# Energy efficient synaptic plasticity

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#### Abstract

Many aspects of the brain's design can be understood as the result of evolutionary drive towards efficient use of metabolic energy. In addition to the energetic costs of neural computation and transmission, experimental evidence indicates that synaptic plasticity is metabolically demanding as well. As synaptic plasticity is crucial for learning, we examine how these metabolic costs enter in learning. We find that when synaptic plasticity rules are naively implemented, training neural networks requires extremely large amounts of energy when storing many patterns. We propose that this is avoided by precisely balancing labile forms of synaptic plasticity with more stable forms. This algorithm, termed synaptic caching, boosts energy efficiency manifold. Our results yield a novel interpretation of the multiple forms of neural synaptic plasticity observed experimentally, including synaptic tagging and capture phenomena. Furthermore our results are relevant for energy efficient neuromorphic designs.

The human brain only weighs 2% of the total body mass, but is responsible for 20% of resting 1 metabolism [1, 2]. The brain's energy need is believed to have shaped many aspects of its design, 2 such as its sparse coding strategy [3, 4], the biophysics of the mammalian action potential [5, 6], 3 and synaptic failure [7, 2]. As the connections in the brain are adaptive, one can design synaptic 4 plasticity rules that further reduce the energy required for information transmission, for instance 5 by sparsifying connectivity [8]. But in addition to the costs associated to neural information 6 processing, experimental evidence suggests that memory formation, presumably corresponding 7 to synaptic plasticity, is itself an energetically expensive process as well [9, 10, 11, 12]. 8 To estimate the amount of energy required for plasticity, Mery and Kawecki [9] subjected fruit 9

flies to associative conditioning spaced out in time, resulting in long-term memory formation. After training, the fly's food supply was cut off. Flies exposed to the conditioning died some 20% quicker than control flies. Similarly, fruit flies doubled their sucrose consumption during the formation of aversive long-term memory [12], while forcing starving fruit flies to form such memories reduced lifespan by 30% [10]. Notably, less permanent forms of learning that don't require protein synthesis have been observed to be energetically less costly [9, 10].

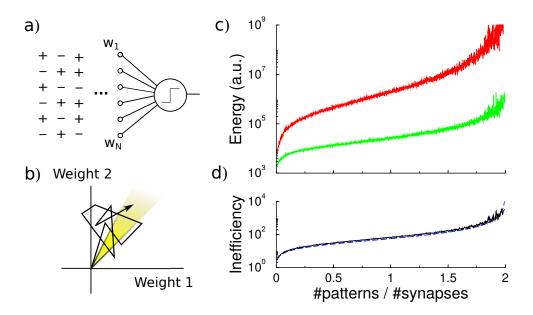


Figure 1: Energy efficiency of perceptron learning (a) A perceptron cycles through the patterns and updates its synaptic weights until all patterns produce their correct target output. (b) During learning the synaptic weights follow approximately a random walk until they find the solution (yellow region). The energy consumed by the learning corresponds to the total length of the path (under the  $L_1$  norm). (c) The energy required to train the perceptron diverges when storing many patterns (red curve). The minimal energy required to reach the correct weight configuration is shown for comparison (green curve). (d) The inefficiency, defined as the ratio between actual and minimal energy plotted in panel c, diverges as well (black curve). The overlapping blue curve corresponds to Eq. 3 in the text.

Motivated by these experimental results, we analyze the metabolic energy required to form associative memories in neuronal networks. We demonstrate that traditional learning algorithms are metabolically highly inefficient. Therefore we introduce a synaptic caching algorithm that is consistent with synaptic consolidation experiments, and distributes learning over transient and persistent synaptic changes. This algorithm increases efficiency manifold. Synaptic caching yields a novel interpretation to various aspects of synaptic physiology, and suggests more energy efficient neuromorphic designs.

## $_{23}$ Results

To examine the metabolic energy cost associated to synaptic plasticity, we first study the 24 perceptron. A perceptron is a single artificial neuron that attempts to binary classify input 25 patterns. It forms the core of many artificial networks and has been used to model plasticity in 26 cerebellar Purkinje cells. We consider the common case where the input patterns are random 27 patterns each associated to a randomly chosen binary output. Upon presentation of a pattern, 28 the perceptron output is calculated and compared to the desired output. The synaptic weights 29 are modified according to the perceptron learning rule, Fig. 1A. This is repeated until all patterns 30 are classified correctly [13, see Methods]. Typically, the learning takes multiple iterations over 31 the whole dataset ('epochs'). 32

