Title: Continent-wide effects of urbanization on bird and mammal genetic diversity

Authors: C. Schmidt[1*], M. Domaratzki[2], R.P. Kinnunen[1], J. Bowman[3,4], C.J. Garroway[1*]

Affiliations:

[1]Department Biological Sciences, University of Manitoba, Winnipeg, MB, Canada R3T 2N2
[2]Department of Computer Science, University of Manitoba, Winnipeg, MB, Canada R3T 2N2
[3]Environmental and Life Sciences Graduate Program, Trent University, Peterborough, ON,
Canada K9L 0G2
[4]Wildlife Research and Monitoring Section, Ontario Ministry of Natural Resources and Forestry,
Peterborough, ON, Canada K9J 8M5

*Correspondence to:
Chloé Schmidt
Biological Sciences Building, University of Manitoba
50 Sifton Rd
Winnipeg, MB, Canada R3T 2N2
Email: schmid46@myumanitoba.ca
16
Colin Garroway
Biological Sciences Building, University of Manitoba
50 Sifton Rd
Winnipeg, MB, Canada R3T 2N2
Phone: (204) 474-8267; email: colin.garroway@umanitoba.ca

Abstract: Urbanization and associated environmental changes are causing global declines in

vertebrate populations. In general, population declines of the magnitudes now detected should

lead to reduced effective population sizes for animals living in proximity to humans and

disturbed lands. This is cause for concern because effective population sizes set the rate of

genetic diversity loss due to genetic drift, the rate of increase in inbreeding, and the efficiency

with which selection can act on beneficial alleles. We predicted that the effects of urbanization

should decrease effective population size, which would in turn decrease genetic diversity and

increase population-level genetic differentiation. To test for such patterns, we repurposed and

reanalyzed publicly archived genetic data sets for North American birds and mammals. After

filtering, we had usable raw genotype data from 85 studies and 41,023 individuals, sampled from

1,008 locations spanning 41 mammal and 25 bird species. We used census-based urban-rural

designations, human population density, and the Human Footprint Index as measures of

urbanization and habitat disturbance. As predicted, mammals sampled in more disturbed

environments had lower effective population sizes and genetic diversity, and were more

genetically differentiated from those in more natural environments. There were no consistent

relationships detectable for birds. This suggests that mammal populations living in proximity to

humans can generally be expected to have less capacity to respond adaptively to further

environmental changes, and be more likely to suffer from effects of inbreeding.

Keywords: urbanization, genetic diversity, evolution, mammals

1. Background

Human activities are among the most prominent and efficient drivers of contemporary evolution (1). In some cases, human-caused evolution in wild populations is well understood and predictable. For instance, we have a well-founded expectation that populations of pests and disease agents will respond adaptively to our attempts at controlling them (1). It is also clear that humans inadvertently alter evolutionary change in wild populations through land use and habitat degradation (2,3). Whether the indirect effects of human activities on evolutionary change in species that are not directly targeted by our activities can cause predictable evolutionary outcomes is poorly understood. We hypothesized that human land use, by limiting population size and fragmenting habitat, consistently reduces effective population size and genetic diversity in wild populations leading to increased genetic differentiation. To test this prediction, we repurposed publicly archived molecular genetic data sets for North American birds and mammals to test for general relationships between urbanization and the genetic compositions of populations.

Urbanization is one of the most pervasive causes of habitat fragmentation and general landscape change. In addition to the ~700,000 km^2 occupied by cities (4), nearly 75% of the Earth's land surface has been modified by humans, primarily in support of city dwellers (5). This human-caused degradation of the planet's land surface has consistently reduced its capacity to support wildlife (6). As a result, vertebrate populations have on average declined in size by ~60% between 1970 and 2014 (6). Reductions in population size at this level should increase the strength of genetic drift—allele frequency variation due to the random sampling of gametes from one generation to the next. While genetic drift is a neutral evolutionary process that operates

independently of the selective value of alleles, it reduces the efficiency of deterministic

evolutionary processes like selection by causing allele frequencies to randomly deviate from

expected values. When drift is strong relative to selection, random gamete sampling becomes the

predominant cause of allele frequency change. In addition, increased drift can eventually lead to

reduced mean fitness in populations due to inbreeding depression. If wildlife populations living

in proximity to humans generally experience reductions in population size and connectivity, and

thus increased drift, then they may systematically become less genetically diverse than those

living in less disturbed environments. By altering a population's genetic composition in this way,

human-caused environmental change could make evolutionary responses to such change less

efficient.

