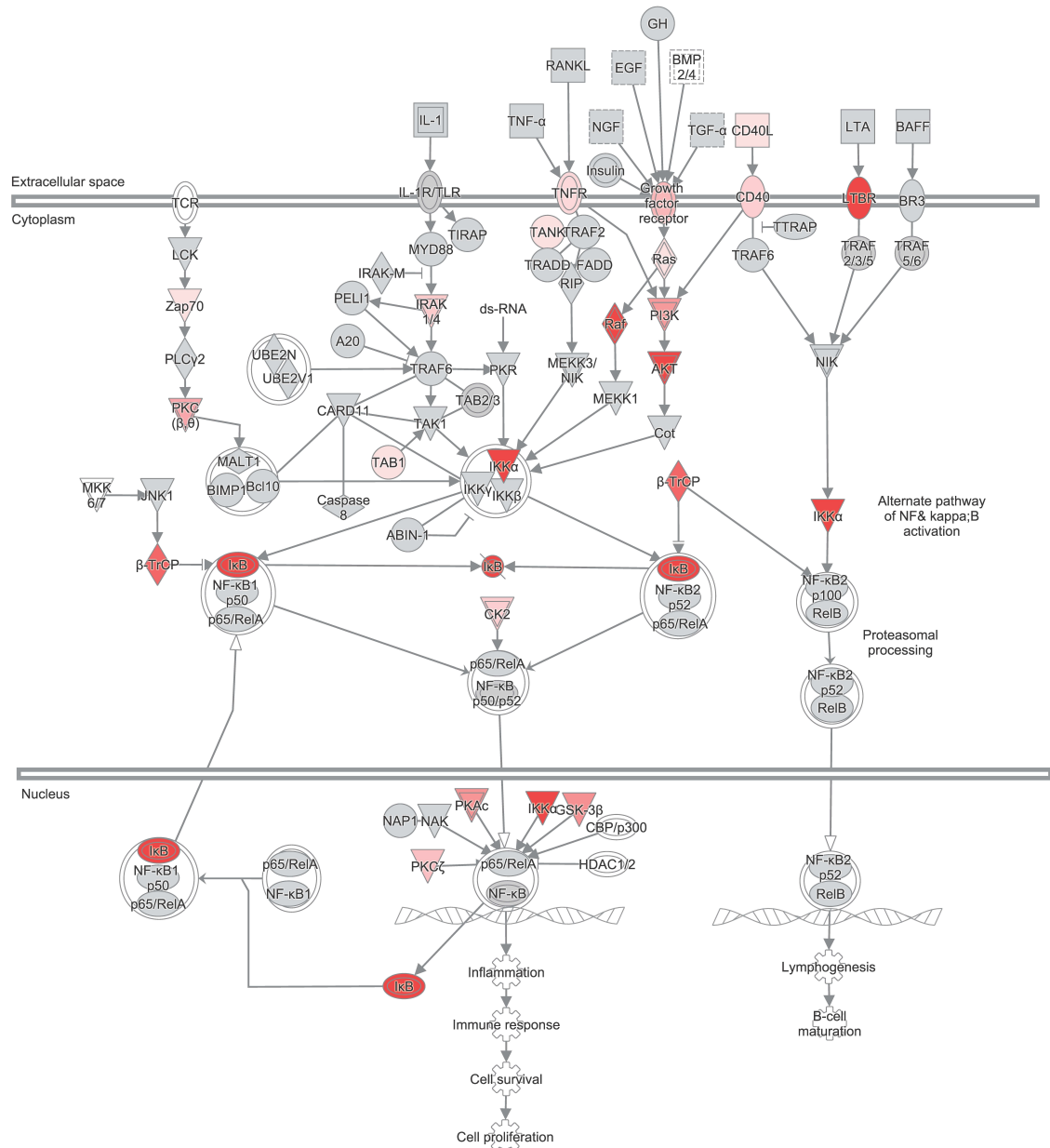
517 Figure S1. The insulin signaling pathway obtained from Ingenuity Pathway Analysis (IPA)
 518 program. Genes in the pathway are colored according to their corresponding ΔL value
 519 identified by the branch-site model. Intensity of the color is related to the strength of the
 520 positive gene selection. Uncolored genes represent those genes with an adjusted p-value >
 521 0.05.



