

1 **Title:** Continent-wide effects of urbanization on bird and mammal genetic diversity

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24 **Abstract:** Urbanization and associated environmental changes are causing global declines in  
25 vertebrate populations. In general, population declines of the magnitudes now detected should  
26 lead to reduced effective population sizes for animals living in proximity to humans and  
27 disturbed lands. This is cause for concern because effective population sizes set the rate of  
28 genetic diversity loss due to genetic drift, the rate of increase in inbreeding, and the efficiency  
29 with which selection can act on beneficial alleles. We predicted that the effects of urbanization  
30 should decrease effective population size, which would in turn decrease genetic diversity and  
31 increase population-level genetic differentiation. To test for such patterns, we repurposed and  
32 reanalyzed publicly archived genetic data sets for North American birds and mammals. After  
33 filtering, we had usable raw genotype data from 85 studies and 41,023 individuals, sampled from  
34 1,008 locations spanning 41 mammal and 25 bird species. We used census-based urban-rural  
35 designations, human population density, and the Human Footprint Index as measures of  
36 urbanization and habitat disturbance. As predicted, mammals sampled in more disturbed  
37 environments had lower effective population sizes and genetic diversity, and were more  
38 genetically differentiated from those in more natural environments. There were no consistent  
39 relationships detectable for birds. This suggests that mammal populations living in proximity to  
40 humans can generally be expected to have less capacity to respond adaptively to further  
41 environmental changes, and be more likely to suffer from effects of inbreeding.

42

43 **Keywords:** urbanization, genetic diversity, evolution, mammals

44

45

## 46 **1. Background**

47 Human activities are among the most prominent and efficient drivers of contemporary evolution  
48 (1). In some cases, human-caused evolution in wild populations is well understood and  
49 predictable. For instance, we have a well-founded expectation that populations of pests and  
50 disease agents will respond adaptively to our attempts at controlling them (1). It is also clear that  
51 humans inadvertently alter evolutionary change in wild populations through land use and habitat  
52 degradation (2,3). Whether the indirect effects of human activities on evolutionary change in  
53 species that are not directly targeted by our activities can cause predictable evolutionary  
54 outcomes is poorly understood. We hypothesized that human land use, by limiting population  
55 size and fragmenting habitat, consistently reduces effective population size and genetic diversity  
56 in wild populations leading to increased genetic differentiation. To test this prediction, we  
57 repurposed publicly archived molecular genetic data sets for North American birds and mammals  
58 to test for general relationships between urbanization and the genetic compositions of  
59 populations.

60  
61 Urbanization is one of the most pervasive causes of habitat fragmentation and general landscape  
62 change. In addition to the ~700,000 km<sup>2</sup> occupied by cities (4), nearly 75% of the Earth's land  
63 surface has been modified by humans, primarily in support of city dwellers (5). This human-  
64 caused degradation of the planet's land surface has consistently reduced its capacity to support  
65 wildlife (6). As a result, vertebrate populations have on average declined in size by ~60%  
66 between 1970 and 2014 (6). Reductions in population size at this level should increase the  
67 strength of genetic drift—allele frequency variation due to the random sampling of gametes from  
68 one generation to the next. While genetic drift is a neutral evolutionary process that operates

69 independently of the selective value of alleles, it reduces the efficiency of deterministic  
70 evolutionary processes like selection by causing allele frequencies to randomly deviate from  
71 expected values. When drift is strong relative to selection, random gamete sampling becomes the  
72 predominant cause of allele frequency change. In addition, increased drift can eventually lead to  
73 reduced mean fitness in populations due to inbreeding depression. If wildlife populations living  
74 in proximity to humans generally experience reductions in population size and connectivity, and  
75 thus increased drift, then they may systematically become less genetically diverse than those  
76 living in less disturbed environments. By altering a population's genetic composition in this way,  
77 human-caused environmental change could make evolutionary responses to such change less  
78 efficient.

79

## 80 **2. Predicted genetic consequences of human land-use for birds and mammals**

81 We tested for general relationships between the human modification of terrestrial habitats and  
82 the genetic composition of North American mammals and birds using archived microsatellite  
83 data from 85 studies, including 41,023 individuals, sampled at 1,008 georeferenced sample sites,  
84 spanning 66 species (Table S1, Table S2). In particular, we studied the effects of urbanization  
85 and the human footprint (7). We conducted a systematic search of online repositories for all  
86 available bird and mammal microsatellite data available for North America and applied a series  
87 of filtering steps (see Methods) to build a database of georeferenced neutral genetic diversity in  
88 wild populations. Our approach was made possible due to the accumulation of data in public data  
89 archives, and a still-changing culture of open data in ecological and evolutionary research.  
90 Access to raw data originally generated for unrelated purposes allowed for a particularly  
91 powerful synthetic analysis: we could consistently calculate population genetic parameters of

92 interest for our question, whether or not they were presented in the original publications, and  
93 these calculations are repeatable. In addition, the fact that these data were collected to address  
94 different questions reduces the likelihood that study system selection—perhaps a tendency to  
95 explore evolutionary responses to humans in systems where such responses are expected—  
96 biased our findings.

97

98 We developed our specific predictions for the effects of urbanization on wild populations based  
99 on basic population genetic theory (Fig. 1). Assuming a finite population of constant size with  
100 individuals that randomly mate, die out, and are completely replaced by their offspring each  
101 generation, populations will lose genetic diversity at a rate inversely proportional to population  
102 size. In reality, natural populations always deviate from these assumptions. Fortunately, we can  
103 substitute the concept of effective population size for census population size and the predictive  
104 utility of the theory holds. The effective population size is the size of an idealized population  
105 which conforms to the assumptions above and produces the same rate of drift as observed in the  
106 measured population. We can think of effective population size as a measure of the rate at which  
107 genetic drift causes a population to lose genetic diversity. Nearly all violations of the above  
108 assumptions cause the effective population size to be much lower than the census population  
109 size, underscoring that drift plays a more important role in determining genetic diversity and the  
110 efficiency of selection than what might be expected from census population size alone. Given  
111 that urbanization reduces census population size and fragments populations, we predicted that  
112 the strength of genetic drift would increase with increasing proximity to humans: this would  
113 produce smaller effective population sizes, decreased genetic diversity, and increased genetic  
114 differentiation.

