

1 Early post-zygotic mutations contribute to congenital heart disease

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52

53 **Abstract**

54 *Background*

55 The contribution of somatic mosaicism, or genetic mutations arising after oocyte fertilization, to congenital
56 heart disease (CHD) is not well understood. Further, the relationship between mosaicism in blood and
57 cardiovascular tissue has not been determined.

58

59 *Results*

60 We developed a computational method, Expectation-Maximization-based detection of Mosaicism (EM-
61 mosaic), to analyze mosaicism in exome sequences of 2530 CHD proband-parent trios. EM-mosaic
62 detected 326 mosaic mutations in blood and/or cardiac tissue DNA. Of the 309 detected in blood DNA,
63 85/97 (88%) tested were independently confirmed, while 7/17 (41%) candidates of 17 detected in cardiac
64 tissue were confirmed. MosaicHunter detected an additional 64 mosaics, of which 23/46 (50%) among 58
65 candidates from blood and 4/6 (67%) of 6 candidates from cardiac tissue confirmed. Twenty-five mosaic
66 variants altered CHD-risk genes, affecting 1% of our cohort. Of these 25, 22/22 candidates tested were
67 confirmed. Variants predicted as damaging had higher variant allele fraction than benign variants,
68 suggesting a role in CHD. The frequency of mosaic variants above 10% mosaicism was 0.13/person in
69 blood and 0.14/person in cardiac tissue. Analysis of 66 individuals with matched cardiac tissue available
70 revealed both tissue-specific and shared mosaicism, with shared mosaics generally having higher allele
71 fraction.

72

73 *Conclusions*

74 We estimate that ~1% of CHD probands have a mosaic variant detectable in blood that could contribute
75 to cardiac malformations, particularly those damaging variants expressed at higher allele fraction
76 compared to benign variants. Although blood is a readily-available DNA source, cardiac tissues analyzed
77 contributed ~5% of somatic mosaic variants identified, indicating the value of tissue mosaicism analyses.

78

79 *Keywords*

80 Mosaic, Somatic, Congenital Heart Disease, Exome Sequencing

81 **Background**

82 Mosaicism results from somatic mutations that arise post-zygotically in an early embryonic cell,
83 resulting in two or more cell populations with distinct genotypes in the developing embryo {Biesecker
84 2013}. The developmental status of the early embryonic cell at the time of mutagenesis determines the
85 proportion of variant-carrying cells and the tissue distribution of these cells in the post-natal child {Acuna-
86 Hidalgo 2015}. While germline variants have a variant allele frequency (VAF) of 0.5, somatic mosaic
87 variants have a significantly lower VAF.

88 Post-zygotic mosaic mutations have been implicated in several diseases including non-malignant
89 developmental disorders such as overgrowth syndromes {Poduri 2013; Lindhurst 2012; Kurek 2016},
90 structural brain malformations {Poduri 2012; Januar 2014; Riviere 2012; Lee 2012}, epilepsy {Stosser
91 2018}, and autism spectrum disorder {Lim 2017; Krupp 2017; Freed 2016; Dou 2017}. Recent analyses
92 also identified mosaic variants in a cohort of patients with congenital heart disease (CHD) {Manheimer
93 2018}, but the prevalence of these was far less than germline variants (CHD) {Zaidi 2013; Homsy 2015;
94 Jin 2017; Zaidi 2017}.

95 Assessment of the frequency of mosaicism in human disease is confounded by technical issues,
96 including differences in sequencing depth, DNA sources, and variant assessment pipelines. Low levels of
97 mosaicism can escape the detection threshold of traditional sequencing methods with standard read
98 depths, while post-zygotic mutations with a higher percentage of affected cells are difficult to discriminate
99 from germline *de novo* mutations {Acuna-Hidalgo 2015}. All of these issues can lead to substantially
100 different conclusions. For example, analyses of mosaicism in autism spectrum disorder was recently
101 assessed from whole exome sequence (WES) data from whole blood DNA from 2506 families (proband,
102 parents and unaffected sibling; trios and quads) in the Simons Simplex Collection (SSC) {Fischbach
103 2010}. The primary sequence data were analyzed by three groups; one that identified a protein-coding
104 somatic mosaic variant rate of 0.074 per individual {Freed 2016}, another that found a mosaic rate of
105 0.059 per individual {Lim 2017}, and a third group that reported a mosaic rate of 0.125 per individual
106 {Krupp 2017}. This disparity suggests the need for more systematic mosaic mutation detection methods
107 that account for dataset-specific confounding factors.

108 By contrast, analyses of affected tissues can improve the sensitivity and specificity of detection of
109 somatic mosaicism. In cancer, methods to detect these events, such as MuTect {Cibulskis 2013},
110 compare tumor and benign tissues from the same patient. Mosaicism has also been demonstrated from
111 the analyses of unpaired samples with cancer and other pathologies {Sun 2018; Huang 2017; Smith
112 2015} by the demonstration of variants in affected tissues that are absent from blood-derived DNA
113 {Symoens 2017; McDonald 2018}. With access to cardiac tissues from patients with CHD obtained during
114 surgical repair, we hypothesized that analyses of mosaicism in cardiac tissue might improve insights into
115 the causes of this common congenital anomaly. As many cardiomyocyte lineages share a mesodermic
116 origin with blood cells but exit the cell cycle during embryogenesis, we also sought to determine if
117 mosaicism in the heart exhibited distinct patterns of mosaicism with regard to variant frequency and allele
118 fractions.

119 In this study, we developed a computational method, EM-mosaic (Expectation-Maximization-
120 based detection of Mosaicism), to detect mosaic single nucleotide variants (SNVs) using WES of proband
121 and parent DNA. To optimize this method, we measured mosaic detection power as a function of
122 sequencing depth. We compared EM-mosaic and MosaicHunter {Huang 2014} to investigate mosaicism
123 in 2530 CHD proband-parent trios from the Pediatric Cardiac Genomics Consortium (PCGC) {Jin et al
124 2017}, using exome sequences derived from blood-derived DNA. We detected predicted deleterious
125 mosaic mutations in genes involved in known biological processes relevant to CHD or developmental
126 disorders in 1% of probands. The accuracy of these mosaic variant detection algorithms was assessed
127 using an independent re-sequencing method. We found that among high-confidence mosaic mutations in
128 CHD-relevant genes, likely-damaging variants tended to have higher VAF than likely-benign variants.

129 In parallel we assessed mosaicism by EM-mosaic and MosaicHunter in 70 discarded tissues from
130 several heart regions obtained from 66 probands who underwent cardiac surgical repairs. While VAF
131 varied significantly (>3 fold) between blood and cardiovascular tissue at about 60% of sites, in general
132 mosaic variants with high (>15%) VAF were more likely shared between blood and cardiac tissue than
133 variants with lower VAF.

134

135 **Results**

136 *High-accuracy detection of mosaic mutations in WES data using EM-mosaic*

137 We analyzed whole exome sequence (WES) data from 2530 CHD proband-parent trios {Homsy
138 2015; Jin 2017} (**Table S1**). Among this cohort, 1205 probands had CHD with neurodevelopmental
139 disorders (NDD) and/or extracardiac manifestations (EM), 788 had isolated CHD at the time of
140 enrollment, 539 had undetermined NDD status due to young neonatal age at the time of enrollment, and
141 9 subjects had incomplete data (**Table S2**).

142 Previous WES analyses {Jin et al 2017} identified 1742 germline *de novo* SNVs among 838
143 cases with NDD and/or EM, 516 isolated cases, 644 cases of unknown NDD status, and 7 with
144 incomplete data. These *de novo* variants were identified using the Genome Analysis Toolkit (GATK)
145 pipeline {McKenna 2010; DePristo 2011} assuming a germline diploid model in which the expected VAF
146 is 0.5. This model has limited sensitivity to detect mosaic mutations for which the fraction of alternative
147 allele reads is significantly below 0.5, especially because *de novo* variants with VAF<0.2 were excluded
148 to reduce false discovery.

149 To efficiently capture mosaic variants with VAF<0.4, we developed a new method (EM-mosaic) to
150 detect mosaic variants in WES sequence of a proband and parents (trios). Potential mosaic variants were
151 identified in WES sequence data using SAMtools *mpileup* {Li 2009} with settings designed to capture
152 sites with VAF between 0.1-0.4 and merged with the variants found by the GATK pipeline {Jin et al 2017}
153 (**Fig S1**) to create a union variant set. To reduce the elevated false positive rate inherent in low-VAF calls,
154 we applied a set of empirical filters to remove likely technical artifacts due to sequencing errors
155 associated with repetitive and/or low complexity sequences. We then manually inspected *de novo* SNVs
156 with VAF<0.3 (n=582) using IGV and filtered out an additional 188 likely false positives. After
157 preprocessing and outlier removal, the remaining 2971 *de novo* SNVs were used as input to our mosaic
158 detection model.

159 Among the 2971 *de novo* SNVs, this pipeline identified 309 sites as candidate mosaics based on
160 posterior odds score (**Fig 1A-B, Table S3**), including 50 sites that were previously reported as germline
161 *de novo* variants {Jin et al 2017}. An additional 86 sites were identified as having posterior odds below
162 our threshold of 10 but greater than 1 (**Fig S2A, S2B**), including a *ZEB2* variant with posterior odds 4.7
163 that was previously confirmed via ddPCR {Manheimer 2018}. Among these 86 variants, 53 are likely

164 mosaic and 33 are likely germline (**Fig S2B**). We chose not to include these sites since there was
 165 insufficient evidence to confidently resolve them individually as mosaic or germline.

166

167 *Mosaic mutations found in blood derived DNA with MosaicHunter*

168 We also employed MosaicHunter, which uses a Bayesian genotyping algorithm with a series of
 169 stringent filters (see Supplemental Methods) for discovering mosaic variants using WGS genotype
 170 information from trios. {Huang 2017} Among the 2530 CHD trios, MosaicHunter identified an initial set of
 171 58976 sites showing evidence of mosaicism, including 214 high-confidence variants located in coding
 172 regions. (**Fig S3**). After applying a minimum likelihood ratio (LR) cutoff of 80 for distinguishing mosaic
 173 from germline mutation, and additional heuristic filters (**Supplemental Methods**), MosaicHunter identified
 174 116 coding sites (**Table S4**) or 0.05 mosaics /individual.

175 Of the mosaic candidates detected by MosaicHunter, 58/116 (50%) were also identified by EM-
 176 mosaic while 58/116 (50%) candidates were unique to MosaicHunter (**Table 1; Fig S4**). Of the 58
 177 candidates unique to MosaicHunter, 35 were filtered out by EM-mosaic on the basis of insufficient
 178 alternate allele read support, 16 had a non-zero allelic depth in the parents, and 7 failed quality filters.
 179 The 251 candidates unique to EM-mosaic were discarded by the MosaicHunter pipeline during BAM
 180 reprocessing (n=13), quality filtering (n=146), application of LR cutoff (22), or were not called due to
 181 inadequate read depth (n=70) (**Fig S3**).

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Table 1: Mosaic variant detection by EM-Mosaic, MosaicHunter and validated by PCR product sequencing

| | | Union | Shared | Unique | |
|---------------------------------------|--------------|------------------|----------------|------------------|----------------|
| | | | | EM-Mosaic | MosaicHunter |
| Mosaic Variants (total)* | | 315 (332) | 56 (57) | 218 (240) | 29 (35) |
| Mosaic Candidates | | 367 | 58 | 251 | 58 |
| Mosaic Candidate VAF mean (SD) | | 0.13 (0.06) | 0.12 (0.05) | 0.13 (0.06) | 0.10 (0.05) |
| MiSeq Confirmation | Total Tested | 143 | 22 | 75 | 46 |
| | Mosaic | 108 | 21 | 64 | 23 |
| | Germline | 3 | 0 | 3 | 0 |
| | No Variant | 32 | 1 | 8 | 23 |
| Validation Rate | | 76% | 95% | 85% | 50% |

183 *Estimated number of mosaic variants found among 2530 CHD probands (total number of mosaic variants detected
 184 by EM-mosaic and MosaicHunter).

185

186

187 *Sequence confirmation of candidate mosaic variants and estimation of mosaicism in CHD*

188 From the 367 high-confidence EM-mosaic and/or MosaicHunter SNVs, we selected 143
189 candidates (97 identified by EM-mosaic; 68 identified by MosaicHunter) for experimental confirmation
190 using MiSeq amplicon resequencing (**Table S5; Table S11 and S12; Methods**). DNA fragments
191 encompassing the putative mosaic variant were PCR-amplified from proband and each parent DNA,
192 sequenced on an Illumina MiSeq next generation sequencer and VAF was calculated for each individual.
193 These candidate mosaics included SNVs on the extremes of the VAF spectrum, as well as mosaics that
194 were flagged by MosaicHunter quality filters. Candidate mosaic variants were considered confirmed by
195 MiSeq analyses if they demonstrated an amplicon VAF exceeding 0.01 but less than 0.45, so as to
196 indicate a variant of post-zygotic origin. MiSeq VAF values closely correlated with those originally
197 determined by exome sequencing ($P= 2.2 \times 10^{-16}$; **Fig S5**).

198 We confirmed 85/97 (88%) EM-mosaic candidate mosaic variants. Three candidate variants were
199 likely germline *de novo* SNVs (VAF>0.45). Nine candidate variants were 'false positives' that were neither
200 germline *de novo* SNVs or mosaic SNVs since either no variant reads were detected by MiSeq
201 sequencing of the proband amplicon, or the same small fraction of variants were detected in proband
202 amplicon and one parent's amplicon.

203 Parallel analyses with MosaicHunter confirmed 44/68 (65%) candidate mosaic variants. There
204 were 23 sites for which no variant reads were detected by MiSeq amplicon sequencing (MiSeq
205 VAF<0.001) or in which the same small fraction of variant reads was detected in the proband amplicon as
206 in one parent's amplicon.

207 We considered whether estimates of mosaic variant frequency were sensitive to whole exome
208 sequencing depth by calibrating estimates of mosaic detection power using properties of the sequence
209 data (average read depth, prior mosaic fraction, and the value of our overdispersion parameter θ) (**Fig**
210 **S6; Supplemental Methods**). Our projected mosaic detection power curves demonstrated more than a
211 doubling of power to detect mosaic variants with VAF 0.2 as sequencing depth increases from 40x to 80x

212 **(Fig 1C)**. Projected mosaic detection power curves for less stringent mosaic cutoffs showed similar
213 increases of power with increasing sequencing depth **(Fig S8)**.

214 To estimate the ‘true’ frequency of mosaicism per blood DNA exome, independent of average
215 coverage detection power constraints, we estimated the ‘true’ mosaic count in a VAF range by multiplying
216 the number of mosaics by the inverse of the detection power for each VAF bin. Applying this method to
217 the 184 of 309 high-confidence EM-mosaic variants with VAF>0.1, we estimated the adjusted number of
218 mosaics with VAF>0.1 to be 361 **(Fig S8A)**. Thus, the true frequency of coding mosaics in the blood
219 ($0.4 > \text{VAF} > 0.1$) is 0.14 variants per individual, representing a non-negligible class of mutations with
220 potential contribution to genetic risk for congenital heart disease. The estimated true mosaic frequency
221 does not change significantly when using less stringent mosaic definitions **(Figure S8)**. In sum, we
222 identified 315 blood mosaic variants in 2530 CHD probands or 0.13 mosaic variants per subject with a
223 mean VAF of 0.13 ± 0.06 . We do not anticipate that doubling the sequencing depth would change
224 significantly this estimate.

225

226 *Mosaic variants occurred most frequently at CpG sequences.*

227 Previous studies demonstrated a strong preference for *de novo* C>T mutations at CpG
228 dinucleotides compared to other dinucleotides due to the spontaneous deamination of 5-methylcytosine
229 {Fryxell 2005; Francioli 2015}. We asked whether the germline *de novo* variants observed in CHD
230 probands and the 332 mosaic sites demonstrated a similar sequence preference **(Fig 1, Table 1, S3, S4)**.
231 Of the 2662 germline *de novo* mutations identified in 2530 CHD probands, 979 variants (37% of all
232 variants) involved mutation of the cytosine of a CpG dinucleotide **(Fig 2A)**. By contrast, 99 (29% of all
233 mosaic SNVs) of 332 mosaic SNVs altered the cytosine of a CpG dinucleotide; significantly more than
234 expected by chance (2.2x above expectation; $p=2 \times 10^{-15}$). These observations suggest that somatic *de*
235 *nov* mutations were 1.4-fold less likely to involve a CpG dinucleotide than germline *de novo* mutations in
236 CHD probands ($P=0.01$; **Fig 2B**). Even ignoring the high CpG mutation frequency, cytosines and
237 guanines were ~2-fold more likely to be mutated than adenines or thymidines both for germline mutations
238 and for mosaic variants. Surprisingly, somatic mutations of A>C/T>G transversions in ApC dinucleotides
239 were ~2-fold greater than the corresponding germline mutations ($P=5 \times 10^{-8}$; **Fig 2B**).

240

241 *Detection of mosaic mutations in CHD tissues*

242 Using EM-mosaic and MosaicHunter we analyzed exome sequences from 70 cardiac tissues
243 derived from 66 subjects with CHD (**Table S6**) and paired blood samples. Among 57 *de novo* variants
244 (allele depth approximately 0.5) that were previously identified in blood-derived DNA, 54 were also found
245 in CHD tissues. Of the 3 *de novo* variants not present in cardiac tissue, 1 was outside of the tissue WES
246 capture region and 2 occurred in a single proband (Table 2). In addition, 23 distinct candidate mosaic
247 variants were detected by EM-mosaic (n=13), MosaicHunter (n=6), or by both algorithms (n= 4). All 23
248 candidates were tested via MiSeq amplicon sequencing of blood and cardiac tissue DNAs; 15 of 23
249 unique candidate mosaics were confirmed (**Table 2, S7**), including a *CCNC* variant that was identified in
250 two different CHD tissues from proband 1-01684. Ten (86%) confirmed mosaic variants were detected in
251 blood and cardiac tissues (MAF>0.01), four were found only in cardiac tissue, and one was found only in
252 blood. Of the 7 mosaics detected by blood WES analysis, 4 were confirmed in the corresponding cardiac
253 tissue sample. Remarkably, five confirmed cardiac tissue mosaic variants occurred in one proband (1-
254 07004), one of which was also present in blood DNA.