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523 Figure S2. The mTOR signaling pathway obtained for Ingenuity Pathway Analysis (IPA)
 524 program. Genes in the pathway are colored according to their corresponding ΔL value
 525 identified by the branch-site model. Intensity of the color is related to the strength of the
 526 positive gene selection. Uncolored genes represent those genes with an adjusted p-value >
 527 0.05.

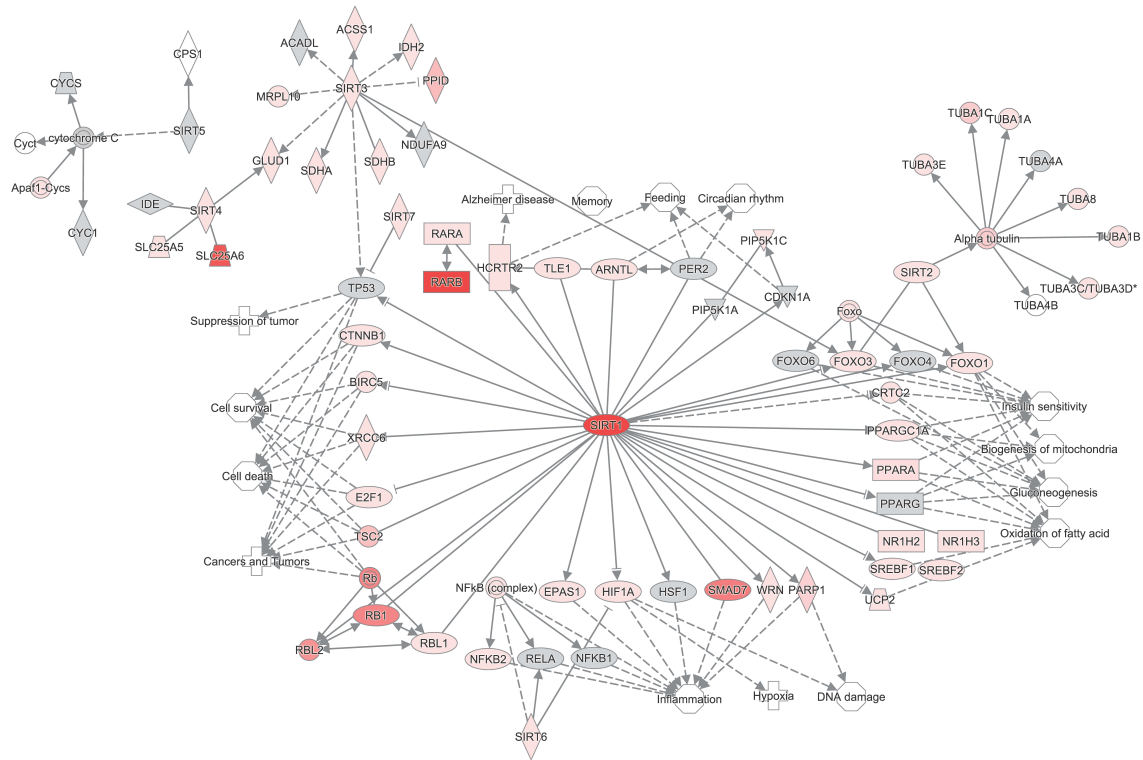
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530 Figure S3. The NF-κB signaling pathway obtained for Ingenuity Pathway Analysis (IPA)
 531 program. Genes in the pathway are colored according to their corresponding 2ΔL value
 532 identified by the branch-site model. Intensity of the color is related to the strength of the
 533 positive gene selection.