115  
116 We chose to analyze data sets that used neutral microsatellite markers because microsatellites  
117 were the most common molecular marker type available in data repositories, and because the  
118 evolutionary processes that we are interested in are best measured with neutral markers.  
119 Although the number of loci surveyed in microsatellite studies is often small relative to surveys  
120 of genome-wide markers, the typical number of microsatellites used (~10 loci) in fact estimates  
121 genome-wide diversity well with little gain in accuracy with additional genotyping (8). Variation  
122 in microsatellite loci will likely capture recent, fine-scale changes in population structure due to  
123 their high mutation rates and variability. While questions about adaptive genetic variation are  
124 interesting, adaptive diversity is currently more difficult to generally define and interpret than  
125 neutral genetic diversity, and there are still relatively few data sets suitable for this type of multi-  
126 population and multi-species analysis.

127  
128 We tested for effects of urbanization and the human footprint on estimates of four population  
129 genetic parameters calculated for each site (196 bird sites, of which 129 sampled non-migratory  
130 species and were reanalyzed separately, and 812 mammal sites, Figure 2; Table S1). We  
131 estimated contemporary effective population size of the parental generation using a single  
132 sample linkage disequilibrium method to quantify genetic drift (9–11). Of available methods, this  
133 approach is one of the most accurate and is relatively robust to departures from underlying  
134 assumptions about population structure (12). Estimators of effective population size perform  
135 poorly when sampling error swamps signals of genetic drift, and this meant that effective  
136 population size was not estimable at some sites, which we excluded from analysis (see Methods  
137 for details). Gene diversity (13) is a measure of genetic diversity that accounts for the evenness

138 and abundance of alleles, and it is not significantly affected by sample size or rare alleles (14).  
139 We calculated rarefied allelic richness, the number of alleles per locus corrected for sample size,  
140 as a second measure of genetic diversity. To quantify genetic differentiation among sites, we  
141 estimated site-specific  $F_{ST}$  (15).

142

### 143 **3. Modelling strategy**

144 We focused our analyses on the continental United States and Canada due to the historical and  
145 demographic similarities of cities and land-usage in this region (16), and to ensure that species  
146 have had broadly similar exposures to past climate variation (17). We chose three indices of  
147 urbanization and human presence. First, we classified a sample as coming from an urban or rural  
148 site based on United States Census Bureau (18) and Statistics Canada (19) classifications of  
149 urban areas and population centers. Second, we measured human population density at each site,  
150 which may capture aspects of the continuous nature of the effects of human presence that would  
151 not be apparent in the binary urban-rural classification. Lastly, we used the Human Footprint  
152 Index (7) as a measure of human presence because it incorporates data from multiple land use  
153 types including human population density, built-up areas, nighttime lights, land cover, and  
154 human access to coastlines, roads, railways, and navigable rivers.

155

156 Current levels of genetic diversity will reflect many past processes in addition to urbanization  
157 and human-caused environmental degradation more generally. Such processes include exposure  
158 to Pleistocene glaciations as well as species-specific life history traits, such as body mass and  
159 longevity, each of which shape effective population size and thus genetic diversity. Because  
160 exposure to past environments (17,20) and life history trait variation (21) vary spatially, we

161 expect the effects of such processes to create spatial variation in genetic diversity. We can  
162 account for such spatial patterns by including variables describing spatial patterns in genetic  
163 diversity directly in our models, even when the variables themselves are unmeasured (22). This  
164 can be accomplished with distance-based Moran's Eigenvector Maps, or dbMEMs (22–24).  
165 Briefly, dbMEMs are orthogonal spatially explicit eigenvectors that summarize spatial  
166 autocorrelation (Moran's I) patterns in data across all scales. We used dbMEMs that described  
167 spatial variation in our measures of the genetic composition of sample sites in our regression  
168 models to explicitly account for processes causing spatial patterns in the data (24,25). Neutral  
169 genetic diversity also varies with species life history traits which may lack spatial structure (26).  
170 We therefore included species as a random effect in a generalized mixed modeling framework to  
171 capture variation in genetic diversity not already accounted for by dbMEMs.

172

173 We used Bayesian generalized linear mixed models to test for relationships between genetic  
174 diversity and urbanization (27,28). We treated each of our four population genetic parameters  
175 (effective population size, allelic richness, gene diversity, and site-specific  $F_{ST}$ ) as dependent  
176 variables in a series of regression models fit to each urbanization variable (urban-rural, human  
177 population density, and Human Footprint) in separate models that also contained terms for  
178 species as a random effect, and spatial variables (dbMEMs) when they were important  
179 descriptors of spatial patterns in genetic data. Finally, we fit a null model to each population  
180 genetic parameter that contained the random effect for species and spatial variables only. The  
181 defining feature of such hierarchical models is that they are models of models – parameter  
182 estimates and intercepts were estimated for each species and the distribution of these species-  
183 specific estimates allows us to generalize effects of urbanization across species. We fit these



184 models for bird and mammal data independently. Migratory behavior in birds may affect spatial  
185 patterns in genetic diversity depending on where samples were taken, and whether they were  
186 sampled during the breeding season. Therefore, we also ran these models separately for non-  
187 migratory birds only (7 species, 129 sites; Table S1).

188

#### 189 **4. Results and Discussion**

190 Relationships between all measures of urbanization and the genetic composition of mammal  
191 populations were consistently in the predicted directions (see the position of parameter estimates  
192 and the breadth of 95% credible intervals in Fig 3a). Effective population size, allelic richness,  
193 and gene diversity were each negatively related to the measures of urbanization, and sites  
194 sampled in areas with greater human presence were the most genetically differentiated (Fig. 3a;  
195 Table 1). Contrasting these clear relationships, we found no clear evidence for effects of  
196 urbanization and the human footprint on the genetic composition of non-migratory bird samples  
197 when analyzed alone (Fig. 3b; Table 1), or when migratory and non-migratory species were  
198 combined for analyses (Fig. S1).

