Spontaneous polyploids and antimutators compete during the evolution of mutator cells

Maxwell A. Tracy, Mitchell B. Lee, Brady L. Hearn, Ian T. Dowsett, Luke C. Thurber, Jason Loo, Anisha M. Loeb, Kent Preston, Miles I. Tuncel, Niloufar Ghodsian, Anna Bode, Thao T. Tang, and Alan J. Herr*

Department of Pathology, University of Washington, Seattle, Washington 98195

* Corresponding author

E-mail: alanherr@uw.edu

Abstract

DNA polymerase (Pol) proofreading and mismatch repair (MMR) defects produce "mutator" phenotypes capable of driving tumorigenesis. "Ultra-mutated" cancers with defects in both pathways exhibit an explosive increase in point mutation burden that appears to reach an upper limit of ~250 mutations/Mb, which may be evidence for negative selection. Simultaneous defects in proofreading and MMR drive error-induced extinction (*EEX*) of haploid yeast. Some yeast mutants escape extinction through antimutator mutations that suppress the mutator phenotype. We report here that other yeast mutants escape by spontaneous polyploidization. We sought to compare these two adaptive strategies during the evolution of haploid and diploid mutators. We first evolved 89 independently isolated haploid mutator strains with mutation rates an order of magnitude below the lethal threshold. Polyploid cells overtook nearly every culture. In a similar manner, we evolved strong diploid mutators, but found only a single tetraploid emerged after ~250 cellular generations. Antimutators dominated these late passage cultures and were present after only 30-40 cellular generations. Whole genome sequencing of independent isolates from the same culture allowed us to construct phylogenetic trees that revealed that a single subclone swept through each culture carrying multiple antimutator mutations affecting the mutator polymerase. Variation in mutation rate between subclones from the same culture suggests some strains carry additional antimutator alleles in other genes. Our findings in diploid mutator yeast suggest that mutator-driven cancer cells may also adapt to the threat of extinction by accumulating multiple antimutator alleles that cooperatively reduce mutation rate to tolerable levels.

Author summary

"Mutator" tumor cells that cannot correct DNA replication errors exhibit an extremely high mutation rate that accelerates their evolution. But this gamble puts them at risk for extinction

due to the accumulation of harmful mutations. Mutator yeast cells escape extinction through

"antimutator" mutations that lower mutation rate. We discovered that yeast cells also adapt by

duplicating their genome. To understand which adaptation may emerge in mutator-driven

tumors, we evolved haploid and diploid mutator yeast cells by long-term propagation. Haploid

mutators primarily adapted by genome duplication, thereby protecting themselves from

recessive lethal mutations.   In contrast, diploid mutators evolved by acquiring multiple

antimutator mutations. Our results suggest that mutator-driven tumors may similarly accumulate

combinations of mutations that attenuate their high mutation rates.

Introduction

The high fidelity of DNA replication and repair prevents mutations that might otherwise lead to cancer. As first proposed more than 40 years ago, cells with defects in these pathways exhibit an elevated mutation rate ("mutator phenotype") and an accelerated path to malignancy [1,2]. The mutator hypothesis of cancer first gained support from the discovery that Lynch syndrome was caused by defects in mismatch repair (MMR), which normally correct DNA replication errors [3]. Mouse studies later demonstrated that loss of polymerase proofreading also promoted tumorigenesis and strongly synergized with MMR defects [4,5]. More recently, intensive sequencing of cancer genomes revealed that proofreading defects, as well as a wide range of other mutagenic processes, occur in a wide variety of sporadic human tumors [6-10]. The most common proofreading-deficient cancers carry heterozygous mutations in *POLE*, which encodes the catalytic subunit of DNA polymerase epsilon (Polε), which acts during leading strand replication [6,10]. Mutations affecting *POLD1*, encoding the lagging strand DNA polymerase (Polδ) catalytic subunit, are also observed [6,10]. Proofreading-deficient tumors frequently carry mutations affecting MMR [6,10]. Far from incidental, in some cases, the MMR defects synergize with proofreading deficiency to ignite a firestorm of mutagenesis [11-13]. In a similar manner, patients with inherited biallelic MMR deficiency frequently develop rapidly mutating tumors with heterozygous mutations affecting the fidelity of Polε or Polδ [14]. Thus, powerful mutator phenotypes based on the synergistic interactions between MMR and proofreading defects represent a reoccurring path in cancer evolution.

As an evolutionary strategy, a high mutation rate comes with the risk of error-induced extinction (*EEX*), where every cell in the population eventually acquires a lethal mutation [15]. As an extreme manifestation of *EEX*, double mutant haploid yeast spores deficient in Polδ

proofreading and MMR cease dividing within 10 cellular generations [16]. Strong mutator phenotypes can also drive *EEX* of diploid yeast, although the maximum tolerated mutation rate (error threshold) is higher than in haploids, consistent with genetic buffering by the diploid genome [17]. Colony formation slows and becomes irregular once mutation rates are within an order of magnitude of the diploid error threshold. Evidence for error thresholds exist in mammals. Mouse embryos completely deficient in both proofreading and MMR initiate development but fail in the second week of gestation [5]. In addition, the proofreading and MMR-deficient tumors mentioned above appear to reach an upper limit of ~ 250 mutations/Mb [14]. High mutation loads may inherently restrict cellular longevity. Recent lifespan experiments in yeast demonstrate that strong diploid mutators exhibit a form of genetic anticipation: the longer a lineage exists, the higher the mutation burden, and the shorter the cellular lifespan becomes [18].