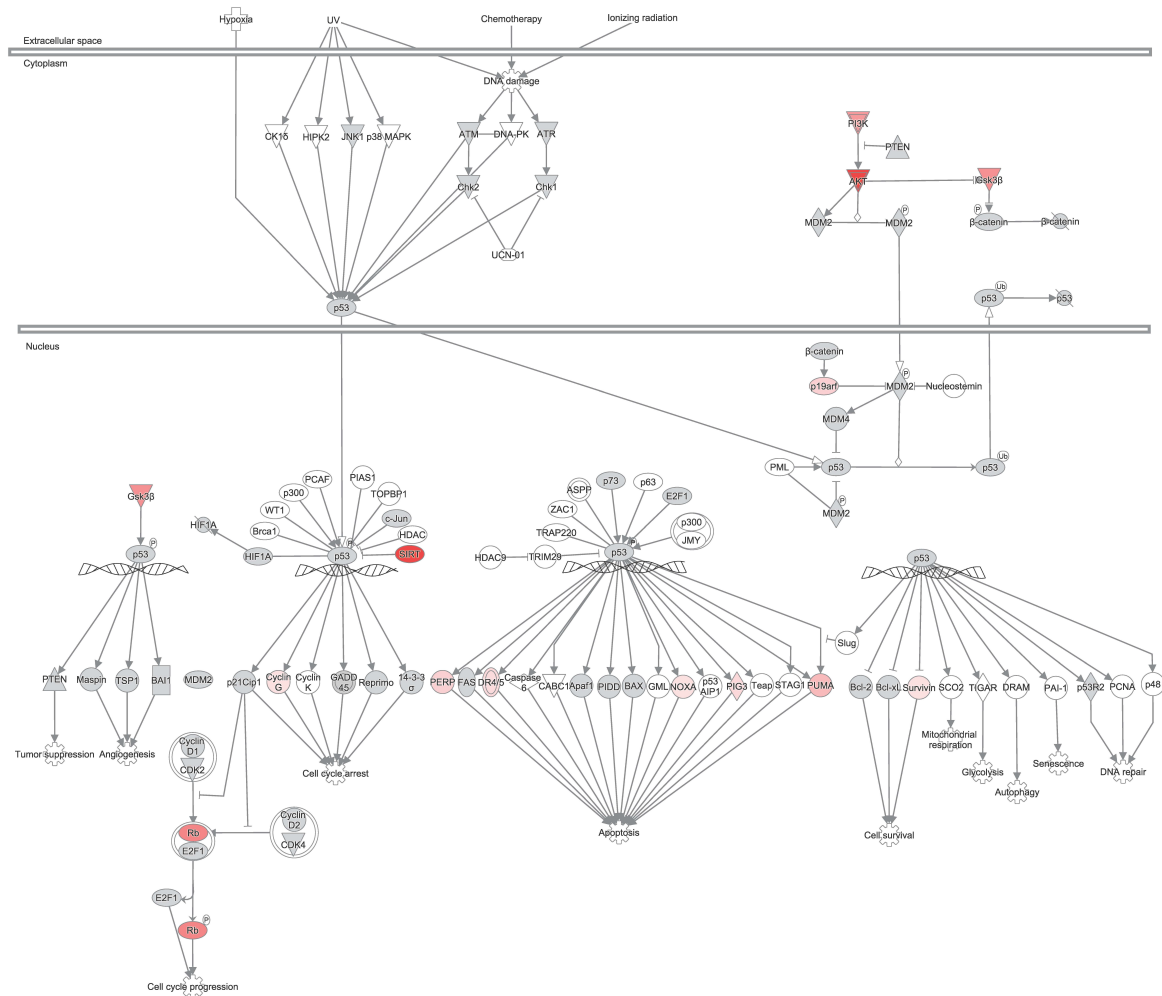


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535 Figure S4. The SIRT signaling pathway created in the Ingenuity Pathway Analysis (IPA)
536 program. Genes in the pathway are colored according to their corresponding ΔL value
537 identified by the branch-site model. Intensity of the color is related to the strength of the
538 positive gene selection.

