

1 **Changes in brain microRNAs are associated with social evolution in bees**

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37 **ABSTRACT**

38 Evolutionary transitions to a social lifestyle in insects are associated with lineage-specific
39 changes in gene expression, but the key nodes that drive these regulatory changes are largely
40 unknown. We tested the hypothesis that changes in gene regulation associated with social
41 evolution are facilitated by lineage-specific function of microRNAs (miRNAs). Genome scans
42 across 12 bee species showed that miRNA copy-number is mostly conserved and not associated
43 with sociality. However, deep sequencing of small RNAs in six bee species revealed a
44 substantial proportion (20-35%) of detected miRNAs had lineage-specific expression in the
45 brain, 24-72% of which did not have homologs in other species. Lineage-specific miRNAs
46 disproportionately target lineage-specific genes, and have lower expression levels than shared
47 miRNAs. The predicted targets of lineage-specific miRNAs are enriched for genes related to
48 social behavior in social species, but they are not enriched for genes under positive selection.
49 Together, these results suggest that novel miRNAs may contribute to lineage-specific patterns of
50 social evolution. Our analyses also support the hypothesis that many new miRNAs are purged by
51 selection due to deleterious effects on mRNA targets, and suggest genome structure is not as
52 influential in regulating bee miRNA evolution as has been shown for mammalian miRNAs.

53

54 **Keywords:** Gene regulation; small non-coding RNA; microRNA targets; eusociality; lineage-
55 specific

56

57 INTRODUCTION

58 Eusociality has evolved several times in the hymenopteran insects. In its most basic form,
59 this lifestyle involves reproductive queens living with their worker daughters who forego direct
60 reproduction to cooperatively defend the nest, care for their siblings, and forage for the colony.
61 Due to the complex nature of this lifestyle, the evolution of eusociality likely requires
62 modification of molecular pathways related to development, behavior, neurobiology, physiology,
63 and morphology [1]. The evolution of eusociality is thus expected to involve both genetic
64 changes as well as changes in the way the genome responds to the environment [2]. It is
65 therefore unsurprising that recent studies aimed at identifying the genomic signatures of eusocial
66 evolution in insects have found that social species share an increased capacity for gene regulation
67 [3,4]. Evidence for this comes from signatures of rapid evolution of genes involved in
68 transcription and translation, gene family expansions of transcription factors, and increasing
69 potential for DNA methylation and transcription factor binding activity in conserved genes.
70 Interestingly, while these types of regulatory changes are common to independent origins and
71 elaborations of eusociality, the specific genes and regulatory elements involved are unique to
72 each lineage [3–5]. This suggests that lineage-specific processes are influential in generating new
73 patterns of gene regulation that contribute to social behavior.

74
75 Small, non-coding RNAs such as microRNAs (miRNAs) may be an important source of
76 regulatory novelty associated with the evolution of phenotypic complexity, including eusociality.
77 MiRNAs are short (~21-22 nt), noncoding RNAs that regulate protein-coding genes through
78 post-transcriptional binding to the 3' UTR region of messenger RNA (mRNA) transcripts, in
79 most cases preventing translation or causing mRNA degradation [6]. Each miRNA can target

80 dozens to hundreds of mRNAs, and may therefore regulate multiple gene networks [6,7]. Like
81 mRNAs, the majority of miRNAs are generated via Pol II transcription, and are spatially- and
82 temporally-specific in their expression patterns. Thus, complex changes in gene regulation can
83 be achieved with relatively minor changes in miRNA expression. This can result in major
84 phenotypic shifts or fine-tuning of phenotypic optimization [6]. Novel miRNAs can originate in
85 a variety of genomic features, including exons and introns of protein-coding and non-coding
86 RNA genes, transposable elements, pseudogenes, or intergenic regions, and thus emerge and
87 disappear over relatively rapid timescales [8–11]. It is thus not surprising that expansion of the
88 miRNA repertoire is associated with the evolution of morphological complexity across the tree
89 of life [9,12–14].

90
91 There is accumulating evidence for a role of miRNAs in regulating the social lives of
92 insects. While most miRNAs seem to be conserved in major lineages of insects [15,16],
93 expression levels vary across individuals performing different social functions, such as between
94 workers performing different tasks in honey bees [17–19]. MiRNAs may also play a role in caste
95 determination, as queen- and worker-destined larvae express different sets of miRNAs
96 throughout development in honey bees [20–22] and bumble bees [23]. Additionally, miRNAs
97 play a role in regulating some physiological correlates of social behavior in honey bees,
98 including activation of ovaries in queens and workers [24] and response to the reproductive
99 protein *vitellogenin* [25]. Together, these studies suggest that miRNAs could play a role in the
100 evolution of eusociality through their effects on gene regulatory networks involved in socially-
101 relevant traits. A rigorous test of this hypothesis requires comparisons of the presence,
102 expression, and function of miRNAs across related species that vary in social organization.

103

104 Here we present a comprehensive comparative analysis of miRNAs across bee species
105 with variable social organization. These solitary and social species shared a common ancestor
106 ~75-110 mya [26]. Previous comparative studies of miRNAs associated with eusociality have
107 relied on the parasitoid wasp, *Nasonia vitripennis*, as a solitary comparison [16]. This is a far
108 more distant relative to the social insects, sharing a last common ancestor with bees nearly 200
109 mya [26]. Moreover, the parasitoid lifestyle of *N. vitripennis* is different from that of eusocial
110 bees in nearly every way. The lifestyle of solitary bees, such as the ones we include in this study,
111 share many features of their natural history with the presumed ancestors from which eusociality
112 evolved.

113

114 We first looked for miRNA repertoire expansions associated with eusociality by scanning
115 12 bee genomes for known miRNAs, and statistically evaluating copy-number of each miRNA
116 type with regard to differences in sociality in a phylogenetic model. We then described and
117 compared miRNAs expressed in the brains of six bee species from three families that include
118 repeated origins of eusociality. We tested the hypothesis that changes in gene regulatory function
119 associated with social evolution are facilitated by lineage-specific miRNA regulatory function
120 with two predictions: (1) If lineage-specific miRNAs are assimilated into ancestral gene
121 networks, their predicted target genes should be ancient and conserved. (2) If lineage-specific
122 miRNAs play a role in social evolution, their predicted targets should be enriched for genes that
123 function in social behavior (e.g., caste-biased expression) or genes that are under selection in
124 social species.