In the face of *EEX*, a subset of haploid yeast *eex* mutants survive by acquiring "antimutator" mutations that affect the mutator polymerase driving the mutagenesis [15,19] – a mechanism also observed in bacteria [20,21]. However, the majority of *eex* mutants carry mutations that are extragenic to the polymerase gene. Included among these are antimutator mutations affecting the S-phase checkpoint kinase, Dun1, which increase polymerase fidelity by lowering dNTP pool levels [22]. We report here that the most common adaptive event for haploid mutators is spontaneous polyploidization, which buffers cells from the accumulation of mutations. This finding led us to explore how antimutators and spontaneous polyploids compete during evolution of haploid and diploid mutators to understand which pathway might prevail in mutator-driven tumors.

Results

We took a classic yeast genetics approach to identify new extragenic antimutator

pathways affecting Polδ and Polε proofreading deficiency (S1A,B Fig). We began with diploid

parent strains that were heterozygous for *pol3-01* (Polδ proofreading defective) and deletion of

MSH6 (msh6Δ) or *pol2-4* (Polε proofreading defective) and *msh2Δ*. After forcing the diploids to

undergo meiosis by sporulation [23], we isolated *eex* mutants that suppressed the synthetic

growth defects of freshly isolated haploid *pol3-01 msh6Δ* or *pol2-4 msh2Δ* cells. The parental

strains were also heterozygous for two distinct transgene insertions at the *CAN1* locus:

CAN1::natMX, which confers sensitivity to canavanine and resistance to nourseothricin (NTC),

and *can1Δ::HIS3*, which confers canavanine resistance and histidine prototrophy. Each *eex*

mutant inherited only one of the two *CAN1* alleles, allowing us to cross them to WT haploids with

the opposite *CAN1* allele and mating type (haploid yeast cells grow vegetatively as one of two

mating-types, *MAT**a*** and *MATα*, which can mate to form *MAT**a**/MATα* diploids) and then isolate

diploid mapping strains on media that selected for His+ and NTC-resistant cells. While most of

the resulting mapping strains successfully underwent sporulation, the majority failed to produce

any viable spores. Several mapping strains with intermediate spore viability produced progeny

that carried both *CAN1* alleles. Flow cytometry revealed that these unusual mapping strains

were in fact tetraploids (4n) (S1B Fig). The mapping strains with no viable spores were triploids

(3n). We inferred that the original *eex* mutants used to make the 3n mapping strains were

*MAT**a**/MAT**a*** or *MATα/MATα* diploids and that the 4n strains were derived from

*MAT**a**/MAT**a**/MAT**a*** or *MATα/MATα/MATα* triploid *eex* mutants.

A bias in how we picked candidate mutants could explain why polyploids were more

common than antimutators in our genetic screens. To determine the relative success of these

two adaptations in an unbiased manner, we evolved *pol2-4 msh2Δ* cells, which have a mutation

rate an order of magnitude below the haploid error threshold [19,24].   We isolated 89

independent *pol2-4 msh2Δ* spore clones by dissecting tetrads from *POL2/pol2-4 MSH2/msh2Δ*

diploids on growth media that selected for the *URA3* and *LEU2* transgenes tightly linked to the

mutator alleles (Fig 1A). Freshly dissected *pol2-4 msh2Δ* haploids form colonies with an uneven

perimeter, consistent with the onset of *EEX* and the emergence of *eex* mutant subclones with

improved survival. After two days, we isolated four cells from the perimeter of each colony and

moved them elsewhere on the plate to assess their colony forming capacity, mutation rate and

ploidy (S2A Fig). After removing these cells, we suspended the original colonies in liquid growth

media and repeatedly sub-cultured them by diluting the cells 1:500 (~10^5 cells/inoculum) and

then growing them to saturation (~10^8 cells), yielding an estimated 10-12 generations per

transfer. We assessed ploidy at the initial time point (T1) and then after the 4th (T4) and the 7th

transfer (T7).

The ploidies of the initial colony suspensions were overwhelming haploid, although 15 out

of 89 cultures at this early stage showed evidence of a minor diploid subclone (Fig 1B, S1

Dataset). One notable colony suspension (A4) contained both haploid and triploid cells in equal

proportions. Two-thirds of the cells isolated from the perimeters of the initial colonies failed to

form a second colony — a manifestation of *EEX*. The 120 colonies from viable cells contained

12 that were uniformly polyploid (including one triploid (A4.1) from the mixed colony noted above)

(S2B Fig, S1 Dataset). To test for antimutators, we measured mutation rates of the haploid

subclones that carried the *CAN1::natMX* allele. This involved subcloning these cells a second

time to obtain replica colonies. A high frequency of polyploid replica colonies precluded

measurements for 12 subclones. Thirteen subclones exhibited the expected mutation rate for

pol2-4 msh2Δ cells, while twelve subclones displayed an antimutator phenotype (S2C Fig).

These results indicate that antimutators and polyploids emerge with similar frequencies during

the initial growth of *pol2-4 msh2Δ* haploids and that polyploids continue to arise. Prolonged

growth of the original cultures revealed profound fitness differences between these two

evolutionary strategies (Fig 1B). At T7 only 2 cultures remained haploid; 10 were mixtures of 1n

and 2n cells, and 73 cultures were uniformly 2n or 3n. Thus, polyploids routinely win out over

antimutators during the adaptation of haploid cells to the mutator phenotype.

