

1 **The role and robustness of the Gini coefficient as an unbiased tool for the**
2 **selection of Gini genes for normalising expression profiling data**
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50 **Abstract**

51

52 We recently introduced the Gini coefficient (GC) for assessing the expression variation of a particular gene
53 in a dataset, as a means of selecting improved reference genes over the cohort ('housekeeping genes')
54 typically used for normalisation in expression profiling studies. Those genes (transcripts) that we
55 determined to be useable as reference genes differed greatly from previous suggestions based on
56 hypothesis-driven approaches. A limitation of this initial study is that a single (albeit large) dataset was
57 employed for both tissues and cell lines.

58 We here extend this analysis to encompass seven other large datasets. Although their absolute values differ
59 a little, the Gini values and median expression levels of the various genes are well correlated with each
60 other between the various cell line datasets, implying that our original choice of the more ubiquitously
61 expressed low-Gini-coefficient genes was indeed sound. In tissues, the Gini values and median expression
62 levels of genes showed a greater variation, with the GC of genes changing with the number and types of
63 tissues in the data sets. In all data sets, regardless of whether this was derived from tissues or cell lines, we
64 also show that the GC is a robust measure of gene expression stability. Using the GC as a measure of
65 expression stability we illustrate its utility to find tissue- and cell line-optimised housekeeping genes
66 without any prior bias, that again include only a small number of previously reported housekeeping genes.
67 We also independently confirmed this experimentally using RT-qPCR with 40 candidate GC genes in a panel
68 of 10 cell lines. These were termed the Gini Genes.

69 In many cases, the variation in the expression levels of classical reference genes is really quite huge (e.g. 44
70 fold for GAPDH in one data set), suggesting that the cure (of using them as normalising genes) may in some
71 cases be worse than the disease (of not doing so). We recommend the present data-driven approach for
72 the selection of reference genes by using the easy-to-calculate and robust GC.

73

74 **Keywords:** housekeeping genes – reference genes – Gini index – Gene Expression

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78 Background

79

80 In a recent paper [1], we introduced the Gini index (or Gini coefficient, GC) [2-5] as a very useful,
81 nonparametric statistical measure for identifying those genes whose expression varied least across a large
82 set of samples (when normalised appropriately [6] to the total expression level of transcripts). The GC is a
83 measure that is widely used in economics (e.g. [4, 7-12]) to describe the (in)equality of the distribution of
84 wealth or income between individuals in a population. However, although it could clearly be used to
85 describe the variation in any other property between individual examples [13-16]), it has only occasionally
86 been used in biochemistry [1, 5, 17-22]. Its visualisation and calculation are comparatively straightforward
87 (Fig 1): individual examples are ranked on the abscissa in increasing order of the size of their contribution,
88 and the cumulative contribution is plotted against this on the ordinate. The GC is given by the fractional
89 area mapped out by the resulting 'Lorenz' curve (Fig 1). For a purely 'socialist' system in which all
90 contributions are equal (GC = 0), the curve joins the normalised 0,0 and 1,1 axes, while for a complete
91 'autocracy', in which the resource or expression is held or manifest by only a single individual (GC=1), the
92 'curve' follows the two axes (0,0 → 1,0 → 1,1).

93 Since the early origins of large-scale nucleic acid expression profiling, especially those using microarrays
94 [23-25], it has been clear that expression profiling methods are susceptible to a variety of more or less
95 systematic artefacts within an experiment, whose resolution would require or benefit from some kind of
96 normalisation (e.g. [26-36]). By this ('normalisation of the first kind'), and what is typically done, we mean
97 the smoothing out of genuine artefacts within an array or a run, that occur simply due to differences in
98 temperature or melting temperature or dye binding or hybridisation and cross-hybridisation efficiency (and
99 so on) across the surface of the array. This process can in principle use reference genes, but usually exploits
100 smoothing methods that normalise geographically local subsets of the genes to a presumed distribution.

101 Even after this is done, there is a second level of normalisation, that between chips or experiments, that is
102 usually done separately, not least because it is typically much larger and more systematic, especially
103 because of variations in the total amount of material in the sample analysed or of the overall sensitivity of
104 the detector (much as is true of the within-run versus between-run variations observed in mass
105 spectrometry experiments [37, 38]). This kind of normalising always requires 'reference' genes whose
106 expression varies as little as possible in response to any changes in experimental conditions. The same is
107 true for expression profiling as performed by qPCR [39-44], where the situation is more acute regarding the
108 choice of reference genes since primers must be selected for these *a priori*. Commonly, the geometric
109 mean of the expression levels of that or those that vary the least is selected as the 'reference'. The
110 question then arises as to which are the premium 'reference' genes to choose.

111 Perhaps surprisingly [45], rather than simply letting the data speak for themselves, choices of candidate
112 reference genes were often made on the basis that reference genes should be 'housekeeping' genes that
113 would simply be assumed ('hypothesised') to vary comparatively little between cells, be involved in
114 nominal routine metabolism and also that they should have a reasonably high expression level (e.g. [46-
115 63]). This is not necessarily the best strategy, and there is in fact (and see below) quite a wide degree of
116 variation of the expression of most standard housekeeping genes between cells or tissues (e.g. [50, 59, 62,
117 64-76]). Indeed, Lee et al [66] stated explicitly that housekeeping genes may be uniformly expressed in
118 certain cell types but may vary in others, especially in clinical samples associated with disease.

119 It became obvious that an analysis of the GC of the various genes was actually precisely what was required
120 to assess those 'housekeeping' (or any other) genes that varied least across a set of expression profiles, and

121 we found 35 transcripts for which the GC was 0.15 or below when assessing 56 mammalian cell lines taken
122 from a wide variety of tissues [1]. These we refer to as the ‘Gini genes’. Most of these were ‘novel’ as they
123 had never previously been considered as reference genes, and we noted that their Gini indices were
124 significantly smaller (they were more stably expressed) than were those of the more commonly used
125 reference genes [63]. However, this analysis was done on only one (albeit large) dataset of gene expression
126 profiles. While some of the compilations (e.g. [62, 77]) contain massive amounts of expression profiling
127 data, many of these, especially the older ones, may well be of uncertain quality. Thus, especially since the
128 GC is very prone to being raised by small numbers of large outliers, we decided for present purposes that
129 we should compare our analyses of candidate Gini genes using a smaller but carefully chosen set of
130 expression profiling experiments. The more modern RNA-seq (e.g. [78-82]), in which individual transcripts
131 are simply counted digitally via direct sequencing, is seen as considerably more robust [78, 83, 84] and
132 sensitive [85, 86], and so we selected additional large and recent datasets that used RNA-seq in cell lines
133 and tissues (Table 1). We note too that the precision of these digital methods (as with other, digital, single-
134 molecule strategies [87-89]), means that the requirement for reasonably high-level expression levels is
135 much less acute.

136 In a similar vein (Table 2), we selected a small number of reasonably detailed studies in which particular
137 housekeeping genes had been proposed as reference genes.

138 To our knowledge, there are no large-scale studies to determine housekeeping genes in large, cell-line
139 cohorts; the present paper serves to provide one. In addition, we include an experimental RT-qPCR analysis
140 of a subset of the Gini genes.

141

142 Results

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144 The Gini Coefficient as a robust measure of gene expression stability in multiple cell-line data 145 sets

146

147 We previously identified a number of genes in the Human Protein Atlas (HPA) cell line data set [90] with
148 very low expression variability and thus potential for use as reference genes [91]. However, we did not
149 compare these Gini genes to other genes that have previously been proposed as housekeeping genes. We
150 therefore performed a similar analysis using the potential housekeeping genes we proposed in [91] as well
151 as other reference genes proposed in other studies (Table 2) with additional large RNA-Seq cell line data
152 sets (Table 1).

153 Fig 2A shows a plot of the GC of a variety of candidate Gini genes versus their median expression level in
154 the HPA cell lines dataset set [90]. It is clear that genes we identified previously have much lower GC values
155 in the HPA dataset than do any of the others (just two, VPS29 and CHMP2A, were also identified by
156 Eisenberg and Levenson and another, RPL41, by Caracausi). This is not at the expense of an unusually low
157 expression (Fig 2A), a finding broadly confirmed when we look at the median expression levels for the CCLE
158 dataset (Fig 2B) and of the Klijn dataset (Fig 2C).

159 Fig 3 shows the GC values for the various genes in two other datasets, viz CCLE and Klijn. Our previous Gini
160 genes have a lower GC than that of any of the other housekeeping genes in 25 out of 38 cases in Klijn (all
161 under 0.2) and in 26 out of 40 cases for CCLE (all under 0.22). In confirmation of this, and of the correlation
162 found above between the median expression levels in CCLE and Klijn, the GC values are also well correlated
163 with each other for the two datasets (Fig 3). Thus, although the absolute numbers are slightly larger than
164 are those for the HPA dataset (unsurprisingly, given the much larger number of examples), the trend is still
165 very clear: the GiniGenes remain the best among those variously proposed as reference genes in a variety
166 of large and quite independent datasets. It also suggests that variations in the total amount of mRNA are
167 not an issue either.