125

126 MATERIALS AND METHODS

127 Sample Acquisition

128 We used adult females from six bee species for our study (Fig. 1). These species include
129 both eusocial and solitary species with well-studied behavior from three families. *Megalopta*
130 *genalis* samples were collected on Barro Colorado Island, Panama in 2015 and exported to the
131 U.S.A. (permit SEX/A-37-15). *Nomia melanderi* samples were collected in Touchet, WA,
132 U.S.A. with permission from land owners. *Megachile rotundata* samples were collected from
133 Logan, UT, U.S.A. on the Utah State University campus. *Bombus impatiens* samples were
134 collected from a commercial colony purchased from BioBest. *Bombus terrestris* samples were
135 collected from colonies obtained from Pollination Services Yad-Mordechai, Kibbutz Yad-
136 Mordechai, Israel. *Apis mellifera* samples were collected from hives in Urbana-Champaign, IL or
137 the Tyson Research Field Station, MO, U.S.A. *A. mellifera* and *Bombus* samples were workers.
138 *M. genalis* samples were lab-reared females. All other samples were reproductive females. All
139 samples were collected into liquid nitrogen and stored at -80 °C until dissection.

140

141 RNA Isolation and Sequencing

142 Head capsules from *B. impatiens*, *M. genalis*, and *N. melanderi* samples were dissected
143 after incubation in RNALater ICE (Ambion) to remove the entire brain. We used the mirVana
144 miRNA Isolation kit with phenol (Ambion) to isolate total RNA from individual brains. Total
145 RNA was sent to the University of Illinois Roy J. Carver Biotechnology Center for library
146 preparation with the Illumina TruSeq Small RNA Sample Preparation kit and sequencing.
147 Libraries were pooled, quantitated by qPCR, and sequenced on one lane for 51 cycles on a HiSeq
148 2500.

149

150 Whole brains of *A. mellifera*, *B. terrestris*, and *M. rotundata* were dissected from frozen
151 heads. Total RNA from individual brains was isolated using TRIzol reagent (Thermo Fisher
152 Scientific). All subsequent small-RNA sequencing steps were performed by the Genome
153 Technologies Access Center at Washington University, using their Illumina TruSeq pipeline.
154 Total RNA samples were size fractionated and multiplexed. Single-end small RNA libraries
155 were prepared using the SMARTer kit (Clontech). Up to 12 barcoded libraries from a single
156 species were run on a single Illumina HiSeq 2500 lane.

157

158 **miRNA Discovery and Quantification**

159 We used miRDeep2 [27] to identify and quantify miRNAs expressed in the brains of each
160 species, with a three-step process of miRNA detection to identify homologous miRNAs between
161 species. First, we gathered a set of mature miRNA sequences previously described in other insect
162 species (Table S1). Reads for each sample were quality filtered (minimum length 18, removal of
163 reads with non-standard bases), adapter-trimmed, and aligned to the species' genome (Table S2)
164 with the mapper.pl script. Approximately 60-84% of reads successfully mapped.

165

166 We then identified known and novel miRNAs in each sample with the miRDeep2.pl
167 script, using our curated set of insect miRNAs (Table S1) as known mature sequences. We
168 followed this with the quantifier.pl script to generate sets of known and novel miRNAs in each
169 sample, along with quantified expression information for each. We then filtered novel miRNAs
170 in each species according to the following criteria: no rRNA/tRNA similarities, minimum of five

171 reads each on the mature and star strands of the hairpin sequence, and a randfold p-value < 0.05.
172 Randfold describes the RNA secondary structure of potential pre-miRs [27].

173

174 We used these filtered miRNAs in a second run of detection and quantification, adding
175 the mature sequences of novel miRNAs from each species to our set of known miRNAs, and
176 repeated the pipeline above. This allowed detection of homologous miRNAs (based on matching
177 seed sequences) that are not represented in miRBase across our species. We applied the same set
178 of filtering criteria as for our first run.

179

180 Some of the novel miRNAs may exist in the genomes of other bees, even if they are not
181 expressed. We used blastn (-perc_identity 50 -evalue 1e-5) to search for homologous precursor
182 miR (pre-miR) sequences in 12 bee genomes (Table S2) for each of the novel miRNAs without a
183 matching seed sequence.

184

185 **miRNA Localization**

186 We used bedtools intersect [28] to find overlap of miRNAs with predicted gene models
187 (Table S3), and repetitive element repeatmasker [29] annotations from previously established
188 repeat libraries [4,30–33].

189

190 **Target Prediction**

191 We extracted potential target sites 500 bp downstream from each gene model using
192 bedtools flank and getfasta [28], following previous studies [21] and an average 3' UTR region
193 of 442 nt in *Drosophila melanogaster* [34]. Target prediction was run with miRanda v3.3 [35]

194 (minimum energy threshold -20, minimum score 140, strict alignment to the seed region [-en -20
195 -sc 140 -strict]) and RNAhybrid v2.12 [36] (minimum free energy threshold -20). We kept only
196 miRNA-target gene pairs that were predicted by both programs with $p < 0.01$.

197

198 **Target Age and Functional Enrichment**

199 Gene ages were determined using orthogroups from OrthoDB v9 [37], which includes *A.*
200 *mellifera*, *B. impatiens*, *B. terrestris*, and *M. rotundata*. Gene sets of *M. genalis* and *N. melanderi*
201 were mapped to Metazoa-level (330 species) orthogroups. Gene sets of *M. genalis* and *N.*
202 *melanderi* were mapped to Metazoa-level (330 species) orthogroups. Gene ages were inferred
203 from the taxonomic breadth of all species in each orthogroup: Vertebrata (\geq one vertebrate),
204 Metazoa (\geq one non-arthropod and non-vertebrate metazoans), Arthropoda (\geq one non-insect
205 arthropods), Insecta (\geq one non-holometabolous insects), Holometabola (\geq one non-
206 hymenopteran holometabolous insects), Hymenoptera (\geq one non-Aculeata hymenopterans),
207 Aculeata (\geq one non-Apoidea Aculeata), Apoidea (\geq one other Apoidea). Genes without
208 identifiable orthologs were labeled ‘Unique’.

