1 Spatial simulation of co-designed land-cover change scenarios in New England: Alternative futures and their

2 consequences for conservation priorities

- **3** Jonathan R. Thompson¹, Joshua Plisinski¹, Kathy Fallon Lambert^{1,2}, Matthew J. Duveneck^{1,3}, Luca
- 4 Morreale^{1,4}, Marissa McBride^{1,5}, Meghan Graham MacLean¹ Marissa Weis¹ and Lucy Lee¹
- 5 ¹Harvard Forest, Harvard University, Petersham, MA
- 6 ²Center for Climate, Health, and the Global Environment, Harvard TH Chan School of Public Health,
- 7 Boston, MA
- 8 ³New England Conservatory, Boston MA
- 9 ⁴Boston University, Boston, MA
- 10 ⁵ Imperial College London, UK
- 11
- 12 KEYWORDS: Land cover change, scenarios, New England, Dinamica

13 ABSTRACT:

14 To help prepare for an uncertain future, planners and scientists often engage with stakeholders to codesign alternative scenarios of land-use change. Methods to translate the resulting qualitative scenarios 15 16 into quantitative simulations that characterize the future landscape condition are needed to understand 17 consequences of the scenarios while maintaining the legitimacy of the process. We use the New England 18 Landscape Futures (NELF) project as a case study to demonstrate a transparent method for translating 19 participatory scenarios to simulations of Land-Use and Land-Cover (LULC) change and for understanding 20 the major drivers of land-use change and diversity of plausible scenarios and the consequences of 21 alternative land-use pathways for conservation priorities. The NELF project co-designed four narrative 22 scenarios that contrast with a *Recent Trends* scenario that projects a continuation of observed changes 23 across the 18-million-hectare region during the past 20 years. Here, we (1) describe the process and 24 utility of translating qualitative scenarios into spatial simulations using a dynamic cellular land change 25 model; (2) evaluate the outcomes of the scenarios in terms of the differences in the LULC configuration 26 relative to the *Recent Trends* scenario and to each other; (3) compare the fate of forests within key areas 27 of concern to the stakeholders; and (4) describe how a user-inspired outreach tool was developed to 28 make the simulations and analyses accessible to diverse users. The four alternative scenarios populate a 29 quadrant of future conditions that crosses high to low natural resource planning and innovation with local 30 to global socio-economic connectedness. The associated simulations are strongly divergent in terms of 31 the amount of LULC change and the spatial pattern of change. Features of the simulations can be linked 32 back to the original storylines. Among the scenarios there is a fivefold difference in the amount of high-33 density development, and a twofold difference in the amount of protected land. Overall, the rate of LULC 34 change has a greater influence on forestlands of concern to the stakeholders than does the spatial 35 configuration. The simulated scenarios have been integrated into an online mapping tool that was 36 designed via a user-engagement process to meet the needs of diverse stakeholders who are interested 37 the future of the land and in using future scenarios to guide land use planning and conservation priorities.

38 INTRODUCTION:

Scenario planning is a rigorous way of asking "what if?" and it can be a powerful tool for natural
 resource professionals preparing for the future of socio-ecological systems. In the context of land-use or
 regional planning, scenario development uses a structured process to integrate diverse modes of
 knowledge to create a shared understanding of how the future may unfold (MA 2005, Mahmoud et al.

2009, Wiebe et al. 2018). The resulting scenario narratives that emerge from participatory scenario
planning describe alternative trajectories of landscape change that would logically emerge from different
sets of assumptions (Thompson et al. 2012). Scenarios are not forecasts or predictions; instead, they are
a way to explore multiple hypothetical futures in a way that recognizes the irreducible uncertainty and
unpredictability of complex systems (Pedde et al. 2018).

48 Scientists are increasingly co-designing scenarios with stakeholders—i.e., groups of people who 49 are both affected by and/or can affect decisions or outcomes (Voinov and Bousquet 2010, Reed et al. 50 2013, McBride et al. 2017). Co-designing scenarios increases the range of viewpoints and expertise 51 included in the process and, in turn, attempts to increase the relevance, credibility and salience of 52 outcomes (sensu, Cash et al. 2003). Participatory land use scenario development is particularly useful in 53 landscapes such as New England where landscape change is driven by the behaviors and decisions of 54 hundreds of thousands of stakeholders that are not amenable to centralized planning or prediction. A 55 land-use scenario co-design process typically results in a set of contrasting storylines that describe the 56 way the future might unfold, based on specific assumptions about dominant social and ecological forces 57 of change within a landscape (Ramírez and Selin 2014, McBride et al. 2017).

58 The utility of qualitative, co-designed scenarios can be enhanced by linking them to quantitative 59 representations of future land-use change, as generated by a spatially explicit simulation model.

60 However, translating between narrative scenario descriptions and quantitative models presents

61 challenges and tradeoffs related to the treatment of uncertainty, the potential to accommodate

62 stakeholders in the process, the resources required, and the compatibility with different types of

63 simulation models (see reviews of these factors in: Mallampalli et al. 2016, Pedde et al. 2018). These

64 challenges notwithstanding, variations on the "Story and Simulation" approach (sensu Alcamo 2008) to

65 scenario planning are increasingly used in in environmental planning and are the basis for many large-

scale regional scenario assessments (MA 2005, Rounsevell et al. 2006, Thompson et al. 2014, 2016,

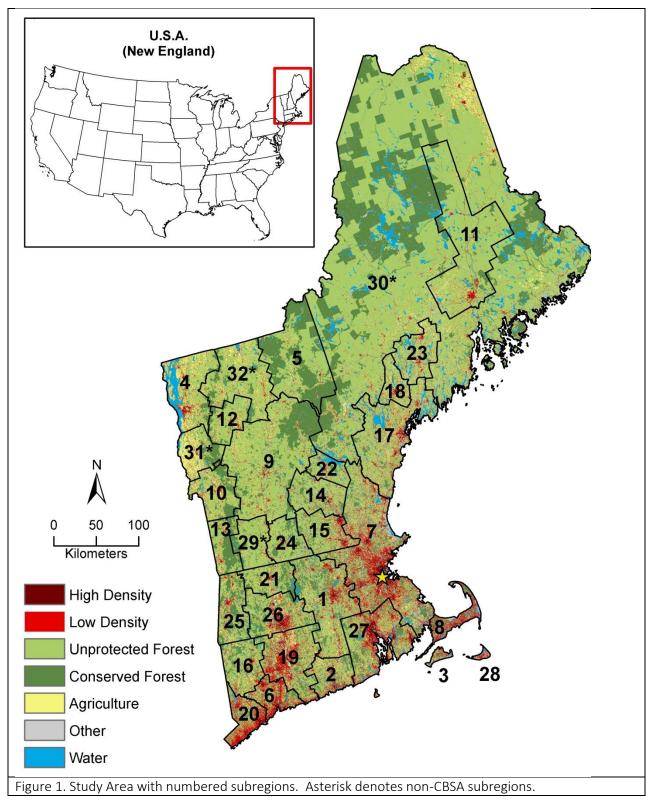
67 Carpenter et al. 2015, Sohl et al. 2016, Kline et al. 2017).