As it is not well known how much metabolic energy is required to modify a biological synapse,

and how this depends on the amount of change and the sign of the change, we propose a parsimonious model. We assume that the metabolic energy for every modification of a synaptic weight is proportional to the amount of change, no matter if this is positive or negative, although there is evidence that synaptic depression involves different pathways than synaptic potentiation, see e.g. [14]. The total metabolic cost M (in arbitrary units) to train a perceptron is

$$M_{\text{perc}} = \sum_{i=1}^{N} \sum_{t=1}^{T} |w_i(t) - w_i(t-1)|^{\alpha}$$
(1)

where N is the number of synapses,  $w_i$  denotes the synaptic weight at synapse *i*, and T is the total number of time-steps required to learn the classification. The exponent  $\alpha$  is set to one, but our results below are similar whenever  $0 \le \alpha \lesssim 2$ .

Learning can be understood as a search in the space of synaptic weights for a weight vector that leads to correct classification of all patterns, Fig. 1B. The synaptic weights approximately follow a random walk (Methods), and the energy is proportional to the length of this walk under the  $L_1$  norm, Eq. 1. The perceptron learning rule is energy inefficient, because repeatedly, weight modifications made to correctly classify one pattern are partly undone when learning another pattern, but as both processes require energy this is inefficient.

The energy required by the perceptron learning rule depends on the number of patterns Pto be classified. The set of correct weights spans a cone in N-dimensional space (yellow region in Fig. 1B). As the number of patterns to be classified increases, the cone containing correct weights shrinks and the random walk becomes longer [15]. Near the critical capacity of the perceptron (P = 2N), the number of epochs required diverges as  $(2 - P/N)^{-2}$ , [16]. The energy required, which is proportional to the number of updates that the weights undergo, follows a similar behavior, Fig. 1C.

It is useful to consider the theoretical minimal energy required to classify all patterns. The most energy efficient algorithm would somehow directly set the synaptic weights to their desired final values. Geometrically, the random walk trajectory of the synaptic weights to the target is replaced by a path straight to the correct weights. Given the initial weights  $w_i(0)$  and the final weights  $w_i(T)$ , the energy required in this idealized case to set the synapses correctly is

$$M_{\min} = \sum_{i} |w_i(T) - w_i(0)|.$$
 (2)

While the minimal energy also grows with the memory load (Methods), it increases less steeply,
Fig. 1C.

We express the metabolic efficiency of a learning algorithm as the ratio between the energy the algorithm requires and the minimal energy (the gap between the two curves in Fig. 1C). As the number of patterns increases, the inefficiency of the perceptron rule rapidly grows, Fig. 1D, as (see Methods)

$$\frac{M_{\rm perc}}{M_{\rm min}} = \frac{\sqrt{\pi P}}{2 - P/N},\tag{3}$$

<sup>66</sup> which fits the simulations well.

<sup>67</sup> There is evidence that both cerebellar and cortical neurons are operating close to their

maximal memory capacity [17, 18]. Indeed, it would appear wasteful if this were not the case.

<sup>69</sup> However, the above result demonstrates that for instance classifying 1900 patterns by a neuron

with 1000 synapses with the traditional perceptron learning requires about  $\sim 900$  times more

<sup>71</sup> energy than minimally required. As the fruit-fly experiments indicate that even storing a single

- <sup>72</sup> association in long-term memory is already metabolically expensive, storing many memories
- $_{73}$  would thus require very large amounts of energy if the biology would naively implement these
- 74 learning rules.

### 75 Synaptic caching

How can the conflicting demands of energy efficiency and high storage capacity be met? The 76 minimal energy argument presented above suggests a way to increase energy efficiency. There 77 are forms of plasticity - anaesthesia resistant memory in flies and early-LTP/LTD in mammals -78 that decay and do not require protein synthesis. Such transient synaptic changes can be induced 79 using a massed, instead of a spaced, stimulus presentation protocol. Fruit-fly experiments show 80 that this form of plasticity is much less energy-demanding than long-term memory [9, 10, 12]. In 81 mammals there is evidence that synaptic consolidation, but not transient plasticity, is suppressed 82 under low energy conditions [19]. Inspired by these findings we propose that the transient form of 83 plasticity constitutes a synaptic variable that accumulates the synaptic changes across multiple 84 updates in a less expensive form of memory; only occasionally the changes are consolidated. We 85 call this synaptic caching. 86

