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      Two-Step Small Scale Purification of Recombinant Adeno-
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      Associated Viruses
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      Shih-Heng Chen<sup>1,2</sup>, Amy Papaneri<sup>1</sup>, Mitzie Walker<sup>1,2</sup>, Erica Scappini<sup>3</sup>, Robert D. Keys<sup>4</sup>, and Negin
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      P. Martin<sup>1,2,a</sup>
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      <sup>1</sup>Neurobiology Laboratory, <sup>2</sup>Viral Vector Core, <sup>3</sup>Fluorescence Microscopy and Imaging Center,
15
      <sup>4</sup>Cellular and Molecular Pathology Branch, National Institute of Environmental Health and
16
      Sciences, NIH/DHHS, 111 T.W. Alexander Drive, Research Triangle Park, N.C. 27709, U.S.A.
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24
      <sup>a</sup> Please address correspondence to:
      Negin P. Martin, Ph.D.
25
      ORCID 0000-0003-3166-8989
26
27
      e-mail: martin12@niehs.nih.gov
      Phone: 1-984-287-3454
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## 30 Abstract

Recombinant adeno-associated viruses (AAVs) are robust and versatile tools for in vivo gene 31 delivery. Natural and designer capsid variations in AAVs allow for targeted gene delivery to 32 specific cell types. Low immunogenicity and lack of pathogenesis also add to the popularity of 33 this virus as an innocuous gene delivery vector for gene therapy. AAVs are routinely used to 34 express recombinases, sensors, detectors, CRISPR-Cas9 components, or to simply overexpress a 35 gene of interest for functional studies. High production demand has given rise to multiple platforms 36 for production and purification of AAVs. However, most platforms rely heavily on large amounts 37 of starting material and multiple purification steps to produce highly purified viral particles. Often, 38 researchers require several small-scale purified AAVs. Here, we describe a simple and efficient 39 technique for purification of recombinant AAVs from small amounts of starting material in a two-40 step purification method. In this method, AAVs are released into the packaging cell medium using 41 high salt concentration and pelleted by ultracentrifugation to remove soluble impurities. Then, the 42 resuspended pellet is purified using a protein spin-concentrator. The two-step purification 43 consisting of ultracentrifugation and spin-concentration eliminates the need for fraction collection 44 and the time-consuming evaluation of individual fractionated aliquots for titer and purity. In this 45 method, the resulting AAV preparations are comparable in titer and purity to commercially 46 available samples. This simplified process can be used to rapidly generate highly purified AAV 47 particles in small scale, thereby saving resources. 48

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Keywords: neurobiology, adeno-associated virus, gene delivery, virus purification, AAV

## 53 Introduction

Recombinant adeno-associated viruses (rAAVs, referred to as AAVs in this manuscript) are 54 55 replication-defective parvoviruses that readily infect dividing and non-dividing mammalian cells [1]. AAVs can deliver up to 4.5 kilobase pairs (kbp) of genetic material that is often maintained 56 epigenetically and therefore does not cause insertional mutation. In rare cases, the AAV genome 57 is inserted at regions of host chromosomal instability and breakage. The AAV genome consists of 58 a single stranded DNA with self-complementary ends that form high-molecular-weight head-to-59 tail circular concatemers [2]. These concatemeric circles are often maintained in transduced cells 60 for lasting gene expression in vivo [3]. 61

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AAVs are promising agents for gene therapy due to their varying capsid tropism, low 63 immunogenicity, and lasting gene expression [1,4]. In research, they are ideal for functional studies 64 in vivo. The genetic load can accommodate constitutive or inducible promoters to regulate 65 expression of genes of interest in addition to selectable and/or fluorescent markers. Many new 66 techniques such as Cre-recombination-based AAV targeted evolution (CREATE) have given rise 67 to a myriad of AAV capsids to achieve the desired performance and cell/tissue targeting [5-9]. 68 Biotech manufacturing has responded to the demand for AAVs by increasing quality and quantity 69 of produced AAV vectors while maintaining reasonable cost. 70