168 Another common statistical measure, more resistant to individual outliers, is the interquartile ratio (the
169 ratio between the 25th and 75th percentile when expression levels are ranked); by this measure too, the Gini
170 genes that we uncovered previously stand out as being the least varying (Fig 4 A and B). This suggests that,
171 as a measure of gene expression stability, the GC is robust: the GiniGenes have the lowest ratio between
172 their maximum and minimum expression values in the HPA dataset (Fig 4C) and also the lowest
173 interquartile ratio in their levels of expression in all three cell line data sets explored here (Fig. 4B and C)
174 with good correlation between these two datasets.

175 Use of the Gini Coefficient to find GiniGenes in an unbiased manner in cell-line data sets

176

177 Up to now, our analyses of these data sets have used a set of predefined genes to look at expression
178 stability. We next sought to investigate whether the GC would highlight genes with high expression stability
179 that have been reported by others or by ourselves when performing this analysis in a data-driven manner.
180 To that end, we found 115 genes shared between the three data sets with a $GC \leq 0.2$ (Fig. 5, 6). This value
181 for the GC was chosen since reducing this to ≤ 0.15 meant no or very few genes were found in some data
182 sets (e.g. no genes in the CCLE data set had a $GC \leq 0.15$) and going above this meant the number of genes
183 were unmanageable (e.g. 1051 genes with a $GC \leq 0.21$ in the Klijn data set). Of the 115 genes shared

184 between the datasets with GC <0.2, 13 were GiniGenes and two were housekeeping genes defined by
185 Caracausi and colleagues (Fig. 5 B). When we selected the top 20 expressing genes in each data set, only 13
186 of these were common across these data sets; Table 3 shows some descriptive statistics of 13 of these, with
187 descriptive statistics of all 115 genes found in Supplementary Table S1. Of these genes, two (HNRNPK and
188 PCBP1) are GiniGenes and one (SLC25A3) is a gene previously reported by Caracausi et al. Seven out of the
189 13 genes (HNRNPK, HNRNPC, PCBPB, SF3B1, SRSF3, EDF1 and EIF4H) here share important roles in RNA
190 transcription, translation and stability [92-100], are implicated in a number of diseases, including cancer
191 [92, 95, 101-111], and some, such as SRSF3 are essential for embryo development [112]. Given their pivotal
192 functions, it may be unsurprising that the expression of these genes are tightly regulated across cell lines of
193 different tissue origins, even where these are cancer cell lines. Overall, the distribution, expression stability
194 and important functional roles of these genes suggest that these are excellent housekeeping genes across
195 different cell types.

196 Of particular interest to us was finding one gene encoding a mitochondrial phosphate transporter protein
197 (SLC25A3 [113]) to be within this list of the top expressing stably expressed genes. This might seem logical
198 since mitochondrial ATP synthesis is required by all cell types and tissues.

199 Figure 7 shows the robustness of the GC for the subset of 115 genes common between the three data sets
200 studied here with a low GC (<0.2). Lower Gini coefficients correlate with lower IQR and Max:median ratios
201 (Fig7: only results for the Klijn data set are shown). The range of IQR values of these genes was smaller in
202 the larger two data sets (CCLE, 1.42-1.67; Klijn, 1.30- 1.64) than in the HPA data set (1.26-1.84) suggesting
203 the measured expression values were more stable in the larger data sets (Supplementary Table S1). This
204 may, however, be due to a larger number of cell lines in these two large datasets (934 and 622 in CCLE and
205 Klijn) compared with the HPA data set (56 cell lines).

206

207 [Application of the Gini coefficient to human tissue RNA-Seq data sets](#)

208

209 The results presented thus far are representative of human cell lines. Most reports in the literature
210 regarding housekeeping genes refer to tissue expression data. This may be due to the cell lines being
211 “dedifferentiated” with respect to the tissues from which they are derived [114].

212 In our previous report [1] we also analysed RNA-Seq data from tissues [90] and found 22 genes with a GC <
213 0.15, of which 3 (CHMP2A, VPS29 and PCBP1) were also found in cell line data with a GC <0.15. The median
214 expression level and GC of these and other candidate GiniGenes in this tissue data set are shown in Figure
215 8. As with cell line data, the genes we previously identified (GGs, green dots in Fig 8) have much lower GCs
216 in this tissue data set than do any of the other candidate GiniGenes, with only two of these genes (VPS29
217 and CHMP2A) identified previously by Eisenberg & Levenson [115]. The low GC value of these GiniGenes is
218 not at the expense of low expression: of the 22 GiniGenes, 13 are expressed at a median level of between
219 40 and 200 TPM (see Supplementary Table S2). Moreover, the GC was also representative of the variation
220 in expression of these genes (albeit influenced to a lesser extent by outliers), as shown in Fig. 9 A and B,
221 with all GiniGenes having a GC < 0.15 and the lowest RSD (relative standard deviation), ranging from
222 24.096 % to 28.66 % and IQR (1.26 to 1.44) of this list of housekeeping genes. The expression of other
223 housekeeping genes such as GAPDH, ACTB, RPL13A, SDHA, B2M was quite varied according to these
224 measures. For example, the GC of GAPDH (a commonly used HKG) was 0.33, with a RSD of 72.4 % and IQR
225 of 2.24, and for ACTB (another commonly used HKG) these values were 0.29, 55.24 %, and 2.11.

226 The median expression levels of the proposed reference genes show a similar level of correlation between
227 the data sets as was found with the cell line data (Figure S1 A-C), and GiniGenes displayed a mid-range level
228 of expression. The GC of the tissue GiniGenes we proposed however, tended to be higher and more
229 variable in their GC values than in the HPA dataset (Figure S2 A-C) suggesting that those genes may be
230 representative of the HPA data set only. As an example, in the GTEx dataset only 28 genes had a GC < 0.2,
231 of which the majority (17) were those reported by Caracausi and colleagues, and 7 were GiniGenes. The
232 results here are likely influenced by the number and status (disease or normal) of the tissues analysed in
233 the various data sets compared; for example, the GTEx data come from 53 different, normal human tissues,
234 whereas the HPA tissue data include a mixture of disease and normal tissue samples. In addition, compared
235 to the cell line data where hundreds (in the case of the Cancer Cell Line Encyclopedia) of cell lines were
236 analysed, the number of tissues in these data sets was fewer than 100.

237 In the case of the data set used by Eisenberg and Levanon [115], viz. the Illumina Human Body Map (E-
238 MTAB-513), 10 of the 11 housekeeping genes proposed here (which included 2 Gini Genes, CHMP2A and
239 VPS29) had a GC \leq 0.2 and were reasonably well expressed (with median expression levels between 50-270
240 TPM, see Supplementary Table S2 and Supplementary Fig S4). This may be compared to the 5 other GGs
241 with GC < 0.2 in this data set whose expression value was lower, with median expression between 19-35
242 TPM. This suggests that finding suitable HKGs may be dependent on the data set itself, and the type of
243 tissue under investigation.

244 We next sought to perform a more comprehensive and integrative analysis by filtering the tissue data sets
245 to only include genes with a GC \leq 0.2 to find common genes across these data sets with reasonable
246 expression stability (Supplementary Table S3). As shown in Fig 10 only 15 genes were shared between the
247 four data sets with a GC \leq 0.2, none of which has been reported previously as a housekeeping genes. Table
248 4 shows some descriptive statistics of these genes. In any case, the names of the proteins encoded by these
249 15 genes suggest these play important and essential roles. The median expression values of these genes
250 varied from around 10-450 TPM, with SNX3 (Sorting nexin-3 (Protein SDP3)) and COX4I1 (Cytochrome c
251 oxidase subunit 4 isoform 1) being consistently the two highest-expressing genes.

252 Sorting nexins are a group of cytoplasmic and membrane-associated proteins involved in the regulation of
253 intracellular trafficking [116]. SNX3 has been reported to play a role in receptor recycling and formation of
254 multivesicular bodies [117], and its dysregulation has been implicated in disorders of iron metabolism and
255 the pathogenesis of some neurodegenerative diseases [118, 119].

256 The COX4I gene encodes the nuclear-encoded cytochrome c oxidase subunit 4 isoform 1, the terminal
257 enzyme in the mitochondrial respiratory chain. Given the key role of the mitochondrial respiratory chain in
258 all human cells (except red blood cells), stable expression of such a gene in all tissues may not be a
259 surprising result. Increased RNA COX4I1 levels have been reported in sperm of an obese male rat model
260 [120] and thus may play a role in obesity-related fertility problems, and reduced expression of this subunit
261 leads to a reduction in mitochondrial respiration as well as sensitising cells to apoptosis [121].

