Title: Freezing and water availability structure the evolutionary diversity of trees across the Americas

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Abstract

The historical course of evolutionary diversification shapes the current distribution of biodiversity, but the main forces constraining diversification are unclear. We unveil the evolutionary structure of tree species diversity across the Americas to assess whether an inability to move (dispersal limitation) or to evolve (niche conservatism) is the predominant constraints in plant diversification and biogeography. We find a fundamental divide in tree lineage composition between tropical and extratropical environments, defined by the absence versus presence of freezing temperatures, respectively. Within the Neotropics, we uncover a further evolutionary split between moist and dry forests. Our results demonstrate that American tree lineages, though broadly distributed geographically, tend to retain their ancestral environmental relationships and that phylogenetic niche conservatism is the primary force structuring the distribution of tree biodiversity.

Main text

A central challenge in biogeography and macroevolution is to understand the primary forces that drove the diversification of life. Was diversification confined within continents, and characterized by adaptation of lineages to different major environments (i.e., biome switching), or did lineages tend to disperse across great distances, but retain their ancestral environmental niche (i.e., phylogenetic niche conservatism)? Classically, the attempts to define biogeographic regions based on shared plant and animal distributions lend support to the first hypothesis, that large-scale patterns may be explained by regionally confined evolutionary diversification, rather than long-distance dispersal (1-3). Alternatively, recent studies of the distribution of plant lineages at global scales have documented high levels of inter-continental dispersal (e.g., 4-8), and revealed that lineages tend to retain their ancestral biomes when dispersing (9,10). These latter findings suggest that dispersal is not limited in plants and that strong environmental associations of lineages may be the primary force organizing the course of diversification. However, there remain relatively few studies comparing the degree of evolutionary similarity between species assemblages across biomes at broad scales to elucidate the relative importance of phylogenetic niche conservatism versus dispersal limitation in structuring the distribution of biodiversity.

With high mountain chains running north to south across a mosaic of contrasting environments, the Americas represent a natural laboratory to investigate how the distribution of biodiversity has been shaped by evolution. Although different lines of evidence suggest that plant diversity in the Americas presents a latitudinal structure (11-17), the evolutionary forces driving this pattern remain largely unexamined. Within the Neotropics, the evidence of past processes of diversification shaping the current distribution of plant diversity is contradictory. While some studies show phylogenetic niche conservatism in lineages from moist tropical forests (18) and tropical dry forest (19-21), most of the plant lineages present today in tropical savannas seem to have originated in other forested biomes and made their evolutionary shift to savannas within the last ten million years (22-23). Thus, there is a need to define a general pattern of the distribution of evolutionary diversity to understand the forces that drove this diversification.

Here, we examine the phylogenetic composition of angiosperm tree assemblages across the Americas as a means to determine whether dispersal limitation or phylogenetic niche conservatism had greater impact on the

present-day evolutionary structure of biodiversity. If lineages tend to retain their environmental niche as they diversify across space, we would expect major evolutionary groups to be restricted to specific biomes, and for their distributions to mirror that of their preferred environmental regime. This leads to the prediction that lineage composition of assemblages from extratropical regions in both hemispheres should be more similar to each other than to assemblages occurring in intervening tropical regions. In addition, we would predict that assemblages from arid tropical environments across the Neotropics should show greater similarity in tree lineage composition than to assemblages from moist environments with which they may be spatially contiguous or interdigitated (19). Alternatively, if diversification is spatially restricted and biome switching is common, the major evolutionary grouping of assemblages should be segregated geographically, irrespective of environmental conditions, and we might expect, for example, because of the physical isolation of South America through the Cenozoic, that its assemblages constitute one group and North and Central American assemblages another.

To test the contrasting scenarios of phylogenetic niche conservatism and biome switching, we analyzed data on $\sim 10,000$ tree assemblages, largely compiled from vegetation inventories (see Materials and Methods), from locations spanning extensive geographic and environmental gradients in the Americas. We constructed a temporally-calibrated, genus-level phylogeny that includes as many of the inventoried angiosperm tree genera as possible (1,358 total; an average of $\sim 90\%$ of the genera sampled per assemblage). We assessed similarity in lineage composition among assemblages using clustering analyses and ordinations based on shared evolutionary history, quantified as shared phylogenetic branch length. Next, we identified the indicator lineages for each major group in the clustering analysis. Finally, we explored the geographic and environmental correlates of the distribution of the main evolutionary clusters, and estimated their unique versus shared evolutionary diversity. The former indicates the total amount of diversification, or phylogenetic branching, that has occurred within lineages that are largely restricted to individual evolutionary groups, while the latter represents diversification in lineages that span evolutionary groups, including that shared across all evolutionary groups.