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Currently, there are several platforms used for production and purification of AAVs [10,11]. Biotech manufacturing and virus core facilities often rely on helper viruses such as adenovirus, herpesvirus, or baculoviruses for *en mass* delivery of complementary genes for large-scale and cost-efficient AAV production. In traditional approaches, complementary genes are delivered as

plasmids to eukaryotic cells with a variety of transfection reagents [12,13]. Human embryonic 76 kidney 293 cells (HEK293 and HEK293T) are a preferred cell line for packaging AAVs since they 77 constitutively express adenovirus E1a/b factors that are needed for packaging, are economical, and 78 efficiently transfected [12]. To produce AAVs, eukaryotic cells are transfected with three 79 plasmids: 1) an AAV transfer vector carrying the gene of interest flanked by Inverted Terminal 80 81 Repeats (ITRs), 2) Rep/Cap genes, and 3) Helper plasmid delivering VA RNAs, E2A, and E4OEF6 genes [12]. Following transfection, AAVs are collected from the media or cell lysate and 82 subjected to numerous purification steps [13-17]. Gradient centrifugation with cesium chloride 83 (CsCl) or iodixanol provides flexibility for AAV purification since it can be used to purify different 84 AAV serotypes. However, using gradients to purify AAV is time consuming and requires multiple 85 purification steps to produce high purity AAV. The formed gradients are fractionated and 86 individually evaluated for amount and purity of AAV particles. Moreover, CsCl can exert toxic 87 effects in animals and therefore, dialysis of fractions containing AAV with a physiologically 88 balanced solution is necessary before use in vivo [15,17,18]. As expected, AAV particles are lost 89 after each purification step, and therefore, large amounts of starting material are needed to ensure 90 sufficient amount of AAV recovery. Complexity of purification steps and the length of time often 91 92 prevents small laboratories from preparing their own AAV samples. Therefore, customized AAV preparations are commercially packaged for a significant cost or research in labs with limited 93 94 budgets is planned based on available AAV stock preparations.

95

Here, we describe a method for a small-scale production of AAVs that allows research laboratories
to produce purified virus rapidly, efficiently, and economically. Using this method, we compared
and analyzed yields for AAV preparations with serotypes 1, 2, 5, 6, 8, and 9. The process

99 effectively isolated infectious AAV particles for all serotypes except for serotype 2. Reduced 100 number of steps in the purification process minimized virus particle loss and saved time. This 101 method allows for a simple, rapid, and efficient virus purification from a small number of 102 transduced cells.

103

#### 104 Materials and Methods

105 Animals

106 C57BL/6J mice were obtained from Jackson Laboratories (Bar Harbor, ME, USA). Mice were 107 housed in polycarbonate cages in animal facilities with controlled environmental conditions with 108 a 12-hour artificial light-dark cycle and were provided fresh deionized water and NIH 31 chow *ad* 109 *libitum*. All animal procedures were approved by the Institutional Animal Care and Use Committee 110 and conducted in strict accordance with the National Institutes of Health animal care and use 111 guidelines.

112

#### 113 Cell culture

Mycoplasma-free HEK293-AAV cells (Cell Biolabs Inc., Cat. # AAV-100) were maintained in
Dulbecco's modified Eagle's medium (DMEM, Life Technologies, Grand Island, NY, USA)
supplemented with 10% fetal bovine serum (FBS, HyClone Laboratories, South Logan, UT, USA),
2 mM L-glutamine, and 1 mM sodium pyruvate. Cells were passaged three times per week to
maintain them in exponential growth phase.

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#### 120 Plasmids and viruses

The plasmids used for transfection were listed (1) cis plasmid pAAV.hSyn.eGFP.WPRE.bGH 121 (Addgene Cat# 105539) and pAAV-hSyn-eGFP (Addgene Cat# 50465); (2) trans plasmids 122 pAAV2/1 (Cell Biolabs Inc., Cat# VPK-421), pAAV2/2 (Cell Biolabs Inc., Cat# VPK-422), 123 pAAV2/5 (Cell Biolabs Inc., Cat# VPK-425), pAAV2/6 (Cell Biolabs Inc., Cat# VPK-426), 124 pAAV2/8 (Cell Biolabs Inc., Cat# VPK-428), or pAAV2/9 (UPenn Vector Core); (3) pHelper 125 126 plasmid containing adenovirus E2A, E4 and VA genes (Cell Biolabs Inc., Part No. 340202). AAV2-hSyn-EGFP (Addgene Cat# 50465-AAV2) and AAV9-hSyn-EGFP (UPenn Vector Core 127 Cat # AV-9-PV1696) were purchased from Addgene and University of Pennsylvania Vector Core, 128 respectively, as positive controls in this study. 129

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#### 131 AAV production and two-step purification

