# Social networks with strong spatial embedding generate non-standard epi demic dynamics driven by higher-order clustering

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# **10** Abstract

Some directly transmitted human pathogens such as influenza and measles generate sustained 11 exponential growth in incidence, and have a high peak incidence consistent with the rapid de-12 pletion of susceptible individuals. Many do not. While a prolonged exponential phase typically 13 arises in traditional disease-dynamic models, current quantitative descriptions of non-standard 14 epidemic profiles are either abstract, phenomenological or rely on highly skewed offspring dis-15 tributions in network models. Here, we create large socio-spatial networks to represent contact 16 behaviour using human population density data, a previously developed fitting algorithm, and 17 gravity-like mobility kernels. We define a basic reproductive number  $R_0$  for this system anal-18 ogous to that used for compartmental models. Controlling for  $R_0$ , we then explore networks 19 with a household-workplace structure in which between-household contacts can be formed with 20 varying degrees of spatial correlation, determined by a single parameter from the gravity-like 21 kernel. By varying this single parameter and simulating epidemic spread, we are able to iden-22 tify how more frequent local movement can lead to strong spatial correlation and thus induce 23 sub-exponential outbreak dynamics with lower, later epidemic peaks. Also, the ratio of peak 24 height to final size was much smaller when movement was highly spatially correlated. We in-25 vestigate the topological properties of our networks via a generalized clustering coefficient that 26 extends beyond immediate neighbourhoods, identifying very strong correlations between 4th 27 order clustering and non-standard epidemic dynamics. Our results motivate the joint observa-28 tion of incidence and socio-spatial human behaviour during epidemics that exhibit non-standard 29 incidence patterns. 30

# **Author Summary**

Epidemics are typically described using a standard set of mathematical models that do not capture social interactions or the way those interactions are determined by geography. Here we propose a model that can reflect social networks influenced strongly by the way people travel and we show that they lead to very different epidemic profiles. This type of model will likely be useful for forecasting.

#### 37 Introduction

Epidemics are frequently conceptualized as resulting from the transmission of a pathogen across 38 a network. Directly transmitted pathogens propagate through susceptible human populations 39 and create directed infection trees with an offspring-like process [15]. Each node may be a 40 different type (e.g. children may be more infectious than adults [42]) and individuals with 41 many contacts are more likely to cause infection than those with fewer contacts [24]. Although 42 difficult to observe, infection trees describe a real biological process: these pathogens do not 43 reproduce outside of a human host, so the founding pathogen population for an infectee comes 44 directly from their infector. Further, we can conceptualise that infection trees occur when a 45 true offspring process is constrained to pass through a social network [3, 40], with infection 46 occurring according to a specified probability when an edge exists between a susceptible and 47 an infectious individual. 48

The properties of different contact network types can be described by distributions associated 49 with their topology [40]. First order network properties are associated with first order connec-50 tions, as defined by the degree distribution. For finite random networks of reasonable size, the 51 degree distribution is well-approximated by a Poisson in which variance is equal to the square of 52 the mean. In contrast, for finite scale-free networks, the offspring distribution is power law-like 53 with a much higher variance. Further, distributions of second order phenomena describe con-54 nections of length two. For example, the local clustering coefficient is a second order property, 55 defined to be the neighbourhood density of a given node [40]. For a limited set of network types, 56 we can use analytical expressions for higher moments of the degree distribution to calculate key 57 properties of their potential epidemics, such as the probability of epidemic establishment and 58 cumulative incidence [22, 28]. Although these higher order moments are tractable for some spe-59 cial cases, they are seldom the primary target of theoretical studies. Semi-empirical networks 60 that arise from detailed simulations [11] may have complex higher moments, however their im-61 pact on epidemic dynamics is obscured by the variance of their offspring distribution e.g. [25]. 62 Here, we explicitly control our network generation algorithm so as to have non-trivial higher 63 order structure whilst maintaining a Poisson degree distribution and a pre-specified clustering 64 coefficient. 65