199

200 To assess model fits we estimated marginal  $R^2$  ( $R^2_m$ ), the variance explained by the fixed effects,  
201 and conditional  $R^2$  ( $R^2_c$ ), the variance explained by both fixed and random effects (29). For  
202 mammals, all models containing indices of human disturbance explained more variation in the  
203 genetic composition of populations than null models (Table 1). Human population density  
204 explained the most variation in each measure of the genetic composition of mammal sample sites  
205 (effective population size:  $R^2_m$  0.28;  $R^2_c$  0.25; gene diversity  $R^2_m$  0.12;  $R^2_c$  0.70; allelic richness

206  $R^2_m$  0.07;  $R^2_c$  0.70;  $F_{ST}$   $R^2_m$  0.22;  $R^2_c$  0.35; Table 1) except allelic richness, where explained  
207 variance was similar among all urban predictors.

208

209 The lack of consistent evidence for genetic effects of urbanization on birds may in part be due to  
210 the limited number of data sets available compared to data availability for mammals and the  
211 features of those species we could use. Data from seven species remained after excluding  
212 migratory species: the California scrub jay (*Aphelocoma californica*), black-capped chickadee  
213 (*Poecile atricapillus*), boreal chickadee (*Poecile hudsonicus*), barn owl (*Tyto alba*), cactus wren  
214 (*Campylorhynchus brunneicapillus*), spotted owl (*Strix occidentalis*), and Ridgway's rail (*Rallus*  
215 *obsoletus*). These species have distinctive ecological and life history traits which vary such that  
216 we might not expect to find consistent effects of human presence for these data. The first four are  
217 human commensals whose population sizes may be increased in proximity to humans, while the  
218 Ridgway rail and spotted owl are specialized to California salt marshes and old growth forests,  
219 respectively, and thus may respond negatively to human presence. However, we might also  
220 expect differences in movement ability between birds and mammals to alter patterns of genetic  
221 diversity with regards to human land use. Cities and their surrounding areas are characterized by  
222 disjoint patches of habitat interspersed among paved surfaces, buildings, and grassy or  
223 agricultural areas (30). Birds' ability to fly may buffer against the effects of habitat  
224 fragmentation and allow for gene flow from undisturbed populations (31) in situations where  
225 mammal movements would be more restricted. Indeed, a global analysis of 57 mammal species  
226 found that the movements of individuals living in areas with a high Human Footprint Index were  
227 considerably reduced relative to those in less disturbed areas (32), which suggests that  
228 fragmentation could underlie the patterns we detect in mammals. The continued accumulation of

229 data, and more in-depth analyses are needed to be able to adequately test this hypothesis in birds.

230 Our results for birds should be treated as preliminary, and perhaps suggestive of more species-  
231 specific rather than general relationships between genetic diversity and human altered lands.

232

233 We currently know very little about the general spatial patterns of intraspecific genetic diversity.

234 There have been some synthetic spatial analyses of raw mitochondrial DNA (mtDNA) sequence

235 variation (33,34), but to our knowledge there are no other syntheses of spatial patterns in nuclear

236 DNA variation similar to ours. We detected broad spatial patterns in genetic diversity, and

237 controlled for them with our spatial dbMEM variables. Miraldo et al. (33) and Millette et al. (34)

238 reanalyzed raw mtDNA sequence data at global scales across multiple taxa to assess spatial

239 patterns of variation in sequence diversity, and to explore their relationships with measures of

240 human disturbance. These studies arrived at contradictory results. Miraldo et al. (33) looked at

241 sequence variation in two mitochondrial genes in mammals, *cytochrome b* and *cytochrome*

242 *oxidase subunit I*, and found that while *cytochrome oxidase subunit I* genetic variation increased

243 in less disturbed environments, *cytochrome b* variation was not obviously related to human

244 disturbance. Millette et al.'s more recent work (34) spanned more taxa, including both birds and

245 mammals, and examined variation across spatial scales as well as temporal variation in genetic

246 diversity as measured at *cytochrome c oxidase subunit I*. Interestingly, they detected no

247 overarching trend for a loss of genetic diversity associated with proximity to humans (measured

248 by human population density), and no systematic decline in diversity through time. They found

249 considerable spatial, temporal, and taxonomic variation in diversity trends.

250

251 How can we explain our results in light of this previous work? Our first thought is that  
252 differences could be due to the lack of relationship between mtDNA diversity and population  
253 size (35). Habitat fragmentation and reduced population sizes are hypothesized to be the leading  
254 mechanisms causing reduced genetic diversity – if these processes are not captured well by these  
255 markers, trends may be difficult to detect. Additionally, the studies by Miraldo et al. (33) and  
256 Millette et al. (36) were global in scope. This is certainly a strength of their work, but underlying  
257 spatial differences may be harder to detect and control for at this scale. By focusing on North  
258 America, we attempted to control for variation in the timing and nature of disturbances that  
259 would otherwise be difficult at a global scale. Taken together, it is clear that there are interesting  
260 spatial trends in intraspecific genetic variation, and the exploration of their underlying causes  
261 warrants further study.