262 The small number of genes shared between these data sets with a GC < 0.2 indicates that the data in these
263 studies are more variable compared to cell lines alone. The cause of this variation may be due to the tissue
264 data having been obtained from different subjects [122]. Moreover, tissues are themselves a mixture of cell
265 types with varying levels of gene expression in each cell type [123], while cell lines are nominally clonal.

266 Our results suggest that in the case of RNA-seq tissue data sets, where gene expression tends to be more
267 variable, an unbiased approach, using the Gini coefficient, may be more fruitful in the search for stably

268 expressed genes with which to perform normalisation, than the other commonly used methods used until
269 now [122, 124].

270 RT-qPCR analysis of gene expression stability of some housekeeping genes in 10 cell lines

271

272 In order to illustrate the utility of the GC to find suitable housekeeping genes, we next chose to assess this
273 experimentally by RT-qPCR using a small subset of candidate reference genes (40; top 32 genes from genes
274 ordered by GC and expression value from [91], plus 8 of the most commonly used from the literature,
275 including seven from [63] and one (RPL32) from [125][126], and 10 cell lines from a range of tissues (see
276 Table 5 and 6). We first set a Cq value (which is inversely proportional to expression level) cut-off of 32,
277 above which no expression is observed, and subsequently used the Cq values of genes in cell lines as a
278 relative expression level (Cq cut off/Cq value of gene). Descriptive statistics of the expression of each gene
279 in individual cell lines were then calculated. As a final step, the median expression value of each gene in
280 individual cell lines was used to calculate descriptive statistics, including the GC, of gene expression across
281 these cell lines. Figure 11 illustrates a KNIME workflow [127-129] that we wrote for this purpose. The raw
282 data and descriptive statistics extracted are provided in Supplementary Tables S5 and S6 respectively, and
283 the KNIME analysis workflow in Supplementary File 1.

284 Fig 12 uses RT-qPCR data to plot the GC of the candidate reference genes analysed here versus their
285 relative median expression level. Three GiniGenes [91] (RBM45, TRNT1 and CNOT2) had very low and
286 variable expression. Most of the other genes analysed showed low GC values with a range of (relative)
287 expression values; the inset in Figure 12 shows genes with a GC < 0.2 including a mix of 35 genes: 26
288 GiniGenes and 6 housekeeping genes referenced by Vandesompele and colleagues [63], one referenced by
289 Caracausi [130] and one by Lee et al [131]. Two of these GiniGenes, HNRNPK and PCBP1, which we also
290 found to be stably expressed in the cell line data suggesting these may be potential stable housekeeping
291 genes. As shown in Figure 13 and inset, the GC is well correlated with the % RSD.

292 More importantly, the GC of our GiniGenes was particularly low (Fig 12). The low absolute magnitude
293 reflected the fact that Cq value is based on a logarithmic scale. Various commonly used housekeeping
294 genes (HPRT1, GAPDH, ACTB, SDHA, HMBS and B2M) displayed higher % RSDs and GC than other genes
295 studied here in spite of their higher relative expression levels. This was also the case when inspecting the
296 interquartile ratio against the GC of these (Figure S3).

297 The above results suggest that the GC is also applicable to RT-qPCR data, with GiniGenes having good
298 potential (as novel “housekeeping” genes) for the normalisation of such data.

299

300 Discussion

301

302 Reference genes are commonly used to normalise gene expression data, so as to account for bias resulting
303 from both biological and technical variability, and to enable quantification of gene expression changes or
304 differences in the system under study. It is generally considered that such reference genes should come
305 from pathways that are required for general metabolism, using only one gene per 'pathway' to avoid co-
306 regulation which might make the gene expressions look very stable.

307 Such reference genes are commonly referred to as 'housekeeping' genes (HKGs) because they are
308 considered to participate in essential cellular functions, are ubiquitously expressed in all cells and tissue
309 types, and their expression is considered to be stable [46-63]). A number of such genes have been
310 proposed over the years, and genes such as GAPDH, ACTB, RPL13A, SDHA, B2M are frequently used in such
311 studies [63]. However, the expression levels of these and other proposed HKGs have in fact been shown to
312 vary widely between cells and tissues (e.g. [50, 59, 62, 64-76]) and their expression has also been reported
313 to be affected by a number of factors relating to the experiment such as cell confluence [132], pathological,
314 experimental and tissue specific conditions [133]. As highlighted by Huggett *et al.* [134], despite the reports
315 of the potential variability of expression of 'classic' reference genes such as GAPDH and ACTB, these are
316 still used without mention of any validation processes. Our GiniGenes are selected as reference genes
317 through different, data-driven, criteria.

318 Various tools have been developed to evaluate and screen reference genes from experimental datasets;
319 these include geNorm [63], NormFinder [135], Best Keeper [136] and the comparative Δ CT finder [49].
320 RefFinder (<http://leonxie.esy.es/RefFinder/#>) and RefGenes can integrate these to enable a comparison
321 and ranking of any tested candidate reference genes [137].

322 These tools assess expression stability of genes in different ways:

- 323 • geNorm determines gene stability through a stepwise exclusion or ranking process followed by
324 averaging the geometric mean of the most stable genes from a chosen set. Python implementation:
325 <https://eleven.readthedocs.io/en/latest/>
- 326 • BestKeeper also uses the geometric mean but using raw data rather than copy numbers.
327 BestKeeper [136] can be used as an Excel-based tool. It can accommodate up to 10 housekeeping
328 genes in up to 100 biological samples. Optimal HKGs are determined by pairwise correlation
329 analysis of all pairs of candidate genes, and the geometric mean of the top ranking ones.
330 <http://www.gene-quantification.info>
- 331 • NormFinder measures variation, and ranks potential reference genes between study groups.
332 NormFinder [135] has an add-in for Microsoft Excel and is available as an R programme. It
333 recommends analysis of 5-10 candidate genes and at least 8 samples per group.
334 <https://moma.dk/normfinder-software>
- 335 • The comparative Δ CT finder requires no specialist programmes since this involves comparison of
336 comparisons of Δ CTs between pairs of genes to find a set of genes that show least variability.
- 337 • RefGenes allows one to find genes that are stably expressed across tissue types and experimental
338 conditions based on microarray data, and a comparison of results from geNorm, NormFinder and
339 Best Keeper to find a set of reference genes. However, this is not a free service unless one searches
340 for one gene at a time. Furthermore, the site for this tool is no longer available. Moreover, all these
341 tools require the user to make a prior selection of such HKGs (introducing bias and potential errors)
342 and most are cumbersome to understand and calculate.

343 We have here shown how via a simple calculation, the GC, we can find potential reference genes, and
344 illustrated its utility in large-scale cell-line, tissue RNA-Seq data sets and RT-qPCR data. The expression of a
345 number of classical HKGs from a number of carefully selected publications do in fact vary much more
346 substantially between large RNA-Seq data sets, both for tissues and cell lines.

347 Whilst not all studies will involve large data sets such as those we have analysed here, the GC should also
348 be of use for smaller-scale studies to select a subset of genes in a panel of cell lines or tissues relevant to
349 the study in question.

350 Overall we find that (i) two of these genes, HNRNPK and PCBP1, seemed to be particularly robustly and
351 stably expressed at reasonable levels in all cell lines studied, and (ii) a data-driven strategy based on the GC
352 represents a useful and convenient method for normalisation in gene expression profiling and related
353 studies.

354

355

356 **Methods**

357

358 The datasets used are described and referenced below. The data, in transcripts per million (TPM) units
 359 were downloaded from the EBI expression atlas as a .tsv file. As previously [1], the Gini Index was
 360 calculated using the **ineq** package (Achim Zeileis (2014). **ineq**: Measuring Inequality, Concentration, and
 361 Poverty. R package version 0.2-13. <https://CRAN.R-project.org/package=ineq>) in R ([https://www.R-](https://www.R-project.org/)
 362 [project.org/](https://www.R-project.org/)). These calculations were incorporated into KNIME via KNIME's R integration *R Snippet* node. A
 363 spreadsheet giving the extracted analyses is provided as supplementary tables (Tables S7 and S8).