255 These analyses indicate a frequency of coding mosaics ($0.4 > \text{VAF} > 0.1$) in the cardiac tissues of
256 0.14 per individual (9 of 66 probands), which approximated our estimate of 0.14 blood mosaics per
257 individual (**Fig S8A**). Despite these similar frequencies, multiple distinct mosaic variants were identified in
258 these tissues. Mosaics with highest VAF were more likely to be found in both tissues (Mann-Whitney U
259 Test $P=0.019$), presumably indicating that the mutation occurred earlier in lineage development (**Fig S9**).

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262 **Table 2. Mosaics detected in individuals with matched cardiovascular tissue and blood**

263 **< Insert Table 2; see end of document >**

264 Characteristics of mosaic variants predicted for individuals with blood and cardiovascular tissue WES data available.
265 Among 15 mosaics, 5 were detected via analysis of blood WES, 8 were detected from cardiovascular tissue WES,
266 and 2 were detected by both approaches. Six of 7 (86%) mosaics detected from analysis of blood were present in
267 both DNA sources with MiSeq $\text{VAF} \geq 0.01$. Two additional variants previously identified as *de novo* germline variants in
268 blood WES were absent from CHD tissue WES. Minimum 1023 MiSeq reads used to determine VAF. Abbreviations:
269 AD, allelic depth (reference, alternate); AO, aorta; AtrSpt, atrial septum; Bmis, benign missense; Dmis, deleterious
270 missense; LOF, Loss of function variant; LV, left ventricle; RV, right ventricle; VAF, variant allele fraction.

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273 *Blood and Cardiac Tissue Mosaics Likely to Contribute to CHD*

274 Our prior genetic studies of CHD studies showed that damaging *de novo* variants typically
275 occurred in genes highly expressed in the top quartile of the developing E9.5 mouse heart (HHE).{Zaidi
276 2013; Homsy 2015} or contributed to CHD in mouse models {Jin 2017}. Among the 342 mosaic variants
277 identified from blood or cardiac tissue analyses that were not false by MiSeq, 65 altered these HHE
278 and/or mouse CHD genes (n=4558, **Table S8**). RefSeq functional annotation predicted 52 variants as
279 likely-damaging variants (LOF, Dmis), and 46 as likely benign, missense (**Table S8, S9**). In total, we
280 observed potentially CHD-causing mosaic mutations in 25 participants, representing 1% of the 2530 total
281 participants in our CHD cohort. Among these 25 mosaics, we confirmed 22/22 (100%) candidates tested
282 via MiSeq. Notably, multiple likely-damaging mosaic variants altered genes (*ISL1*, *SETD2*, *NOVA2*,
283 *SMAD9*, *LZTR1*, *KCTD10*, *KCTD20*, *FZD5*, and *QKI*) involved in key developmental pathways, which
284 may account for the extra-cardiac phenotypes observed in these patients (**Table 3, S10**). There was no
285 difference in the proportion of individuals with extracardiac features among those with damaging mosaic
286 variants compared to the overall cohort (11/25 vs 909/ 2521, $P=0.68$), and there was a wide range of
287 CHD subtypes. Five subjects carried additional *de novo* LoF or Dmis variants (1-06216, *TYRP1*; 1-
288 04046, *KRT13*; 1-06677, *TRIP4*; 1-05011, *KDM5B*; 1-00018, *SBF1*) and 4 genes harbored *de novo* LoF
289 or Dmis variants other than those listed in Table 3 (*FBN1*; *PKD1*; *LZTR1*; *PIK3C2G*). No CNVs were
290 detected in these subjects, with the exception of 1-00192 (duplication at chr15:22062306-23062355; non-
291 overlapping with the *GLYR1* mosaic).

292 If mosaic variants were unrelated to CHD, we would expect similar allelic fractions between
293 mosaics with variants predicted as likely damaging or likely benign. However, we found that the allele
294 fraction of likely damaging variants was significantly higher (Mann-Whitney U Test $P=0.001$, **Fig 4A**).
295 Moreover, among mosaic variants in genes that are not included among HHE or mouse CHD genes, we
296 found no significant difference of allele fraction ($P=0.985$, **Fig 4B**). We repeated these analyses using
297 less stringent posterior odds cutoffs of 2 and 5 and found the same result (**Fig S10**). Together these data
298 support our conclusion that at least some likely-damaging mosaic variants identified here contribute to
299 CHD. These results were determined independently of MiSeq validation results.

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301
302

Table 3. Damaging Mosaics in CHD-relevant genes

303 < Insert Table 3; see end of document>

304 There were 25 potentially-pathogenic mosaic mutations based on known gene function and patient phenotype. Some
305 of these probands have previously described rare LoF/Dmis variants, though none are likely pathogenic for CHD {Jin
306 2017}. Additionally, some genes were previously found to have LoF/Dmis variants among other individuals in this
307 CHD cohort. Abbreviations: ASD, atrial septal defect; BAV, bicuspid aortic valve; Dmis, deleterious missense;
308 episcore, haploinsufficiency score (percentile rank) {Han 2018}; Heart Exp, heart expression percentile rank; LoF,
309 loss-of-function; pLI, probability of loss-of-function intolerance {gnomAD}; PCGC, Pediatric Cardiac Genomics
310 Consortium; VAF, variant allele fraction; VSD, ventricular septal defect. *VAF refers to CHD tissue WES.

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314 Discussion

315 Distinguishing mosaic mutations from constitutional mutations has both clinical management and
316 reproductive implications for proband and parents. Individuals with mosaic mutations are generally
317 clinically less severely affected for conditions that affect multiple parts of the body {Happle 1986; Wallis
318 1990; Cohn 1990; Etheridge 2011; Donkervoort 2015; Weinstein 2016}. Mutations that occur post-
319 zygotically should have no recurrence risk for the parents and could have a recurrence risk of less than
320 50% for the proband depending on gonadal involvement. This study is among the first investigations of
321 the role of post-zygotic mosaic mutations in CHD. We developed a new computational method to robustly
322 detect mosaic single nucleotide variants from blood WES data at standard read depth. Applying this
323 method to a cohort of 2530 CHD patients, we detected 309 high-confidence mosaics (with a confirmation
324 frequency of 88% in a subset of variants assessed) or 0.12 variants per proband. Sequencing of cardiac
325 tissue to greater depth identified an additional 8 mosaic variants that had not been detected in blood
326 WES, 6 of which are present in cardiac tissue but not blood. We found significantly more variants per
327 proband in cardiac tissue DNA (0.23 variants per proband) than in blood DNA (0.12 variants per proband;
328 $p=0.02$). While the increased numbers of mosaic variants in cardiac tissue DNA vs blood DNA may reflect
329 technical differences such as sequencing read depth of cardiac tissue DNA vs blood DNA, it is possible
330 that somatic variation occurs more frequently in cardiac tissue of CHD probands than in their blood.
331 Whether or not there are more cardiac tissue mosaic variants in CHD probands than blood DNA variants,
332 we found 10 mosaic variants among 66 CHD proband cardiac tissues with a higher VAF in tissue than in
333 blood (**Table 2**) and 5 variants among these individuals with a higher VAF in blood than in tissue.

334 In total, we observed potentially CHD-causing mosaic mutations in 25 participants, representing
335 1% of the 2530 total participants in our CHD cohort. Among these 25 mosaics, we confirmed 22/22
336 (100%) candidates tested. We found that in CHD-related genes, likely-damaging mosaic mutations have

337 significantly greater alternative allele fraction than likely-benign mosaics, suggesting that some of these
338 variants contribute to CHD. Comparison of blood and cardiovascular tissues demonstrated tissue-specific
339 mosaic variants, though those variants with a higher VAF were more likely to be shared between tissues.
340 Due to limitations of conventional clinical interpretation for both mosaic and constitutional CHD variants
341 **(Supplemental Methods)**, we cannot know with complete certainty which among these 25 variants is
342 pathogenic and instead propose that, among our detected mosaics, the 23 detected from blood WES
343 data provide an estimate of the disease-causing mosaics detectable in blood with standard exome-
344 sequencing read depth. Nine of these variants affect genes known to have a role in cardiac development:
345 *ISL1*, *SETD2*, *NOVA2*, *QKI*, *SMAD9*, *LZTR1*, *KCTD10*, *KCTD20*, and *FZD5*.

346 The mosaic LOF mutation in *ISL1* is likely to be the cause of CHD in participant 1-05095. *ISL1* is
347 a transcription factor essential to normal cardiac development that regulates expression of *NKX*, *GATA*,
348 and *TBX* family genes {Golzio 2012; Colombo 2018} and controls secondary heart field differentiation and
349 atrial septation {Colombo 2018; Briggs 2012}. *ISL1* deficiency has been shown to lead to severe CHD in
350 mice {Cai 2003; Golzio 2012}. Participant 1-05095 has an isolated atrial septal defect consistent with a
351 secondary heart field defect phenotype {Stevens 2010} and has no other previously reported damaging
352 germline variants in CHD-related genes.

353 Damaging germline *de novo* variants in CHD subjects are enriched in genes related to chromatin
354 modification and RNA processing {Homsy 2015; Jin 2017}. Three genes with damaging mosaic variants
355 discovered here have related functions. *SETD2* is a histone methyltransferase required for embryonic
356 vascular remodeling {Hu 2010}; it is both sensitive to haploinsufficiency and highly expressed in the heart
357 during development. *NOVA2* is a key alternative-splicing regulator involved in angiogenesis that has been
358 shown to disrupt vascular lumen formation when depleted {Giampietro 2015}. *QKI* encodes an RNA-
359 binding protein that regulates splicing, RNA export from the nucleus, protein translation, and RNA stability
360 {Lauriat 2008}. *QKI* is also highly expressed in the heart during development and has been shown to
361 cause CHD and other blood vessel defects in mice when dysregulated {Noveroske 2002}.

362 Other damaging mosaic variants affect processes known to be relevant to CHD. *SMAD9* is
363 involved in the TGF-beta signaling pathway. TGF-beta signaling plays a critical role in cardiac
364 development and cardiovascular physiology, leading to pulmonary arterial hypertension and cardiac

365 abnormalities in mice when dysregulated {Drake 2015; Soubrier 2013}. *LZTR1* encodes a member of the
366 BTB-Kelch superfamily that is highly expressed in the heart during development and has been associated
367 with Noonan {Yamamoto 2015; Ghedira 2017} and DiGeorge Syndromes {Kurahashi 1995}, both of which
368 are characterized by CHD. *KCTD10* binds to and represses the transcriptional activity of *TBX5* (T-box
369 transcription factor), which plays a dose-dependent role in the formation of cardiac chambers {Tong
370 2014}. *KCTD10* is highly expressed in the heart during development and has been shown to produce
371 CHD in mice when dysregulated {Ren 2014}. *KCTD20* is a positive regulator of Akt {Nawa 2013} also
372 highly expressed in the heart during development. *FZD5* is haploinsufficient and encodes a
373 transmembrane receptor involved in Wnt, mTOR, and Hippo signaling pathways and has been shown to
374 play a role in cardiac development {Dawson 2013}.

375 Finally, two mosaic variants found in cardiac tissue, genes encoding *RFX3* and *PIK3C2G*, may be
376 disease-relevant. *PIK3C2G* is a signaling kinase involved in cell proliferation, survival, and migration, as
377 well as oncogenic transformation and protein trafficking {OMIM: 609001; RefSeq}. The effects of
378 *PIK3C2G* haploinsufficiency during cardiac development has not been characterized. *RFX3* is a highly-
379 constrained ciliogenic transcription factor that leads to pronounced laterality defects {Rasmdell 2005 } and
380 disruption of *RFX3* leads to congenital heart malformations in mice {Lo 2011 MGI: 5560494}. Notably the
381 *RFX3* LoF variant has a 4-fold higher VAF in cardiac tissue than in blood.

382 Several investigators, who studied cancer and diseases with cutaneous manifestations, proposed
383 that the VAF correlates with time of mutation acquisition and disease burden {Belickova 2016; Sallman
384 2016; Happle 1986}. In this study, we used VAF as a proxy for cellular percentage and mutational timing,
385 with increasing VAF corresponding to events occurring earlier in development. Thus, we assume that
386 CHD-causal mosaic events identified in blood-derived DNA occurred during or shortly after the
387 gastrulation process (3rd week of development) {Moorman 2003} in the mesodermal progenitor cells that
388 differentiate into both heart precursor cells (cardiogenic mesoderm) and blood precursor cells
389 (hemangioblasts). We found that in CHD-relevant genes, mosaic sites predicted to be damaging tended
390 to have higher VAF than sites predicted to be likely benign, consistent with the hypothesis that these
391 mutations arose early in fetal development and play significant roles in CHD. However, additional
392 functional studies are necessary to fully assess causality. .

393 Finally, we recognize that while our method is able to detect a large fraction of mosaic variants in
394 blood, our calibrated estimates for the true number of mosaics suggest there are a non-negligible number
395 of additional mutations that were not identified by our method. At our current average sequencing depth
396 of 60x, we have limited sensitivity in the low VAF (<0.05) range. To reliably identify these low allelic
397 fraction sites, ultra-deep sequencing will be critical to distinguishing true variants from noise. At 500x, we
398 estimate detection sensitivity for mosaic events at VAF 0.05 to be above 80%. We also recognize age-
399 related clonal hematopoiesis {Jaiswal 2014; Genovese 2014} as a potential confounding factor in somatic
400 mutation detection; however, our study cohort includes mostly pediatric cases and we did not observe
401 mosaic mutations in genes related to clonal expansion (e.g. *ASXL1*, *DNMT3A*, *TET2*, *JAK2*) nor did we
402 observe a relationship between proband age and mosaic rate (**Fig S11, S12**), suggesting minimal impact
403 from this process.

404

405 **Conclusions**

406 This study is among the first investigations of the role of post-zygotic mosaic mutations in CHD.
407 Despite limitations in sequencing depth and sample type, EM-mosaic was able to detect 309 high-
408 confidence mosaics with resequencing confirmation in 88% of cases assessed. Using MosaicHunter, an
409 additional 64 candidate mosaic sites were identified, of which 23/46 (50%) candidates from blood DNA
410 and 4/6 (67%) from CHD tissue DNA validated. In total, we observed potentially CHD-causing mosaic
411 mutations in 25 participants, representing 1% of our CHD cohort, and propose that these 25 cases
412 provide an estimate of the disease-causing mosaics detectable in blood with standard exome-sequencing
413 read depth. Additionally, we found that in CHD-related genes, likely-damaging mosaics have significantly
414 greater alternative allele fraction than likely benign mosaics, suggesting that many of these variants
415 cause CHD and occurred early in development. In the subset of our cohort for which cardiovascular
416 tissue samples were available, we show that mosaics detected in blood can also be found in the disease-
417 relevant tissue and that, while the VAF for mosaic variants often differed between blood and
418 cardiovascular tissue DNA, variants with higher VAF were more likely to be shared between tissues.
419 Given current limitations in sequencing depth and on the availability of relevant tissues, particularly for
420 conditions impacting internal organs like the heart, the full extent of the role of mosaicism in many

421 diseases remains to be explored. However, as datasets containing larger numbers of blood and other
422 tissue samples sequenced at higher depths become increasingly available, we will be able to more fully
423 characterize the biological processes underlying post-zygotic mutation and, by extension, the contribution
424 of mosaicism to disease using the methods presented here.

425

426 **Methods**

427 *Samples and Sequencing Data*

428 We analyzed WES data from 2530 Congenital Heart Disease (CHD) proband-parents trio families
429 who were recruited as part of the Pediatric Cardiac Genomics Consortium (PCGC) study {Homsy 2015;
430 Jin 2017}. Genomic DNA from venous blood or saliva was captured using Nimblegen v.2 exome capture
431 reagent (Roche) or Nimblegen SeqCap EZ MedExome Target Enrichment Kit (Roche) followed by
432 Illumina DNA sequencing (paired-end, 2x75bp) {Jin 2017, Zaidi 2013}. Genomic DNA from 70 surgically-
433 discarded cardiovascular tissue samples (2-10mg) was isolated using DNeasy Blood & Tissue Kit
434 (QIAGEN), then captured using xGen Exome Research Panel v1.0 reagent (IDT) followed by Illumina DNA
435 sequencing (paired-end, 2x75bp). Sequence reads were mapped to the hg19 human reference genome
436 with BWA-MEM and BAM files were further processed following GATK Best Practices, which included
437 duplication marking, indel realignment, and base quality recalibration steps. Blood and saliva samples
438 had sample average depth 60x and cardiovascular tissue samples had sample average depth 160x.