Our results suggest that the evolutionary lineage composition of American tree assemblages is structured primarily by phylogenetic niche conservatism. The two principal clusters of tree assemblages defined by similarity in evolutionary lineage composition have a tropics-extratropics structure (Fig. 1, Fig. S4). Moreover, the extratropical group is not geographically segregated,

Figure 1. The geographic, evolutionary and environmental relationships between the principal two evolutionary groups (from K=2 clustering analysis). A) Geographic distribution of angiosperm tree assemblages and their affiliation with either of the two principal evolutionary groups, tropical (n = 7145) or extratropical (n = 2792); **B)** Distribution of assemblages over elevation and latitude showing that the extratropical group is largely restricted to high elevations at low latitudes; **C & D)** Distribution of of assemblages over first two axes of an ordination based on evolutionary composition with assemblages in C colored according to group affiliation and in D as whether or not they experience freezing temperatures in a regular year (from (*24*)).

because it includes temperate tree assemblages from forests of North America and southern South America connected by a corridor of high-elevation forests via mountain chains across the Andes and Central America (Fig. 1 a,b). In order to test the correspondence of these two main clusters with environmental or geographical variables, we compared them with the eight data layers proposed by (24) to separate the extratropics from the tropics. We found the strongest correspondence (97% match, Fig. S1) with the occurrence, or absence, of freezing temperatures within a typical year (see Fig. 1 c,d). In assessing evolutionary diversity, measured as summed phylogenetic branch length, either restricted to or shared between these two groups, we observe that most evolutionary diversity occurs within the tropics, but that there is unique evolutionary diversity restricted to the extratropics ($\sim$ 10% of the total, Fig. 2b, S3a). Ordination and indicator clade analyses revealed that the tropics-extratropics segregation is associated with the distribution of specific clades, especially the Fagales, which includes the oaks (*Quercus*), beeches (*Fagus*), coihues (*Nothofagus*) and their relatives (Fig. 3, Table S1, S2).

Figure 2. The geographic, evolutionary and environmental relationships among four evolutionary groups (from $K=4$ clustering analysis). A) Geographic distribution of angiosperm tree assemblages and their affiliation with one of the four evolutionary groups; **B)** Euler Diagram representing the amount of evolutionary history, measured as phylogenetic diversity (in millions of years), restricted to each cluster versus that shared between clusters; **C)** Distribution of assemblages over extremes of temperature (minimum temperature of coldest month) and water availability (maximum climatological water deficit, CWD). Lines represent the 95th quantile of the density of points for each group.

Our clustering analyses identified that K=3 and K=4 groups are also supported as additional informative splits, with subsequent partitions of the data resulting in little additional information explained (Fig. S2). Each of the major groups in K=3 and K=4 captures substantial unique evolutionary diversity (Fig. 2 b, Fig. S3, Table S2). In K=3, the main extratropical cluster grouped assemblages from North America and extreme southern South America, while the remaining assemblages from temperate southern South America and the Andean tropics grouped with assemblages from the arid or semiarid tropics and subtropics and the moist tropics formed a third group (Fig. S5). For K=4, the extratropics were splits into a largely temperate North American group and a group that includes subtropical sites in South and Central America, the Andes and southern temperate forests. In the tropics there is one group including assemblages found in ever-moist and warm conditions, and a second one of assemblages that extend into drier areas (Fig. 2 c), including most tropical dry forest (Fig. 2 a; Fig. S6; Table S3). Hereafter, we refer to the four clusters of assemblages in K=4 as the Northern Extratropical, Southern Extratropical,

Tropical Moist and Tropical Dry groups. ₁₂₅

Tropical *and* Extratropical conservatism ₁₂₆

Phylogenetic niche conservatism drives two key processes structuring the ₁₂₇
distribution of tree diversity in the Americas. First, it constrains the ₁₂₈
diversification within the tropics or extratropics and, second, it organizes the ₁₂₉
recent migrations of extratropical lineages tracking their preferred environments ₁₃₀
into low latitudes. Our results demonstrate that the tropics-extratropics ₁₃₁
evolutionary structure of tree diversity is principally associated with the ₁₃₂
environmental threshold of the presence or absence of freezing temperatures in a ₁₃₃
typical year. This pattern is consistent with evidence documenting that only ₁₃₄
angiosperm lineages that were able to evolve traits to avoid freezing-induced ₁₃₅
embolism radiated into high latitudes (25). In addition, we found that a unique, ₁₃₆
sizeable portion of the total evolutionary diversity of angiosperm trees is ₁₃₇
restricted to extratropical environments, as the fossil record corroborates (26,27). ₁₃₈
Collectively, this evidence suggests that the phylogenetic conservatism of ₁₃₉
lineages from the extratropics has a major relevance for the diversification of ₁₄₀
angiosperm trees in the Americas. Kerkhoff *et al.* (2014) estimated that in the ₁₄₁
extratropical region (defined as those distributed north of 23°N and south of ₁₄₂
23°S) angiosperm ancestors produced extratropical descendants at least 90% of ₁₄₃
the time. Considering that some areas subjected to regular freezing at high ₁₄₄
elevations in equatorial latitudes may be better classified as part of the ₁₄₅
extratropics, as demonstrated here by our results, the extratropical phylogenetic ₁₄₆
conservatism could even be greater (16). ₁₄₇

     While the effect of tropical phylogenetic niche conservatism on patterns of ₁₄₈
biodiversity distribution has been broadly discussed (e.g., follow the references ₁₄₉
to (28)), the role of extratropical conservatism has received less attention. ₁₅₀
However, some studies illustrate that lineages tracking extratropical ₁₅₁
environments in high tropical mountains can shape patterns in the distribution ₁₅₂
of phylogenetic diversity across these elevation gradients (29). In the Americas, ₁₅₃
the relatively recent uplift of the Andes (30) would have created novel, ₁₅₄
extratropical environments (i.e., with regular freezing temperatures) at low ₁₅₅
latitudes, allowing lineages previously diversified at high latitudes to move from ₁₅₆
both north and south to equatorial latitudes (31). Fossil pollen demostrates the ₁₅₇
arrival in the northern Andes of tree genera from temperate forests in the ₁₅₈
northern hemisphere, including *Juglans* (Juglandaceae), *Alnus* (Betulaceae) and ₁₅₉
Quercus (Fagaceae), at about 2.2 Ma, 1.0 Ma and 300 Ka respectively, and the ₁₆₀
arrival of southern genera, including *Weinmannia* (Cunoniaceae) and *Drymis* ₁₆₁