For transfections, each 15-cm dish was seeded with HEK293-AAV cells at 6 x 10<sup>6</sup> cells in 20 ml 132 of DMEM with 10% FBS without antibiotics. Cells were incubated at 37°C in 5% CO<sub>2</sub> for 24 133 hours before transfection. The AAV cis, AAV trans and pHelper plasmids (33.3 ug of each) were 134 added to 2 ml of sterile 150 mM NaCl solution. Polyethylenimine MAX (PEI "MAX", 135 Polysciences, Warrington, PA, USA) stock solution was prepared at 16 mg/ml in sterile water and 136 137 the pH was adjusted to 4.5 with sodium hydroxide. 12.5 µl of PEI stock was added to the 2 ml plasmid solution and mixed by vortexing. After 10 minutes of incubation at room temperature, 2 138 ml of solution was added dropwise to a 15-cm plate. Cultures were incubated at 37°C in 5% CO2 139 140 incubator for 24 hours and then the media was changed to 14 ml of fresh serum-free DMEM containing 2 mM L-glutamine, and 1 mM sodium pyruvate. 120 hours post-transfection, 2ml of 141 142 5M NaCl was added to the plates and incubation was resumed for an additional 2 hours before 143 collecting the culture medium into 50ml conical tubes. Turbonuclease (Eton Bioscience, San

Diego, CA, USA) was added to the culture supernatant to a final concentration of 50 units/ml and 144 incubated at 37°C for 1 hour. To remove the cellular debris, the collected culture medium was 145 centrifugated at 3,000 x g for 20 minutes at 4°C. After centrifugation, the supernatant was collected 146 and filtered through a 0.45 µm Durapore PVDF filter (EMD Millipore, Billerica, MA, USA). 147 Filtered supernatant was aliquoted into 30 ml conical tubes (Beckman Coulter, Brea, CA, USA) 148 149 underlaid with a 4 ml sucrose cushion (40% sucrose in Tri-sodium chloride-EDTA buffer/TNE, sterile filtered) and centrifuged for 16 hours at 100,000 x g in a Beckman Coulter SW32Ti rotor at 150 4°C to pellet the AAV virus. After centrifugation, supernatant was gently removed, and the viral 151 pellet was resuspended in 0.5 ml of cold PBS and mixed on a nutator at 4°C overnight. The next 152 day, the virus was mixed by pipetting up and down in the tubes, combined, and then diluted with 153 additional PBS to a final volume of 10 ml. Pooled virus solution was cleared of debris by 154 centrifugation at 500 x g at 4°C for 10 minutes. The remaining supernatant was concentrated in a 155 100-kDa molecular weight cutoff (MWCO) protein concentrator (Pierce, Rockford, IL, USA) by 156 157 centrifugation at 3,000 x g at room temperature for 10-minute intervals until the volume was reduced to 100-500  $\mu$ l. The virus was aliquoted and stored at -80°C. 158

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#### 160 AAV titer by Q-PCR

The AAV genome was titered as previously described [17]. Briefly, AAV samples were serially diluted from  $10^{-2}$  to  $10^{-8}$ -fold, and the standard curve was generated by diluting the AAV plasmid containing the ITR2 sequence (from  $10^5$  to 32 copies per 5 µl). The Q-PCR was performed using SYBR Green PCR Master Mix (Applied Biosystems, Foster City, CA, USA) and a LightCycler 96 System (Roche, Indianapolis, IN, USA) according to manufacturer's protocols. The ITR forward primer (5' GGAACCCCTAGTGATGGAGTT 3') and the ITR reverse primer (5' 167 CGGCCTCAGTGAGCGA 3') were added to the reaction with final concentration of 300 nM in 168 25  $\mu$ l of total volume (5  $\mu$ l of sample or standard curve plus 20  $\mu$ l of primers and SYBR mix), and 169 under the following PCR conditions: 95°C for 10 minutes, 95°C for 10s, 60°C for 60s, for 45 170 cycles. The virus titer was calculated by LightCycler 96 SW1.1 software using the parallel standard 171 curve in the reaction, and the titer was given in genome copy/ml (GC/ml).

172

#### 173 Silver staining

The purity of AAV was determined via polyacrylamide gel electrophoresis (PAGE) by resolving 3-5 x 10<sup>10</sup> GC of each AAV sample boiled in NuPage sample buffer, loaded onto a 4-12% NuPage Bis-Tris gels (Thermo Fisher Scientific, Rockford, IL, USA). The proteins were revealed using Pierce Silver Staining kit (Thermo Fisher Scientific, Rockford, IL, USA) according to manufacturer's protocols. The image was acquired by GeneFlash Bio Imaging system (Syngene, Frederick, MD, USA).

