

1 **Assembly methods for nanopore-based metagenomic sequencing:**
2 **a comparative study**

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13

14 **ABSTRACT**

15

16 **Background:** Metagenomic sequencing has lead to the recovery of previously unexplored
17 microbial genomes. In this sense, short-reads sequencing platforms often result in highly
18 fragmented metagenomes, thus complicating downstream analyses. Third generation sequencing
19 technologies, such as MinION, could lead to more contiguous assemblies due to their ability to
20 generate long reads. Nevertheless, there is a lack of studies evaluating the suitability of the available
21 assembly tools for this new type of data.

22 **Findings:** We benchmarked the ability of different short-reads and long-reads tools to assembly two
23 different commercially available mock communities, and observed remarkable differences in the
24 resulting assemblies depending on the software of choice. Short-reads metagenomic assemblers
25 proved unsuitable for MinION data. Among the long-reads assemblers tested, Flye and Canu were
26 the only ones performing well in all the datasets. These tools were able to retrieve complete
27 individual genomes directly from the metagenome, and assembled a bacterial genome in only two
28 contigs in the best scenario. Despite the intrinsic high error of long-reads technologies, Canu and
29 Flye lead to high accurate assemblies (~99.4-99.8 % of accuracy). However, errors still had an
30 impact on the prediction of biosynthetic gene clusters.

31 **Conclusions:** MinION metagenomic sequencing data proved sufficient for assembling low-
32 complex microbial communities, leading to the recovery of highly complete and contiguous
33 individual genomes. This work is the first systematic evaluation of the performance of different
34 assembly tools on MinION data, and may help other researchers willing to use this technology to
35 choose the most appropriate software depending on their goals. Future work is still needed in order
36 to assess the performance of Oxford Nanopore MinION data on more complex microbiomes.

37 INTRODUCTION

38

39 Metagenomic sequencing became a paradigm shift in the way we study and characterize microbial
40 communities. This culture-independent technique based on shotgun sequencing has been applied in
41 a broad range of biological fields, ranging from microbial ecology (Hiraoka *et al.*, 2016) to
42 evolution (Hug *et al.*, 2016), or even clinical microbiology (Nutman and Marchaim, 2019). In
43 recent years, metagenomics has also become a powerful tool for recovering individual genomes
44 directly from complex microbiomes (Hug *et al.*, 2016; Tully *et al.*, 2018; Nayfach *et al.*, 2019),
45 leading to the identification and description of new relevant -and mainly unculturable- taxa with
46 meaningful implications (Fettweis *et al.*, 2019).

47

48 Illumina sequencing platforms have been the most widely used for metagenomics studies. Illumina
49 reads are characterized by their short length (75-300 bp) and high accuracy (0.1-1 % of errors)
50 (Goodwin *et al.*, 2016). When performing *de novo* assemblies, Illumina sequences often result in
51 highly fragmented genomes, even if sequencing is carried out from pure cultures (Goldstein *et al.*,
52 2019; Wick *et al.*, 2017). This is a consequence of the inability to correctly assemble genomic
53 regions containing repetitive elements that are longer than read length (Goldstein *et al.*, 2019). The
54 fragmentation problem is magnified when handling with metagenomic sequences due to the
55 existence of intergenomic repeats. Intergenomic repeats are genomic regions shared by more than
56 one taxon present in the microbial community (Olson *et al.*, 2017). It has to be noted that microbial
57 communities often contain related species or sub-species in different -and unknown- abundances,
58 resulting in extensive intergenomic overlaps that difficult the global assembly (Ayling *et al.*, 2019;
59 Sczyrba *et al.*, 2017).

60

61 Third generation sequencing platforms have recently emerged as a solution to resolve ambiguous
62 repetitive regions and to improve genome contiguity. Despite the considerable error associated to
63 these technologies (>10 %), their ability to produce long reads (up to 10-12 kb of mean read length)
64 (Goodwin *et al.*, 2016; Nicholls *et al.*, 2019) has allowed them to generate genomes with a high
65 degree of completeness (Jayakumar and Sakakibara, 2017; Loman *et al.*, 2015). Currently, the most
66 widely used third generation technologies are Pacific Biosciences (PacBio) and Oxford Nanopore
67 Technologies (ONT), both based on single molecule sequencing, and therefore, PCR-free. PacBio
68 was the first long-read technology to be established in the market (Koren *et al.*, 2013). However,
69 PacBio instruments require particular operation conditions and huge capital investments (Gonzalez-
70 Escalona *et al.*, 2019). On the other side, ONT platforms are becoming more and more popular
71 between researchers, mainly thanks to MinION sequencers. MinION is a cost-effective (~1000\$),

72 portable sequencing platform, which enables real-time analysis pipelines (Lu *et al.*, 2016). This
73 platform has been broadly applied over the last few years, especially for testing their suitability for
74 in-field or clinical applications (Pomerantz *et al.*, 2018; Orsini *et al.*, 2018), but also for sequencing
75 complete prokaryotic and eukaryotic genomes (Loman *et al.*, 2015; Wick *et al.*, 2017; Deschamps
76 *et al.*, 2018; Jain *et al.*, 2018) and for characterizing microbial communities (Hardegen *et al.*, 2018;
77 Benítez-Páez and Sanz, 2017).

78

79 Benchmarking is a straightforward way to evaluate genomic methodologies (i.e. DNA extraction,
80 library preparations, etc.) and bioinformatic tools. In the metagenomic context, benchmarking
81 studies are frequently based on mock communities. A mock community is an artificial microbial
82 community in which the abundance of all the present microorganisms is known (Bokulich *et al.*,
83 2016). Mock communities could be generated *in silico* (Fritz *et al.*, 2019) or experimentally, as a
84 mixture of defined DNA proportions. For *de novo* assemblies, a great effort has been made in order
85 to benchmark all the available tools and methodologies suitable for studying microbial ecosystems
86 via Illumina shotgun sequencing (Sczyrba *et al.*, 2017; Vollmers *et al.*, 2017; Nurk *et al.*, 2017).
87 Nevertheless, although there is a constant development of new softwares applicable to ONT
88 platforms, we found that the few evaluation studies made for nanopore-based shotgun sequencing
89 data have focused on reconstructing single bacterial genomes from isolates, but not metagenomes
90 (Goldstein *et al.*, 2019; Tyler *et al.*, 2018; Sović *et al.*, 2016).

91

92 In the present study, we used the data generated by Nicholls *et al.* (2019) to comprehensively assess
93 the current state-of-art of *de novo* assembly tools suitable for MinION sequencing. For that purpose,
94 we subsampled the sequences generated by GridION and PromethION platforms to get an output
95 comparable to the current yield of MinION sequencers. In total, we generated 8 datasets consisting
96 of 3 and 6 Gbps of data coming from the metagenomic sequencing of two microbial communities
97 (ZymoBIOMICS Microbial Community Standards CS and CSII) with both GridION and
98 PromethION. Our results show very notable differences in assembly performance among the tested
99 tools, including those designed to work with long-reads. Nevertheless, Flye and Canu were able to
100 retrieve highly complete and contiguous draft genomes directly from the metagenome, and work
101 consistently in all the datasets. Despite the high error associated to long-reads technologies, these
102 assemblers were able to return draft genomes with up to 99.85 % of accuracy. Overall, this work
103 demonstrates the suitability of using MinION sequencing alone for assembling low-complex
104 microbial communities, and paves the way towards the standardization of bioinformatic pipelines
105 for long-reads sequencing data.

106 **METHODS**

107

108 **Dataset description**

109 Benchmarking datasets were extracted from Nicholls *et al.* (2019), and consisted of the high
110 coverage sequencing of two individual mock communities (ZymoBIOMICS Microbial Community
111 Standards CS Even ZRC190633 and CSII Log ZRC190842) with both GridION and PromethION
112 platforms. The mock communities contained the same species (eight bacteria; two yeasts), but
113 differed in the expected proportion for each microorganism. CS mock community has an equal
114 distribution of the microorganisms (12% for each bacteria, and 2% for the yeasts), while the
115 microbes present on CSII are distributed on a logarithmic scale, with relative abundances ranging
116 from 89.1% to 0.000089% (Table 1). Following the nomenclature from Nicholls *et al.* (2019), we
117 will now onwards use the terms “Even” when referring to CS mock community, and “Log” when
118 referring to CSII.