(Winteraceae), during the late Pliocene and Pleistocene (1.5–3.2 Ma) (31,32). 162
Likewise, phylogenetic evidence shows recent diversification in the Andes of 163
lineages that seem to have originated in the extratropics, including *Lupinus* 164
(Fabaceae) (33), Adoxaceae/Valerianceae (34, 35) and *Gunnera* (Gunneraceae) 165
(36). 166

Pattern within the Neotropics 167

Our results also point to a moist versus dry evolutionary divide within the 168
Neotropics. The Tropical Moist Group holds the greatest amount of 169
evolutionary diversity, both overall and unique to it, despite occupying the most 170
restricted extent of climatic space of any of the K=4 groups (Fig. 2 b,c). The 171
Tropical Dry Group, in contrast, extends across a broader climatic space, but 172
holds less evolutionary diversity (Fig. 2 b,c). This asymmetry in the 173
accumulation of diversity may reflect phylogenetic conservatism for a putatively 174
moist and hot ancestral angiosperm niche (28), or could result from a favorable 175
environment that can be occupied by any angiosperm lineage, even those that 176
also occur in cooler or drier conditions (37,38). Regardless, the similarity in the 177
lineage composition of the extensive but discontinuously distributed tropical dry 178

Figure 3. Phylogenetic ordination of tree assemblages based on their evolutionary lineage com- 180
position. Colors in the main plot represent the groups from K=4 clustering analyses and the different symbols 181
represent major vegetation formations. The subset plot shows the clades most strongly associated with the first 182
two axes of the evolutionary ordination. 183

forests (19), indicates their separate evolutionary history. Although tropical dry ₁₈₄
forest inhabiting taxa have often been described as more dispersal-limited than ₁₈₅
those from rain forests (e.g., 19), dispersal over evolutionary time-scales seems ₁₈₆
to have been sufficient to maintain this floristic cohesion. Such evolutionary ₁₈₇
isolation of the dry forest flora has previously been suggested by studies in ₁₈₈
Fabaceae (19,39), and is shown here to be evident at the evolutionary scale of all ₁₈₉
angiosperm tree species. ₁₉₀

　　Our results also help to clarify the contentious evolutionary status of ₁₉₁
savanna and Chaco regions in Neotropics. On one hand, we find that the ₁₉₂
southern savannas (the Cerrado region of Brazil) are more evolutionary related ₁₉₃
to tropical moist forests than dry forests (Fig. 2 a, Fig. S5). This finding agrees ₁₉₄
with previously suggested evolutionary links between the tropical savanna and ₁₉₅
moist forest biomes (39), and more specifically with evolutionary biome ₁₉₆
switching from moist forests to Cerrado savannas (22). However, northern ₁₉₇
tropical savannas (i.e., Llanos of Venezuela and Colombia and those in Central ₁₉₈
America) are split in their evolutionary affiliation between the Tropical Moist ₁₉₉
and Tropical Dry groups, indicating linkages to moist and dry tropical forests ₂₀₀
(Fig. 3, Table S1). Accordingly, this may reflect the distinct ecology of many ₂₀₁
northern savannas (e.g., the Llanos are hydrological savannas; 40) and suggest a ₂₀₂
divergent evolutionary history for northern and southern savannas. On the other ₂₀₃
hand, our results help to resolve the debates around the status of the Chaco, ₂₀₄
which has been suggested to be a distinct biome with temperate evolutionary ₂₀₅
affinities or as part of a wider dry forest biome (e.g., 41-43). Our results show ₂₀₆
that this geographically defined region houses a mix of extratropical and ₂₀₇
tropical lineages. Indeed, our analyses consistently point to evolutionary links ₂₀₈
between assemblages in seasonally dry and seasonally cold areas (Fig. 2, S5, S6). ₂₀₉
For example, when we consider K=3 evolutionary groups, a single 'dry and cool' ₂₁₀
group coalesces, with the other two groups being the tropical moist forest group ₂₁₁
and a largely northern, extratropical group (Fig. S5). ₂₁₂

　　We show that the evolutionary structure of tree diversity in the Americas ₂₁₃
is determined primarily by the presence or absence of freezing temperatures, ₂₁₄
dividing tropical from extratropical regions. Within the tropics we find further ₂₁₅
subdivision among lineages experiencing moist versus seasonally-dry conditions. ₂₁₆
These findings strongly demonstrate that phylogenetic niche conservatism is the ₂₁₇
primary force organizing the diversification and, therefore, the biogeography of ₂₁₈
angiosperm trees. Tree species that can inhabit areas experiencing freezing ₂₁₉
temperatures and/or environments subjected to seasonal water stress belong to ₂₂₀
a restricted set of phylogenetic lineages, which gives a unique evolutionary ₂₂₁

identity to extratropical forests and tropical dry forests in the Americas. While our study is restricted to the New World, we suggest that plant biodiversity globally may be evolutionarily structured following a tropics-extratropics pattern, while diversity within the tropics may be structured primarily around a moist-dry pattern. These findings advocate strongly for integrating the concept of extratropical conservatism and tropical-dry conservatism into our understanding of macroevolutionary trends and biogeographic patterns at intercontinental scales.