262  
263 Urbanization and the broader human footprint are leading causes of the current high rates of  
264 species and population-level biodiversity losses (37,38). The population genetic patterns we  
265 detected reflect patterns in genome-wide nuclear genetic diversity that are ultimately the result of  
266 disturbances related to human presence at the ecosystem, community, and population levels. The  
267 consistent effects for mammals across our three measures of human disturbance suggest that this  
268 pattern is not confined to just urban spaces – human land use is an issue for the genetic diversity  
269 of species in general. This has considerable importance for understanding the current nature and  
270 future consequences of biodiversity loss. While monitoring individuals within populations is the  
271 best tool for detecting population trends and assessing risk, such direct monitoring of many  
272 species is not logistically possible. Our results suggest that calls for intraspecific genetic  
273 monitoring programs are warranted and feasible (39). A relatively small number of genetic

274 markers reflects genome-wide diversity well (8) and is capable of detecting the effects of human  
275 presence. In fact, publicly archiving data with publications, originally intended to ensure data  
276 posterity, safe-keeping, and research reproducibility, could be better utilized for this task. Access  
277 to raw molecular data sets will continue to increase for the foreseeable future, and can be used  
278 for monitoring regardless of the original purpose. However, for this to be a useful component of  
279 genetic monitoring, more researchers will need to adhere to the standards and best practices for  
280 data sharing to maximize reusability (40,41). This includes using standardized file and metadata  
281 formats that are clearly communicated in data package metadata, and including all relevant  
282 methodological information. In the data searches presented here, a majority ( $192/313 = 61\%$ ) of  
283 datasets were excluded because they did not meet our study criteria (Data S1). However, an  
284 additional 36 datasets were excluded for reasons associated with difficulty accessing or  
285 interpreting data (Data S1): for example, not being able to download files (i.e., only metadata  
286 was available, or only select datasets were deposited), or unclear methodological detail (i.e., no  
287 species designations, delineation between study groups was unclear, or lack of spatial reference).  
288 We were able to resolve such issues in many cases by contacting the authors, however this might  
289 not always be practical for larger studies and limits the ability to automate the data collection  
290 process.

291  
292 Relative to populations in more natural environments, mammal populations in proximity to  
293 humans have a reduced capacity to spread beneficial alleles in response to selection pressures,  
294 have reduced genetic diversity which can reduce mean population fitness (42,43), and are more  
295 genetically isolated from natural populations. We are extensively and irreversibly creating  
296 environmental change while simultaneously reducing the capacity of some populations to evolve

297 in response. Reducing fragmentation and facilitating population connectivity are therefore key to  
298 preserving genetic diversity in mammals. Current estimates suggest that by 2050, just 10% of the  
299 planet's surface will be unaltered by humans (6). Land transformation processes are eroding  
300 genetic diversity in mammals, compounding direct effects of habitat loss in a way that threatens  
301 the long-term existence of populations that persist.

302

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310

### 311 **Author contribution statement**

312 CS, RPK, JB, and CJG conceived of the study. CS and CJG designed the study and wrote the  
313 first draft of the manuscript. CS built the data set and conducted the statistical analysis with input  
314 from CJG. MD harvested raw data from repositories and built tools for data manipulation. All  
315 authors contributed to data interpretation and editing of subsequent manuscript drafts.

316

### 317 **SI**

### 318 **Methods**

### 319 Microsatellite data compilation

320 Our dataset was comprised of bird and mammal microsatellite data collected from publicly  
321 archived, previously published work (Table S2). To create this dataset, we conducted two  
322 systematic searches of online databases (Figure S3). We obtained a list of species names for 859  
323 birds and 450 mammals native to Canada and the United States from the IUCN Red List  
324 database which includes all species regardless of Red List status. We then queried the Dryad  
325 Digital Repository in February 2018 using a python script with the following search terms:  
326 species name (e.g. “*Branta canadensis*”), “microsat\*”, “single tandem\*”, “short tandem\*”, and  
327 “str”. This search yielded 194 unique data packages associated with papers. A second search was  
328 performed in May 2018, this time querying DataOne.org, a network which provides access to  
329 data from multiple repositories such as Dryad, the Knowledge Network for Biocomplexity  
330 (KNCB), and the United States Geographic Survey (USGS). This search was conducted in R using  
331 the dataone package (44), a convenient method of querying the DataOne network. Using  
332 identical keywords, 237 unique results were generated, 121 of which overlapped with our first  
333 search (Figure S3, Data S1).

334 All data sets were then individually screened for suitability, ensuring: location (Canada and the  
335 United States), taxon (native birds and terrestrial mammals), data type (neutral microsatellite  
336 markers), and georeferenced sampling (coordinates, maps, or place names). Studies with other  
337 factors which may have influenced genetic diversity (e.g. island sites, genetic rescue,  
338 translocation, managed or captive populations) were excluded. In total, data from 85 studies were  
339 retained for analysis. In a final step, we assured individual sample sites within datasets adhered  
340 to our study criteria, and removed those which did not. We maintained the same sample site  
341 delineations as in the original work. Criteria for removal from a dataset included island,

342 managed, or captive populations; sites outside of Canada and the United States; and historical  
343 samples (where identified). Sites for which we were unable to extract geographic information  
344 were also removed, as well as sites with <5 individuals. Any non-neutral microsatellite markers  
345 in the data were removed. Next, unique names were assigned to each site, and all datasets were  
346 formatted as either STRUCTURE or GENEPOP files and read into R version 3.4.2 (45) using  
347 the adegenet package (46).

348

#### 349 Geographic site locations

350 Geographic coordinates provided by the authors were used when available (Table S2). Where  
351 spatial location was available for each individual sampled, coordinates were averaged. If site  
352 names were provided (e.g. “Yellowstone National Park”) with no coordinate reference, we  
353 performed a Google Maps search and noted the resulting coordinates. Where applicable,  
354 coordinate information was obtained by searching for site names in the Geographic Names  
355 Information System (GNIS) or GeoNames database, as was the case for a few datasets from the  
356 USGS. In instances where only maps of sampling sites were available, site coordinates were  
357 extracted using a reference map in ArcMap version 10.3.1 (ESRI). When georeferencing map  
358 images, if sampling locations indicated regions rather than single points, centroid coordinates  
359 served as the site location. Centroid coordinates were also calculated as site location for data  
360 accompanied by polygon shapefiles as a spatial reference. All coordinates were recorded using  
361 the WGS84 (World Geodetic System 1984) coordinate system in decimal degrees, and  
362 transformed from other systems or map projections in ArcMap as needed. Finally, when site  
363 locations were offshore (42 sites), points were moved to the nearest terrestrial location using the  
364 Generate Near Table tool in ArcGIS. Offshore sites (those located in bodies of water) were



365 moved to avoid generating null values for population density and the Human Footprint Index—  
366 both of which are high-resolution terrestrial maps which do not extend far past the coast. In some  
367 instances, offshore sites were recorded as thus in the original publication, while other times they  
368 were generated during the process of obtaining a single location for a site (e.g. the average  
369 location of individual coordinates, or centroid location, was in a body of water). Polar bear sites  
370 in the Arctic Archipelago constituted half of all offshore sites, while the remainder were coastal  
371 species and species sampled near lakes or oceans.