439

440 *De Novo Variant Calling and Annotation*

441 We processed our sample BAMs and called variants on a per-trio basis using SAMtools (v1.3.1-
442 42) and BCFtools (v1.3.1-174). Pileups were generated using samtools '*mpileup*' command with mapQ
443 20 and baseQ 13 to minimize the effect of poorly mapped reads on variant allele fraction, followed by
444 bcfutils '*call*' using a cutoff of 1.1 for the posterior probability of the homozygous reference genotype
445 parameter (-p) to capture additional sites with variant allele fraction suggestive of post-zygotic origin that
446 would otherwise be excluded under the default threshold of 0.01. To identify *de novo* mutations from trio
447 VCF files, we selected sites with (i) a minimum of 6 reads supporting the alternate allele in the proband
448 and (ii) for both parents, a minimum depth of 10 reads and 0 alternate allele read support. Variants were

449 then annotated using ANNOVAR (v2017-07-17) to include information from refGene, gnomAD (March
450 2017), 1000 Genomes (August 2015), ExAC, genomicSuperDups, CADD (v1.3) COSMIC (v70), and
451 dbSNP (v147) databases, as well as pathogenicity predictions from a variety of established methods
452 included as part of the dbNSFP (v3.0a) database or generated in-house (MCAP, REVEL, MVP, MPC).
453 We used REVEL {Ionnisidis 2016} to evaluate missense variant functional consequence, using the
454 recommended threshold of 0.5 corresponding to sensitivity of 0.754 and specificity of 0.891. We used
455 spliceAI {Jaganathan 2019} to predict the variant functional impact on splicing using the delta score
456 thresholds of 0.2 for likely pathogenic (high recall), 0.5 for pathogenic (recommended), and 0.8 for
457 pathogenic (high precision). We considered sites predicted to be Likely Gene-Disrupting (LOF) (stopgain,
458 stoploss, frameshift indels, splice-site), Deleterious Missense (Dmis; nonsynonymous SNV with
459 REVEL>0.5), or splice-damaging (Benign Missense or synonymous SNV with delta score > 0.5) to be
460 damaging and likely disease causing. We considered sites predicted to be Synonymous (delta score ≤
461 0.5) or Benign missense (Bmis; nonsynonymous SNV with REVEL ≤ 0.5 and delta score ≤ 0.5) to be non-
462 damaging.

463

464 *Pre-processing and QC*

465 To reduce the number of low VAF technical artifacts introduced by our variant calling approach,
466 we pre-processed our variants using a variety of filters. We first excluded indels from further analysis, as
467 their downstream model parameter estimates were less stable than those of SNVs. We then filtered our
468 variant call set for rare heterozygous coding mutations (Minor Allele Frequency (MAF) ≤ 10⁻⁴ across all
469 populations represented in gnomAD and ExAC databases). To account for regions in the reference
470 genome that are likely to affect read-depth estimates, we removed variant sites found in regions of non-
471 unique mappability (score<1; 300bp), likely segmental duplication (score>0.95), and known low-
472 complexity {Li 2014}. We then excluded sites located in MUC and HLA genes and imposed a maximum
473 variant read depth threshold of 500. We used SAMtools PV4 to exclude sites with evidence of technical
474 issues using a cutoff of 1e-3 for baseQ Bias and Tail Distance Bias and a cutoff of 1e-6 for mapQ Bias.
475 To account for potential strand bias, we used an in-house script to flag sites that have either (1) 0
476 alternate allele read support on either the forward or reverse strand or (2) p<1e-3 and (Odds Ratio

477 (OR)<0.33 or OR>3) when applying a two-sided Fisher's Exact Test to compare proportions of reference
478 and alternate allele read counts on the forward and reverse strands. We also excluded sites with cohort
479 frequency>1%, as well as sites belonging to outlier samples (with abnormally high *de novo* SNV (dnSNV)
480 counts, cutoff = 8) and variant clusters (defined as sites with neighboring SNVs within 10bp). Finally, we
481 applied an FDR-based minimum N_{alt} filtering step (**Fig S7**) to control for false positives caused purely by
482 sequencing errors.

483

484 *IGV Visualization of Low Allele Fraction de novo SNVs*

485 To reduce the impact of technical artifacts on model parameter estimation, we manually
486 inspected *de novo* SNVs with VAF<0.3 (n=558) using Integrative Genomics Viewer (v2.3.97) to visualize
487 the local read pileup at each variant across all members of a given trio family. We focused on the allele
488 fraction range 0.0-0.3 since this range is enriched for technical artifacts that could potentially impact
489 downstream parameter estimation. Sites were filtered out if (1) there are inconsistent mismatches in the
490 reads supporting the mosaic allele, (2) the site overlaps or is adjacent to an indel, (3) the site has low
491 MAPQ or is not Primary alignment, (4) there is evidence of technical bias (strand, read position, tail
492 distance), or (5) the site is mainly supported by soft-clipped reads.

493

494 *Expectation-Maximization to Estimate Prior Mosaic Fraction and Control FDR*

495 Current estimates for the fraction of *de novo* events occurring post-zygotically are unstable due to
496 differences in study factors such as variant calling methods, average sequencing depth, and paternal
497 ages. In order to use this fraction as a prior probability in our posterior odds and false discovery
498 calculations, we reason that this value must be estimated from the data itself. We used an expectation-
499 maximization algorithm to jointly estimate the fraction of mosaics among apparent *de novo* mutations and
500 to calculate a per-site likelihood ratio score. This initial mosaic fraction estimate gives us a prior
501 probability of mosaicism, independent of sequencing depth or variant caller, and allows us to calculate for
502 each variant the posterior odds that a given site is mosaic rather than germline. To control for false
503 discovery among our predicted mosaic candidates, we chose a posterior odds threshold of 10 to restrict
504 FDR to 9.1%.

505

506 *Mosaic Mutation Detection Model*

507 To distinguish variant sites that show evidence of mosaicism from germline heterozygous sites,
508 we modeled the number of reads supporting the variant allele (N_{alt}) as a function of the total site depth
509 (N). In the typical case, N_{alt} follows a binomial model with parameters N = site depth and p = mean VAF.
510 However, we observed notable overdispersion in the distribution of variant allele fraction compared to the
511 expectations under this binomial model (**Fig S6**). To account for this overdispersion, we instead modeled
512 N_{alt} using a beta-binomial distribution {Heinrich 2012; Ramu 2013}. We estimated an overdispersion
513 parameter θ for our model as follows: for site depth values N in the range 1 to 500, we (1) bin variants by
514 identifying all sites with depth N , (2) calculate a maximum-likelihood estimate θ value using N and all N_{alt}
515 values for variants in a given bin, and (3) estimate a global θ value by taking the average of θ values
516 across all bins, weighted by the number of variants in each bin. We then used θ in our expectation-
517 maximization approach to jointly estimate prior mosaic fraction and to calculate per-site likelihood ratios.

518 To calculate the posterior odds that a given variant arose post-zygotically, we first calculated a
519 likelihood ratio (LR) of two models: M_0 : germline heterozygous variant, and M_1 : mosaic variant. Under our
520 null model M_0 , we calculated the probability of observing N_{alt} from a beta-binomial distribution with site
521 depth N , observed mean germline VAF p , and overdispersion parameter θ . Under our alternate model M_1 ,
522 we calculated the probability of observing N_{alt} from a beta-binomial distribution with site depth N ,
523 observed site VAF $p=N_{alt}/N$, and overdispersion parameter θ . Finally, for each variant, we calculated LR
524 by using the ratio of probabilities under each model and posterior odds by multiplying LR by our E-M
525 estimated prior mosaic fraction estimate. We defined mosaic sites as those with posterior odds greater
526 than 10 (corresponding to 9.1% FDR). We used posterior odds in this context to be able to control for
527 false discovery, but we output similarly valid p-value and likelihood ratio scores for each *de novo* SNV.

528

529 *Mutation Confirmation by MiSeq Amplicon Sequencing*

530 Chromosome coordinates were expanded 500 bp upstream and downstream of the candidate
531 mosaic variants in the UCSC Genome Browser. Primer 3 Plus software was used to design forward and
532 reverse primers to generate 150-300 bp amplicons containing the candidate site. PCR reactions

533 consisting of genomic DNA, primers, and Phusion polymerase were amplified by thermal cycling and
534 purified with AMPure XP beads. The purified PCR product was quantified, and 0.5-1.0 ng of product was
535 used to construct Nextera XT libraries according to the protocol published by Illumina. Libraries were
536 purified using AMPure XP beads, and final libraries were quantified and pooled to undergo sequencing
537 through Illumina MiSeq.

538 We experimentally tested for the presence our predicted post-zygotic sites in the original blood
539 DNA and cardiovascular tissue DNA samples using Illumina MiSeq Amplicon sequencing. The Amplicon
540 Deep Sequencing workflow, optimized for the detection of somatic mutations in tumor samples, offers
541 ultra-high sequencing depth (>1000x) that gives us the resolution to confirm low VAF variants, accurately
542 estimate site VAF, and to distinguish true variant calls from technical artifacts. Mosaic candidates were
543 considered validated if the variant allele matched the MiSeq call and both the mosaic VAF and MiSeq
544 VAF indicated post-zygotic origin (VAF<0.45).

545 Mosaic candidates were selected for confirmation on the basis of VAF, plausible involvement in
546 CHD (based on predicted pathogenicity and HHE status), and detection method (**Table S11**; **Table S12**).
547 We sampled mosaics from both ends of the VAF spectrum to evaluate our ability to distinguish high VAF
548 mosaics (VAF>0.2; n=29) from germline variants and to distinguish low VAF mosaics (VAF<=0.1; n=52)
549 from technical artifacts. Confirmation rate across different VAF bins is shown in **Figure S13**. We also
550 selected for confirmation mosaics detected uniquely by either EM-mosaic or MosaicHunter, for the sake
551 of method comparison (**Table 1**).

552 To examine a potential source of bias in our candidate selection process, we compared the
553 posterior odds distribution of selected candidate mosaics (n=97) against those not chosen (n=212). We
554 found that our tested candidates had lower posterior odds than untested mosaics (mean_{tested}=5.382,
555 mean_{untested}=7.050, log₁₀-scale; Mann Whitney U $P=0.002$) (**Fig 14**), suggesting that our validation rate is
556 not buoyed by testing variants with the strongest evidence of mosaicism. For method development
557 purposes, we intentionally focused on mosaics with lower posterior odds as these fall in the VAF range
558 for which it is most difficult to distinguish germline from mosaic.

559

560 *Investigating the relationship between VAF and pathogenicity*

561 We hypothesized that mosaic contribution to disease is positively correlated with cellular
562 percentage and by extension mutational timing. Here, we used variant allele fraction as a proxy for
563 cellular percentage. We grouped mosaics into likely-damaging and likely-benign and compared the
564 distribution of allele fraction in CHD-related genes. We defined likely-damaging variants as: (a) likely
565 gene-disrupting (LOF) variants (including premature stop-gain, frameshifting, and variants located in
566 canonical splice sites), (b) missense variants predicted to be damaging by REVEL {Ioannidis 2016} (with
567 score ≥ 0.5) or (c) missense variants and synonymous predicted to be splice-damaging by spliceAI (with
568 score > 0.5). One of the main findings from previous CHD studies is that damaging *de novo* variants in
569 genes highly expressed in the developing heart (“HHE”, ranked in the top 25% by cardiac expression data
570 in mouse at E14.5 {Zaidi 2013; Homsy 2015}) contribute to non-isolated CHD cases that have additional
571 congenital anomalies or neurodevelopmental disorders. Therefore, we considered the union of HHE
572 genes and known candidate CHD genes {Jin 2017} as CHD-related genes (n=4558). For mosaics in
573 CHD-related genes and for mosaics in other genes, we used a Mann-Whitney *U* Test to compare the VAF
574 distributions of likely-damaging and likely-benign groups.

575

576 *Estimated contribution of mosaicism to CHD*

577 We identified likely disease-causing mosaic mutations on the basis of predicted pathogenicity and
578 presence in genes involved in biological processes relevant to CHD or developmental disorders. Each
579 mosaic mutation was annotated with gene-specific information, including heart expression percentile,
580 probability of loss-of-function intolerance (pLI) score {Lek 2016}, whether dysregulation causes CHD in
581 mice {Smith 2018; Finger 2017}, and gene function {NCBI RefSeq}. We focused on HHE genes, genes
582 with high pLI (pLI>0.9), genes that cause CHD phenotypes in mice, and genes involved in key
583 developmental processes such as Wnt, mTOR, and TGF-beta signaling pathways. Then, for each patient,
584 we used the clinical phenotype to further prioritize mosaic mutations most likely contributing to that
585 individual's clinical features. Detailed mutation annotation and clinical phenotypes for the mosaic carriers
586 described above can be found in **Table S10**. We estimate the contribution of mosaicism to CHD as the
587 percentage of individuals carrying likely disease-causing mosaic mutations among all individuals in our
588 CHD cohort.

589

590

591

592 **Abbreviations**

593 ASD: Autism Spectrum Disorder

594 CHD: Congenital Heart Disease

595 dnSNV: *de novo* SNV

596 Dmis: Deleterious Missense mutation

597 DP_{site}: Total Read Depth at a variant site

598 DP_{sample}: Sample-wide Average Read Depth

599 ExAC: Exome Aggregation Consortium

600 FDR: False Discovery Rate

601 gnomAD: Genome Aggregation Database

602 HHE: High Heart Expression

603 LOF: Loss-of-Function

604 LR: Likelihood Ratio

605 MAF: Minor Allele Fraction

606 N: Total Read Depth

607 N_{alt}: Alternate Allele Read Depth

608 OR: Odds Ratio

609 PCGC: Pediatric Cardiac Genomics Consortium

610 pLI: Probability of Loss-of-Function Intolerance

611 PV4: P-value for strand bias, baseQ bias, mapQ bias and tail distance bias (SAMtools)

612 SNV: Single Nucleotide Variant

613 VAF: Variant Allele Fraction

614 WES: Whole Exome Sequencing

615

616

617

618

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836

837 **Fig 1. Mosaic detection by Expectation-Maximization. (A)** Expectation-Maximization (EM) Estimation
838 to decompose the variant allele fraction (VAF) distribution of our input variants into mosaic and germline
839 distributions. The EM-estimated prior mosaic fraction was 12.15% and the mean of the mosaic VAF
840 distribution was 0.15. **(B)** Read depth vs. VAF distribution of individual variants. The blue line denotes
841 mean VAF (0.49) and the red lines denote the 95% confidence interval under our Beta-Binomial model.
842 Mosaic variants are defined as sites with posterior odds > 10 , corresponding to a False Discovery Rate of
843 9.1%. Germline variants are represented in black and mosaic variants are represented in red. **(C)**
844 Estimated mosaic detection power as a function of average sample depth for values between 40x and
845 500x.

846

847 **Fig 2. Mutation spectrum of detected germline and mosaic variants.** Rates of specific mutations were
848 compared in germline **(A)**, blood mosaic **(B)**, and CHD tissue mosaic **(C)** variants. Transitions
849 predominated in both variant sets.

850

851 **Fig 3. Validated mosaics detected in probands with matched blood and cardiovascular tissue**
852 **samples available.** Validation VAF from blood compared to validation VAF from cardiovascular tissue
853 demonstrated tissue-specific mosaicism (red) as well as shared mosaicism (blue). Predicted effect of
854 mosaic variants corresponds to marker shape.

855

856 **Fig 4. Damaging mosaics in CHD-related genes have higher variant allele fraction than likely-**
857 **benign mosaics. (A)** Among the 76 mosaics in CHD-related genes, likely damaging variants have a
858 higher VAF than likely benign (Mann-Whitney U $p=0.001$). **(B)** Among the 233 mosaics in Other (non-
859 CHD-related) genes, there is no difference in VAF based on predicted effect ($p=0.985$).

860

861

862 **Supplement**

863 **Supplemental Methods**

864 *Union with Validated de novo SNVs from Jin et al. Nature Genetics 2017*

865 As part of the PCGC program, Jin et al. previously sequenced and processed a cohort of 2871
866 CHD probands – including 2530 parent-offspring trios used in this study – to investigate the contribution
867 of rare inherited and *de novo* variants to CHD. They called a total of 2992 proband *de novo* variants,
868 including 2872 SNVs and 118 indels, and Sanger confirmed a subset of the most likely-disease causing
869 variants. Since we processed the same proband-parent trios using different variant calling pipelines, we
870 combined the results of our two approaches to provide a more complete input *de novo* call set for mosaic
871 variant detection.

872 We first processed our SAMtools *de novo* calls using our upstream filters ($n=2396$ sites passing
873 all filters). We then applied the same upstream filters to the published dnSNVs from Jin et al. ($n=2650$
874 sites passing all filters) before finally taking the union of these two call sets ($n=3192$). There were 1814
875 sites in the intersection, with 836 sites unique to the Jin et al. calls and 542 sites unique to our SAMtools
876 calls. After preprocessing, outlier removal, and FDR-based minimum N_{alt} filtering, the remaining 2971
877 dnSNVs were used as input to our mosaic detection model.

878

879 *Mutation Spectrum Analysis*

880 We compared the mutation spectrum – the frequencies of all possible base changes – of our
881 predicted mosaic candidates against the spectrum of our predicted germline heterozygous variants.
882 Under the assumption that that post-zygotic events occur randomly (i.e. due to errors in DNA replication
883 rather than a specific biological process), the mosaic mutation spectrum should not differ significantly
884 from the germline mutation spectrum. We used Pearson's Chi-square Test to test for a difference in
885 frequencies across all base changes between our predicted sets of variants. We interpreted large
886 qualitative differences in base change frequencies as evidence of technical artifacts and rejection of the
887 Chi-square null as evidence of systemic issues in our pipeline.

888

889 *Mosaic Detection Power Given Sample Average Coverage*

890 To model statistical power in the context of mosaic variant detection, we considered two
891 conditional probabilities: (i) the probability of detecting a mosaic event (i.e. the probability of a variant's

892 posterior odds exceeding a threshold) given site depth DP_{site} , VAF, and overdispersion parameter θ and
893 (ii) the probability of observing site depth DP_{site} , given sample-wide average coverage DP_{sample} .
894 (i) $\Pr(\text{detect mosaic} \mid DP_{site}, \text{VAF}, \theta)$ was calculated by first identifying the VAF range (and by extension,
895 the range of N_{alt}) over which posterior odds $>$ cutoff, then by integrating the beta-binomial probability
896 mass function over this range, with considerations for the probability of strand bias ($P(\text{strand bias} \mid DP_{site})$
897 $\sim \text{Binomial}(N_{alt}, DP_{site}, p=0.5)$).
898 (ii) $\Pr(DP_{site} \mid DP_{sample})$ follows an overdispersed poisson distribution that we approximated using a
899 negative binomial model with overdispersion parameter θ {Sampson 2011}. For each DP_{sample} value, we
900 calculated a vector of weights corresponding to $\Pr(DP_{site} \mid DP_{sample})$ for DP_{site} values in the range (1,
901 1500).