Epidemics can also be understood in terms of compartmental models, which are more tractable 66 mathematically, and are equivalent to large network models with very simple topologies [35]. 67 Key features of epidemic incidence curves is are often explained by dynamics associated with 68 these models [1, 19]. Numerical solutions to multi-type SIR-like compartmental models are 69 easier to obtain than for many topologies of network and can explain: the initial growth phase 70 [30], the timing and amplitude of the peak [43], epidemic duration [21] and the total number 71 of cases [17]. These models can efficiently describe many different types of complexity, such 72 as age-specific susceptibility and transmissibility [16], behavioural risk groups [4] and, with 73 increasing frequency, geographical location [34]. 74

The basic reproductive number has been defined for both compartmental models and for network models. For compartmental models, the reproduction number is conditional on the system having a well defined period of exponential growth [18] and is defined as the average number of new infections generated by a typically infectious individual in an otherwise infectious population [18]. The word "typically" is somewhat overloaded in this definition: during the exponential phase, a system with heterogeneous population will reach a steady-state distribution of infectives, corresponding to the eigenstate of the renewal process.

<sup>82</sup> For network models, the basic reproduction number is most frequently defined as the expected

ratio of cases between the first (seed) and second generations of infection. In homogeneous 83 networks, this is equal to the product of the average degree and the probability of transmission 84 per link per generation. However, many studies of epidemics on networks involve high vari-85 ance degree distributions [26, 25], and so this quantity must be modified to account for excess 86 degree [26, 27]. Here, we use  $R^*$  to denote the expected first generation ratio if a network is 87 *homogeneous*, defined to be the expected number of cases in the second generation divided by 88 the number in the first generation. Our  $R^*$  is therefore consistent with  $\rho_0$  as defined in [26], 89 although we choose not to adjust for over-dispersion, because we condition our network con-90 struction on this distribution having low variance. 91

The reproduction number for networks has also been defined to be more consistent with its definition for compartmental models. In [37]  $R_*$  was defined as an asymptotic property of epidemics that were guaranteed to have an exponential phase when they occurred on infinitely large networks. We define our  $R_0$  to be a finite-network approximation to this  $R_*$  in [37]. This  $R_0$  is well-defined during periods of exponential growth.

Both compartmental and network models can be embedded in space [34]. Each node can have a 97 location in space while each compartment can refer to a single unit of space. Node density can 98 be assigned according to known population densities and compartments can be assigned equal 99 spatial areas but different numbers of hosts. In general, the risk of infection passing between two 100 people decreases as the distance between their home location increases. The propensity of nodes 101 to form links across space or for infection to spread between compartments can be quantified 102 using mobility models borrowed from geography [12], such as the gravity and radiation models. 103 Here, we are specifically interested in how the overall topology of a spatially-embedded network 104 model can be driven by different movement assumptions and thus drive the gross features of the 105 epidemics that occur on the network. 106

# **107 Results**

We used an existing variant of the Metropolis-Hastings algorithm [35] to create a spatially-108 embedded bipartite network of homes and workplaces consistent with the population density of 109 Monrovia, Liberia, and with three illustrative movement scenarios (SI Appendix, Fig S1). An 110 individual's propensity to choose a given workplace was determined by the distance between 111 their home and workplace and parameters of a gravity-like kernel. The kernel was inversely 112 proportional to distance raised to the power  $\alpha$ , with movement scenarios generated solely by 113 changing the value of  $\alpha$ : a control value  $\alpha = 0$  that removed the embedding and produced a *non*-114 spatial model; a wide kernel with  $\alpha = 3$  typical of developed populations [35, 38]; and a highly 115 *local* kernel with  $\alpha = 6$  representing less developed populations (SI Appendix, Fig S1 part 116 C compared with rural Huangshan in Ref [14]). The resulting distributions of distances from 117 home to work were driven strongly by our choice of  $\alpha$ , with 95% of journeys: less than 24.12km 118 for  $\alpha = 0$ ; less than 12.91km for  $\alpha = 3$ ; and less than 6.68km for  $\alpha = 6$ . Workplace links 119 were dissolved into links between individuals in different households resulting in a network of 120 cliques (households) that were linked according to  $\alpha$ . 121

