

32 **ABSTRACT**

33 The hematopoietic system is maintained throughout life by hematopoietic stem cells that are
34 capable of differentiating into all hematopoietic lineages. An intimate balance between self-
35 renewal, differentiation, and quiescence is required to maintain hematopoiesis. Disruption of this
36 balance can result in hematopoietic malignancy, including acute myeloid leukemia (AML).
37 FBXO9, from the F-box E3 ubiquitin ligases, is down-regulated in patients with AML compared
38 to normal bone marrow. FBXO9 is the substrate recognition component of the Skp1-Cullin-F-
39 box (SCF)-type E3 ligase complex. *FBXO9* is highly expressed in hematopoietic stem and
40 progenitor populations, which contain the tumor-initiating population in AML. In AML patients,
41 decrease in *FBXO9* expression is most pronounced in patients with the inversion of
42 chromosome 16 (*inv(16)*), a rearrangement that generates the transcription factor fusion gene,
43 *CBFB-MYH11*. To study FBXO9 in malignant hematopoiesis, we generated a conditional
44 knockout mouse model using a novel CRISPR/Cas9 strategy. Our data show that deletion of
45 *Fbxo9* in mice expressing *Cbfb-MYH11* leads to markedly accelerated and aggressive leukemia
46 development. In addition, we find loss of FBXO9 leads to increased proteasome expression and
47 tumors are more sensitive to bortezomib suggesting that FBXO9 expression may predict patient
48 response to bortezomib treatment.

49

50

51 INTRODUCTION

52 Acute myeloid leukemia (AML) is a hematologic malignancy resulting in an accumulation of
53 immature myeloid blasts impairing normal hematopoiesis¹. This disease accounts for 35% of
54 new leukemia diagnoses and 48% of leukemia-related deaths². In 2019, AML is estimated to be
55 the most frequently diagnosed leukemia and the only common form with a higher mortality rate
56 than incidence. Although new therapies have recently been approved, they are limited to
57 specific subtypes of AML and only increase the treatment options for limited subsets of
58 patients³⁻⁶. To develop more effective and less toxic therapies for use across multiple subtypes,
59 we must better understand the mechanisms underlying development and progression of AML.

60

61 One system that has not been extensively studied in the context of AML is the ubiquitin
62 proteasome system (UPS). The UPS coordinates the degradation of proteins globally and
63 compartmentally within a cell and is a key regulatory mechanism for many cellular processes
64 including cell cycle, transcription, and proliferation⁷. Two main components of this system are
65 the E3 ubiquitin ligases that determine substrate specificity and the 26S proteasome
66 responsible for protein degradation. This system has proven to be a viable target in hematologic
67 malignances either through targeting the 26S proteasome with drugs such as bortezomib⁸ or by
68 altering substrate recognition of E3 ligases as is done with thalidomide⁹. The successful
69 utilization of these drugs in other hematologic malignancies suggests that targeting the UPS in
70 AML could prove effective in treating the disease.

71

72 Ubiquitin E3 ligases can be classified as RING-finger, HECT-domain, or RBR based on their
73 domains and mode of transferring ubiquitin to the substrate¹⁰. The largest family of E3 ligases,
74 the Skp1-Cul1-F-box (SCF) family, is composed of a core complex including S-phase kinase-
75 associated protein 1 (Skp1) and Cullin 1 (Cul1) which are scaffolding proteins that bring the
76 ubiquitin binding RING-finger protein Rbx in proximity with the substrate recognition F-box

77 protein component¹¹. There are 69 F-box proteins that interact with Skp1 via the F-box domain
78 and with substrate proteins through a variety of substrate-recognition domains^{12,13}.
79 Dysregulation of E3 ligases, including many F-box proteins, has been correlated with aberrant
80 hematopoiesis and malignant transformation^{14,15}. Many proteins from the F-box family have
81 been classified as tumor suppressors, others as oncogenes, and a third set with context-specific
82 roles¹⁶. FBXW7, for example, plays an integral role in hematopoietic stem and progenitor cell
83 (HSPC) self-renewal and its loss has been linked to drug resistant T-cell acute lymphoblastic
84 leukemia, whereas loss in chronic myeloid leukemia (CML) inhibits initiation and progression of
85 disease¹⁷⁻¹⁹. Loss of FBXO4 increases extramedullary myeloid hematopoiesis and is highly
86 expressed in various lymphomas²⁰. Other family members have been linked to leukemia cell
87 proliferation, including FBXL2, FBXL10, FBXW11, and SKP2²¹⁻²⁴. Furthermore, overexpressed
88 FBXO9 in multiple myeloma (MM) degraded TEL2 and TTI1, shifting signaling from mTORC1 to
89 mTORC2, thus causing increased proliferation and survival²⁵.

90

91 In this study, we identified FBXO9 as an important regulator of AML and found it has low
92 expression in patients across all AML subtypes. To study the role of *Fbxo9* in AML, we
93 developed a conditional knockout (cKO) mouse model and monitored the leukemia response *in*
94 *vivo*. We utilized a mass spectrometry (MS)-based approach to identify proteins upregulated
95 when *Fbxo9* expression is lost in tumors and identified various proteins previously shown to
96 participate in cancer-related mechanisms such as metastasis, proliferation, invasion, and
97 metabolism. Of particular interest, we found that many upregulated proteins participate in
98 proteasome-regulated pathways. Furthermore, our *in vitro* analysis found that cKO tumors had
99 increased proteasome activity and responded better to bortezomib treatment. Our studies
100 provide insight into the role of the UPS in AML and present evidence that selecting patients
101 according to *FBXO9* expression could be used as a method of identifying tumors to treat with
102 proteasome inhibitors.

103

104 RESULTS

105

106 ***FBXO9 has low expression in AML and expression correlates to poor survival***

107 To identify F-box proteins involved in initiation and/or progression of AML, we analyzed patient
108 data from the Leukemia MILE study for expression of 61 F-box proteins²⁶. Analysis revealed
109 that *FBXO9* has the lowest expression in inv(16), MLL-rearranged, and t(8;21) AMLs among the
110 F-box proteins. Additionally, when compared to healthy bone marrow (HBM), CML and
111 myelodysplastic syndrome, *FBXO9* showed decreased expression (Figure 1A, S1A). Further
112 analysis across a wider variety of AML subtypes, including normal and complex karyotype and
113 t(15;17), revealed that *FBXO9* is consistently down-regulated across all subtypes (Figure 1B).
114 As AML is the second most common childhood leukemia, we utilized the TARGET pediatric
115 study to analyze *FBXO9* expression and again found down-regulation of *FBXO9* in all AML sub-
116 types except patients with normal karyotype (Figure 1C)²⁷.

117

118 Correlation of expression versus survival in adult and pediatric patients revealed that adult
119 patients with *FBXO9* expression below the median tend to have a worse prognosis and shorter
120 time of survival compared to patients with expression above the median, although not significant
121 (Figure 1D, S1B). However, analysis of pediatric patients within the first 2000 days post
122 diagnosis demonstrated that low *FBXO9* expression correlated with poor survival (Figure 1E).
123 Patients who went in remission and survived over 2000 days post initial diagnosis had no
124 significant difference in survival with any of the sub-types (Figure S1C-H)²⁸. Taken together,
125 these findings suggest that *FBXO9* expression is decreased in AML cells and that low
126 expression correlates with poor survival at early time-points from initial diagnosis.

127

128 ***Generation of conditional knockout of Fbxo9***

129 To study the role of *Fbxo9* in hematopoiesis and AML, we generated a cKO mouse model.
130 FBXO9 has two known domains, the F-box domain for binding the SCF complex and the
131 protein-binding TPR domain¹¹. Using the Easi-CRISPR method, we introduced LoxP sites
132 flanking *Fbxo9* exon 4, which contains the majority of the TPR domain (Figure 2A)²⁹. The
133 targeted mouse was bred with an Mx1-cre mouse in which cre expression in the hematopoietic
134 system is induced by the Mx1 promoter through administration of Polyinosinic:Polycytidilic acid
135 (Poly(I:C))³⁰.