68 Cellular land change models (LCM) have features that make them well suited to the translation of 69 gualitative scenarios to spatial simulations (Brown et al. 2013, Dorning et al. 2015, Thompson et al. 2017). 70 Cellular LCMs are phenomenologically driven, as opposed to process-driven, and are often used to 71 project observed trends of land use and land cover (LULC) change forward in time. By projecting observed 72 trends of LULC change, they operate with the implicit assumption that the future will be a continuation of 73 the past (e.g., Thompson et al. 2017). These models quantify the rate of LULC change and the 74 relationships between the location of observed LULC change (i.e., a change detection) and a suite of 75 spatial predictor variables--e.g., patterns of existing development, proximity to city centers or roads, 76 topography, demographics etc. Simulating these patterns into the future constitutes a "recent trends" 77 scenario, which can be used as a baseline, against which alternative scenarios can be evaluated. Then, by 78 adjusting LULC change rates and/or re-defining the strength or nature of the relationships between LULC 79 changes and spatial predictor variables, modelers can systematically and transparently simulate 80 alternative scenarios. Cellular LCMs can also incorporate feedbacks to LULC change and portray multiple 81 interacting land uses. For example, on a simulated forested site, new land protection can prevent new 82 residential development from occurring. New residential development in a simulation can also increase 83 the probability that additional new development will occur in proximity to existing development. This 84 dynamic modelling approach produces a realistic manifestation of LULC change by re-producing observed 85 landscape patterns (Wilson et al. 2003). Finally, cellular LCMs are relatively straightforward to

- 86 understand and to describe to stakeholders, as compared with agent-based or other more
- 87 computationally sophisticated approaches to land-use simulation (Brown et al 2013).
- 88

89 New England Landscape Futures:

90 Here we use the New England Landscape Futures (NELF) project as a case study to demonstrate the potential for translating participatory scenarios to simulations of LULC change and for understanding 91 92 the consequences of alternative land-use pathways for conservation priorities. NELF is a multi-93 institutional, participatory scenario project with the overarching goal of building and evaluating scenarios 94 that show how land-use choices and climate change could shape the landscape over the next 50 years. 95 The six-state, 18-million-hectare region has several characteristics that lend itself to participatory 96 scenario planning (McBride et al. 2017). Seventy-five percent of New England forests are privately owned, 97 including the nation's largest contiguous block of private commercial forestland (> 4 million ha) plus 98 hundreds of thousands of family forest owners with small to mid-sized parcels totaling > 7 million 99 hectares (Butler et al. 2016). It is among the most forested and most populated regions in the U.S.; 100 average forest cover in the region exceeds 80% but ranges from 50% in Rhode Island to 90% in Maine 101 (Figure 1). The future of these forests is in question. Since 1985, roughly 10,000 ha yr-1 of forest have 102 been lost to commercial, residential, and energy development, marking the reversal of a 150-year period 103 of forest expansion in the region (Olofsson et al. 2016). Working to slow the rate of forest loss are a range 104 of robust conservation initiatives that have, to date, permanently protected 23% of the region from 105 development; half of this conservation land has been protected since 1990 (Foster et al. 2017, Sims et al. 106 2019). Modern land protection in this region is primarily achieved by private land owners voluntarily 107 placing conservation restrictions on their land. Likewise, development of forest or agricultural sites to 108 residential or commercial uses is made primarily by individual private land owners. Thus, these individual 109 choices are collectively determining the future of the shared landscape. There is no central decision-110 making authority for land use; instead, the condition of future landscape will be the product of countless 111 independent landowner decisions and a conglomerate of local, regional, and state policies. 112 113





McBride et al. (2017) describe the participatory process through which the NELF project co-

designed four divergent narrative scenarios that contrast with a *Recent Trends* scenario. In brief, four

scenarios were co-designed through a structured scenario development process that engaged > 150

- stakeholders and scientists from throughout the study region. Using the Intuitive Logics approach to
- scenario development popularized by Royal Dutch Shell/Global Business Network (Bradfield et al. 2005),
- 120 the NELF project stakeholders envisioned opposing outcomes of two key drivers of land-use change that
- 121 they identified as highly impactful and highly uncertain: socio-economic connectedness and natural
- 122 resource planning and innovation. The process resulted in a matrix of four quadrants that encompassed
- 123 four broad scenarios. Participants then added details about each scenario storyline in qualitative terms,
- which took the form of ~1000 word narratives (McBride et al. 2017) and are summarized in the Scenario
- 125 Narratives (Table 1). Next, participants were presented with key features of the *Recent Trends* scenario
- and asked to describe how land use would differ in each of the alternative scenarios using semi-
- 127 quantitative terms. We then adjusted model input parameters to reflect the characteristics of each of
- 128 the four divergent scenarios. Finally, through a series of subsequent interactive webinars we worked with
- 129 participants to refine these parameters to ensure the scenarios captured their intent.
- 130

Table 1. Scenario Narratives

Four visions of New England in 2060:

Connected Communities - This is the story of how a shift towards living 'local' and valuing regional self-sufficiency and local resource use increases the urgency to protect local resources.

The New England population has increased slowly over the past fifty years and most communities are coping with climate change by anchoring in place rather than relocating, making local culture and the use and protection of local resources increasingly important to governments and communities. New England has been less affected by climate change than many other regions of the U.S. in this scenario. Concerns about global unrest and the environmental impacts of global trade have led New Englanders to strengthen their local ties and become more self-reliant. These factors combine with heightened community interest and public policies to strengthen local economies and fuel burgeoning markets for local food, local wood, and local recreation.

DRIVERS: High natural resource planning & innovation / Local socio-economic connectedness



Yankee Cosmopolitan - This is the story of how we embrace change through experimentation and upfront investments. While environmental changes break records and urbanization continues to pressure natural systems, society responds with greater flexibility, ingenuity, and integration.

In this scenario, New England has experienced substantial population growth spurred by climate and economic migrants who are seeking areas less vulnerable to heat waves, drought, and sea-level rise. Most migrants are international but some have relocated from more climate-affected regions in the U.S. At the same time, a strong track record in research and technology has made New England a world leader in biotech and engineering, creating a large demand for skilled labor. The region's relative resilience to climate change and growing employment opportunities has made New England a major economic and population growth center of the U.S. Abundant forests remain a central part of New England's identity, and support increases in tourism, particularly in Vermont, Maine, and New Hampshire.

DRIVERS: High natural resource planning & innovation / Global socio-economic connectedness



Growing Global - This is the story of an influx of climate change migrants seeking refuge in New England, and taking the region by surprise. New pressures on municipal services drive a trend towards privatization. Regional to national policies have promoted global trade but global agreements to address climate change have failed.

In this scenario, by 2060, a steady stream of migrants has driven up New England's population, with newcomers seeking to live in areas with few natural hazards, ample clean air and water, and low vulnerability to climate change. This influx of people has taken the region by surprise and local planning efforts have failed to keep pace with development. The region has experienced increasing privatization of municipal services as state and local governments struggle to keep up with the needs of the burgeoning

population. Trade barriers were lifted in the 2020s to counter economic stagnation and the volume of global trade has multiplied over the past 40 years as a result of increasing globalization. However, all attempts at global climate change negotiations and renewable energy commitments have failed in this globally divided world.