2. Predicted genetic consequences of human land-use for birds and mammals

We tested for general relationships between the human modification of terrestrial habitats and

the genetic composition of North American mammals and birds using archived microsatellite

data from 85 studies, including 41,023 individuals, sampled at 1,008 georeferenced sample sites,

spanning 66 species (Table S1, Table S2). In particular, we studied the effects of urbanization

and the human footprint (7). We conducted a systematic search of online repositories for all

available bird and mammal microsatellite data available for North America and applied a series

of filtering steps (see Methods) to build a database of georeferenced neutral genetic diversity in

wild populations. Our approach was made possible due to the accumulation of data in public data

archives, and a still-changing culture of open data in ecological and evolutionary research.

Access to raw data originally generated for unrelated purposes allowed for a particularly

powerful synthetic analysis: we could consistently calculate population genetic parameters of

interest for our question, whether or not they were presented in the original publications, and

these calculations are repeatable. In addition, the fact that these data were collected to address

different questions reduces the likelihood that study system selection—perhaps a tendency to

explore evolutionary responses to humans in systems where such responses are expected—

biased our findings.

We developed our specific predictions for the effects of urbanization on wild populations based

on basic population genetic theory (Fig. 1). Assuming a finite population of constant size with

individuals that randomly mate, die out, and are completely replaced by their offspring each

generation, populations will lose genetic diversity at a rate inversely proportional to population

size. In reality, natural populations always deviate from these assumptions. Fortunately, we can

substitute the concept of effective population size for census population size and the predictive

utility of the theory holds. The effective population size is the size of an idealized population

which conforms to the assumptions above and produces the same rate of drift as observed in the

measured population. We can think of effective population size as a measure of the rate at which

genetic drift causes a population to lose genetic diversity. Nearly all violations of the above

assumptions cause the effective population size to be much lower than the census population

size, underscoring that drift plays a more important role in determining genetic diversity and the

efficiency of selection than what might be expected from census population size alone. Given

that urbanization reduces census population size and fragments populations, we predicted that

the strength of genetic drift would increase with increasing proximity to humans: this would

produce smaller effective population sizes, decreased genetic diversity, and increased genetic

differentiation.

We chose to analyze data sets that used neutral microsatellite markers because microsatellites

were the most common molecular marker type available in data repositories, and because the

evolutionary processes that we are interested in are best measured with neutral markers.

Although the number of loci surveyed in microsatellite studies is often small relative to surveys

of genome-wide markers, the typical number of microsatellites used (~10 loci) in fact estimates

genome-wide diversity well with little gain in accuracy with additional genotyping (8). Variation

in microsatellite loci will likely capture recent, fine-scale changes in population structure due to

their high mutation rates and variability. While questions about adaptive genetic variation are

interesting, adaptive diversity is currently more difficult to generally define and interpret than

neutral genetic diversity, and there are still relatively few data sets suitable for this type of multi-

population and multi-species analysis.

We tested for effects of urbanization and the human footprint on estimates of four population

genetic parameters calculated for each site (196 bird sites, of which 129 sampled non-migratory

species and were reanalyzed separately, and 812 mammal sites, Figure 2; Table S1). We

estimated contemporary effective population size of the parental generation using a single

sample linkage disequilibrium method to quantify genetic drift (9–11). Of available methods, this

approach is one of the most accurate and is relatively robust to departures from underlying

assumptions about population structure (12). Estimators of effective population size perform

poorly when sampling error swamps signals of genetic drift, and this meant that effective

population size was not estimable at some sites, which we excluded from analysis (see Methods

for details). Gene diversity (13) is a measure of genetic diversity that accounts for the evenness

and abundance of alleles, and it is not significantly affected by sample size or rare alleles (14).

We calculated rarefied allelic richness, the number of alleles per locus corrected for sample size,

as a second measure of genetic diversity. To quantify genetic differentiation among sites, we

estimated site-specific F_{ST} (15).

3. Modelling strategy

We focused our analyses on the continental United States and Canada due to the historical and

demographic similarities of cities and land-usage in this region (16), and to ensure that species

have had broadly similar exposures to past climate variation (17). We chose three indices of

urbanization and human presence. First, we classified a sample as coming from an urban or rural

site based on United States Census Bureau (18) and Statistics Canada (19) classifications of

urban areas and population centers. Second, we measured human population density at each site,

which may capture aspects of the continuous nature of the effects of human presence that would

not be apparent in the binary urban-rural classification. Lastly, we used the Human Footprint

Index (7) as a measure of human presence because it incorporates data from multiple land use

types including human population density, built-up areas, nighttime lights, land cover, and

human access to coastlines, roads, railways, and navigable rivers.

Current levels of genetic diversity will reflect many past processes in addition to urbanization

and human-caused environmental degradation more generally. Such processes include exposure

to Pleistocene glaciations as well as species-specific life history traits, such as body mass and

longevity, each of which shape effective population size and thus genetic diversity. Because

exposure to past environments (17,20) and life history trait variation (21) vary spatially, we

expect the effects of such processes to create spatial variation in genetic diversity. We can

account for such spatial patterns by including variables describing spatial patterns in genetic

diversity directly in our models, even when the variables themselves are unmeasured (22). This

can be accomplished with distance-based Moran's Eigenvector Maps, or dbMEMs (22–24).