136

137 We confirmed the genotypes by PCR and analyzed *Fbxo9* expression in replicate *Fbxo9*^{+/-} and
138 *Fbxo9*^{-/-} mice compared to *Fbxo9*^{+/+}. The expression within BM of our cKO groups was reduced
139 ~55% *Fbxo9*^{+/-} and ~80% *Fbxo9*^{-/-} (Figure 2B-C). Loss of exon 4 results in ablation of TPR
140 binding and initiates a frame-shift mutation leading to loss of the F-box domain and a premature
141 stop as confirmed by PCR and sequencing in our mouse model (Figure S2A). Additionally, we
142 transfected *Fbxo9* mutant plasmids into 293T cells. Mutants lacking the F-box or TPR domains
143 showed a slight decrease in molecular weight whereas the mutant lacking bases corresponding
144 to exon 4 showed a much greater decrease in molecular weight (Figure S2B). The band size
145 correlated with the predicted molecular weight of a protein arising from cKO cells. This evidence
146 indicates that deletion of exon 4 in our mouse model results in a truncated protein lacking the
147 TPR and F-box domains.

148

149 ***Fbxo9* deletion leads to alterations in HSPC populations**

150 By analyzing different hematopoietic populations isolated from BM of a healthy WT mouse, we
151 found that *Fbxo9* is most highly expressed in short- and long-term HSCs as well as some
152 myeloid lineages (Figure 3A). To study the role of *Fbxo9* in normal hematopoiesis, we deleted
153 *Fbxo9* by 3 sequential injections with Poly(I:C). Flow cytometry analysis of the BM 4 weeks
154 post-Poly(I:C) revealed no significant difference in cell number or distribution of mature

155 hematopoietic populations (myeloid, T-lymphoid, or B-lymphoid); however, the lineage negative
156 cells, which include HPSCs, showed a decrease in total number upon loss of *Fbxo9* (Figure 3B-
157 C, S3A-D). Further analysis of the HSPCs revealed that *Fbxo9* cKO results in increased stem
158 and early progenitor cells (LSK), specifically the multipotent progenitors (Figure 3D-E, S3D).
159 The cKit⁺ progenitor population (cKit⁺) decreased in total number due to a decrease in
160 megakaryocyte-erythroid progenitors (Figure 3F). To determine whether loss of *Fbxo9* alters
161 differentiation of HSPCs, we carried out a colony forming cell assay and found that LSKs
162 derived from *Fbxo9*^{+/-} and *Fbxo9*^{-/-} gave rise to fewer colonies, showing decreased ability of
163 HSPCs to differentiate and proliferate in response to cytokine stimulation (Figure 3G). We
164 further analyzed these populations for cell cycle changes and found an increased number of
165 cKit⁺ cells and LSKs in a quiescent G₀ state (Figure 3H, S3D). Together these results suggest
166 that HSPC populations are more quiescent and have decreased differentiation.

167

168 ***Deletion of Fbxo9 leads to an aggressive and immature AML phenotype***

169 The lowest expression of *FBXO9* was found in the inv(16) subtype of AML. Inv(16)/t(16;16)
170 arises from an inversion or translocation within chromosome 16 that fuses the genes for core
171 binding factor beta (*Cbfb*) and smooth muscle myosin heavy chain (*MYH11*)³¹. The resulting
172 CBFβ-SMMHC fusion protein alters Runt-related protein 1 (RUNX1, formerly AML1) activity, a
173 transcription factor important in hematopoietic regulation, which leads to a block in
174 differentiation of myeloid progenitor cells^{32,33}. To study the role of *Fbxo9* in inv(16) AML, we
175 crossed our *Mx^{Cre}Fbxo9^{ff}* mouse with the floxed allele of *Cbfb-MYH11* (*Cbfb^{+56M}*) which allows
176 for inducible expression of CBFβ-SMMHC following administration of Poly(I:C)³². In mice,
177 expression of the *Cbfb^{+56M}* allele is sufficient to initiate the formation of AML with a median
178 survival of approximately 20 weeks³². We confirmed the presence of *Cbfb^{56M}* within the offspring
179 prior to Poly(I:C) treatment and confirmed deletion of exon 4 and expression of *Cbfb-MYH11*
180 following Poly(I:C) by PCR (Figure 2B).

181

182 To monitor disease onset, we performed flow cytometry on peripheral blood (PB) beginning 3
183 weeks post-Poly(I:C) injection. Cell surface markers for mature myeloid cells Gr1 and CD11b
184 were analyzed along with cKit to identify immature AML blasts. Analysis revealed that
185 *Cbfb*^{+56M}*Fbxo9*^{+/+} mice tend to have early (2-3 weeks) expression of cKit⁺ cells that diminishes
186 at weeks 6 and 9. Although not significantly different, the kinetics of the cKit⁺ tumor population
187 following *Fbxo9* deletion conversely expands at weeks 6 and 9 (Figure 4A). In addition, we
188 found that most of the mice developed AML within the expected latency period, though the
189 *Cbfb*^{+56M}*Fbxo9*^{+/-} group had a significantly shorter time of survival with a median survival of 13
190 weeks compared to 17 weeks in *Cbfb*^{+56M}*Fbxo9*^{-/-} and 20 weeks in *Cbfb*^{+56M}*Fbxo9*^{+/+} cohorts
191 (Figure 4B).

192

193 Consistent with previously reported data, ~80% of mice in the *Cbfb*^{+56M}*Fbxo9*^{+/+} control group
194 had tumors with a predominantly blast-like population, expressing the cell surface marker cKit,
195 and ~20% expressed the more mature cell surface markers Gr1 and CD11b³². Upon deletion of
196 *Fbxo9*, all of the mice from both *Cbfb*^{+56M}*Fbxo9*^{-/-} and *Cbfb*^{+56M}*Fbxo9*^{+/-} cohorts developed blast-
197 like tumors expressing cKit on the cell surface and lacking Gr1/CD11b expression, suggesting a
198 more immature phenotype (Figure 4C-D, S4A). Consequently, cKO mice had greater
199 expression of cKit in BM upon sacrifice indicating a greater tumor burden in the BM upon loss of
200 one or both *Fbxo9* alleles (Figure 4C). These data showed that *Cbfb*^{+56M}*Fbxo9*^{+/-} results in a
201 shorter time of survival and both *Cbfb*^{+56M}*Fbxo9*^{+/-} and *Cbfb*^{+56M}*Fbxo9*^{-/-} give rise to tumors with
202 a more immature phenotype.

203

204 In addition, we analyzed secondary organs for signs of infiltration. The spleens from all groups
205 had splenomegaly (Figure S4B). H&E staining of spleen infiltration demonstrated that ~60% of
206 *Cbfb*^{+56M}*Fbxo9*^{-/-} mice had complete effacement of the spleen architecture compared to only

207 30% of *Cbfb*^{+56M}*Fbxo9*^{+/+} mice (Figure 4E-F). Likewise, in the liver *Cbfb*^{+56M}*Fbxo9*^{-/-} mice had
208 ~50% infiltration in half the cohort indicating a more aggressive disease even though they had a
209 similar time of survival to *Cbfb*^{+56M}*Fbxo9*^{+/+} mice (Figure 4G). These findings suggest that loss
210 of *Fbxo9* leads to increased infiltration of spleen and liver.