The choice of movement kernel used to create the household-workplace networks affected gross features of simulated epidemics, even when controlling for other aspects of the network topology (Fig 1). Unipartite contact networks between households were obtained from the bipartite network of households and workplaces and were dependent on three parameters: mean household size h, mean number of workplace links v, and probability of forming a link in the workplace  $p_w$ . The mean workplace size w and mean degree of the network were determined by these parameters:  $w = v/p_w + 1$ ,  $\langle k \rangle = h - 1 + v$ . Across a broad range of plausible values for h, v and  $p_w$ , very local movement ( $\alpha = 6$ ) produced later epidemics than did typical developedpopulation movement ( $\alpha = 3$ ) or spatially random mixing ( $\alpha = 0$ , Fig 1A). Similarly, time to extinction was later for very local movement ( $\alpha = 6$ ) compared with more frequent longerdistance movement ( $\alpha = 3$ ) or the absence of spatial embedding ( $\alpha = 0$ ). We calculate the coefficient of variation of the degree distribution  $C_V^2 = \langle k^2 \rangle / \langle k \rangle - 1 \sim 0.1$  for each network, independently of  $\alpha$  [26].

Each simulation is assigned a value of  $R^*$ , the average number of cases in the first generation per seed infection. For moderate-to-high values of the first generation ratio  $R^*$ , there was very little difference in the final size of the outbreak for the different movement assumptions. However, for low values of  $R^* < 1.8$ , the average final size of the outbreak was substantially smaller for more local kernels. This was driven by a higher probability of extinction when more local movement was assumed. The difference in final size driven by  $\alpha$  was no longer present when we controlled for extinction (SI Appendix, Fig S2).

The choice of movement scenario had a substantial impact on peak incidence, even when  $R^*$ 142 was high and there was little difference in the final sizes (Fig 1B, Fig 2 rows 1 and 2). For 143 example, for parameters with first generation ratios in the range [1.8, 2.2], average peak daily 144 incidence as a fraction of the total population was  $6.5 \times 10^{-3}$  for random spatial movement, 145  $5.4 \times 10^{-3}$  for movement assumptions typical of developed populations and  $3.0 \times 10^{-3}$  when 146 highly local movement was assumed. The relationship between peak height and first generation 147 ratio appeared to be strongly linear, with correlation coefficients 0.9778, 0.9826 and 0.9806 for 148  $\alpha = 0, 3 \text{ and } 6 \text{ respectively.}$ 149

The relationship between peak incidence and final size for the three movement scenarios illustrates further how the topology of an embedded network could directly affect gross features of an epidemic. Peak incidence is observed prior to final size during an epidemic. For the same peak height, local movement gave substantially larger final sizes. For peak daily incidences in the range  $[3 \times 10^{-3}, 6.5 \times 10^{-3}]$  the final size of the outbreak was 68% when random spatial movement was assumed, 74% when movement was assumed to be typical of developed populations and 84% when highly-local movement was assumed.

For all movement scenarios, the basic reproductive number  $R_0$  was smaller than the first gener-157 ation ratio  $R^*$  and different from the expected number of secondary cases generated by a single 158 seed in an otherwise susceptible population. The duration of the exponential phase can be seen 159 when incidence is plotted on a log scale: a constant gradient of log incidence is evidence of 160 exponential growth (Figure 2, third row). However, in a network model with clearly defined 161 generations, the generation ratio can also be used to define exponential growth: if the ratio of 162 incidence between generation n + 1 and n is the same as the ratio between generations n and 163 n-1, then we can claim to have identified a period of exponential growth (Methods, Fig 2). 164 The value of that constant observed ratio is the basic reproductive number  $R_0$  [18]. 165