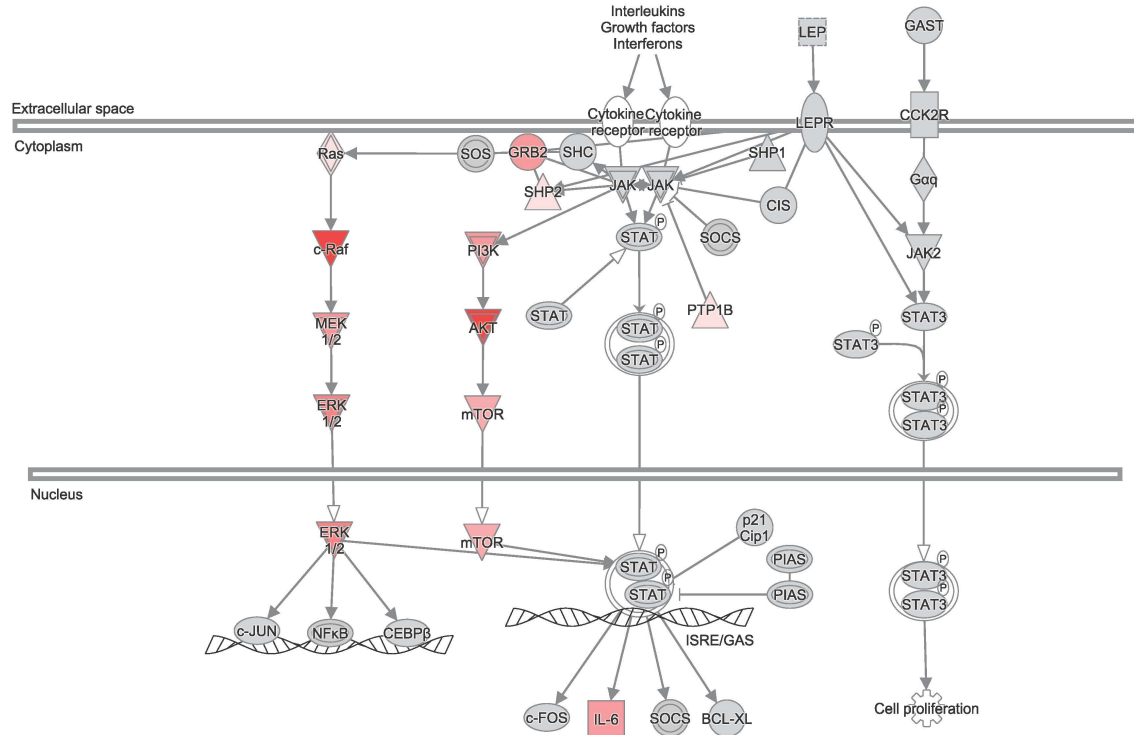
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541 Figure S5. The p53 signaling pathway obtained for Ingenuity Pathway Analysis (IPA) program.
 542 Genes in the pathway are colored according to their corresponding $2\Delta\Delta$ value identified by
 543 the branch-site model. Intensity of the color is related to the strength of the positive gene
 544 selection.



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546 Figure S6. The leptin signaling pathway obtained for Ingenuity Pathway Analysis (IPA)
 547 program. Genes in the pathway are colored according to their corresponding ΔL value
 548 identified by the branch-site model. Intensity of the color is related to the strength of the
 549 positive gene selection.

550

551 Supplementary Tables

Table S1. The genome assemblies of human, mouse, 16 cetacean species and 37 artiodactyl species downloaded from NCBI.

Group	Species	NCBI accession
Artiodactyla	<i>Ammotragus lervia</i>	GCA_002201775.1
Artiodactyla	<i>Antilocapra americana</i>	GCA_004027515.1
Artiodactyla	<i>Axis porcinus</i>	GCA_003798545.1
Artiodactyla	<i>Beatragus hunteri</i>	GCA_004027495.1
Artiodactyla	<i>Bison bison</i>	GCA_000754665.1
Artiodactyla	<i>Bos indicus</i>	GCA_000247795.2
Artiodactyla	<i>Bos mutus</i>	GCA_000298355.1
Artiodactyla	<i>Bos taurus</i>	GCA_002263795.2
Artiodactyla	<i>Bubalus bubalis</i>	GCA_003121395.1
Artiodactyla	<i>Camelus bactrianus</i>	GCA_000767855.1
Artiodactyla	<i>Camelus dromedarius</i>	GCA_000767585.1
Artiodactyla	<i>Camelus ferus</i>	GCA_000311805.2