372

### 373 Genetic diversity estimates

374 We chose to measure gene diversity (13) and allelic richness for each site as measures of genetic  
375 diversity. Gene diversity uses allele frequencies to determine the probability that pairs of alleles  
376 drawn at random from a population are different, and accounts for both the number and evenness  
377 of alleles. This measure is minimally affected by sample size and rare alleles (14), and thus is  
378 convenient to use when sample sizes are variable, as is the case here. Gene diversity was  
379 calculated using the adegenet package (46). Allelic richness, the number of alleles per locus, is  
380 strongly influenced by sample size and effective population size. To account for differences in  
381 sample size, we used rarefaction as employed in the R package hierfstat (47) to standardize allele  
382 counts to the minimum sample size ( $n = 5$  individuals) across sites (48). Values were then  
383 averaged across loci to obtain a single value per site.

384

### 385 Effective population size estimates

386 We estimated site-specific contemporary effective population sizes using the linkage  
387 disequilibrium method for single samples implemented in the software NeEstimator 2.1 (11).

388 The presence of rare alleles produces an upward bias when estimating effective population size  
389 which is especially apparent at small sample sizes (49). We therefore set a conservative  
390 exclusion threshold ( $P_{\text{crit}}$ ) of 0.1, meaning estimates are made based only on alleles with  
391 frequencies higher than this value, which has been shown to markedly reduce bias (49). Linkage  
392 disequilibrium methods work well for estimating effective population sizes in small populations,  
393 however are less reliable for large populations (50). An estimate of infinity is returned when  
394 sampling error swamps detectable signals of genetic drift—which may be the case if too few  
395 individuals or loci were sampled to yield any useful information about effective population size.  
396 In these instances, rather than replacing infinity values with arbitrary large values, we chose to  
397 exclude all sites for which we were unable to estimate effective population size.

398

#### 399 Population-specific $F_{ST}$

400 To estimate levels of population differentiation in relation to human disturbance, we measured  
401 population-specific  $F_{ST}$  (15). Population-specific  $F_{ST}$  characterizes differentiation using the  
402 proportion of pairs of matching alleles within populations (the probability of identity by descent)  
403 relative to that of pairs from different populations. It can be interpreted as a measure of how far  
404 single populations have diverged from a common ancestor population. This measure differs from  
405 pairwise  $F_{ST}$  estimates (51) in that it provides a measure of population differentiation for a single  
406 population, as opposed to a single value for population pairs. Using a population-specific  
407 estimator of structure allows us to make comparisons between populations of different species.  
408 Population-specific  $F_{ST}$  was calculated in R using hierfstat (47), and values were averaged across  
409 loci. Because population-specific  $F_{ST}$  calculations still use comparisons of pairs of alleles

410 between populations, it could only be measured for species with two or more sample sites.

411 Sample size was slightly decreased when this condition was not met (Table 1).

412

### 413 Measures of urbanization and human presence

414 *Urban-rural classification.* Our next step was to define urban habitats in North America. The  
415 United States Census Bureau and Statistics Canada provide publicly available maps of urban  
416 areas and population centers, respectively (18,19). According to the US Census Bureau, an urban  
417 area is defined as any densely developed territory with at least 2500 inhabitants. Statistics  
418 Canada defines a population center as any area with a minimum population of 1000, and a  
419 population density of 400 persons or more per square kilometer. We considered these  
420 international designations of urbanization to be comparable. Canadian and American urban area  
421 maps were downloaded as polygon GIS layers and merged into a single layer. Site coordinates  
422 were transformed from WGS84 to the same projection as the urban area maps (GCS North  
423 American 1983) in ArcMap to ensure correct alignment. A spatial join was then performed  
424 between sites and the urban area layer in order to classify sample locations as “urban” or  
425 “nonurban”. The search radius parameter was set to 10 km to encompass the entire urban  
426 gradient, and account for sprawl. Periurban landscapes which are adjacent to cities may be less  
427 densely inhabited, however often encompass areas highly managed or disturbed by humans  
428 including farmland, parks, and golf courses; in larger cities, periurban landscapes may extend up  
429 to 10 km away from the city center (52). Thus, any site located in, or within 10 km of, an urban  
430 area was considered “urban” for the purposes of this study.

431 *Human population density.* Human population density was used as a proxy of urbanization and  
432 human effects on the environment. In contrast to our binary urban-rural designation of sample

433 sites, human population reflects the continuous distribution of the effects of human presence, and  
434 thus should indicate the intensity of the effects of human activity on genetic diversity. A raster  
435 map of global population density per square kilometer was obtained for the most recent available  
436 year (2000) from NASA's Center for Near Earth Object Studies  
437 ([https://neo.sci.gsfc.nasa.gov/view.php?datasetId=SEDAC\\_POP](https://neo.sci.gsfc.nasa.gov/view.php?datasetId=SEDAC_POP)). Next, the raster map and  
438 shapefile containing sites as point features were read into R (package `rgdal` and `raster`; Bivand et  
439 al. 2017, Hijmans 2017). Mean population density was calculated within a 10 km buffer zone  
440 around each site.