Briefly, dbMEMs are orthogonal spatially explicit eigenvectors that summarize spatial

autocorrelation (Moran's I) patterns in data across all scales. We used dbMEMs that described

spatial variation in our measures of the genetic composition of sample sites in our regression

models to explicitly account for processes causing spatial patterns in the data (24,25). Neutral

genetic diversity also varies with species life history traits which may lack spatial structure (26).

We therefore included species as a random effect in a generalized mixed modeling framework to

capture variation in genetic diversity not already accounted for by dbMEMs.

We used Bayesian generalized linear mixed models to test for relationships between genetic

diversity and urbanization (27,28). We treated each of our four population genetic parameters

(effective population size, allelic richness, gene diversity, and site-specific F_{ST}) as dependent

variables in a series of regression models fit to each urbanization variable (urban-rural, human

population density, and Human Footprint) in separate models that also contained terms for

species as a random effect, and spatial variables (dbMEMs) when they were important

descriptors of spatial patterns in genetic data. Finally, we fit a null model to each population

genetic parameter that contained the random effect for species and spatial variables only. The

defining feature of such hierarchical models is that they are models of models – parameter

estimates and intercepts were estimated for each species and the distribution of these species-

specific estimates allows us to generalize effects of urbanization across species. We fit these

models for bird and mammal data independently. Migratory behavior in birds may affect spatial

patterns in genetic diversity depending on where samples were taken, and whether they were

sampled during the breeding season. Therefore, we also ran these models separately for non-

migratory birds only (7 species, 129 sites; Table S1).

4. Results and Discussion

Relationships between all measures of urbanization and the genetic composition of mammal

populations were consistently in the predicted directions (see the position of parameter estimates

and the breadth of 95% credible intervals in Fig 3a). Effective population size, allelic richness,

and gene diversity were each negatively related to the measures of urbanization, and sites

sampled in areas with greater human presence were the most genetically differentiated (Fig. 3a;

Table 1). Contrasting these clear relationships, we found no clear evidence for effects of

urbanization and the human footprint on the genetic composition of non-migratory bird samples

when analyzed alone (Fig. 3b; Table 1), or when migratory and non-migratory species were

combined for analyses (Fig. S1).

To assess model fits we estimated marginal R^2 (R^2_m), the variance explained by the fixed effects,

and conditional R^2 (R^2_c), the variance explained by both fixed and random effects (29). For

mammals, all models containing indices of human disturbance explained more variation in the

genetic composition of populations than null models (Table 1). Human population density

explained the most variation in each measure of the genetic composition of mammal sample sites

(effective population size: R^2_m 0.28; R^2_c 0.25; gene diversity R^2_m 0.12; R^2_c 0.70; allelic richness

R^2_m 0.07; R^2_c 0.70; F_{ST} R^2_m 0.22; R^2_c 0.35; Table 1) except allelic richness, where explained

variance was similar among all urban predictors.

The lack of consistent evidence for genetic effects of urbanization on birds may in part be due to

the limited number of data sets available compared to data availability for mammals and the

features of those species we could use. Data from seven species remained after excluding

migratory species: the California scrub jay (*Aphelocoma californica)*, black-capped chickadee

(*Poecile atricapillus)*, boreal chickadee (*Poecile hudsonicus)*, barn owl (*Tyto alba)*, cactus wren

(*Campylorhynchus brunneicapillus)*, spotted owl (*Strix occidentalis)*, and Ridgway's rail (*Rallus*

obsoletus). These species have distinctive ecological and life history traits which vary such that

we might not expect to find consistent effects of human presence for these data. The first four are

human commensals whose population sizes may be increased in proximity to humans, while the

Ridgway rail and spotted owl are specialized to California salt marshes and old growth forests,

respectively, and thus may respond negatively to human presence. However, we might also

expect differences in movement ability between birds and mammals to alter patterns of genetic

diversity with regards to human land use. Cities and their surrounding areas are characterized by

disjoint patches of habitat interspersed among paved surfaces, buildings, and grassy or

agricultural areas (30). Birds' ability to fly may buffer against the effects of habitat

fragmentation and allow for gene flow from undisturbed populations (31) in situations where

mammal movements would be more restricted. Indeed, a global analysis of 57 mammal species

found that the movements of individuals living in areas with a high Human Footprint Index were

considerably reduced relative to those in less disturbed areas (32), which suggests that

fragmentation could underlie the patterns we detect in mammals. The continued accumulation of

data, and more in-depth analyses are needed to be able to adequately test this hypothesis in birds.

Our results for birds should be treated as preliminary, and perhaps suggestive of more species-

specific rather than general relationships between genetic diversity and human altered lands.

We currently know very little about the general spatial patterns of intraspecific genetic diversity.