211

212 ***Transplanted tumors with reduced Fbxo9 lead to rapid onset of disease***

213 Development of primary AML tumors demonstrated that loss of *Fbxo9* alters the AML
214 phenotype. To determine whether *Fbxo9* is acting in a tumor initiating or tumor-promoting
215 fashion, we carried out a secondary transplantation. Tumor cells from spleens were injected into
216 sub-lethally irradiated recipient mice. To eliminate bias from tumor phenotype, we selected
217 primary splenic tumor cells with a cKit⁺ phenotype (Figure 5A). All tumors selected contained
218 >90% tumor burden with the exception of one *Cbfb*^{+56M}*Fbxo9*^{+/+} tumor with only 75% cKit⁺
219 spleen cells. The mouse was selected due to aggressive nature of the tumor which resulted in
220 the shortest survival from the *Cbfb*^{+56M}*Fbxo9*^{+/+} cohort.

221

222 We followed the development of AML and by 5 weeks post-transplant *Cbfb*^{+56M}*Fbxo9*^{-/-} mice
223 had on average 69.6% tumor PB indicating that tumors with decreased *Fbxo9* develop more
224 rapidly (Figure 5B, S5A). The rapid expansion of the tumor population led to decreased time of
225 survival in *Cbfb*^{+56M}*Fbxo9*^{+/-} and *Cbfb*^{+56M}*Fbxo9*^{-/-} cohorts compared to *Cbfb*^{+56M}*Fbxo9*^{+/+} mice
226 (Figure 5C). Upon sacrifice, analysis of the BM, spleen, and PB by flow cytometry showed no
227 difference in tumor burden between the three cohorts (Figure S5B). Secondary transplantation
228 confirms that tumors lacking *Fbxo9* are aggressive and lead to rapid progression of AML.

229

230 ***Loss of Fbxo9 leads to up-regulation of proteins associated with tumorigenicity***

231 To identify potential *Fbxo9* substrates and protein alterations in AML, we performed quantitative
232 MS on splenic tumor cells. We labeled proteins isolated with tandem mass tags, combined

233 equal concentrations from each sample, and analyzed by MS (LC-MS/MS) (Figure 6A). Mass
234 spectrometry identified 18696 unique peptides representing 3580 proteins, 3247 of which were
235 quantifiable (Figure 6B). To quantify protein changes, we compared only proteins with ≥ 3 unique
236 peptides. Between $Cbfb^{+/56M}Fbxo9^{+/-}$ and $Cbfb^{+/56M}Fbxo9^{-/-}$ cohorts, 118 proteins were
237 significantly up-regulated ($p < 0.05$, fold change ≥ 1.3) and 86 proteins were significantly down-
238 regulated ($p < 0.05$, fold change ≤ 0.7) in one or both cohorts (Figure 6C, Table S1).

239
240 The majority of these differentially expressed proteins localized to the cytoplasm where FBXO9
241 is expressed (Figure 6D). To determine whether tumors with different genotypes show distinct
242 patterns of expression, we carried out a principle component analysis and hierarchical clustering
243 and found that 3 independent tumors with WT expression of *Fbxo9* clustered together while
244 heterozygous and homozygous cKO tumors clustered together, indicating they are more similar
245 to each other than to $Cbfb^{+/56M}Fbxo9^{+/+}$ tumors (Figure 6E-F). Interestingly, a number of the top
246 up-regulated proteins identified (PFKP, ADK, ARF1, TOMM34, DIAPH1, and WDR1) have been
247 shown to participate in cancer by increasing cell growth and metastasis or are biomarkers for
248 poor outcome (Figure 6F)³⁴⁻³⁹.

249

250 ***Loss of Fbxo9 correlates with increased proteasome activity***

251 The proteins overexpressed upon loss of *Fbxo9* were also enriched for proteins associated with
252 proteasome-mediated pathways such as proteolysis and ubiquitin- or proteasome-dependent
253 catabolism (Figure 7A). Increased proteasome activity has previously been implicated in cancer
254 aggression and proteasome inhibitors have been approved as a treatment option⁴⁰. Considering
255 this strong correlation between cancer and proteasome activity, we confirmed proteasome
256 component overexpression by western blot and observed an increase in differentially expressed
257 proteasome components in tumors lacking *Fbxo9* (Figure 7B-C). To confirm that proteasome
258 component expression correlates with increased activity *in vitro*, we performed a proteasome

259 activity assay comparing our tumor groups. This confirmed that not only does loss of *Fbxo9*
260 result in increased proteasome component expression, but that this expression correlates with
261 increased proteasome activity in the *Cbfb*^{+56M}*Fbxo9*^{+/-} and *Cbfb*^{+56M}*Fbxo9*^{-/-} tumors (Figure 7D).
262 To further elucidate the effect of loss of *Fbxo9* in AML, we treated cultured tumor cells with
263 varying concentrations of proteasome inhibitor bortezomib (Figure 7E). Bortezomib treatment
264 confirmed that *Cbfb*^{+56M}*Fbxo9*^{-/-} tumor cells are more sensitive to proteasome inhibition than
265 *Cbfb*^{+56M}*Fbxo9*^{+/+} tumor cells with IC₅₀ calculations of 10.03nM and 11.76nM, respectively.
266 Furthermore, analysis of apoptosis and cell death demonstrated that *Cbfb*^{+56M}*Fbxo9*^{-/-} tumor
267 cells are more sensitive to treatment with bortezomib than *Cbfb*^{+56M}*Fbxo9*^{+/+} tumor cells (Figure
268 7F). These studies provide evidence that loss of *Fbxo9* leads to increased proteasome activity
269 and sensitivity to proteasome inhibitors like bortezomib.

270

271 DISCUSSION

272 The molecular pathogenesis of AML has yet to be fully defined, though many acquired
273 molecular and cytogenetic abnormalities have been identified that lead to leukemogenesis⁴¹.
274 The majority of these alterations are thought to occur in the HSPCs and disease progression is
275 often thought to progress through the dysregulation of normal cellular mechanisms⁴². As FBXO9
276 is an E3 ligase highly expressed in HSPCs (the tumor initiating population) it is imperative to
277 understand the resulting changes in HSPC function upon loss of its expression. We first report
278 that loss of *Fbxo9* correlates with a decrease in the Lin⁻ cells of the BM but an increase in the
279 LSKs within that compartment. Second, we show that the increase is due to an expansion of
280 quiescent cells in G₀ phase of the cell cycle which leads to a decrease in colony formation when
281 these HSPCs are in culture. To further understand the mechanistic changes associated with
282 disease initiation and progression, it is essential to determine the role FBXO9 plays in the tumor
283 initiating population.

284

285 Aberrant FBXO9 expression has previously been linked to disease progression in MM by
286 tagging mTORC1 components for degradation²⁵. In this context, its overexpression was shown
287 to promote disease progression and FBXO9 has previously been classified as an oncogene.
288 Contrary to its role in MM, our studies demonstrate that *FBXO9* expression is consistently
289 decreased across AML subtypes and that reduction of its E3 ligase activity promotes the
290 progression of AML and correlates with a shorter time of survival. Additionally, MS experiments
291 did not show accumulation of the known substrates TEL2 and TTI1 identified in MM. This finding
292 demonstrates that FBXO9 plays a context-specific role in cancer, acting as an oncogene in MM
293 and a tumor suppressor in AML. While other F-box proteins have been shown to have solely
294 oncogenic or tumor suppressor roles, FBXO9 is among a few select members of the family that
295 can function in both capacities¹⁶. Furthermore, we found that loss of a single copy of *Fbxo9* was
296 sufficient to cause increased aggressiveness in AML tumor cells. Considering that FBXO9 is an
297 E3 ligase, we must elucidate the alterations that loss of this protein causes in the proteomic
298 landscape of AML cells.