Artiodactyla	<i>Capra aegagrus</i>	GCA_000978405.1
Artiodactyla	<i>Capra hircus</i>	GCA_001704415.1
Artiodactyla	<i>Capra sibirica</i>	GCA_003182615.2
Artiodactyla	<i>Capreolus capreolus</i>	GCA_000751575.1
Artiodactyla	<i>Catagonus wagneri</i>	GCA_004024745.1
Artiodactyla	<i>Cervus elaphus</i>	GCA_002197005.1
Artiodactyla	<i>Elaphurus davidianus</i>	GCA_002443075.1
Artiodactyla	<i>Giraffa tippelskirchi</i>	GCA_001651235.1
Artiodactyla	<i>Hemitragus hylocrius</i>	GCA_004026825.1
Artiodactyla	<i>Hippopotamus amphibius</i>	GCA_002995585.1
Artiodactyla	<i>Moschus moschiferus</i>	GCA_004024705.1
Artiodactyla	<i>Odocoileus hemionus</i>	GCA_004115125.1
Artiodactyla	<i>Odocoileus virginianus</i>	GCA_002102435.1
Artiodactyla	<i>Okapia johnstoni</i>	GCA_001660835.1
Artiodactyla	<i>Oryx gazella</i>	GCA_003945745.1
Artiodactyla	<i>Ovis ammon</i>	GCA_003121645.1
Artiodactyla	<i>Ovis aries</i>	GCA_002742125.1
Artiodactyla	<i>Ovis canadensis</i>	GCA_004026945.1
Artiodactyla	<i>Pantholops hodgsonii</i>	GCA_000400835.1
Artiodactyla	<i>Pseudois nayaur</i>	GCA_003182575.1
Artiodactyla	<i>Rangifer tarandus</i>	GCA_004026565.1
Artiodactyla	<i>Saiga tatarica</i>	GCA_004024985.1
Artiodactyla	<i>Sus scrofa</i>	GCA_000003025.6
Artiodactyla	<i>Tragulid javanicus</i>	GCA_004024965.1
Artiodactyla	<i>Vicugna pacos</i>	GCA_000164845.3
Cetacea	<i>Balaenoptera acutorostrata</i>	GCA_000493695.1
Cetacea	<i>Balaenoptera bonaerensis</i>	GCA_000978805.1
Cetacea	<i>Delphinapterus leucas</i>	GCA_002288925.2
Cetacea	<i>Eschrichtius robustus</i>	GCA_002189225.1
Cetacea	<i>Lagenorhynchus obliquidens</i>	GCA_003676395.1
Cetacea	<i>Lipotes vexillifer</i>	GCA_000442215.1
Cetacea	<i>Megaptera novaeangliae</i>	GCA_004329385.1
Cetacea	<i>Mesoplodon bidens</i>	GCA_004027085.1
Cetacea	<i>Monodon monoceros</i>	GCA_004027045.1
Cetacea	<i>Neophocaena asiaeorientalis</i>	GCA_003031525.1
Cetacea	<i>Orcinus orca</i>	GCA_000331955.2
Cetacea	<i>Phocoena phocoena</i>	GCA_003071005.1
Cetacea	<i>Physeter catodon</i>	GCA_002837175.2
Cetacea	<i>Sousa chinensis</i>	GCA_003521335.2
Cetacea	<i>Tursiops aduncus</i>	GCA_003227395.1
Cetacea	<i>Tursiops truncatus</i>	GCA_001922835.1
Outgroup	<i>Homo sapiens</i>	GCA_000001405.27
Outgroup	<i>Mus musculus</i>	GCA_000001635.8

552

553

Table S2. Insulin signaling pathway overlaid with the likelihood-ratio test statistics ($2\Delta L$) between the positive and neutral branch-models as a measure to visualize a pathway effect.

Symbol	$2\Delta L$	<i>p</i>-value
4E-BP1	0.000	1.000
ACLY	17.800	<0.001
AFX	0.000	1.000
AKT		
AMP		
Apoptosis		
ATP		
BAD	1.359	0.566
c-RAF	105.387	<0.001
C3G	0.156	1.000
cAMP		
CBL	0.000	1.000
Cell growth		
CIP4	0.003	1.000
CRK		
eIF2B		
eIF4E	0.333	1.000
ENAC		
ER stress		
ERK1/2		
Fatty acid synthesis		
FKHR		
FKHRL1	0.000	1.000
FYN	8.207	0.016
GAB1	11.223	0.004
Glucose		
GLUT4	0.188	1.000
GRB10	3.968	0.139
GRB2	50.205	<0.001
GSK3		
GYS		
INSR	34.960	<0.001
INSULIN		
IRS		
IRS1	0.001	1.000
JAK1/2		
JNK1	0.001	1.000
LAR	0.000	1.000
LIPE	16.254	<0.001
Lipolysis		