902 Finally, we took the sum of the detection probabilities described in (i) multiplied by the weights
903 described in (ii) to determine the probability of detecting a mosaic variant given a sample average
904 coverage value – $\Pr(\text{detect mosaic} \mid DP_{sample})$. Our estimated detection power curves for a range of
905 sample average coverage values typical of exome-sequencing studies are shown in **(Figure 4A)**. Our
906 CHD cohort was sequenced to sample average depth of 60x, with prior mosaic fraction=0.121 and
907 estimated $\theta=116$.

908 To estimate the true rate of mosaicism per exome given sample average coverage, we first split
909 our set of predicted mosaics into VAF bins of size 0.05. For each bin above VAF 0.1, we multiplied the
910 number of mosaics by the inverse of the detection power for that given VAF bin to estimate the true count
911 of mosaic variants in that VAF range, assuming full detection power. Since EM-mosaic is underpowered
912 to detect mosaics with VAF $<$ 0.1 in the blood and since this range is enriched for technical artifacts that
913 potentially affect our counts, we did not apply this scaling procedure to these bins to avoid over-inflating
914 our adjusted mosaic rate estimate **(Figure 4B)**.

915

916 *Filtering of MosaicHunter Candidate Variants (Fig S3)*

917 MosaicHunter was used to identify candidate mosaic variants from blood exome-sequencing trio
918 data using default settings {Huang 2014}. Filtering of original MosaicHunter candidate variants excluded,
919 in order, any variant present in ExAC (46634), G to T mutations with fewer than $N_{alt}<10$ oxidative

920 indicating DNA damage {Costello 2013} (3995), non-uniquely called sites (4719), germline SNVs
921 previously called by GATK HaplotypeCaller (591), probands with >20 mosaic variants (1490 in 10
922 probands), mosaic log posterior likelihood ratio <10 (940), variants with >2 parental alternative allele
923 reads (244), variants with gnomAD population frequency > 1e-4 or located in MUC or HLA genes (40).

924

925 *Filtering of cardiovascular tissue Candidate Variants*

926 We used the MosaicHunter pipeline in trio mode to identify candidate variants in WES data from
927 70 cardiovascular tissue samples (belonging to 66 unique probands). From the list of variants initially
928 reported by the pipeline using default settings, we applied the same filtration steps listed for
929 MosaicHunter candidate variants in blood samples with the exception of the removal of G to T mutations
930 with fewer than 10 alternative allele reads and the mosaic log posterior likelihood ratio <10. Finally, we
931 removed variants that were identified in either parent or had a total read depth <10 in either parent.

932

933 *Clinical interpretation of mosaic variants – limitations*

934 We note that conventional clinical interpretation of mosaic mutations is challenging for several
935 reasons: (i) it is unclear in which tissues each mosaic mutation is expressed (ii) several study participants
936 were very young at time of clinical assessment and many classical disease features may not yet have
937 developed or been noted, and (iii) the absence of additional clinical features does not necessarily rule out
938 a mosaic mutation as being for the cause of the CHD. For the purposes of this study, we selected these
939 mosaic mutations on the basis of predicted pathogenicity and detection in genes involved in biological
940 processes relevant to CHD or developmental disorders

941

942 **Fig S1. EM-mosaic Flowchart.** We first processed our SAMtools *de novo* calls using our upstream filters
943 ($n=2396$ sites passing all filters). We then applied the same upstream filters to the published dnSNVs
944 from Jin et al. ($n=2650$ sites passing all filters) before finally taking the union of these two call sets
945 ($n=3192$). High-confidence mosaics ($n=309$) were defined as mosaics passing IGV inspection and having
946 posterior odds > 10. Grey text indicates which filters removed candidate mosaic variants called by
947 MosaicHunter but not by EM-mosaic.

948

949 **Fig S2. Blood variants with posterior odds between 1 and 10.**(A) Distribution of the 86 variants with
950 posterior odds between 1 and 10.(B) Histogram of counts by bin. To estimate the number of potential
951 mosaics missed by our threshold, counts of each bin were scaled by the estimated true positive
952 rate (TPR; posterior odds / 1+posterior odds). By our estimate, 54/86 variants were likely mosaic and
953 32/86 were likely germline.

954

955 **Fig S3. MosaicHunter workflow.** Quality Control filters excluded any sites that were (1) present in ExAC
956 (2) G>T with $N_{\text{alt}} < 10$ (3) parent $N_{\text{alt}} > 2$. Outliers were defined as probands carrying more than 20 mosaics,
957 or non-unique sites. We also removed sites called as germline by GATK Haplotype Caller. High-
958 confidence mosaics ($n=116$) were defined as having Likelihood Ratio > 80 and affecting coding regions
959 excluding MUC/HLA genes. Grey text indicates which filters removed variants called by EM-mosaic but
960 not by MosaicHunter.

961

962 **Fig S4. Comparison of variant allele fraction (VAF) and read depth of EM-mosaic and**
963 **MosaicHunter.** Candidate mosaic variants detected by the two pipelines had more overlapping variants
964 at low read depth and VAF values.

965

966 **Fig S5. Targeted sequencing to validate candidate blood mosaic variants.** (A) EM-mosaic and (B)
967 MosaicHunter variants were assayed using PCR followed by MiSeq for high-depth assessment of
968 mosaicism. Variants with x symbols were shared by both pipelines. Mosaic variants that validated are
969 black, while variants with VAF > 0.45 and therefore germline are red.
970 Validation VAF values demonstrated significant correlation with the original WES-derived VAF for EM-
971 mosaic (Pearson's correlation $P=2.2 \times 10^{-16}$) and MosaicHunter ($P=8.2 \times 10^{-11}$).

972

973 **Fig S6. Overdispersion.** Overdispersion is commonly seen in WES data {Heinrich 2012; Ramu 2013}
974 and is defined as observing variance (in terms of the VAF of variants with a given DP value) higher than

975 expected across DP values, under a given statistical model. The blue line denotes the expectation under
976 a Binomial model and the red line denotes the expectation under a Beta-Binomial model.

977

978 **Fig S7. FDR-based minimum N_{alt} threshold.** A FDR-based approach was used to determine a threshold
979 for the minimum number of reads supporting the alternate allele for each site to avoid false positives
980 caused purely by sequencing errors. Assuming that sequencing errors are independent and that errors
981 occur with probability 0.005, with the probability of an allele-specific error being $0.005/3=0.00167$, and
982 given the total number of reads (N) supporting a variant site, we iterated over a range of possible N_{alt}
983 values between 1 and $0.5*N$ and estimated the expected number of false-positives due to sequencing
984 error, exome-wide $((1 - f_{poisson}(x=n, \lambda=N*0.005/3))^3 * 3 \times 10^7$; where $f_{poisson}$ is the probability of x events in a
985 Poisson process with mean λ). Assuming one coding *de novo* SNV per exome {Acuna-Hidalgo 2016} and
986 that roughly 10% of *de novo* SNVs arise post-zygotically {Lim 2017; Krupp 2017; Freed 2016}, we used a
987 conservative assumption of 0.1 mosaic mutation per exome. To constrain theoretical FDR to 10% we
988 allowed a maximum of 0.01 false positives per exome and used the corresponding N_{alt} value to define an
989 FDR-based minimum N_{alt} threshold for each variant. We then excluded variants with alternate allele read
990 counts below this threshold.

991

992 **Fig S8. Estimated mosaic detection power using less stringent mosaic definitions. (A)** Estimated
993 true frequency of detectable coding mosaics ($0.4 > VAF > 0.1$) adjusted by detection power ($n=341$;
994 $0.135/\text{exome}$) **(B)** Calibrated mosaic detection power and estimated true mosaic frequency of detectable
995 coding mosaics, using posterior odds cutoff of 5 ($n=361$; $0.143/\text{exome}$). **(C)** Calibrated mosaic detection
996 power and estimated true mosaic frequency of detectable coding mosaics, using posterior odds cutoff of
997 2 ($0.4 > VAF > 0.1$; $n=424$; $0.168/\text{exome}$).

998

999 **Fig. S9. Mosaic variants shared in blood and cardiovascular tissues have higher variant allele**
1000 **fraction.** Validation VAF from **(A)** cardiovascular tissue and **(B)** blood had higher VAF for shared variants
1001 compared to tissue-specific variants ($p=0.101$ and 0.015 , respectively).

1002

1003 **Fig. S10. Damaging CHD-related mosaics have higher VAF under less stringent definitions of**
1004 **mosaicism. (A)** Using posterior odds cutoff of 5 (corresponding to 315 mosaics). Among 78 mosaics in
1005 CHD-related genes (left), there were 14 variants predicted as damaging, 63 variants predicted as likely-
1006 benign, and 1 variant of unknown functional consequence. Among 237 mosaics in non-CHD-related
1007 genes (right), there were 41 variants predicted as damaging, 184 variants predicted as likely-benign, and
1008 2 variants of unknown functional consequence. **(B)** Using posterior odds cutoff of 2 (corresponding to 352
1009 mosaics). Among 89 mosaics in CHD-related genes (left), there were 17 variants predicted as damaging,
1010 71 variants predicted as likely-benign, and 1 variant of unknown functional consequence. Among 263
1011 mosaics in non-CHD-related genes (right), there were 54 variants predicted as damaging, 206 variants
1012 predicted as likely-benign, and 3 variants of unknown functional consequence.

1013

1014 **Fig. S11. Mosaic rate by age. (A)** Age distribution for all 2530 probands in cohort. **(B)** Mosaic Rate
1015 across Age ranges. Rate = # mosaics/# probands in age bin. Note: 9/2530 probands missing Age
1016 information. 1/367 mosaic belong to a proband with missing Age.

1017

1018 **Fig. S12. Mosaic rate by parental age at birth.** Mosaic rate by age of father (blue) and mother (red) at
1019 birth. Rate = # mosaics/# probands in each parental age bin. Note: 9/2530 probands missing age
1020 information. 1/367 mosaic belong to a proband with missing age.

1021

1022 **Fig. S13. Confirmation rate across VAF bins.** The number of candidates for which we performed MiSeq
1023 resequencing among **(A)** the union set (n=143 tested) **(B)** all EM-mosaic calls (n=97) and **(C)** all
1024 MosaicHunter (n=68) calls vs. the number confirmed as mosaic for VAF ranges [0, 0.1), [0.1, 0.2), and
1025 [0.2, 0.3).

1026

1027 **Fig. S14. Posterior odds comparison for tested vs. untested mosaics.** Among 309 candidates with
1028 EM-mosaic posterior odds scores available, we compared the distribution of tested (n=97) vs. untested
1029 (n=212) mosaics. The log₁₀-scaled posterior odds distribution for the tested group is shown in blue
1030 (mean=5.382). The log₁₀-scaled mean posterior odds for the untested group is shown in red

1031 (mean=7.050). The selected candidates had lower posterior odds than those not selected for
1032 confirmation (Mann Whitney U test $P=0.002$).

1033

1034 **Table S1. Proband Phenotypes.** Cardiac and neurodevelopmental phenotypes for CHD probands. NDD
1035 diagnosis is unknown for patients <1 year of age.

1036

1037 **Table S2. Cohort Summary.** Number of *de novo* and mosaic variants for probands with isolated CHD,
1038 extracardiac anomalies (ECA), neurodevelopmental delay (NDD) or unknown phenotypes.

1039

1040 **Table S3. EM-mosaic Mosaic Candidates.** Candidate mosaic variants identified by EM-mosaic and
1041 MiSeq validation results.

1042

1043 **Table S4. MosaicHunter Mosaic Candidates.** Candidate mosaic variants identified by MosaicHunter
1044 and MiSeq validation results.

1045

1046 **Table S5. Blood mosaic Candidate Validation by MiSeq.** 143 candidate mosaic sites were assessed
1047 using targeted PCR and deep sequencing. 85/97 (88%) of selected EM-mosaic sites and 44/68 (67%) of
1048 selected MosaicHunter sites were confirmed.

1049

1050 **Table S6. Cardiovascular Tissues with Whole Exome Sequencing.** 70 tissues from 66 CHD probands
1051 were assessed for mosaic variants.

1052

1053 **Table S7. CHD tissue mosaic Candidate Validation by MiSeq.** 24 candidate mosaic sites were
1054 assessed using targeted PCR and deep sequencing. 85/92 (92%) of selected EM-mosaic sites and 44/64
1055 (69%) of selected MosaicHunter sites were confirmed .

1056

1057 **Table S8. CHD related genes.** We considered the union of genes highly expressed in the developing
1058 heart (HHE) and known candidate CHD genes {Jin 2017} as CHD-related genes (n=4558).

1059

1060 **Table S9. All Protein-Altering Mosaics.** Detailed information for 398 mosaic variants predicted to affect
1061 protein sequence.

1062

1063 **Table S10. Damaging Mosaics in CHD-Relevant Genes.** Detailed information for 25 mosaic variants
1064 likely to contribute to CHD.

1065

1066

| ID | Gene | Variant Class | Pipeline | CHD Tissue | | | | Blood WES VAF | | |
|---------|----------------|---------------|--------------|-------------|--------|---------|------------|---------------|---------|-----------|
| | | | | Location | WES AD | WES VAF | MiSeq VAF | WES AD | WES VAF | MiSeq VAF |
| 1-00543 | <i>CTCF</i> | Bmis | EM-mosaic | AO | 138,36 | 0.21 | 0.32 | 29,8 | 0.22 | 0.19 |
| 1-00984 | <i>ZNF16</i> | syn | EM-mosaic | LV | 262,1 | 0.00 | 0.01 | 100,7 | 0.07 | 0.07 |
| 1-01282 | <i>GABRA6</i> | Dmis | MosaicHunter | RV | 104,1 | 0.01 | 0.01 | 55,12 | 0.18 | 0.18 |
| 1-01684 | <i>CCNC</i> | Bmis | Both | AoValve, RV | 36,7 | 0.16 | 0.17, 0.19 | 224,40 | 0.15 | 0.14 |
| 1-02672 | <i>TOR1A</i> | syn | Both | AtrSpt | 159,10 | 0.06 | 0.10 | 29,6 | 0.17 | 0.19 |
| 1-03512 | <i>RFX3</i> | LoF | MosaicHunter | RV | 156,15 | 0.09 | 0.08 | 39,0 | 0.00 | 0.03 |
| 1-04652 | <i>PCDH10</i> | syn | Both | AtrSpt | 154,19 | 0.11 | 0.14 | 15,1 | 0.06 | 0.10 |
| 1-07004 | <i>ANK2</i> | Bmis | MosaicHunter | SubAoMembr | 226,13 | 0.05 | 0.04 | 30,0 | 0.00 | 0.00 |
| 1-07004 | <i>MYH14</i> | Bmis | Both | SubAoMembr | 124,22 | 0.15 | 0.27 | 33,0 | 0.00 | 0.00 |
| 1-07004 | <i>NRG3</i> | Bmis | EM-mosaic | SubAoMembr | 152,30 | 0.16 | 0.24 | 43,0 | 0.00 | 0.00 |
| 1-07004 | <i>NUDT21</i> | Bmis | Both | SubAoMembr | 137,22 | 0.14 | 0.14 | 74,0 | 0.00 | 0.02 |
| 1-07004 | <i>TET3</i> | Dmis | MosaicHunter | SubAoMembr | 131,1 | 0.01 | 0.03 | 81,16 | 0.16 | 0.27 |
| 1-07299 | <i>RRS1</i> | syn | Both | RV, UNK | 160,25 | 0.14 | 0.25 | 22,2 | 0.08 | 0.14 |
| 1-09869 | <i>PIK3C2G</i> | LoF | MosaicHunter | LV | 126,9 | 0.07 | 0.10 | 31,0 | 0.00 | 0.00 |
| 1-11800 | <i>TMEM45A</i> | Bmis | MosaicHunter | RV | 213,0 | 0.00 | 0.00 | 32,7 | 0.18 | 0.06 |

Table 2. Mosaics detected in individuals with matched cardiovascular tissue and blood

Characteristics of mosaic variants predicted for individuals with blood and cardiovascular tissue WES data available. Among 15 mosaics, 5 were detected via analysis of blood WES, 8 were detected from cardiovascular tissue WES, and 2 were detected by both approaches. Six of 7 (86%) mosaics detected from analysis of blood were present in both DNA sources with MiSeq VAF \geq 0.01. Two additional variants previously identified as *de novo* germline variants in blood WES were absent from CHD tissue WES. Minimum 1023 MiSeq reads used to determine VAF. Abbreviations: AD, allelic depth (reference, alternate); AO, aorta; AtrSpt, atrial septum; Bmis, benign missense; Dmis, deleterious missense; LOF, Loss of function variant; LV, left ventricle; RV, right ventricle; VAF, variant allele fraction