364 **Table 1. Studies used for assessing proposed stable reference genes.**

Study short name	Comments	Reference
GiniGene	Study presenting novel potential housekeeping genes in cells and tissues from the HPA project cell and tissue RNASeq data.	[1]
geNorm or Vandesompele	Classic set of reference genes in tissues and a means of analysing them	[63]
Eisenberg	Very detailed analysis of housekeeping/reference genes in tissues using the Illumina Body Map study of RNA-seq of 16 Human Tissues . E-MTAB-513.	[46]
Lee	Two novel reference genes from a detailed analysis of 281 normal tissue samples from 17 different organs then compares between disease states m and cell lines .	[131]
Caracausi	646 expression profile data sets from 54 different human tissues .	[62]

365

366 **Table 2. Studies used for expression profiling data.**

Dataset short name	Comments	Reference
HPA	RNA-seq-based dataset from the Human Protein Atlas group. Two data sets available: one of 19,628 protein coding genes in 56 cell lines (HPA_C) and another of 19,613 protein coding genes in 59 tissues (HPA_T).	[90, 91, 138]
CCLE	RNA-seq-based dataset (Cancer Cell Line Encyclopedia) of 58,035 genes in 934 human cancer cell lines (downloaded from EBI Expression Atlas E-MTAB2770).	[139]
Klijn / Genentech	RNA-seq-based analysis of 57,711 genes in 622 human cancer cell lines (downloaded from EBI Expression Atlas E-MTAB-2706).	[140]
GTEx	RNA-Seq data of 46,711 genes in 53 human tissue samples from the	[141]

	Genotype-Tissue Expression (GTEx) project (downloaded from EBI Expression Atlas E-MTAB-5214).	
PCAWG	RNA-Seq of 46,816 genes in 76 tissues , cancer and normal, from The International Cancer Genome Project: Pan Cancer Analysis of Whole Genomes ((downloaded from EBI Expression Atlas E-MTAB-5200).	Unpublished, may be subject to publication embargo until July 25 th , 2019 https://dcc.icgc.org/pcawg
HBM	Illumina Body Map: RNA-seq of 16 Human Tissues . E-MTAB-513. Used by Eisenberg and colleagues in their analysis of housekeeping/ reference genes in tissues .	[46]

367

368 Cell lines and culture conditions

369 A panel of 10 cell lines were grown in appropriate growth media: K562, PNT2 and T24 in RPMI-1640 (Sigma,
370 Cat No. R7509), Panc1 and HEK293 in DMEM (Sigma, Cat No. D1145), SH-SY5Y in 1:1 mixture of DMEM/F12
371 (Gibco, Cat No. 21041025), J82 and RT-112 in EMEM (Gibco, Cat No. 51200-038), 5637 in Hyclone McCoy's
372 (GE Healthcare, Cat No. SH30270.01) and PC3 in Ham's F12 (Biowest, Cat No. L0135-500). All growth media
373 were supplemented with 10 % fetal bovine serum (Sigma, Cat No. f4135) and 2 mM glutamine (Sigma, Cat
374 No. G7513) without antibiotics. Cell cultures were maintained in T225 culture flasks (Star lab, CytoOne Cat
375 No. CC7682-4225) kept in a 5% CO₂ incubator at 37°C until 70-80 % confluent.

376 Harvesting Cells for RNA Extraction

377 Cells from adherent cell lines were harvested by removing growth media and washing twice with 5 mL of
378 pre-warmed phosphate buffered saline (PBS) (Sigma, Cat No. D8537), then incubated in 3 mL of 0.025%
379 trypsin-EDTA solution (Sigma Cat No. T4049) for 2-5 min at 37 °C. At the end of incubation cells were
380 resuspended in 5-7 mL of respective media when cells appeared detached to dilute trypsin treatment. The
381 cell suspension was transferred to 15 mL centrifuge tubes and immediately centrifuged at 300 x g for 5 min.
382 Suspended cell lines were centrifuged directly from cultures in 50 mL centrifuge tubes and washed with PBS
383 as above. The cell pellets were resuspended in 10-15 mL media and cell count and viability was determined
384 using a Nexcellom Cellometer Auto 1000 Cell Viability Counter (Nexcellom Bioscience) set for Trypan Blue
385 membrane exclusion method. Cells with >95 % viability were used for downstream total RNA extraction.

386 RNA Extraction

387 Total RNA was extracted from 2-5 X 10⁶ cells using the Qiagen RNeasy Mini Kit (Cat No. 74104) and DNase
388 treated using Turbo DNA-free kit (Invitrogen, Cat No. AM1907) according to the manufacturer's
389 instructions. Briefly, 1 X DNA buffer was added to the extracted RNA prior to adding 2U (1 µL) of DNase
390 enzyme. The reaction mixture was incubated at 37°C for 30 min and inactivated for 2 min at room
391 temperature using DNase inactivating reagent. The mixture was centrifuged at 10,000 x g for 1.5 min and
392 the RNA from the supernatant was transferred to a clean tube. The RNA concentration was determined
393 using a NanoDrop® ND-1000 spectrophotometer and further validated using an Agilent 2100 bio-analyser
394 coupled with 2100 Expert software system. Only RNA samples with an RIN (RNA Integrity Number) between
395 9-10 were selected for cDNA synthesis.

396

397

398 Reverse Transcription and cDNA Synthesis

399 1 µg of RNA was reverse transcribed into cDNA. Briefly, a 20 µL reaction was setup by adding 1 µL each of
400 oligodT (50 µM, Invitrogen, cat No. 18418020) and dNTP mix (10 mM, Invitrogen, Cat No. 18427-013)
401 followed by adding an appropriate volume for 1 µg of RNA. Nuclease free water (Ambion, Cat No. AM9937)
402 was then added to make the volume up to 13 µL and incubated at 65°C for 5 min then cooled on ice for
403 1min. To initiate transcription 4 µL of 5 X first strand buffer (Invitrogen, Cat No. 1889832) and 1 µL each of
404 0.1 M DTT (Invitrogen, Cat No. 1907572), RNaseOUT™ (Invitrogen, Recombinant RNase Inhibitor, Cat No.
405 1905432) and SuperScript™ III RT (200 units/µL, Invitrogen, Cat No. 1685475) reverse transcriptase enzyme
406 were added, mixed gently then incubated at 50°C for 60 min followed by inactivation at 70°C for 15 min.
407 The cDNA was diluted 1:100 to be used in RT-qPCR experiment.

408

409 Validation of gene expression by geNorm

410 A set of candidate reference genes (40; top 32 genes from genes ordered by GC and expression value from
411 [91], plus 8 of the most commonly used from the literature including seven from [63]). RNAseq data were
412 selected for validation of stable gene expression using geNorm [63]. First, a typical qPCR protocol was
413 prepared from a master mix for each gene to be tested per cell line in triplicate. This consisted of 10
414 µL/well made by adding 0.8 µL of nuclease free water (Ambion), 5 µL of LC480 SYBR Green I Master (2 X
415 conc. Roche, Product No. 04887352001), 0.1 µL each of forward and reverse primers (20 µM) (for primer
416 and amplicon sequences see Supplementary Table S9) and 4 µL of 1:100 diluted cDNA in a 384 well qPCR
417 plate (Starlab Cat. No. E1042-9909-C). The no template controls (NTC) for each gene were produced by
418 replacing cDNA with 4 µL of nuclease free water. Thermal cycling conditions used were: one cycle of 95°C
419 for 10 min followed by 40 cycles of 95°C for 10 sec and 60°C for 30 sec. qPCR was performed using Roche
420 LightCycler LC480 qPCR platform. The fluorescence signals were measured in real time during amplification
421 cycle (Cq) and also during temperature transition for melt curve analysis.

422 The mean Cq values were converted into relative values for a gene across all cell lines using ΔCq method
423 [142]. Briefly, the lowest Cq value in a panel of cell lines for a gene was subtracted from all the values in
424 that panel using the equation: $R = 2^{(C_{q_{sample}} - C_{q_{control}})}$, where $C_{q_{sample}}$ is the mean Cq value obtained for
425 a gene in each of the cell lines and $C_{q_{control}}$ is the lowest Cq value in that panel. The relative values for each
426 gene in a panel were then obtained by applying $R = 2^{-\Delta Cq}$. These relative values were applied in geNorm
427 Visual Basic applet for Microsoft Excel® [63] that determines the most stable reference genes from a set of
428 genes in a given panel of cell lines.

429

430 Validation of gene expression using the Gini coefficient.

431

432 To the raw RT-qPCR data a Cq value (which is inversely proportional to expression level) cut-off of 32 was
433 set, above which no expression is observed. The Cq values of genes in cell lines were subsequently
434 converted to a relative expression level (Cq cut off/Cq value of gene). Descriptive statistics of the
435 expression of each gene in individual cell lines were then calculated. As a final step, the median expression
436 value of each gene in individual cell lines was used to calculate descriptive statistics, including the GC, of
437 gene expression across these cell lines. Figure 11 illustrates a KNIME workflow [127-129] for this purpose.
438 The raw data and descriptive statistics extracted are provided in Supplementary Tables S5 and S6
439 respectively, and the KNIME analysis workflow in Supplementary File 1.

440

441 **Declarations**

442 **Ethics approval and consent to participate**

443 Not applicable.

444 **Consent for publication**

445 The PCAWG data is under embargo until the WGS pan-cancer consortium publishes its marker paper or
446 until July 25, 2019, whichever is earlier. Methodology papers may be published prior to this embargo, with
447 agreement from the full scientific working group. We have been in email contact with
448 jennifer.jennings@oicr.on.ca who asked that we advise the editor to wait until the July 25 embargo lift.