DRIVERS: Low natural resource planning & innovation / Global socio-economic connectedness

CO IT ALONE

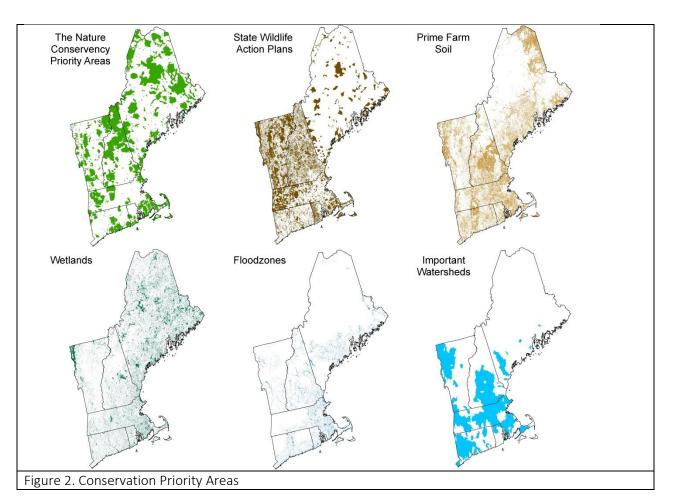
Go It Alone - This is the story of a region challenged by shrinking economic opportunities paired with increasing costs to meet basic needs, yet innovation is stagnant and new technologies are not rising to increase efficiency or create new opportunities. With local self-reliance and survival as the primary objectives, natural resource protections are rolled-back and communities turn heavily to extractive industries.

In this scenario, population growth in the region has remained fairly low and stable over the past 50 years as the lack of economic opportunity, high energy costs, and tightened national borders have deterred immigration and the relocation of people from within the U.S. to New England. The concurrent shrinking of national budgets and lack of global economic connections have left little leeway to deal with challenges such as high unemployment, demographic change, and climate resilience. Within New England this has resulted in the rolling back of natural resource protection policies and the drying up of investments in new technologies and ecosystem protections in response to a lack of regulatory drivers. Over the last 50 years, the region has seen the significant degradation of ecosystem services as a result of poor planning, increased pollution, and heavy extractive uses of local resources using conventional technologies.

DRIVERS: Low natural resource planning & innovation / Local socio-economic connectedness

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- Here our objectives are to: 1) assess the utility and challenges of translating qualitative scenarios into
- 133 spatial simulations using a cellular LCM; 2) evaluate the outcomes of the scenarios in terms of the
- differences in the LULC configuration relative to the *Recent Trends* scenario and to each other; 3)
- 135 compare the fate the landscape in terms of development and conservation within key Impact Areas (i.e.,
- areas that have been identified as being important for conservation, wetland, flood, drinking water,
- 137 farmland, and or wildlife management) (Figure 2). (4) make the scenarios and simulations available to
- **138** New England land use stakeholders.



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140 METHODS:

141 Study Region:

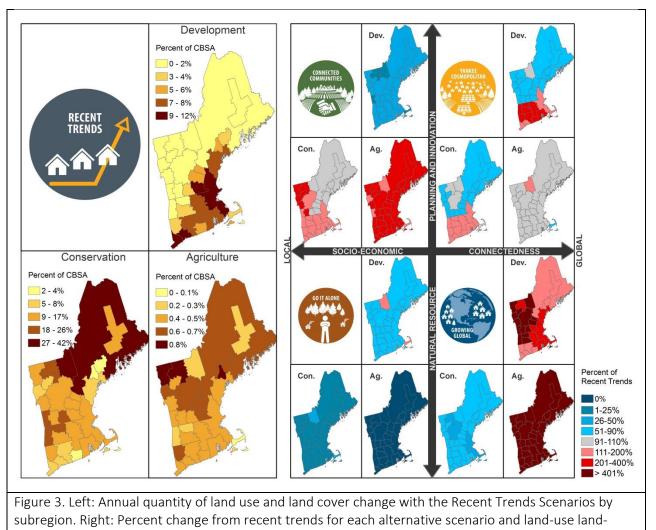
142 New England has a land area of 162,716 km² and includes the six most northeasterly states in the 143 U.S.: Maine (80,068 km²), Vermont (23,923 km²), New Hampshire (23,247 km²), Massachusetts (20,269 144 km²), Connecticut (12,509 km²) and Rhode Island (2,700 km²) (Figure 1). In 2010, the nominal starting 145 date for the scenarios, 80.1% of the region was forest cover, 7.3% was low density development defined as development with <50% impervious cover, 1.3% was high density development defined as 146 147 development with >50% impervious cover, and 6.4% was agricultural cover. These estimates were calculated from two sources: (1) the 2010 land cover map produced by Olofsson et al. (Olofsson et al. 148 149 2016) applying the Continuous Change Detection and Classification (CCDC) algorithm to Landsat data for all of Massachusetts, New Hampshire, and Rhode Island, 93% of Vermont, 99% of Connecticut, and 150 151 approximately 33% of Maine and (2) the 2011 National Land Cover Dataset (NLCD), also a Landsat 152 product, for the remainder of New England (Homer et al. 2012). The CCDC and NLCD maps were 153 reclassified to a common legend consisting of: High Density Development, Low Density Development, Forest, Agriculture, Water, and a composite "Other" class that consisted of landcovers such as bare rock 154 155 and, wetlands which made up less than 5% of the landscape at year 2010 (Appendix I, table 1). 156 To account for regional variation in the patterns and drivers of land-cover change, we delineated 157 32 subregions within New England (Figure 1) and independently fit the LCM to the rate and spatial

allocation of change within each subregion. The subregions primarily follow U.S. Census Bureau defined

- 159 Core Base Statistical Areas (CBSA), which represent both Census Metropolitan and Micropolitan statistical
- areas (<u>www.census.gov</u>; accessed 4/20/2019). CBSAs are delineated to include a core area containing a
- substantial population nucleus, together with adjacent towns and communities that are integrated with
- the core in terms of economic and social factors. New England includes 27 CBSAs, however not all of New
- 163 England is covered by a CBSA. Accordingly, we added five rural areas to fill the gaps, for a total of 32
- 164 unique subregions. Among subregions, the Boston-Cambridge-Newton subregion (hereafter "Boston") is,
- by far, the most populous; it contains the city of Boston, which is the region's largest city, and in 2010
- accounted for 31% of the region's total population.

167 *The simulation framework:*

168 We used the Dinamica Environment for Geoprocessing Objects (Dinamica EGO v.2.4.1) to 169 simulate fifty years (2010 to 2060) of LULC change for each scenario, using ten-year time steps. Dinamica 170 EGO is a spatially explicit LCM capable of multi-scale simulations that incorporate spatial feedbacks (Soares-Filho et al. 2002, 2009). The model has several attributes that make it well suited to simulating 171 172 alternative LULC scenarios. Users prescribe the rate of each potential transition (Figure 3), the ratio of 173 new vs. expansion patches, the mean and variance of new patch sizes, and patch shape complexity. The 174 conditional probability of each transition is developed in relation to a suite of spatial predictor variables. 175 When simulations are intended to project the pattern of LULC change observed in the past, Dinamica 176 EGO employs a weights-of-evidence approach to set the transition probability for every pixel (Soares-177 Filho et al. 2009). This method is based on a modified form of Bayes theorem of conditional probability; it 178 derives weights such that the effect of each spatial variable on a LULC transition is calculated 179 independently. We used this approach to develop the spatial allocation of land-use to simulate a Recent 180 Trends scenario in New England (Thompson et al. 2017) then modified the conditional probabilities to 181 simulate the alternative scenarios (see below).