299
300 Through interrogation of the proteomic changes occurring in AML tumor cells upon loss of
301 *Fbxo9*, we identified various proteasome components and proteasome-related proteins that
302 were upregulated. Increased proteasome activity has been associated with aggressiveness in
303 many cancer subtypes through *in vitro* and *in vivo* studies⁴³⁻⁴⁶. Ma *et al.* reported that AML
304 patients have higher levels of 20S proteasome components compared to healthy controls but
305 that this increase does not lead to increased chymotrypsin-like activity due to a reduction in
306 expression of the 19S regulatory component⁴⁷. Similarly, our data showed increased
307 proteasome component expression of the 20S catalytic subunit (PSMA and PSMB) in
308 *Cbfb*^{+56M}*Fbxo9*^{-/-} compared to *Cbfb*^{+56M}*Fbxo9*^{+/+}. Unlike the results produced by Ma *et al.*, we
309 saw increased expression in the 19S (PSMD) components indicating that loss of *Fbxo9* could
310 correspond with altered proteasome activity. Further analysis revealed that increased

311 component expression did, indeed, lead to increased proteasome activity, suggesting a causal
312 relationship.

313

314 Proteasome inhibition with drugs such as bortezomib has become standard of care for patients
315 with MM and has been effectively utilized as a second-line therapy in treating mantle cell
316 lymphoma and follicular lymphoma⁴⁸⁻⁵⁰. Clinical trials using bortezomib, either alone or in
317 combination with other chemotherapies, have reported varying complete remission rates
318 ranging from 0-80%⁵¹⁻⁵⁷. To date, no correlation has been made between AML classification and
319 patients who respond well to proteasome inhibition. Indeed, responses seem to be independent
320 of AML subtype. Additionally, one of the main impediments to achieving complete remission in
321 AML stems from the inability of current therapies to target the leukemic stem cells. Proteasome
322 inhibitors have previously been effective in targeting this tumor-initiating population and the
323 findings presented herein could lead to more efficacious clinical use of agents like bortezomib,
324 particularly in targeting the leukemic stem cells^{58,59}. Overall, we have identified *Fbxo9* as a
325 tumor suppressor of AML and shown that loss of its expression leads to increased proteasome
326 activity and sensitivity to proteasome inhibition, thus implying that *FBXO9* expression could be
327 used as an indicator for patients who would respond well to proteasome inhibition.

328

329 **METHODS**

330 **Transgenic mouse models.**

331 *Fbxo9* cKO mice were developed using Easi-CRISPR as previously published²⁹ and bred with
332 *Cbfb*^{+56M} [^{29,32}] or *Mx*^{cre} mice purchased from Jackson Laboratories (#003556, Bar Harbor, ME,
333 USA). PCR confirmed genotype and expression (primers in supplemental materials and
334 methods). To induce cKO of *Fbxo9* and expression of *Cbfb-MYH11*, 6-8 week-old floxed mice
335 and littermate controls received three intraperitoneal Poly(I:C) injections every other day at a
336 dose of 10µg per gram body weight (Invivogen). Procedures performed were approved by the

337 Institutional Animal Care and Use Committee of the University of Nebraska Medical Center in
338 accordance with NIH guidelines.

339

340 **Flow Cytometry analysis**

341 For flow cytometry analyses, PB was extracted from the tail vein and RBCs were lysed with
342 500 μ L ACK lysing buffer. Upon sacrifice, spleen and BM cells were strained through 0.45 μ m
343 strainer and treated with ACK lysing buffer. Spleen, BM, and PB cells were stained for 1h on ice
344 in the dark in 3% FBS in PBS (antibodies in supplemental materials and methods). For cell
345 cycle analysis, cells were fixed and permeabilized following Biolegend intracellular staining
346 protocol and stained with Ki67 and DAPI using 1 μ L DAPI per sample.

347

348 **Colony Forming Cell (CFC) Assay**

349 Fresh or culture progeny from total BM were counted and 5000 cells/well of a 24-well plate were
350 resuspended in Methocult (M3434, Stem Cell Technologies, Vancouver, BC, Canada). Colonies
351 were counted at day 7, resuspended, and replated as before.

352

353 **Histological Staining**

354 Mouse organs were fixed in 10% (vol/vol) buffered formalin phosphate for 24h and stored in
355 70% ethanol. Sections were stained with H&E using standard protocols. The slides were
356 evaluated and graded by treatment group by a pathologist for leukemia infiltration.

357

358 **Western Blot Analysis**

359 For western blot analysis, samples were lysed in an IP lysis buffer (20mM Tris pH7.5, 150mM
360 NaCl, 1mM EDTA) containing 1X Halt Protease and Phosphatase Inhibitor Cocktail
361 (ThermoFisher, Waltham, MA, USA) and 10mM NEM. Membranes were blocked in 5% milk.
362 Antibodies were prepared in 5% BSA as indicated in supplemental materials and methods.

363 Horse Radish Peroxidase conjugated secondary antibodies (Jackson Laboratory) were
364 prepared in 5% milk as indicated in supplemental materials and methods.

365

366 **RNA extraction and quantitative RT-PCR**

367 Total RNA was harvested from BM cells using the RNeasy Kit (QIAGEN, Hilden, Germany).
368 Following extraction, total RNA was used for cDNA synthesis using the High Capacity RNA-to-
369 cDNA Kit (ThermoFisher). cDNA was quantified by measuring absorbance at A280nm and qRT-
370 PCR was carried out on equal concentrations of cDNA from each sample using the iTaq
371 Universal SYBR Green Supermix (BioRad, Hercules, CA, USA). Primers in supplemental
372 materials and methods.

373

374 **TMT labeling and Mass Spectrometry**

375 For global proteome quantification, splenic tumor cells were isolated as described above from 2-
376 3 mice per genotype. Samples were prepared and TMT-labeled per manufacturer's protocol
377 (ThermoFisher TMT10plex Mass Tag Labeling Kits). Following TMT labeling, acetonitrile was
378 removed by speedvac and samples were resuspended in 0.1% trifluoroacetic acid. Sample
379 cleanup with C18 tips was performed per manufacturer's protocol (Pierce). Sample
380 concentrations were re-quantified (Pierce Quantitative Colorimetric Peptide Assay kit) and
381 combined in equal concentration. Following combination, samples were dried by speedvac and
382 fractionated by ThermoFisher high pH reverse phase fractionation kit following manufacturer's
383 protocol for TMT. Resulting fractions were dried in a speedvac and resuspended in 0.1% Formic
384 Acid for MS analysis (see supplemental methods). Data are available via ProteomeXchange
385 with identifier PXD014387⁶⁰.

386

387 **Proteasome Activity Assay**

388 Tumor cells from isolated spleen tissue (2×10^6) were lysed in 0.5% NP-40 in PBS for 10min on
389 ice with vortexing. 5-10 μ g protein lysates were cultured and proteasome activity measured
390 using the 20S Proteasome Activity Assay kit (APT280, Millipore, Billerica, MA, USA) per
391 manufacturer's protocol.

392

393 **MTT Assay**

394 2×10^5 cells were plated into each well of a 96-well plate and cultured for 24h in 100 μ L tumor
395 growth medium (StemSpan SFEM, 5% Penn/Strep, 5% Lipid mixture, 5% Glutamate, 20ng/mL
396 SCF, 10ng/mL IL-6, 10ng/mL IL-3) containing DMSO or varying concentrations of bortezomib.
397 Following culture, CellTiter 96 AQueous One Solution Cell Proliferation Assay (MTS) (Promega,
398 Madison, WI, USA) was performed per manufacturer's protocol.

399

400 **Statistical analysis**

401 All experiments were performed in triplicate unless noted and statistical analyses were
402 performed using unpaired two-tailed Student's t-test assuming experimental samples of equal
403 variance. * p-value<0.05, ** p-value<0.01, *** p-value<0.001, **** p-value<0.0001.

404

405 **AUTHOR CONTRIBUTIONS**

406 R.W.H., and S.M.B. conceived and designed the experiments. R.W.H., K.J.W., M.C., S.A.S.,
407 H.V., and S.M.B. performed experiments and analysis. R.W.H., and S.M.B. wrote the
408 manuscript. R.K.H. provided technical and material support. C.A. provided hematopathology
409 expertise. All authors reviewed the manuscript before submission.