MEK1/2		
mTOR	41.010	<0.001
NCK	9.400	0.009
p70 S6K		
PDE3B	105.839	<0.001
PDK1	0.000	1.000
PI3K		
PIK3R1	22.707	<0.001
PIK3R2	20.576	<0.001
PIP2		
PIP3		
PKA		
PKC(λ, ζ)		
PP1		
Protein synthesis		
PTEN	0.019	1.000
PTP1B	14.851	0.001
RAPTOR	0.318	1.000
RAS		
SGK	0.110	1.000
SHC	0.000	1.000
SHIP		
SHP2	9.205	0.009
SOCS3	0.000	1.000
Sodium transport		
SOS		
STX4	0.000	1.000
SYNIP	0.000	1.000
TC10	31.276	<0.001
Transcription		
TSC1	4.121	0.129
Tsc1-Tsc2		
TSC2	31.417	<0.001
VAMP2	3.423	0.185

554

Table S3. mTOR signaling pathway overlaid with the likelihood-ratio test statistics ($2\Delta L$) between the positive and neutral branch-models as a measure to visualize a pathway effect.

Symbol	$2\Delta L$	p -value
40S Ribosome-eIF3-mRNA-eIF4A-eIF4B-eIF4E-eIF4G		
40SRibosome		
4EBP	0.000	1.000
4EBP-eIF4E		
Actin organization		

AKT		
AMP		
AMPK		
ATG13	24.238	<0.001
Autophagy regulation		
DAG		
DGK ζ	4.209	0.124
eIF3		
eIF4A		
eIF4A-eIF4B-eIF4E-eIF4G		
eIF4B	232.205	<0.001
eIF4E	0.333	1.000
eIF4G		
ERK1/2		
FKBP1	0.010	1.000
GBL	20.882	<0.001
HIF1 α	0.000	1.000
Hypoxia		
INSR	34.960	<0.001
INSULIN		
IRS1	0.001	1.000
LKB1	11.489	0.003
mTOR	41.010	<0.001
mTORC1		
mTORC2		
Neurodegenerative diseases		
p70S6K	292.275	<0.001
PA		
PC		
PDK1	0.000	1.000
PI3K		
PIP2		
PIP3		
PKC		
PKC α	383.006	<0.001
PLD		
PMA		
PP2A		
PRAS40	0.000	1.000
PROTOR		
RAC	3.675	0.161
Rapamycin		
RAPTOR	0.318	1.000
RAS		
REDD1	0.000	1.000
RHEB	42.036	<0.001

RHO		
RICTOR	472.142	<0.001
RPS6	1.495	0.531
RSK		
SIN1	10.430	0.005
Translation		
TSC1	4.121	0.129
Tsc1-Tsc2		
TSC2	31.417	<0.001
ULK1	0.000	1.000
VEGF		

555

Table S4. NF- κ B signaling pathway overlaid with the likelihood-ratio test statistics ($2\Delta L$) between the positive and neutral branch-models as a measure to visualize a pathway effect.

Symbol	$2\Delta L$	<i>p</i>-value
β -TrCP	77.820	<0.001
A20	0.000	1.000
ABIN-1	4.063	0.132
AKT		
B-cell maturation		
BAFF	5.391	0.066
Bcl10	0.000	1.000
Bcl10-Card10-Malt1		
BIMP1	4.177	0.125
BMP2/4		
BR3	5.818	0.053
CARD11	1.116	0.639
Caspase8	0.904	0.723
CBP/p300		
CD40	24.403	<0.001
CD40L	11.317	0.004
Cell proliferation		
Cell survival		
Chuk-Ikbbk-Ikbbkg		
CK2		
Cot	0.624	0.876
EGF	3.083	0.219
FADD	0.000	1.000
GH	0.000	1.000
Growth factor receptor		
GSK-3 β	55.087	<0.001