Specifically, we assume that each synapse is comprised of a transient component  $s_i$  and a 87 persistent component  $l_i$ . The total synaptic weight is their sum,  $w_i = s_i + l_i$ . We implement 88 synaptic caching as follows, Fig. 2A: For every presented pattern, changes in the synaptic strength 89 are calculated according to the perceptron rule and are accumulated in the transient component 90 that decays exponentially to zero. If, however, the absolute value of the transient component of 91 a synapse exceeds a certain consolidation threshold, all synapses of that neuron are consolidated 92 (vertical dashed line in Fig. 2A), the value of the transient component is added to the persistent 93 weight, and the transient weight is reset to zero. 94

How much efficiency can be improved with synaptic caching depends on the limitations of 95 transient plasticity. If the transient synaptic component could store information indefinitely at no 96 metabolic cost, consolidation could be postponed until the end of learning and the energy would 97 equal the minimal energy Eq. 2. Hence the efficiency gain would be maximal. However, we assume 98 that the efficiency gain of synaptic caching is limited because of two effects: 1) The transient 99 component decays exponentially (with a time-constant  $\tau$ ). 2) There might be a maintenance 100 cost associated to maintaining the transient component. Biophysically, transient plasticity might 101 correspond to an increased/decreased vesicle release rate [20, 21] so that it diverges from its 102 optimal value [7]. 103

To estimate the energy saved by synaptic caching we assume that the maintenance cost is proportional to the transient weight itself and incurred every time-step  $\Delta t$  (shaded area in the top traces of Fig. 2A)

$$M_{\rm trans} = c \sum_i \sum_t |s_i(t)|$$

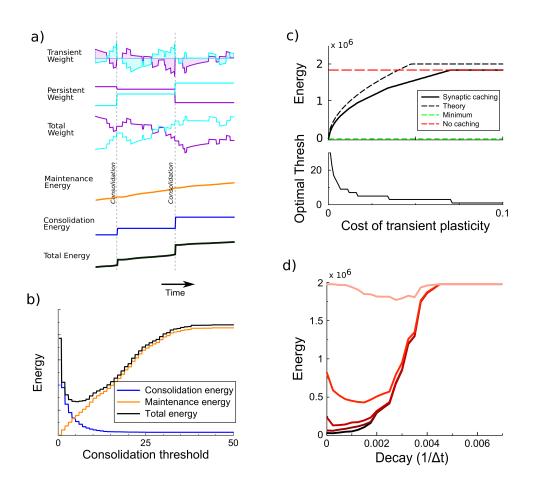


Figure 2: Synaptic caching algorithm (a) Changes in the synaptic weights are initially stored in metabolically cheaper transient decaying weights. Here two example weight traces are shown (blue and magenta). The total synaptic weight is composed of transient and persistent forms. Whenever any of the transient weights exceed the consolidation threshold, the weights become persistent and the transient values are reset (vertical dashed line). The corresponding energy consumed during the learning process consists of two terms: the energy cost of maintenance is assumed to be equal to the magnitude of the transient weight (shaded area in top traces); energy cost for consolidation is incurred at consolidation events. (b) The total energy is composed of the energy to occasionally consolidate and the energy to support transient plasticity. Here it is minimal for an intermediate consolidation threshold. (c) The amount of energy required for learning with synaptic caching, in the absence of decay of the transient weights (black curve). When there is no decay and no maintenance cost the energy equals the minimal one (green line) and the efficiency gain is maximal. As the maintenance cost increases, the optimal consolidation threshold decreases (lower panel) and the total energy required increases, until no efficiency is gained at all by synaptic caching. (d) The amount of energy required for learning as a function of the decay of transient plasticity for various values of the maintenance cost (from bottom to top maintenance cost  $c = 0, 10^{-4}, 10^{-3}, 10^{-2}, 10^{-1}$ ). Broadly, stronger decay will increase the energy required and hence reduce efficiency.

While experiments indicate that transient plasticity is metabolically far less demanding than the persistent form, the precise value of the maintenance cost is not known. We encode it in the constant c; the theory also includes the case that c is zero.

Next we need to include the energetic cost of consolidation. Currently it is unknown how 110 different components of synaptic consolidation, such as signaling, protein synthesis, transport 111 to the synapses and changing the synapse, contribute to this cost. We assume the metabolic 112 cost to consolidate the synaptic weights is  $M_{\rm cons} = \sum_i \sum_t |l_i(t) - l_i(t-1)|$ . The form of the 113 consolidation energy is identical to Eq. 1, but in contrast to the standard perceptron learning, 114 where synapses are consolidated every time a weight is updated, now changes in the persistent 115 component  $l_i$  only occur when consolidation occurs. One can add a term similar to a one-off cost 116 for changing the transient component, but as that would not vary with consolidation rate it is 117 not included. 118