119

120 [Table 1]

121

122 The objective of the present study was to evaluate *de novo* assemblers suitable for MinION
123 sequencing, which is the most widespread and accessible ONT sequencer. With the recent adoption
124 of Guppy (Oxford Nanopore Technologies) as the lead basecaller for all the ONT sequencers, the
125 main difference between GridION, PromethION and MinION is the final output of each platform.
126 Nicholls *et al.* (2019) yielded ~15 Gbp of data for GridION (48h of sequencing) and ~152 Gbp for
127 PromethION (64h of sequencing). Taking into account that GridION consists of five MinION
128 flowcells, a single MinION standard run (48 h of sequencing) could yield, on average, an output of
129 3 Gbp, which is a conservative estimation in comparison to other recent shotgun sequencing
130 experiments based on MinION (Goldstein *et al.*, 2019; Dhar *et al.*, 2019; Parajuli *et al.*, 2019).
131 However, ONT hardware and software are in constant development, leading to huge improvements
132 in short periods of time. For that reason, GridION and PromethION datasets were subsampled to
133 two different sequencing depths (3 Gbps and 6 Gbps) in order to recreate MinION runs with
134 different outputs. Finally, all the selected reads were trimmed with porechop
135 (<https://github.com/rrwick/Porechop>; v. 0.2.4) in order to remove adapters from reads ends and split
136 sequences with internal adapters.

137

138 ***De novo* assemblers selection**

139 As first proposed by Lindgreen *et al.* (2016), tools selected for the present benchmarking had to
140 meet the following criteria:

- 141
- 142 - The tool should be freely available
- 143 - The tool should have a proper manual, both for installation and usage.
- 144 - The tool should have been extensively used or show potential to become widely used

145

146 At the time of the software selection, there was not a huge variety of tools specially designed for

147 ONT data. Because of this, some of the most widespread used short-reads metagenomic assemblers

148 were also included into the benchmark. Although these assemblers are optimized for metagenomic

149 datasets, it has to be noted that they have not been designed to handle long and error-prone reads. A

150 total of six short-reads and six long-reads tools were taken into consideration. Nevertheless, it was

151 not possible to install or run all the softwares for different reasons (Table 2). It has to be noted that

152 tools were run with default parameters when no metagenomic configuration was explicitly

153 recommended in the user guide.

154

155 [Table 2]

156

157 **Reference genomes**

158 All the species included in the mock community had an available reference genome sequenced with

159 a combination of Illumina and nanopore reads (available at [https://s3.amazonaws.com/zymo-](https://s3.amazonaws.com/zymo-files/BioPool/ZymoBIOMICS.STD.refseq.v2.zip)

160 [files/BioPool/ZymoBIOMICS.STD.refseq.v2.zip](https://s3.amazonaws.com/zymo-files/BioPool/ZymoBIOMICS.STD.refseq.v2.zip)). These assemblies -provided by ZymoBIOMICS

161 company- consisted of eight complete genomes for the bacterial strains, and two draft genomes for

162 the yeasts.

163

164 Nicholls *et al.* (2019) sequenced and assembled each genome again from pure cultures using

165 Illumina reads only. However, we decided to use ZymoBIOMICS genomes as a reference for

166 carrying out the comparative analyses, due to their higher level of completeness. Although these

167 references cannot be considered as a “gold standard”, Goldstein *et al.* (2019) demonstrated that

168 nanopore sequences polished with Illumina reads had a similar error profile to MiSeq-only

169 assemblies and higher contiguity. Reference genomes were gathered in a single multi-FASTA file to

170 create a single-reference metagenome.

171

172 **Evaluation of the assembly tools**

173 All the assemblers were run in the same desktop computer (CPU: AMD RYZEN 7 1700X 3.4GHZ;

174 Cores: 8; Threads: 16; RAM: Corsair Vengeance 64 GB; SSD: Samsung 860 EVO Basic SSD

175 500GB) working under Ubuntu 18.04 operative system. Time required to perform the assembly by
176 each tool was measured with the built-in bash version of time command.

177

178 *De novo* assemblies completeness and contiguity were first evaluated via QUAST (Gurevich *et al.*,
179 2013; v. 5.0.2). MetaQUAST (Mikheenko *et al.*, 2015; v. 5.0.2) was used for obtaining further
180 assembly statistics based on the alignment of the generated contigs against the reference genomes.
181 Only contigs longer than 500 bp and with x10 coverage or more were selected for calculating the
182 general statistics. MetaQUAST failed to run with some draft metagenomes. For that reason,
183 minimap2 (Li *et al.*, 2018; v.) was employed to align the assemblies to the reference metagenome.
184 Then, ‘pileup.sh’ script from BBTools (sourceforge.net/projects/bbmap/, v. 2.15-r915) suite was
185 utilized to calculate the percentage of metagenome covered by the draft assemblies.

186

187 The resulting assemblies were further evaluated in order to determine their error profile. Due to the
188 lack of a standard methodology, SNPs and indels were ascertained using two different strategies.
189 The first one consisted of the alignment of the contigs against the reference metagenome via
190 minimap2. BAM files were then revised using bcftools (<https://samtools.github.io/bcftools/>; v. 1.9)
191 and the in-house script ‘indels_and_snps.py’ (Supplementary File 1) was applied to quantify the
192 variants. The second strategy was based on MuMmer4
193 (<https://sourceforge.net/projects/mummer/files/>; v. 3.23). This tool was employed to align the draft
194 assemblies to the reference metagenome. Then, the script ‘count_SNPS_indels.pl’ from Goldstein
195 *et al.* (2019) was utilized to calculate the final number of SNPs and INDELS. In both strategies, the
196 number of variants were normalized to the total assembly size of each metagenome.

197

198 Biosynthetic gene clusters (BGCs) are usually formed by repetitive genetic structures hard to
199 assemble with short-reads technologies, and long-read technologies could thus be suitable to
200 overcome this issue. However, BGCs are also very sensitive to frameshift errors, which have been
201 reported to frequently occur in nanopore data (Goldstein *et al.*, 2019). For that reason, AntiSMASH
202 web service (v. 5.0; Blin *et al.*, 2019) was used to compare the performance on BGC prediction
203 among the different assembly tools.

204 **FINDINGS:**

205

206 Subsampling

207 In order to study the applicability of ONT to characterize low complex microbial communities, we
208 used the data recently released by Nicholls *et al.* (2019), which consisted of the ultra-deep nanopore
209 sequencing of two different mock communities by GridION and PromethION platforms. The mock
210 communities were constituted by the same ten microorganisms, but in different proportions (Table
211 1). As we wanted to study the suitability of MinION to reconstruct individual microbial genomes
212 from metagenomes, we subsampled the GridION and PromethION datasets to have a final output of
213 approximately 3 Gbps and 6 Gbps, which is the current output of MinION. In general, mean read
214 length remained the same in the subsampled datasets in comparison to the original sequencing data
215 (Nicholls *et al.*, 2019). However, read quality proved higher in the subsampled dataset, suggesting a
216 bias towards lower qualities when the data volume increases (Table 3).

217

218 [Table 3]

219

220 Metagenome assembly

221 From the selected pool of available tools (Table 2), we were able to correctly install and run five out
222 of the six long-reads assemblers, and two out of the six short-reads assemblers. In total, 58
223 assemblies were generated, 28 for the Even mock community and 24 for the Log community. The
224 total size of each draft assembly and the fraction of metagenome recovered from the reference
225 genomes were evaluated for the Even datasets in order to obtain a first view of the general tool
226 performance.

227

228 Overall, long-reads assemblers resulted in a total assembly size closer to the theoretical size, and
229 also recovered a largest metagenome fraction, with some exceptions (Fig. 1). Nevertheless, huge
230 differences were detected for both metrics among the assemblers. In general, all the assemblers
231 were far from recovering the totality of the metagenome, either in the 3 Gbps or 6 Gbps datasets
232 (Fig. 1A). It has to be noted that metaQUAST and minimap2 results were consistent for the long-
233 reads assemblers, but not for the short-reads assemblers, where minimap2 metric was significantly
234 higher (Fig. 1B). The Flye assembler yielded the best assembly in terms of total metagenome size
235 and metagenome recovery -except for the minimap2 metric-, whereas Canu proved the second best
236 assembler for both dataset sizes. Interestingly, Unicycler and Miniasm performed relatively well for
237 the 3 Gbps dataset, but when using 6 Gb, the final assembly did not improve significantly in the
238 case of Miniasm, and the general performance was highly reduced for Unicycler. Wtdbg2 resulted

239 in a poor assembly in comparison to the other long-reads tools for both the 3 Gbps and 6 Gbps
240 datasets.

241

242 MetaQUAST was further employed for evaluating the degree of completeness of the individual
243 species draft genomes (Fig. 2). As expected, yeasts were generally less recovered than bacteria, due
244 to their lower abundance (2 %) and higher genome size. Minia and Megahit were not able to
245 recover any single highly complete genome (>95 % of genome coverage) in any dataset, while
246 wtdbg2 only worked well on recovering *Pseudomonas aeruginosa*'s genome. For the 3 Gbps
247 dataset, Flye and Unicycler recovered the eight bacterial genomes with a high completeness level (>
248 99%). Canu resulted in lower recovery percentages, but still retrieved all the prokaryotic genomes
249 with a mean covered fraction greater than 87%. Unicycler was able to return three totally complete
250 genomes, but did not work properly on recovering eukaryotic genomes. This was expected, since
251 this assembler was designed for working on bacterial genomes only. For the 6 Gbps dataset,
252 Unicycler performance decreased substantially, while Canu and Flye retrieved better or similar
253 results. In general, Flye performed the best on both dataset sizes, especially if taking into account
254 the proportion of yeast genomes recovered for each tool.