209

210 Gene Ontology (GO) terms for each species were derived from a previous study [4], with
211 the exception of *B. impatiens*, for which GO terms were assigned based on reciprocal blastp
212 (evalue $< 1e^{-5}$) between two sets of gene models (OGS v1.2 and OGS v1.0). Functional
213 enrichment was performed with the GOfats package [38] in R [39]. We included terms enriched
214 at an unadjusted $p < 0.1$.

215

216 **Enrichment tests of lineage-specific miRNA targets with previous studies**

217 For each species, brain or head gene expression datasets related to socially relevant
218 phenotypes (e.g., caste) and genes under positive selection were compared against targets of
219 lineage-specific miRNAs. The complete list of included studies and gene lists are in Table S4.
220 For *M. genalis* caste data, RNAseq reads from Jones et al. [40] (NCBI PRJNA331103) were
221 trimmed using Trimmomatic (v. 0.36) [41] and aligned to an unpublished genome assembly of
222 *M. genalis* (NCBI PRJNA494872) using STAR (v. 2.5.3) [42]. Reads were mapped to gene
223 features using featureCounts in the Subread package (v. 1.5.2) [43]. Remaining differential
224 expression analysis followed the methods of Jones et al. [40] using edgeR [44].

225

226 We also tested datasets identifying genes under selection in bee species or across social
227 lineages of bees for enrichment of lineage-specific miRNA targets (Table S4). When necessary,
228 we used reciprocal blastp (evalue $< 10e^{-5}$) to identify orthologous genes across species, and only
229 genes with putative orthologs were included in the analysis. Hypergeometric tests (using phyper
230 in R) were used to test for significance of over- or under-enrichment between each pair of lists.
231 The representation factor (RF) given represents the degree of overlap relative to random
232 expectation (RF=1). RF is calculated as $RF=x/E$, where x is the number of genes in common
233 between two lists and E is the expected number of shared genes ($E = nD/N$, where n is the
234 number of genes in list 1, D is the number of genes in list 2, and N is the total number of genes.)

235

236 **miRNA Diversification**

237 We performed genome scans for small RNAs across 12 bee genomes (Table S2) using
238 covariance models implemented with Infernal cmsearch using the gathering threshold for

239 inclusion (--cut_ga) [45] to find all Rfam accessions in each bee genome. We used Spearman
240 rank regressions to test for significant associations between miRNA copy-number and social
241 biology. We categorized each species as solitary, facultative basic eusocial, obligate basic
242 eusocial, or obligate complex eusocial following Kapheim et al. [4]. We used the ape package
243 [46] in R [39] to calculate phylogenetic independent contrasts for both social organization and
244 miRNA copy-number, cor.test to implement the Spearman's rank correlation, and p.adjust with
245 the Benjamini-Hochberg method to correct for multiple comparisons.

246

247 **RESULTS**

248 **Low levels of miRNA copy-number variation among bee genomes**

249 Our genome scans revealed very little variation in copy-number of most miRNAs among
250 bee genomes. Of the 50 miRNA Rfam accessions, half had the same number of copies (1 or 2) in
251 all 12 bee genomes (Table S5). The mean copy-number across all miRNAs in all bee genomes
252 was 1.19 ± 0.74 . One exception was miR-1122, for which we found 70 copies in *M. genalis*, but
253 no copies in the other species. We did not find any significant associations between miRNA
254 copy-number and social organization (Table S5).

255

256 **Expressed miRNA diversity in bee brains**

257 We identified 97-245 known and novel miRNAs expressed in the brains of each of our
258 six species (Table S6). The majority of these were located in intergenic regions or introns (Table
259 1). Each species had at least one miRNA that originated from exons of protein-coding genes and
260 repetitive DNA (Table 1). Most of the overlap between miRNA precursors and repetitive DNA

261 corresponded to uncharacterized repeat elements, with very few overlaps with well-characterized
262 transposons or retrotransposons (Table 1).

263

264 Most of the detected miRNAs in each species had known homologs in at least one other
265 species. However, each species had a substantial proportion (20-35%) of detected miRNAs with
266 lineage-specific expression in the brain (Table 1; Fig. 1A), 24-72% of which did not have any
267 known homologs in other species (Table 1). We defined lineage-specific miRNAs as those with
268 lineage-specific expression and for which no seed match with a known mature miRNA was
269 identified (Table 1, columns 6-7), because these show the most evidence of being real miRNAs
270 that are unique to a particular species. (Sequence similarity of pre-miRs in the genome of other
271 bee species is not sufficient evidence that a mature miRNA is transcribed.) Lineage-specific
272 miRNAs had significantly lower expression levels compared with homologous miRNAs in each
273 species (t-tests: *A. mellifera*, $p = 3.81e^{-05}$, *B. impatiens*, $p = 0.003$, *B. terrestris*, $p = 0.006$, *M.*
274 *genalis*, $p = 0.0003$, *M. rotundata*, $p = 8.00e^{-05}$, *N. melanderi*, $p = 0.02$).

275

276 Lineage-specific miRNAs were localized both within genes and intergenically. The
277 proportion of lineage-specific miRNAs that were intra- or intergenic was similar to miRNAs
278 with homologs for every species except *N. melanderi*, for which a disproportionate number of
279 lineage-specific miRNAs were intragenic ($\chi^2 = 4.78$, $p = 0.03$). Genes that serve as hosts for
280 intragenic lineage-specific miRNAs were not significantly older than would be expected by
281 chance (i.e., belong to orthogroups shared with vertebrates) in any species (hypergeometric tests:
282 $p = 0.14-0.76$). Across all species, genes that serve as hosts for intragenic lineage-specific

283 miRNAs were not significantly older than genes hosting miRNAs with known homologs (χ^2
 284 tests: $p = 0.05-0.89$).