In the haploid evolution experiment, cells could have become polyploid by mating or

spontaneously [25]. Although deletion of *Homing Endonuclease* (*HO*) stabilizes mating type in

our studies, mating-type switching may still occur at a low rate through random DNA breaks at

the *MAT* locus, which are then repaired using the transcriptionally silent *HML* (MATα) or *HMR*

(MAT**a**) loci [23]. Cross contamination between neighboring wells represents a second potential

source of cells of the opposite mating type in our experiment. And although not widely

appreciated, same-sex mating can also occur [26]. To determine the relative contributions of

mating and spontaneous polyploidization, we isolated a single colony from each evolved culture

and performed flow cytometry. Of the 87 isolates, there were 83 diploids, 1 haploid, 1 triploid,

and 2 mixed cultures with both 1n and 2n cells (Fig 1C). Mating-type PCR assays revealed 41

*MAT**a**/MAT**a***, 36 *MATα/MATα* and 6 *MAT**a**/MATα* diploids (Fig 1D). Three of the *MAT**a**/MATα*

isolates were heterozygous at the *CAN1* locus (*CAN1::natMX/can1Δ::HIS3*) (S2 Dataset),

providing clear evidence for rare mating events between cells from neighboring wells. The 3

remaining *MAT**a**/MATα* cells were homozygous for *CAN1::natMX* or *can1Δ::HIS3* and could

have arisen through contamination or mating-type switching. Experiments to investigate same-

sex mating indicate it occurs at a similar frequency as mating-type switching (Supplementary

text S1*, S3 Fig*). Thus, the predominance of *MAT**a**/MAT**a*** or *MATα/MATα* isolates suggests that

the diploids in our evolving populations arise primarily by spontaneous polyploidization.

If becoming diploid buffers haploid mutators from deleterious mutation accumulation, then

being a tetraploid may confer an additional advantage. To test this idea, we isolated

*MAT**a**/MAT**a*** and *MATα/MATα* diploid cells from different evolved cultures by microdissection

and mated their daughter cells. We moved the parental diploid cells as well as the resulting

tetraploid zygotes to distinct locations on the agar plates to form colonies (Fig 2A). We then

mixed each parent diploid strain separately with their tetraploid progeny at different ratios (100:1,

10:1, 1:1, 1:10, 1:100) and grew them in competition. The tetraploids overtook the parental

diploids in 7 out of 8 competitions, providing strong evidence that tetraploidy buffers these

mutator strains from deleterious mutations (Fig 2B). But it is unclear from this experiment

whether tetraploids would be similarly favored during the evolution of diploid mutators. The

diploid cells used here carry homozygous mutations that arose prior to spontaneous

polyploidization, which can only be buffered by mating. Such mutations would be heterozygous

from the inception of a strong diploid mutator phenotype.

To understand how diploid mutators adapt to the threat of extinction, we again took an

evolutionary approach. We first estimated that it would take an *eex* mutant 200 generations to

overtake a *pol3-01/pol3-01 msh6Δ/msh6Δ* diploid culture if lethality was driven solely by

homozygous inactivation of essential genes [17] (S4 Fig, Dataset 1). We isolated numerous

independent *pol3-01/pol3-01 msh6Δ/msh6Δ* zygotes by mating freshly dissected *pol3-01 msh6Δ*

haploids (Fig 3A). We evolved 89 clones through ~300 generations, monitoring ploidy at the T1,

T10, T19, and T27 transfers (Fig 3B). Only a single pure tetraploid culture emerged at the end

of the experiment. To assay for antimutator phenotypes, we isolated independent subclones

from T25 *CAN1::natMX/can1Δ::HIS3* cultures, assessed their ploidy, and measured mutation

rates. Almost all isolates were diploids (Dataset 1) and exhibited a clear antimutator phenotype.

Antimutator subclones also dominated the T13 cultures and were evident as early as T1 (4A

Fig). T1 cells from every culture formed variably sized colonies, suggesting that strong selection

for *eex* mutants exists by ~30 cellular generations (20 generations/colony and 10 generations

for T1) (S5 Fig).

Independent T25 isolates from the same *pol3-01/pol3-01 msh6Δ/msh6Δ* culture

sometimes displayed different mutation rates (Fig 4B). We wondered whether they shared a

common evolutionary history, and therefore sequenced the genomes of eight sets of subclones.

In each set, the subclones could be arranged into a phylogenetic tree with ~1300 to 4500 shared

mutations in the trunk and ~1400 to 3000 unique mutations in the branches, indicating that a

single adapted clone rose to prominence in each culture and then diverged (Fig 4C,D). Thus,

differences in mutation rates between subclones likely reflect the presence of additional

antimutator mutations in some isolates but not others.

The mutations that delineate the phylogenetic trees bear the imprint of the mutator and

antimutator phenotypes involved in the evolution of these cultures. We classified the shared

and unique mutations according to the 96 trinucleotide contexts defined by the six different

mutation subtypes (C:G→A:T, C:G→G:C, C:G→T:A, T:A→A:T, T:A→C:G, T:A→G:C), flanked

by all possible 5' and 3' nucleotides. We then compared the mutation spectra, within and

between strains, by the hypergeometric test [27]. The spectra of the trunk mutations were largely

the same between different cultures, consistent with each culture beginning with a common

mutator phenotype (Fig 4D, S6 Fig, S2 Dataset). The spectrum of unique mutations in each

branch of a given tree differed substantially from that of the trunk mutations ($p < 0.0001$,

although generally not from each other, consistent with the antimutator phenotype arising prior

to their divergence (Fig 4D, S6 Fig). Particularly sharp decreases in the relative abundance of

C:G→A:T mutations were observed in the branches of all trees. Despite these similarities, the

spectra of unique mutations from different evolved cultures were often statistically different from

each other, suggesting that the antimutator alleles altered replication fidelity in distinct ways (Fig

4D, S2 Dataset). Thus, acquisition of an antimutator phenotype coincides with the key adaptive

event in each culture and fundamentally changes the mutation spectra.