180

#### 181 Organotypic mouse brain slice culture and AAV transduction

Brain slices were isolated from C57BL/6J mice (postnatal 6-8 days). Mice were humanely and 182 183 rapidly sacrificed. Brain slices were prepared according to previously described methods [19-21]. 350 µm slices of brain were sectioned using a vibratome (Leica VT1200 S, Leica Biosystems, 184 Buffalo Grove, IL, USA) in ice-cold cutting solution (GIBCO MEM Cat#: 61100, NaHCO3 26 185 mM, HEPES 25 mM, Tris 15 mM, Glucose 10 mM, MgCl<sub>2</sub> 3 mM). The organotypic brain slices 186 were placed on a 0.4-um pore size membrane insert (Transwell 3450-Clear, Corning Incorporated, 187 Corning, NY, USA) in a 6-well plate. Slices were cultured at 37°C and 5% CO<sub>2</sub> with the medium 188 changed three times per week. AAVs were added as a drop on top of brain slices at 24 hours post 189

190	dissection and incubated for 2 weeks. To visualize cells infected with AAVs, fluorescence images
191	were captured on a Zeiss LSM710 (Carl Zeiss Inc, Oberkochen, Germany) using an EC Plan-
192	Neofluar 10x/0.3 objective. The 488 nm laser line from an Argon laser at 5% power was used for
193	excitation of the GFP labeled cells; after which, a 493-577nm band pass emission filter was used
194	to collect the images of the GFP signal.
195	
196	TEM electron microscopy
197	Transmission electron microscopy was performed on equal volumes (5 $\mu$ L) of AAV9 samples that
198	were either prepared by the two-step purification method or purchased from the UPenn Core.

Samples were prepared for negative staining by dropping 5 µl aliquot of viral suspension on a formvar coated 300 mesh grid and blotting off the grid at the edge with Whatman's #1 filter paper until not quite dry. The wet grid was immediately negative stained with a drop of 1% phosphotungstic acid, pH 7.1, for 1 minute, and blotted dry at the edge with Whatman's #1 filter paper. Negative stained images were obtained at 68000x.

204

#### 205 **Results**

### 206 Two-step purification of AAVs

In order to assess the efficiency and yield of the two-step purification protocol, the same AAV transfer vector, pAAV-hSyn-GFP, was packaged and purified using serotypes 1, 2, 5, 6, 8, and 9 as described in the Materials and Methods section. The purification steps from seeding the HEK293-AAV cells to aliquoting and freezing the samples were completed in 8 days (Fig. 1). Total amount of time per day spent on the purification process is significantly less than traditional purification methods since the need for fraction collection, assaying each fraction, and further concentration and dialysis to remove chemicals and impurities is eliminated. Polyethylenimine MAX (PEI) was used as an economical and efficient method to transfect and deliver packaging plasmids to HEK293-AAV cells [17]. Other transfection methods, including calcium phosphate precipitation of DNA, can be substituted for PEI transfection. Cells can be grown in attached monolayers, multilayered flasks, or in suspension depending on the preparation size and user preference. PEI offers the versatility of transfection in adherent or cell suspension cultures.

219

#### 220 Fig. 1 Protocol outline

The NIEHS Viral Vector Core AAV preparation protocol outline, including the two-step AAV purification process. The entire procedure is completed in 8 days with less processing time per day during purification steps.

224

Transfected cells were allowed to express AAV packaging genes and incubated at 37°C for 5 days. 225 Recent studies have shown that extending incubation time from 3 to 5 days after transfection 226 results in release of AAV particles from cells and their accumulation in culture medium [14,17,22]. 227 In order to minimize protein content in the cell medium, the media was switched to serum-free 228 229 medium 24 hours post transfection. Incubation with high salt (500mM) has been shown to increase the release of AAV particles from the cells [17,23]. Salt's effect on protein-protein interactions is 230 often responsible for increased protein solubility [24]. Mature AAV particles are stable under high 231 232 salt and in a wide range of pH conditions. Therefore, salt concentration was increased to enhance AAV particle release from cells without damage to the particles. 233

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10

AAV particles were collected in supernatant media, cleared from debris, and treated with 235 Turbonuclease to remove RNA, chromosomal DNA and the packaging plasmid remnants. At this 236 stage, the unpurified AAV particles were suspended in a pool of protein impurities. In traditional 237 purification methods, the unpurified AAV solution is subject to two rounds of gradient 238 centrifugation on cesium chloride or a single centrifugation in a more inert medium such as 239 240 iodixanol [17]. The collected fractions are then individually assayed for purity, pooled, and then further concentrated. In this two-step purification protocol, crude AAV particles are pelleted by 241 ultracentrifugation over a 40% sucrose cushion. After ultracentrifugation, less than 15% of AAV 242 particles (genocopies determined by O-PCR) are discarded in the medium and the remainder of 243 particles are pelleted below the sucrose cushion. The resulting pellet is then resuspended in 244 phosphate buffered saline (PBS). Although many soluble AAV impurities are removed by 245 pelleting the virus and do not precipitate below the 40% sucrose cushion, the resuspended AAV 246 pellets still contain impurities that are subsequently removed by using a 100-kDa molecular weight 247 cutoff (MWCO) protein concentrator. Approximately 10% of AAV genocopies (determined by O-248 PCR) are lost through the protein concentrator pores during centrifugation. The volume of AAV 249 solution can be continuously reduced by centrifugation until the desired volume is reached. 250