The energy gain achieved by synaptic caching depends on the consolidation threshold, Fig. 2B. 119 When the threshold is low, consolidation occurs often and the energy approaches the one without 120 synaptic caching. When on the other hand the consolidation threshold is high, the expensive 121 consolidation process occurs rarely, but the maintenance cost of transient plasticity is high, 122 moreover the decay will lead to forgetting of unconsolidated memories, slowing down learning and 123 increasing the energy cost. Thus the consolidation energy decreases for larger thresholds, whereas 124 the maintenance energy increases, Fig. 2B (see Methods). As a result of this trade-off there 125 is an optimal threshold, which depends on the decay and the maintenance cost, that balances 126 persistent and transient forms of plasticity. To analyze the efficiency gain we use this optimal 127 value. 128

Fig. 2C shows the energy required to train the perceptron for the case when the transient component does not decay. When the maintenance cost is absent (c = 0), consolidation is best postponed until the end of the learning and the energy is as low as the theoretical minimal bound. As *c* increases, it becomes beneficial to consolidate more often, i.e. the optimal threshold decreases, Fig. 2C bottom panel. The required energy increases until the maintenance cost becomes so high that it is better to consolidate after every update and no energy is saved with synaptic caching. The efficiency is well described by analysis, Fig. 2C (Methods).

Fig. 2D examines the amount of savings as a function of the strength of the decay (expressed as  $1/\tau$ ) of the transient component for various levels of maintenance cost. Efficiency is high when there is no decay. However, if the transient component decays it is best to consolidate more frequently, even when the maintenance cost is zero, as otherwise, information is lost and learning time increases. Interestingly, with intermediate amounts of decay somewhat less energy is required than without any decay. The reason is a slight reduction on number of epochs required when the synaptic weights decay.

In the above implementation of synaptic caching, consolidation of all synapses was triggered when transient plasticity at a single synapse exceeded a certain threshold. This resembles the synaptic tagging and capture phenomenon where plasticity induction leads to transient changes and sets a tag; only strong enough stimulation results in proteins being synthesized and being delivered to all tagged synapses, consolidating the changes [22, 23]. There are a number of alternative ways to model the interaction between synapses: the threshold could be synapse-

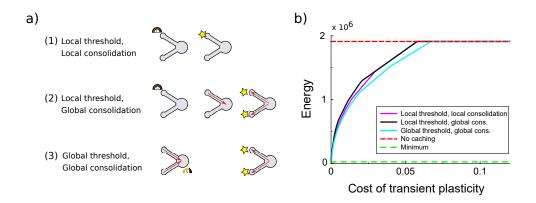


Figure 3: Comparison of various variants of the synaptic caching algorithm (a) Schematic representation of variants to decide when consolidation occurs. From top to bottom: 1) Consolidation (indicated by the star) occurs whenever transient plasticity at a synapse crosses the consolidation threshold and only that synapse is consolidated. 2) Consolidation of all synapses occurs once transient plasticity at any synapse crosses the threshold. 3) Consolidation of all synapses occurs once the total transient plasticity across synapses crosses the threshold. (b) Energy required to teach the perceptron is comparable across algorithm variants. Consolidation thresholds were optimized for each algorithm and each maintenance cost of transient plasticity individually. In this simulation the transient plasticity did not decay.

specific or neuron-wide, and the consolidation could be synapse-specific or neuron-wide, Fig. 3A. 149 In practice there are three possibilities: First, consolidation might be set to occur whenever 150 transient plasticity at a synapse crosses the threshold and only that synapse is consolidated. 151 Second, a hypothetical signal might send to the soma and consolidation of all synapses occurs 152 once transient plasticity at any synapse crosses the threshold (used in Figs. 2 and 4). Thirdly, a 153 hypothetical signal might be accumulated in or near the soma and consolidation of all synapses 154 occurs once this total transient plasticity across synapses crosses the threshold. Only cases 2 155 and 3 are consistent with synaptic tagging and capture experiments, where consolidation of 156 one synapse also leads to consolidation of another synapse that would otherwise decay back to 157 baseline [22, 24]. Notably, all variants lead to comparable efficiency gains, Fig. 3B. 158

In summary we see that synaptic caching can in principle achieve large efficiency gains, bringing efficiency close to the theoretical minimum.