Materials and Methods

Tree assemblage dataset

Our tree assemblage dataset was derived by combining the NeoTropTree (NTT) database (44) with selected plots from the Forest Inventory and Analysis (FIA) Program of the U.S. Forest Service (45), accessed on July 17th, 2018 via the BIEN package (46). We excluded from the latter any sites that had less than five angiosperm genera. Sites in the NTT database are dened by a single vegetation type within a circular area of 5-km radius and contains records of tree and tree-like species, i.e., freestanding plants with stems that can reach over 3m in height (see www.neotroptree.info and (47) for details). Each FIA plot samples trees that are ≥ 12.7 cm diameter at breast height (dbh) in four subplots (each being 168.3 m2) that are 36.6 m apart. We aggregated plots from the FIA dataset within 10 km diameter areas, to parallel the spatial structure of the NTT database. This procedure produced a total dataset of 9937 tree assemblages distributed across major environmental and geographic gradients in the Americas.

Genera phylogenetic tree

We obtained sequences of the rbcL and matK plastid gene for 1358 angiosperm tree genera, from Genbank (www.ncbi.nlm.nih.gov/genbank/), building on previous large-scale phylogenetic efforts for angiosperm trees in the Neotropics (48,49). Sequences were aligned using the MAFFT software (50). 'Ragged ends' of sequences that were missing data for most genera were manually deleted from the alignment.

We estimated a maximum likelihood phylogeny for the genera in the RAxML v8.0.0 software (51), on the CIPRES web server (www.phylo.org). We constrained the tree to follow the order-level phylogeny in Gastauer *et al.* (2017)

(52), which is based on the topology proposed by the Angiosperm Phylogeny ₂₅₆
Group IV. We concatenated the two chloroplast markers following a General ₂₅₇
Time Reversible (GTR) + Gamma (G) model of sequence evolution. We ₂₅₈
included sequences of *Nymphaea alba* (Nymphaeaceae) as an outgroup. ₂₅₉

We temporally calibrated the maximum likelihood phylogeny using the ₂₆₀
software treePL (53). We implemented age constraints for 320 internal nodes ₂₆₁
(family-level or higher, from (54)) and for 123 genera stem nodes (based on ages ₂₆₂
from a literature survey, Table S4). The rate smoothing parameter (lambda) ₂₆₃
was set to 10 based on a cross-validation procedure. The final dated tree can be ₂₆₄
found in Supplementary Information. ₂₆₅

Phylogenetic distance analysis and clustering ₂₆₆

We used the one complement of the Phylo-Sorensen Index (i.e., 1 – ₂₆₇
Phylo-Sorensen) to build a matrix of phylogenetic dissimilarities between plots ₂₆₈
based on genera presence-absence data. The Phylo-Sorensen index sums the ₂₆₉
total branch length of shared clades between sites (55) relative to the sum of ₂₇₀
branch lengths of both sites: ₂₇₁

$$Complement\ of\ Phylo - Sorensen\ ij = 1 - BLij/0.5 * (BLi + BLj)$$ ₂₇₂

where BLij is the sum of branch lengths shared between plots i and j, and BLi ₂₇₃
and BLj are the sum of branch length of tips within plots i and j, respectively. ₂₇₄
Thus, if all branches are shared between two plots, the dissimilarity measure ₂₇₅
takes on a value of 0. If no branches are shared between plots (i.e. the plots ₂₇₆
comprise two reciprocally monophyletic clades), the dissimilarity measure will ₂₇₇
take on a value of 1. This metric was estimated using the phylosor.query() ₂₇₈
function in the PhyloMeasures (56) package for R. ₂₇₉

We used K-means clustering to explore the main groups, in terms of ₂₈₀
(dis)similarity in the tree assemblage dataset, according to the Phylo-Sorensen ₂₈₁
dissimilarity measures. The K-means clustering algorithm requires the number ₂₈₂
of clusters (K) to be specified in advance. In order to estimate the best value for ₂₈₃
K, the optimal number of clusters to parsimoniously explain the variance in the ₂₈₄
dataset, we used the Elbow Method and an approach based on the average ₂₈₅
Silhouette width (Fig. S2). Based on these results, we selected K=2 (Fig. 1), ₂₈₆
K=3 (Fig. S5) and K=4 (Fig. 2) for further analysis and interpretation. No ₂₈₇
geographic or environmental data were used to inform the clustering analyses. ₂₈₈
The K-means clustering was carried out with the kmeans() function in base R ₂₈₉
(R Core Development Team, 2016). We assessed the robustness of the K-means ₂₉₀

clustering results using a silhouette analysis with functions in the "cluster" ²⁹¹ package (57). In order to assess variation in group fidelity, we classified ²⁹² individual sites as to whether the silhouette widths were larger or smaller than ²⁹³ 0.2. In this way, we could detect areas of geographic, environmental and ²⁹⁴ compositional space where clustering results were strongly or weakly supported. ²⁹⁵

In addition, we performed an evolutionary ordination of tree assemblages ²⁹⁶ based on their phylogenetic lineage composition, following protocols developed ²⁹⁷ by Pavoine (2016) (58). We specifically used an evolutionary PCA, implemented ²⁹⁸ with the evopca() function in the "adiv" package, with a Hellinger ²⁹⁹ transformation of the genus by site matrix, as this is a powerful approach to ³⁰⁰ detect phylogenetic patterns along gradients, while also allowing positioning of ³⁰¹ sites and clades in an ordination space (58). The first two axes explained 9.6% ³⁰² and 6.7% of the variation in the data, with subsequent axes each explaining ³⁰³ $<5.5\%$. ³⁰⁴