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183 Simulating co-designed scenarios:

cover change

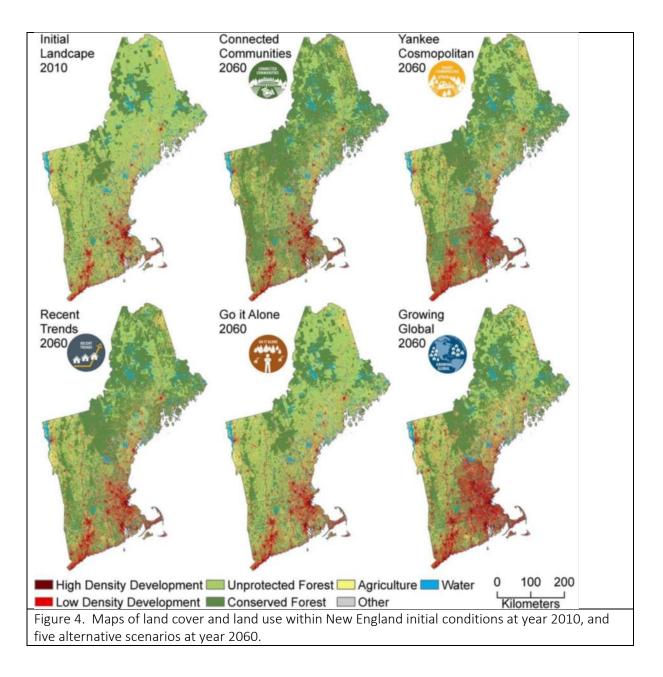
184 We simulated each of the five LULC change scenarios using Dinamica (Figure 4). The first scenario, the Recent Trends, projects the types, rates, and spatial allocation of land cover change and land protection 185 observed during the period spanning 1990 to 2010. Thompson et al. (2017) described the approach for 186 187 simulating the Recent Trends scenario; all LULC transitions in the alternative scenarios were simulated 188 using the same approach. For every LULC transition type, the rate, and allocation observed within each 189 subregion was applied to each time step in the simulation. . For the Recent Trends scenario, the 190 transition rate and spatial allocation of the transitions was based on the conversion rate, average patch 191 sizes, ratios of new patch to patch expansion, and patch shape complexity found within the transitions 192 observed in the 1990 to 2010 reference period. The spatial distribution of LULC change was based on 193 observed relationship to eight predictor variables (Table 2). When a subregion could not accommodate a 194 new LULC transition, any remaining unfulfilled transitions were evenly distributed to neighboring 195 subregions. This allowed high development growth subregions like Boston (#7) to spill over into

196 neighboring subregions. The exception to this rule was the island subregions of Nantucket (#28) and

197 Martha's Vineyard (#3), which were not allowed to spill over since they had no neighboring subregions.

Table 2. Driver variables.			
Variable	Units	Minimum Bin Size	Source
Distance to Development	Meters	100 m	Olofsson et al. 2016
Distance to Cities with population > 30,000	Meters	10,000 m	U.S. Department of the Census 1990, 2010
Distance to Roads/Highways	Meters	100 m	Olofsson et al. 2016
Slope	Degrees	2°	U.S. Department of the Census 1990, 2010
Land Owner Type	Categorical	NA	Sewall GIS Services 2015 http://www.sewall.com/services/geospatial/gis.php
Wetlands	Categorical	NA	U.S. Fish and Wildlife Service 2016, Federal Emergency Management Agency 2016, United States Geological Service 2016.
Population Density	People per Square Kilometer	25 ppl/sq. km.	U.S. Department of the Census 1990, 2010.
Farm Soil	Categorical	NA	U.S. Department of Agriculture 2016.

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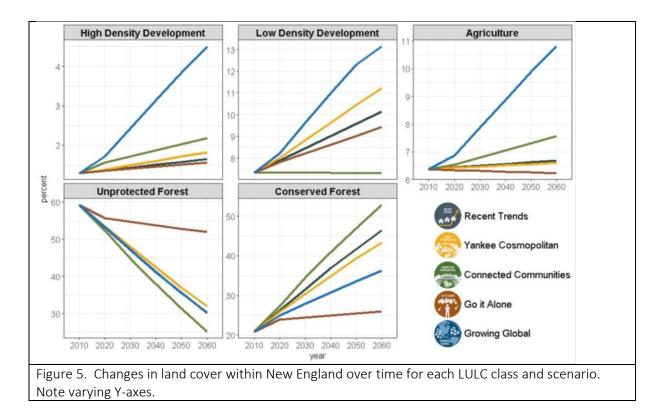
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200 The four co-designed scenarios have many distinct characteristics of LULC change; they are: Yankee 201 Cosmopolitan, Connected Communities, Go it Alone, and Growing Global (Box 1). The spatial distribution of each land use in each scenario varied across the landscape and among the scenarios (Figure 4). We 202 203 used the qualitative descriptions of land-use change provided by the stakeholders in the scenario 204 narratives to develop and propose spatial allocation plans for the land-use transitions in the co-designed 205 scenarios. These spatial allocation plans were presented to the stakeholders in terms of modifications to 206 the baseline weights calculated for the Recent Trends scenario. These modifications were then vetted 207 with the stakeholders via webinars and online real-time polling to assess whether they accurately 208 captured their intended deviation from the spatial patterns present in *Recent Trends*. For example, the 209 Connected Communities scenario narrative stated that "New settlements tend to occur in planned urban 210 centers"; in response, we suggested that the probability of development be increased as a function of

- 211 proximity to urban centers and, in a webinar, the stakeholders voted on one of three such modifications
- that differed in terms of the magnitudes of the adjustment. Table 3 shows the final spatial allocation
- 213 plans in conjunction with their corresponding quotes from the scenario narratives. The stakeholders
- assumed that shifts in the LULC change regime would take some time to deviate from the *Recent Trends*
- rate, so in the first ten-year time step, the rates of LULC change ramp up or down to half of their final
- target rate (Figure 5).

Table 3. Spatial Allocation Plans	Creation Allocation Disc. (Adv. J. H T)
Narrative Quotes (Stakeholders)	Spatial Allocation Plan (Modeling Team)
Connected Communities 1. "From the early 2020s onward, local and regional governments have used tax incentives, public policies, and market subsidies to drive a shift toward sustainability and climate resilience."	1. Probability of development is reduced by -40%:1k, -30%:2k, - 20%:3k, and -10%:4k away from the coast.
2. "This renewed focus on community planning and protection of natural resources has advanced 'smart growth' measures that balance development needs with the need to protect natural infrastructure."	2. All FEMA +1 foot sea level rise, FWS wetlands, and NHD flood risk zones are ineligible for development.
3. "New settlements tend to occur in planned urban centers"	3. Probability of development is increased by 30% within 1k of a city center with population over 10,000, 29% within 2k, 28% within 3k, ramping down to 1% within 30k.
 "resulting in higher density development (in-fill), and as pockets of clustered growth at the urban fringe." 	4. Mean patch size for new development has been doubled. Isometry modifier increased from 1.1 to 1.2. The ratio of new vs. expansion patches has been increased by + 0.1 for all regions (a few regions max out at 100% by expansion).
5. "Strong urban planning yields developments where more people can walk to work."	5. Probability of development is increased close to town centers. +30%:1k, +25%:2k, +20%:3k, +15%4k, +10%:5k.
6. "With the interest in localism there is a strong focus on the protection of wildlands for wildlife and ecosystem services."	6. Probability of conservation types Private Reserves, Private Working Forests, and Small Private Multi-Use forests have probability increased by 10% in all high priority conservation areas (State Wildlife Action Plans).
7. "State and local governments have invested greater public funding in land protection for forest health, flood control, and water quality."	7. Probability of conservation type Public Multi Use increase by 20% in all high priority conservation areas (State Wildlife Action Plans) and in the top 25% Forest to Faucets defined high importance watersheds, plus a further increase of 10% in FEMA and NHD flood zones.
8. "Municipal governments are also protecting land for public parks near population centers."	8. Probability conservation type Public Park is increased by 30% within 1k of city centers with populations over 10,000, 29% within 2k, 28% within 3k, ramping down to 1% within 30k.
CANADA TANKE	
Yankee Cosmopolitan 1. "New England has experienced substantial population growth spurred by climate and economic migrants who are seeking areas less vulnerable to heat waves, drought, and sea-level rise."	1. Probability of development is reduced by 20% within 500m of the coast, -19% 1000m from the coast, -18% 1500m from the coast, down to -1% 20k from the coast. All NOAH +1 foot costal flood zones have no chance of development.