#### <sup>161</sup> Energy of learning in multi-layer network

Since the perceptron is a rather restrictive framework, we wondered whether the efficiency gain of 162 synaptic caching can be transferred to multi-layer networks. Therefore we implement a multi-layer 163 network trained with back-propagation. Back-propagation networks learn the associations of 164 patterns by approaching the minimum of the error function through stochastic gradient descent. 165 We use a network with one hidden layer with by default 100 units to classify hand-written digits 166 from the MNIST dataset. As we train the network, we intermittently interrupt the learning to 167 measure the energy consumed for plasticity and measure the performance on a held-out test-set. 168 This yields a curve relating energy to accuracy. 169

Similar to a perceptron, learning without synaptic caching is metabolically expensive in a back-propagation network. Until reaching maximal accuracy, energy rises approximately

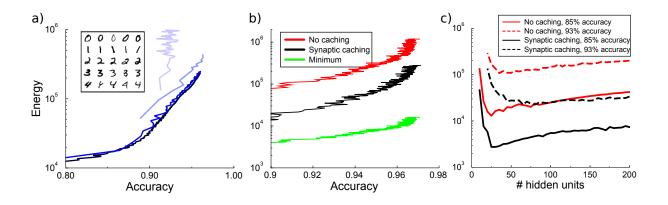


Figure 4: Energy cost to train a multi-layer back-propagation network to classify digits from the MNIST data set (a) Energy rises with the accuracy of identifying the digits from a held-out test data. Except for the larger learning rates, the energy is independent of the learning rate (from bottom to top learning rate  $\eta = 10^{-3}, 10^{-2}, 10^{-1}, 0.5$ ). Inset shows some MNIST examples. (b) Comparison of energy required to train the network with/without synaptic caching, and the minimal energy. As for the perceptron and depending on the cost of transient plasticity, synaptic caching can reduce energy need manifold. (c) The impact of number of hidden units in the network with back-propagation on the metabolic cost. The network is trained to classify digits from the MNIST dataset to 85% and 93% accuracy. Both with and without synaptic caching, energy needs are high when the number of hidden units is barely sufficient. Parameters for transient plasticy in (b) and (c):  $\tau = 1000, c = 0.001$ .

exponentially with accuracy, after which additional energy do not lead to further improvement. When the learning rate is sufficiently small, the metabolic cost of plasticity is independent of the learning rate. At larger learning rates, learning no longer converges and energy goes up steeply without an increase in accuracy, Fig. 4A. With the exception of these large rates, these results show that changing the learning rate does not save energy.

Similar to the perceptron, we evaluate how much energy would be required to directly set 177 the synaptic weights to their final values. Traditional learning without synaptic caching is once 178 again energetically inefficient, expending at least  $\sim 20$  times more energy compared to this 179 theoretical minimum whatever the desired accuracy level is, Fig. 4B. However, by splitting the 180 weights into persistent synaptic weights and transient synaptic caching weights, the network 181 can save substantial amounts of energy. As for the perceptron, depending on the decay and the 182 maintenance cost the energy ranges from as little as the minimum to as much as the energy 183 required without caching. Thus the efficiency gain of synaptic caching found for the perceptron 184 carries over to multi-layer networks. 185

It might seem that smaller networks would be metabolically less costly, because small networks 186 simply contain fewer synapses to modify. On the other hand, for the perceptron metabolic 187 costs rise rapidly when cramming many patterns into it. We wondered therefore how energy 188 cost depends on network size in the multi-layer network. Since the number of input units is 189 fixed to the image size and the number of output units equals the ten output categories, we 190 adjust the number of hidden units. As expected, higher accuracies require more hidden units 191 and energy, Fig. 4C. The network fails to reach the desired accuracy if the number of hidden 192 units is too small. When the network size is barely above the minimum requirement, the network 193

has to compensate the lack of hidden units with longer training time and hence a larger energy
expenditure. However, very large networks also require more energy. These results show that
from an energy perspective there exists an optimal number of neurons to participate in memory
formation.

## <sup>198</sup> Discussion

Experiments on formation of a long-term memory of a single association suggest that synaptic 199 plasticity is an energetically expensive process. We have shown that energy requirements 200 rise steeply as memory load or designated accuracy level increase. This indicates trade-offs 201 between energy consumption, and network capacity and performance. To improve efficiency 202 we have proposed an algorithm named synaptic caching: temporarily storing changes in the 203 synaptic strength at the transient forms of plasticity, which are, determined by a threshold, only 204 occasionally consolidated to the persistent forms. Depending on the characteristics (decay and 205 maintenance cost) of transient plasticity, this can lead to large energy savings in the energy 206 required for synaptic plasticity. Further savings might be possible by adjusting the consolidation 207 threshold as learning progresses and by being pathway-specific [25]. 208