449 **Availability of data and materials**

450 All data generated or analysed during this study are included in this published article (and its
451 supplementary information files). The original datasets used are referenced throughout and are
452 summarised in Table 2.

453 **Competing interests**

454 The authors declare that they have no competing interests.

455 **Funding**

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457 **Authors' contributions**

458 D.B.K. highlighted the utility of the GC as shown in [1]. M.W.M. adapted the Gini method and analyses
459 workflows developed by S.O. from [1] and performed most of the analyses that were done using KNIME.
460 P.J.D. contributed in particular to the analysis of the housekeeping genes. F.M. performed the RT-qPCR
461 analyses. All authors contributed to the writing and approval of the manuscript.

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464 NNF10CC1016517) for financial support. for financial support.

465

466 **Legends to figures**

467

468 Fig 1. Graphical indication of the means by which we calculate the Gini coefficient.

469 Fig 2. Gini coefficient and median expression levels of proposed reference genes in the HPA cell-line
470 dataset. **A.** GC versus median expression level of HPA dataset. **B.** Median expression levels of CCLE vs HPA
471 datasets. Line of best linear fit (in log space) shown is $y = 0.991 + 0.827 X$ ($r^2=0.606$). **C.** Median expression
472 levels of CCLE vs Klijn datasets. Line of best linear fit (in log space) shown is $y = 0.998 + 0.804 X$ ($r^2=0.593$).
473 Colour coding: red, GeneGini reference genes; blue Eisenberg & Levenson; yellow Vandesompele; green
474 Lee; lilac both GeneGini and Eisenberg and Levenson.

475 Fig 3. Gini coefficient of candidate reference genes in CCLE and Klijn/Genentech cell-line datasets. Left
476 panel shows all proposed housekeeping genes considered in this study, with the right panel showing labels

477 of those genes with a GC < 0.25. The line of best fit is $y = -0.171 + 0.829x$ ($r^2 = 0.909$). Colour code as in Fig
478 2.

479 Fig 4. Robustness of the Gini coefficient. **A.** IQR of different genes in Klijn/Genentech vs HPA cell-line
480 dataset. Left panel shows all genes considered in this study, with right panel showing genes with IQR < 2 in
481 both datasets. Line of best linear fit (in log space) shown is $y = 0.01 + 1.11 X$ ($r^2=0.937$) **B.** IQR of different
482 genes in CCLE vs HPA cell-line dataset. Left panel shows all genes considered in this study, with right panel
483 showing genes with IQR < 2 in both datasets. Line of best linear fit (in log space) shown is $y = 0.04 + 0.99 X$
484 ($r^2=0.930$). **C.** Max:Mean vs Min expression levels in HPA data set. Colour code as in Fig 2.

485 Fig 5. Shared and unique genes in HPA, CCLE and Klijn/Genentech cell-line data sets. **A.** Genes with a GC <
486 0.2 **B.** Housekeeping genes in Table 2 with GC < 0.2.

487 Fig. 6. GC vs Median for 115 genes in **A.** HPA, **B.** CCLE and **C.** Klijn/Genentech cell-linedata sets. Colour
488 coding: Blue, Caracausi; Green, GeneGini reference genes; Grey, neither. Shape coding: Circle, other;
489 Triangle, SLC coding gene.

490 Fig. 7. Robustness of GC for finding stably expressed genes using shared genes between HPA, CCLE and
491 Klijn/Genentech cell-line data sets with GC < 0.2. Shown are the results for the Klijn/Genentech dataset **A.**
492 IQR vs GC, **B.** Max:Mean vs Min. Colour coding: Blue, Caracausi; Green, GeneGini reference genes; Grey,
493 neither. Shape coding: Circle, other; Triangle, SLC coding gene.

494 Fig 8. Gini coefficient and median expression levels of proposed reference genes in the HPA tissue dataset.
495 Colour coding: blue, Caracausi; purple, Eisenberg and Levenson; green, GeneGini reference genes; yellow,
496 both GeneGini and Eisenberg and Levenson; orange, Lee; black, Vandesompele.

497 Fig 9. Robustness of the Gini coefficient in the HPA tissue data set. **A.** RSD versus Gini coefficient of
498 candidate reference genes. Line of best linear fit (in log space) shown is $y = 2.45 + 1.24 X$ ($r^2=0.938$) **B.** IQR
499 versus Gini coefficient of candidate reference genes. Line of best linear fit (in log space) shown is $y = 0.87 +$
500 $0.96 X$ ($r^2=0.566$). Colour code as in Fig 8.

501 Fig 10. UpSetR [143] plot showing genes with a GC <0.2 that are variously shared and unique across the
502 PCAWG, HBM, GTEx and HPA tissue data sets. The data underpinning this plot can be found in
503 Supplementary Table S4

504 Fig 11. The KNIME workflow described here to calculate descriptive statistics and the gini coefficient from
505 RT-qPCR data. This workflow can be adapted for use with large RNA-Seq Data sets.

506 Fig 12. Gini coefficient and median expression levels of candidate reference genes assessed by RTqPCR. Left
507 panel shows all genes considered in this study, with right panel showing genes with GC < 0.2. Colour coding:
508 green, GeneGini reference genes; red, both GeneGini and Caracausi reference genes; yellow, GeneGini and
509 Eisenberg and Levenson; orange, Lee, yellow; black, Vandesompele; purple, Zhang and Kriegova.

510 Fig 13. Robustness of the Gini coefficient in assessed experimentally by RT-qPCR using a small subset of
511 proposed reference genes. Left panel shows Gini coefficient vs % RSD for all genes considered in this study,
512 with right panel showing the same with genes with a GC < 0.2 and % RSD < 10. Line of best linear fit shown
513 is $y = 0.002 + 0.004x$ ($r^2=0.988$). Shape coding as in Fig 12.

514 Supplementary Fig S1. Comparison of median expression levels of proposed reference genes between
515 tissue datasets. A. HBM vs HPA tissue datasets. Line of best linear fit (in log space) shown is $\log_{10}y = 0.35 +$

516 (0.74 $\log_{10}(x)$) ($r^2=0.472$). B. PCAWG vs HPA tissue dataset. Line of best linear fit (in log space) shown is
517 $\log_{10}y = 0.46 + (0.73 \log_{10}(x))$ ($r^2=0.500$). C. GTEx vs HPA Tissue. Line of best linear fit (in log space) shown is
518 $\log_{10}y = 0.45 + (0.68 \log_{10}(x))$ ($r^2=0.429$). Colour coding: blue, Caracausi reference genes; purple, Eisenberg
519 & Levenson; green, GeneGini; yellow, both GeneGini and Eisenberg and Levenson; orange, Lee; black,
520 Vandesompele.

521 Supplementary Fig S2. Comparison of Gini coefficient of proposed reference genes between tissue
522 datasets. A. HBM vs HPA tissue datasets. Line of best linear fit (in log space) shown is $\log_{10}y = -0.20 + (0.62$
523 $\log_{10}(x))$ ($r^2=0.392$). B. PCAWG vs HPA tissue dataset. Line of best linear fit (in log space) shown is $\log_{10}y = -$
524 $0.15 + (0.59 \log_{10}(x))$ ($r^2=0.560$). C. GTEx vs HPA Tissue. Line of best linear fit (in log space) shown is $\log_{10}y =$
525 $0.22 + (0.59 \log_{10}(x))$ ($r^2=0.388$). Colour coding as in Fig S1.

526 Fig S3. Robustness of the Gini coefficient assessed experimentally by RT-qPCR using a small subset of
527 proposed reference genes illustrated with Gini coefficient vs IQR. Left panel shows all 40 genes in Table 6,
528 with right panel showing genes with a GC < 0.2. Colour coding: green, GeneGini reference genes; red, both
529 GeneGini and Caracausi reference genes; yellow, GeneGini and Eisenberg and Levenson; orange, Lee,
530 yellow; black, Vandesompele; purple, Zhang and Kriegova.

531

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533

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549 Supplementary Table S3. Descriptive statistics of common and unique genes across tissue data sets with a
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551 Supplementary Table S4. Data underpinning UpSetR [143] plot in Figure 10 showing genes with a GC < 0.2
552 that are variously shared and unique across the PCAWG, HBM, GTEx and HPA tissue data sets.

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558 refers to SLC, ABC or Other respectively.

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560 refers to SLC, ABC or Other respectively.

561 Supplementary Table S9. Primer and amplicon sequences of candidate reference genes used to assess
562 expression stability experimentally by RT-qPCR. Included are the Gini coefficient and median expression
563 level as found in the HPA cell-line data set. S/A/O refers to SLC, ABC or Other respectively.

564 **Supplementary Files**

565

566 Supplementary File 1. KNIME workflow [127-129] that we have written to calculate descriptive statistics,
567 including the GC, of gene expression across cell lines to assess of expression stability of candidate reference
568 genes by RT-qPCR.

