Title:

Structured connectivity exploits NMDA non-linearitites to induce dimensionally diverse responses in a PFC circuit

Authors:

Stefanou S. Stefanos[1,2], Athanasia Papoutsi[1], Panayiota Poirazi[1,3,*]

Affiliations:

[1]*Institute of Molecular Biology and Biotechnology (IMBB), Foundation for Research and Technology-Hellas (FORTH), Heraklion, Crete, 70013, Greece*
[2] *Department of Biology, University of Crete, Heraklion, Crete, 70013, Greece*

Author list footnotes:
[3]Lead Contact
*Correspondence: *poirazi@imbb.forth.gr*

Summary:

Prefrontal Cortex (PFC) exerts control on action selection and mediates behavioral flexibility that is imperative during working memory, when integration and retention of stimuli takes place. During a working memory task, neurons of the PFC rapidly modify their response properties in a context-dependent manner. Could this flexible tuning be mediated by temporary connectivity states, supported by single-cell mechanisms? Are these states bound by the underlying network connectivity? Indeed, pyramidal neurons of the PFC are characterized by prominent non-linear dendritic responses and participate in hyper-reciprocal networks. This work probes these questions using a detailed, PFC model network equipped with nonlinear synaptic mechanisms. The model reproduces a wide range of experimental findings with respect to the response properties of individual cells and the dynamic population responses. We propose that PFC neurons dynamically form functional assemblies by transiently recruiting non-linear dendritic phenomena, shaping a robust yet flexible population response.

Keywords: prefrontal, working memory, dendritic integration, NMDA, behavioral flexibility, assemblies, dimensionality

Introduction

Working memory (WM) is the ability to maintain behaviorally relevant stimuli for a short period of time and to integrate them towards a choice/action. Seminal WM studies observed that single-cell neuronal activity increases during the presentation of a to-be-maintained item (stimulus epoch) and persists throughout the blank 'post-stimulus' interval (post-stimulus epoch). This activity bridges the temporal gap between the item presentation and the subsequent contingent response (Funahashi, Bruce, & Goldman-Rakic, 1989; Fuster & Alexander, 1971), constitutes a basic component of decision making and is involved in the top-down modulation of behavior (Van Kerkoerle, Self, & Roelfsema, 2017). The above findings form the backbone of the so-called fixed selectivity framework that has dominated the WM field for many decades. According to this framework, selective tuning of neurons or neuronal populations to the to-be-remembered information can be explained by the formulation of stable points, based on the fine-tuning of the respective network connections, whereby individual neurons fire consistently to specific stimuli, both during the stimulus and the post-stimulus epoch (Renart, Song, & Wang, 2003; Wimmer, Nykamp, Constantinidis, & Compte, 2014).

Recent electrophysiological findings challenge this framework, noting that the dynamics underlying WM in the PFC are more diverse than previously thought. First, individual neurons display time-varying discharge rates (Bernacchia, Seo, Lee, & Wang, 2011; Brody, Hernández, Zainos, & Romo, 2003; Jun et al., 2010; Shafi et al., 2007), leading to the hypothesis that the tuning properties of neurons change throughout the task duration. Second, individual PFC neurons can be selective for a broad range of task variables, exhibiting thus a 'mixed selectivity' (Rigotti et al., 2013). Third, at the population level, the stimulus-induced network activity decays after the

stimulus offset and emerges later with a different population activity pattern (Stokes et al., 2013)

yet containing a stable representation of the to-be-remembered item (Murray et al., 2017).

Single-neuron dynamic behavior and mixed selectivity has thus far been implemented using

recurrent neural networks with random connectivity and non-biological plausible training of either

the weights of the readout neuron or of the whole network (Barak, Rigotti, & Fusi, 2013; Barak,

Sussillo, Romo, Tsodyks, & Abbott, 2013; Mante, Sussillo, Shenoy, & Newsome, 2013), thus

constraining their biological- relevant predictions. In contrast to the training required by these

approaches, it has been shown that PFC circuits typically utilize short-term dynamic mechanisms

that renders them flexible (Bouchacourt & Buschman, 2019; Fujisawa, Amarasingham, Harrison,

& Buzsáki, 2008). Finally, the representational shift at the population level from the sensory

towards a memory state has thus far been attributed to either the dynamic behavior of a randomly

connected recurrent neuron network (Bouchacourt & Buschman, 2019) or to short-term facilitation

mechanisms (Barak, Tsodyks, & Romo, 2010). Both approaches depend on the implementation of

distinct populations of sensory and memory neurons. Yet, selection and integration are

implemented by the same PFC circuit, with both representations being present at the single neuron

level (Mante et al., 2013). In this case, the population activity shift indicates integration of an

incoming stimulus with its internal state that depends on short-term dynamics (Buonomano &

Maass, 2009).

At these short-term time scales, it remains an open question how a recurrently connected network

utilizes a rapid mechanism that renders it able a) to perform both selection and integration b) to be

highly dynamic at the single neuron level yet c) stable and separable at the population level.