285

286 **Table 1.** Localization of miRNAs in the genomes of six bee species. Numbers not in parentheses
 287 represent features on the same strand as the pre-miR. Numbers in parentheses indicate strand
 288 mismatch. Some pre-miRs overlapped with one or more genes on both the same and opposite
 289 strands, and are thus counted twice (*A. mellifera* and *M. genalis* – 1, *B. impatiens* – 5, *B.*
 290 *terrestris* – 4, *N. melanderi* – 3). Seed match – Mature miR had a seed match with a known miR;
 291 Pre-miR – Successful blastn hit to the pre-miR sequence in at least one other bee genome;
 292 Unique – No homolog was found in other species (seed match to mature or blastn hit to pre-
 293 miR).

Species	Sociality	Expressed miRs	miRs with lineage-specific expression in the brain				Location in the genome				
			Total	Seed match	Pre-miR	Unique	Intergenic	Exon	Intron	Transposable element	Uncharacterized repetitive DNA
<i>Apis mellifera</i>	Complex eusocial	97	25	0	15	10	45	5	38 (10)	0	0
<i>Bombus impatiens</i>	Basic eusocial	245	49	6	31	12	129	4 (1)	89 (27)	7	32
<i>Bombus terrestris</i>		150	31	2	21	8	76	1 (1)	56 (20)	13	36
<i>Megalopta genalis</i>	Facultative eusocial	105	37	7	5	25	63	3	30 (10)	2	28
<i>Megachile rotundata</i>	Solitary	99	27	9	0	18	48	8 (1)	37 (5)	2	15
<i>Nomia melanderi</i>	Solitary	97	29	5	3	21	50	8	34 (8)	2	27

294

295 Of the miRNAs with homologs, most were expressed in all six species, but we detected
 296 one miRNA (miR-305) that was expressed in the brains of each of the social, but not the solitary,
 297 species. Although we did not detect expression of miR-305 in the two solitary species, *M.*
 298 *rotundata* and *N. melanderi*, genome scans of each species against the Rfam database suggested
 299 all bee species have one copy of this miRNA (Table S5). Predicted targets of miR-305 differed
 300 across species. *Oxysterol* (OG EOG091G0FV2) was a common target among the (social) Apidae

301 bees, but was not among the targets for *M. genalis*. However, *arylformamidase* (OG
 302 EOG091G0KT8), which is also involved in lipid metabolism and transport, was a predicted
 303 target in *M. genalis*. *Synaptobrevin* (OG EOG091G0MPE), which is involved in synaptic
 304 plasticity and neurotransmitter release, was a predicted target of miR-305 in *B. impatiens*.
 305