569

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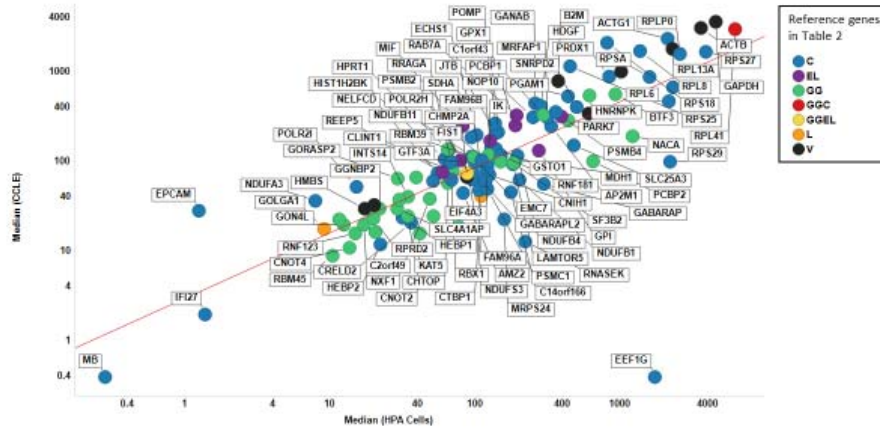
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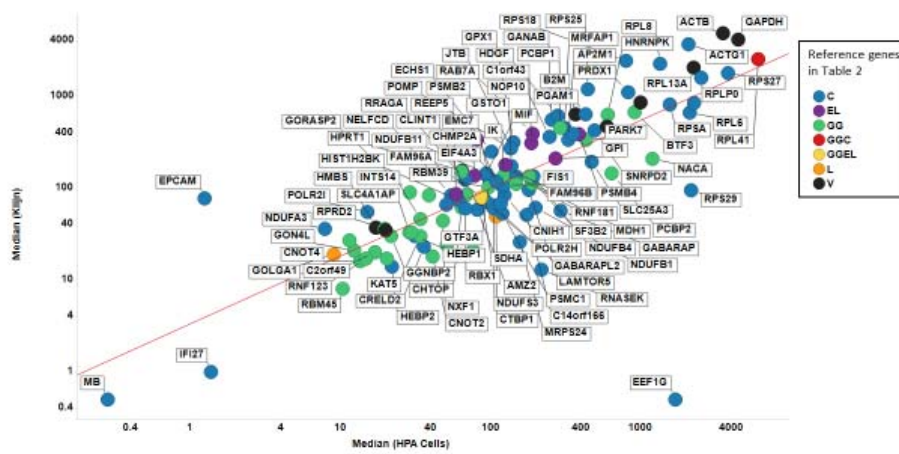
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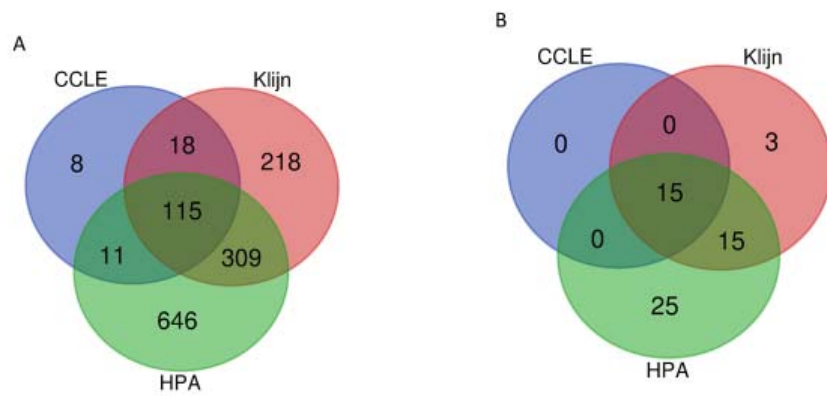
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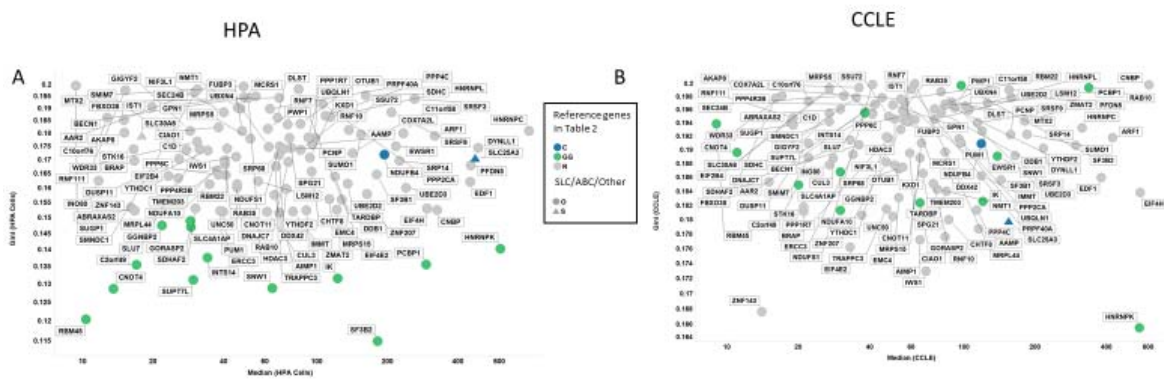
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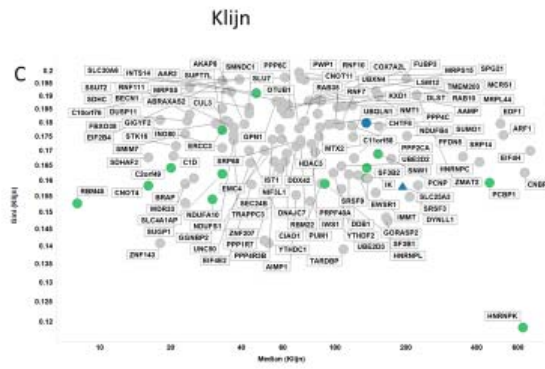
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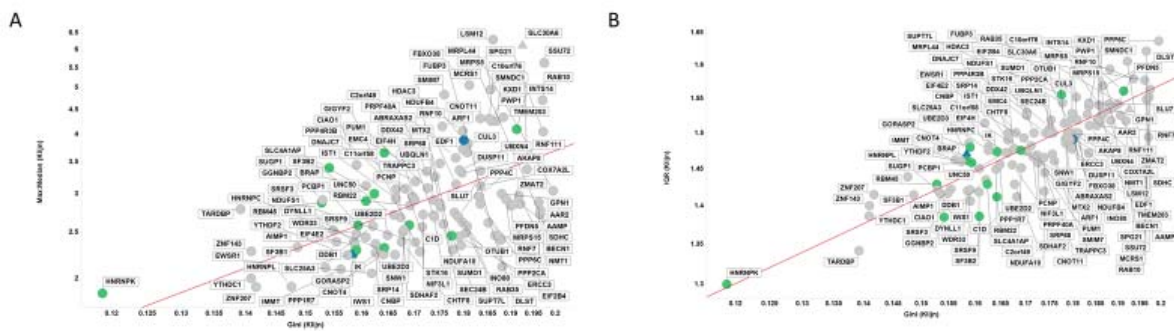
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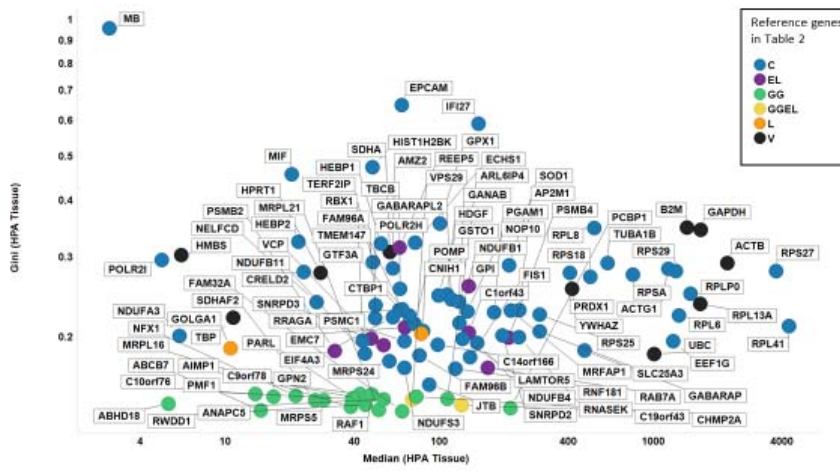
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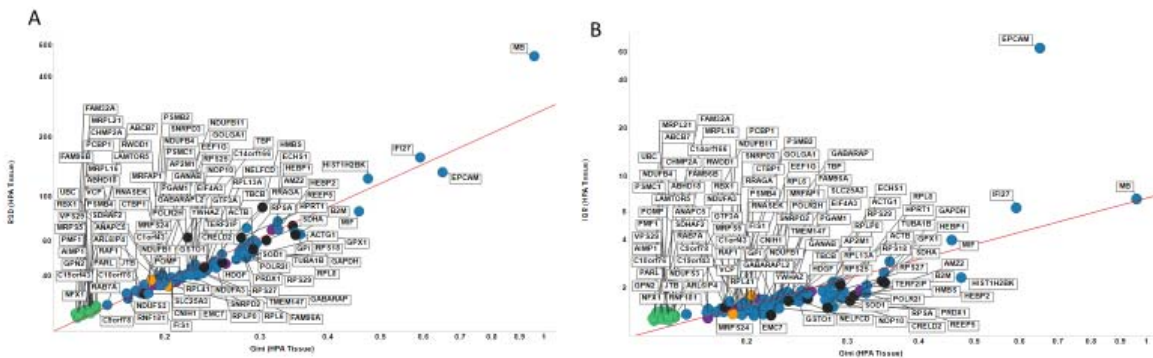
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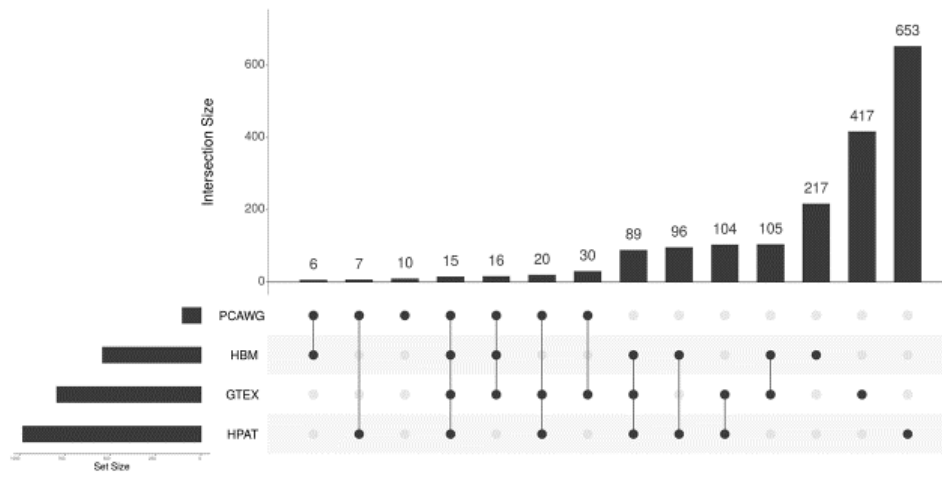
929