There have been some synthetic spatial analyses of raw mitochondrial DNA (mtDNA) sequence

variation (33,34), but to our knowledge there are no other syntheses of spatial patterns in nuclear

DNA variation similar to ours. We detected broad spatial patterns in genetic diversity, and

controlled for them with our spatial dbMEM variables. Miraldo et al. (33) and Millette et al. (34)

reanalyzed raw mtDNA sequence data at global scales across multiple taxa to assess spatial

patterns of variation in sequence diversity, and to explore their relationships with measures of

human disturbance. These studies arrived at contradictory results. Miraldo et al. (33) looked at

sequence variation in two mitochondrial genes in mammals, *cytochrome b* and *cytochrome*

oxidase subunit I, and found that while *cytochrome oxidase subunit I* genetic variation increased

in less disturbed environments, *cytochrome b* variation was not obviously related to human

disturbance. Millette et al.'s more recent work (34) spanned more taxa, including both birds and

mammals, and examined variation across spatial scales as well as temporal variation in genetic

diversity as measured at *cytochrome c oxidase subunit I*. Interestingly, they detected no

overarching trend for a loss of genetic diversity associated with proximity to humans (measured

by human population density), and no systematic decline in diversity through time. They found

considerable spatial, temporal, and taxonomic variation in diversity trends.

How can we explain our results in light of this previous work? Our first thought is that

differences could be due to the lack of relationship between mtDNA diversity and population

size (35). Habitat fragmentation and reduced population sizes are hypothesized to be the leading

mechanisms causing reduced genetic diversity – if these processes are not captured well by these

markers, trends may be difficult to detect. Additionally, the studies by Miraldo et al. (33) and

Millette et al. (36) were global in scope. This is certainly a strength of their work, but underlying

spatial differences may be harder to detect and control for at this scale. By focusing on North

America, we attempted to control for variation in the timing and nature of disturbances that

would otherwise be difficult at a global scale. Taken together, it is clear that there are interesting

spatial trends in intraspecific genetic variation, and the exploration of their underlying causes

warrants further study.

Urbanization and the broader human footprint are leading causes of the current high rates of

species and population-level biodiversity losses (37,38). The population genetic patterns we

detected reflect patterns in genome-wide nuclear genetic diversity that are ultimately the result of

disturbances related to human presence at the ecosystem, community, and population levels. The

consistent effects for mammals across our three measures of human disturbance suggest that this

pattern is not confined to just urban spaces – human land use is an issue for the genetic diversity

of species in general. This has considerable importance for understanding the current nature and

future consequences of biodiversity loss. While monitoring individuals within populations is the

best tool for detecting population trends and assessing risk, such direct monitoring of many

species is not logistically possible. Our results suggest that calls for intraspecific genetic

monitoring programs are warranted and feasible (39). A relatively small number of genetic

markers reflects genome-wide diversity well (8) and is capable of detecting the effects of human

presence. In fact, publicly archiving data with publications, originally intended to ensure data

posterity, safe-keeping, and research reproducibility, could be better utilized for this task. Access

to raw molecular data sets will continue to increase for the foreseeable future, and can be used

for monitoring regardless of the original purpose. However, for this to be a useful component of

genetic monitoring, more researchers will need to adhere to the standards and best practices for

data sharing to maximize reusability (40,41). This includes using standardized file and metadata

formats that are clearly communicated in data package metadata, and including all relevant

methodological information. In the data searches presented here, a majority (192/313 = 61%) of

datasets were excluded because they did not meet our study criteria (Data S1). However, an

additional 36 datasets were excluded for reasons associated with difficulty accessing or

interpreting data (Data S1): for example, not being able to download files (i.e., only metadata

was available, or only select datasets were deposited), or unclear methodological detail (i.e., no

species designations, delineation between study groups was unclear, or lack of spatial reference).

We were able to resolve such issues in many cases by contacting the authors, however this might

not always be practical for larger studies and limits the ability to automate the data collection

process.

Relative to populations in more natural environments, mammal populations in proximity to

humans have a reduced capacity to spread beneficial alleles in response to selection pressures,

have reduced genetic diversity which can reduce mean population fitness (42,43), and are more

genetically isolated from natural populations. We are extensively and irreversibly creating

environmental change while simultaneously reducing the capacity of some populations to evolve

in response. Reducing fragmentation and facilitating population connectivity are therefore key to

preserving genetic diversity in mammals. Current estimates suggest that by 2050, just 10% of the

planet's surface will be unaltered by humans (6). Land transformation processes are eroding

genetic diversity in mammals, compounding direct effects of habitat loss in a way that threatens

the long-term existence of populations that persist.