Incidence grew exponentially for a much shorter time for highly-local movement than it did for 166 a wider movement kernel, or for non-spatial networks, even when we controlled for  $R_0$  to be 167 within a narrow range (e.g. (2, 2.2], Fig 2). Despite this being a relatively large population, 168 there was no obvious period of exponential growth when we assumed highly local movement. 169 Therefore, given that the basic reproductive number is defined for a genuine renewal process -170 and its implied exponential growth [18] – it could be argued that  $R_0$  does not exist for some of 171 these networks for our model parameters. However, we did assign a value of  $R_0$  for all simu-172 lations based on the most similar subset of consecutive early generations (see Methods). The 173 amplitude of the difference was not driven in any obvious way by the underlying assumptions 174

used to create the networks. These patterns were not specific to the range of values for  $R_0$  (SI Appendix, Figs. S3, S4, S5).

Analysis of the higher-order structure of the networks suggests that movement scenarios were 177 driving the observed characteristics of epidemics such as peak timing and attack rate via in-178 creased fourth order clustering. We use the term first order clustering for the quantity typically 179 described as the local clustering coefficient [40]: the link density of the immediate neighbour-180 hood of a given node. By extension, we defined order-m clustering coefficient to be the expected 181 proportion of neighbours within m steps on the network who were also neighbours of each other 182 within m steps (Fig 3). We found no relationship between our assumed pattern of movement ( $\alpha$ ) 183 and first or second order clustering coefficients. There was a weak relationship between  $\alpha$  and 184 third order clustering and then a very strong relationship between  $\alpha$  and forth order clustering. 185 Patterns between epidemic properties and fourth order clustering for individuals were similar 186 to those between epidemic properties and second order clustering of households, as would be 187 expected, given the bipartite algorithm used to create individual-level networks. 188

Final size increased with spatial correlation, despite peak size displaying the opposite trend for 189 controlled  $R^*$  or  $R_0$ . There was a strong linear relationship between order-m clustering and 190 peak size/final size, that could be explained by  $\alpha$ , the strength of spatial embedding, when we 191 control for  $R_0$  (Fig 4B). The gradient of the relationship decreased with order of clustering. 192 Second order household clustering showed the same relationship with peak size as did fourth 193 order individual clustering (Fig 4C). These strong linear relationships only existed when we 194 effectively control for  $R_0$ , rather than  $R^*$ , and became less noisy when we reduced the interval 195 used to define  $R_0$ . 196

We conducted a number of sensitivity analyses for these network simulation results. Analytic 197 approximations for degree distribution P(K = k) and expected first order clustering  $\langle CC^1 \rangle$ 198 in our networks are given in Protocol S1, and are independent of  $\alpha$ . We confirmed these re-199 lationships in SI Appendix Figure S6 by computing these quantities on a set of networks that 200 differ in  $\alpha$ . SI Appendix Figure S7 shows the relationship between  $\alpha$  and clustering order 1 201 to 4 on networks generated using a uniform population density. SI Appendix Figure S8 shows 202 the relationship between order-m clustering  $CC^m$  and peak size for different values of  $R_0$ . SI 203 Appendix Figure S 9 shows clustering order 1 to 4 on networks with different h, w and  $p_w$ , and 204 SI Appendix Figure S10 provides an illustration of the relationship between higher-order clus-205 tering and rewiring probability on a commonly used network model with spatial embedding: 206 the Watts-Strogatz Small World Network [40]. 207

Finally, we map our network model onto a deterministic metapopulation framework so as to 208 relate our simulations of incidence to prior analytic approximations of travelling spatial waves 209 (Protocol S1 for analytic construction). Figure 5 shows the results of simulating on a grid of 210 evenly spaced households of size h = 4, where a single continuous variable describes preva-211 lence in each household, and spatial coupling between households used in the force of infection 212 is exactly the kernel used in the construction of our spatially embedded networks. We simulate 213 with randomly spaced seeds (as above), and with a central seed (the center most 4 households), 214 tracking global incidence and local time of peak incidence. The former case yields global in-215 cidence curves similar to those generated in our network model (which was seeded similarly). 216 The latter case allows us to identify 4 distinct stages in the propagation of spatial waves that 217 contribute to observed sub-exponential outbreak dynamics in more complex, network-based 218 systems. SI Appendix Figure S 11 shows local peak timing in each case, and SI Appendix 219 Figure S12 shows simulation results in 1 spatial dimension with  $\alpha = 6$  and  $\alpha = 12$ , along-220

side statistical properties of prevalence, which further clarify these growth phases (c.f. figure captions for details and SI Appendix Protocol S1 for mathematical analysis).