To understand how the cells attenuated the mutator phenotype, we annotated the

mutations (S2 Dataset) and compared the affected genes. All 8 strain sets acquired multiple

pol3 mutations prior to their divergence (Fig 4C,D). Many mutations affect the same amino acid

residue or are identical to known antimutator mutations (*A704V(2x), A786V*, *A677T, D831A,*

R475S, R815C) [15,17,28]. Polδ plays an essential role in DNA replication. Both *pol3-01* alleles

contribute to error-induced extinction in these cells, but only one allele needs to remain functional

for cells to survive. Thus, the premature nonsense codons observed in three trees (B1, C2, D2)

effectively function as antimutator alleles by inactivating one of the two *pol3-01* alleles.   The

remaining missense mutations affecting *pol3-01* may similarly inactivate polymerase function or

may be *bona fide* antimutator alleles that have not previously been identified.   Candidate

antimutators include *P942L* and *M578I*, which were observed in two independent lineages, and

mutations affecting P796, which were isolated three independent times (*P796L*(2x) and *P796S*)

— once in combination with a stop codon.

To test the hypothesis that *pol3* mutations are under positive selection, we compared the

ratio of nonsynonymous and synonymous mutations (dN/dS) across the genome using *dNdscv*,

an R-program which normalizes the observed dN/dS ratio by the 192-trinucleotide mutation

spectra of each gene before assessing whether the observed ratio departs from neutrality

(dN/dS = 1) [29]. We analyzed the mutations from the trunks of the 8 lineages and found that

only *pol3* mutations are under positive selection (q = 0.004, corrected *p*-value using Benjamini

and Hochberg's false discovery rate). Mutations in other genes, within the resolution of this

dataset, accumulate in a neutral fashion. The genome-wide dN/dS ratio for the trunk mutations

was 1.0, as was the dN/dS ratios for subclasses of trunk mutations involving missense or

nonsense mutations (Fig 4E). Repeating this analysis for the 23 unique sets of mutations from

the branches failed to reveal any other genes under strong selection after adaption. Intriguingly,

the genome-wide dN/dS ratio for nonsense mutations was 0.8, indicating that negative selection

begins to act against the most deleterious class of mutations during extended propagation of

these lines. When the mutations found in trunk and branches are combined, evidence for

negative selection remains, but *pol3* mutations do not emerge from the multiple testing correction

as significant. Thus, the identification of antimutator mutations by *dNdscv* during the evolution

of mutator-driven tumors may be masked without phylogenic information.

Discussion

Cell lineages with ultra-mutator phenotypes face a progressive loss of fitness and even

extinction unless they adapt by becoming polyploid or acquiring antimutator mutations. The

competition between polyploids and antimutators during the evolution of mutators is influenced

by the rate at which they arise and the extent to which they preserve or even enhance fitness.

In our study of *pol2-4 msh2Δ* haploids, we found that two-thirds of cells sampled from the

perimeter of the initial colonies (<20 cellular generations) were inviable, illustrating the strong

selection pressure for *eex* mutants. At this early stage, polyploids and antimutators were present

with similar frequencies. The rate of spontaneous polyploidization of haploid yeast was recently

estimated at 7 x 10^{-5} per division [30], which is similar to the rate of *CAN1* inactivation in *pol2-4*

msh2Δ cells (1-2 x 10^{-4}). The overwhelming success of polyploids over antimutators during the

evolution of *pol2-4 msh2Δ* cultures supports a model in which they emerge based on their ability

to buffer the genome from deleterious mutations, as previously observed with chemically

mutagenized haploids [31]. Spontaneous diploids have risen to prominence in previous WT

haploid evolution studies over longer time scales [32-34]. The efficiency at which this occurs

varies, and may depend on the nature of the strain, the culture conditions, and the presence of

preexisting diploids within the initial population. Two different studies place the increase in

fitness of diploids over WT haploids at around 3.5% per generation [33,34]. With strong

mutators, the fitness differential between spontaneous polyploids and haploid cells is likely much

larger and constantly increasing as mutations accumulate within haploid genomes. And

conceivably, since the mutator phenotype continues unabated after polyploidization, fitness-

enhancing mutations may arise that in some cases accelerate the selective sweep.

Despite being buffered from deleterious mutations, diploids clearly lose fitness as

mutations accumulate. The variable growth of *pol3-01/pol3-01 msh6Δ/msh6Δ* T1 colonies

indicates that by 30-40 cellular generations there exists strong selection pressure for *eex*

mutants to emerge. The rate of tetraploidization of diploid yeast is unknown, but it may be

comparable to the diploidization of haploids. The *pol3-01/pol3-01 msh6Δ/msh6Δ* cells exhibit a

five- to ten-fold higher mutation rate than *pol2-4 msh2Δ* haploids. Together, these two

observations would seem to favor the emergence of antimutators over polyploids. However,

mutator suppression requires at least two independent *pol3* mutations, whereas only a single

event is required for polyploidization. Part of the success of diploid antimutators may stem from

the fact that they are already buffered from deleterious mutations.   Moreover, heterozygous

antimutator mutations may provide incremental protection from extinction, giving time for

additional antimutator mutations to occur that give more complete protection.   Evidence

suggests that tetraploids may be inherently less fit than diploids [32]. Tetraploids are known to

suffer higher rates of genomic instability and depend more heavily on factors involved in

homologous recombination [35]. In the context of a mutator phenotype, a higher rate of

homologous recombination in tetraploids would limit buffering of deleterious mutations by

promoting loss of heterozygosity.

The ease with which diploid yeast mutator cells adapt to the risk of error-induced

extinction suggests that similar events may occur during the evolution of mutator-driven cancers.