Acknowledgements

CS, RPK, and CJG were supported by a Natural Sciences and Engineering Research Council of

Canada Discovery Grant to CJG. CS and RPK were additionally supported by a U. Manitoba

Graduate Enhancement of Tri-council funding grant to CJG. We would like to thank Grace Ji for

creating the program we used to format raw data. We also thank Kyle Lefort, Paul O'Brien, the

students of the Autumn 2018 section of Evolutionary Biology (BIOL3300) at U. Manitoba, and

two anonymous reviewers for their comments on earlier drafts of the manuscript.

Author contribution statement

CS, RPK, JB, and CJG conceived of the study. CS and CJG designed the study and wrote the

first draft of the manuscript. CS built the data set and conducted the statistical analysis with input

from CJG. MD harvested raw data from repositories and built tools for data manipulation. All

authors contributed to data interpretation and editing of subsequent manuscript drafts.

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Methods

<u>Microsatellite data compilation</u>

Our dataset was comprised of bird and mammal microsatellite data collected from publicly

archived, previously published work (Table S2). To create this dataset, we conducted two

systematic searches of online databases (Figure S3). We obtained a list of species names for 859

birds and 450 mammals native to Canada and the United States from the IUCN Red List

database which includes all species regardless of Red List status. We then queried the Dryad

Digital Repository in February 2018 using a python script with the following search terms:

species name (e.g. "*Branta canadensis*"), "microsat*", "single tandem*", "short tandem*", and

"str". This search yielded 194 unique data packages associated with papers. A second search was

performed in May 2018, this time querying DataOne.org, a network which provides access to

data from multiple repositories such as Dryad, the Knowledge Network for Biocomplexity

(KNB), and the United States Geographic Survey (USGS). This search was conducted in R using

the dataone package (44), a convenient method of querying the DataOne network. Using

identical keywords, 237 unique results were generated, 121 of which overlapped with our first

search (Figure S3, Data S1).

All data sets were then individually screened for suitability, ensuring: location (Canada and the

United States), taxon (native birds and terrestrial mammals), data type (neutral microsatellite

markers), and georeferenced sampling (coordinates, maps, or place names). Studies with other

factors which may have influenced genetic diversity (e.g. island sites, genetic rescue,

translocation, managed or captive populations) were excluded. In total, data from 85 studies were

retained for analysis. In a final step, we assured individual sample sites within datasets adhered

to our study criteria, and removed those which did not. We maintained the same sample site

delineations as in the original work. Criteria for removal from a dataset included island,

managed, or captive populations; sites outside of Canada and the United States; and historical

samples (where identified). Sites for which we were unable to extract geographic information

were also removed, as well as sites with <5 individuals. Any non-neutral microsatellite markers

in the data were removed. Next, unique names were assigned to each site, and all datasets were

formatted as either STRUCTURE or GENEPOP files and read into R version 3.4.2 (45) using

the adegenet package (46).

<u>Geographic site locations</u>

Geographic coordinates provided by the authors were used when available (Table S2). Where

spatial location was available for each individual sampled, coordinates were averaged. If site

names were provided (e.g. "Yellowstone National Park") with no coordinate reference, we

performed a Google Maps search and noted the resulting coordinates. Where applicable,

coordinate information was obtained by searching for site names in the Geographic Names

Information System (GNIS) or GeoNames database, as was the case for a few datasets from the

USGS. In instances where only maps of sampling sites were available, site coordinates were

extracted using a reference map in ArcMap version 10.3.1 (ESRI). When georeferencing map

images, if sampling locations indicated regions rather than single points, centroid coordinates

served as the site location. Centroid coordinates were also calculated as site location for data

accompanied by polygon shapefiles as a spatial reference. All coordinates were recorded using

the WGS84 (World Geodetic System 1984) coordinate system in decimal degrees, and

transformed from other systems or map projections in ArcMap as needed. Finally, when site

locations were offshore (42 sites), points were moved to the nearest terrestrial location using the

Generate Near Table tool in ArcGIS. Offshore sites (those located in bodies of water) were

moved to avoid generating null values for population density and the Human Footprint Index—

both of which are high-resolution terrestrial maps which do not extend far past the coast. In some

instances, offshore sites were recorded as thus in the original publication, while other times they

were generated during the process of obtaining a single location for a site (e.g. the average

location of individual coordinates, or centroid location, was in a body of water). Polar bear sites

in the Arctic Archipelago constituted half of all offshore sites, while the remainder were coastal

species and species sampled near lakes or oceans.

<u>Genetic diversity estimates</u>

We chose to measure gene diversity (13) and allelic richness for each site as measures of genetic

diversity. Gene diversity uses allele frequencies to determine the probability that pairs of alleles

drawn at random from a population are different, and accounts for both the number and evenness

of alleles. This measure is minimally affected by sample size and rare alleles (14), and thus is

convenient to use when sample sizes are variable, as is the case here. Gene diversity was

calculated using the adegenet package (46). Allelic richness, the number of alleles per locus, is

strongly influenced by sample size and effective population size. To account for differences in

sample size, we used rarefaction as employed in the R package hierfstat (47) to standardize allele

counts to the minimum sample size ($n = 5$ individuals) across sites (48). Values were then

averaged across loci to obtain a single value per site.