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| ID | Gene | Variant Class | Blood VAF | pLI | Episcore | Heart Exp | Age (y) | Clinical Phenotype | | PCGC denovo LoF/Dmis Variants in Mosaic Gene |
|---------|----------------|---------------|-----------|------|----------|-----------|---------|--|--|--|
| | | | | | | | | Cardiac Abnormalities | Extracardiac Abnormalities | |
| 1-00761 | <i>FBN1</i> | Dmis | 0.24 | 1.00 | 98 | 93 | 4.3 | Mitral stenosis | dysmorphic features, subglottic stenosis, hypoplastic left mainstem bronchus, short stature | 3 |
| 1-07004 | <i>TET3</i> | Dmis | 0.16 | 1.00 | 7 | 87 | 10.3 | Subaortic stenosis | None | 0 |
| 1-05662 | <i>SETD2</i> | LoF | 0.13 | 1.00 | 99 | 85 | 0.8 | Aortic coarctation, mitral valve hypoplasia | None | 0 |
| 1-00344 | <i>UBR5</i> | splice | 0.27 | 1.00 | 95 | 90 | 16 | D-transposition of the great arteries, VSD, valvar and subvalvar pulmonary stenosis | None | 0 |
| 1-03512 | <i>RFX3</i> | LoF | 0.09 | 1.00 | 100 | 46 | 0.4 | Tetralogy of Fallot with pulmonary stenosis | None | 0 |
| 1-06216 | <i>ITSN1</i> | Dmis | 0.21 | 1.00 | 98 | 86 | 0.3 | ASD | plagiocephaly, rib anomaly, single kidney, dysmorphic facial features | 0 |
| 1-00363 | <i>QSER1</i> | Dmis | 0.06 | 1.00 | 94 | 79 | 3.7 | Tetralogy of Fallot with pulmonary stenosis, VSD | inguinal hernia | 0 |
| 1-13185 | <i>PKD1</i> | Dmis | 0.10 | 1.00 | 87 | 84 | 0.8 | VSD, partially anomalous pulmonary venous return | hemangioma | 1 |
| 1-00192 | <i>GLYR1</i> | Dmis | 0.22 | 0.99 | 89 | 93 | 0.4 | ASD, VSD, interrupted aortic arch, hypoplastic tricuspid valve, BAV | None | 0 |
| 1-04046 | <i>FZD5</i> | Dmis | 0.09 | 0.99 | 89 | 48 | 0.2 | Tetralogy of Fallot with pulmonary stenosis, VSD | None | 0 |
| 1-06649 | <i>NOVA2</i> | Dmis | 0.15 | 0.95 | 75 | 56 | 0.6 | Tetralogy of Fallot with pulmonary stenosis | None | 0 |
| 1-05095 | <i>ISL1</i> | LoF | 0.07 | 0.90 | 97 | 25 | 2.4 | ASD | None | 0 |
| 1-06677 | <i>KCTD10</i> | Dmis | 0.16 | 0.84 | 75 | 91 | 10.1 | Aortic coarctation, pulmonary valve stenosis | dysmorphic facial features, hydrocephalus, pyloric stenosis, single kidney, imperforate/atretic anus | 0 |
| 1-05447 | <i>HNRNPAB</i> | Dmis | 0.09 | 0.76 | 72 | 99 | 7.8 | ASD, BAV, aortic coarctation | None | 0 |
| 1-00021 | <i>QKI</i> | LoF | 0.13 | 0.76 | 94 | 97 | 0.5 | Doublet outlet right ventricle, pulmonary stenosis, VSD | None | 0 |
| 1-11871 | <i>FHOD3</i> | Dmis | 0.18 | 0.05 | 91 | 92 | 0.0 | Tetralogy of Fallot with pulmonary atresia | hypocalcemia, thrombocytopenia, lymphopenia | 0 |
| 1-01458 | <i>HK2</i> | Dmis | 0.27 | 0.04 | 89 | 90 | 0.0 | Hypoplastic left heart with aortic and mitral atresia, aortic coarctation | None | 1 |
| 1-00669 | <i>PRKD3</i> | splice | 0.19 | 0.02 | 77 | 82 | 0 | D-transposition of the great arteries, conal VSD, bilateral conus, interrupted aortic arch | None | 0 |
| 1-00524 | <i>RNF20</i> | LoF | 0.10 | 0.00 | 55 | 83 | 23.7 | Left-dominant complete atrioventricular canal | Heterotaxy with situs inversus totalis, asplenia, duodenal atresia | 0 |
| 1-01851 | <i>SUCLA2</i> | LoF | 0.11 | 0.00 | 72 | 89 | 15.5 | Balanced complete atrioventricular canal, aortic coarctation | None | 0 |
| 1-03885 | <i>LZTR1</i> | Dmis | 0.20 | 0.00 | 31 | 84 | 14.7 | Abnormal pulmonary vein draining into the right atrium | left sided/midline liver, asplenia, malrotation | 2 |
| 1-05011 | <i>KCTD20</i> | Dmis | 0.26 | 0.00 | 76 | 77 | 24.5 | Transposition of the great arteries, Tricuspid and Pulmonary valve atresia | left-sided/midline liver | 1 |
| 1-00018 | <i>FIG4</i> | Dmis | 0.19 | 0.00 | 49 | 70 | 11.8 | BAV, mitral atresia, aortic coarctation, VSD, total anomalous pulmonary venous return | nephritis | 1 |
| 1-05661 | <i>SMAD9</i> | Dmis | 0.06 | 0.00 | 84 | 39 | 9.3 | Common atrioventricular canal | None | 0 |
| 1-09869 | <i>PIK3C2G</i> | LoF | 0.07* | 0.00 | 73 | 28 | 6.3 | Common atrioventricular canal, aortic stenosis, aortic arch hypoplasia, VSDs | dysmorphic facial features, low-set ears, campomelic dysplasia | 1 |

Table 3. Damaging Mosaics in CHD-relevant genes

There were 25 potentially-pathogenic mosaic mutations based on known gene function and patient phenotype. Some of these probands have previously described rare LoF/Dmis variants, though none are likely pathogenic for CHD {Jin 2017}. Additionally, some genes were previously found to have LoF/Dmis variants among other individuals in this CHD cohort. Abbreviations: ASD, atrial septal defect; BAV, bicuspid aortic valve; Dmis, deleterious missense; episcore, haploinsufficiency score (percentile rank) {Han 2018}; Heart Exp, heart expression percentile rank; LoF, loss-of-function; pLI, probability of loss-of-function intolerance {gnomAD}; PCGC, Pediatric Cardiac Genomics Consortium; VAF, variant allele fraction; VSD, ventricular septal defect. *VAF refers to CHD tissue WES.

Declarations

Ethics approval and consent to participate

CHD subjects were recruited to the Congenital Heart Disease Network Study of the Pediatric Cardiac Genomics Consortium (CHD GENES: ClinicalTrials.gov identifier [NCT01196182](https://clinicaltrials.gov/ct2/show/study/NCT01196182)). The institutional review boards of Boston's Children's Hospital, Brigham and Women's Hospital, Great Ormond Street Hospital, Children's Hospital of Los Angeles, Children's Hospital of Philadelphia, Columbia University Medical Center, Icahn School of Medicine at Mount Sinai, Rochester School of Medicine and Dentistry, Steven and Alexandra Cohen Children's Medical Center of New York, and Yale School of Medicine approved the protocols. All subjects or their parents provided informed consent.

Consent for publication

See above.

Availability of data and material

EM-mosaic and code for analyzing data are available from <https://github.com/ShenLab/mosaicism>. The MosaicHunter software is available from <http://mosaichunter.cbi.pku.edu.cn/>. SAMtools is available from <http://www.htslib.org/>. ANNOVAR is available from <http://annovar.openbioinformatics.org/en/latest/>. Integrative Genomics Viewer (IGV) software is available from <https://software.broadinstitute.org/software/igv/>. Whole-exome sequencing data have been deposited in the database of Genotypes and Phenotypes (dbGaP) under accession numbers [phs000571.v1.p1](https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE102471), [phs000571.v2.p1](https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE102471) and [phs000571.v3.p2](https://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE102471). In-house pipelines are available from the corresponding authors on reasonable request.

Competing interests

The authors declare that they have no competing interests.

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Authors' contributions

YS, JGS, CES, and WKC conceived and oversaw the study. AH, SUM, JALW, HQ, KBM, JGS, CES, YS, WKC analyzed the data. AH developed the EM-mosaic pipeline and wrote the statistical analysis code. SUM, JALW carried out MosaicHunter analyses of blood and tissue samples. JMG, AT, SD performed MiSeq experimental confirmation. AH, SUM, EG, CES, WKC interpreted the impact of mosaics on participant clinical phenotypes. DB, RWK, JWN, GAP, DS, MT-F, MB, RPL, EG, BDG, CES, JGS, WKC were involved in cohort ascertainment, phenotypic characterization, and recruitment. DM collected cardiovascular tissue samples. AH, SUM, JALW, YS, JGS, CES, WKC wrote the manuscript. All authors read and approved the manuscript.

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Fig 1

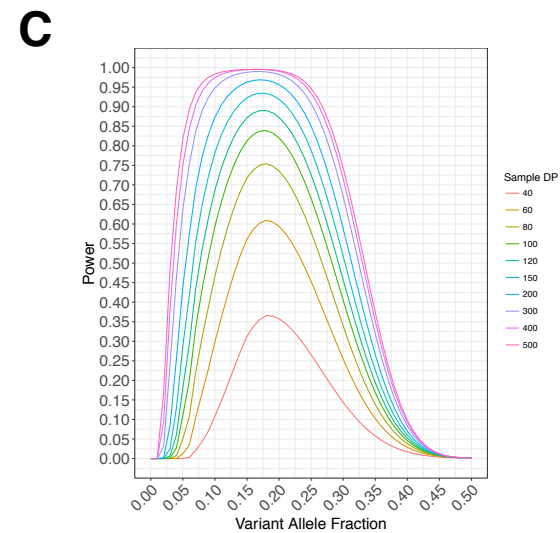
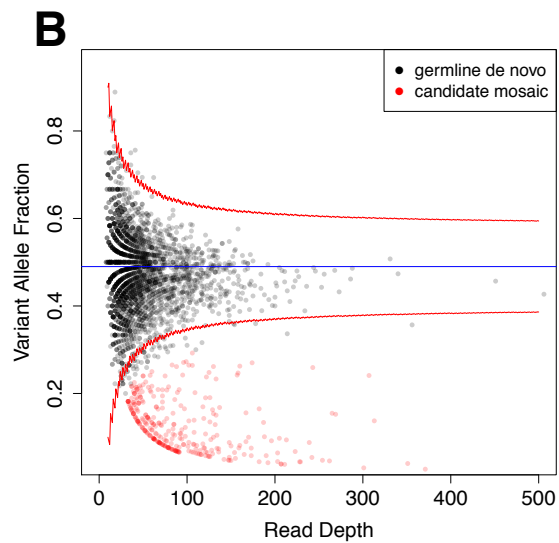
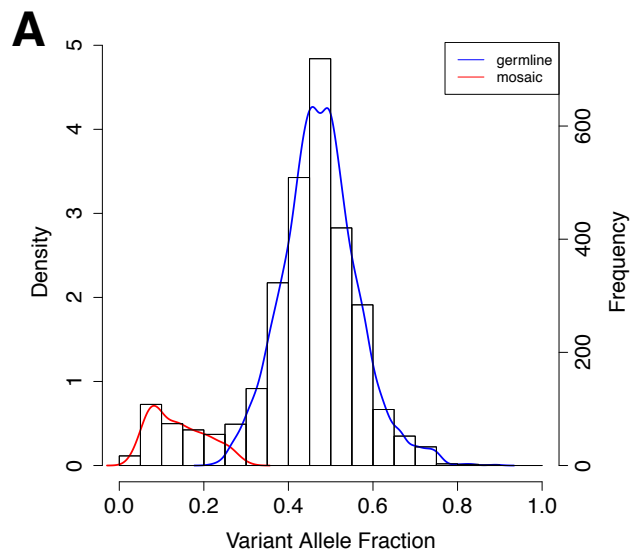
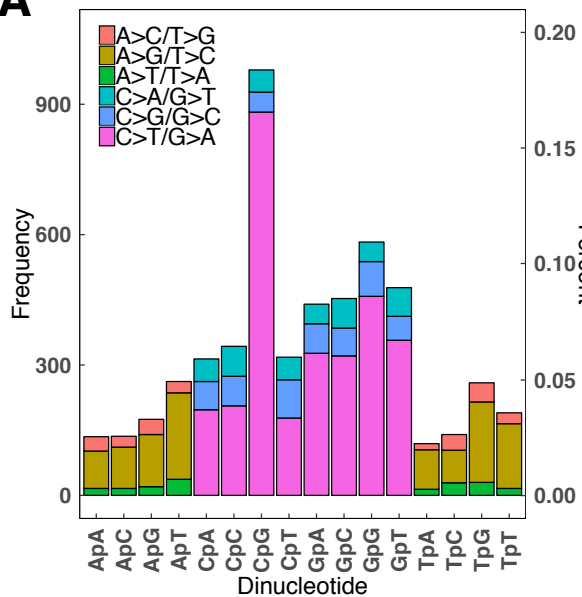
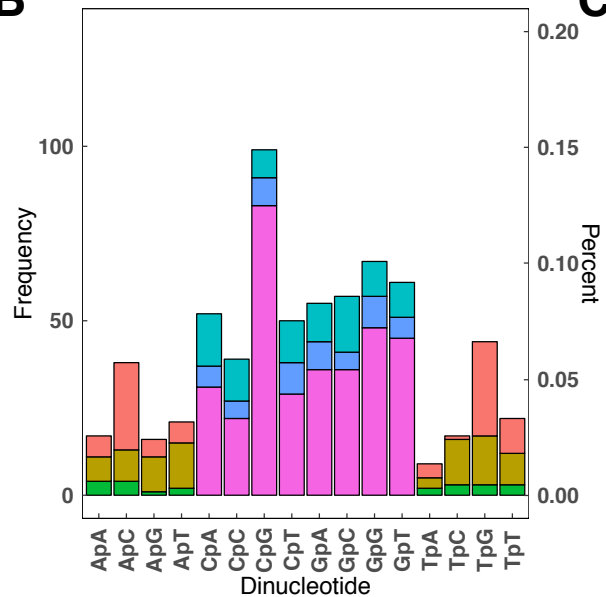


Fig 2

A



B



C

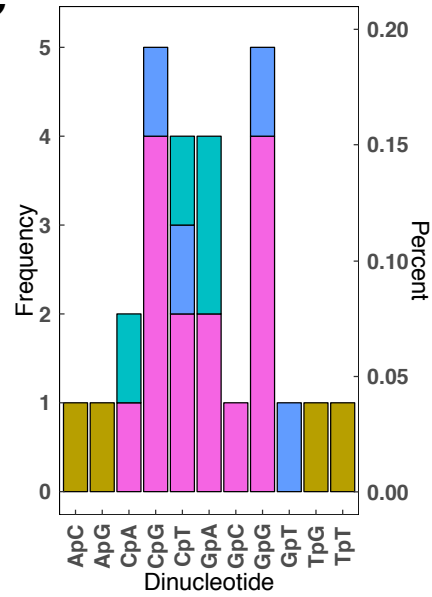


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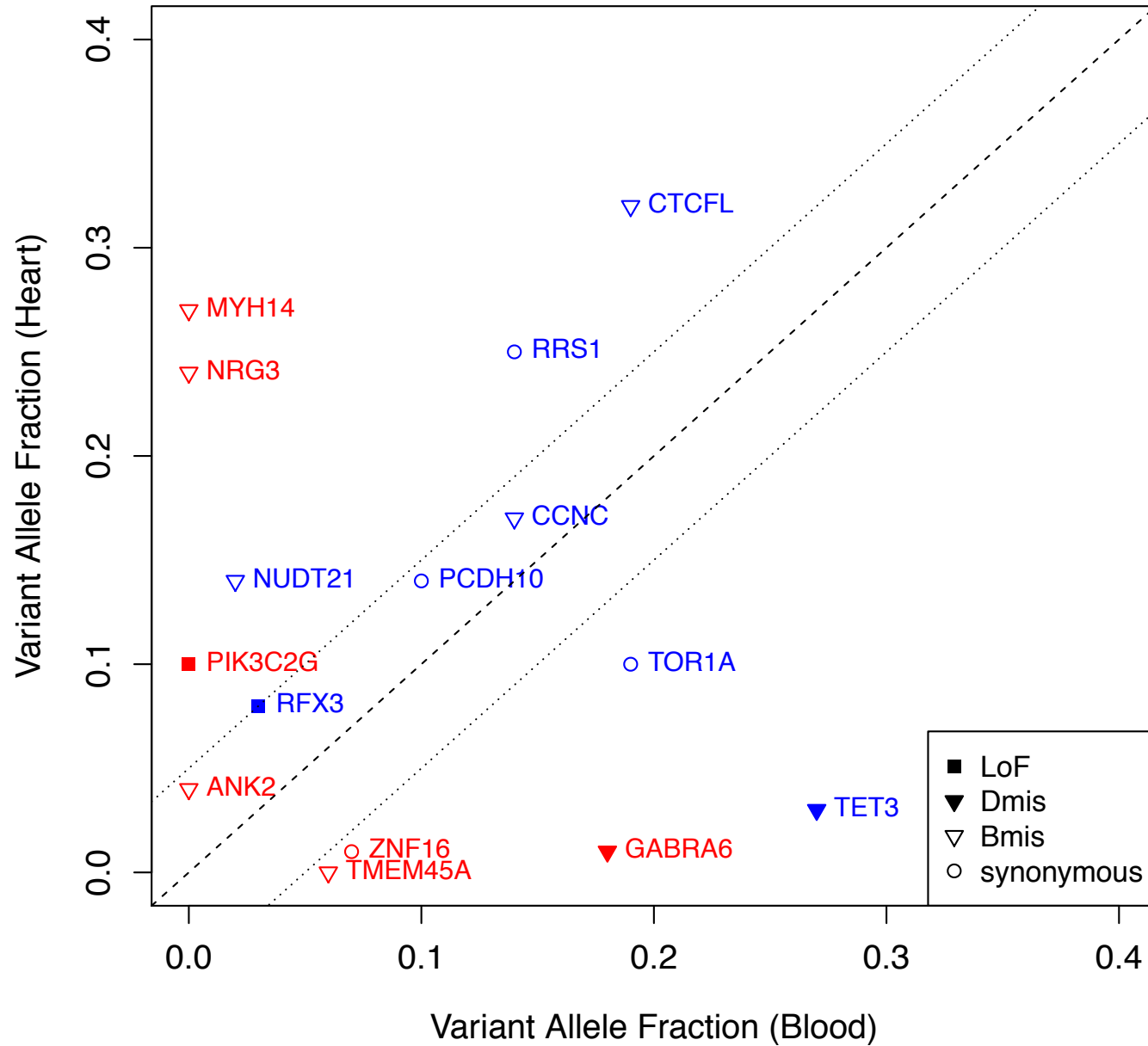