The simplest antimutator change available to tumors that carry a heterozygous *POLE* allele is

simply to inactivate or suppress the mutator allele. But other changes that attenuate the mutator

phenotype may also be possible.   Recent evolution studies in diploid yeast pointed to

unconventional mutator phenotypes caused by heterozygous mutations in house-keeping genes

[36].   If mutation rate can be enhanced by such mutations, perhaps it may be attenuated as

well. While our dN/dS analysis only found evidence for selection acting on the *POL3* gene, we

observed variation in mutation rate within the same phylogenic tree suggesting that other

antimutator mutations exist within the thousands of mutations present within these genomes.

The vast phenotypic space created by combinations of heterozygous mutations may be critical

to understanding the evolution of mutation rate in diploids.

Materials and Methods

Culture conditions.   Yeast propagation and tetrad dissection followed standard

procedures [37]. Unless otherwise noted, reagents were purchased from Fisher Scientific or

Sigma. For rich liquid media, we used YPD (1% wt/vol yeast extract, 2% wt/vol peptone, 2%

wt/vol dextrose). For rich solid media we used YPD or synthetic complete (SC) [6.7 g Difco

yeast nitrogen base without amino acids, 2% wt/vol dextrose, 2 g/L SC amino acid Mix (SCM)

(Bufferad)] supplemented with 2% wt/vol agar. For drop-out media, SCM powders lacking

specified amino acids were either purchased from Bufferad or made to the same specifications

[38]. For haploid mutation rates, we used SC plates lacking arginine (SC-Arg) with 60 µg/mL

canavanine (Can). For mutation rates with diploid strains we used SC_MSG-Arg [1.7 g Difco

yeast nitrogen base without amino acids or ammonium sulfate, 1 g/L monosodium glutamate

(for a nitrogen source), 2% wt/vol dextrose, 2 g/L SCM-arginine)] with 60 µg/mL Can and 100

µg/mL nourseothricin (NTC) [17]. We used similar plates lacking histidine and containing NTC

(SC_MSG-His+NTC) to select for mating between *CAN1::natMX* and *can1Δ::HIS3* cells (see

below).

Yeast strains. The diploid parent strain used for these experiments, AH0401, is a

BY4743 derivative engineered to be heterozygous at the *CAN1* locus [17]. One allele carries the

natMX transgene (encoding resistance to the drug NTC) inserted just downstream of the *CAN1*

coding sequence (*CAN1::natMX*), while the other *CAN1* allele is deleted and replaced with *HIS3*

(*can1Δ::HIS3*). Selection for *can1* mutants in the presence of canavanine and NTC allows us to

score *bona fide can1* mutants without the high background of *can1Δ::HIS3/can1Δ::HIS3* mitotic

recombinants [17]. The four WT strains used for generating mapping strains (S1 Fig) and for

the same-sex mating experiments (S3 Fig) were dissected from the same AH0401 tetrad:

AH4002 (*MATα CAN1::natMX)*, AH4003 (*MAT**a** CAN1::natMX)*, AH4010 (*MATα can1Δ::HIS3*),

and AH4015 (*MAT**a** can1Δ::HIS3*). AH12721 is a *POL2/URA3::pol2-4 MSH2/msh2Δ::LEU2*

strain isolated by mating freshly dissected spores from AH2801 (*POL2/URA3::pol2-4*

MSH6/msh6Δ::LEU2) [39] and AH5610 (*MSH2/msh2Δ::LEU2*). Zygotes were isolated by

microdissection after 8 hours and allowed to form colonies, which were then genotyped. AH5610

was constructed for this study by transforming AH0401 with a *LEU2* PCR product amplified from

pRS416 with Msh2U and Msh2D [19].   AH2601 is a previously described *POL3/URA3::pol3-01*

MSH6/msh6Δ::LEU2 strain [18]. All strains derived from mating between *pol3-01 msh6Δ* spores

used in the evolution experiment were designated AH164_NN, where "NN" refers to their

coordinate in the 96-well plate.

Isolation of eex mutants. The *pol2-4 msh2Δ eex* mutants (S1 Fig) were obtained by

dissecting AH12721 spores on SC-Leu-Ura plates and then re-plating the resulting slow-growing

colonies for faster growing mutants. We employed a different strategy for *pol3-01 msh6Δ eex*

mutants.   Since these double mutant haploids form only microcolonies, we plated a large

preparation of random spores onto SC-Ura-Leu media and picked viable colonies.   To isolate

mapping strains, we mated each *eex* mutant in parallel with AH4002, AH4003, AH4010, and

AH4015 and plated the mutants on SC_MSG-His+NTC. Candidates that only mated with one

of the four strains were carried forward for genetic analyses.

Same-sex matings. Same-sex matings (S3 Fig) were performed by mixing ~5 x 10^6 cells

of two strains with the same mating type but opposite *CAN1* alleles (*can1Δ::HIS3* and

CAN1::natMX) in a microtiter plate with YPD and incubating at 30°C for 8 hours without shaking.

CAN1::natMX/can1Δ::HIS3 diploids were then selected on SC_MSG-His+NTC media. Mating

type PCRs were performed as described [30].

Evolution experiments. To obtain *URA3::pol2-4 msh2Δ::LEU2* double mutant spores

we dissected tetrads on SC-Ura-Leu. After two days of growth, we isolated single cells from the

perimeter of each colony by microdissection and moved them below the colony to form new

colonies (S2*A* Fig). We then suspended the main colonies in 100 µls of H_2O in 96-well format

(Fig 1). Random empty wells were interspersed among the samples to monitor for

contamination. We made glycerol stocks from part of the cell suspension. From the remaining

cell suspensions, we diluted the cells 1:50 in YPD, and grew 3 hours before removing aliquots

for the first flow cytometry measurement after which the cultures were grown to saturation and

then subcloned as described below.