<u>Effective population size estimates</u>

We estimated site-specific contemporary effective population sizes using the linkage

disequilibrium method for single samples implemented in the software NeEstimator 2.1 (11).

The presence of rare alleles produces an upward bias when estimating effective population size

which is especially apparent at small sample sizes (49). We therefore set a conservative

exclusion threshold (P_{crit}) of 0.1, meaning estimates are made based only on alleles with

frequencies higher than this value, which has been shown to markedly reduce bias (49). Linkage

disequilibrium methods work well for estimating effective population sizes in small populations,

however are less reliable for large populations (50). An estimate of infinity is returned when

sampling error swamps detectable signals of genetic drift—which may be the case if too few

individuals or loci were sampled to yield any useful information about effective population size.

In these instances, rather than replacing infinity values with arbitrary large values, we chose to

exclude all sites for which we were unable to estimate effective population size.

<u>Population-specific F_{ST}</u>

To estimate levels of population differentiation in relation to human disturbance, we measured

population-specific F_{ST} (15). Population-specific F_{ST} characterizes differentiation using the

proportion of pairs of matching alleles within populations (the probability of identity by descent)

relative to that of pairs from different populations. It can be interpreted as a measure of how far

single populations have diverged from a common ancestor population. This measure differs from

pairwise F_{ST} estimates (51) in that it provides a measure of population differentiation for a single

population, as opposed to a single value for population pairs. Using a population-specific

estimator of structure allows us to make comparisons between populations of different species.

Population-specific F_{ST} was calculated in R using hierfstat (47), and values were averaged across

loci. Because population-specific F_{ST} calculations still use comparisons of pairs of alleles

between populations, it could only be measured for species with two or more sample sites.

Sample size was slightly decreased when this condition was not met (Table 1).

<u>Measures of urbanization and human presence</u>

Urban-rural classification. Our next step was to define urban habitats in North America. The

United States Census Bureau and Statistics Canada provide publicly available maps of urban

areas and population centers, respectively (18,19). According to the US Census Bureau, an urban

area is defined as any densely developed territory with at least 2500 inhabitants. Statistics

Canada defines a population center as any area with a minimum population of 1000, and a

population density of 400 persons or more per square kilometer. We considered these

international designations of urbanization to be comparable. Canadian and American urban area

maps were downloaded as polygon GIS layers and merged into a single layer. Site coordinates

were transformed from WGS84 to the same projection as the urban area maps (GCS North

American 1983) in ArcMap to ensure correct alignment. A spatial join was then performed

between sites and the urban area layer in order to classify sample locations as "urban" or

"nonurban". The search radius parameter was set to 10 km to encompass the entire urban

gradient, and account for sprawl. Periurban landscapes which are adjacent to cities may be less

densely inhabited, however often encompass areas highly managed or disturbed by humans

including farmland, parks, and golf courses; in larger cities, periurban landscapes may extend up

to 10 km away from the city center (52). Thus, any site located in, or within 10 km of, an urban

area was considered "urban" for the purposes of this study.

Human population density. Human population density was used as a proxy of urbanization and

human effects on the environment. In contrast to our binary urban-rural designation of sample

sites, human population reflects the continuous distribution of the effects of human presence, and

thus should indicate the intensity of the effects of human activity on genetic diversity. A raster

map of global population density per square kilometer was obtained for the most recent available

year (2000) from NASA's Center for Near Earth Object Studies

(https://neo.sci.gsfc.nasa.gov/view.php?datasetId=SEDAC_POP). Next, the raster map and

shapefile containing sites as point features were read into R (package rgdal and raster; Bivand et

al. 2017, Hijmans 2017). Mean population density was calculated within a 10 km buffer zone

around each site.

Human Footprint Index. The Global Human Footprint Index (7,55) quantifies human influence

on a scale of 0 (most wild) to 100 (most transformed) at a 1 km^2 resolution. It provides a more

comprehensive assessment of the effects of humans than urban-rural designations or population

density alone because it incorporates data from multiple sources of land use. In particular, it

captures human population density, human land use and infrastructure (built-up areas, nighttime

lights, land use, and land cover), and human access (coastlines, roads, railways, and navigable

rivers). As with the raster map of population density, the Human Footprint Index was imported

to R and values per site (within a 10 km buffer zone) calculated using the same method.

Statistical analysis

We modelled birds and mammals separately because we expected them to respond to human

disturbance in fundamentally different ways. Within birds, we further classified each species as

migratory or non-migratory using information from species accounts in The Birds of North

America (56). We then created a separate data subset comprised of only non-migratory species

which was analyzed in parallel. Species with a mix of migratory and resident populations were

counted as migratory and excluded, as were species with unknown migratory behavior.