Correspondence between clustering results and environmental ³⁰⁵ variables ³⁰⁶

We tested the correlation between our K=2 clustering result and eight different ³⁰⁷ delimitations of the tropics, as per Feeley and Stroud (2018) (24). These ³⁰⁸ delimitations were: C1) all areas between 23.4°S and 23.4°N; C2) all areas with ³⁰⁹ a net positive energy balance; C3) all areas where mean annual temperature ³¹⁰ does not co-vary with latitude; C4) all areas where temperatures do not go below ³¹¹ freezing in a typical year; C5) all areas where the mean monthly temperature is ³¹² never less than 18°C; C6) all areas where the mean annual "biotemperature" ³¹³ ≥ 24 °C; C7) all areas where the annual range of temperature is less than the ³¹⁴ average daily temperature range; C8) all areas where precipitation seasonality ³¹⁵ exceeds temperature seasonality. We calculated the correspondence between our ³¹⁶ binary clustering (i.e. tropical vs. extratropical) and each of these delimitations ³¹⁷ as the proportion of sites where the delimitations matched. ³¹⁸

To assess the environmental space occupied by different groups from our ³¹⁹ clustering analyses, we obtained estimates of mean annual temperature, mean ³²⁰ annual precipitation and minimum temperature of the coldest month from the ³²¹ Worldclim dataset (59) and Maximum Climatological Water Deficit (CWD) ³²² from Chave *et al.* (2014) (60). We estimated the density of the distribution of ³²³ sites in the environmental space using ellipses containing 95% of the sites with ³²⁴ the kde() function from "ks" package (61). ³²⁵

Shared versus Unique "Phylogenetic Diversity" (PD)

As the Phylo-Sorensen estimation of evolutionary (dis)similarity cannot distinguish variation associated to differences in total phylogenetic diversity (PD), or phylogenetic richness versus variation associated to phylogenetic turnover per se, we measured the shared and unique PD associated with each group for the K=2, K=3 and K=4 clustering analyses. First, we estimated the association of genera with each group by an indicator species analysis following de Caceres *et al.* (2009) (62). Specifically, we used the multipatt() function in the R Packages indicspecies (63) to allow genera to be associated with more than one group (when $K > 2$). The output of the multipatt function includes the stat index, which is a function of the specificity (the probability that a surveyed site belongs to the target site group given the fact that the genus has been found) and fidelity (the probability of finding the genus in sites belonging to the given site group). We constructed pruned phylogenies including those genera with specificity greater than 0.6 to a group, or combination of groups, to estimate the total PD found in each group or combination of groups. Then, we subtracted these totals from the total for the complete, unpruned phylogeny to determine the amount of phylogenetic diversity restricted to each group, or combination of groups. Finally, we estimated the PD shared across all groups as that which was not restricted to any particular group or any combination of groups. We fit these different PD totals as areas in a Euler diagram with the euler() function in the "eulerr" package (64) for the K=2 and K=3 clustering, and with the Venn() fuction in the "venn" package (65) for the K=4 clustering.

Indicator lineages for clusters

In order to further characterise the composition of the evolutionary groups, we conducted an indicator analysis to determine the clades most strongly associated with each group. We created a site x node matrix (see function used in Appendix 1), which consists of a presence/absence matrix for each internal node in the phylogeny and ran an indicator analysis for the nodes. We selected the highest-level, independent (i.e. non-nested) nodes with the highest stat values to present in Tables S1 and S2. The indicator node analysis was carried out with function multipatt() in the R Package indicspecies (63).

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Supplementary Materials

Freezing and water availability structure the evolutionary diversity of trees across the Americas

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1) Table S1 Indicator clades for K=2 groups.

2) Table S2 Indicator clades for K=4 groups.

3) Table S3 Affiliation of principal vegetation formations in the tropics with the two main tropical groups from the K=4 clustering analysis.

4) Table S4. Stem ages for genera nodes used to callibrate the phylogenetic tree.

5) Figure S1 Fig. S1. Match between tropics vs. extratropics groups from K=2 clustering and eight delimitations of the tropics.

6) Figure S2 Selection of number of clusters.

7) Figure S3 Shared versus unique Phylogenetic Diversity for K=2 and K=3 clustering analyses.

8) Figure S4 Clustering $K = 2$.

9) Figure S5 Clustering K = 3.

10) Figure S6 Clustering $K = 4$.

11) References Supplementary Material

Table S1 Indicator clades for K=2 groups. Specificity, fidelity and indicator statistic (stat) of internal nodes associated for the top nodes with the highest indicator statistic. Clades names are based on their taxonomic composition.

Cluster	Clades	Specificity	Fidelity	stat
Tropical	Gentianales	0.9419787	0.9885234	0.965
	Fabaceae, tribe Mimoseae	0.9339992	0.9680896	0.951
	Fabaceae, subfam. Caesalpinioideae	0.9366716	0.9588523	0.948
	Euphorbiaceae and Peraceae	0.9639994	0.9303009	0.947
	Putranjivaceae, Phyllanthaceae, Picrodendraceae and Ochnaceae	0.9792854	0.9143457	0.946
Extratropical	Fagales	0.886934	0.85745	0.872
	Fagales *plus* Cucurbitales	0.875902	0.85745	0.867
	Ulmaceae	0.987098	0.62106	0.783
	Sapindaceae, subfam. Hippocastanoideae	0.943887	0.612106	0.76

Table S2 Indicator clades for *K*=4 groups. Specificity, fidelity and indicator statistic (stat) of internal nodes associated for the top nodes with the highest indicator statistic. Clade names are based on their taxonomic composition.