255

256 These results were confirmed when analyzing the Log mock community (Fig. S1). Canu, Flye and
257 wtdbg2 were able to recover *Listeria monocytogenes* (89.1% of the total genomic DNA) and
258 *Pseudomonas aeruginosa* (8.9%) genomes with a level of completeness higher than 99%.
259 Nevertheless, only Canu and Flye recovered a significant fraction of *Bacillus subtilis* (0.89%).
260 Again, Flye outperformed the rest of the tools in terms of total metagenome recovery. Unicycler
261 failed to run with the two 3 Gbps datasets, and performed poorly with the 6 Gbps ones. These
262 results were expected, since Unicycler was designed and optimized for working with isolated
263 bacterial genomes. Finally, short-reads assemblers resulted in highly fragmented draft metagenomes
264 and were not able to recover any single complete genome (Fig. S1).

265

266 Regarding the time consumed by each tool, wtdbg2 was the fastest assembler (Fig. 3A). This tool
267 was able to assemble the 6 Gbps datasets in only 155 seconds, approximately. Miniasm was the
268 second most rapid software, followed by Flye, which was 2.1-2.5 times faster than Unicycler, and
269 3-5 times faster than Canu, the slowest tool. These trends were also found in the Log mock
270 community (Fig. S2), where Canu spent up to 22 hours to reconstruct a draft metagenome assembly
271 from the 6 Gbps datasets.

272

273 Metagenome general statistics (N50, L50, and number of contigs) were evaluated using QUAST
274 (Fig. 3). It has to be stressed that these statistics have to be taken with care in this case, due to the
275 huge variation in general performance among the different assemblers. For instance, wtdbg2
276 resulted in the higher N50 and the lower L50 values for the 6 Gbps dataset, but this tool was able to
277 cover less than the 25 % of the metagenome. In fact, the total assembly size for wtdgb2 was
278 approximately 18 Mbps, in comparison to the 53 Mbps assembled by Flye. Altogether, it can be
279 concluded that N50 and L50 results for wtdgb2 were indeed an artifact.

280

281 Short-reads assemblers performed poorly, resulting in thousands (Minia), or even hundreds of
282 thousands contigs (Megahit). Interestingly, long-reads assemblers resulted in more fragmented draft
283 genomes when using the 6 Gbps datasets, with the only exception of wtdbg2. Flye, Canu and
284 Unicycler also reduced their N50 and increased their L50 score when using 6 Gbps. This variation
285 was specially marked in the case of Unicycler, confirming a worse performance of this tool when
286 using larger datasets. Goldstein *et al.* (2019) demonstrated that Canu assemblies improved with
287 higher coverage for bacterial isolates assemblies. This fact suggests that the loss of contiguity
288 detected in Flye and Canu may be a direct consequence of a higher recovery rate of yeast genomes,
289 which might be more fragmented. Indeed, assembly statistics of these two assemblers remained
290 almost the same for the bacterial species when using 3 or 6 Gbps (Tables S1 and S2). Finally, Flye
291 resulted in a more contiguous assembly with higher N50 and lower L50 in comparison to Canu for
292 both 3 and 6 Gbps datasets (Fig. 3). Remarkably, Flye lead to the assembly of complete bacterial
293 genomes in a range of only 2 to 21 contigs (Fig. S3).

294

295 Assembly accuracy

296 Sequencing errors are the biggest throwback of third generation sequencing platforms. These errors
297 can reach the final assemblies, resulting in lower quality draft genomes. In order to evaluate how
298 the different assembles handle the MinION specific error profile, we ascertained the total number of
299 SNPs and INDELs present in each draft metagenome. As described in the Methods section, we used
300 two different -and complementary- strategies to quantify these type of errors: (1) minimap2 +
301 bcftools, and (2) MuMMer (Fig. 4). Both strategies relied on the alignment of the draft assemblies
302 to the reference metagenome, composed by a mix of all the complete genomes of each strain
303 present in the mock community.

304

305 Results were not fully consistent between the two methodologies, especially for the INDELs
306 estimation, but they still showed interesting trends. All the long-reads assemblers retrieved draft
307 metagenomes with an average similarity higher than 98.9 %, with the exception of Miniasm, which

308 resulted in an approximate accuracy of only 96%. Canu was the most accurate assembler for both
309 methodologies and datasets, followed by Unicycler for the 3 Gbps dataset and Flye for the 6 Gbps
310 one. In the case of the INDELs profile, Unicycler and Canu clearly outperformed Flye. Indeed,
311 taking into account the lack of consistency of Miniasm results, Unicycler presented the lowest
312 INDEL ratio. This might be explained by the polishing step via Racon
313 (<https://github.com/isovic/racon>) that Unicycler pipeline incorporates. In order to test this
314 hypothesis, we used Racon for polishing Flye assemblies with the original nanopore raw reads. In
315 this case, no improvements were detected in SNPs and INDELs ratio.

316

317 Biosynthetic gene cluster prediction

318 Gene prediction is highly affected by genome assembly and accuracy. Biosynthetic gene clusters
319 (BCGs) are especially influenced by these factors, since they are usually found on repetitive regions
320 which are often poorly assembled. In order to evaluate the BGC prediction on nanopore-based
321 metagenomic assemblies, we used AntiSMASH to assess the number of clusters found on the draft
322 assemblies retrieved by each tool in comparison to the reference metagenome (Fig. 5). For the 3
323 Gbps GridION dataset, Unicycler predicted the maximum number of BCGs (39/46), followed by
324 Canu and Flye (38/46). Nevertheless, Flye BGC profile differed more from the reference profile,
325 due to an enrichment in lasso peptides. To further study this phenomenon, lasso peptides predicted
326 by Flye were searched though BLAST against the BCGs predicted in the reference metagenome.
327 No hits were found, suggesting that these results might be assembly artifacts. For the 6 Gbps
328 GridION dataset, Canu performed the best, but did not increase the number of predicted clusters
329 (38/46). As expected, Unicycler drastically decreased the number of predicted BCGs. Interestingly,
330 Flye performed worse with higher coverage, and resulted in less BCGs (32/46).

331 **DISCUSSION:**

332

333 Assembling shotgun sequencing data is often a key factor for characterizing the functional and
334 taxonomic diversity of microbial communities. In the recent years, MinION (Oxford Nanopore
335 Technologies) sequencer is rapidly growing in popularity due to four basic reasons: (1) low cost, (2)
336 long-reads generation, (3) portability, and (4) real-time analysis. Different bioinformatic tools have
337 been developed in order to handle MinION sequences during the assembly process. Nevertheless,
338 there is a lack of studies evaluating the performance of the current available tools for carrying out
339 metagenomic assemblies from MinION sequences. This work aimed at filling this gap using data
340 previously published by Nicholls *et al.* (2019), which consisted of the ultra-deep sequencing of two
341 different mock communities (Table 1) using GridION and PromethION platforms (ONT). These
342 sequencers follow the same sequencing principles than MinION, but they have a significantly
343 higher output. For that reason, we decided to subsample the datasets to adequate their output to the
344 current yield offered by MinION (3-6 Gbps) (Goldstein *et al.*, 2019; Dhar *et al.*, 2019; Parajuli *et*
345 *al.*, 2019).

346

347 Despite the relatively low complexity of the mock communities analyzed in this evaluation study,
348 our results showed that there is a huge variation in assembly results depending on the software
349 chosen to perform the analysis. Minia and Megahit poorly reconstructed the microbial genomes
350 (Fig. 1 and Fig. 2) and produced highly fragmented draft assemblies (Fig. 3). This output was
351 expected, since these assemblers are highly optimized to work on short-reads, which are totally
352 different from the data generated by MinION.

353

354 Long-reads assemblers (Canu, Flye, Unicycler, Miniasm and wtdgb2) also presented significant
355 differences in the general assembly performance. Overall, only Canu and Flye performed well on all
356 the datasets tested. They were able to recover the eight bacterial genomes from the Even dataset
357 with a high degree of completeness, and also reconstructed a significant fraction of the yeast
358 genomes. Strikingly, the draft bacterial genomes were highly contiguous. In fact, Flye was able to
359 reconstruct all the prokaryotic genomes in a range of only 2-21 contigs (Fig. S3).