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423

424 **DISCLOSURES OF CONFLICTS OF INTEREST**

425 The authors have no conflicts of interest related to this work.

426

427

428 **FIGURE LEGENDS**

429 **Figure 1 *Fbxo9* expression is reduced in AML patients and correlates with poor survival.**

430 A Of all F-box family proteins, *FBXO9* has the lowest expression in AML subtypes. B-C Analysis
431 of patient samples from the B adult MILE and C pediatric TARGET studies reveals that AML
432 patients have low *FBXO9* expression across a variety of subtypes when compared to healthy
433 BM. D-E Poor survival correlates with low *FBXO9* expression in both D adults and E children (*
434 $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$).

435

436 **Figure 2 Generation of conditional *Fbxo9* knockout mouse model.** A Using the Easi-
437 CRISPR method, LoxP sites were introduced into the introns flanking *Fbxo9* exon 4. B
438 Genotyping PCR demonstrates the introduction of LoxP sites and presence of *Cbfb*^{+56M}
439 transgenic allele and shows the loss of exon 4 and expression of the *Cbfb-MYH11* fusion gene
440 following injections with Poly(I:C). C *Fbxo9* exon 4 cKO confirmed by qRT-PCR analysis while
441 exons 2-3 remain undisturbed (**** $p < 0.0001$).

442

443 **Figure 3 Loss of *Fbxo9* alters murine HSPC function.** A Analysis of *Fbxo9* expression in WT
444 murine hematopoietic lineages shows high expression in the long-term stem cells (LT-HSCs;
445 Lin⁻cKit⁺Sca-1⁺CD150⁺CD48⁻), short-term stem cells (ST-HSCs; Lin⁻cKit⁺Sca-1⁺CD150⁻CD48⁻),
446 megakaryocyte-erythroid progenitors (MEPs; Lin⁻cKit⁺Sca-1⁻CD34⁻CD16/32⁻), and macrophages
447 (CD11b⁺Gr1⁻) when compared to total BM. B Bar graph of cell counts from BM extracted from
448 the right femur of sacrificed mice. C-F Bar graphs showing the cell count of Lin⁻, cKit⁺ (Lin⁻
449 cKit⁺Sca-1⁻), LSKs (Lin⁻cKit⁺Sca-1⁺), LT-HSC, ST-HSC, multipotent progenitors (MPP; Lin⁻
450 cKit⁺Sca-1⁺CD150⁻CD48⁺), MPP2 (Lin⁻cKit⁺Sca-1⁺CD150⁺CD48⁺), granulocyte-macrophage
451 progenitors (GMP; Lin⁻cKit⁺Sca-1⁻CD34⁺CD16/32^{hi}), common myeloid progenitor (CMP; Lin⁻
452 cKit⁺Sca-1⁻CD34⁺CD16/32^{lo}), and MEP compartments in the BM of mice of the indicated
453 genotypes. G Bar graph of colonies per 10000 cells plated in methyl cellulose. H Bar graph

454 showing the percentages of cells in G_0 and G_1 (for all data shown, bar graphs are mean \pm
455 standard deviation, $n = 3$, * $p < 0.05$, ** $p < 0.01$).

456

457 **Figure 4 Loss of *Fbxo9* accelerates *inv(16)* AML and causes a more aggressive disease**

458 **phenotype.** A Analysis of the PB of mice following initiation of *inv(16)* AML shows that the cKit⁺

459 tumor population expands more rapidly in *Fbxo9* KO mice (mean \pm standard deviation). B

460 Kaplan-Meier survival curves of Poly(I:C) treated mice shows that loss of *Fbxo9* reduced time of

461 survival ($n = 8$). C-D Bar graphs (mean \pm standard deviation) representing the percentage of C

462 cKit⁺ tumor cells or D Gr1⁺/CD11b⁺ cells in the BM of mice at time of sacrifice. E Representative

463 images of Wright-Giemsa-stained peripheral blood and hematoxylin-eosin (H&E)-stained spleen

464 and liver sections of mice with the indicated genotypes at time of sacrifice. Bars, 20 μ m. F-G

465 Quantification of the infiltration in the F spleen and G liver where red represents 100% infiltration

466 and complete effacement, while blue represents no infiltration with normal tissue architecture (n

467 = 7) (* $p < 0.05$, ** $p < 0.01$).

468

469 **Figure 5 Transplant of *inv(16)* spleen tumor cells with lower expression of *Fbxo9* develop**

470 **more aggressively.** A FACS plots of spleen tumor cells transplanted into sub-lethally irradiated

471 host mice. B Bar graph (mean \pm standard deviation) representing the percentage of cKit⁺ cells

472 in the PB of transplant mice. C Overall survival of mice transplanted with tumors of the indicated

473 genotypes ($n = 10$, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$).

474

475 **Figure 6 Tumors lacking *Fbxo9* are more similar than tumors with WT expression.** A

476 Schematic of preparation for TMT MS using tumors isolated from *Cbfb*^{+56M}*Fbxo9*^{+/+},

477 *Cbfb*^{+56M}*Fbxo9*^{+/-}, and *Cbfb*^{+56M}*Fbxo9*^{-/-} mice ($n = 3$). B Quantification of the identified peptides

478 and proteins. C Volcano plot of the fold change *Cbfb*^{+56M}*Fbxo9*^{-/-}/*Cbfb*^{+56M}*Fbxo9*^{+/+} samples for

479 significantly up-regulated and significantly down-regulated proteins (pink, significantly

480 upregulated in *Cbfb*^{+56M}*Fbxo9*^{+/-}/*Cbfb*^{+56M}*Fbxo9*^{+/+}; yellow, proteins participating in proteasome-
481 dependent pathway; green, top up-regulated proteins associated with tumorigenicity). D Pie
482 chart of localizations for the differentially expressed proteins identified in either the
483 *Cbfb*^{+56M}*Fbxo9*^{+/-}, *Cbfb*^{+56M}*Fbxo9*^{-/-}, or both cohorts. E PCA plot using components 1 and 2
484 showing clustering of *Cbfb*^{+56M}*Fbxo9*^{+/+} tumors compared to *Cbfb*^{+56M}*Fbxo9*^{+/-} and
485 *Cbfb*^{+56M}*Fbxo9*^{-/-} tumors. F Heatmap with hierarchical clustering of the significantly up-regulated
486 ($p < 0.05$, ≥ 1.3 fold increase over WT) and down-regulated ($p < 0.05$, ≤ 0.7 fold decrease from
487 WT).

488

489 **Figure 7 Knockout of *Fbxo9* results in an increase in proteasome activity and differing**
490 **response to proteasome inhibition *in vitro*.** A Gene ontology analysis showing pathways
491 known to be associated with significantly up-regulated ($p < 0.05$, ≥ 1.3 fold change) proteins
492 using DAVID 6.8 software. For up-regulated proteins, pathways included have ≥ 10 associated
493 proteins and $p < 0.01$. B Western Blot analysis of identified up-regulated proteins and
494 proteasome components for expression in murine inv(16) AML tumors with the indicated
495 genotypes. C Bar graph (mean \pm standard deviation) quantifying relative expression of the
496 proteins compared to β -actin expression. D Bar graph (mean \pm standard deviation) of
497 proteasome activity in cultured tumor cells using the indicated amounts of protein, proteasome
498 activity confirmed by treatment with the proteasome inhibitor lactacystin. E Bar graph (mean \pm
499 standard deviation) showing survival of *Cbfb*^{+56M}*Fbxo9*^{+/+} and *Cbfb*^{+56M}*Fbxo9*^{-/-} tumor cells after
500 24 h culture with increasing doses of bortezomib. F Bar graph (mean \pm standard deviation)
501 quantifying cell death of tumors cultured 16 h with 20 nM bortezomib, cell death analyzed by
502 flow cytometry using Annexin-V and 7AAD (for all data shown $n = 3$, * $p < 0.05$, ** $p < 0.01$).