441 *Human Footprint Index*. The Global Human Footprint Index (7,55) quantifies human influence  
442 on a scale of 0 (most wild) to 100 (most transformed) at a 1 km<sup>2</sup> resolution. It provides a more  
443 comprehensive assessment of the effects of humans than urban-rural designations or population  
444 density alone because it incorporates data from multiple sources of land use. In particular, it  
445 captures human population density, human land use and infrastructure (built-up areas, nighttime  
446 lights, land use, and land cover), and human access (coastlines, roads, railways, and navigable  
447 rivers). As with the raster map of population density, the Human Footprint Index was imported  
448 to R and values per site (within a 10 km buffer zone) calculated using the same method.

449

#### 450 Statistical analysis

451 We modelled birds and mammals separately because we expected them to respond to human  
452 disturbance in fundamentally different ways. Within birds, we further classified each species as  
453 migratory or non-migratory using information from species accounts in The Birds of North  
454 America (56). We then created a separate data subset comprised of only non-migratory species

455 which was analyzed in parallel. Species with a mix of migratory and resident populations were  
456 counted as migratory and excluded, as were species with unknown migratory behavior.  
457  
458 Genetic diversity is also affected by regional historical contingencies which would be difficult to  
459 specifically identify without detailed knowledge of each species and region in our data set (20).  
460 Such events will, however, produce spatial patterns in our genetic measures. These spatial  
461 patterns are detectable and can be controlled for—even if their causes are unknown—using  
462 distance-based Moran’s Eigenvector Maps (dbMEMs) (22–24). The dbMEM analysis we used  
463 (R package *adespatial* (57)) is a type of eigenanalysis based on principal coordinates analysis  
464 which produces a set of spatially explicit variables, dbMEMs, that quantify spatial trends at  
465 multiple scales. Because they are orthogonal, dbMEMs can subsequently be included in  
466 regression analyses to explicitly model spatial patterns (57). In the first steps of dbMEM  
467 analysis, a modified matrix of distances between pairs of sites is calculated from site coordinates.  
468 The eigenvalues of this matrix are proportional to Moran’s I coefficients of spatial  
469 autocorrelation (24,58). Importantly, only positive eigenvalues are considered because negative  
470 eigenvalues generate complex principal coordinate axes (59). dbMEMs therefore correspond to  
471 positive values of Moran’s I, and can account for positive spatial autocorrelation present in the  
472 data. Positive spatial autocorrelation occurs when sites nearer to each other are more similar than  
473 sites further away, and violates the assumption of independence in our statistical tests. Before  
474 undertaking dbMEM, any linear trends in the response variables were removed. Although  
475 dbMEM analysis is capable of detecting linear spatial gradients, dbMEMs used to model such  
476 trends then cannot be used to recover other, potentially more interesting spatial patterns (23).  
477 dbMEM analyses were run in parallel for measures of genetic diversity (gene diversity and

478 allelic richness), population-specific  $F_{ST}$ , and effective population size. We were able to calculate  
479 gene diversity and allelic richness for all sites, however, removed sites where effective  
480 population size was infinite and sites where population-specific  $F_{ST}$  could not be computed. To  
481 capitalize on available data, we created subsets for genetic diversity, population-specific  $F_{ST}$ , and  
482 effective population size, omitting rows where the focal variable(s) had null values. For each  
483 taxon we thus had 3 data subsets: one for gene diversity and allelic richness, which included all  
484 sites; population-specific  $F_{ST}$ ; and effective population size (Table 1). To select dbMEMs for  
485 inclusion in regression analyses, we used forward selection with a p-value criterion ( $\alpha =$   
486 0.05) in the SignifReg package (60).

487  
488 *Testing effects of human presence on genetic diversity.* To test for the effects of human  
489 disturbance on genetic diversity, and to determine whether alternate proxies of urbanization  
490 would yield similar results, we constructed four linear mixed models per response variable  
491 (effective population size, gene diversity, allelic richness, and population-specific  $F_{ST}$ ). Three of  
492 these models included spatial dbMEMs and a measure of human presence as explanatory  
493 variables: (1) urban-rural category, (2) human population density, and (3) Human Footprint  
494 Index. The fourth model consisted of dbMEMs only, or, where no dbMEMs were significant,  
495 was a null model (Table 1). Species was included as a random effect in all models to account for  
496 species-level variation in genetic diversity, effective population size, and population-specific  $F_{ST}$ .  
497 The random species effect also accommodated potential variation in the level of species'  
498 responses to human-caused environmental degradation (random slope models). Random effects  
499 account for non-independence of samples within groups and increase the accuracy of parameter  
500 estimation (61). We fit these models in a Bayesian framework using the R package brms (28)

501 which fits models using Stan. We used default priors (uniform distribution over all real numbers)  
502 for parameter estimates with 4000 iterations after discarding warm-up runs (1000 iterations). In  
503 cases where models did not converge, we first increased the number of iterations or warmup  
504 period (*mammals*: allelic richness ~ population density: 5000 iterations, 5000 warmup; *birds*:  
505  $F_{ST}$  ~ population density, 5000 iterations, 4000 warmup; *non-migratory birds*: allelic richness ~  
506 urban category, 4000 iterations, 2000 warmup; allelic richness ~ population density, 4000  
507 iterations, 4000 warmup;  $F_{ST}$  ~ population density, 4000 iterations, 2000 warmup). If  
508 convergence issues persisted we restricted priors to a uniform distribution bounded at -10 and 10  
509 (*birds*  $F_{ST}$  ~ population density and non-migratory, and *birds* allelic richness ~ population  
510 density). Lastly, we computed marginal and conditional Bayesian  $R^2$  to evaluate and compare  
511 model fits using the performance package (62).

512

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- 649
- 650

651 **Figure and table legends**

652

653 **Figure 1.** Urbanization is expected to cause smaller effective population sizes, lower genetic  
654 diversity, and increased population differentiation in comparison to natural habitats (a). As  
655 habitats become increasingly urbanized, they experience greater fragmentation (b), resulting in  
656 smaller patch sizes with lower connectivity. Smaller patches limit supportable population sizes  
657 wherein genetic drift becomes the predominant evolutionary force and movement between  
658 patches in urbanized areas (black circles) becomes difficult, reducing gene flow.