360

361 Although sequencing errors are one of the main throwbacks of third generation data, Canu and Flye
362 assemblies demonstrated to be up to 99.67% (Flye) and 99.87% (Canu) accurate. Regarding
363 INDELS, Flye was more prone to insertion/deletions than Canu. This might influence the prediction
364 of biosynthetic gene clusters, where Canu showed a more similar functional profile in comparison
365 to the reference metagenome. Indeed, Flye BGC profile was biased to lasso peptides. BLAST

366 analyses confirmed that these clusters did not match any other cluster predicted in the reference
367 genome. This suggests that predicted lasso peptides might be artifact probably caused by frameshift
368 erros due to INDELs, which explains that these type of cluster were more frequently detected in
369 Flye's assemblies -which had a higher INDEL ratio. Finally, time is a crucial parameter when
370 choosing a bioinformatic tool, even more if considering MinION's ability to generate real-time data.
371 In this sense, Flye was up to 6.7 times faster than Canu, which resulted to be the slowest tool tested
372 on this benchmarking.

373

374 Unicycler, miniasm and wtdbg2 results indicated that they are not suitable for metagenomic
375 assembly due to different reasons. Unicycler worked well on the 3 Gbps Even dataset, but not for
376 the rest. Indeed, this assembler was unable to run with the two 3 Gbps Log datasets, indicating a
377 lack of consistency of the software for its application in a metagenomic context. Wtdbg2 was the
378 fastest tool, but it was able to reconstruct only one complete genome for the Even datasets. For the
379 Log datasets, wtdbg2 managed to recover the two most abundant bacterial genomes, being only
380 outperformed by Canu and Flye. This fact suggested that the performance of wtdbg2 is associated
381 with the composition of the original microbiome. Lastly, Miniasm resulted in low accuracy
382 assemblies (~96 % of similarity to reference metagenome) (Fig. 4). This high error may explain the
383 fact that metaQUAST failed to analyze Miniasm results. MetaQUAST is a tool mainly designed to
384 work on second generation assemblies, and this error-prone assembly could have caused a problem
385 when aligning the contigs against the reference. In fact, Miniasm's low accuracy could be also
386 detected in the prediction of biosynthetic gene clusters (Fig. 5). For the 3 Gbps dataset, antiSMASH
387 was able to predict only 7 BGCs in the Miniasm assembly, whereas 15 BGCs were predicted in
388 wtdbg2 assembly, despite having a lower metagenome recovery fraction (~42% in Miniasm vs.
389 ~25% in wtdbg2).

390

391 To sum up, MinION data can lead to highly contiguous and accurate assemblies when using the
392 proper tools, with no need of complementary sequencing with Illumina. From all the tested
393 softwares, Flye resulted the best in terms of metagenome recovery fraction, metagenome size, and
394 contiguity. Canu was the most accurate, introduced less INDELs, and resulted in a more similar
395 BGC profile in comparison to the reference metagenome, but its assembly process also
396 demonstrated to be time consuming. This work might help software developers to design new
397 bioinformatic tools optimized for MinION-based shotgun metagenomic sequencing. Further
398 research is still needed in order to evaluate the suitability of MinION for the metagenomic analysis
399 of more complex microbial communities.

400 **CONCLUSIONS:**

401

402 Shotgun metagenomic sequencing based on short reads usually results in highly fragmented
403 metagenomes, which complicate downstream analyses such as the recovery of individual genomes,
404 or the prediction of complex and repetitive gene structures (i.e. biosynthetic gene clusters, CRISPR-
405 CAS systems, etc). This work demonstrates that, despite the high error intrinsic to third-generation
406 sequencing platforms, MinION sequencing alone can overcome these limitations and retrieve
407 extremely contiguous genomes directly from simple microbial communities,. However, there is a
408 huge variation in assembly performance depending on the chosen software. In general terms, Flye is
409 the best assembler for MinION metagenomic data. This tool leads to the highest metagenome
410 recovery ratio and performs robustly among the tested datasets. Canu is more suitable when lower
411 error rates are required, as in the case of BGC prediction. Our results, along with the fast
412 improvements of Oxford Nanopore devices and dedicated softwares, suggest that this type of
413 platforms could become the metagenomic sequencing standard in the near future.

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584 **FIGURE LEGENDS**

585

586 **Figure 1.** Evaluation of metagenome assembly size corresponding to each tested tool for the Even
587 datasets. (A) Total assembled size of draft assemblies with respect to the total size of the reference
588 metagenome; (B) Fraction of the reference metagenome covered by the draft assembly, calculated
589 by two different methods: metaQUAST (up) and minimap2 + BBTools (down).

590

591 **Figure 2.** Fraction of genome covered by draft assemblies obtained with each tool, and for each
592 individual microorganism (Even datasets). Minimap2 + miniasm assemblies are not shown, since it
593 was not possible to evaluate them with metaQUAST.

594

595 **Figure 3.** General assembly performance of each tool for the Even datasets. (A) Run time; (B) N50;
596 (C) Number of contigs; (D) L50.

597

598 **Figure 4.** Assembly accuracy for the draft assemblies in the Even datasets. (A) Percentage of
599 similarity calculated as the total number of matches normalized by the metagenome size; (B)
600 Percentage of INDELs calculated as the total number of INDELs normalized by the metagenome
601 size. In both cases, two different strategies were used: (1) alignment with minimap and evaluation
602 with bcftools + 'indels_and_snps.py' in-house script; (2) alignment with MuMMer and evaluation
603 with 'count_SNPS_indels.pl' script from Goldstein *et al.* (2019).

604

605 **Figure 5.** Number of biosynthetic gene clusters (BGCs) predicted by antiSMASH for each draft
606 assembly in the Even GridION datasets. (A) BGCs predicted for the 3 Gbps dataset; (B) BGCs
607 predicted for the 6 Gbps dataset.

608

609 **Figure S1.** Fraction of genome covered by draft assemblies obtained with each tool, and for each
610 individual microorganism (Log datasets). Minimap2 + miniasm assemblies are not shown, since it
611 was not possible to evaluate them with metaQUAST.

612

613 **Figure S2.** General assembly performance of each tool for the Log datasets. (A) Run time; (B) N50;
614 (C) Number of contigs; (D) L50.

615

616 **Figure S3.** Number of contigs for each bacterial genome retrieved by Flye for the Even datasets.

617 **TABLE LEGENDS**

618

619 **Table 1.** Description of the microorganisms comprising the ZymoBIOMICS mock communities and
620 their theoretical composition.

621

622 **Table 2.** List of assemblers selected for the present benchmarking study.