251

#### 252 **AAV titers and purity**

The number of AAV genocopies (GC) and the titer for individual preparations were determined by standard Q-PCR protocols as described in Material and Methods [17]. These titers were originated from small-scale AAV preparations from five or six 15-cm plates (Table 1). AAV serotypes 1, 5, 8, and 9 yielded titers of greater than 1E+12 genome copies per ml (GC/ml) in volumes greater than 100  $\mu$ L per preparation. Greater than 1500 genome copies were recovered

- per HEK293 cell. AAV serotypes 2 and 6 had low yields in similar volumes. AAV with serotype
- 259 8 produced the greatest yield of 1.72E+05 genome copies per cell (Table 1).
- 260

## Table 1. Virus yield of AAV serotypes using two-step purification method

262

AAV serotype	Transgene	Virus titer (GC/ml)	Final volume (µl)	Total virus yield (GC)	Virus yield/cell (GC)
AAV1	eGFP	4.87E+12	100	4.87E+11	1.35E+04
AAV2	eGFP	3.06E+11	150	4.59E+10	1.53E+03
AAV5	eGFP	9.65E+12	150	1.45E+12	4.03E+04
AAV6	eGFP	8.38E+11	200	1.68E+11	4.67E+03
AAV8	eGFP	6.18E+13	100	6.18E+12	1.72E+05
AAV9	eGFP	1.18E+13	250	2.95E+12	8.19E+04

263

AAV-hSyn-eGFP was packaged with serotypes 1, 2, 5, 6, 8, and 9 using the two-step purification method in small scale. Viral titers were determined by Q-PCR as described in Materials and Methods.

267

268 To test the purity of samples, comparable genome copies of each sample were resolved via SDS-PAGE and stained for protein content with silver stain (Fig. 2a). Similar amounts of virus for 269 AAV1, 5, 8, and 9 (3E+10 to 1E+11 GC), and 2E+9 and 5E+9 GC for AAV2 and 6 (due to low 270 titer and limited volume that could be loaded onto a well) were loaded onto the gel in Fig. 2a. 271 Equal volumes of AAV preparations (3ul of each preparation) were loaded onto the gel in Fig. 2b. 272 Two samples of AAV-hSyn-eGFP were purchased from commercial sources (Addgene.org and 273 UPenn Vector Core) and resolved side-by-side on silver-stained gels as positive controls. The same 274 AAV transfer plasmids for AAV2 and AAV9 were purchased from Addgene and UPenn Vector 275

276	Cores and used for packaging AAVs using the two-step purification method. Silver-staining assay
277	is typically used to detect the major AAV capsid proteins VP1, VP2, and VP3; and to compare the
278	ratios of AAV capsid proteins to impurities. The amount of VP1, VP2, and VP3 is also indicative
279	of the amount of AAV particles present in the preparation (Fig. 2b). The low genome copies of
280	two-step purification for AAVs with serotypes 2 and 6 are evident on the gel in Fig 2b. However,
281	AAV serotypes 1, 5, 8, and 9 had robust VP1, VP2, VP3 presentations. All two-step preparations
282	had comparable purities to purchased commercial AAV preparations (Fig. 2a).

283

### Fig. 2 Comparison between purity and yield of AAV serotypes

AAV-hSyn-eGFP was packaged with serotypes 1, 2, 5, 6, 8, and 9 using the two-step purification 285 method. a. Similar amounts of virus for AAV1, 5, 8, 9, using the two-step purification and AAV2 286 and 9 purchased from Addgene and UPenn Vector Core (3E+10 to 1E+11 GC) were loaded onto 287 the gel. Due to low titer and limited volume that could be loaded onto a well, only 2E+9 and 5E+9 288 GC of AAV2 and 6 purified with the two-step purification were resolved. Three major protein 289 bands VP1, VP2, and VP3 are the most abundant proteins resolved by silver-staining. b. Equal 290 volumes of AAV preparations (3ul) were loaded onto the gel and silver-stained. Two samples of 291 AAV-hSyn-eGFP were purchased from commercial sources (Addgene.org and UPenn Vector 292 Core) and resolved side-by-side on silver-stained gels as positive controls. Same AAV transfer 293 plasmids for AAV2 and AAV9 were purchased from Addgene and UPenn Vector Cores and used 294 295 for packaging AAVs in the two-step purification method.