Cluster	Clades	*Specificity*	*Fidelity*	stat
Tropical Moist	*Xylopia*, *Fusaea* and *Duguetia* (Annonaceae)	0.849969	0.700198	0.771
	Couepia and *Hirtella* (Chrysobalanaceae)	0.757572	0.711399	0.734
	Burseraceae, tribes Protieae and Canarieae	0.794147	0.678014	0.734
	Ochnaceae	0.746723	0.706128	0.726
	Myristicaceae	0.851393	0.591917	0.71
	Calophyllaceae	0.7516	0.668351	0.709
Tropical Dry	Bignoniaceae, tribes Bignonieae and Tecomeae	0.778906	0.117489	0.303
	Lasiocarpus and *Ptilochaeta* (Malpighiaceae)	0.853331	0.072646	0.249
	Cactaceae, tribe Trichocereeae	0.864368	0.047982	0.204
Southern Extratropical	*Prosopis*, *Piptadeniopsis* (Fabaceae, tribe Mimoseae)	0.733744	0.402597	0.544
	Fabaceae, tribe Caesalpinieae	0.670481	0.301587	0.45
	Vallea and *Aristotelia* (Elaeocarpaceae)	0.854485	0.223665	0.437
	Cactaceae, tribes Pachycereeae and Notocactea	0.732889	0.248196	0.426
	Scrophulariaceae	0.597146	0.30303	0.425
Northern Extratropical	Sapindaceae, subfam. Hippocastanoideae	0.83986	0.69118	0.762
	Ulmaceae	0.75668	0.70459	0.73
	Oleaceae, tribe Oleeae	0.80497	0.62332	0.708
	Juglandaceae	0.76212	0.53677	0.64

Table S3. Affiliation of principal vegetation formations in the tropics with the two main tropical groups from the K=4 clustering analysis. Vegetation formations were taken from the NeoTropTree dataset, which categorises formations first based on physiognomy (savanna vs. forest) and then segregates the forests based on phenology. Following (*47*) and (*66*), we consider deciduous tropical forests to represent the tropical dry forest biome, while semideciduous forests are more related floristically to the tropical moist forest biome. Semideciduous forests share many tree species with evergreen forests and relatively few with more fully deciduous forests (*47,66*). We further divided the savannas based on geography, as our analyses showed evident differences in group affiliation between savannas in the Cerrado Domain of Brazil versus those further north (i.e. Llanos of Venezuela and Colombia and those in Central America).

	Tropical dry	Tropical moist
Evergreen Forests	15% (501)	85% (2948)
Semideciduous Forests	10% (167)	90% (1530)
Deciduous Forests	75% (868)	25% (285)
Southern Savannas (Cerrado)	8% (56)	92% (657)
Northern Savannas	54% (65)	46% (56)

Table S4. Stem ages for genera nodes used to callibrate the phylogenetic tree, and the reference of their source.

	Genus	stem age (Myr)	Reference
1	*Acer*	60	Renner et al 2008, Systematic Biology
2	*Acioa*	19.1	Bardon et al 2016, American Journal of Botany
3	*Aesculus*	65	Harris et al 2009, Taxon
4	*Anaxagorea*	90.44	Baker et al. 2014, Ecology Letters (and references herein)
5	*Andira*	17.51	Baker et al. 2014, Ecology Letters (and references herein)
6	*Antiaris*	34	Gardner et al 2017, Molecular Phylogenetics and Evolution
7	*Aphananthe*	71.5	Yang et al 2007, PLOS ONE
8	*Aphanocalyx*	46	Bruneau et al 2008, Botany
9	*Artocarpus*	51	Rockinger et al 2017, BMC Evolutionary Biology
10	*Atuna*	20.5	Bardon et al 2016, American Journal of Botany
11	*Avicennia*	70.09	Tripp & McDade 2014, Systematic Biology
12	*Bagassa*	67	Gardner et al 2017, Molecular Phylogenetics and Evolution
13	*Bocageopsis*	5.98	Baker et al. 2014, Ecology Letters (and references herein)
14	*Brosimum*	48	Baker et al. 2014, Ecology Letters (and references herein)
15	*Caesalpinia*	48.3	Bruneau et al 2008, Botany
16	*Carapa*	29.5	Baker et al. 2014, Ecology Letters (and references herein)
17	*Cassia*	45	Bruneau et al 2008, Botany
18	*Castilla*	22	Baker et al. 2014, Ecology Letters (and references herein)
19	*Cecropia*	44	Baker et al. 2014, Ecology Letters (and references herein)
20	*Cedrela ///toona*	48.4	Muellner et al 2010, American Journal of Botany
21	*Cedrelopsis*	18.94	Appelhans et al 2012, Journal of Biogeography
22	*Centroplacus*	69	Cai et al 2016, PlosONE
23	*Cercis*	47.3	Bruneau et al 2008, Botany
24	*Chrysobalanus*	24.2	Bardon et al 2016, American Journal of Botany
25	*Cissus*	67.99	Rodrigues et al 2014, Taxon
26	*Clarisia*	70	Gardner et al 2017, Molecular Phylogenetics and Evolution
27	*Coceveiba*	72	Baker et al. 2014, Ecology Letters (and references herein)
28	*Cornus*	74.03	Xiang et al 2011, Molecular Phylogenetics and Evolution
29	*Couepia*	21.6	Bardon et al 2016, American Journal of Botany
30	*Crudia*	45	Bruneau et al 2008, Botany
31	*Cylicomorpha*	35.5	Antunes & Renner 2012, Molecular Phylogenetics and Evolution
32	*Cynometra*	12.93	Baker et al. 2014, Ecology Letters (and references herein)
33	*Dacryodes*	38	Baker et al. 2014, Ecology Letters (and references herein)
34	*Dactyladenia*	15.9	Bardon et al 2016, American Journal of Botany
35	*Dactylocladus*	39	Moyle 2004, Evolution
36	*Dialium*	10.9	Baker et al. 2014, Ecology Letters (and references herein)
37	*Dicymbe*	12	Baker et al. 2014, Ecology Letters (and references herein)
38	*Diplotropis*	20.27	Baker et al. 2014, Ecology Letters (and references herein)
39	*Dipterix*	26.44	Baker et al. 2014, Ecology Letters (and references herein)
40	*Dipterocarpus*	47.7	Heckenhauer et al 2017, Botanical Journal of the Linnean Society
41	*Drimys*	56.76	Thomas et al 2014, Journal of Biogeography
42	*Dryobalanops*	43.3	Heckenhauer et al 2017, Botanical Journal of the Linnean Society
43	*Duguetia*	30.64	Baker et al. 2014, Ecology Letters (and references herein)
44	*Dycorynia*	10.9	Baker et al. 2014, Ecology Letters (and references herein)
45	*Embothrium*	39.3	Sauquet et al 2009, PNAS
46	*Eperua*	12.32	Baker et al. 2014, Ecology Letters (and references herein)
47	*Ficus*	58	Gardner et al 2017, Molecular Phylogenetics and Evolution
48	*Froesia*	39.4	Schneider & Zizka 2017, Taxon
49	*Fusaea*	30.64	Baker et al. 2014, Ecology Letters (and references herein)
50	*Glochidion*	31.51	van Welzen et al 2015, Journal of Biogeography
51	*Glycosmis*	32.54	Appelhans et al 2012, Journal of Biogeography
52	*Guarea*	14.8	Baker et al. 2014, Ecology Letters (and references herein)
53	*Guatteria*	55.83	Baker et al. 2014, Ecology Letters (and references herein)
54	*Gyrocarpus*	72	Michalak et al 2010. Journal of Biogeography
55	*Hakea*	12.8	Mast et al 2012, American Journal of Botany