Interestingly, theoretically work suggests that the highly non-random connectivity of pyramidal

neurons, characterized by distance-dependent formation of neuronal clusters and over-represented

structural 'motifs' (structured connectivity) (Perin, Berger, & Markram, 2011; Song, Sjöström, Reigl, Nelson, & Chklovskii, 2005), is optimal for storing a large number of attractor states in a robust fashion (Brunel, 2016). In the PFC in particular, layer 5 (L5) pyramidal neurons have almost double the reciprocal connections of other cortical regions, forming hyper-reciprocally connected circuits (Morishima & Kawaguchi, 2006; Morishima, Morita, Kubota, & Kawaguchi, 2011; Y. Wang et al., 2006). This hyper-reciprocity could be a major player in the formation of multiple, transient, assemblies in the PFC. In addition to connectivity features, the NMDA receptor has been proposed to be a key mechanism for the formation of stable attractor dynamics (Durstewitz, Seamans, & Sejnowski, 2000; X.-J. Wang, 1999). The non-linearity and slow kinetics of the NMDA receptor makes it an alternative candidate to the short-term plasticity mechanisms, that can contribute to the activity-dependent selectivity and storage of the sensory input. In support, theoretical work proposed that NMDA-dependent dendritic non-linearities underlie the expression of memory states in small cell assemblies (Papoutsi, Kastellakis, & Poirazi, 2017; Papoutsi, Sidiropoulou, & Poirazi, 2014).

In this work we propose that structured connectivity coupled to NMDA nonlinearities enable PFC neurons to rapidly modify their response properties so as to form flexible representations of different inputs. To test this proposition, we use detailed biophysical models of PFC neuronal networks that are heavily constrained and show that they reproduce multiple types of experimental data. We find that NMDA nonlinearities of L5 PFC neurons are exploited by the structured connectivity profile in order to implement multiple flexible memoranda with the form of robust network activity trajectories. These trajectories maintain WM information in a dynamic way at the single neuron level, while also exhibiting the representation shift between the stimulus and memory epochs and maintain robust memoranda over time at the population level.

Results

The PFC network model reproduces single neuron and population dynamics.

We developed a compartmental model network of the PFC, able to reproduce both the dynamic

single neuron firing and the representational shift from the stimulus to the post-stimulus epoch at

the population level. The network consisted of 250 biophysically detailed and morphologically

simplified L5 PFC pyramidal neurons (PC) and 83 fast spiking interneurons (FS). Single neuron

models were validated against experimental data with respect to both active and passive

electrophysiological properties (Table S1). Basal dendrites of pyramidal neurons supported

NMDA-dependent non-linear integration, as found experimentally (Figure 1A, Table S2). The

PFC network was described by a non-random connectivity matrix, in congruence with available

experimental data (Figure S1, Tables S3, 4). Specifically, we set the PC pair connection probability

to be (a) distance-dependent and (b) local clustering dependent, based on the common neighbor

rule (Perin et al., 2011; Song et al., 2005; Y. Wang et al., 2006). We then rearranged the PC-PC

connections to achieve the high reciprocity ratio (47% of total PC-to-PC connections, given that

there is a connection) found specifically in the PFC (Morishima et al., 2011; Y. Wang et al., 2006).

FS interneurons were connected to PCs in a sparse and distance-dependent way (Packer & Yuste,

2011), respecting the connectivity reciprocity ratio for FS to pyramidal projections in layer 5

frontal cortex (Otsuka & Kawaguchi, 2009) (Figure S1F-H). In addition, 66% of the FS

interneurons were connected with each other as reported in (Galarreta & Hestrin, 1999).

We stimulated the model network by delivering 1-sec long, uncorrelated, Poisson-like spike trains

to random subpopulations consisting of 50 PCs (20% of total, n=10 trials, Figure 1B and 1C). We

focused on the emergence and dynamics of activity following the end of stimulus presentation

(hereby termed post-stimulus epoch), considering all PCs in the network and not just the stimulated

ones. Trials with no PC activity up until the last second of the simulation were not considered to

induce the memoranda and were not included in the analysis. Both stimulated and non-stimulated

neurons were recruited during the post-stimulus epoch and displayed diverse spiking profiles

(Figure 1C). The irregularity of PC firing was further increased during the post-stimulus epoch

(mean $CV_{stimulus}$=0.42±0.25, mean $CV_{post-stimulus}$= 0.64±0.46, p value=0.0001, Mann Whitney U

test, Figure 1D). Inter-spike intervals (ISIs) were also increased during the post-stimulus epoch

($ISI_{stimulus}$=40.3±53.8ms, $ISI_{post-stimulus}$= 52.9±115.3, p value=0.0004, Mann Whitney U test).