296

Transmission electron microscopy (TEM) was used to visualize the structural features of the AAV particles and sample impurities. AAV9 sample prepared by the two-step purification method and

its commercially purchased equivalent AAV9 from the UPenn Core were imaged using TEM (Fig.
3). As shown in the negative stain images, both samples have similarly stained particles and
impurities. The purchased AAV9 from UPenn contained more particles and higher titer.

302

#### 303 Fig. 3 Transmission Electron Microscopy (TEM) images of AAV samples

Equal volumes (5  $\mu$ L) of AAV9 sample preparation by the two-step purification method and the

AAV9 samples purchased from the UPenn Core were imaged by TEM as described in Materials

and Methods. Negative stained images were obtained at 68000x.

307

#### 308 Ex-vivo AAV transduction

In addition to determining the genome copies for each AAV preparation and resolving the samples on silver-stained gels, we tested the transduction efficiency of each sample in organotypic mouse brain slice cultures. Human synapsin promoter is a robust promoter in central nervous system neurons and provides an effective way of comparing GFP expression in AAV transduced living tissue. Many small-scale AAV preparations are used for gene delivery to animal tissue in research laboratories [25,26].

315

Equal amounts of viral preparations were added dropwise on top of cultured brain tissues and monitored for expression of GFP by confocal microscopy. AAV expression was detected one week after infection. AAV preparations for serotypes 1, 5, 6, 8, and 9 transduced mouse neurons effectively (Fig. 4). Despite low titer, the AAV sample with serotype 6 transduced neurons as effectively as other serotypes. AAV with serotype 2, had the lowest yield and expressed the lowest levels of GFP. Positive control samples of AAV-hSyn-eGFP with serotypes 2 and 9 from

322 commercial sources (Addgene.org and UPenn Vector Core, respectively) had comparable robust

323 expressions similar to the two-step purified AAV preparations. The differences in GFP expression,

and hence transduction, could also be due to tropism of different serotypes.

325

### 326 Fig. 4 AAV transduction of cultured mouse brain slices

Organotypic mouse brain slice cultures were prepared and transduced with various AAV serotypes. Confocal images depict GFP expression in cultured mouse neurons after two weeks. Despite low yield, AAV6 transduced cells as efficiently as higher yield AAV preparations. Commercially purchased samples were used as positive controls.

331

All two-step purified AAV samples, except for serotype 2, demonstrate effective transduction efficiencies with no observed toxicity. GFP expression in neurons was consistently monitored for 4 weeks with no observed changes in expression.

335

### 336 **Discussion**

Rapid expansion of the AAV toolbox has availed a wide range of vectors with designer capsids, promoters, and/or selectable markers to researchers [8,25,27]. AAVs are preferred vectors for many *in vivo* gene transfer applications to non-dividing cells. In order to facilitate gene delivery for basic and clinical research, manufacturing practices for AAV production have been optimized to provide research and clinical grade preparations [10]. A myriad of AAV samples are commercially packaged and available for purchase. However, preparation of AAVs from customized vectors carrying genes with single nucleotide polymorphisms, dominant negative

mutations, chimeric proteins, etc. are often costly and time consuming to prepare. Here, we describe a method to purify several AAV serotypes rapidly, economically, and in small-scale.

346

In this method, cell transfection and recovery of AAV particles from cell media is performed 347 according to standard protocols. The virus is collected from the culture medium that has been 348 349 incubated for five days as compared to traditional method of collecting AAV particles from freezethawed cell lysate in three days. During the five-day incubation following transfection, AAV 350 particles are released and accumulated in media devoid of serum. Therefore, less impurities are 351 present in the starting material compared to freeze-thawed cell lysates. The purification steps are 352 simplified and shortened without jeopardizing quality. In this method, since AAVs are not purified 353 through multiple steps, less sample is lost during purification steps, and less starting material is 354 required. This protocol allows research laboratories to rapidly produce multiple AAV preparations 355 from small amounts of starting material. 356

357

The purified virus stocks using the two-step method may also contain empty AAV viral capsids. The effect of empty capsids on transduction outcome is still unclear. The presence of empty capsids may increase the unwanted immune response; yet, some studies report that the existence of empty capsids is beneficial and increases transduction [28,29].