The implementation of a consolidation threshold is similar to what has been observed in 209 physiology, in particular in the synaptic tagging and capture literature [26]. Our results thus 210 give a novel interpretation of those findings. Synaptic consolidation is known to be affected 211 by reward, novelty and punishment [26], which is compatible with a metabolic perspective as 212 energy is expended only when the stimulus is worth remembering. In addition, our results for 213 instance explain why consolidation is competitive, but transient plasticity is less so [27], namely 214 the formation of long-term memory is precious. Consistent with this, there is evidence that 215 encouraging consolidation increases energy consumption [12]. We also predict that the transient 216 weight changes act as an accumulative threshold for consolidation. That is, sufficient transient 217 plasticity should trigger consolidation, even in the absence of other consolidation triggers. Future 218 characterization of the energy budget of synaptic plasticity should allow more precise predictions 219 of our theory. 220

Combining persistent and transient storage mechanisms is a strategy well known in traditional 221 computer systems to provide a faster and often energetically cheaper access to memory. In 222 computer systems permanent storage of memories typically requires transmission of all information 223 across multiple transient cache systems until reaching a long-term storage device and the transfer 224 of information can often be a bottleneck in computer architectures and consumes considerable 225 power in modern computers [28]. However, in the nervous system transient and persistent 226 synapses appear to exist next to each other. The consolidation of information in a synapse does 227 not require moving that information. Using this setup, biology appears to have found a more 228 efficient way to store information. 229

Memory stability has long fascinated researchers [29], and in some cases forgetting can be beneficial [30]. Here we argue that the main benefit of more transient forms of plasticity is to permit the network to explore the weight space to find a desirable weight configuration using less energy. Besides suggesting forms of plasticity with different persistence, the cost of synaptic plasticity could potentially have influenced other aspects of neurobiological design. In principle,

homeostasis and long-term stability could impact the cost of learning as well. Moreover, this work focuses on just the metabolic cost of synaptic plasticity, but the brain also expends significant

237 amounts of energy on spiking, synaptic transmission, and maintaining resting potential. Further

study is needed to understand how this impacts total energy cost during and after learning.

## 239 Methods

#### <sup>240</sup> Energy efficiency of the perceptron

For perceptron we can calculate the energy efficiency of both the classical perceptron and the gain achieved by synaptic caching. We first consider the case that transient plasticity does not decay, as this allows important theoretical simplifications. In the perceptron learning to classify binary patterns Eq. 7, the weight updates are either  $+\eta$  or  $-\eta$ , where  $\eta$  is the learning rate, so that the energy spent Eq. 1 per update per synapse equals  $\eta$ . Hence the total energy spent to classify all patterns  $M_{\text{perc}} = NK\eta$ , where K is the total number of updates. We find numerically that  $K = 2P/(2 - P/N)^2$ .

To calculate the efficiency we compare this to the minimal energy necessary to reach the 248 final weight vector in the perceptron. We approximate the weight trajectory followed by the 249 perceptron algorithm by a random walk. After K updates of step-size  $\eta$  the weights approximate 250 a Gaussian distribution with zero mean and variance  $K\eta^2$ . In simulations the variance in the 251 weights is about 20% smaller, likely reflecting correlations in the learning process not captured in 252 the random walk approximation. By short-cutting the random walk, the minimal energy required 253 to reach the weight vector is  $M_{\min} = N \langle |w_i| \rangle = \sqrt{\frac{2}{\pi}} \eta N \sqrt{K}$ . Hence, we find for the inefficiency 254 (see Fig. 1D)255

$$\frac{M_{\rm perc}}{M_{\rm min}} = \frac{\sqrt{\pi P}}{2 - P/N}$$

#### <sup>256</sup> Efficiency of synaptic caching

To calculate the efficiency gained with synaptic caching we need to calculate both the consolidation 257 energy and the maintenance energy. The consolidation energy equals the number of consolidation 258 events times the size of the updates. The size of the weight updates is equal to the consolidation 259 threshold  $\theta$ , while the number of consolidation events follows from a random walk argument as 260  $NK([\theta/\eta])^2$ . The ceiling function expresses the fact that when the threshold is smaller than 261 learning rate, consolidation will always occur; we temporarily ignore this scenario. In addition, 262 at the end of learning all remaining transient plasticity is consolidated, which requires an energy 263  $N\langle |s_i(T)|\rangle$ . Assuming that the probability distribution P(s) has reached steady state, it has a 264 triangular shape (see below) and  $\langle |s_i(T)| \rangle = \frac{1}{3}\theta$  so that the total consolidation energy 265

$$M_{\rm cons} = \eta^2 \frac{NK}{\theta} + \frac{1}{3}N\theta$$

The transient energy is (again assuming that P(s) has reached steady state)