# 223 **Discussion**

We have shown that non-standard epidemic dynamics can arise from strongly spatially embedded social networks. Using a flexible algorithm of assigning individuals to households and then creating a social networks with widely varying topologies, we can explain the absence of exponential growth and increased attack rate for a given peak height in terms of higher order social structure, while maintaining a standard low-variance offspring distribution. We observe consistent patterns when we control for the basic reproductive number, as measured as directly as possible from a constant ratio of incidence between generations.

The algorithm we used [35] captures the key social contexts of home and workplace while using few parameters, which has allowed us to isolate specific relationships within the epidemic dynamics, across a broad range of network topologies. However, its simplicity is a potential limitation. Specifically, an individual only belongs to a single workplace (which may represent a school or social club). In reality, people will gather non-household contacts from a variety of sources. Also, our networks are not dynamic, which may limit the generalisability of the results to short generation time pathogens.

Accurate empirical data about higher order social contacts would allow us to address some of 238 these issues. There are a number of different approaches to gathering social contact data, in-239 cluding contact diaries, mobile phone apps and tag-based location tracking [31]. Diary methods 240 and current analytical approaches can provide accurate estimates of 1st order moments (degree 241 distribution [32]) and valuable insights into second order moments (clustering [44]). However, 242 these data and current analytical approaches are limited for the estimation of higher order mo-243 ments. It seems likely that either high resolution mobile phone location data [7] or very high 244 coverage tag-based studies will be needed to reveal these patterns [6]. In addition, further work 245 is needed on the use of algorithms similar to that used here to explicitly fit fully enumerated 246 social networks to egocentric sample data from a subset of the population (or low coverage 247 non-egocentric data) [23]. 248

Our results can be compared with other disease-dynamic models that produce non-standard in-249 cidence profiles. Different functional forms have been suggested for the force-of-infection term 250 in compartmental models that give polynomial growth in the early stages of an epidemic [8, 18]. 251 However, the key features of these model structures may be captured by a more straightforward 252 underlying process [20]. Faster than exponential growth can be achieved with very high vari-253 ance offspring distributions, which have been inferred by diary studies of social contacts [25]. 254 There is also an extensive literature of much more abstract grid-based models of infectious 255 disease that produce non-standard epidemic dynamic because of very local spatial processes 256 (cellular automata [41]). We note that short periods of super-exponential growth were observed 257 in our results for the simplified 2 dimensional metapopulation example (Fig 5B), arising from 258 from accelerating spatial waves of incidence, not driven by the variance of the offspring distri-259 bution. 260

Prospective forecasting of infectious disease incidence during outbreaks [29] and seasonal epidemics [2] is an active area of public health research. Although non-mechanistic [13] and simple compartmental models [33, 39] have proven most reliable up to now, modern computing capacity enables studies to explore the possibility that incidence forecasts can be improved by the incorporation of realistic social network topology [36, 9]. For example, incidence of Ebola in west Africa in 2013-2016 and currently in central Africa exhibits strong spatial clustering and
highly non-standard incidence dynamic, with short periods of exponential growth followed by
low sustained peaks in incidence [10]. Future forecasting studies should explore the possibility
that that sparse population density and short distances between contacts result in higher-order
clustering in the social networks and the resulting non-standard incidence profiles.