Fig 4

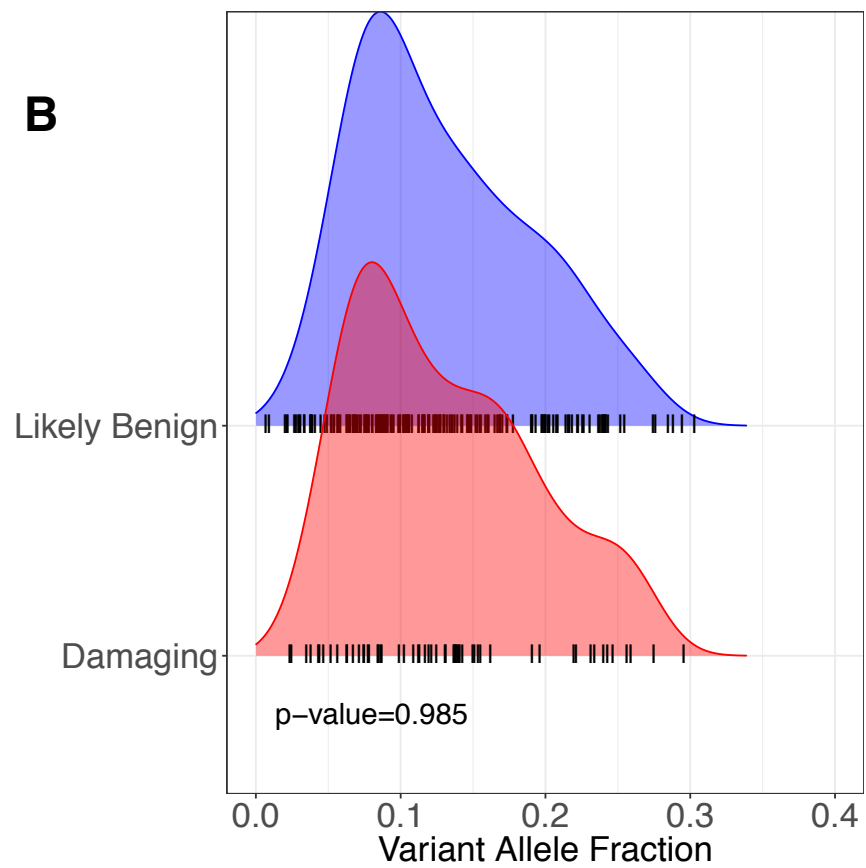
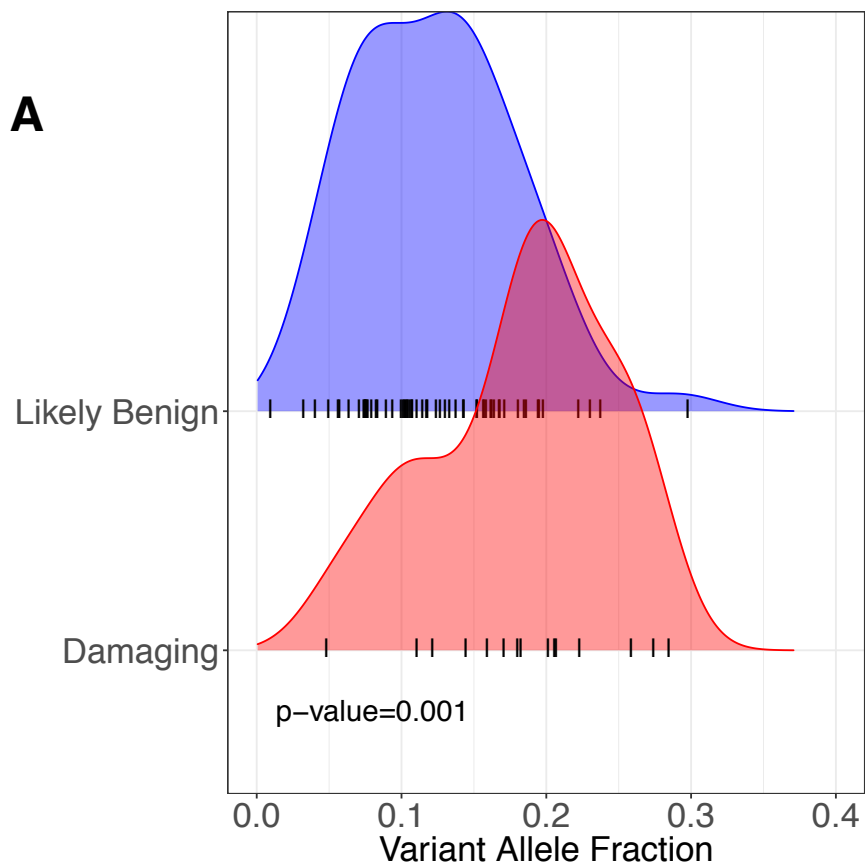
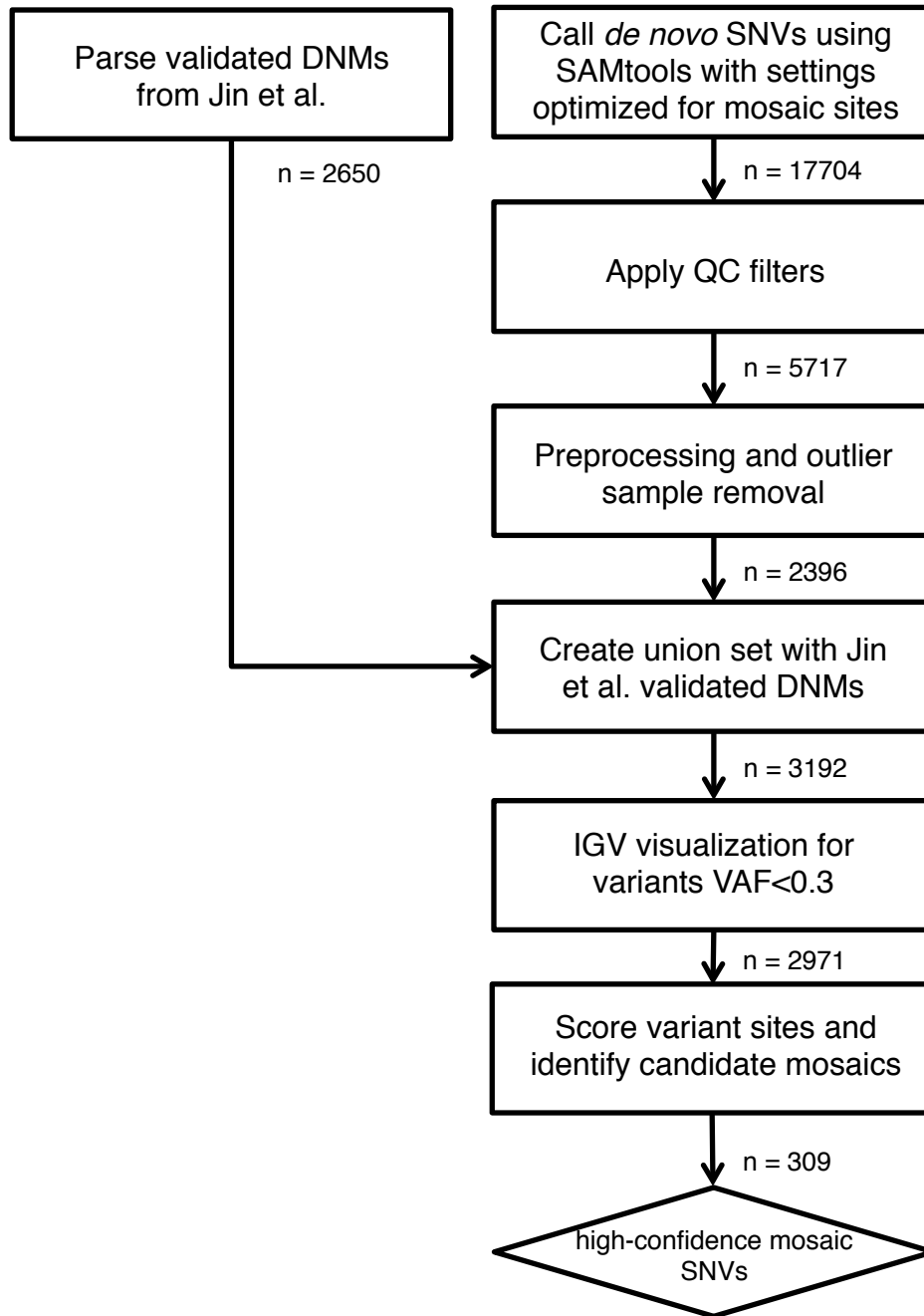


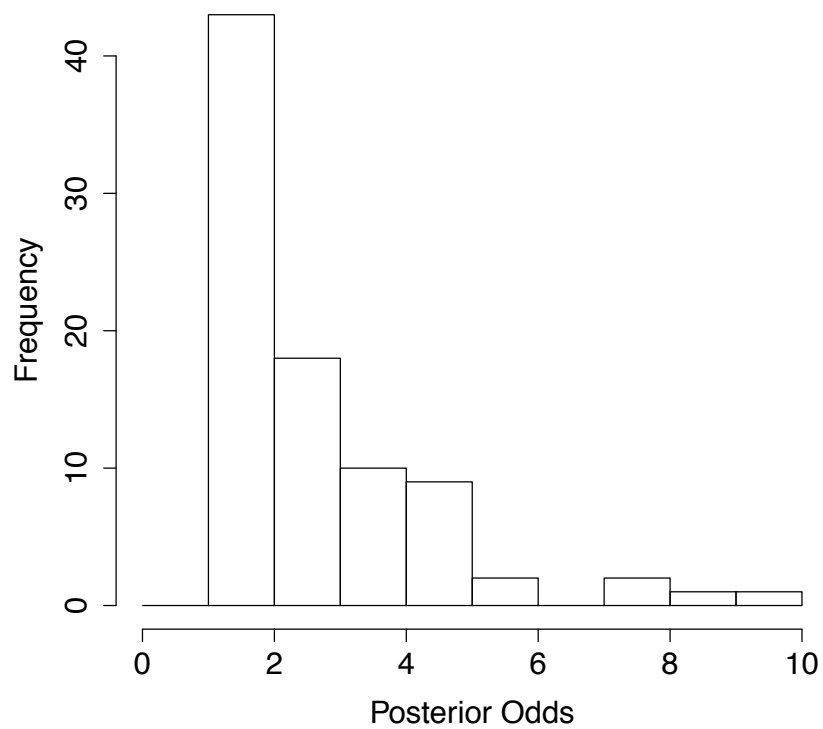
Fig S1



- 28 MH variants not called
- 11 MH variants removed due to parent alt reads >0
- 5 MH variants removed for other quality filters

Fig S2

A



B

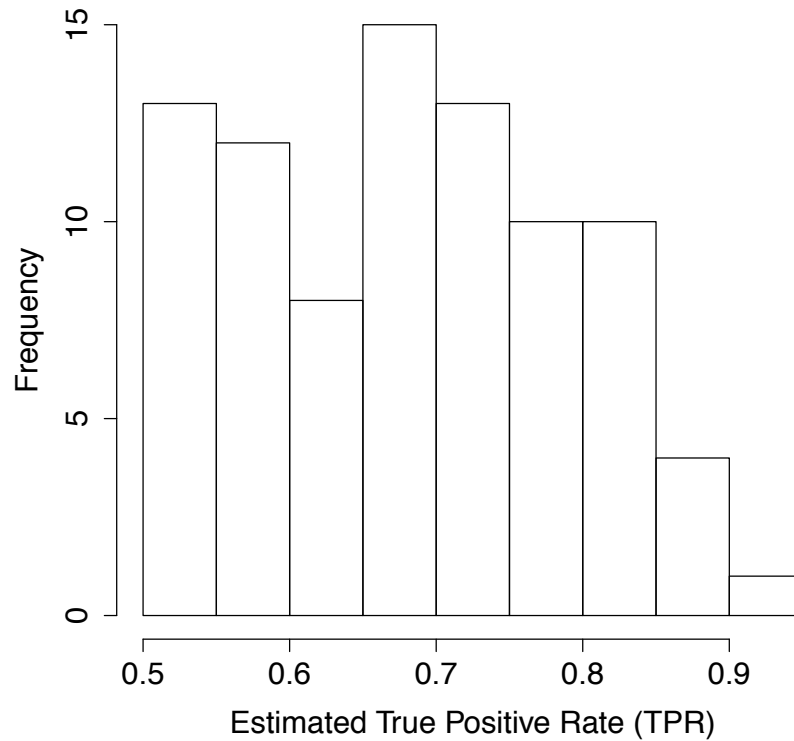


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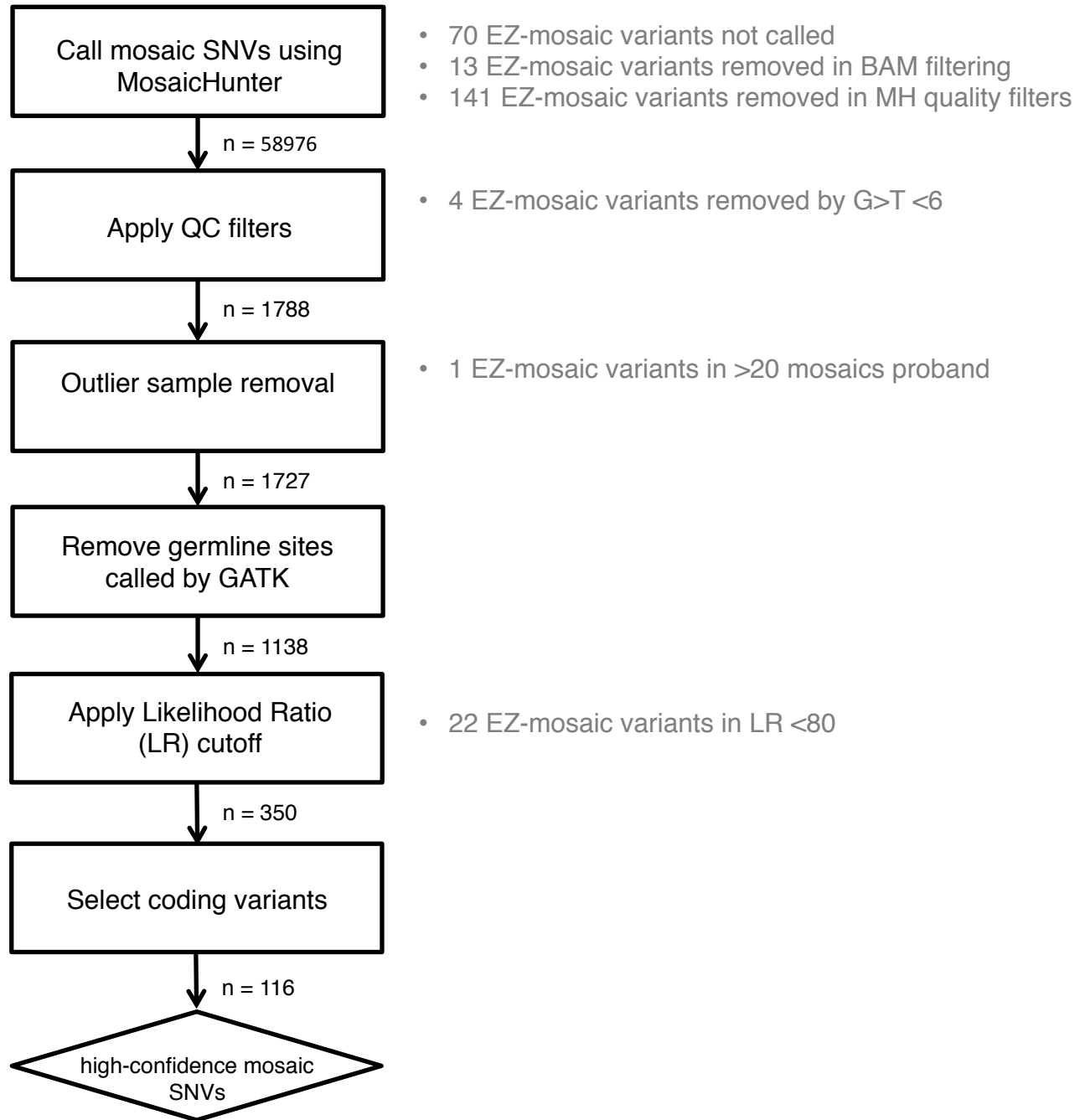