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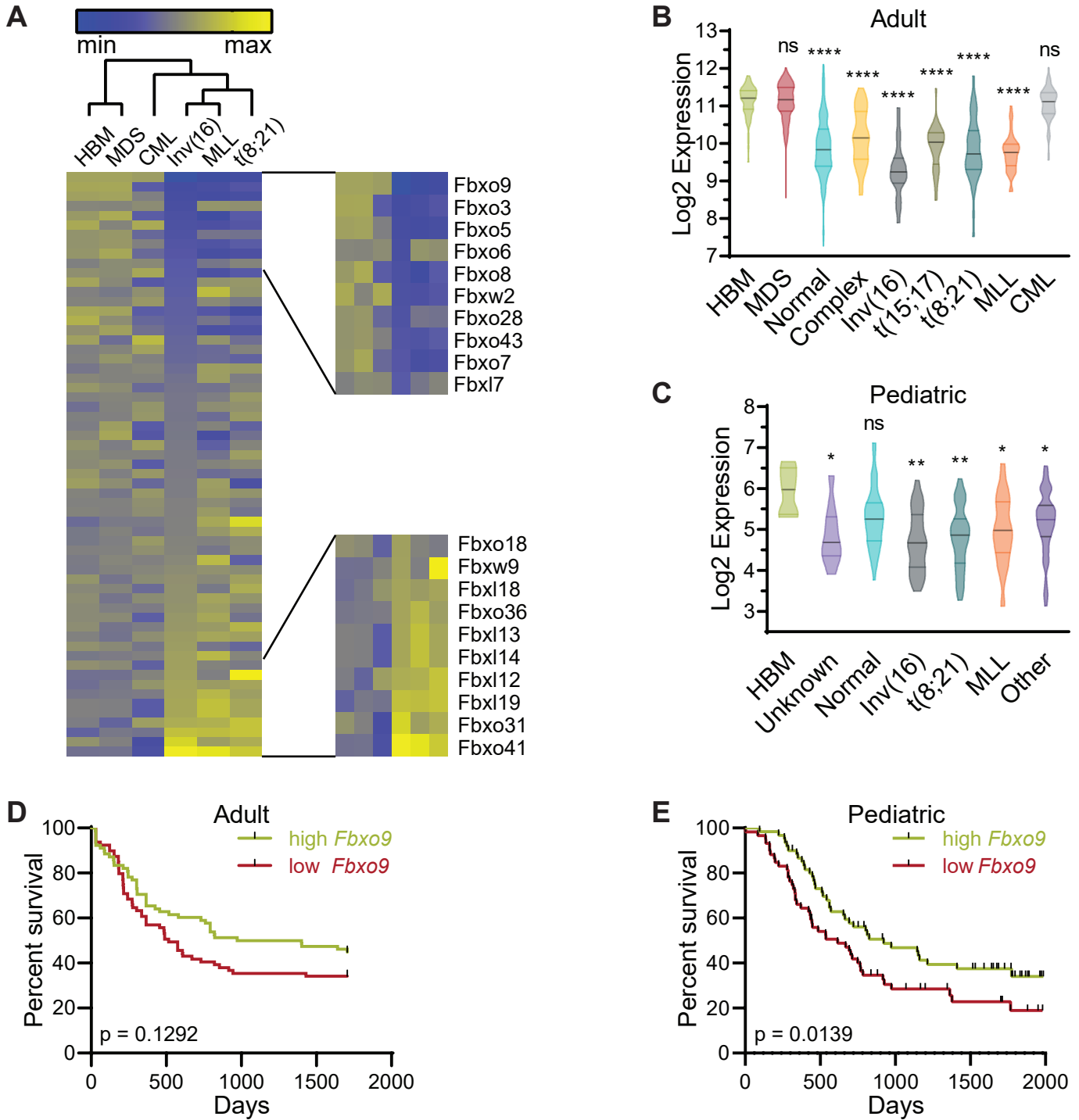
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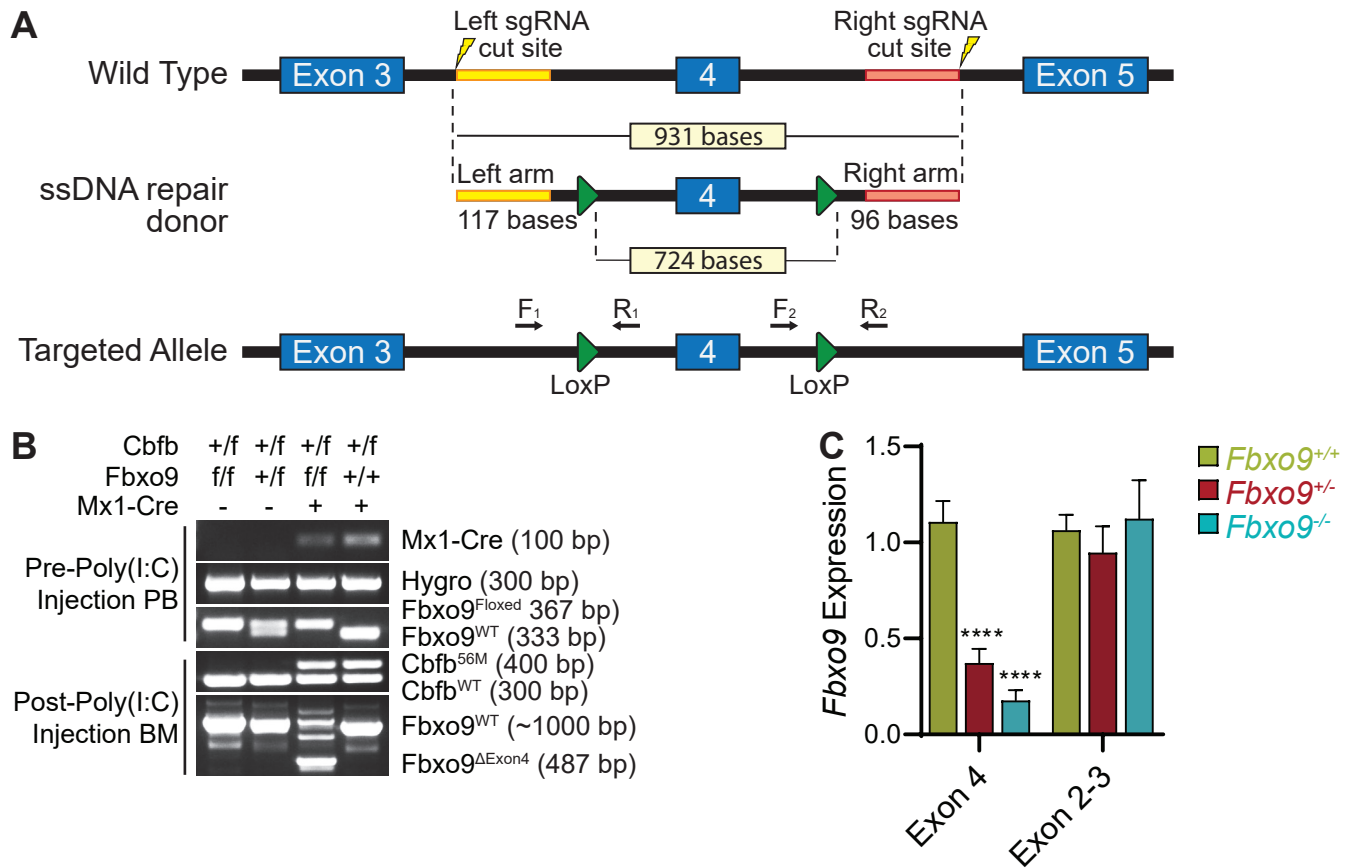
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