	Τι	
2. "Proactive city planning as well as public and private investment in infrastructure have helped to meet the needs of New England's growing population through well-planned housing, transportation hubs, and municipal services near city centers."	2. Probability of development is increased by 30% within 1k of city centers with populations over 10,000, 29% within 2k, 28% within 3k, ramping down to 1% within 30k. Reduced probability of development on prime agricultural soils by 10%. All FEMA and NHD flood risk zones have probability of development reduced by 20%.	
3. "As the population influx continues through the 2030s and 2040s, the pace of development begins to exceed the planning and physical capacity of many cities and development patterns devolve into sprawl."	3. Mean patch size for new development has been doubled. Isometry modifier increased from 1.1 to 1.2. The ratio of new vs. expansion patches has been increased by + 0.1 for all regions (a few regions max out at 100% by expansion). From 2030 onward, patterns follow recent trends.	
4. "Smart growth, high-density urban development, and carbon offset markets have facilitated a doubling in rates of land protection within high priority conservation areas throughout the 2020s and 2030s."	4. Probability of conservation has been increased by 20% on all high priority conservation areas (State Wildlife Action Plans).	
5. "New urban parks track with new development."	5. Probability of new public park creation is increased by 30% within 1k of city centers with populations over 10,000, 29% within 2k, 28% within 3k, ramping down to 1% within 30k.	
6. "Land protection priorities focus on the maintenance of ecosystem services, particularly in southern New England where cities depend on watershed lands for low-cost, clean drinking water."	6. Probability of conservation has been increased by 20% in MA, CT, and RI in the top 25% Forest to Faucets defined high importance watersheds.	
7. "In northern New England a modest increase in agriculture occurs near existing farms and some small patch farming emerges near towns to feed local niche markets."	7. All non-prime agricultural soils are ineligible for new agriculture. Zero probability of new agriculture within Census Urban Areas, but increase by 30% within 1k, 29% within 2k, 28% within 3k, down to 1% within 30k of the urban area boundary.	
Growing Global		
1. "New England is characterized by sprawling cities with poor transportation infrastructure, inefficient energy use, and haphazard expansion of residential development. Walkability in most cities is low and cars remain necessary to access services in most parts of the region."	1. Increase probability around highways by 20%-100m 15%- 200m 10%-300m 5%-400 so that cities sprawl along transportation corridors.	
 "New residential and commercial development around parks serve the wealthy and perforate forests around protected lands." 	2. Probability of new development has been increased by 10% within 90m of all conservation area boundaries.	
3. "U.S. food exports surge in response to changing global agricultural commodity markets, and drive the conversion of forestland to farmland. These new agricultural lands mostly extend out from existing farmland, and typically take the form of large-scale, intensive production farms for commodity crops by leading multinational agri-businesses."	3. All prime agricultural soil and non-prime soils within 300m of prime soil are eligible for conversion to agriculture. Mean new agricultural patch size has been increased by 1000%. The ratio of new vs. expansion has been increased by +0.25 for all regions (some regions max out at 100% by expansion).	
Go It Alone		
1. Spatial allocation identical to Recent Trends	1. Spatial allocation identical to Recent Trends. Only differences are in land-use quantity	



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218 Scenario Impacts on Conservation Priorities:

To explore the impacts of the scenarios, we estimated the impacts of simulated LULC change on forests
 within each scenario on the following seven key Impact Areas. We selected these areas because they
 serve as reasonable proxies for a range of values and conditions that are important to stakeholders
 (McBride et al. 2019) and have been mapped previously within New England.

223	(i)	Core Forests, were delineated as forested areas that are >30 meters from a non-forest
224		land cover at the start of the simulation (i.e., in 2010).
225	(ii)	Flood Zones, were defined where Federal Emergency Management Agency FEMA Flood
226		Zones with 1% annual probability of flooding (Zones A, AE, AH, AO, and VE) (Federal
227		Emergency Management Agency 2017). Note that not all subregions have FEMA-defined
228		Flood zones.
229	(iii)	Surface Drinking Water, were defined as the 25% highest scoring watersheds classified by
230		the US Forest Service Forest to Faucets report (Weidner and Todd 2011). Watersheds
231		were ranked based on the importance of their surface water quality in relation to the
232		human demand on that water supply.
233	(iv)	Wildlife Habitats, were delineated using State Wildlife Action Plans (SWAP) (New
234		Hampshire Fish and Game Department 2012, Maine Dept. of Inland Fisheries and Wildlife
235		2015, Massachsetts Division of Fisheries and Wiliflife 2015, Rhode Island Department of
236		Environmental Management Division of Fish and Wildlife 2015, State of Connecticut
237		Department of Energy and Enviornmental Protection 2015, Vermont Fish & Wildlife
238		Department 2015). We accounted for state level variation in wildlife conservation

priorities and for the variable proportion of land given priority status by focusing on the
top tiers of each state's Wildlife Habitat priorities as high-value wildlife conservation
assets and then standardized the scores by scaling them relative to the mean score for all
land in each state. Therefore, wildlife habitat values greater than 1.0 indicate areas with
better than average wildlife value.

- (v) TNC Priority Conservation Areas, was delineated based on The Nature Conservancy's
 Priority Conservation Areas. These areas aim to represent the full distribution and
 diversity of native species, natural communities, and ecosystems such that a conserving
 these areas will ensure the long-term survival of all native life and natural communities,
 not just threatened species and communities.
- 249 (vi) Wetlands, were defined as wetlands classified by the National Wetlands Inventory
 250 Wetlands (U S Fish and Wildlife Service 2012).
- (vii) *Prime Farmlands,* were identified using the Farmland Class from the Gridded Soil Survey
 Geographic (gSSURGO) Database (SSURGO Soil Survey Staff 2011). We merged the
 Farmland Classes: farmland of statewide importance, all areas are prime farmland,
 farmland of unique importance, and farmland of local importance into one "Prime
 Farmlands" classification.

Impact Areas were assessed based on the amount of land available for conversion to either
 development or conservation at the start of the simulations in 2010. Areas already developed or
 conserved in 2010 were considered unavailable and were thus not assessed. Additionally, areas within
 delineated Impact Areas that were ineligible for a transition based on our model rules (e.g. non-forest
 covers such as agriculture, water and other) were not considered.