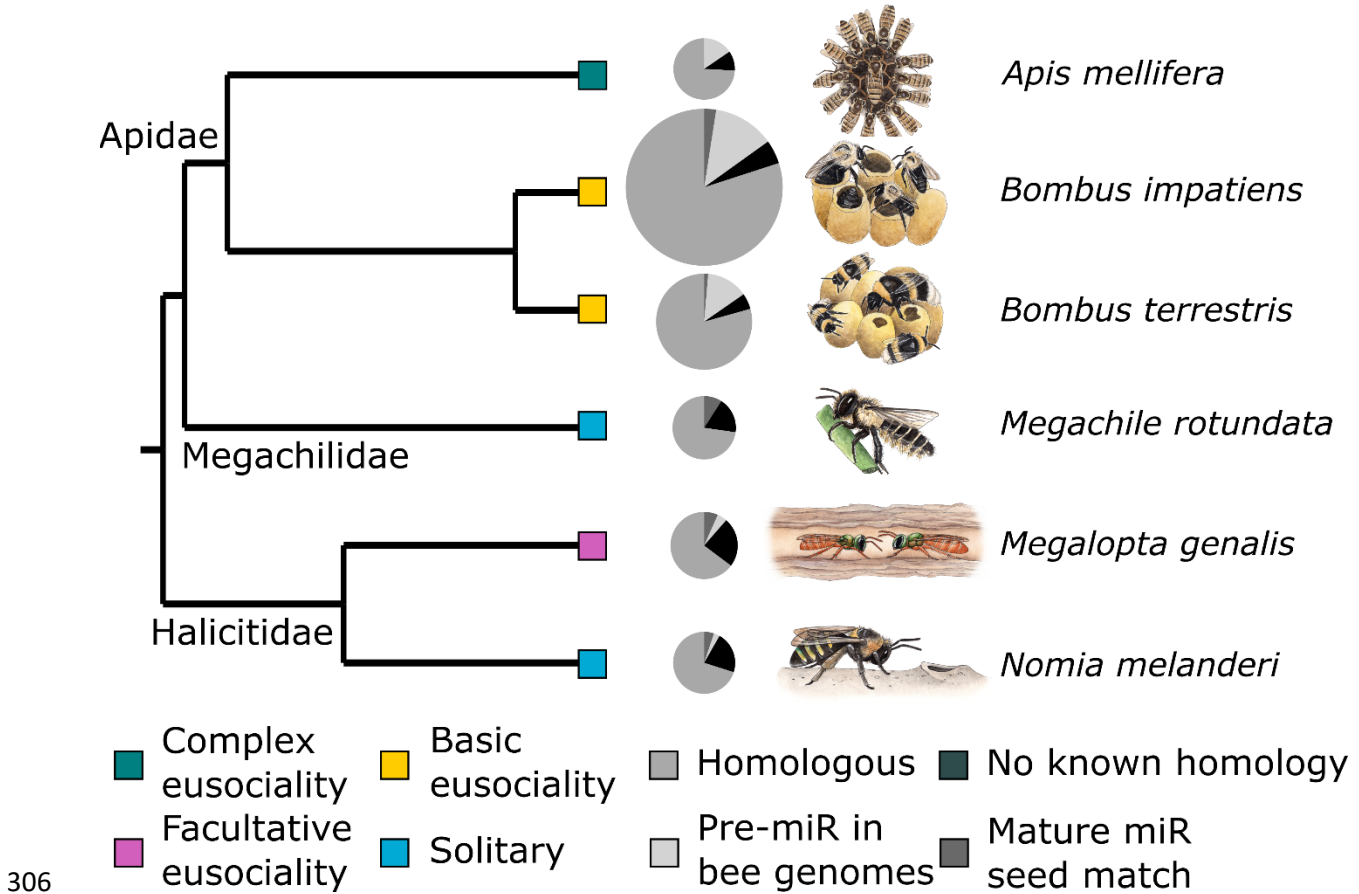
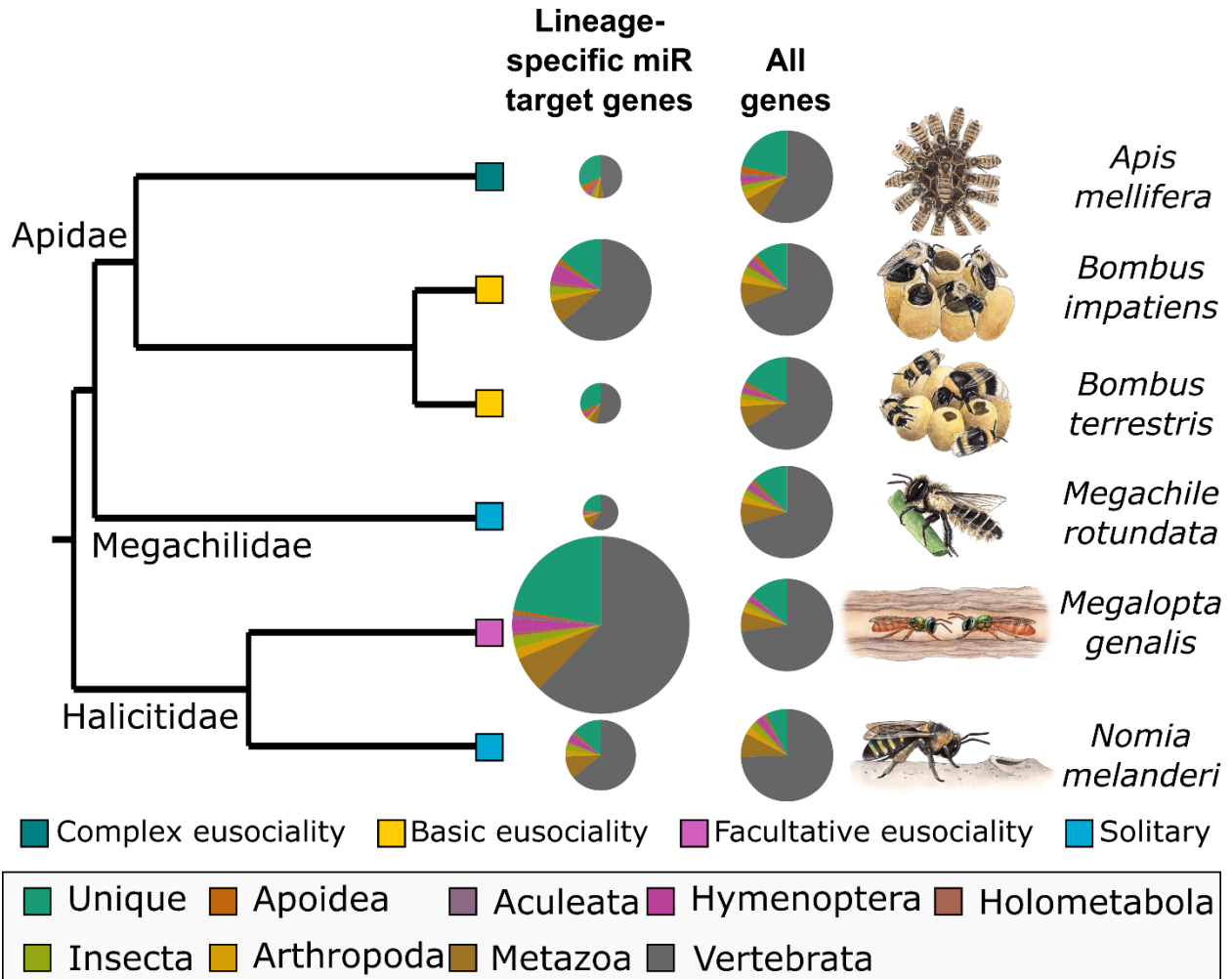


Fig. 1. Diversity of miRNAs expressed in the brains of six bee species. The three types of homology (shades of grey) correspond to those in Table 1. Black – has not been previously detected in other species. Pie size corresponds to number of miRNAs detected from small RNA sequencing. Boxes indicate social organization (green – complex eusociality, yellow – basic eusociality, pink – facultative eusociality, blue – solitary). Phylogenetic relationships are following previous studies [26,30,47].

314 **Lineage-specific miRNAs preferentially target lineage-specific genes and genes with caste-**
315 **biased expression, but not genes under selection**

316 If lineage-specific changes in gene regulatory function associated with social evolution
317 are facilitated by novel miRNAs inserted into existing gene networks, then predicted targets of
318 lineage-specific miRNAs should be highly conserved and enriched for genes with known
319 functions in social evolution. Most of the predicted mRNA targets of lineage-specific miRNAs
320 were highly conserved and belonged to orthogroups shared by vertebrates (Fig. 2; Table S8).
321 However, most genes in each genome are also highly conserved, and there was not a significant
322 enrichment for conserved genes among predicted targets of lineage-specific miRNAs, beyond
323 what would be expected by chance (hypergeometric test: $p > 0.99$). We did, however, find a
324 significant enrichment for genes unique to each species among the predicted targets of lineage-
325 specific miRNAs (hypergeometric tests: *A. mellifera* – RF = 1.51, $p = 5.44e^{-5}$; *B. impatiens* – RF
326 = 1.28, $p = 0.02$; *B. terrestris* – RF = 1.78, $p = 1.90e^{-6}$; *M. rotundata* – RF = 1.79, $p = 0.0002$; *M.*
327 *genalis* – RF = 1.62, $p = 1.48e^{-12}$; *N. melanderi* – RF = 1.78, $p = 9.02e^{-5}$), indicating that novel
328 miRNAs are more likely to target novel genes than would be expected by chance (Fig. 2; Table
329 S8).
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Fig. 2. Age of genes targeted by lineage-specific miRNAs. Genes predicted to be targeted by lineage-specific miRNAs are more likely to be unique to each species than predicted by chance. Pie chart size is scaled to number of predicted target genes for lineage-specific miRNAs, but not for all genes. Color slices indicate orthogroup age for each predicted gene. The green slice (lineage-specific genes) is larger for the set of genes predicted to be targeted by lineage-specific miRNAs than for all genes.