To isolate *URA3::pol3-01/URA3::pol3-01 msh6Δ::LEU2/msh6Δ::LEU2* diploids, AH2601

tetrads were dissected on SC-Ura-Leu plates and spores were separated from each other by

~100 µm (the width of two diameters of the dissecting needle). After 2 to 3 divisions, prototrophic

cells from different tetrads were placed next to each other to allow mating. Half of the pairings

produced zygotes after 2 to 3 additional divisions. Zygotes were moved to a defined coordinate

on the plate and allowed to form a colony. Colonies were picked from the plate and suspended

in 100 µls of H_2O. We used 30 µls of the cell suspensions to inoculate 500 µls cultures, 40 µls

for glycerol stocks, and the remaining cell suspension to measure mutation rates (see below).

In both evolution experiments, the strains were propagated at 30°C with constant shaking

in sterile Nunc 96 deep-well storage blocks (Thermo Scientific, 260252), covered with an

AeraSeal (Excel Scientific) gas-permeable disposable plate sealer. Each well had 500 µls of

YPD and a single 3 mm glass bead to maintain cells in suspension. Sub-culturing steps were

performed by transferring 1 ul volumes from saturated cultures to 500 µls of fresh YPD using an

8-channel pipettor. Frozen -80°C glycerol stocks of the cultures were made every three transfers

in 96-well format. To recover cultures from storage, the plates were first thawed at room

temperature and then 5 µls were transferred into 250 µl of fresh media.

Mutation rates. Mutation rates by fluctuation analysis were performed essentially as

described [17]. To determine the mutation rate of the zygote colonies, 10 μls of the original

suspension (see above) were spot-plated directly on SC_MSG-Arg+CAN+NTC plates. Another

10 μls were used for 10-fold serial dilutions. With each dilution, 10 μls were plated on the

mutation rate plate and 10 μls on SC media to estimate the total number cells in each colony

(Nt). Only half of the zygote colonies had the *CAN1::natMX/can1Δ::HIS3* genotype suitable for

mutation rate measurements. Thirty colonies with similar Nt values were used as "replica

colonies" to estimate the average number of mutational events (*m*) by maximum likelihood using

newton.LD.plating in the R package rSalvador [40]. LD.plating was used to calculate confidence

intervals. For mutation rates of all subclonal isolates (Fig 4A, S2 Fig), replica colonies were

obtained by plating serial dilutions onto SC media. For mutation rate calculations we typically

used mutation counts from 8 replica colonies. In some assays of T1 subclones in Fig 4A, 1 or 2

replica colonies had very low mutation counts (0 or 1 mutants vs 100's). These clear outliers

were censored under the assumption that they represented an antimutator or polyploid subclone.

Cohorts with more than 2 such outliers were censored completely.

Flow cytometry. Overnight cultures were diluted 1:50 in fresh YPD and grown for 3-5

hours. 100 μls of cells were recovered by centrifugation and washed twice in an equal volume

of water by resuspending them and centrifuging again. We then resuspended the cells in 70%

ethanol and incubated them at least 1 hour at room temperature or overnight at 4°C. We

recovered the cells again by centrifugation, washed once with water, and then resuspended

them in 50 mM sodium citrate with RNaseA (10 μg/mL). The cells were heated to 95°C for 15

minutes and then incubated for 3 to 4 hours at 37°C. The cells were recovered by centrifugation

and resuspended at a concentration of 10^6 cells/mL in 50 mM sodium citrate with 2 μM Sytox

Green (Life Technologies). After 1 hour at room temperature, the cells were sonicated for 30

seconds with 1 second pulses in a Misonix cup horn sonicator and then analyzed on a BD Accuri

c6 flow cytometer using the FL1 detector. Plots in S1 Dataset are organized in the 96 well-

format used for each experiment. Histogram plots of the data were generated using the c6

software, which allows multiple plots to be overlaid. Plots used as controls are indicated at the

top of each experiment.

Genome sequencing and analysis. We performed whole genome sequencing of

subclones from the AH164 evolved cultures as described [18] except that we used a variant

frequency cutoff of 0.1 for SNPs to demonstrate that the sequenced genomes were indeed from

2n and not 4n cells (S2 Dataset). We used a variant frequency cutoff of 0.3 for indels to minimize

false positives at repetitive sequences due to frameshift errors during library preparation. We

wrote a python script to compare subclones from the same culture and create lists of shared and

unique mutations found in each strain. The program only assesses positions in the genome with

18-fold depth coverage in all subclones (S2 Dataset). We annotated the SNP lists using

Annotate 0.1 [41] and the Indel lists with a modified version of the program that reports the 3'

peptide produced by the frameshift. We used the SNP lists to determine the 96-trinucleoide

mutation spectra using snv-spectrum (https://github.com/aroth85/snv-spectrum). We wrote a

python script which creates a 2 x 96 table of the output of snv-spectrum for two different variant

lists and then computes a Monte Carlo estimation of the *p*-value of the hypergeometric test [27],

under the null hypothesis that they came from the same population (S1 File). Implementation of

the test involves construction of 10,000 random tables that maintain the same row and column

totals [42] and then calculation of the hypergeometric probability of each table. The *p*-value

represents the number of random tables whose hypergeometric probability is less than the

observed. The conservative Bonferroni multiple testing correction was used to estimate the *p*-

value cut-off for significance for each set of comparisons (S2 Dataset). Finally, we used the

SNP lists described above to determine the dN/dS ratios of individual genes and of the entire

genome using the R-package *dNdscv* [29].