659

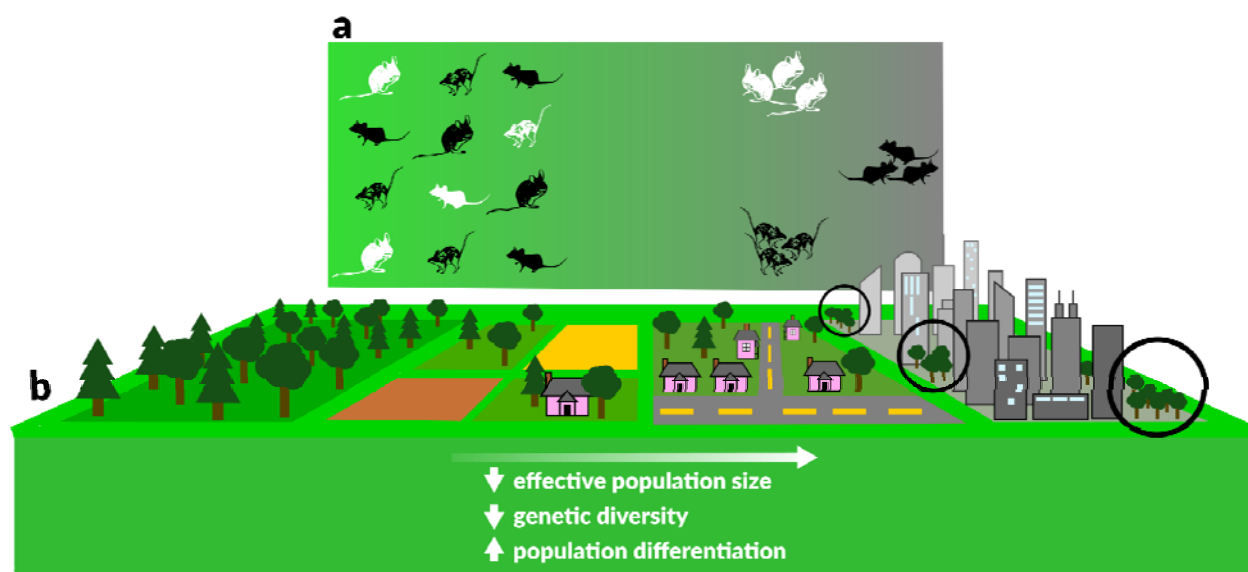
660 **Figure 2.** Map of 1,008 sample sites for the 66 mammal and bird species native to North  
661 America examined in this study. 812 sites were mammals (black points) and 129 birds (white  
662 points). Using microsatellite markers, we calculated effective population size, gene diversity,  
663 allelic richness, and population-specific  $F_{ST}$  for each site.

664

665 **Figure 3.** GLMM coefficients for fixed urban and human disturbance effects in mammals (top),  
666 and non-migratory birds (bottom; for bird results including migratory species, refer to SI Fig. 1  
667 and SI Table 2). Open circles represent coefficient estimates, bold lines are 90% credible  
668 intervals, and narrow lines are 95% credible intervals. Sample size differed between variables,  
669 i.e. sites where effective population size was not calculable were excluded, and calculation of  
670 population-specific  $F_{ST}$  for all sites within a study required at least two sample sites. Mammals:  
671 effective population size  $n = 639$ ; gene diversity and allelic richness  $n = 812$ ;  $F_{ST}$   $n = 795$ . Birds  
672 (non-migratory): effective population size  $n = 87$ ; gene diversity and allelic richness  $n = 129$ ;  
673  $F_{ST}$   $n = 128$ .

674 **Table 1.** Model summaries for mammals, non-migratory birds, and all birds. Four models were  
675 constructed per response variable, each including one of three proxies of urbanization: urban-  
676 rural category, human population density (popden), and Human Footprint Index (HFI). The  
677 fourth model did not include any measure of urbanization and had only dbMEMs as fixed effects  
678 (spatial model), or, where no dbMEMs were selected, a null model. Coefficient of variation,  $R^2$ ,  
679 values are an indicator of model fit; marginal  $R^2$  describes the proportion of variation explained  
680 by fixed effects, while conditional  $R^2$  is the variation explained by both fixed and random effects.