Genetic diversity is also affected by regional historical contingencies which would be difficult to

specifically identify without detailed knowledge of each species and region in our data set (20).

Such events will, however, produce spatial patterns in our genetic measures. These spatial

patterns are detectable and can be controlled for—even if their causes are unknown—using

distance-based Moran's Eigenvector Maps (dbMEMs) (22–24). The dbMEM analysis we used

(R package adespatial (57)) is a type of eigenanalysis based on principal coordinates analysis

which produces a set of spatially explicit variables, dbMEMs, that quantify spatial trends at

multiple scales. Because they are orthogonal, dbMEMs can subsequently be included in

regression analyses to explicitly model spatial patterns (57). In the first steps of dbMEM

analysis, a modified matrix of distances between pairs of sites is calculated from site coordinates.

The eigenvalues of this matrix are proportional to Moran's I coefficients of spatial

autocorrelation (24,58). Importantly, only positive eigenvalues are considered because negative

eigenvalues generate complex principal coordinate axes (59). dbMEMs therefore correspond to

positive values of Moran's I, and can account for positive spatial autocorrelation present in the

data. Positive spatial autocorrelation occurs when sites nearer to each other are more similar than

sites further away, and violates the assumption of independence in our statistical tests. Before

undertaking dbMEM, any linear trends in the response variables were removed. Although

dbMEM analysis is capable of detecting linear spatial gradients, dbMEMs used to model such

trends then cannot be used to recover other, potentially more interesting spatial patterns (23).

dbMEM analyses were run in parallel for measures of genetic diversity (gene diversity and

allelic richness), population-specific F_{ST}, and effective population size. We were able to calculate

gene diversity and allelic richness for all sites, however, removed sites where effective

population size was infinite and sites where population-specific F_{ST} could not be computed. To

capitalize on available data, we created subsets for genetic diversity, population-specific F_{ST}, and

effective population size, omitting rows where the focal variable(s) had null values. For each

taxon we thus had 3 data subsets: one for gene diversity and allelic richness, which included all

sites; population-specific F_{ST}; and effective population size (Table 1). To select dbMEMs for

inclusion in regression analyses, we used forward selection with a p-value criterion (alpha =

0.05) in the SignifReg package (60).

Testing effects of human presence on genetic diversity. To test for the effects of human

disturbance on genetic diversity, and to determine whether alternate proxies of urbanization

would yield similar results, we constructed four linear mixed models per response variable

(effective population size, gene diversity, allelic richness, and population-specific F_{ST}). Three of

these models included spatial dbMEMs and a measure of human presence as explanatory

variables: (1) urban-rural category, (2) human population density, and (3) Human Footprint

Index. The fourth model consisted of dbMEMs only, or, where no dbMEMs were significant,

was a null model (Table 1). Species was included as a random effect in all models to account for

species-level variation in genetic diversity, effective population size, and population-specific F_{ST}.

The random species effect also accommodated potential variation in the level of species'

responses to human-caused environmental degradation (random slope models). Random effects

account for non-independence of samples within groups and increase the accuracy of parameter

estimation (61). We fit these models in a Bayesian framework using the R package brms (28)

which fits models using Stan. We used default priors (uniform distribution over all real numbers)

for parameter estimates with 4000 iterations after discarding warm-up runs (1000 iterations). In

cases where models did not converge, we first increased the number of iterations or warmup

period (*mammals:* allelic richness ~ population density: 5000 iterations, 5000 warmup; *birds:*

F_{ST} ~ population density, 5000 iterations, 4000 warmup; *non-migratory birds:* allelic richness ~

urban category, 4000 iterations, 2000 warmup; allelic richness ~ population density, 4000

iterations, 4000 warmup; F_{ST} ~ population density, 4000 iterations, 2000 warmup). If

convergence issues persisted we restricted priors to a uniform distribution bounded at -10 and 10

(birds F_{ST} ~ population density and non-migratory, and birds allelic richness ~ population

density). Lastly, we computed marginal and conditional Bayesian R^2 to evaluate and compare

model fits using the performance package (62).

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Figure and table legends

Figure 1. Urbanization is expected to cause smaller effective population sizes, lower genetic

diversity, and increased population differentiation in comparison to natural habitats (a). As

habitats become increasingly urbanized, they experience greater fragmentation (b), resulting in

smaller patch sizes with lower connectivity. Smaller patches limit supportable population sizes

wherein genetic drift becomes the predominant evolutionary force and movement between

patches in urbanized areas (black circles) becomes difficult, reducing gene flow.

Figure 2. Map of 1,008 sample sites for the 66 mammal and bird species native to North

America examined in this study. 812 sites were mammals (black points) and 129 birds (white

points). Using microsatellite markers, we calculated effective population size, gene diversity,

allelic richness, and population-specific FST for each site.