261

262 Developing outreach tool:

263 We used the scenarios and simulation products to develop an online interactive mapping tool to portray 264 the interaction between land use choices and land use outcomes in New England and support efforts by 265 community groups and conservation groups to explore how they might adapt their LULC plans and 266 conservation priorities to ensure that they are robust under an uncertain future. The tool, the NELF 267 Explorer (www.newenglandlandscapes.org) was built by FernLeaf Interactive and the National 268 Environmental Modeling and Analysis Center (NEMAC) at the University of North Carolina Asheville. The 269 NELF Explorer was built using the simulation outputs in consultation with user perspectives, via a project 270 launch visioning session plus three cycles of prototyping and user-review. Users can use the NELF Explorer 271 to navigate among five scenarios (Recent Trends, Go it Alone, Connected Communities, Yankee 272 Cosmopolitan, and Growing Global) and visualize how each scenario influences land use and ecosystem 273 services at 5 time-points (2010, 2020, 2030, 2040, 2050, 2060), across all six New England states, at 274 multiple scales including state, county, town, and watershed. The NELF Explorer displays maps with land 275 use color coded (High Density Development, Low Density Development, Unprotected Forest, Conserved 276 Forest, Agriculture, and Water). Graphs show the number of acres in each type of land use for each 277 scenario at the six time-points. Also, the outcomes of scenario comparisons in 2060 for Impact Areas of 278 Flood Zones, Surface Drinking Water, Wildlife Habitats, Priority Conservation Areas, Wetlands, Prime 279 Farmland, and Core Forests are described within the tool. The tool is static; the underlying data and

- 280 calculations were completed in advance via the simulation process. Therefore, the NELF Explorer is a
- conduit for accessing pre-computed data and visualizations.
- 282

283 RESULTS:

284 Recent Trends

285 The *Recent Trends* scenario assumes a continuation of the LULC changes observed between 1990 and

- 286 2010. The rate of LULC change is constant throughout the scenario: New development covers 97 km² per
- year; new agriculture covers 16 km² per year; and new land protection covers in 835 km² per year. At year
- 288 2060 (after simulating 50 years of LULC change), developed land increased by 37% (from 14,098 to
- **289** 19,265 km²); there was little change (< 5%) in agricultural land cover (10,409 to 10,908 km²). The largest
- 290 LULC change was to protected land, which increased by 123% (from 35,300 to 78,500 km²).
- 291 Throughout the fifty-year simulation, the rate of land protection in the *Recent Trends* scenario was more
- than eight times greater than the rate of development. Because Impact Areas are not evenly distributed
- throughout New England, the spatial distribution of land protection in the *Recent Trends* scenario was
- 294 most effective for securing protection in Impact Areas that are concentrated in the north, such as Core
- **295** Forest, where 48% was protected and only 3% developed and *TNC Priority Conservation Areas* where 49%
- was protected and only 4% developed. Impact Areas that are concentrated in the south, such as with theImportant Watersheds for Drinking water only 28% was Protected and11% was developed. In addition,
- 297 Important Watersheds for Drinking water only 28% was Protected and11% was developed. In addition,298 the impact of LULC change on other conservation priorities was driven by local patterns observed in the
- 299 historical data. For example, wetlands have regulatory protection (included in our model) and thus have a
- 300 low probability of development. Indeed, despite being common throughout the region, 45% of forested
- 301 wetland areas were protected while just 0.7% were developed (note that non-forested wetlands were
- 302 protected from any transition).
- 303 Yankee Cosmopolitan
- 304 The Yankee Cosmopolitan scenario envisions a future New England that is a global hub of activity, with
- 305 commensurate changes to land use. The population is growing much faster than *Recent Trends*, but, at
- 306 the same time, natural resource planning and innovation are a priority. To accommodate population
- 307 growth spurred by climate and economic migrants, development occurred at a rate 40% greater than
- **308** *Recent Trends* (136 km² per year). Global food supply chains required minimal agriculture expansion,
- 309 which was maintained at 16 km² per year (the same as *Recent Trends*). The rate of new land protection
- 310 was reduced in the north and increased in the south, relative to *Recent Trends*. Overall, across the region,
- the rate of land protection in this scenario was 736km² per year, 12% lower than *Recent Trends*.
- 312 *Yankee Cosmopolitan* includes several modifications to the spatial allocation of LULC change in *Recent*
- 313 *Trends,* which were intended to minimize development within areas desirable for protection. However,
- the large (40%) increase in the rate of development often overwhelmed modifications to the spatial
- allocation rules. For example, the spatial allocation plan for *Yankee Cosmopolitan* included a reduced
- probability of new development within flood zones (Table 3); nonetheless, forest loss within flood zones
- by year 2060 was 86% higher than in *Recent Trends*. Reduced development probability in flood zones was
- only effective in rural subregions, where there was less development pressure. In urbanizing subregions,
- 319 where development rates were highest even low probability sites were eventually developed. Similarly,

- 320 the spatial allocation plan for this scenario increased the probability of land protection within wildlife
- habitat areas; however, the increased rate of development had a greater influence. Overall, while there
- was a small increase in protected land within wildlife habitat areas, there was also a 49% increase in
- developed areas, as compared to *Recent Trends*. Other modifications to the spatial allocation were more
- effective. For example, this scenario envisioned more urban parks thus the spatial allocation plan
- increased the probability of new protected lands within two km of city centers, which resulted in a 75%
- increase in protected areas within two km of city centers, compared to the *Recent Trends* scenario. In
- addition, concentrating development around city centers resulted in a similar amount of core forest to
- 328 the *Recent Trends*, despite accommodating 40% more development.
- 329 Connected Communities
- 330 The *Connected Communities* scenario envisions a future characterized by local socio-economic
- 331 connectedness and high natural resource planning and innovation. Population growth slowed and
- became more compact and, as a result, the rate of new development was just 25% of the rate in the
- **333** *Recent Trends*—24 km² per year. Local agriculture expanded to meet the need for local food and forests
- were converted to new agricultural land at a rate of 41 km² per year, more than 248% of the rate of
- forests to agriculture simulated in *Recent Trends*. This scenario also included a strong focus on land
- protection for wildlife and ecosystem services; the rate of new land protection was 1045 km² year.
- 337 Consistent with this scenario's emphasis on natural resource conservation and planning, the spatial
- allocation of LULC change in the *Connected Communities* scenario included a lower probability of
- development and increased probability of land protection within flood zones, wildlife habitat areas and
- 340 important drinking water watersheds. These modifications, combined with a lower overall rate of new
- development, resulted in: a 77% decrease in the amount of development in flood zones by 2060; an 80%
- decrease in the amount of development in wildlife habitat areas; and 71% increase in land protection in
- drinking water important watersheds. Indeed, the *Connected Communities* scenario had the greatest
- increase in the amount of protected land within the Impact Areas across all the scenarios. The scenario
- narrative emphasized compact development and the simulation of the scenario had the greatest
- proportion of new development was within 10 km of cities among all scenario (XX% more development
- 347 within 10km of cities than *Recent Trends*). As part of this scenario's emphasis on climate change
- adaptation, the proportion of development within 5-km of the coast (where sea-level rise is a concern)
- 349 was significantly less than *Recent Trends*.
- 350