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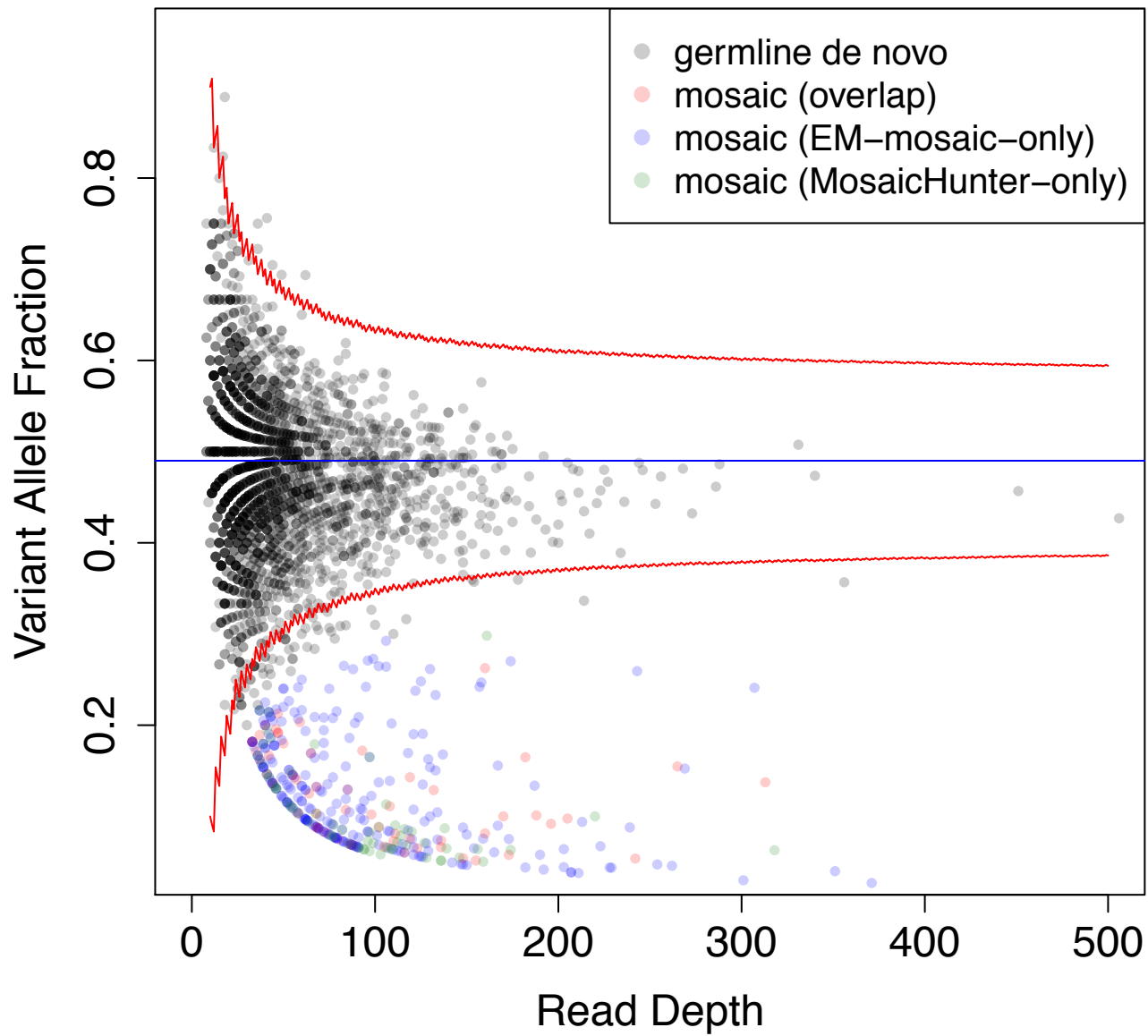
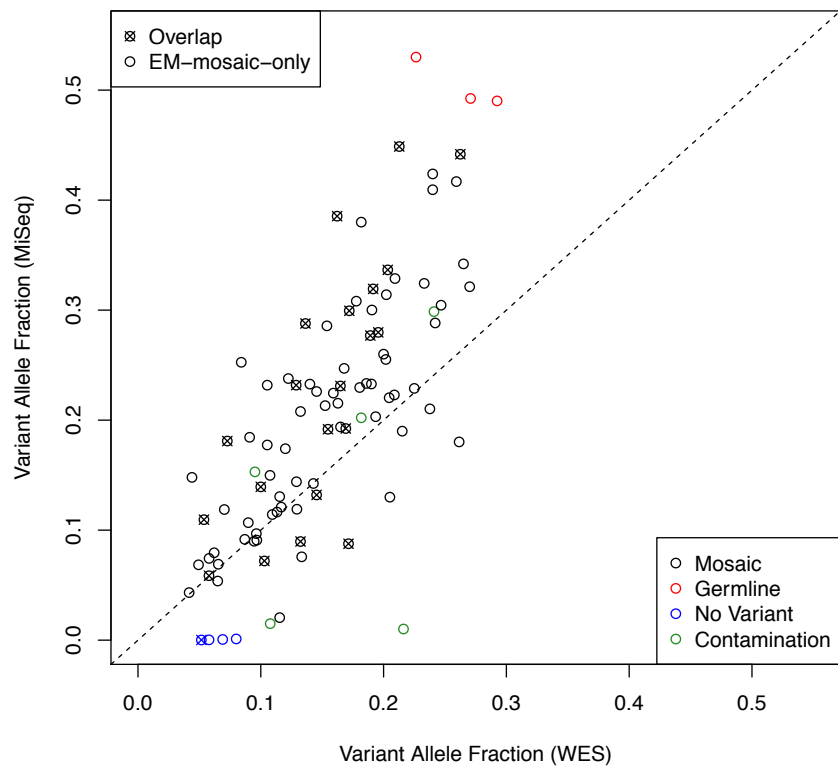


Fig S5

A



B

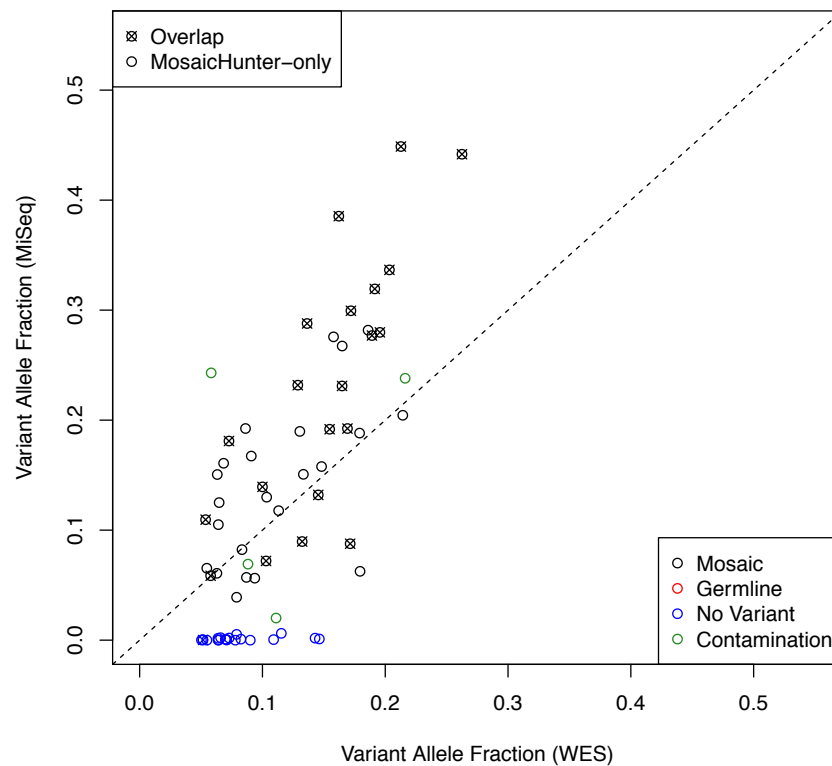


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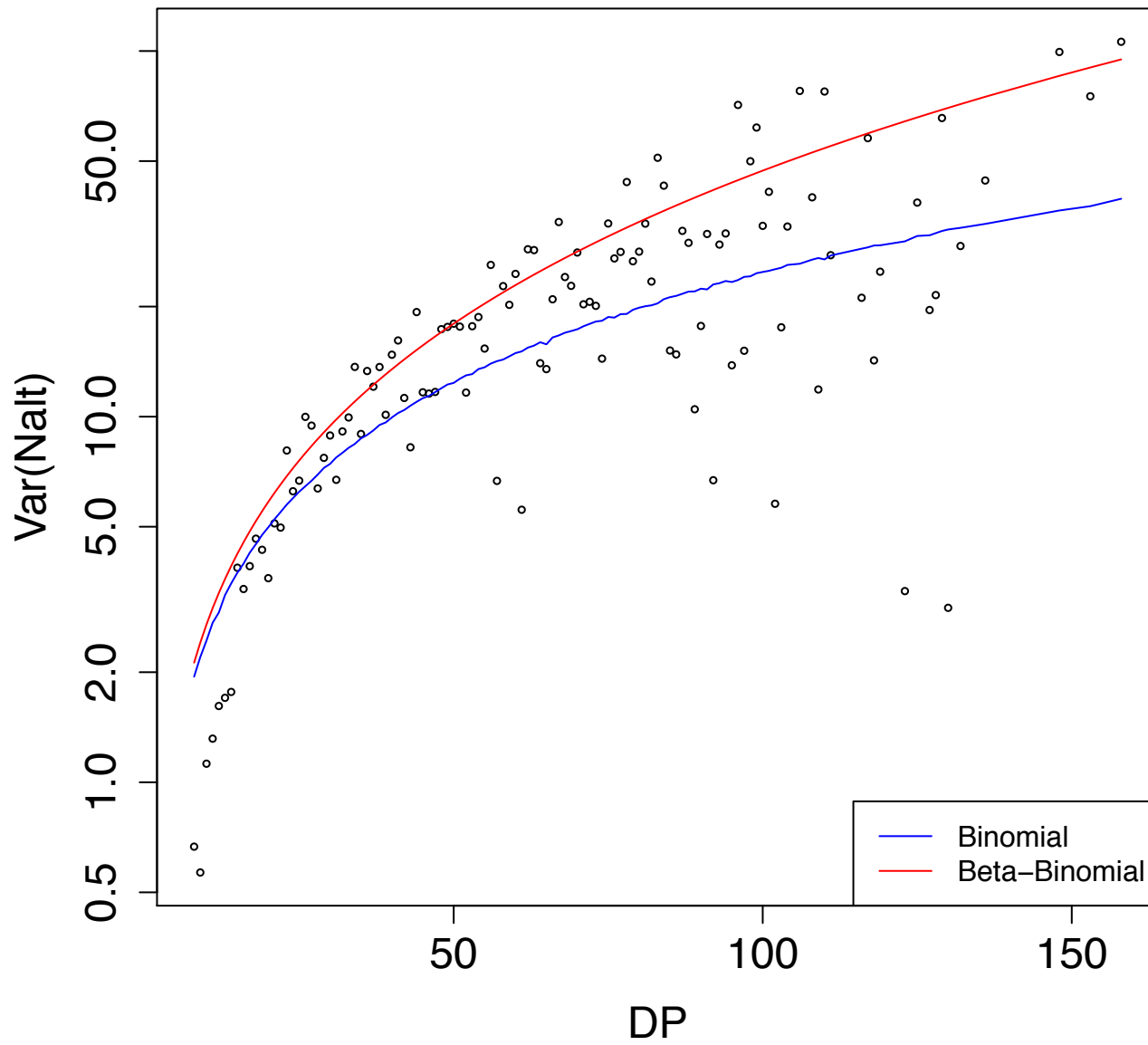


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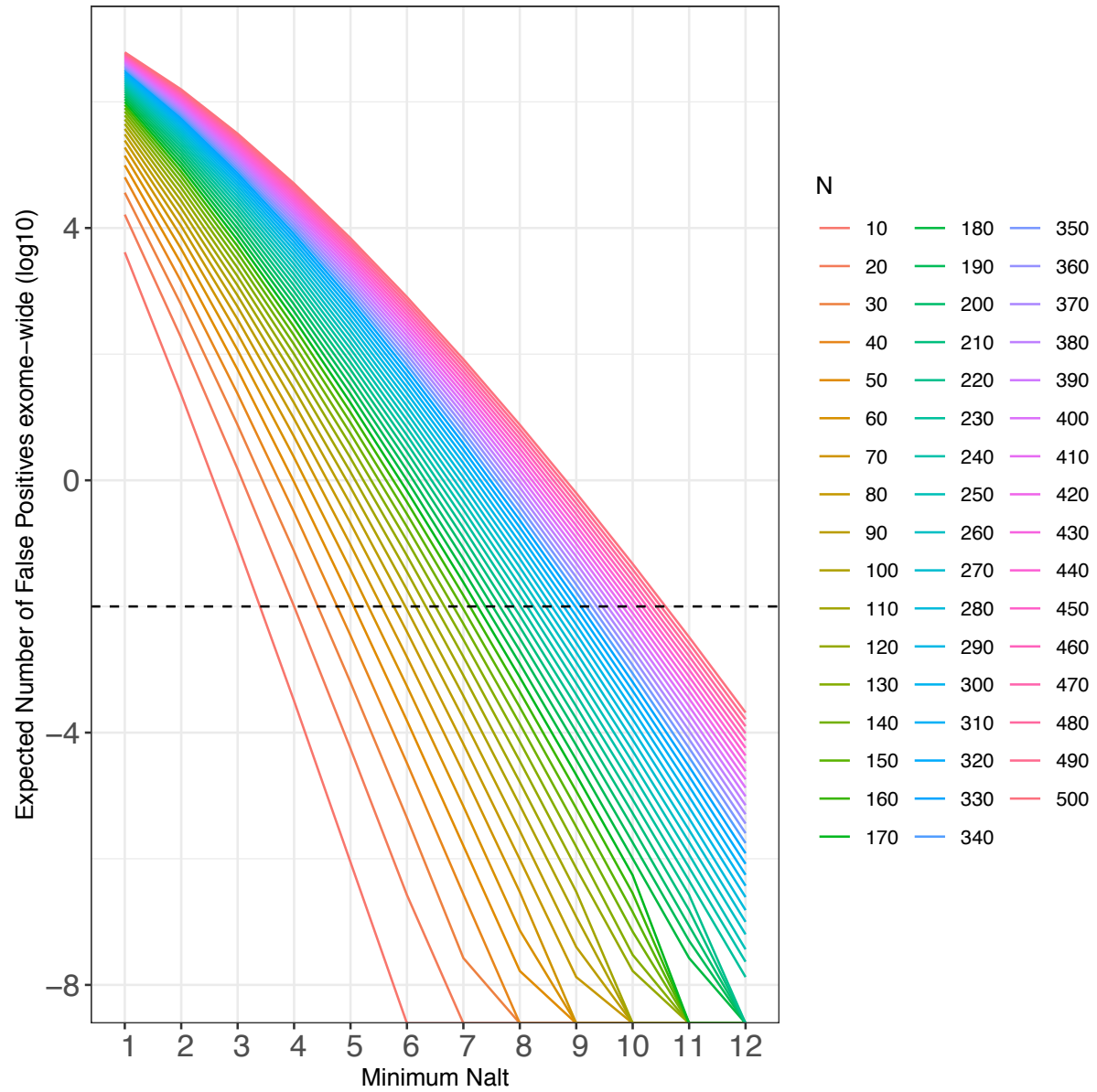
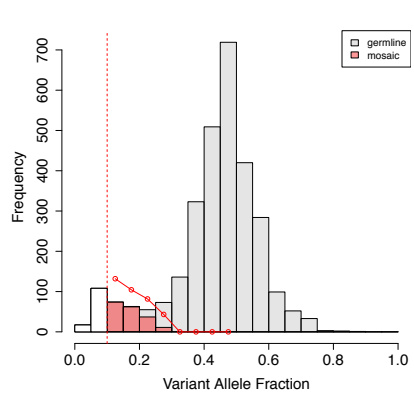
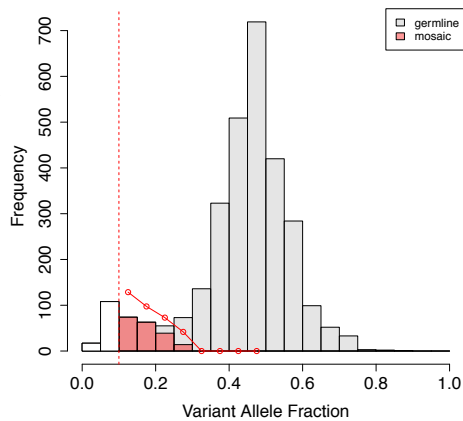
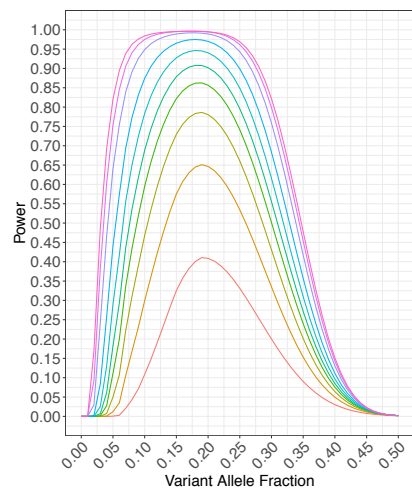


Fig S8

A



B



C

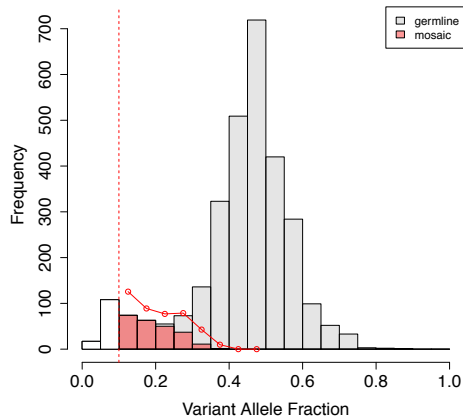
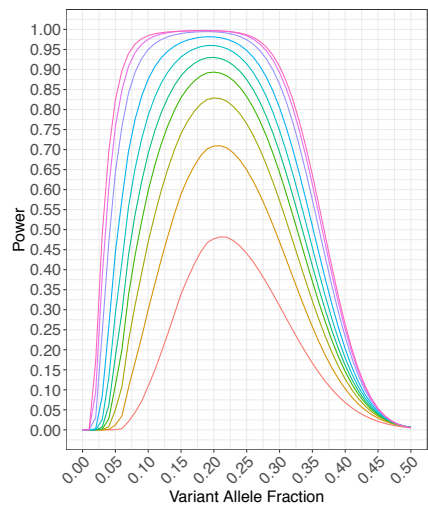