Genus	stem age (Myr)	Reference
56 *Harrisoinia*	57.99	Appelhans et al 2012, Journal of Biogeography
57 *Helicostylis*	28	Baker et al. 2014, Ecology Letters (and references herein)
58 *Hennecartia*	15.58	Renner et al 2010, Journal of Biogeography
59 *Hernandia*	76	Michalak et al 2010. Journal of Biogeography
60 *Hevea*	85	Baker et al. 2014, Ecology Letters (and references herein)
61 *Hopea*	21.6	Heckenhauer et al 2017, Botanical Journal of the Linnean Society
62 *Hymenaea*	24	Bruneau et al 2008, Botany
63 *Inga*	10	Baker et al. 2014, Ecology Letters (and references herein)
64 *Ipomoea*	34.97	Eserman et al 2014, American Journal of Botany
65 *Iryanthera*	19	Baker et al. 2014, Ecology Letters (and references herein)
66 *Jacaratia*	27.5	Antunes & Renner 2012, Molecular Phylogenetics and Evolution
67 *Lacunaria*	20.3	Schneider & Zizka 2017, Taxon
68 *Lomatia*	70.8	Milner et al 2015, Journal of Biogeography
69 *Lonchocarpus*	15.07	Baker et al. 2014, Ecology Letters (and references herein)
70 *Lonicera*	43.37	Bell & Donoghue 2005, American Journal of Botany
71 *Maclura*	85	Gardner et al 2017, Molecular Phylogenetics and Evolution
72 *Macrolobium*	32	Baker et al. 2014, Ecology Letters (and references herein)
73 *Magnistipula*	19	Bardon et al 2016, American Journal of Botany
74 *Malmea*	19.99	Baker et al. 2014, Ecology Letters (and references herein)
75 *Manilkara*	32	Armstrong et al 2014, Frontiers in Genetics
76 *Maranthes*	20.5	Bardon et al 2016, American Journal of Botany
77 *Meliosma*	67.34	Yang et al 2018, Molecular Phylogenetics and Evolution
78 *Mimusops*	35	Armstrong et al 2014, Frontiers in Genetics
79 *Mouriri*	90	Renner 2004, American Journal of Botany
80 *Myrtae tribe*	58.96	Vasconcelos et al 2017, Molecular Phylogentics and Evolution
81 *Neocarya*	25.6	Bardon et al 2016, American Journal of Botany
82 *Ormosia*	40.62	Baker et al. 2014, Ecology Letters (and references herein)
83 *Otoba*	17	Baker et al. 2014, Ecology Letters (and references herein)
84 *Parashora*	22.9	Heckenhauer et al 2017, Botanical Journal of the Linnean Society
85 *Parkia*	45.5	Baker et al. 2014, Ecology Letters (and references herein)
86 *Peltogyne*	28.8	Baker et al. 2014, Ecology Letters (and references herein)
87 *Persea*	55.3	Li et al 2018, American Journal of Botany
88 *Peumus*	55.66	Renner et al 2010, Journal of Biogeography
89 *Poecilanthe*	40.99	Baker et al. 2014, Ecology Letters (and references herein)
90 *Poulsenia*	22	Baker et al. 2014, Ecology Letters (and references herein)
91 *Pourouma*	44	Baker et al. 2014, Ecology Letters (and references herein)
92 *Pradosia*	47.5	Terra-Araujo et al 2015, Molecular Phylogenetics and Evolution
93 *Prosopis SA*	28.96	Catalano et al 2008, Botanical Journal of the Linnean Society
94 *Protium*	52.5	Baker et al. 2014, Ecology Letters (and references herein)
95 *Prunus*	60.7	Chin et al 2014, Molecular Phylogentics and Evolution
96 *Pseudolmedia*	36	Baker et al. 2014, Ecology Letters (and references herein)
97 *Pseudowintera*	45.18	Thomas et al 2014, Journal of Biogeography
98 *Pseudoxandra*	15.09	Baker et al. 2014, Ecology Letters (and references herein)
99 *Pterocarpus*	16.66	Baker et al. 2014, Ecology Letters (and references herein)
100 *Quiina*	29	Schneider & Zizka 2017, Taxon
101 *Rhododendron*	58	Schwery et al 2015, New Phytologist
102 *Richea*	22.31	Schwery et al 2015, New Phytologist
103 *Sambucus*	45.49	Bell & Donoghue 2005, American Journal of Botany
104 *Sideroxylon*	74	Smedmark & Anderberg 2007, American Journal of Botany
105 *Slonaea*	79	Crayn et al 2006. American Journal of Botany
106 *Sorocea*	59	Baker et al. 2014, Ecology Letters (and references herein)
107 *Spathelia*	19.21	Appelhans et al 2012, Journal of Biogeography
108 *Swartzia*	45.96	Baker et al. 2014, Ecology Letters (and references herein)
109 *Tachigali*	4.65	Baker et al. 2014, Ecology Letters (and references herein)
110 *Tasmania*	69.98	Thomas et al 2014, Journal of Biogeography
111 *Tepualia*	24.9	Thornhill et al 2015, Molecular Phylogentics and Evolution
112 *Theobroma*	11.6	Richardson et al 2015, Front. Ecol. Evol.
113 *Unonopsis*	7.94	Baker et al. 2014, Ecology Letters (and references herein)
114 *Urophyllum*	27.1	Smedmark et al 2010, Journal of Biogeography
115 *Vallea*	48	Crayn et al 2006. American Journal of Botany
116 *Vateria*	15.4	Heckenhauer et al 2017, Botanical Journal of the Linnean Society
117 *Vatica*	18.3	Heckenhauer et al 2017, Botanical Journal of the Linnean Society
118 *Viburum*	71.18	Bell & Donoghue 2005, American Journal of Botany
119 *Virola*	17	Baker et al. 2014, Ecology Letters (and references herein)
120 *Vitellariopsis*	26	Armstrong et al 2014, Frontiers in Genetics
121 *Vouacapoua*	48.69	Baker et al. 2014, Ecology Letters (and references herein)
122 *Xylopia*	49.98	Baker et al. 2014, Ecology Letters (and references herein)
123 *Zygia*	17.82	Baker et al. 2014, Ecology Letters (and references herein)