HDAC1/2		
I κ B		
I κ B-Nf κ B1-RelA		
I κ B-Nf κ B2-RelA		
IKK α	129.661	<0.001
IKK β	0.014	1.000
IKK γ	2.158	0.368
IL-1		
IL-1R/TLR		
Immune response		
Inflammation		
Insulin		
IRAK1/4		
IRAK-M	0.000	1.000
JNK1	0.001	1.000
LCK	0.000	1.000
LTA	1.123	0.639
LTBR	570.904	<0.001
Lymphogenesis		
MALT1	0.000	1.000
MEKK1	0.000	1.000
MEKK3/NIK		
MKK6/7		
MYD88	1.355	0.566
NAK	0.023	1.000
NAP1	0.208	1.000
NF- κ B p50/p52		
NF- κ B1	0.000	1.000
NF- κ B2 p100	0.000	1.000
Nf κ B-RelA		
Nf κ B1-RelA		
Nf κ B2(p52)-RelB		
NGF	0.145	1.000
NIK		
p65/RelA	0.000	1.000
PELI1	0.000	1.000
PI3K		
PKAc		
PKC(β , θ)		
PKC ζ	31.135	<0.001
PKR	0.000	1.000
PLC γ 2	0.000	1.000
Raf		
RANKL	1.048	0.670
Ras		
RelB	0.500	0.945

RIP	5.280	0.070
TAB1	9.539	0.008
TAB2/3		
TAK1	1.581	0.504
TANK	8.987	0.010
TCR		
TGF- α	1.477	0.535
TIRAP	0.000	1.000
TNF- α	0.420	1.000
TNFR		
TRADD	2.123	0.375
TRAF2/3/5		
TRAF5/6		
TRAF2	0.000	1.000
TRAF6	1.795	0.444
TTRAP	0.000	1.000
UBE2N	0.011	1.000
Ube2n-Ube2v1		
UBE2V1	0.000	1.000
Zap70	11.445	0.003

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Table S5. SIRT signaling pathway overlaid with the likelihood-ratio test statistics ($2\Delta L$) between the positive and neutral branch-models as a measure to visualize a pathway effect.

Symbol	$2\Delta L$	p-value
ACADL	0.000	1.000
ACSS1	0.260	1.000
Alpha tubulin		
Alzheimer disease		
Apaf1-Cycs		
ARNTL	3.815	0.149
Biogenesis of mitochondria		
BIRC5	7.876	0.019
Cancers and Tumors		
CDKN1A	0.000	1.000
Cell death		
Cell survival		
Circadian rhythm		
CPS1		
CRTC2	0.001	1.000

CTNNB1	0.001	1.000
CYC1	0.000	1.000
CYCS	0.000	1.000
Cyct		
cytochrome C		
DNA damage		
E2F1	1.341	0.566
EPAS1	0.001	1.000
Feeding		
Foxo		
FOXO1	0.000	1.000
FOXO3	0.000	1.000
FOXO4	0.000	1.000
FOXO6	0.000	1.000
Gluconeogenesis		
GLUD1	6.982	0.030
HCRTR2	0.000	1.000
HIF1A	0.000	1.000
HSF1	0.000	1.000
Hypoxia		
IDE	0.000	1.000
IDH2	0.000	1.000
Inflammation		
Insulin sensitivity		
Memory		
MRPL10	0.049	1.000
NDUFA9	0.000	1.000
NFkB (complex)		
NFKB1	0.000	1.000
NFKB2	0.000	1.000
NR1H2	0.000	1.000
NR1H3	0.000	1.000
Oxidation of fatty acid		
PARP1	22.597	<0.001
PER2	0.000	1.000
PIP5K1A	0.000	1.000
PIP5K1C	5.059	0.078
PPARA	17.202	<0.001
PPARG	0.000	1.000
PPARGC1A	0.164	1.000
PPID	33.429	<0.001
RARA	0.287	1.000
RARB	242.778	<0.001
Rb		
RB1	61.629	<0.001
RBL1	10.260	0.006

RBL2	53.656	<0.001
RELA	0.000	1.000
SDHA	2.223	0.354
SDHB	14.946	0.001
SIRT1	161.940	<0.001
SIRT2	0.001	1.000
SIRT3	6.500	0.038
SIRT4	0.282	1.000
SIRT5	0.000	1.000
SIRT6	8.713	0.012
SIRT7	0.000	1.000
SLC25A5	2.810	0.254
SLC25A6	87.909	<0.001
SMAD7	64.800	<0.001
SREBF1	4.267	0.120
SREBF2	0.935	0.714
Suppression of tumor		
TLE1	0.000	1.000
TP53	0.000	1.000
TSC2	31.417	<0.001
TUBA1A	4.839	0.088
TUBA1B	0.000	1.000
TUBA1C	25.230	<0.001
TUBA3C/TUBA3D	11.106	0.004
TUBA3E	10.283	0.006
TUBA4A	0.000	1.000
TUBA4B		
TUBA8	0.027	1.000
UCP2	0.000	1.000
WRN	6.106	0.046
XRCC6	0.119	1.000

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Table S6. P53 signaling pathway overlaid with the likelihood-ratio test statistics ($2\Delta L$) between the positive and neutral branch-models as a measure to visualize a pathway effect.