Fig S9

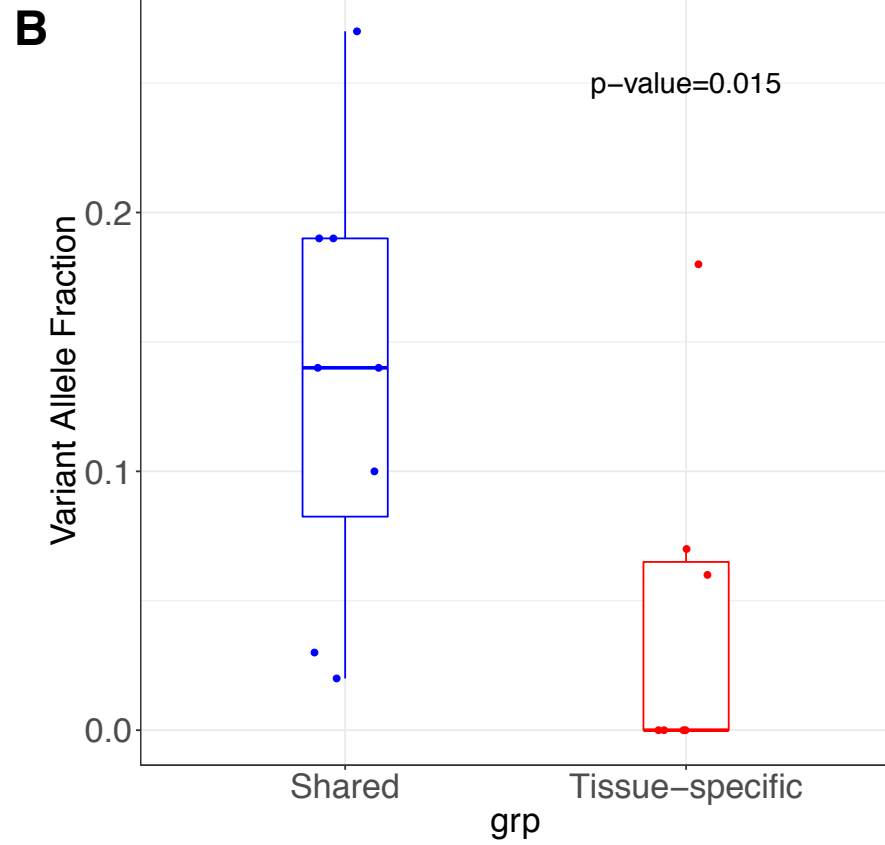
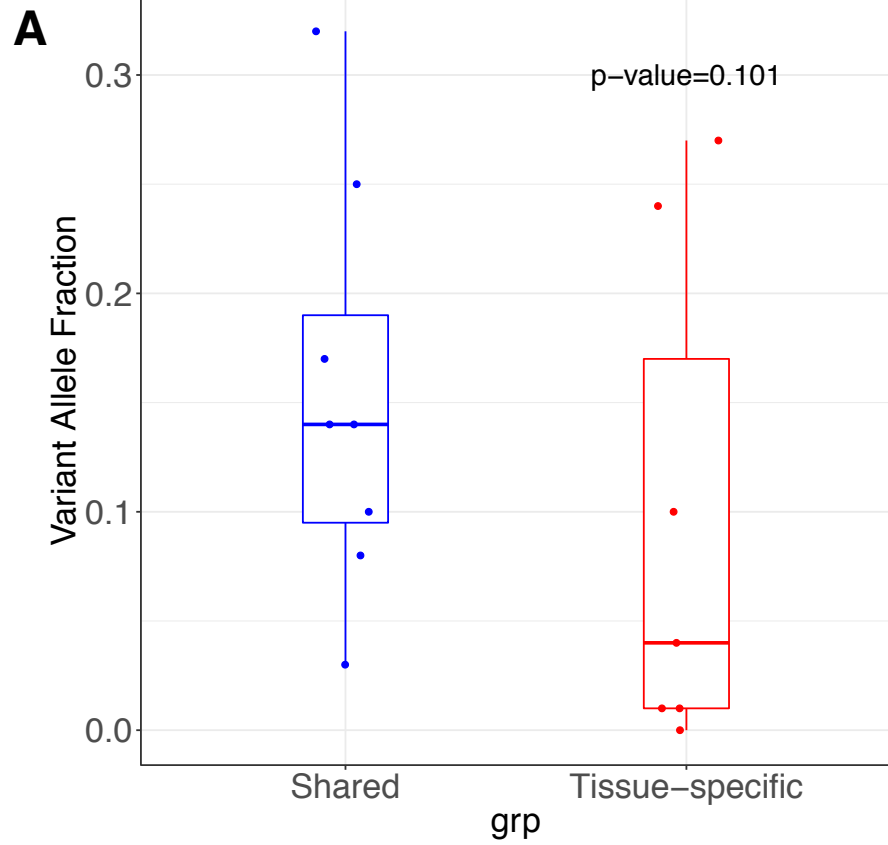


Fig S10

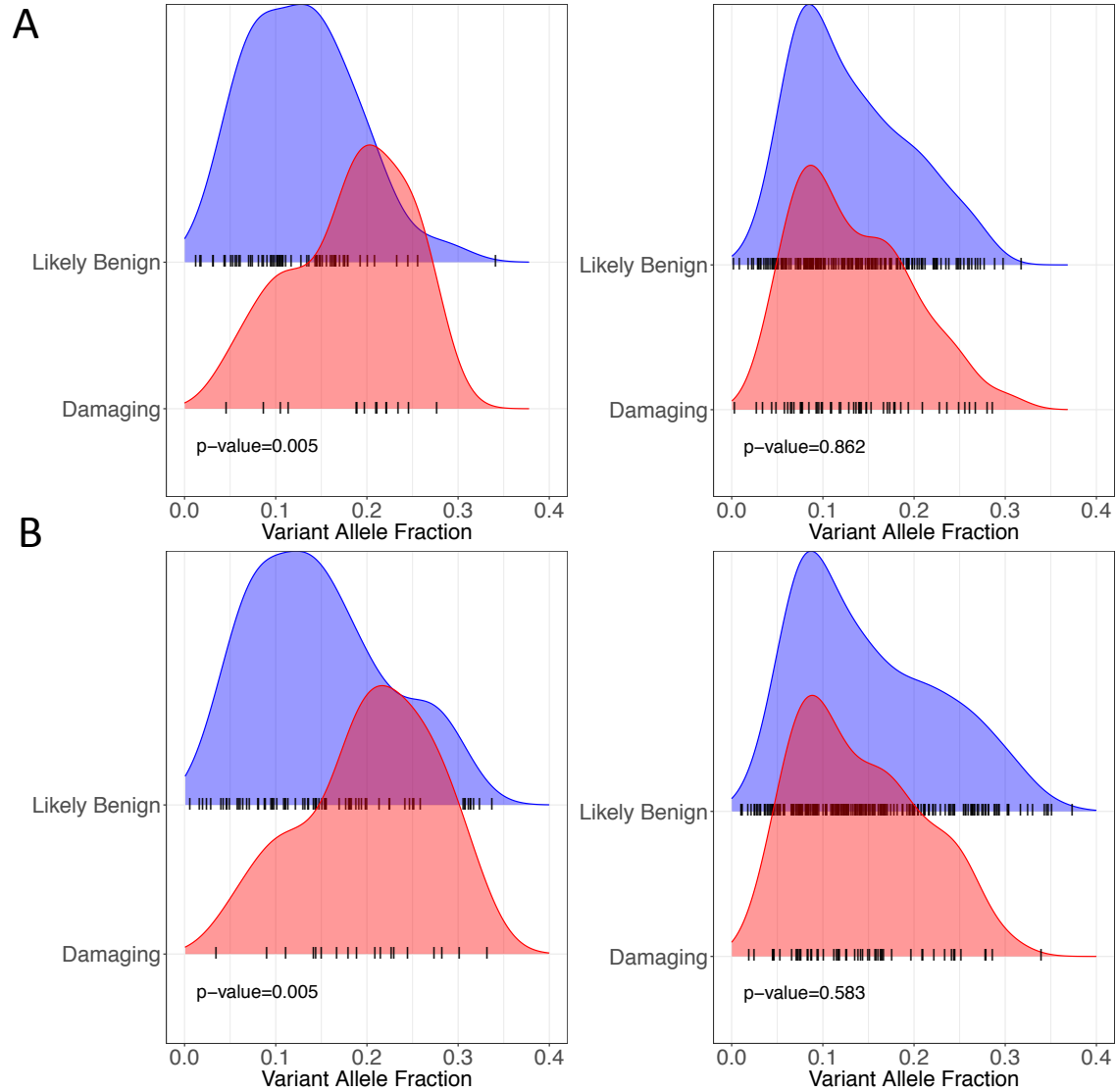
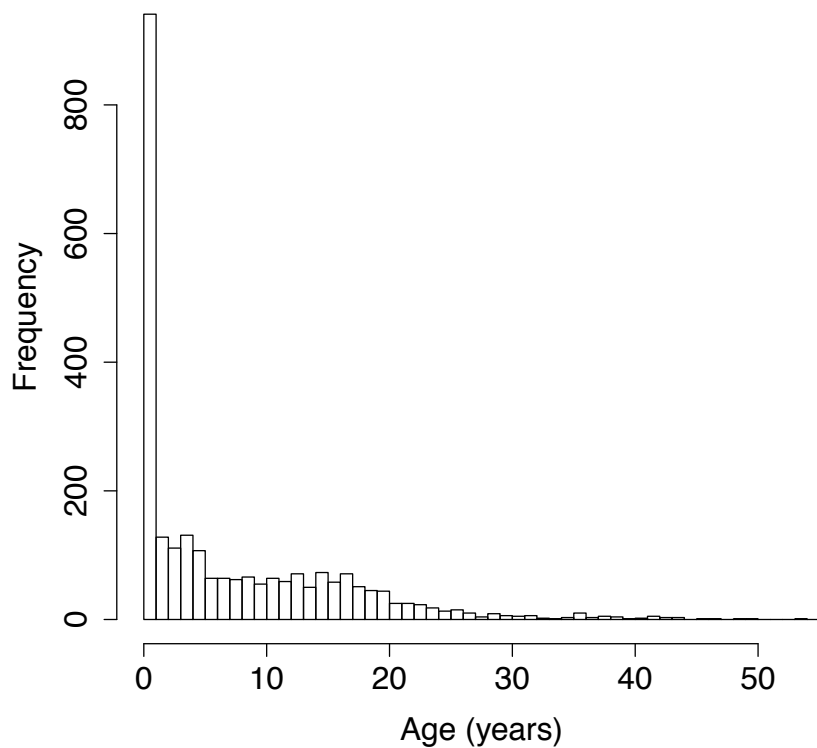


Fig S11

A



B

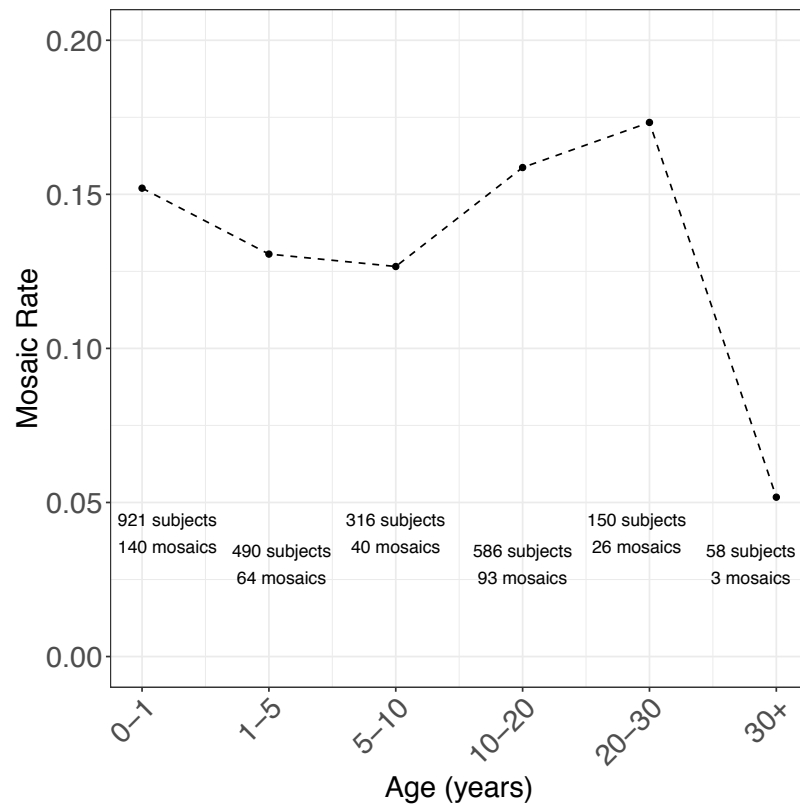


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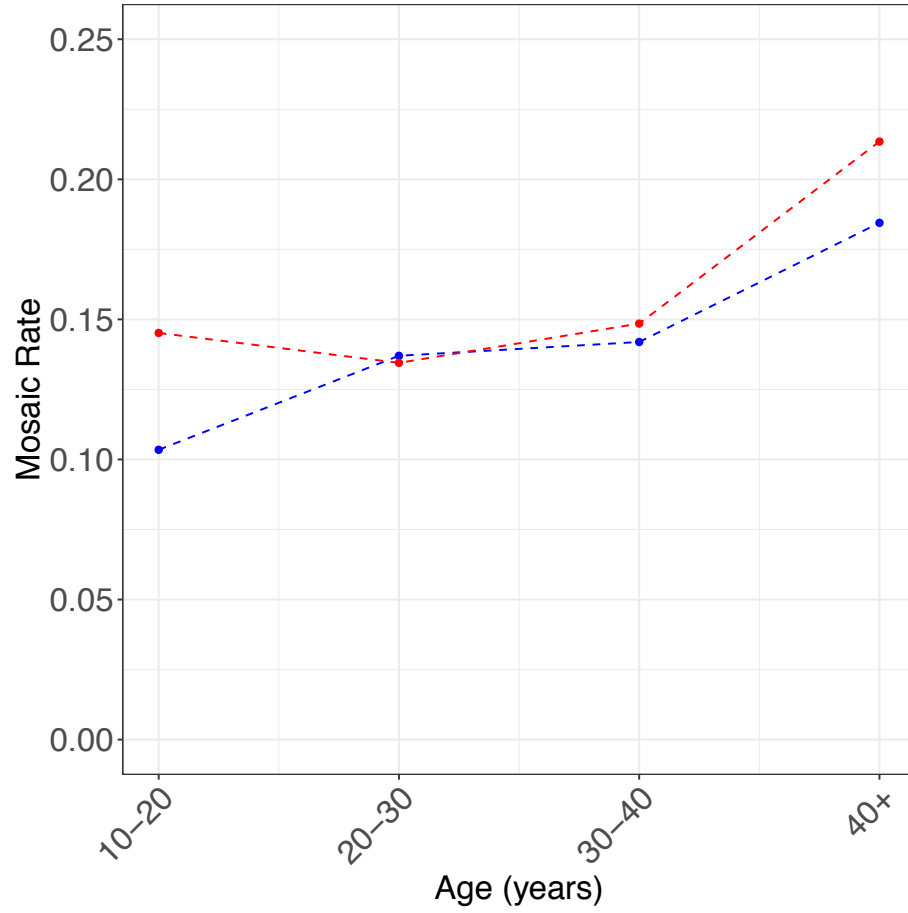
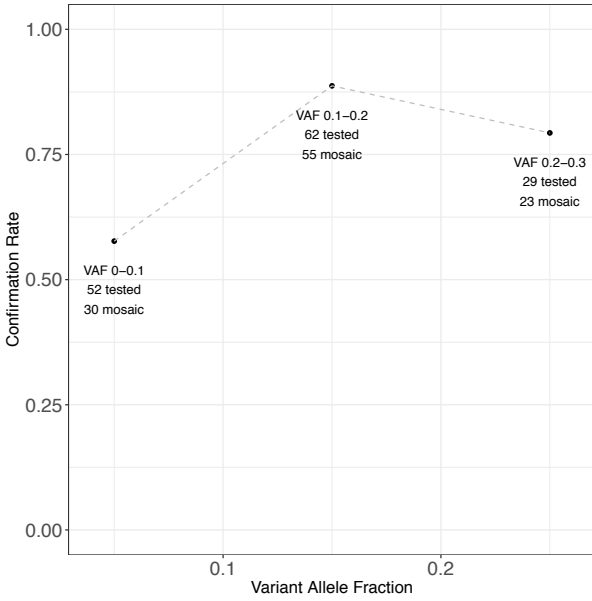
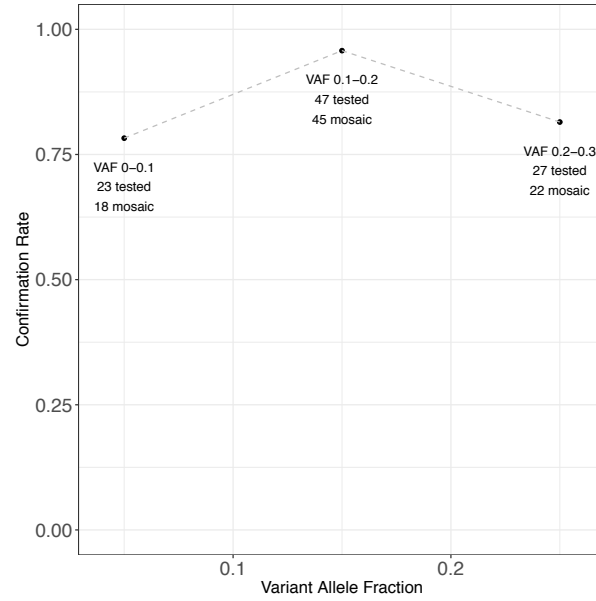


Fig S13

A



B



C

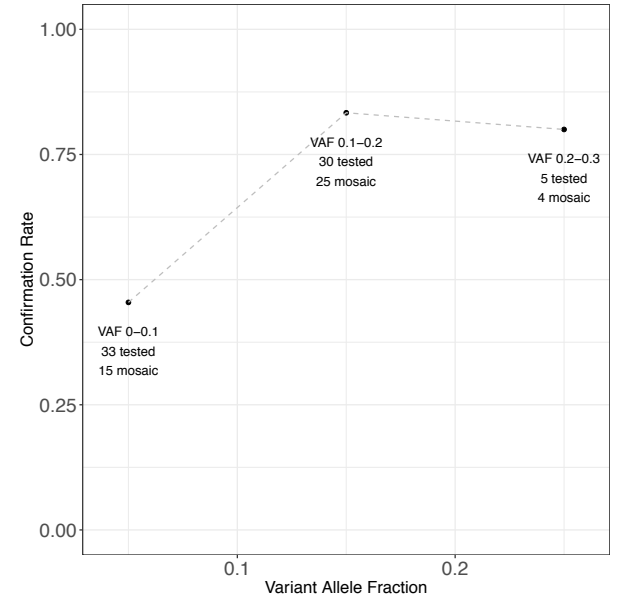


Fig S14