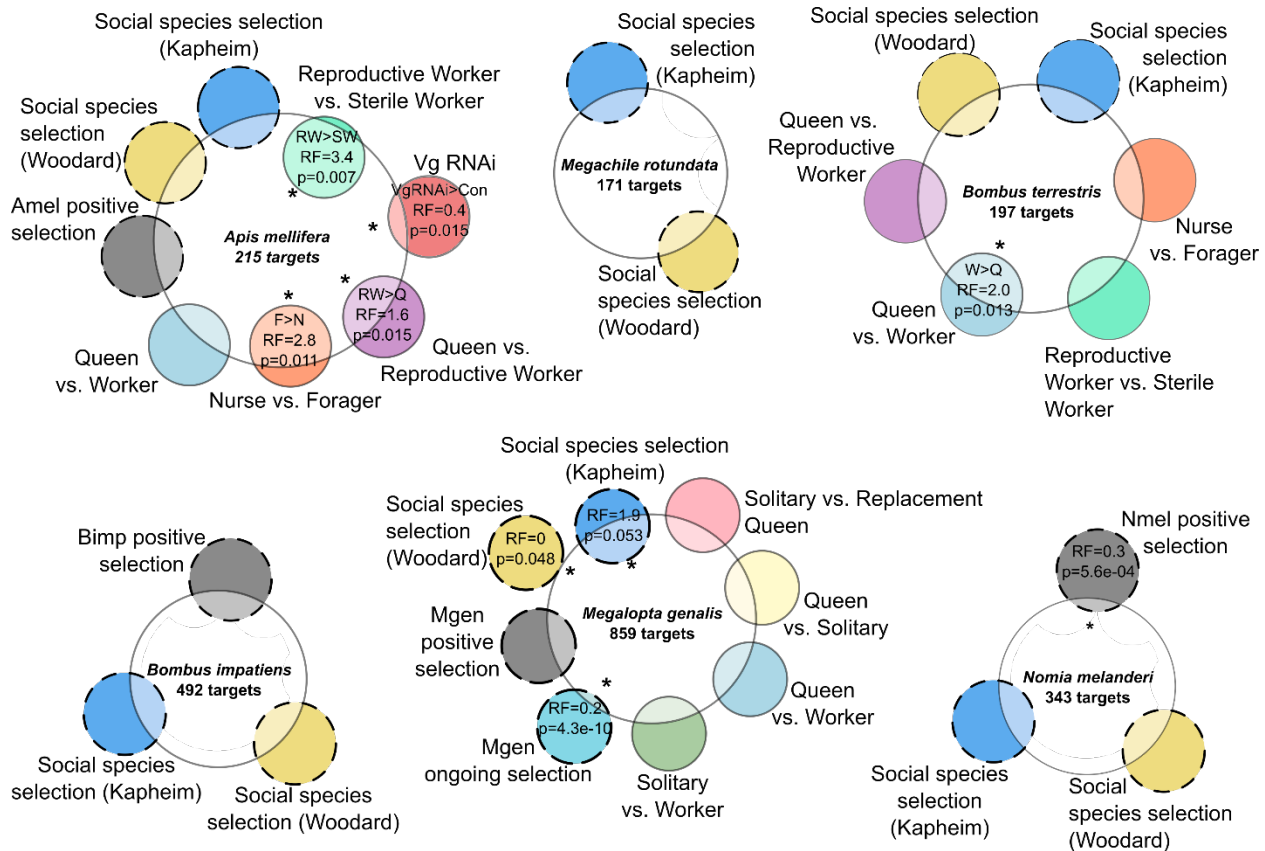
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344

We found mixed support for the prediction that novel miRNAs should target genes that function in social behavior and evolution. The predicted targets of lineage-specific miRNAs were enriched for genes differentially expressed between castes in the social Apidae (*A. mellifera* and *B. terrestris*), but not Halictidae (*M. genalis*) (Fig. 3; Table S4). In *A. mellifera*, this included genes upregulated in the brains of reproductive workers, compared with sterile workers

345 (hypergeometric test: $RF = 3.4$, $p = 0.007$) and queens (hypergeometric test: $RF = 1.6$, $p = 0.015$)
346 [48], as well as genes upregulated in the brains of foragers compared with nurses
347 (hypergeometric test: $RF = 2.8$, $p = 0.011$) [49]. However, there was no significant enrichment
348 for genes differentially expressed between nurse and forager honey bee brains in a later study
349 (hypergeometric test: $p = 0.09$) [50]. In *B. terrestris*, we found significant overlap between the
350 predicted targets of lineage-specific miRNAs and genes that are upregulated in workers,
351 compared to queens (whole body, including brain; hypergeometric test: $RF = 2$, $p = 0.013$). We
352 did not find significant overlap with genes differentially expressed in the brains of nurses and
353 foragers (hypergeometric test: $p = 0.103$) [51] or between reproductive and sterile worker brains
354 (hypergeometric test: $p = 0.39$) [52], but these were much more limited gene sets. To our
355 knowledge, there are no studies of gene expression differences between *B. impatiens* castes, so
356 we could not evaluate target overlap with caste-biased genes in this species. We did not find
357 significant enrichment for caste-biased genes in the brains of the facultatively eusocial *M.*
358 *genalis* (hypergeometric test: $p = 0.25$).