362

The standard purification protocols also rely on collecting AAV fractions from gradients and examination of each individual fraction for quality and quantity. Samples purified using cesium chloride also require an additional dialysis step to remove toxic cesium chloride. In this protocol, no toxic material is introduced into the collected samples and a protein concentrator device is used

16

as a filtration device to remove impurities. The AAV samples prepared by the two-step purification method were resolved by SDS-PAGE, silver-stained, and imaged by TEM to demonstrate the purity of samples as compared to similar commercially obtained AAV preparations. The simplification of the purification protocol saves resources and time. The materials used in the twostep purification protocol are inexpensive, and the equipment used, such as centrifuges, are readily available in most research laboratories. This method also reduces the amount of generated hazardous waste.

374

Using this two-step purification protocol, we have prepared and tested AAVs with serotypes 1, 2, 375 5, 6, 8, and 9. Low recovery yields were observed for serotypes 2 and 6. Furthermore, serotype 2 376 preparation showed low levels of neuronal transduction in organotypic mouse brain slice cultures. 377 Low yield may be due to binding of surface proteins of AAV serotype 2 to cell surface heparan 378 sulfate proteoglycan (HSPG) that has been shown to reduce the number of AAV2 particles released 379 into the culture media during virus production [30]. Each chimeric/designer AAV serotype should 380 be assessed and validated individually for yield and transduction efficiency after purification with 381 the described two-step purification method. 382

383

The two-step AAV purification method is an effective and easy-to-use method for research laboratories to produce small-scale, yet high-quality, AAV preparations. This technique enables researchers to rapidly create and test new AAV constructs in animal models and can be scaled up for bulk preparations.

17

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395

### 396 Author Contribution

NPM, AP, SHC, and MW developed the concept of the protocol and performed experiments. ES
provided the confocal imaging data. RDK provided the TEM images. NPM wrote the manuscript.
All authors contributed to editing of the paper.

400

## 401 **Compliance with Ethical Standards**

402 *Funding:* This research was supported by the Intramural Research Program of the National

403 Institute of Health (NIH), National Institute of Environmental Health and Sciences (NIEHS).

404 *Conflict of interest:* All authors declare no competing financial interests.

405 *Ethical Approval:* Animals used in this study were ordered from Charles River and Jackson

Laboratories, USA. All animal procedures complied with the institutional guidelines, NIH/NIEHS,

407 animal care guidelines and were approved by the Animal Care and Use Committee (ACUC) at the

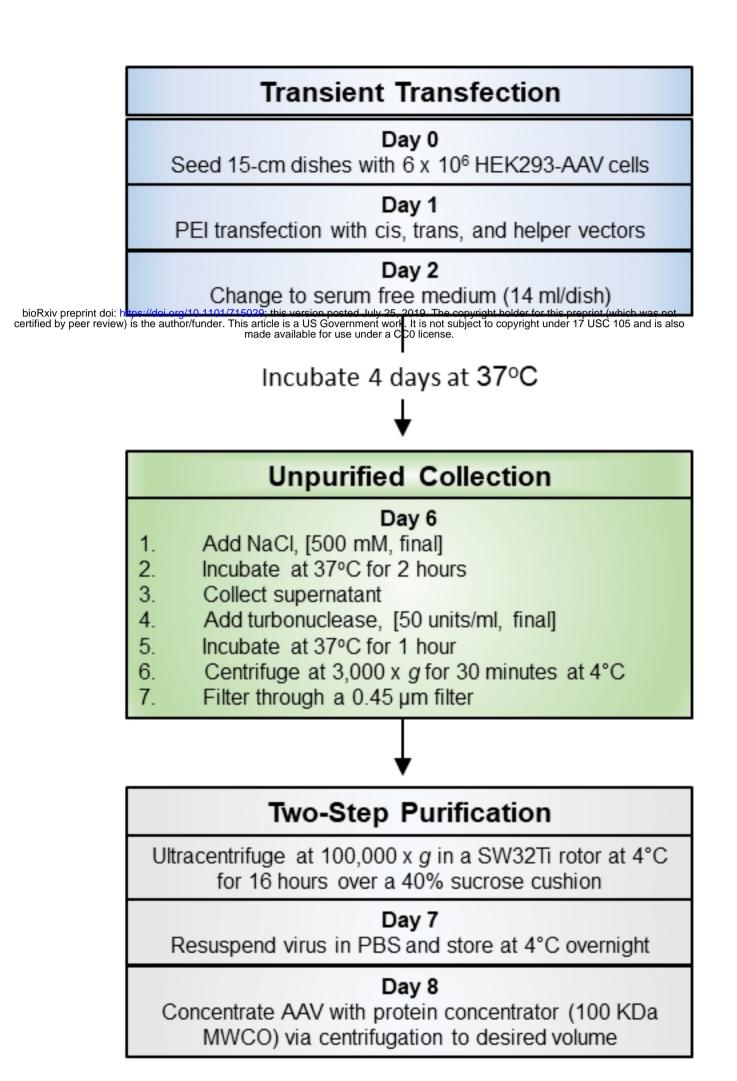
408 NIH/NIEHS, animal Protocol # 2012-0004.