351 Go It Alone

352 The Go It Alone scenario envisions a future with low natural resource planning and innovation and local

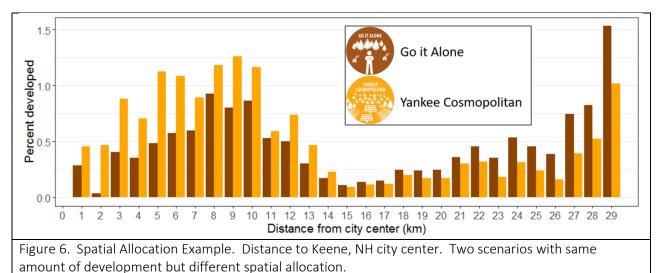
- 353 socio-economic connectedness. New England has shrinking economic opportunities and communities
- turn heavily to extractive industries. Rates of land development slowed to 75km² per year, which was a
- 355 25% reduction from *Recent Trends*. Where development continued, it was characterized by unplanned
- residential housing that perforates the landscape. There was no new agriculture cover. Land protection
- tapered off dramatically early in the scenario and by 2060 there was 80% less new protected land than in
- **358** the *Recent Trends* scenario.
- While the rates are much lower, the spatial allocation of LULC change in *Go It Alone* followed the patternsdeveloped for the *Recent Trends* Scenario. Less new development resulted in proportionately less forest

- 361 loss within Impact Areas, including 25% less priority wildlife habitat loss and 31% less development on
- flood plains. Relatedly, the large reduction in the rate of land protection resulted in *Go It Alone* having the
- 363 lowest level of conservation within Impact Areas among the five scenarios.
- 364 Growing Global
- 365 The Growing Global scenario envisions and landscape undergoing massive changes. Migration into New
- England drives up the population. Local planning efforts have failed to keep pace with development.
- 367 Economic and social connectivity is globalized while natural resource planning and innovation is low.
- 368 Compared to the *Recent Trends* scenario, *Growing Global* resulted in an 182% increase in the rate of new
- 369 development, a 900% increase in the rate of new agriculture, and a reduction of 40% in the rate of new
- 370 land protection.
- 371 In this scenario, the total amount of developed land in New England more than doubled (from 14,090 to
- **372** 28,880 km²) by 2060. Boston grew to a sprawling mega city the size of modern day Tokyo, Japan. Rapid
- and largely unregulated development resulted in the greatest increase in development within Impact
- 374 Areas among all scenarios. For example, the *Growing Global* scenario did not include any spatial modifier
- to decrease the probability of development in flood zones or other Impact Areas. As a result, by 2060, this
- 376 scenario developed 275% more flood zones compared to the *Recent Trends* scenario. There were
- similarly high (+275%) increases in development within high priority wildlife habitats. More than twice as
- 378 much land near the coast (<10km) was developed, as compared to the *Recent Trends*.
- 379

380 DISCUSSION and CONCLUSIONS:

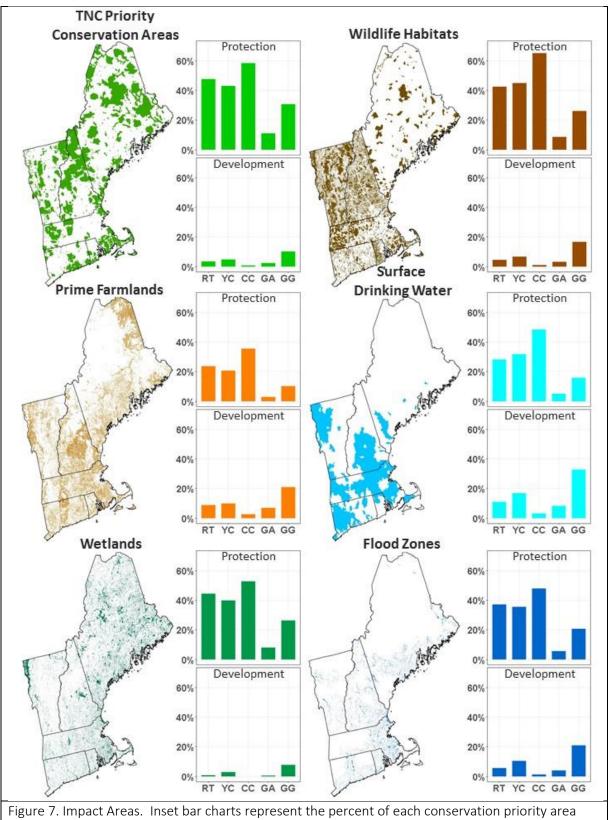
381 Our process for translating co-designed qualitative scenarios into quantitative simulations of LULC change yielded divergent representations of the future New England landscape. The simulations differ markedly 382 383 in terms of the amount of LULC change and the spatial pattern of change. Indeed, among scenarios there 384 is a fivefold difference in the amount of high-density development, and a twofold difference in the 385 amount of protected land. While all the scenarios represent distinct storylines resulting in discrete 386 manifestations of those stories, the Growing Global scenario stands out for having, by far, the greatest 387 amount of change. By year 2060, Growing Global envisions that urban expansion around Boston will 388 sprawl to an area covering more than 10,000 km2, larger in size than Tokyo, Japan. On one hand, this is 389 such a drastic change that it may seem implausible to stakeholders and thereby undermine the utility of 390 the scenario. On the other hand, the simulation is faithful to the stakeholders' storyline, which envisions 391 New England as a destination for millions of migrants fleeing the growing impacts of climate change 392 elsewhere (National Climate Assessment 2018). Specifically, the stakeholders describe: "sprawling cities 393 with poor transportation infrastructure, inefficient energy use, and haphazard expansion of residential 394 development." The plausibility of this scenario is supported anecdotally by events such as Hurricane 395 Maria, which, in 2017, displaced as many as 500,000 people from the island of Puerto Rico to the 396 mainland U.S. (Pew Research Center 2018). Given that a single storm can cause such large changes to 397 settlement patterns, it will be important to consider the consequences of scenarios, such as Growing 398 Global which push our assumptions about how the past can or cannot shape the future. Overall, the 399 simulated scenarios bound a wide range of future possibilities for the New England landscape and, as 400 such, have high potential for broadening the perspectives of planners, counteracting a general tendency 401 toward 'narrow-thinking' when planning for an uncertain future (Soll et al. 2014).

- 402 Our simulations effectively captured the land-use dynamics and features described in the scenario
- 403 storylines. Each specific modification to *Recent Trends* is annotated within the qualitative scenario
- 404 descriptions so that our stakeholders can see how their vision for each scenario was incorporated into the
- simulation. By identifying specific quotes that referenced differences in land-use patterns, then
- translating them into explicit rules for the spatial allocation of simulated LULC change (Table 3), we were
- 407 able to capture the intentions of the stakeholders in ways that had substantive and readily attributable
- 408 impacts on the simulated landscape. For example, simulated development surrounding the area of Keene,
- 409 New Hampshire (subregion 24) in *Go it Alone* and *Yankee Cosmopolitan* both have the same rate of
- 410 development but different spatial allocation of that development (Figure 6). The Yankee Cosmopolitan
- 411 narrative described: "Proactive city planning as well as public and private investment in infrastructure
- have helped to meet the needs of New England's growing population through well-planned housing,
- transportation hubs, and municipal services near city centers." Thus, a spatial modifier was implemented
- in this scenario to concentrate development close to city centers while protecting farm soils and limiting
- development in flood zones (Table 3). Overall this approach represents an effective and transparent
- 416 method for bridging the gap between non-technical stakeholders who developed the scenarios and the
- technical experts who simulated them (Mallampalli et al. 2017). We are hopeful that this clear translation
- 418 of the scenarios to the simulations bolsters the legitimacy and salience of the participatory scenario
- 419 process (*sensu* Cash et al 2002) and results in greater use by the stakeholders and decision-makers.