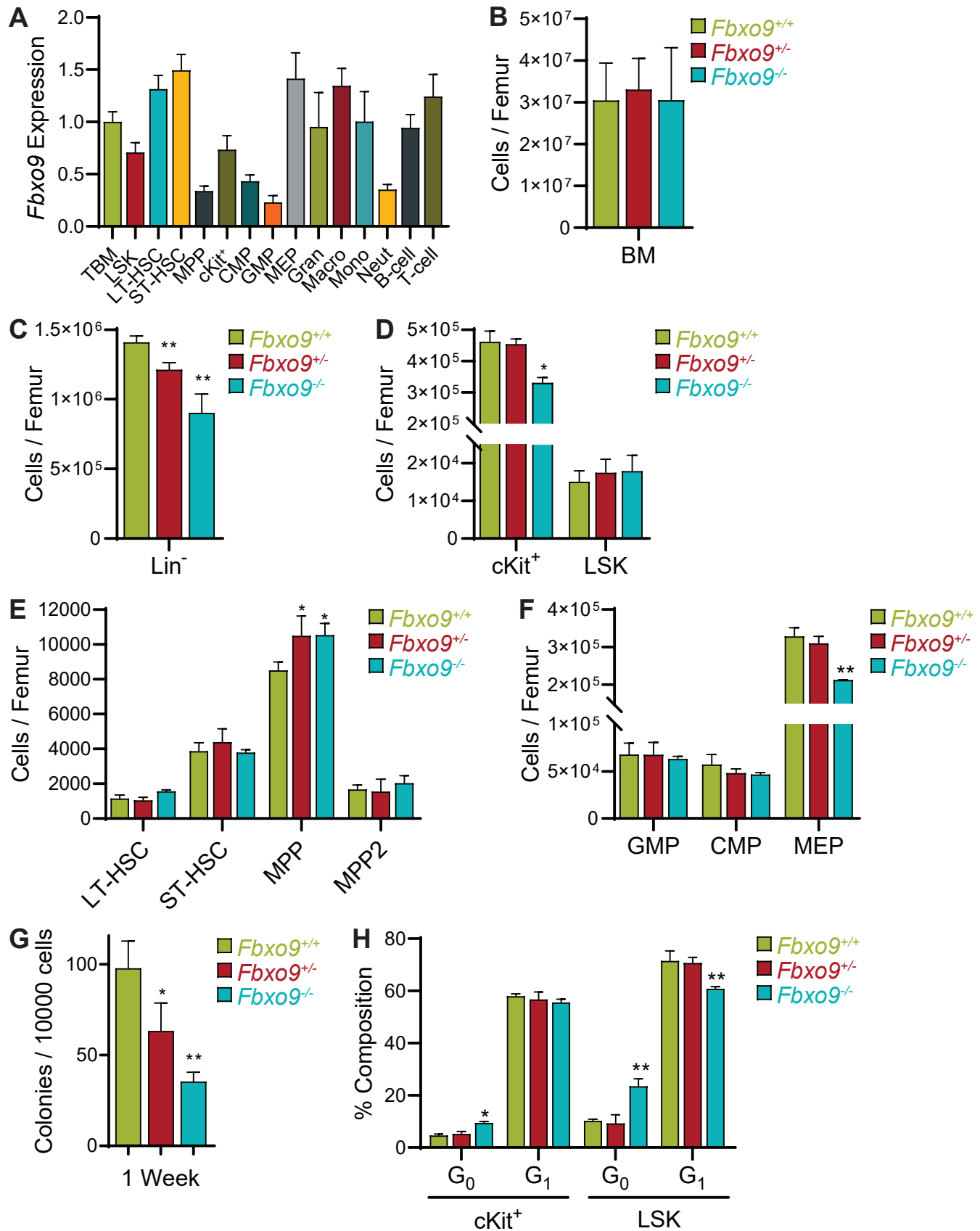
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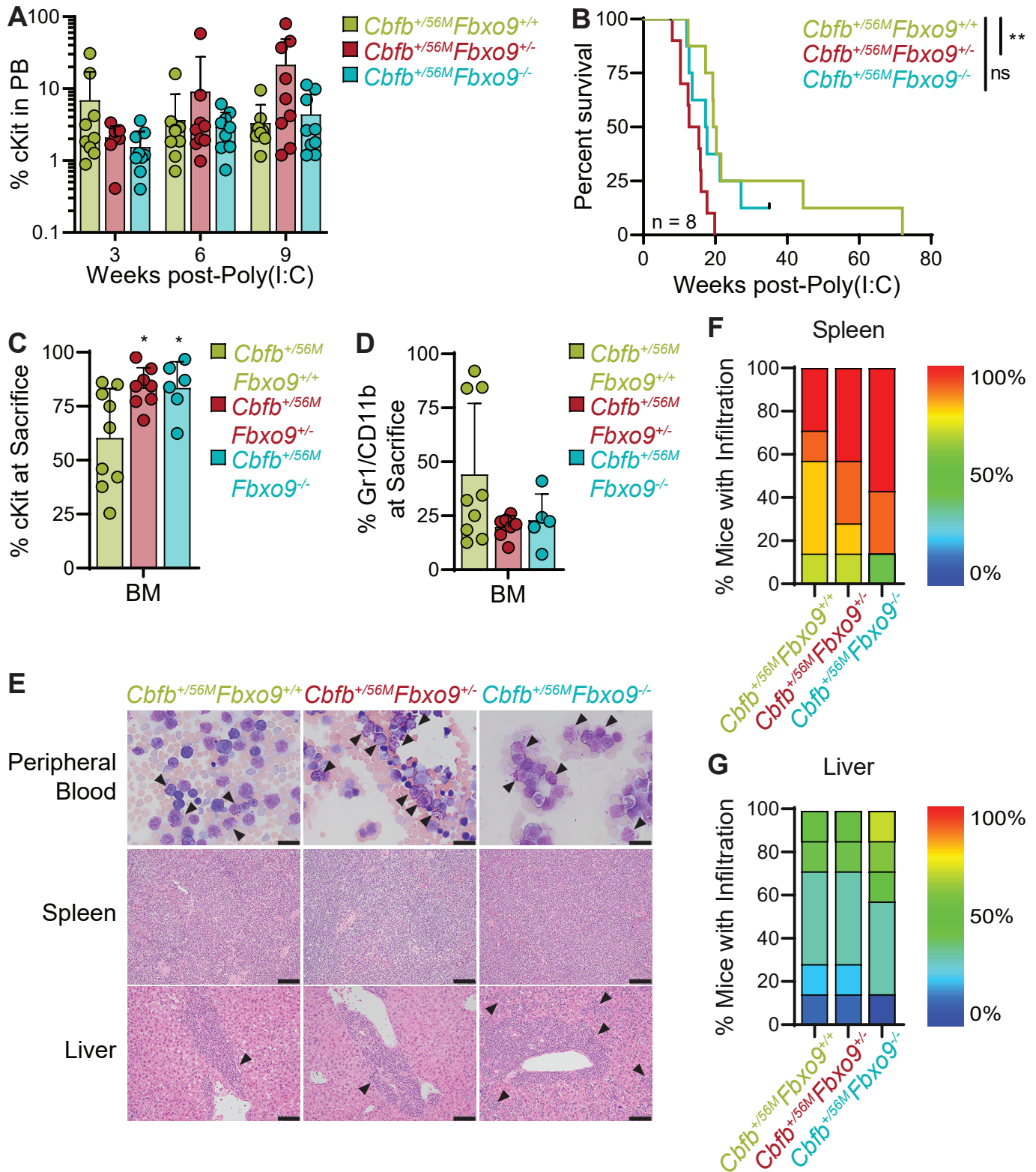
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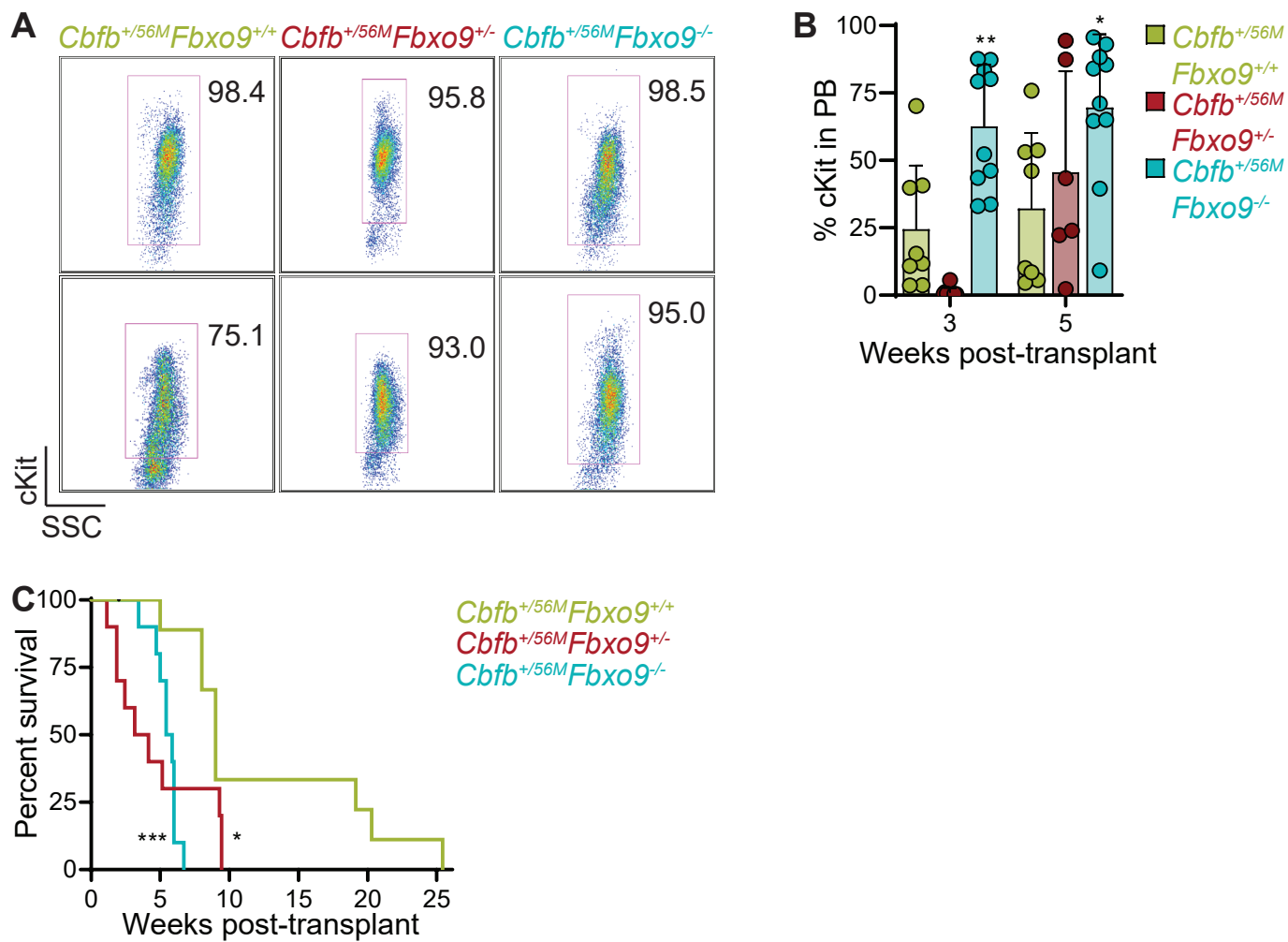