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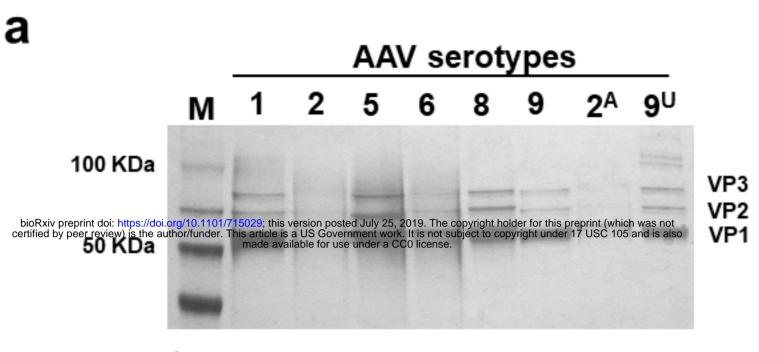
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- 486

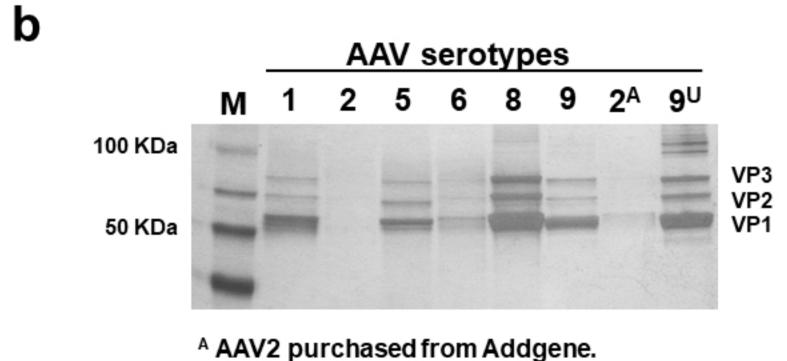
## Figure 1.



## Figure 2.

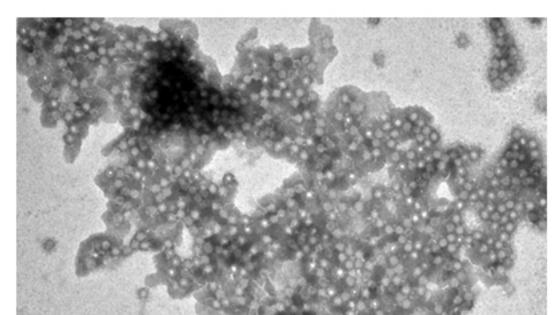




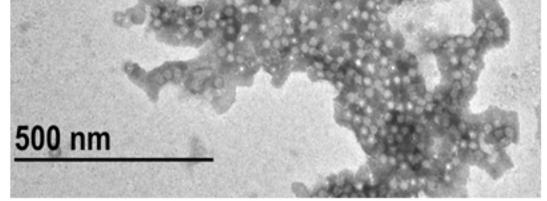


<sup>U</sup> AAV2 purchased from U Penn vector core.

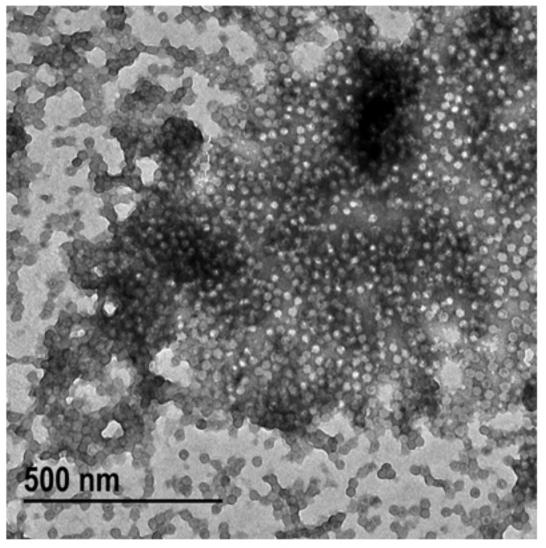
## Figure 3.



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**Two-Step Purified AAV9** 



Purchased AAV9 from UPenn

## Figure 4.