Figure 3. GLMM coefficients for fixed urban and human disturbance effects in mammals (top),

and non-migratory birds (bottom; for bird results including migratory species, refer to SI Fig. 1

and SI Table 2). Open circles represent coefficient estimates, bold lines are 90% credible

intervals, and narrow lines are 95% credible intervals. Sample size differed between variables,

i.e. sites where effective population size was not calculable were excluded, and calculation of

population-specific FST for all sites within a study required at least two sample sites. Mammals:

effective population size n = 639; gene diversity and allelic richness n = 812; FST n = 795. Birds

(non-migratory): effective population size n = 87; gene diversity and allelic richness n = 129;

FST n = 128.

Table 1. Model summaries for mammals, non-migratory birds, and all birds. Four models were

constructed per response variable, each including one of three proxies of urbanization: urban-

rural category, human population density (popden), and Human Footprint Index (HFI). The

fourth model did not include any measure of urbanization and had only dbMEMs as fixed effects

(spatial model), or, where no dbMEMs were selected, a null model. Coefficient of variation, R^2,

values are an indicator of model fit; marginal R^2 describes the proportion of variation explained

by fixed effects, while conditional R^2 is the variation explained by both fixed and random effects.

Figure 1

Figure 2

Figure 3

Table 1

Class	variable	sites	Fixed effects dbMEMS	covariate	coefficient	95% CI lower	upper	Marginal R^2	Conditional R^2
Mammals	effective population size	639	5						
				urban-rural	-0.51	-0.87	-0.16	0.04	0.24
				popden	-1.10	-2.44	-0.28	0.28	0.25
				HFI	-0.27	-0.47	-0.05	0.05	0.25
				none	--	--	--	0.01	0.22
	gene diversity	812	13						
				urban-rural	-0.18	-0.33	-0.04	0.04	0.69
				popden	-0.35	-0.68	-0.12	0.12	0.70
				HFI	-0.12	-0.21	-0.02	0.04	0.70
				none	--	--	--	0.03	0.69
	allelic richness	812	21						
				urban-rural	-0.11	-0.29	0.05	0.07	0.69
				popden	-0.15	-0.33	0.00	0.07	0.70
				HFI	-0.11	-0.18	-0.03	0.07	0.70
				none	--	--	--	0.06	0.69
	F_{ST}	795	10						
				urban-rural	0.25	0.02	0.50	0.10	0.33
				popden	0.47	0.11	0.96	0.22	0.35
				HFI	0.15	0.04	0.28	0.11	0.34
				none	--	--	--	0.09	0.32
Birds (non-migratory)	effective population size	87	0						
				urban-rural	-0.20	-1.27	0.80	0.01	0.20
				popden	-0.21	-1.05	0.49	0.03	0.20
				HFI	-0.37	-0.87	-0.15	0.07	0.22
				none	--	--	--	0.00	0.19

	gene diversity	129	3						
				urban-rural	0.01	-0.38	0.41	0.03	0.80
				popden	0.13	-0.09	0.42	0.03	0.80
				HFI	0.04	-0.18	0.25	0.03	0.80
				none	--	--	--	0.02	0.80
	allelic richness	129	0						
				urban-rural	0.10	-0.77	0.82	0.02	0.24
				popden	-0.09	-0.42	0.23	0.01	0.17
				HFI	0.05	-0.37	0.41	0.02	0.22
				none	--	--	--	0.00	0.16
	F_{ST}	128	2						
				urban-rural	-0.13	-0.70	0.41	0.06	0.13
				popden	-0.05	-0.45	0.31	0.06	0.13
				HFI	-0.06	-0.38	0.24	0.06	0.13
				none	--	--	--	0.04	0.10
Birds (all)	effective population size	125	1						
				urban-rural	-0.18	-0.85	0.50	0.08	0.17
				popden	-0.20	-0.74	0.31	0.10	0.17
				HFI	-0.12	-0.48	0.30	0.08	0.18
				none	--	--	--	0.06	0.15
	gene diversity	196	2						
				urban-rural	-0.03	-0.20	0.15	0.00	0.90
				popden	0.04	-0.07	0.15	0.00	0.90
				HFI	-0.03	-0.05	0.07	0.00	0.90
				none	--	--	--	0.00	0.90
	allelic richness	196	1						
				urban-rural	0.20	-0.27	0.62	0.03	0.35
				popden	-0.03	-0.24	0.20	0.02	0.29
				HFI	0.11	-0.12	0.32	0.03	0.33

			none	--	--	--	0.01	0.30
F_{ST}	190	1						
			urban-rural	0.00	-0.35	0.34	0.02	0.05
			popden	0.04	-0.22	0.34	0.02	0.05
			HFI	0.08	-0.12	0.31	0.03	0.07
			none	--	--	--	0.01	0.04