9



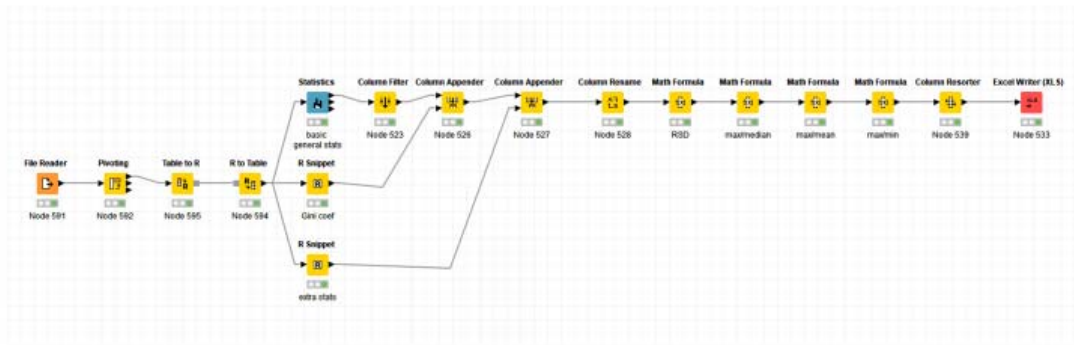
930

10



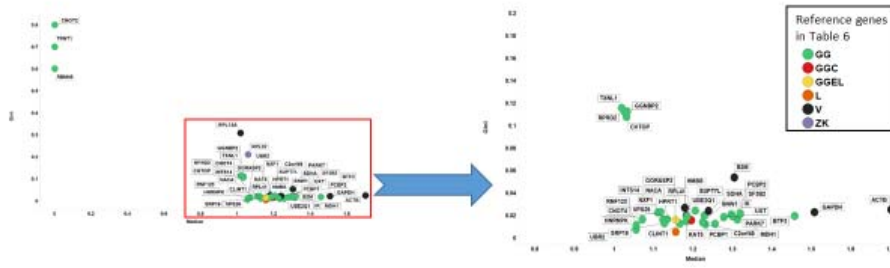
931

11



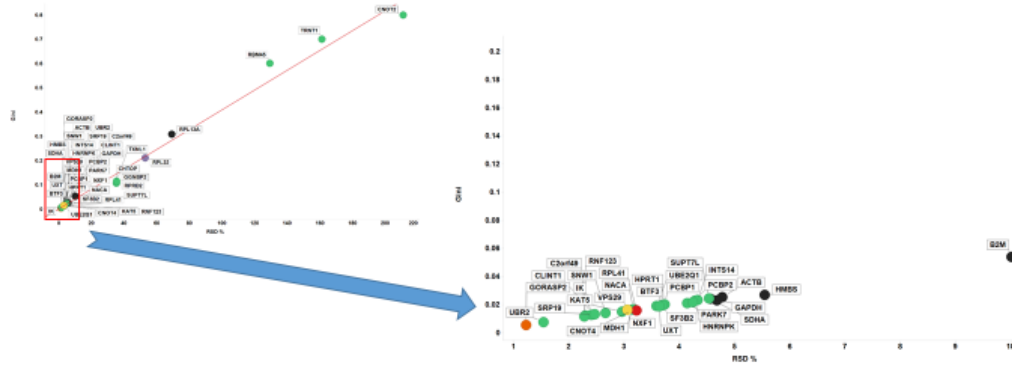
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12



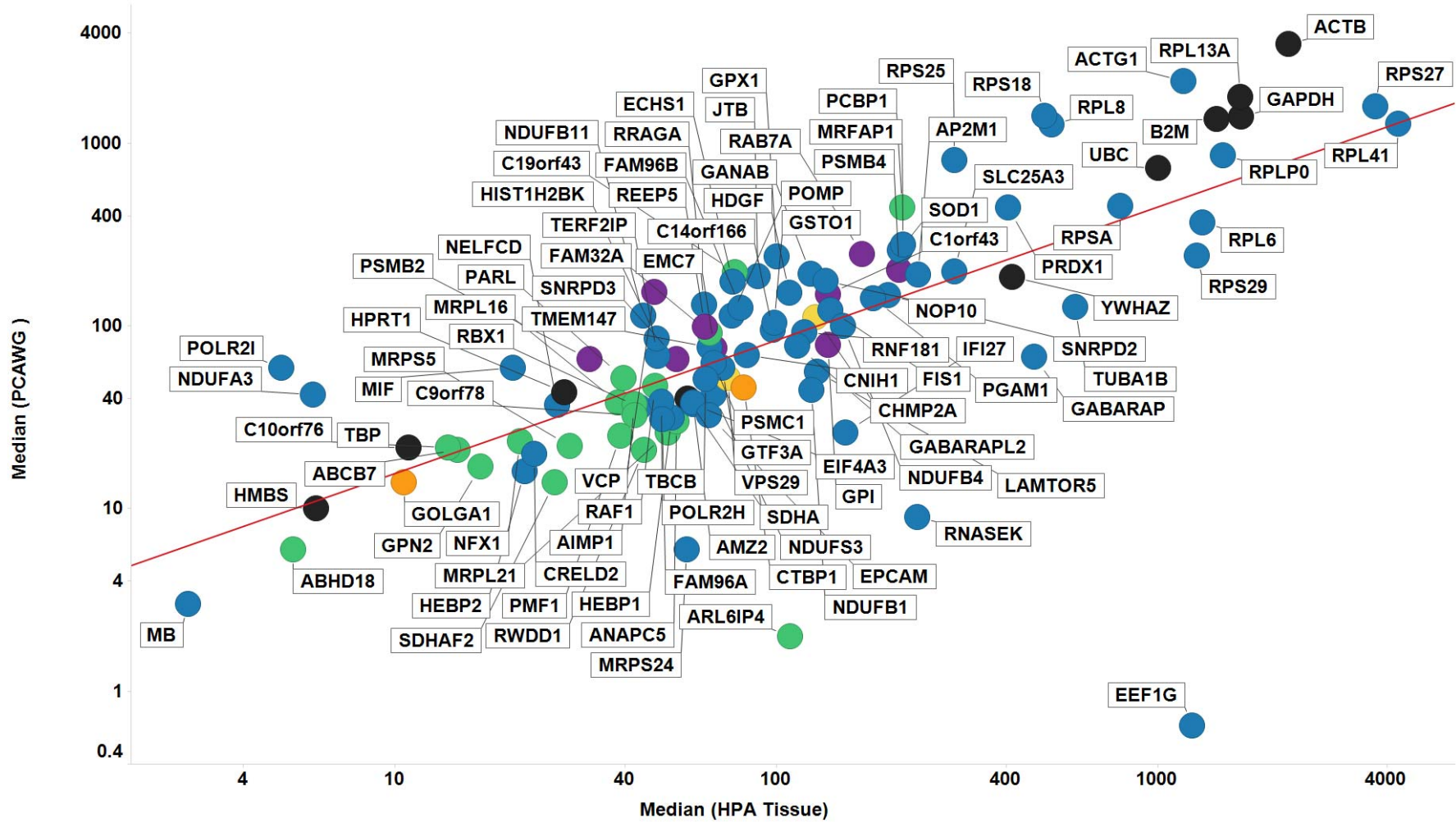
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13