623

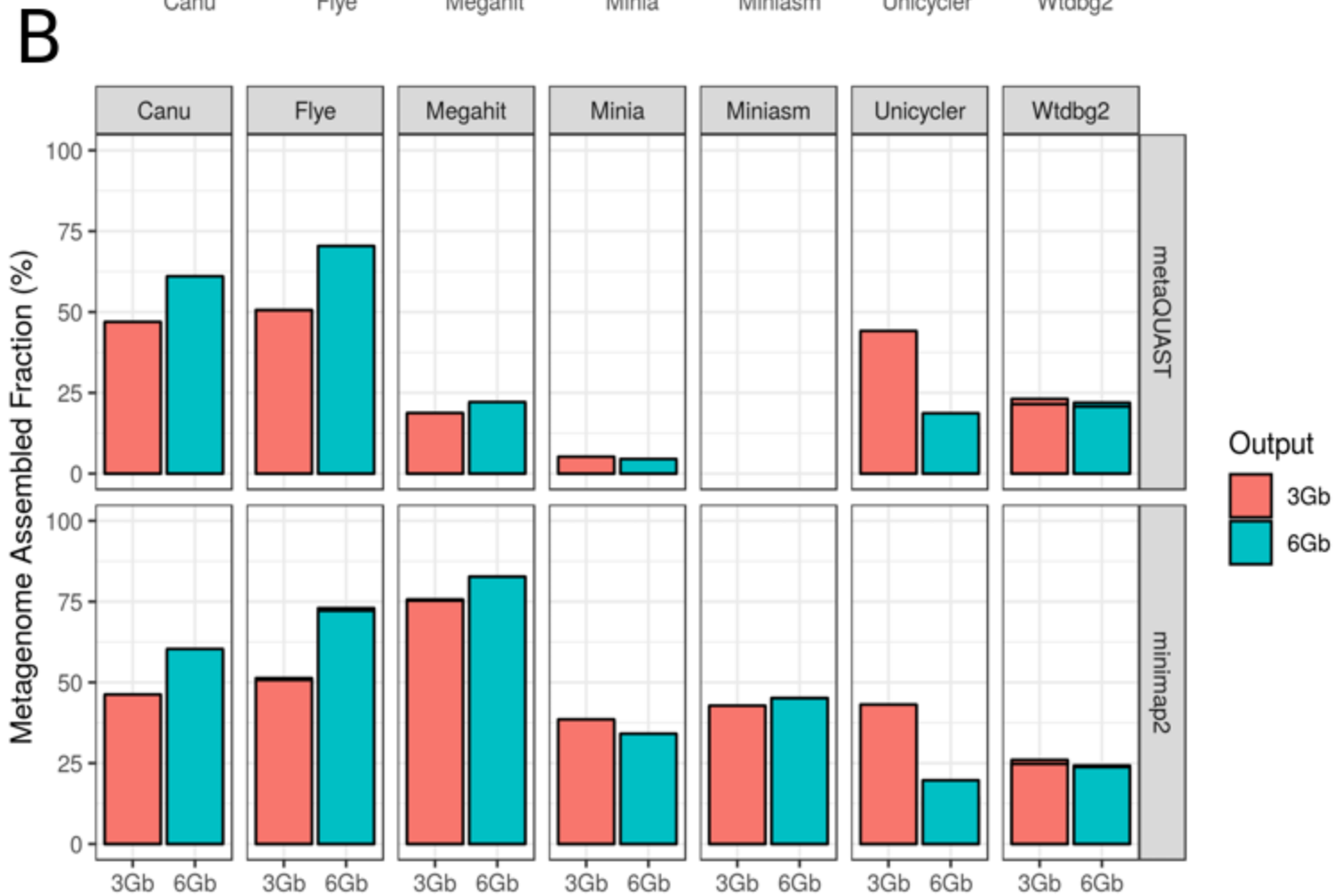
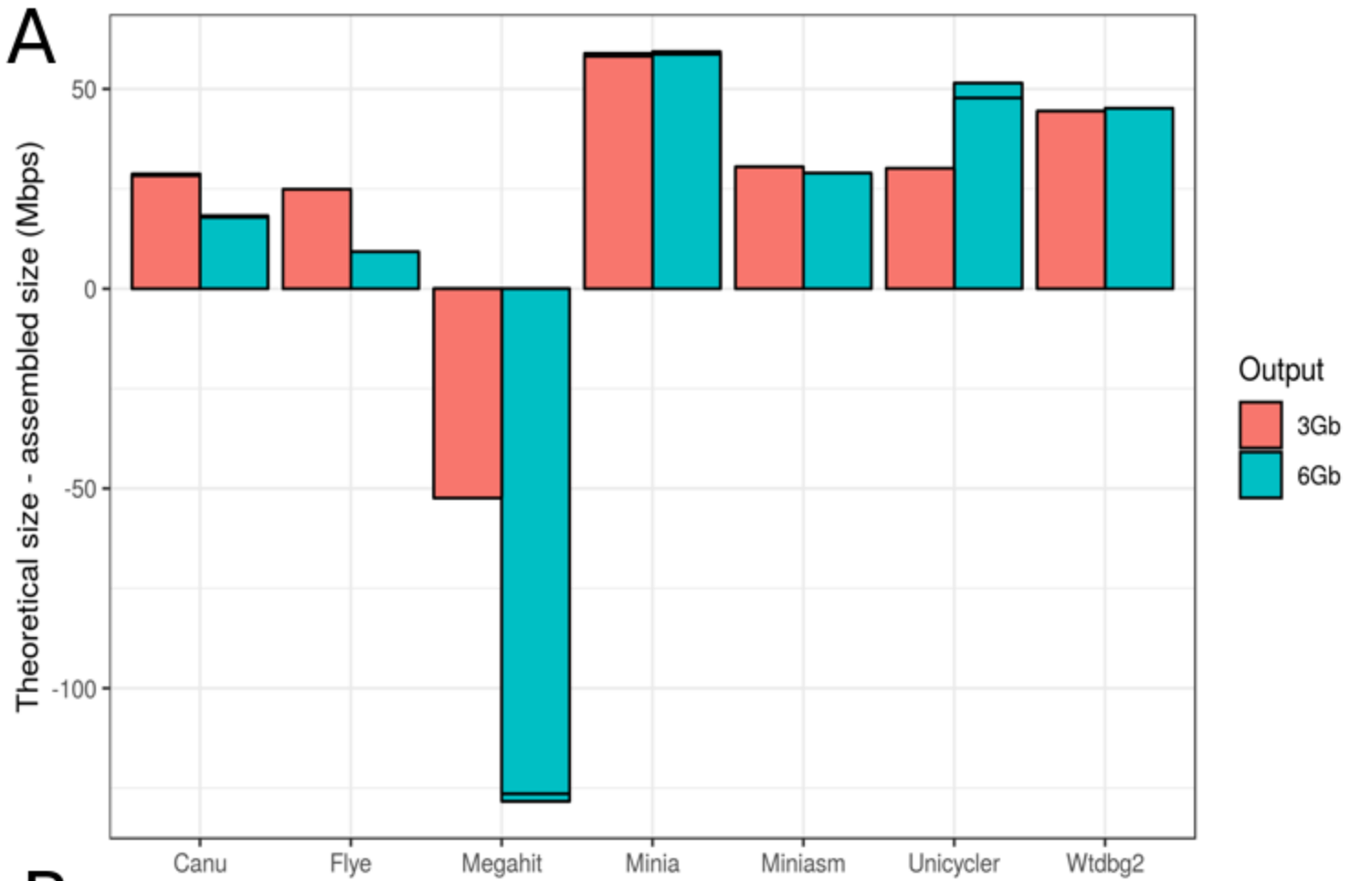
624 **Table 3.** Description of the original and the subsampled datasets.

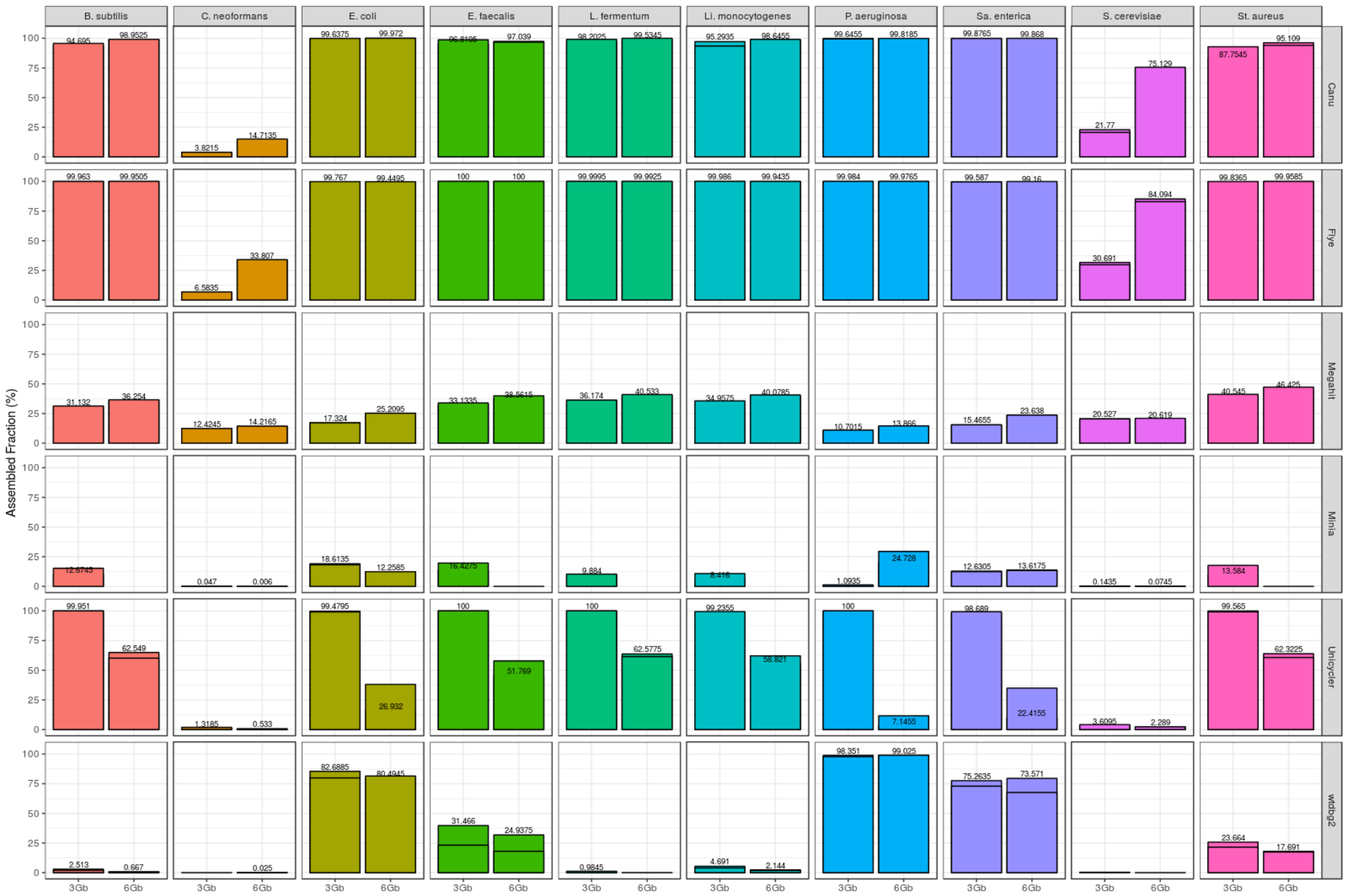
625

626 **Table S1.** Canu's basic assembly statistics for the GridION datasets.