359
360 Contrary to our prediction, targets of lineage-specific miRNAs were not significantly
361 enriched for genes under selection in any species. We assessed overlaps between genes
362 undergoing positive directional selection in *A. mellifera* [53], *B. impatiens* [54], *M. genalis* [33],
363 and *N. melanderi* [32] and the predicted targets of lineage-specific miRNAs in each species.
364 There was no significant enrichment for targets of lineage-specific miRNAs with genes under
365 positive directional selection in any species (Table S4). In fact, genes under selection in the
366 halictid bees were significantly depleted for targets of lineage-specific miRNAs (hypergeometric
367 test: *M. genalis* – $RF = 0.2$, $p = 4.28e^{-10}$; *N. melanderi* – $RF = 0.3$, $p = 5.59e^{-4}$). We also assessed

368 overlaps with genes previously found to be under positive selection in social species, compared
 369 to solitary species [4,55], but found only marginally significant overlap [4] or depletion [55] with
 370 predicted targets of lineage-specific genes in one species (hypergeometric tests: *M. genalis* – RF
 371 = 1.9, $p = 0.053$; RF = 0, $p = 0.05$; Table S4).
 372



373
 374 **Fig. 3.** Predicted targets of lineage-specific miRNAs in relation to social behavior. Genes that are
 375 both predicted targets of lineage-specific miRNAs and genes with differential expression in a
 376 social context (solid outlines) or genes under selection (dashed outlines) are represented by
 377 overlapping circles for each study and species. Numbers of lineage-specific miRNA targets are
 378 given for each species. Colors indicate different studies. Overlaps not significantly different from
 379 random (representation factor, RF=1) are unlabeled, while significant over- or under-enrichments
 380 are marked with asterisks with RF and p-value as indicated.
 381

382 DISCUSSION

383 Eusociality is a major evolutionary innovation that requires regulatory changes in a wide
384 range of molecular pathways [1]. We tested the hypothesis that miRNAs play a role in the
385 evolution of eusociality via their regulatory effects on gene networks by comparing miRNA
386 expression in three eusocial and three solitary bee species from three families. Our results
387 provide several lines of support for this hypothesis.

388

389 We identified a single miRNA (miR-305) that was expressed exclusively in the brains of
390 the social bees in our study. The presence of this miRNA in the solitary bee genomes suggests
391 that an evolutionary shift in expression pattern has accompanied at least two independent origins
392 of eusociality in bees. This miRNA coordinates Insulin and Notch signaling in *D. melanogaster*,
393 and both of these pathways are important regulators of social dynamics in insects [56–60].
394 Interestingly, this miRNA is also upregulated in worker-destined compared to queen-destined
395 honey bee larvae, and may thus play a role in caste differentiation [22]. Further investigation
396 with additional social and solitary species is necessary to determine how this miRNA may
397 influence social behavior across species.

398

399 We focused attention on miRNAs for which no mature miRNAs with seed matches were
400 detected in any other species, because these have the potential to influence the lineage-specific
401 patterns of gene regulatory changes previously shown to influence social evolution [3,4]. We
402 hypothesized that if novel miRNAs are inserted into existing gene networks that become co-
403 opted for social evolution, they should target genes that are highly conserved across species.
404 Instead, we find that the targets of lineage-specific miRNAs are enriched for lineage-specific

405 genes, while genes belonging to ancient orthogroups were not more likely to be targets than
406 expected by chance. This suggests that novel miRNAs co-evolve with novel genes, as has been
407 shown for the evolution of cognitive function in humans [61]. Previous work in honey bees has
408 shown that taxonomically-restricted genes play an important role in social evolution. Expression
409 of taxonomically-restricted genes is significantly biased toward glands with specialized functions
410 for life in a social colony (e.g., the hypopharyngeal gland and the sting gland) [62], and toward
411 genes that are upregulated in workers [63]. Thus, it is reasonable to expect that new miRNAs
412 targeting new genes could have important social functions.

413

414 Alternatively, it is possible that new miRNAs targeting lineage-specific genes are
415 transient and will be purged by natural selection because they are less integrated into existing
416 gene networks [10,64,65]. Emergent miRNAs are expected to initially have limited expression to
417 mitigate potential deleterious effects on the protein-coding genes they target. Thus, lineage-
418 specific miRNAs with low levels of expression may be in the process of being purged and may
419 not have accumulated gene targets with important functions [9,10]. Evidence for this model
420 comes from primates [66] and flies [11,67]. Likewise, we find that lineage-specific miRNAs are
421 expressed at significantly lower levels than those with at least one homolog in another species. A
422 purging process could explain why there are large differences in the numbers of miRNAs
423 detected in even closely related species (e.g., the two *Bombus* species). Functional analysis of
424 lineage-specific genes in additional tissues and life stages will help to resolve their roles in social
425 evolution.

426

427 We find support for the prediction that lineage-specific miRNAs should target genes with
428 social function in the Apidae (e.g., honey bees and bumble bees), but not the Halictidae (*M.*
429 *genalis*). One explanation for this pattern is technical. We define genes with social functions as
430 those that are differentially expressed among castes. The genetic basis of social behavior has
431 been much better studied in honey bees and bumble bees than in any other species, and the sets
432 of genes known to function in sociality is thus richer for apids than for halictids. Further, not all
433 genes that function in social behavior are expected to be differentially expressed in the brains of
434 different castes, and our analysis is thus likely to exclude some important genes.

435
436 Nonetheless, our results reflect differences in the antiquity and degree of social
437 complexity, and thus caste-biased gene expression patterns, between apid and halictid bees.
438 Eusociality has a deeper origin in the Apidae than in Halictidae [47,68], and thus more time has
439 accumulated for associated changes in miRNA regulation to evolve. Unlike for honey bees and
440 bumble bees, which cannot live outside of social colonies, eusociality is facultative in *M. genalis*.
441 As such, caste traits are not fixed during development, and females who served as non-
442 reproductive workers can become reproductive queens if given the opportunity [69]. This
443 flexibility is reflected in the magnitude of differences in brain gene expression patterns between
444 queen and worker honey bees (thousands of genes [48]) and *M. genalis* (dozens of genes [40]).
445 Previous research suggests that miRNAs increase their functional influence over evolutionary
446 time [10,11,65,66,70,71]. Thus, emergent miRNAs are more likely to target genes with social
447 function due to chance alone in species with increased social complexity and a larger set of
448 caste-biased genes. Consistent with this explanation, regulatory relationships between miRNAs

449 and genes with caste-biased expression were not found among two other social insect species
450 with reduced social complexity [72].