681 **Figure 1**





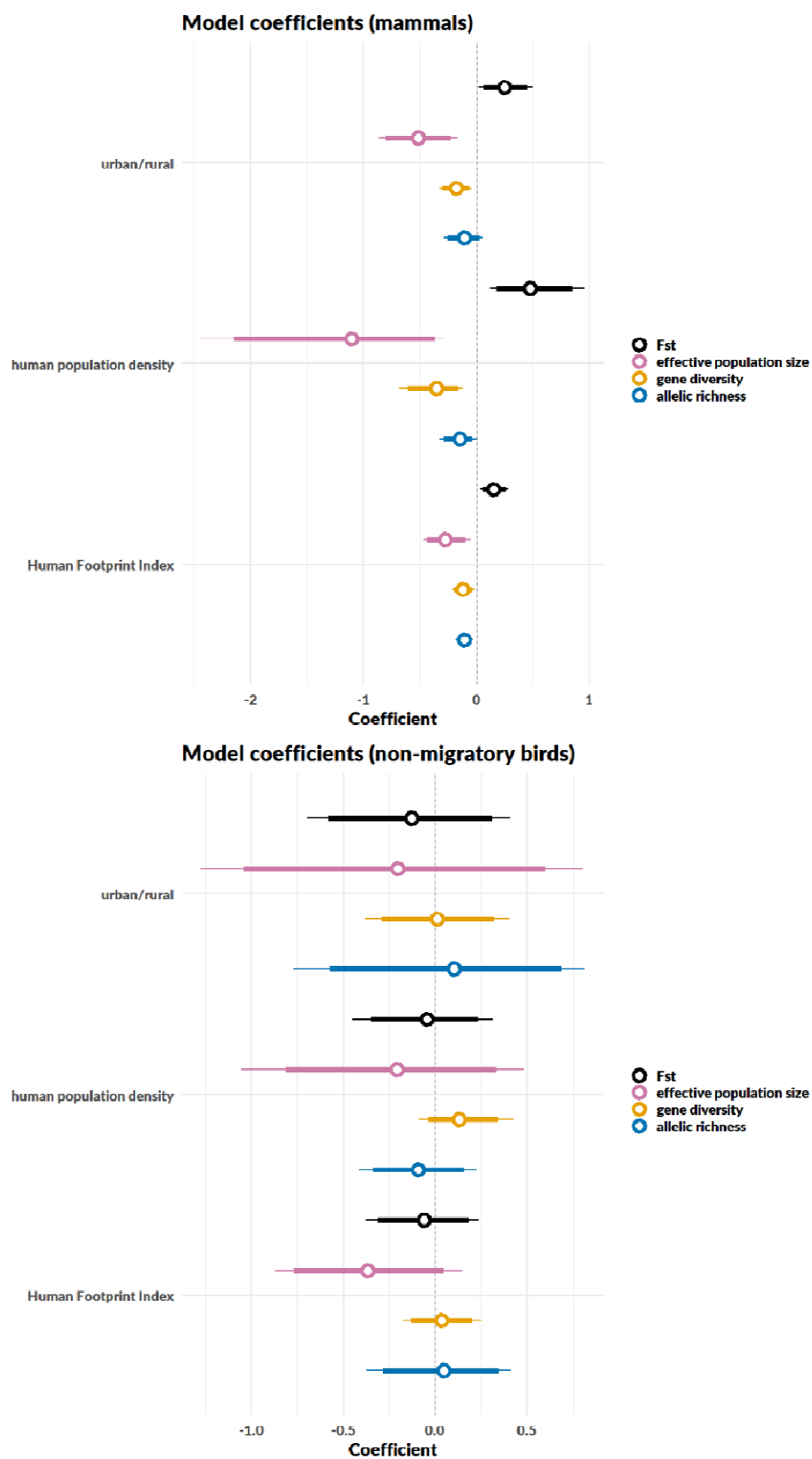
684 **Figure 2**



685

686

687 **Figure 3**



688

**Table 1**

Class	variable	sites	Fixed effects		coefficient	95% CI		Marginal $R^2$	Conditional $R^2$	
			dbMEMS	covariate		lower	upper			
<b>Mammals</b>	effective population size	639	5							
				urban-rural	-0.51	-0.87	-0.16	0.04	0.24	
				popden	-1.10	-2.44	-0.28	0.28	0.25	
				HFI	-0.27	-0.47	-0.05	0.05	0.25	
				none	--	--	--	0.01	0.22	
	gene diversity	812	13							
				urban-rural	-0.18	-0.33	-0.04	0.04	0.69	
				popden	-0.35	-0.68	-0.12	0.12	0.70	
				HFI	-0.12	-0.21	-0.02	0.04	0.70	
				none	--	--	--	0.03	0.69	
	allelic richness	812	21							
				urban-rural	-0.11	-0.29	0.05	0.07	0.69	
				popden	-0.15	-0.33	0.00	0.07	0.70	
				HFI	-0.11	-0.18	-0.03	0.07	0.70	
				none	--	--	--	0.06	0.69	
	$F_{ST}$	795	10							
urban-rural				0.25	0.02	0.50	0.10	0.33		
popden				0.47	0.11	0.96	0.22	0.35		
HFI				0.15	0.04	0.28	0.11	0.34		
none				--	--	--	0.09	0.32		
<b>Birds (non-migratory)</b>	effective population size	87	0							
				urban-rural	-0.20	-1.27	0.80	0.01	0.20	
				popden	-0.21	-1.05	0.49	0.03	0.20	
				HFI	-0.37	-0.87	-0.15	0.07	0.22	
				none	--	--	--	0.00	0.19	

	gene diversity	129	3	urban-rural	0.01	-0.38	0.41	0.03	0.80
				popden	0.13	-0.09	0.42	0.03	0.80
				HFI	0.04	-0.18	0.25	0.03	0.80
				none	--	--	--	0.02	0.80
	allelic richness	129	0	urban-rural	0.10	-0.77	0.82	0.02	0.24
				popden	-0.09	-0.42	0.23	0.01	0.17
				HFI	0.05	-0.37	0.41	0.02	0.22
				none	--	--	--	0.00	0.16
	F <sub>ST</sub>	128	2	urban-rural	-0.13	-0.70	0.41	0.06	0.13
				popden	-0.05	-0.45	0.31	0.06	0.13
				HFI	-0.06	-0.38	0.24	0.06	0.13
				none	--	--	--	0.04	0.10
<hr/>									
<b>Birds (all)</b>	effective population size	125	1	urban-rural	-0.18	-0.85	0.50	0.08	0.17
				popden	-0.20	-0.74	0.31	0.10	0.17
				HFI	-0.12	-0.48	0.30	0.08	0.18
				none	--	--	--	0.06	0.15
	gene diversity	196	2	urban-rural	-0.03	-0.20	0.15	0.00	0.90
				popden	0.04	-0.07	0.15	0.00	0.90
				HFI	-0.03	-0.05	0.07	0.00	0.90
				none	--	--	--	0.00	0.90
	allelic richness	196	1	urban-rural	0.20	-0.27	0.62	0.03	0.35
				popden	-0.03	-0.24	0.20	0.02	0.29
				HFI	0.11	-0.12	0.32	0.03	0.33

F <sub>ST</sub>	190	1	none	--	--	--	0.01	0.30
			urban-rural	0.00	-0.35	0.34	0.02	0.05
			popden	0.04	-0.22	0.34	0.02	0.05
			HFI	0.08	-0.12	0.31	0.03	0.07
			none	--	--	--	0.01	0.04