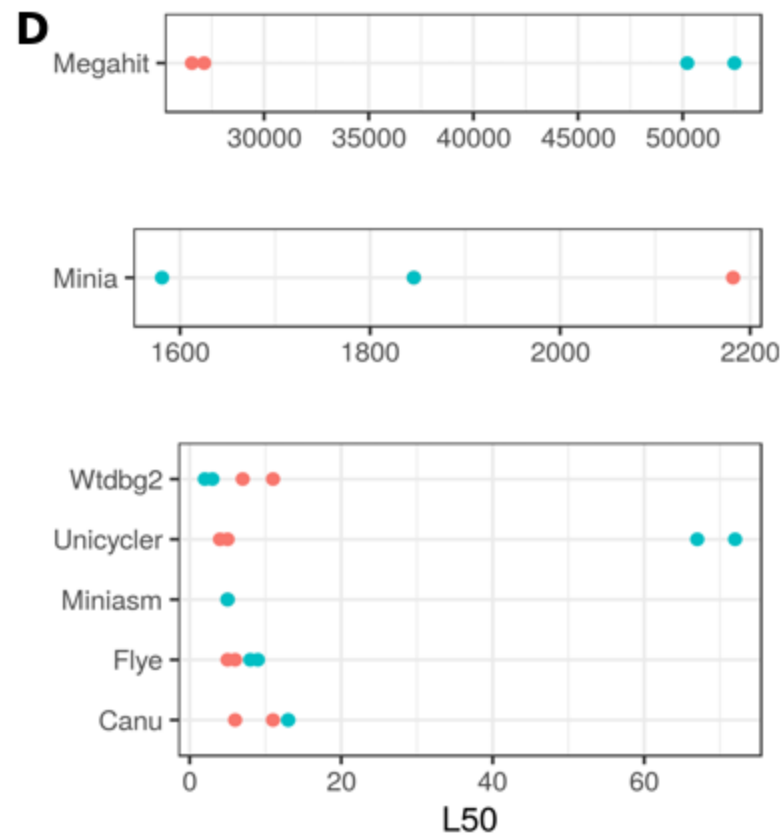
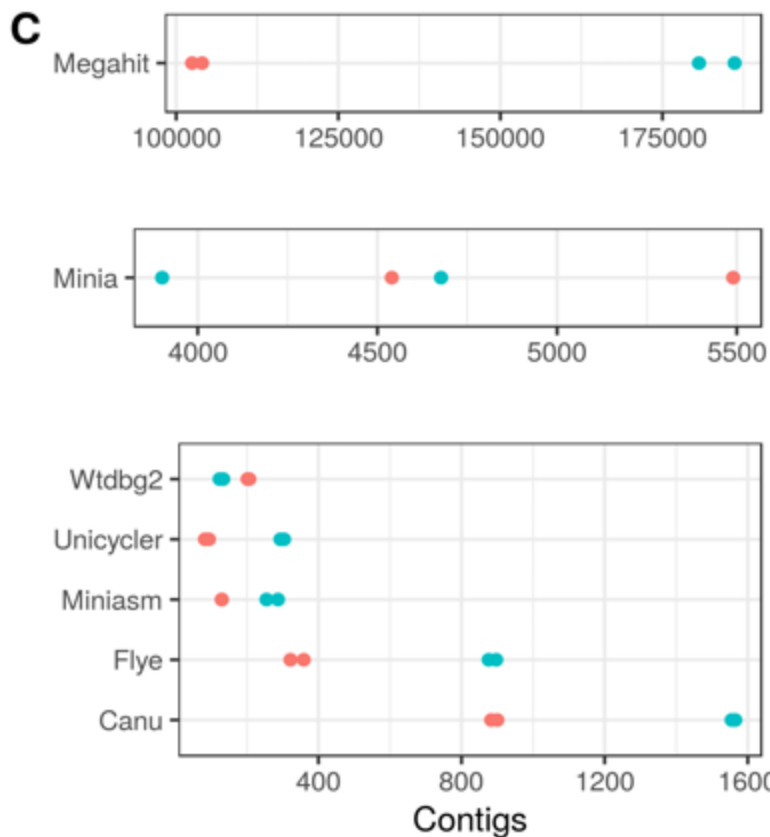
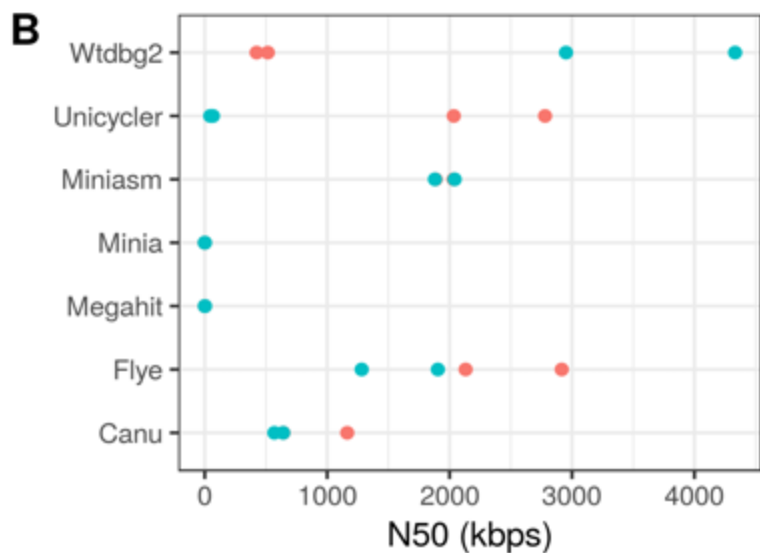
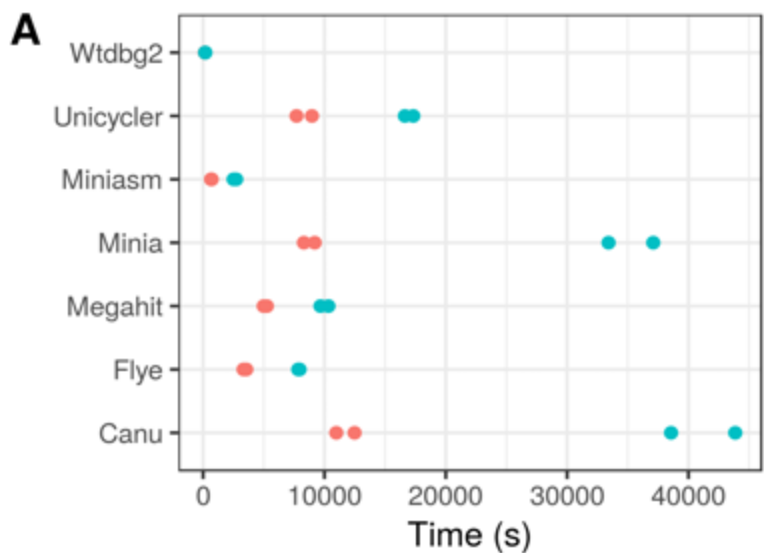
627

628 **Table S2.** Flye's basic assembly statistics for the GridION datasets.