451

452 An additional explanation for these differences in the function of lineage-specific
453 miRNAs concerns the role of miRNAs in gene regulatory networks. One of these roles is to
454 stabilize regulatory relationships in the face of environmental variation, thus canalizing
455 phenotypes during development [9,73–75]. This is likely to be more important in species with
456 obligate eusociality, such as the honey bees and bumble bees for which caste determination is
457 canalized, than in species like *M. genalis*, where plasticity of phenotypes related to eusociality
458 are maintained in totipotent females.

459

460 Contrary to their effects on genes with socially-differentiated expression patterns,
461 lineage-specific miRNAs showed no evidence for preferential targeting of genes under positive
462 selection – either within or across species. In contrast, we find these emergent miRNAs are less
463 likely than expected by chance to target genes under positive selection in the two halictid bees. A
464 potential explanation for this pattern is that genes adaptively targeted by miRNAs tend to be
465 under purifying selection to maintain the regulatory relationship between the miRNA and target,
466 thus preventing gene mis-expression [76–78]. This selective constraint is likely to be most
467 significant in the 3' UTR region, where miRNA binding sites are located.

468

469 A more likely explanation involves the hypothesized pattern of miRNA origins and
470 assimilation, as proposed by Chen and Rajewsky [10]. This model suggests that new miRNAs
471 are likely to have many targets throughout the genome due to chance. Most of these initial

472 miRNA-target regulatory relationships are likely to have slightly deleterious effects, and would
473 be quickly purged through purifying selection. These deleterious effects could be particularly
474 strong for target genes undergoing positive selection, because changes in the functional
475 regulation of these genes are likely to have significant fitness consequences. Also, genes under
476 positive selection are undergoing rapid evolution, and thus may be more likely to “escape”
477 control by errant miRNAs. Indeed, it is easier for mRNAs to lose miRNA target binding sites,
478 which typically require exact sequence matches, than to gain them [10]. Thus, emergent miRNAs
479 may not be expected to target adaptively or fast evolving genes, regardless of their role in social
480 evolution.

481

482 The evolution of eusociality depends on many different tissues and physiological
483 processes, and brain-specific expression patterns are not likely to be representative of the
484 complete role of individual miRNAs in social behavior. Some or all of the predicted miRNA-
485 gene relationships we identified may have evolved to support traits in other cell types or
486 processes unrelated to sociality. Additional sequencing of miRNA and mRNA across tissue-
487 types and stages of development in social and solitary species is necessary to provide a
488 comprehensive assessment of the role of emergent miRNAs in social traits. Nonetheless, the
489 brain is a major focus of research in social evolution because it is the primary source of
490 behavioral and neuroendocrine output. Our results thus provide a good starting place for
491 evaluating the role of miRNAs in lineage-specific processes in the evolution of social behavior.

492

493 Our analyses reveal important differences in patterns of miRNA evolution between bees
494 and other species. For example, expansion in miRNA repertoire is associated with the evolution

495 of animal complexity in a wide range of species [9,12,13]. The evolution of eusociality from a
496 solitary ancestor is associated with increases in phenotypic complexity, and considered to be one
497 of the major transitions in evolution [79]. We therefore hypothesized that evolutionary increases
498 in social complexity would be associated with expansions in the number of miRNAs found
499 within bee genomes. To the contrary, we find that most bees have a single copy of previously
500 identified miRNAs in their genomes. This is consistent with results of comparative genome scans
501 across several ant species [3]. A recent study of miRNA diversity in insects found that
502 morphological innovations such as holometabolous development was accompanied by the
503 acquisition of only three miRNA families [15]. This suggests that insect evolution is not as
504 reliant on major expansions of miRNA families as other taxonomic groups.

505
506 Additionally, our characterization of lineage-specific miRNAs expressed in the brain of
507 each species reveals that genome structure is not as influential in regulating bee miRNA
508 evolution as has been shown for human miRNAs. Novel human miRNAs tend to arise within
509 ancient genes that have multiple functions and broad expression patterns [65]. It is hypothesized
510 that this increases the expression repertoire of emergent miRNAs, and thus facilitates persistence
511 in the population [64,65]. Only in one species (*N. melanderi*) were lineage-specific miRNAs
512 more likely to be localized intragenically than previously identified miRNAs, while lineage-
513 specific miRNAs did not differ from previously identified miRNAs in their genomic locations in
514 the other five species. This suggests emergence patterns for new miRNAs are unique to each
515 lineage in bees. We also do not find a consistent pattern between young, emerging miRNAs and
516 host gene age. There was no significant difference in the age of genes that serve as hosts for
517 established versus lineage-specific miRNAs across species. This is despite the fact that a similar

518 proportion of bee miRNAs are located within introns (31-43%; Table 1), compared to in
519 vertebrates (36-65%) [8]. However, the fact that 73-88% of miRNAs localized to genes are
520 encoded on the sense strand suggests that they would benefit from host transcription, as is
521 observed in vertebrates [8]. Additional research with insects will be necessary to identify general
522 patterns of miRNA evolution in relationship to genome structure.

523
524 Our study identifies patterns of miRNA evolution in a set of closely related bees that vary
525 in social organization. Our results highlight important similarities and differences in the
526 emergence patterns and functions of mammalian and insect genomes. We find evidence that
527 emergent miRNAs function in lineage-specific patterns of social evolution, perhaps through co-
528 evolution of novel miRNAs and species-specific targets. We do not see an overall increase in the
529 number of miRNAs in the genome or expressed in the brains of species with more complex
530 eusociality. However, we do find evidence that the role of miRNAs in social evolution may
531 strengthen with increasing social complexity, perhaps due to an increased need for canalization
532 of caste determination or due to chance, as a function of an increased number of genes with
533 caste-biased expression. Empirical tests of miRNA function across additional species with
534 variable social organization will further improve our understanding of how gene regulatory
535 evolution gives rise to eusociality.

536

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747 **DATA AVAILABILITY**

748 Sequences are deposited at NCBI SRA as BioProject PRJNA559906. Code is available upon
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763 **AUTHOR CONTRIBUTIONS**

764 K.M.K. conceived of the study and designed the experiments. K.M.K., E.S., G.B., and Y.B-S.
765 collected the data. K.M.K., B.M.J., E.S., and R.M.W. analyzed the data. K.M.K. wrote the initial
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