Symbol	$2\Delta L$	<i>p</i> -value
14-3-3 σ	0.000	1.000
AKT		
Angiogenesis		
Apaf1	1.169	0.625
Apoptosis		
ASPP		
ATM	2.078	0.382

ATR	0.065	1.000
Autophagy		
BAI1	2.103	0.378
BAX	0.000	1.000
Bcl-2	0.077	1.000
Bcl-xL	0.000	1.000
Brca1		
CABC1		
Caspase 6		
CDK2	0.347	1.000
CDK2-Cyclin D1		
CDK4	0.000	1.000
CDK4-Cyclin D2		
Cell cycle arrest		
Cell cycle progression		
Cell survival		
Chk1	0.000	1.000
Chk2	0.001	1.000
c-Jun	2.366	0.327
CK1δ		
Cyclin D1	0.014	1.000
Cyclin D2	0.010	1.000
CyclinG	13.155	0.001
CyclinK		
DNA damage		
DNA repair		
DNA-PK		
DR4/5		
DRAM		
E2F1	1.341	0.566
E2f1-Rb		
FAS	1.763	0.450
GADD45		
Glycolysis		
GML		
Gsk3β	55.087	<0.001
HDAC		
HDAC9		
HIF1A	0.000	1.000
HIPK2		
Hypoxia		
JMY		
Jmy-p300		
JNK1	0.001	1.000
Maspin	0.223	1.000
MDM2	0.000	1.000

MDM4	0.001	1.000
Mitochondrial respiration		
NOXA	9.495	0.008
Nucleostemin		
p19arf	23.352	<0.001
p21Cip1	0.000	1.000
p300		
p38 MAPK		
p48		
p53	0.000	1.000
p53AIP1		
p53R2	0.018	1.000
p63		
p73	0.564	0.915
PAI-1		
PCAF		
PCNA		
PERP	22.105	<0.001
PI3K		
PIAS1		
PIDD	0.001	1.000
PIG3	18.848	<0.001
PML		
PTEN	0.019	1.000
PUMA	33.998	<0.001
Rb	61.629	<0.001
Reprimo	0.000	1.000
SCO2		
Senescence		
SIRT	161.940	<0.001
Slug		
STAG1		
Survivin	7.876	0.019
Teap		
TIGAR		
TOPBP1		
TRAP220		
TRIM29		
TSP1	0.016	1.000
Tumor suppression		
UCN-01		
WT1		
ZAC1		
β-catenin	0.001	1.000

Table S7. Insulin signaling pathway overlaid with the likelihood-ratio test statistics ($2\Delta L$) between the positive and neutral branch-models as a measure to visualize a pathway effect.

Symbol	$2\Delta L$	p-value
AKT		
BCL-XL	0.000	1.000
c-FOS	0.000	1.000
c-JUN	2.366	0.327
c-Raf	105.387	<0.001
CCK2R	0.000	1.000
CEBP β	0.000	1.000
Cell proliferation		
CIS	0.000	1.000
ERK1/2		
G α q	0.000	1.000
GAST	2.472	0.311
GRB2	50.205	<0.001
IL-6	48.957	<0.001
JAK		
JAK2	0.043	1.000
LEP	0.000	1.000
LEPR	0.000	1.000
MEK1/2		
mTOR	41.010	<0.001
NF κ B		
p21Cip1	0.000	1.000
PI3K		
PIAS		
PTP1B	14.851	0.001
Ras		
SHC	0.000	1.000
SHP1	0.000	1.000
SHP2	9.205	0.009
SOCS		
SOS		
STAT		
Stat dimer		
STAT3	2.391	0.323
Stat3 dimer		