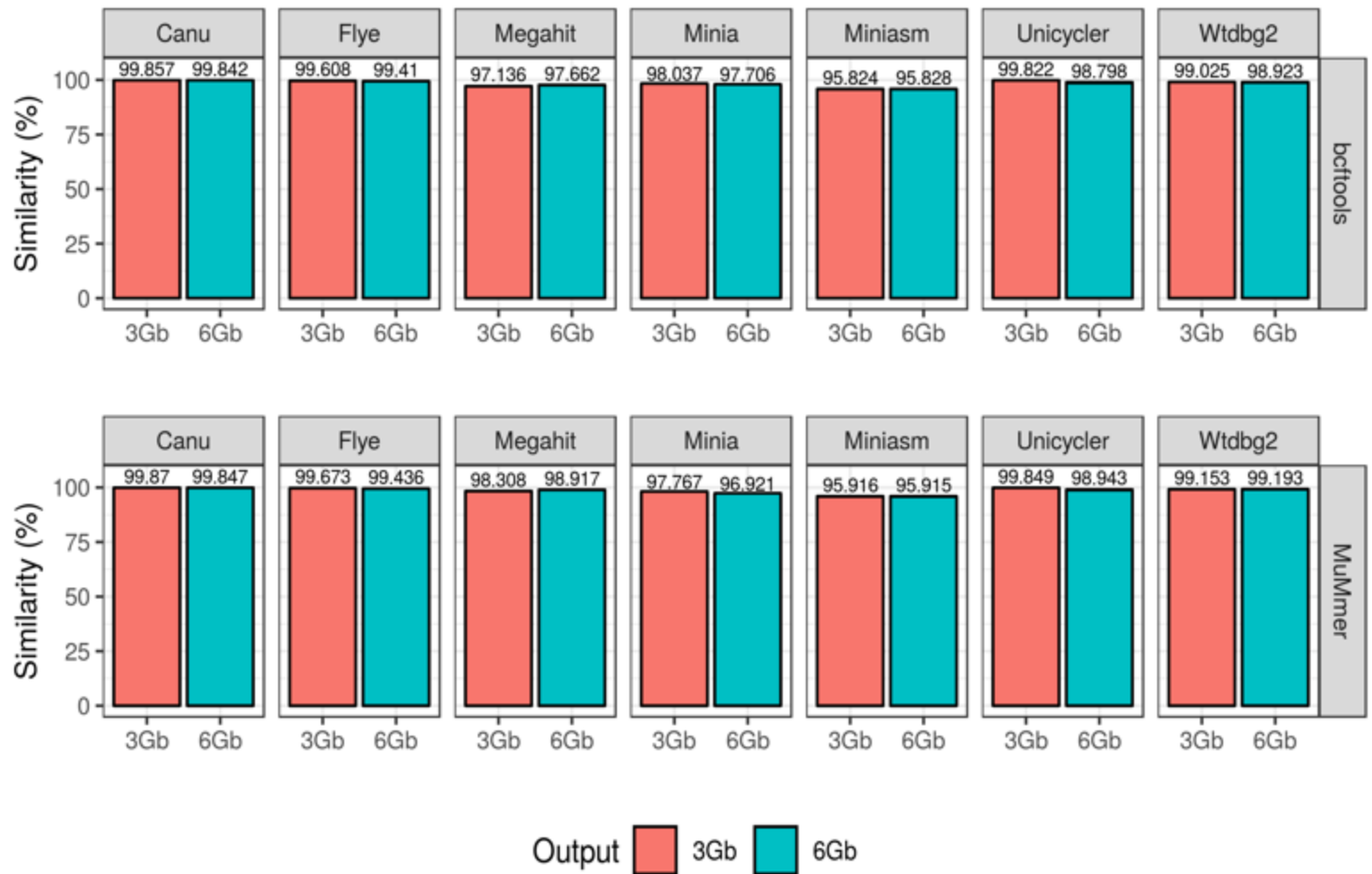




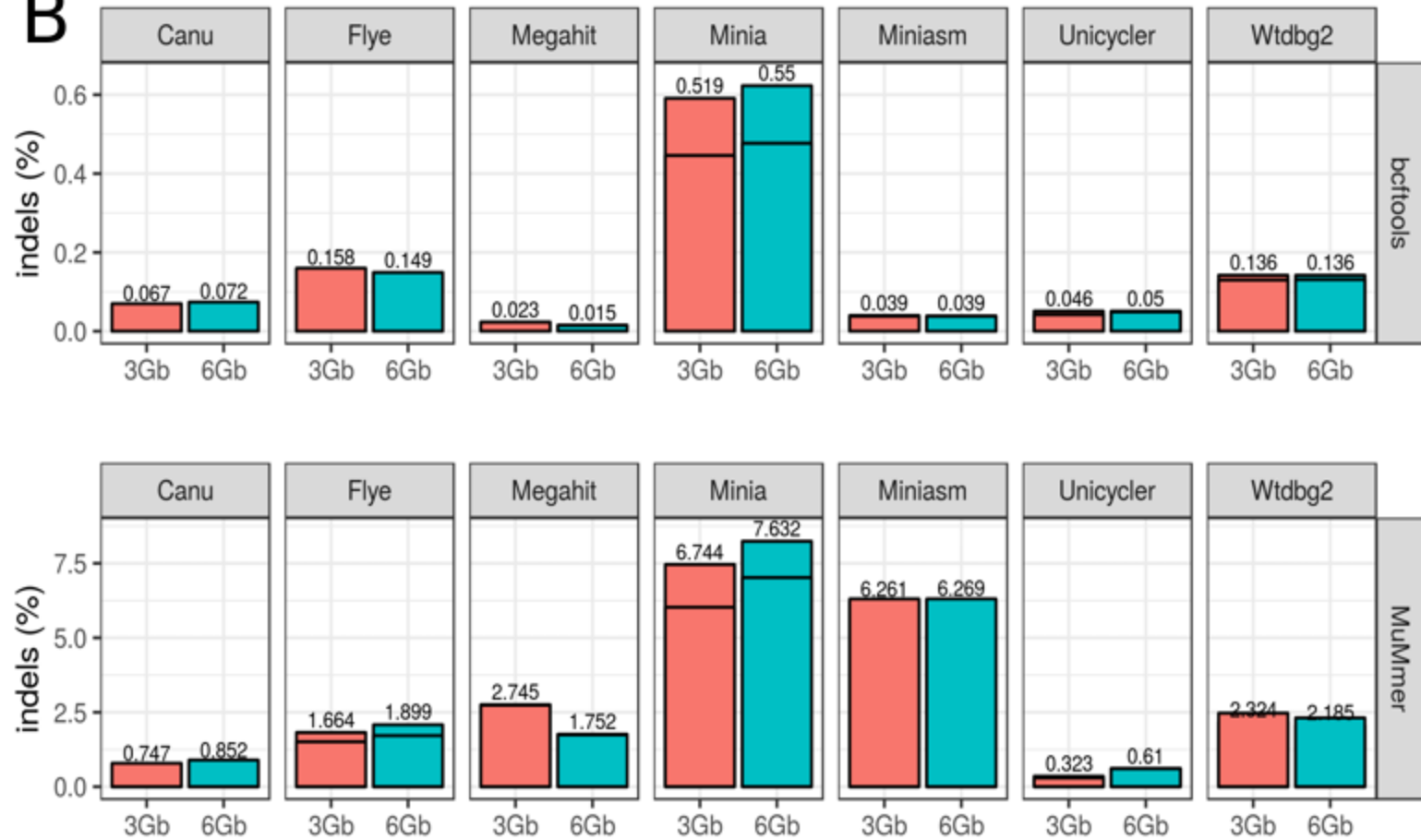
Output ● 3Gb ● 6Gb

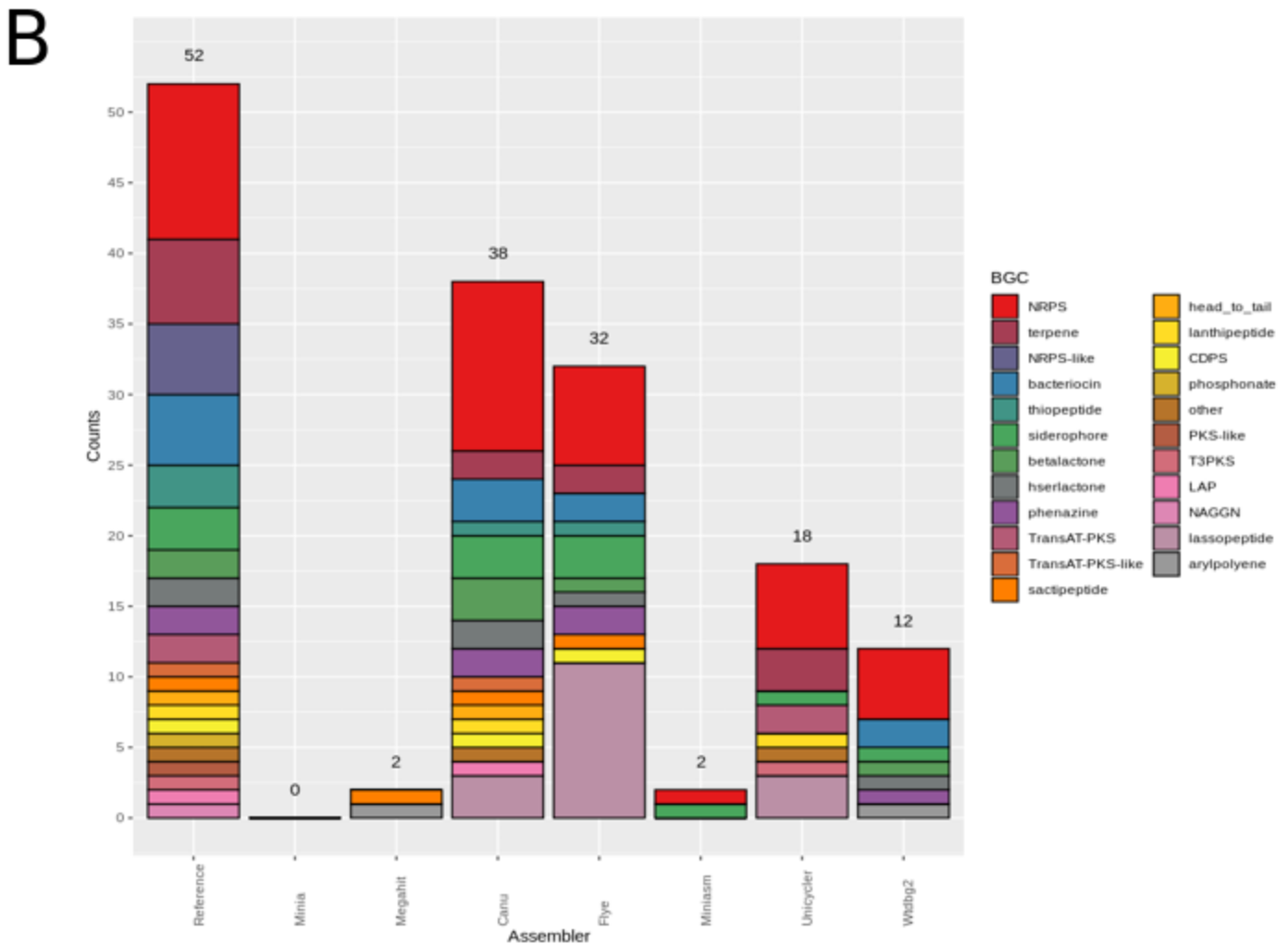
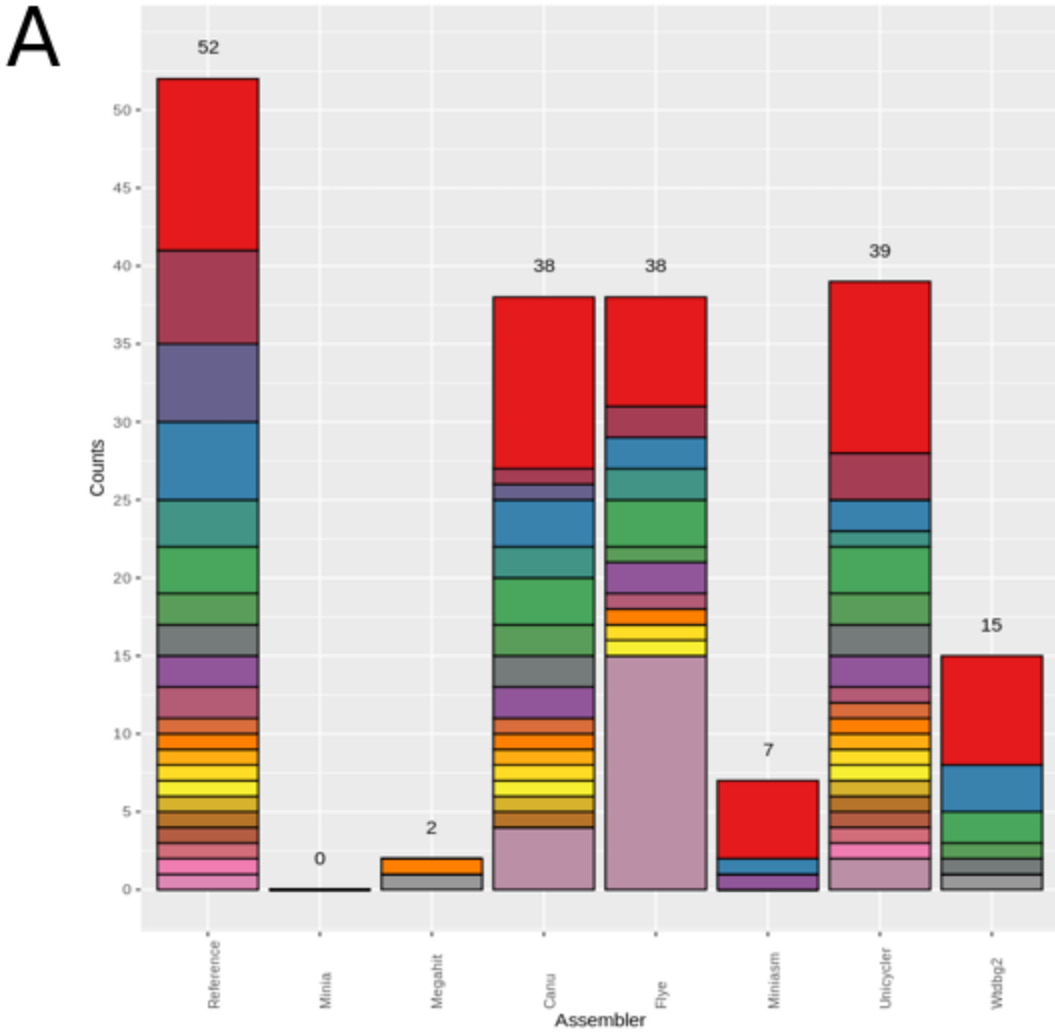


A



B





Species	Type	Estimated size NCBI (Mbp)	Theoretical Composition Even (CS)	Theoretical Composition Log (CSII)
Bacillus subtilis	Gram +	4,134	12,00 %	0,89 %
Cryptococcus neoformans	Yeast	18,599	2,00 %	0,00089 %
Enterococcus faecalis	Gram +	2,965	12,00 %	0,00089 %
Escherichia coli	Gram -	5,140	12,00 %	0,089 %
Lactobacillus fermentum	Gram +	2,012	12,00 %	0,0089 %
Listeria monocytogenes	Gram +	3,008	12,00 %	89,1 %
Pseudomonas aeruginosa	Gram -	6,592	12,00 %	8,9 %
Saccharomyces cerevisiae	Yeast	11,864	2,00 %	0,89 %
Salmonella enterica	Gram -	4,781	12,00 %	0,089 %
Staphylococcus aureus	Gram +	2,838	12,00 %	0,000089 %

Assembler	Version	Type	Incidence profile
MetaSPAdes	SPAdes v3.13.0	Short-reads assembler	RAM memory error
Megahit	MEGAHIT v1.1.4-2-gd1998a1	Short-reads assembler	No incidence reported
Minia	Minia 2.0.7	Short-reads assembler	No incidence reported
Meraga	---	Short-reads assembler	Several errors occurred when running the pipeline. Lack of an understandable manual for working with metagenomic data
Velour	Velour-0.1	Short-reads assembler	Several errors occurred when running the pipeline. Lack of an understandable manual for working with metagenomic data.