$$M_{\rm trans} = cNT\theta/3$$

where T is the number of time-steps required for learning. Using that  $T = \frac{P^{3/2}}{(2-P/N)^2}$ , the total energy when using synaptic caching is  $M_{\text{cache}} = M_{\text{cons}} + M_{\text{trans}} = N \left[ \eta^2 K / \theta + \frac{1}{3} \theta (1+cT) \right]$ . The optimal threshold  $\hat{\theta}$  is given by  $\frac{d}{d\theta} \left[ M_{\text{cons}} + M_{\text{trans}} \right] = 0$  or

$$\hat{\theta}^2 = \eta^2 \frac{3K}{1+cT}$$

at which the energy is  $M_{\text{cache}} = 2\eta N \sqrt{K} \sqrt{1 + cT} / \sqrt{3}$ . And so the efficiency of synaptic caching is  $\frac{M_{\text{cache}}}{M_{\min}} = \sqrt{\frac{2\pi}{3}} \sqrt{1 + cT}$ . However, as consolidation can maximally occur only once per time-step,  $M_{\text{cons}}$  cannot exceed  $M_{\text{perc}}$  so that the inefficiency is

$$\frac{M_{\text{cache}}}{M_{\min}} = \min\left(\sqrt{\frac{2\pi}{3}(1+cT)}, \sqrt{\frac{\pi}{2}K}\right)$$

<sup>273</sup> This equation reasonably matches the simulations, Fig. 2C (labeled 'theory').

#### <sup>274</sup> Decaying transient plasticity

When transient plasticity decays, the situation is more complicated as the learning time depends 275 on the strength of the decay and to our knowledge no analytical expression exists for it. However, 276 it is still possible to estimate the power, i.e. the energy per time unit, for both the transient 277 component, denoted  $m_{\rm trans}$ , and the consolidation component,  $m_{\rm cons}$ . Under the random walk 278 approximation every time the perceptron output does not match the desired output, the transient 279 weight  $s_i$  is updated with an amount  $\Delta s_i$  drawn from a distribution Q, with zero mean and 280 variance  $\sigma^2$ . Given the update probability p, i.e. the fraction of patterns not yet classified 281 correctly, one has  $Q_s(\eta) = Q_s(-\eta) = p/2$  and  $Q_s(0) = 1 - p$ , so that  $\sigma_s^2 = p\eta^2$ . We assume that 282 the number of updates slowly decreases as learning progresses, hence p is quasi-stationary. 283

Every time-step  $\Delta t = 1$  the transient weights decay with a time-constant  $\tau$ . The synapse is consolidated and  $s_i$  is reset to zero whenever the absolute value of the caching weight  $|s_i|$  exceeds  $\theta$ . Given p and  $\tau$ , we would like to know: 1) how often consolidation events occur which gives consolidation power and 2) the maintenance power  $m_{\text{trans}} = cN\langle |s_i| \rangle$ . This problem is similar to the random walk to threshold model used for integrate-and-fire neurons, but here there are two thresholds:  $\theta$  and  $-\theta$ .

Under the assumptions of small updates and a smooth resulting distribution, the evolution of the probability distribution  $P(s_i)$  is described by the Fokker-Planck equation, which in the steady state gives

$$0 = -\frac{1}{\tau} \frac{\partial}{\partial s_i} [s_i P(s_i)] + \frac{1}{2} \sigma_s^2 \frac{\partial^2}{\partial s_i^2} P(s_i) + r\delta(s_i)$$

The last term is a source term that describes the re-insertion of weights by the reset process. The boundary conditions are  $P(s_i = \pm \theta) = 0$ . While  $P(s_i)$  is continuous in  $s_i$ , the source introduces a cusp in  $P(s_i)$  at the reset value. Conservation of probability ensures that r equals the outgoing flux at the boundaries. One finds

$$P(s_i) = \frac{1}{Z} \exp\left[-\frac{s_i^2}{\sigma^2}\right] \left[\operatorname{erfi}\left(\frac{|s_i|}{\sigma}\right) - \operatorname{erfi}\left(\frac{\theta}{\sigma}\right)\right]$$

where  $\operatorname{erfi}(x) = -i\operatorname{erf}(ix), \sigma^2 = \frac{\tau}{\Delta t}\sigma_s^2$  and with normalization factor

$$Z = \frac{2\theta^2}{\sqrt{\pi\sigma}} {}_2F_2\left(1, 1; \frac{3}{2}, 2; -(\frac{\theta}{\sigma})^2\right) - \sqrt{\pi\sigma} \operatorname{erf}\left(\frac{\theta}{\sigma}\right) \operatorname{erf}\left(\frac{\theta}{\sigma}\right)$$

where  ${}_{2}F_{2}$  is the generalized hypergeometric function. In the limit of no decay this becomes a triangular distribution  $P(s_{i}) = [\theta - |s_{i}|]/\theta^{2}$ .