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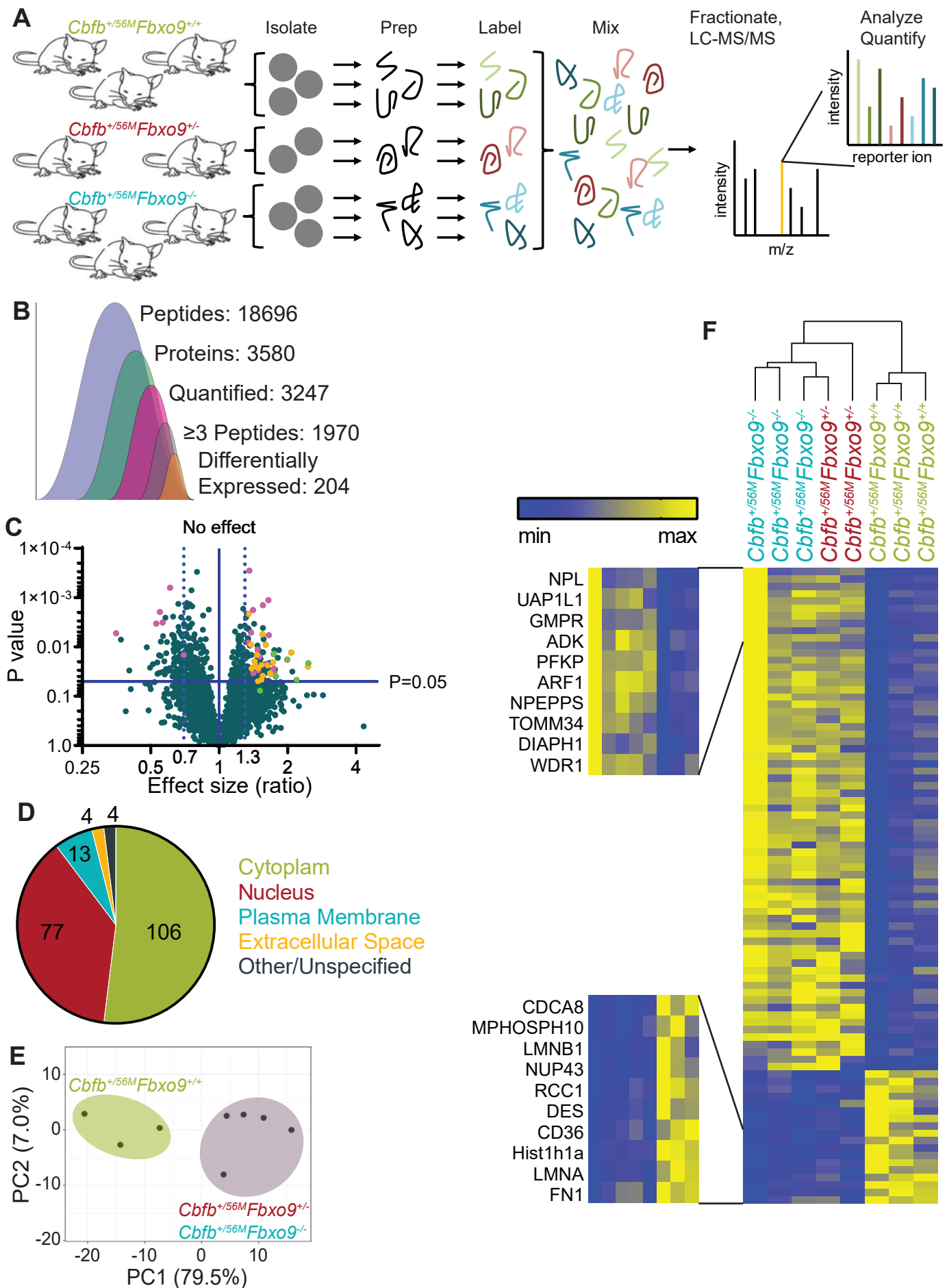
Hynes et al Figure 4



Hynes-Smith et al. Figure 5



Hynes-Smith et al. Figure 6



Hynes-Smith et al. Figure 7