Velvet	Velvet 1.2.10	Short-reads assembler	Several errors occurred when running the pipeline. No understandable error messages.
Canu	Canu snapshot v1.8 +106 changes	Long-reads assembler	No incidence reported
Flye	Flye 2.4-ga60a338	Long-reads assembler	No incidence reported
HINGE	---	Long-reads assembler	Lack of an understandable manual for modifying the config files necessary to run the software
Miniasm	Miniasm 0.3(r179)	Long-reads assembler	Failed to run with the 6 Gbps Log datasets
Unicycler	Unicycler v0.4.8-beta	Short-, long- and hybrid reads assembler	Failed to run with the 3 Gbps Log datasets
Wtdbg2	wtdbg2 2.5	Long-reads assembler	No incidence reported

	ORIGINAL DATASET					NEW DATASET				
	Gb	Gbps	Number of reads	Mean read length	Mean read quality	Gb	Gbps	Number of reads	Mean read length	Mean read quality
Even GridION	14	14.007	3,491,078.0	4,012.3	8.4	3	3.042	747,682.0	4,069.5	8.9
Log GridION	16	16.032	3,667,007.0	4,372.0	8.0	3	3.053	685,926.0	4,451.0	8.7
Even PromethION	146	146.291	36,527,376.0	4,005.0	7.3	3	2.979	748,367.0	3,981.0	8.2
Log PromethION	148	148.028	35,118,078.0	4,215.2	7.6	3	2.990	711,524.0	4,203.3	8.3
Even GridION	14	14.007	3,491,078.0	4,012.3	8.4	6	6.092	1,495,377.0	4,073.9	8.8
Log GridION	16	16.032	3,667,007.0	4,372.0	8.0	6	6.094	1,371,820.0	4,442.4	8.5
Even PromethION	146	146.291	36,527,376.0	4,005.0	7.3	6	5.970	1,496,919.0	3,988.8	8.2
Log PromethION	148	148.028	35,118,078.0	4,215.2	7.6	6	5.956	1,422,918.0	4,185.8	8.2