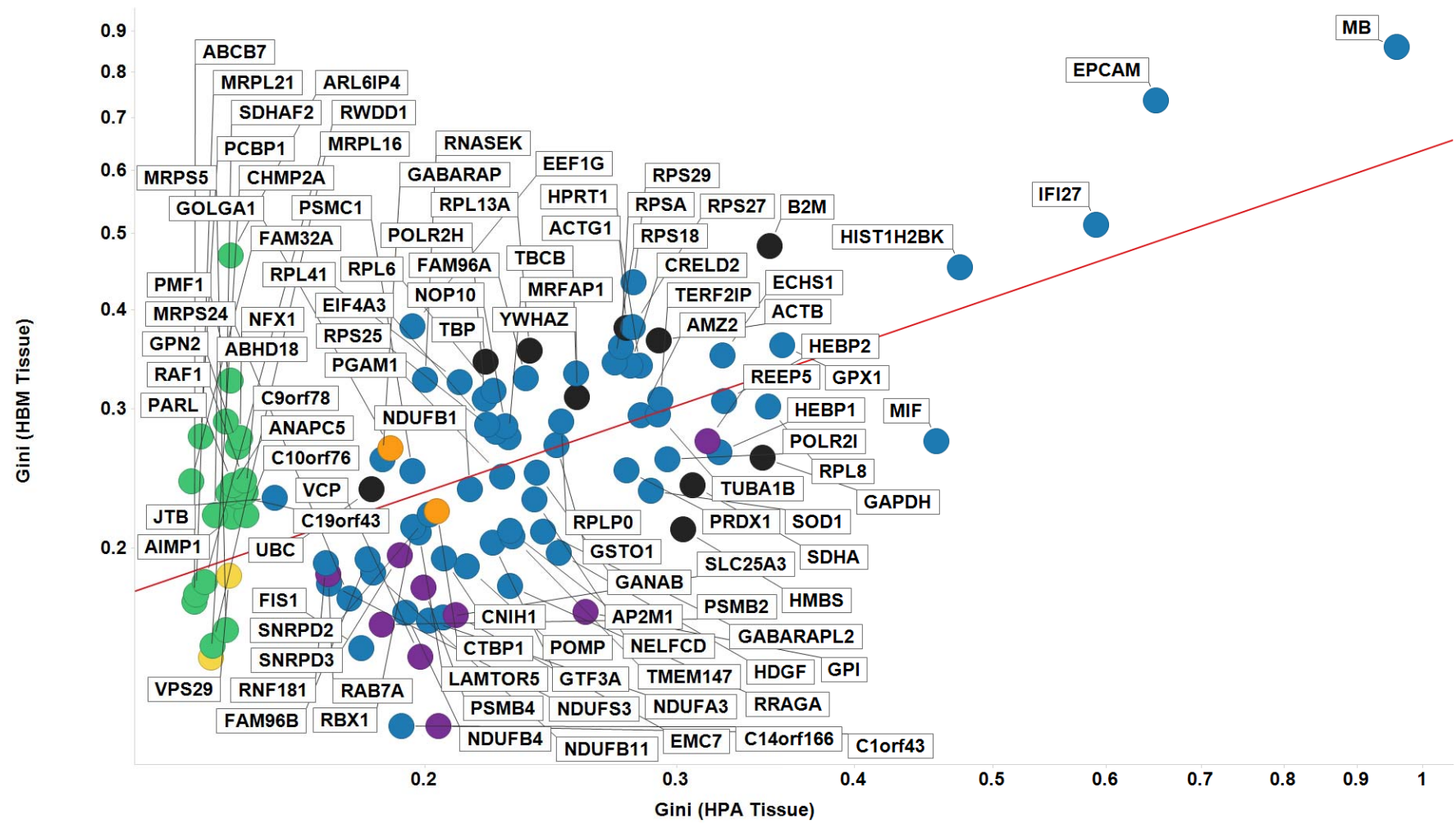


934

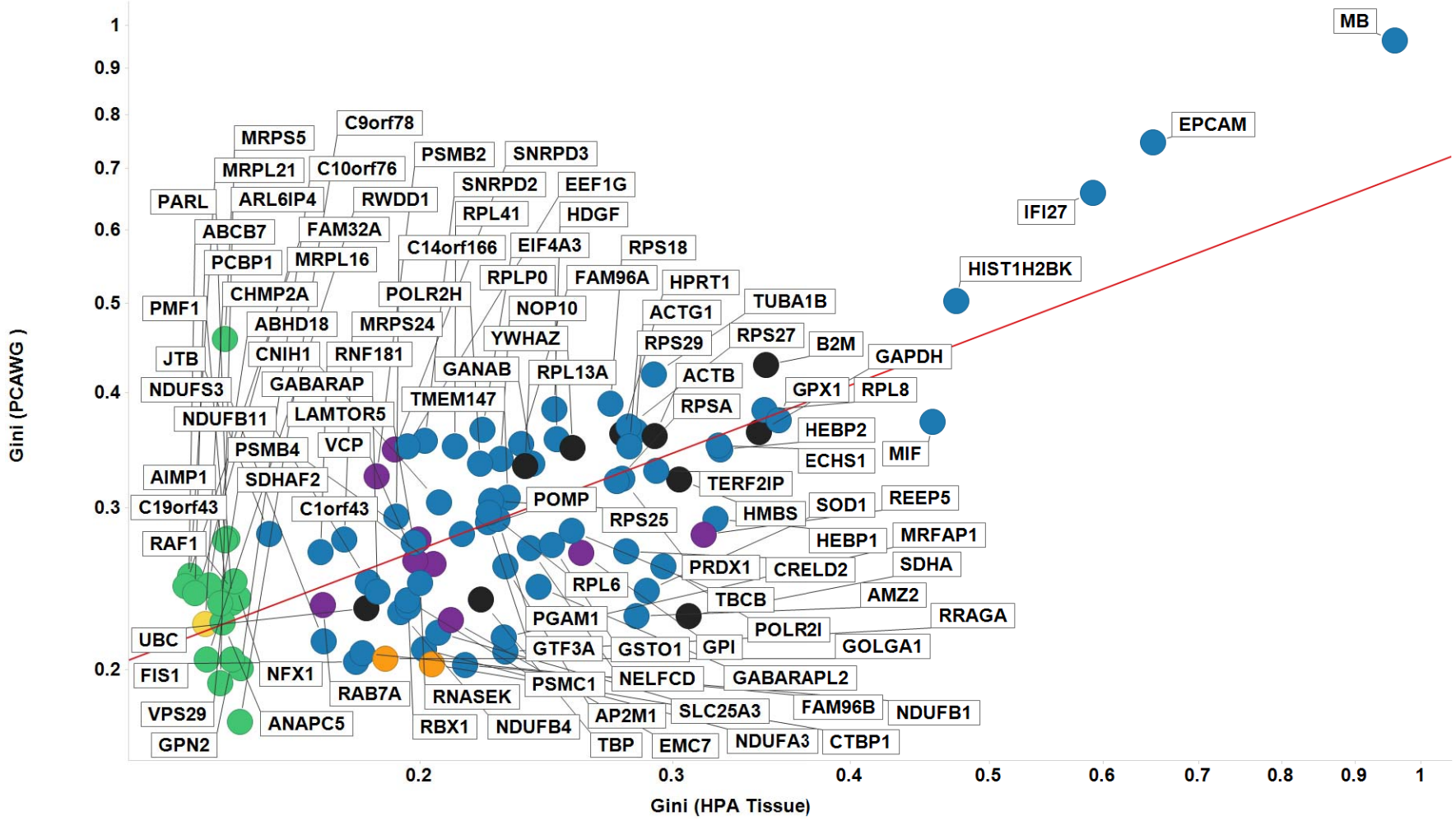
1 B



2 A



2 B



2 C