# 271 Methods

#### 272 The Model

We simulate 10 independent epidemics for each of 200 parameter sets  $(h, v, p_w, R^*)$  drawn from a Latin hypercube, each seeded in 10 randomly selected individuals, and for each  $\alpha = 0, 3, 6$ . The ranges of values used in the Latin hypercube are given in SI Appendix, Table S1, and complete parameter sets for all networks are given in SI Appendix, Table S1. Our simulations allowed us to track disease incidence and disease generation of each infection.

We simulate an epidemic on the network to reflect the natural history of Ebola, with a latent pe-278 riod of 9.7 days and a serial interval of 15.3 days. The generation time was calibrated by varying 279 the relative infectiousness of a short period before the onset of symptoms. Global transmissi-280 bility  $\beta$  is tuned to the value of  $R^*$  drawn from the Latin hyper-cube. For each timestep, the 281 probability of infection is calculated for each edge in the network. The algorithm progresses in 282 real time with small timesteps so it can be compared with results from compartmental models. 283 Details of the network simulation algorithm are given in [35] and all results can be repro-284 duced in the Ebola scenario in the id spatial sim repository [5], using scripts ebola build.sh 285 and ebola\_run.sh. 286

#### **Assigning** $R_0$ to each simulation

For each simulation output, we calculate the mean reproductive ratio for each generation. For generations 1 to 9 and for each possible consecutive string of 3, 4 or 5 values, we perform a linear regression fit. We define  $R_0$  as mean reproductive ratio over the set of values for which the gradient of this fit is closest to 0 (and all values the remain larger than 1). This allows us to assign a value  $R_0$  to every simulation output.

#### 293 Higher order clustering

We compute our higher-order clustering coefficients on a subset of 1000 nodes in each network, chosen at random. The algorithm involves storing the network structure as lists of neighbours for each node, and performing an effective contact-tracing procedure. Though it is possible to compute these metrics for all nodes via successive multiplication of adjacency matrices, this procedure becomes computationally expensive in higher orders at networks become large.

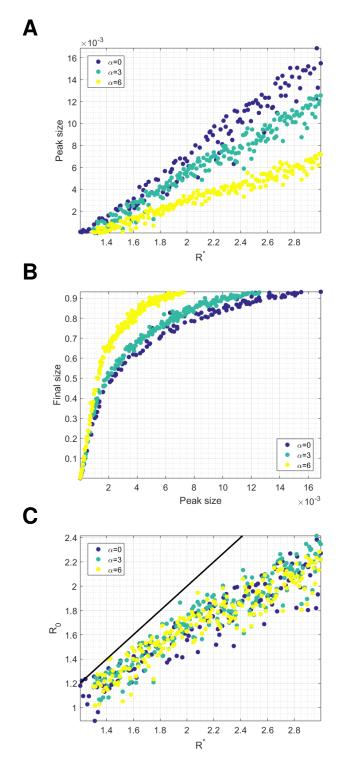


Figure 1: For each set of parameters drawn from the Latin hypercube, and for  $\alpha = 0, 3, 6$ , we show relationships between  $R^*$ ,  $R_0$  time of peak incidence, and epidemic final size: (A)  $R^*$ /peak time, (B)  $R^*$ /peak size, (C)  $R^*$ /extinction time, (D)  $R^*$ /final size, (E) peak size/final size, (F)  $R^*/R_0$  (with the line  $R_0 = R^*$  shown in black).