420

421 These simulations reveal much about the potential impacts of future land use on conservation priorities. 422 In general, the amount of projected LULC change affected the Impact Areas more than the differences in 423 their spatial allocation. For example, the Yankee Cosmopolitan scenario has several spatial allocation rules 424 designed to mitigate the impacts to conservation goals, including: reduced probability of new 425 development within flood zones and increased probability of land protection within wildlife habitat areas. 426 In comparison, the Go It Alone scenario has no modifications to the spatial allocation rules. However, Yankee Cosmopolitan has **87%** more development than Go it Alone. So despite substantial efforts to 427 428 mitigate the impacts of development, the Yankee Cosmopolitan scenario resulted in more development in 429 every category of Impact Area than Go it Alone. This pattern is consistent across all scenarios and Impact 430 Areas, insomuch as the rank order of development within each impact area matched the rank order of 431 the amount of development, despite strong differences in the spatial allocation patterns (Figure 7).



that was developed (bar left of zero), and conserved (bar right of zero) for each scenario at year 2060.

432

433 The simulated land-cover scenarios were designed to meet multiple goals. One key goal was to create 434 simulated land-cover scenarios that catalyze new research which to understand and advance sustainable 435 land-use trajectories. In addition to the analyses presented here, our hope is that the scenarios will serve 436 as a common platform that brings researchers together to examine the consequences of changing land 437 use. To that end, all the spatial layers (i.e., GIS maps) from this project are available on Data Basin¹, an 438 open-source spatial data repository. Indeed, researchers from around the region have begun to use the 439 simulation outputs within other landscape models to explore how these scenarios affect various 440 ecosystem services and landscape outcomes.

- Our final goal was to make the scenarios and simulations available to New England land use stakeholders to promote future scenario thinking at the community scale and provide a spatial analysis tool for evaluating risks to specific lands and conservation goals from the local to regional scale. For this community of users, we developed the New England Landscape Futures (NELF) Explorer². The tool was designed via a user-engagement process to meet the needs of diverse stakeholders, including conservationists, planners, developers, government leaders, and citizens who want to explore possible land-use futures in specific areas. The NELF Explorer was launched in March 2019. We are currently
- tracking use of the tool and collaborating with NELF Explorer users to document use cases. Potential uses
- of the NELF Explorer include understanding the future of the land through local scenario planning,
- 450 conservation and development planning, and community engagement/education.
- 451

452 ACKNOWLEDGEMENTS:

- 453 This research was supported in part by National Science Foundation funded to the Harvard Forest Long
- 454 Term Ecological Research Program (Grant No. NSF-DEB 12-37491) and the Scenarios Society and
- 455 Solutions Research Coordination Network (Grant No. NSF-DEB-13-38809). We thank the commitment and
- 456 energy offered by the participants of the scenario development process, and Jeff Hicks, Jim Fox, Karin
- 457 Rogers, and their team at FernLeaf Interactive and the National Environmental Modeling and Analysis
- 458 Center (NEMAC) at the University of North Carolina Asheville, for developing the NELF Explorer.

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¹ https://databasin.org/groups/26ceb6c7ece64b0d9872e118bae80d41

² <u>www.newenglandlandscapes.org</u>

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562

568 Appendix I Table 1

Reclassification Scheme				
THIS STUDY	CCDC Class	CCDC Class Description	NLCD 2001/2011 Class	NLCD 2001/2011 Class Description
High Density Developed	Commercial/ Industrial	Area of urban development; impervious surface area target 80-100%	Developed High Intensity	Highly developed areas where people reside or work in high numbers. Examples include apartment complexes, row houses and commercial /industrial. Impervious surfaces account for 80% to 100% of the total cover.
	High Density Residential	Area of residential urban development with some vegetation; impervious surface area target 50-80%	Developed, Medium Intensity	Areas with a mixture of constructed materials and vegetation. Impervious surfaces account for 50% to 79% of the total cover. These areas most commonly include single-family housing units.
Low Density Developed	Low Density Residential	Area of residential urban development with significant vegetation; impervious surface area target 0- 50%	Developed, Low Intensity	Areas with a mixture of constructed materials and vegetation. Impervious surfaces account for 20% to 49% percent of total cover. These areas most commonly include single-family housing units.
			Developed, Open Space	Areas with a mixture of some constructed materials, but mostly vegetation in the form of lawn grasses. Impervious surfaces account for less than 20% of total cover. These areas most commonly include large-lot single-family housing units, parks, golf courses, and vegetation planted in developed settings for recreation, erosion control, or aesthetic purposes.
Agriculture	Agriculture	Non-woody cultivated plants; includes cereal and broadleaf crops	Pasture/Hay	Areas of grasses, legumes, or grass-legume mixtures planted for livestock grazing or the production of seed or hay crops, typically on a perennial cycle. Pasture/hay vegetation accounts for greater than 20% of total vegetation.
			Cultivated Crops	Areas used for the production of annual crops, such as corn, soybeans, vegetables, tobacco, and cotton, and also perennial woody crops such as orchards and vineyards. Crop vegetation accounts for greater than 20% of total vegetation. This class also includes all land being actively tilled.
Forest	Mixed Forest	Forested land with at least 40% tree canopy cover comprising no more than 80% of either evergreen needleleaf or deciduous broadleaf cover	Mixed Forest	Areas dominated by trees generally greater than 5 meters tall, and greater than 20% of total vegetation cover. Neither deciduous nor evergreen species are greater than 75% of total tree cover.
	Deciduous Broadleaf Forest	Forested land with at least 40% tree canopy cover comprising more than 80% deciduous broadleaf cover	Deciduous Forest	Areas dominated by trees generally greater than 5 meters tall, and greater than 20% of total vegetation cover. More than 75% of the tree species shed foliage simultaneously in response to seasonal change.

1	-			
	Evergreen Needleleaf Forest	Forested land with at least 40% tree canopy cover comprising more than 80% evergreen needleleaf cover	Evergreen Forest	Areas dominated by trees generally greater than 5 meters tall, and greater than 20% of total vegetation cover. More than 75% of the tree species maintain their leaves all year. Canopy is never without green foliage.
	Woody Wetland	Additional class of wetland that tries to separate wetlands with considerable biomass from mainly herbaceous wetlands	Woody Wetlands	Areas where forest or shrubland vegetation accounts for greater than 20% of vegetative cover and the soil or substrate is periodically saturated with or covered with water.
			Shrub/Scrub	Areas dominated by shrubs; less than 5 meters tall with shrub canopy typically greater than 20% of total vegetation. This class includes true shrubs, young trees in an early successional stage or trees stunted from environmental conditions.
Other	Wetland	Vegetated land (woody and non-woody) with inundation from high water table; includes swamps, salt and freshwater marshes and tidal rivers/mudflats	Emergent Herbaceous Wetlands	Areas where perennial herbaceous vegetation accounts for greater than 80% of vegetative cover and the soil or substrate is periodically saturated with or covered with water.
	Herbaceous / Grassland	Non-woody naturally occurring or slightly managed plants; includes pastures	Barren Land (Rock/Sand/Clay)	Areas of bedrock, desert pavement, scarps, talus, slides, volcanic material, glacial debris, sand dunes, strip mines, gravel pits and other accumulations of earthen material. Generally, vegetation accounts for less than 15% of total cover.
	Bare	Non-vegetated land comprised of above 60% rock, sand, or soil		
Water	Water	Lakes, ponds, rivers, and ocean	Open Water	Areas of open water, generally with less than 25% cover of vegetation or soil.