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Figure Legends

Fig 1. Spontaneous polyploids routinely emerge during the evolution of *pol2-4 msh2Δ* haploids. (A) Isolation of *pol2-4 msh2Δ* haploids from sporulated parental diploid cells (2n). Diploid genotype indicated to the right. Arrows, from left to right, indicate manipulations of cells: sporulation, tetrad dissection, and inoculation into 96-well culture blocks. Following tetrad dissection, double mutant *pol2-4 msh2Δ* spores (blue cells) selectively form colonies on media lacking uracil and leucine (green box). (B) Evolution of *pol2-4 msh2Δ* mutators. Independent isolates were cultured in 96-well format by diluting 1:500 with each transfer (T). Ploidy was assessed by flow cytometry at T1, T4, and T7 (S1 for histograms). Color of boxes indicate the ploidy or mixture of ploidies of each culture (see top for key). (C) Ploidy of single cell subclones isolated from T7. (D) Mating-type of single cell subclones. *Top*, Schematic of *MAT**a*** and *MATα* mating type loci, PCR primers (arrows), and expected DNA sizes. *Bottom*, PCR products run on 2% agarose gels, labeled by their coordinates in the 96-well block; *-ve*, negative (no cells) controls; *cn,* culture censored during evolution. The 500bp fragment of the Invitrogen 1kb plus ladder is indicated to the left.

Fig 2. Competition between diploid mutator parents and tetraploid offspring. (A) Experimental design. *MATα/MATα* and *MAT**a**/MAT**a*** subclones from the *pol2-4 msh2Δ* evolved cultures (see Fig 1B) were mated. Cell suspensions of the resulting tetraploid and parental diploid colonies were counted, mixed then competed through 8 serial transfers. (B) Ploidy measurements after the first (T1) and eighth (T8) serial transfers. Letter and numbers to the left indicate location in the 96 well culture block described in Fig 1. Color of boxes indicate the ploidy or mixture of ploidies of each culture (see top).

Fig 3. Strong diploid mutators maintain diploidy during evolution. (A) Isolation of *pol3-01/pol3-01 msh6Δ/msh6Δ* zygotes. *Top,* Double mutant *pol3-01 msh6Δ* haploids, identified by dissecting tetrads on selective media (Sc-Ura-Leu), were mated to form zygotes. Zygotes were then moved to new locations on the plate to form colonies. *Bottom,* Growth phenotypes of the initial zygote colonies. Colonies were picked from left to right. Those used for evolution are indicated by either their 96-well coordinate or a dash (-). Colonies without a label or dash were not evolved. (B) Ploidy of evolved cultures after one (T1), ten (T10), nineteen (T19), and twenty-seven (T27) serial transfers. Color of boxes indicate the ploidy or mixture of ploidies of each culture as depicted in the key at the top.

Fig 4. Strong diploid mutators adapt through antimutator alleles. (A) Mutation rates of *pol3-01/pol3-01 msh6Δ/msh6Δ* subclones. Single cell subclones were isolated after the first (T1), thirteenth (T13), and twenty-fifth (T25) transfers. Mutation rates were determined by a canavanine resistance (Can[R]) fluctuation assay (see Dataset 1 for mutation rates and 95% confidence intervals). (B) Variation in mutation rates depicted of T25 subclones from the same culture. Alternating shades of red indicate groups of subclones. (C) Phylogenetic trees of above subclones based on whole genome sequencing. Observed *pol3* mutations are in blue lettering (bold represents known or likely antimutators). (D) Representative mutation spectra of shared (trunk) and unique mutations (see S6 Fig for mutation spectra of all trees). Each mutation site (represented here by the pyrimidine base T or C) was categorized into one of the 96 mutation types created by subdividing the 6 general mutation types (C→A, C→G, C→T, T→A, T→C, T→G) into the 16 possible tri-nucleotide contexts (see boxes to the lower right for contexts; blue, black, and red bars correspond to mutation sites involving C; gray, green and pink bars correspond to mutation sites involving T). Bar height corresponds to the fraction each mutation

573   type represents of the total mutations (given in the right-hand corner of each plot). Inset table:

574   *p*-values of the hypergeometric test of similarity between mutation spectra. (E) Genome-wide

575   dN/dS ratios of trunk and branch mutations.

Supporting Information

S1 Text. Same sex mating. Here, we describe experiments performed to investigate the contribution of same-sex mating to polyploid formation.

S1 Fig. Discovery of spontaneous polyploid *eex* mutants. (A) Synthetic growth phenotypes of freshly dissected pol3-01 msh6Δ and pol2-4 msh2Δ spore clones. Top, Schematic of strain genotypes and tetrad dissections. Bottom, Images of tetrad dissections after 48 hours of growth. Colored circles indicate genotypes. (B) Isolation of eex mutants and evidence for polyploidization. Top, Scheme for isolating eex mutants and the generation of mapping strains. Bottom, Flow cytometry traces of two representative polyploid strains (AH13214 and AH13238; see S1 Dataset) and 1n, 2n, and 4n controls as well as tally of the ploidies of the mapping strains.

S2 Fig. Polyploids and antimutator subclones emerge with similar frequencies during the evolution of *pol2-4 msh2Δ* cultures. (A) Representative images of colonies. Clockwise from the top left: initial colonies after 24 hours, A4 (a mixture of 1n and 3n cells) is circled in yellow; close-up of A4 just after removing single cells from the perimeter (holes were punched into the agar to mark origin of cells 1 through 4); colony forming capacity of single cells (A4.1 is circled in yellow). (B) Ploidy of colonies derived from single-cell clones (see S1 Dataset for histograms), named for the coordinate of the parent strain in the evolved 96-well plate and the isolate number. (C) Mutation rate measurements of haploid cultures as determined by fluctuation analysis of canavanine resistance (Can^R). Error bars represent 95% confidence intervals. (See S1 Dataset for Table of values.)