We obtain maintenance power

$$m_{\rm trans} = cN \langle |s_i| \rangle \tag{4}$$

$$=\frac{cN}{Z}\left[\frac{2\theta\sigma}{\sqrt{\pi}} - \sigma^2 \mathrm{erfi}\left(\frac{\theta}{\sigma}\right)\right]$$
(5)

For small  $\theta/\sigma$ , i.e. small decay, this is linear in  $\theta$ ,  $m_{\text{trans}} \approx \frac{cN\theta}{3}$ . It saturates for large  $\theta$  because then the decay dominates and the threshold is hardly ever reached.

The consolidation rate follows from Fick's law

$$r = \frac{1}{2}\sigma^2 P'(-\theta) - \frac{1}{2}\sigma^2 P'(\theta)$$
$$= \frac{-2\sigma}{Z\sqrt{\pi}}$$

301 The consolidation power is

$$m_{\rm cons} = N\theta r \tag{6}$$

In the limit of no decay one has  $r = \sigma^2/\theta^2$ , so that  $m_{\rm cons} = pN\eta^2/\theta$ . Strictly speaking this approximates learning with a random walk process and assumes local consolidation, Fig. 3A. However, Eqs. 5 and 6 give a good prediction of the simulation when provided with the timevarying update probability from the simulation, Fig. 5.

#### 306 Simulations

#### 307 Perceptron

Unless stated otherwise, we use a perceptron with N = 1000 input units to classify P = Nrandom binary ( $\pm 1$  with equal probability) input patterns  $\boldsymbol{x}^{(p)}$ , each to be associated to a randomly assigned desired binary output  $d^{(p)}$ . Each input unit is connected with a weight  $w_i$ signifying the strength of the connection. An 'always-on' bias unit with corresponding weight is included to adjust the threshold of the perceptron. The perceptron output y of a pattern is determined by the Heaviside step function  $\Theta$ ,  $y = \Theta(\mathbf{w}.\boldsymbol{x})$ . If for a given pattern p, the output does not match the desired pattern output,  $\boldsymbol{w}$  is adjusted according to

$$\Delta w_i = \eta \left( d^{(p)} - y^{(p)} \right) x_i^{(p)} \tag{7}$$

where the learning rate  $\eta$  can be set to one without loss of generality. The perceptron algorithm cycles through all patterns until classified correctly. In principle the magnitude of the weight vector, and hence the minimal energy, can be arbitrarily small for a noise-free binary perceptron. However, this paradox is resolved as soon as robustness to any post-synaptic noise is required.

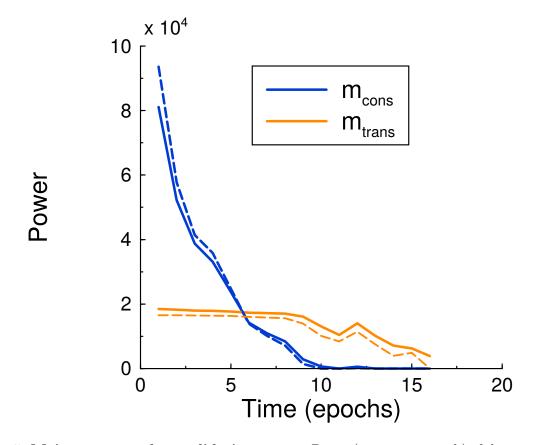


Figure 5: Maintenance and consolidation power. Power (energy per epoch) of the perceptron vs epoch. Solid curves are from simulation, dashed curves are the theoretical predictions, Eqs. 5 and 6, with their  $\sigma$  calculated by using the perceptron update rate p extracted from the simulation. Both powers are well described by the theory. Parameters:  $\tau = 500$ , c = 0.01,  $\theta = 5$ .

#### 319 Multi-layer networks

For the multi-layer networks trained on MNIST, we use networks with one hidden layer, logistic units, and one-hot encoding at the output. Weights are updated according to the mean squared error back-propagation rule without regularization.

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#### 327 Author contribution

MvR designed the experiment. HLL and MvR did the simulations and calculations, and co-wrote the paper.

#### 330 Competing financial interests

<sup>331</sup> The author declares no competing financial interests.

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