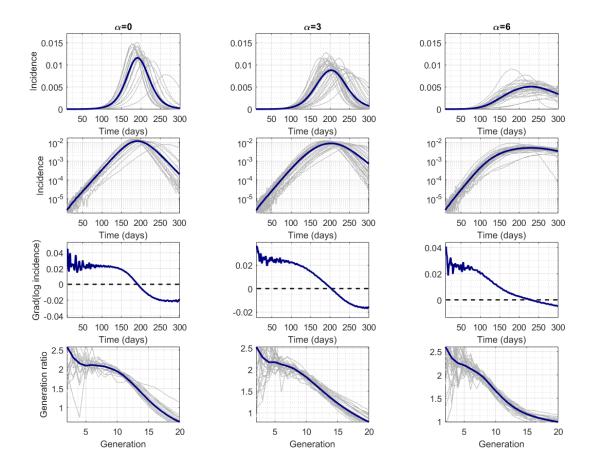


Figure 2: Columns correspond to network structures with  $\alpha = 0,3$  and 6 and simulations with  $R_0 \in (2, 2.2]$ . Exponential growth in real time is indicated by straight lines (second row) and horizontal lines (third row); horizontal lines in bottom row indicate exponential growth by generation. Figures S3 to S5 show results for a wider range of  $R_0$  values for  $\alpha = 0, 3, 6$ .

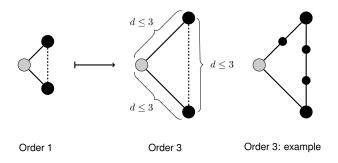


Figure 3: A schematic showing the generalization of clustering coefficient  $C^1$  to higher orders  $CC^m$ :  $CC_i^m$  measures the density of paths of length  $d \le m$  between the up-to-m neighbours of node i (where node i is shown in gray).

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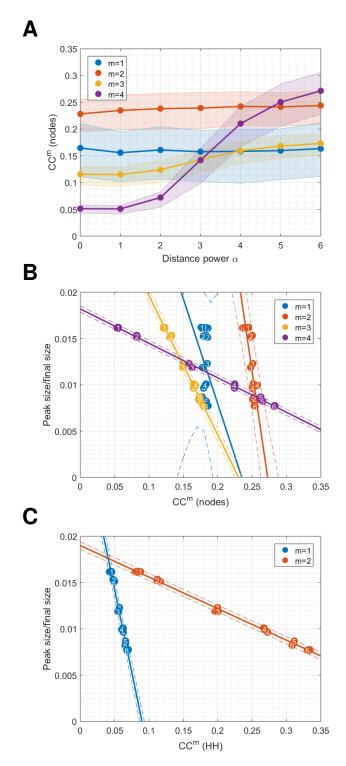


Figure 4: (A) 25-, 50- and 75-percentiles of order-*m* clustering  $CC^m$  on networks constructed with different values of  $\alpha$  and h = 5, w = 50,  $p_w = 0.14$ ,  $\langle k \rangle = 10$  and  $R_0 \in [2, 2.2)$ . Plot shows mean values over 3 different networks for each parameter set; (B) Using peak size as a crude metric for sub-exponential growth (given a fixed range for  $R_0$ ), we see linear trends emerging with higher orders of clustering. Plot shows one point per network, with 3 networks generated for each parameter set, and the mean peak size over 10 independently simulated epidemics, All points are numbered with the corresponding value of  $\alpha$ ; (C) Similarly for the household-only networks. Solid lines show linear fits to data, and dotted lines show 95% confidence intervals. Values of linear correlation coefficient and gradient of fits are given in SI Appendix, Table S2.

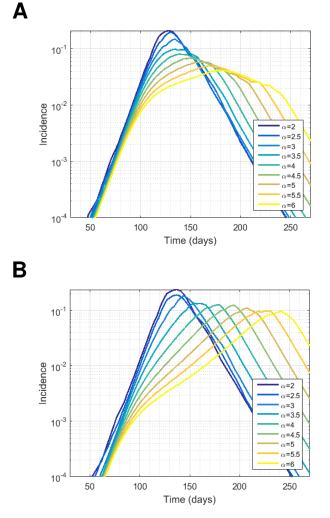


Figure 5: Mean-field approximation with  $R_0 = 2.2$ ,  $\langle k \rangle = 10$ , h = 4, using a 100 × 100 grid of uniformly spaced households: (A) seeding in 10 randomly selected households (the same households are used in each simulation), and (B) seeding in the centre only. Incidence is given as a proportion of the total population for  $\alpha$  ranging from 2 to 6. Supplementary Figure S10 shows time of peak incidence in the case  $\alpha = 6$  seeded as above.

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