S3 Fig. Test for same-sex mating between mutator cells. (A) Experimental design. Same sex haploid cultures with opposite CAN1 alleles (*CAN1::natMX*, *can1Δ::HIS3*) were mixed and

plated for *CAN1::natMX/can1Δ::HIS3* diploids. (B) Summary of flow cytometry and mating-type

PCR assays of colonies resulting from the crosses indicated in the white boxes. The mutator

cultures used for each cross are indicated by their 96-well coordinate (see Fig 1). The color of

the box indicates ploidy (see S1 Dataset for individual histograms). Results of Mating type PCR:

α, MATα; **a**, MATa (C) Mating-type PCR gels.

S4 Fig. Simulation of eex adaption during diploid mutator evolution. Exponential growth

of *pol3-01/pol3-01 msh6Δ/msh6Δ* cells (Mutator, red line) and an eex mutant (blue line) with a

10-fold lower mutation rate were modeled assuming the only limiting factor for growth was

homozygous inactivation of essential genes (see S1 Dataset). Each peak models a 1:500

dilution of the culture from ~10^8 cells to ~10^5 cells.

S5 Fig. Colony forming capacity of evolved *pol3-01/pol3-01 msh6Δ/msh6Δ* cultures. Ten-

fold serial dilutions from overnight cultures were plated on SC plates and incubated for two days

at 30°C. T1 and T13 refer to first and thirteenth sub-culturing steps after the initial inoculations.

The culture designation on the left refers to the position of the culture in the 96 well plate (see

Fig 3).

S6 Fig. Mutation spectra and phylogenetic relationships of evolved *pol3-01/pol3-01

msh6Δ/msh6Δ T25 subclones.** Mutational variants identified by whole genome sequencing of

subclones isolated from different evolved cultures were classified as shared (trunk) and unique.

The nucleotide context 5' and 3' of each mutational site (represented as the pyrimidine base T

or C) were used to classify the 6 mutation types (C:G→A:T, C:G→G:C, C:G→T:A, T:A→A:T,

T:A→C:G, T:A→G:C) into 96 trinucleotide contexts. Each mutation type family is color-coded

(see Key for color and context order). The bar height for mutation type represents the fraction

of the total mutations (to the right of each plot). Phylogenetic trees: line length corresponds to

the number of mutations, line color corresponds to mutation spectra, observed pol3 mutations

are in blue lettering (bold represents known or likely antimutators). Inset tables: *p*-values

determined by the hypergeometric test.

S1 File. Hypergeometric test. Markdown of the python 3 script for the hypergeometric test of

similarity between mutation spectra.

S1 Dataset. Flow cytometry, mutation rates, and modeling of diploid evolution.

Page	*Description*
1	Ploidy measurements of *eex* mapping strains described in S1B Fig.
2	Ploidy measurements of *pol2-4 msh6Δ* evolved cultures described in Fig 1B.
3	Ploidy measurements of *pol2-4 msh6Δ* T8 subclones described in Fig 1C.
4	Ploidy measurements of *pol2-4 msh6Δ* subclones described in S2A Fig.
5	Mutation rate measurements of *pol2-4 msh6Δ* subclones described in S2B Fig.
6	Ploidy measurements of strains isolated by same sex mating between T1 *pol2-4 msh6Δ* cultures described in S3 Fig.
7	Ploidy measurements of competition experiment between *pol2-4 msh6Δ* derived diploid and tetraploid strains described in Fig 2B.
8	Simulation of evolution of *pol3-01/pol3-01 msh6Δ/msh6Δ* evolved cultures described in S4 Fig.
9	Ploidy measurements of *pol3-01/pol3-01 msh6Δ/msh6Δ* evolved cultures described in Fig 3B.
10	Ploidy measurments of T25 *pol3-01/pol3-01 msh6Δ/msh6Δ* subclones analyzed in Fig 4
11	Mutation rate measurements of T25 *pol3-01/pol3-01 msh6Δ/msh6Δ* subclones described in Fig 4.

S2 Dataset. Whole genome sequencing of *pol3-01/pol3-01 msh6Δ/msh6Δ* strains.

Page	*Description*
AH164_B1_SNPs	Sequencing coverage, evolutionary relationships, distribution of variant allele frequencies (VAF), and annotation of SNPs for AH164_B1 subclones.
AH164_B1_Indels	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of Indels for AH164_B1 subclones.
AH164_B2_SNPs	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of SNPs for AH164_B2 subclones.

AH164_B2_Indels	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of Indels for AH164_B2 subclones.
AH164_C2_SNPs	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of SNPs for AH164_C2 subclones.
AH164_C2_Indels	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of Indels for AH164_C2 subclones.
AH164_D2_SNPs	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of SNPs for AH164_D2 subclones.
AH164_D2_Indels	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of Indels for AH164_D2 subclones.
AH164_G5_SNPs	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of SNPs for AH164_G5 subclones.
AH164_G5_Indels	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of Indels for AH164_G5 subclones.
AH164_A6_SNPs	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of SNPs for AH164_A6 subclones.
AH164_A6_Indels	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of Indels for AH164_A6 subclones.
AH164_B6_SNPs	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of SNPs for AH164_B6 subclones.
AH164_B6_Indels	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of Indels for AH164_B6 subclones.
AH164_C7_SNPs	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of SNPs for AH164_C7 subclones.
AH164_C7_Indels	Sequencing coverage, evolutionary relationships, distribution of VAFs, and annotation of Indels for AH164_C7 subclones.
Hypergeometric *p*-values	Tables of *p* values of hypergeometric tests of similarity between mutation spectra and multiple testing corrections.

Figure 1

Figure 2

Figure 3

Figure 4

Supplementary Figure

A

B

C

Supplementary Figure

S3 Fig.

Supplementary Figure

S4 Fig.

Supplementary Figure

S5 Fig.

Supplementary Figure

S6 Fig.

Supplementary Figure