Fig. S1. Match between tropics vs. extratropics groups from K=2 clustering and eight delimitations of the tropics following Feeley & Stroud [2018]: C1) all areas that occur between 23.4°S and 23.4°N; C2) all areas with a net positive energy balance; C3) all areas where mean annual temperature does not vary with latitude; C4) all areas where temperatures do not go below freezing in a typical year; C5) all areas where the mean monthly temperature is never less than 18°C; C6) all areas where the mean annual "biotemperature" ≥ 24°C; C7) all areas where the annual range of temperature is less than the average daily temperature range; C8) all areas where precipitation seasonality exceeds temperature seasonality.

Fig. S2. Selection of number of clusters. A) Elbow criterion, explained variance from clustering as a function of number of groups; B) Silhouette criterion, average silhouette width for each site as a function of number of groups.

Fig. S3 Shared versus unique Phylogenetic Diversity for *K*=2 and *K*=3 clustering analyses.
Euler Diagrams showing the amount of unique phylogenetic diversity in each cluster and the
phylogenetic diversity shared between clusters (in millions of years). A) *K*=2 clustering and B)
K=3 clustering.

Fig. S4. Clustering *K* = 2. A) Location of the 9937 angiosperm tree assemblages [blue dots (n=2792) representing the extratropical group and black dots (n=7145) the tropical group]; B) Ordination of tree assemblages based on evolutionary lineage composition; C) Maximum Climatological Water Deficit (CWD) versus minimum temperature of the coldest month. Lines represent the 95th quantile of the density of points for each group. In each panel, symbol type indicates major vegetation type (see panel C).

Fig. S5. Clustering *K* = 3. A) Location of the 9937 angiosperm tree assemblages in three evolutionary groups; B) Ordination of tree assemblages based on evolutionary lineage composition; C) Maximum Climatological Water Deficit (CWD) versus minimum temperature of the coldest month. Lines represent the 95th quantile of the density of points for each group. In each panel, symbol type indicates major vegetation type.

100 **Fig. S6. Clustering *K* = 4.** A) Location of the 9937 angiosperm tree assemblages in four evolutionary groups; B) Ordination of tree assemblages based on evolutionary lineage composition; C) Maximum Climatological Water Deficit (CWD) versus minimum temperature of the coldest month. Lines represent the 95th quantile of the density of points for each group. In each panel, symbol type indicates major vegetation type.

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