At the network level, activity was sparse (sparsity=0.9, values close to 1 correspond to sparser

activity) and characterized by temporally dynamic population responses; the firing of some

neurons persisted, increased or fluctuated during the post-stimulus period (Figure 2A). We

measured the correlation coefficient of the binned network activity (bin size q=50ms) between

different simulation time points. Figure 2B depicts the de-correlation in network activity during

the stimulus and the memory epochs, denoting the shift from the sensory to the memory

representation(s). Within the stimulus epoch, population activity is consistently highly correlated,

yet, during the memory epoch correlation is stronger over the diagonal time axis, indicating that

population activity is not static during the memory epoch. The same shift was observed for the

energy state: it peaks after stimulus withdrawal and reaches lower values during the post-stimulus

period (Figure 2C). Accordingly, the population velocity drops upon stimulus withdrawal and

remains at stable values during the post-stimulus period (Figure 2C). These results replicate 1) the

irregular spiking activity of single neurons reported in (Bernacchia et al., 2011; Brody et al., 2003;

Jun et al., 2010; Meyers, Freedman, Kreiman, Miller, & Poggio, 2008; Shafi et al., 2007) 2) the

de-correlation of the network activity in the late post-stimulus epoch from the stimulus epoch

reported in (Barak et al., 2010; Murray et al., 2017; Stokes et al., 2013) and 3) the low energy state

during the post-stimulus epoch reported in (Stokes et al., 2013). Thus, our model network

reproduces the complexity of single neuron and population responses as well as the

representational shift during the post-stimulus epoch.

A recurrent PFC model network expresses multiple memoranda

The shift from the stimulus-driven network activity to a different, memory-related one is more

prominently observed in PCA state space (Figure 3A). Network responses for different trials, when

projected to the low-dimensional space, diverge from the stimulus epoch (green) and follow

different trajectories in the PCA state space over time. Interestingly, during the late memory

epochs (Figure 3A, darker colors, showing 9 trials with activity in post-stimulus epoch), activity

appears to converge for some trials to specific trajectories in the PCA state space. In order to

quantify the number of distinct memory trajectories over the last second of the post-stimulus period

that emerge in the above simulations, we used k-means. The evaluation of the clustering results

was done using the Bayesian Information Criterion (BIC, see Methods). We found that for the

specific instance of the structured network shown in Figure 3, population activity converged to

three different robust trajectories (BIC 213.87). These findings suggest that, additionally to the

representational shift from the sensory to the memory epoch, a dynamic mechanism is recruited

by specific stimuli in an otherwise fixed connectivity network, which allows its rapid re-

configuration, in the absence of any learning mechanism, and amounts to the retention of different

memoranda.

NMDA nonlinearities underlie multiple memoranda

We hypothesized that a thresholding mechanism acting in short time scales may engage the network into the distinct robust trajectories. The most prominent such candidate, in the absence of any short- or long- term plasticity, is the NMDA receptor. To evaluate this hypothesis, we repeated the above simulations while eliminating the NMDA conductances (conductance set to zero). To compensate for the reduction in excitability, we increased the AMPA conductance such that the saturated response matched the one when both NMDA and AMPA conductances were included (Figure S2A). We further adjusted the network inhibition (STAR Methods) to ensure the induction of post-stimulus activity (Figure 3B). We found that the AMPA-only model network was unable to create more than one robust trajectory (Figure 3B, BIC=213.2). This was also accompanied by a decrease in the network's sparsity (mean of control sparsity=0.89, mean of AMPA-only sparsity=0.49) and CV (mean of control $CV_{post-stimulus}$=0.64±0.46, mean of AMPA-only $CV_{post-stimulus}$= 0.28±0.21, p value=0.0001, Mann Whitney U test) and an increase in network's firing frequency (mean of control $ISI_{post-timulus}$=52.9±115.3ms, mean of AMPA-only $ISI_{post-stimulus}$= 16.6±23.6, p value=0.0001, Mann Whitney U test) (Figure S2B).

The NMDA conductance can create two distinct dendritic phenotypes with increasing synaptic magnitude: a) a non-linear jump in the depolarization, due to the Mg^{+2} mediated non-linearity of the NMDA activation function and b) a depolarization plateau potential following the jump, supported by its slow kinetics (Doron, Chindemi, Muller, Markram, & Segev, 2017). We thus performed an additional manipulation to dissect the contribution of the non-linear jump. Specifically, we removed the voltage dependence of the NMDA conductance associated with the Mg^{+2} block, while keeping the slow kinetics and the plateau potential intact (see Figure 2E, Fig S2A,C). Again, the network failed to support more than one robust trajectory (Figure 3C,

BIC=212.9). Note that in this case sparsity is maintained (mean sparsity=0.91) and the ISI/CV

change (mean of control $CV_{post-stimulus}$=0.64±0.46, mean of Mg^{+2} block $CV_{post-stimulus}$= 0.55±0.33, p

value=0.0001, Mann Whitney U test, mean of control $ISI_{post-timulus}$=52.9±115.3ms, mean of Mg^{+2}

block $ISI_{post-stimulus}$= 50.1±91.8, p value=0.0001, Mann Whitney U test) (Figure S2C). This shows

that indeed NMDA receptors mediate the temporal dynamics during the post-stimulus period and

act as a thresholding mechanism for the emergence of multiple states.

Synaptic arrangement along the dendrite regulates the network re-configurability

We further assessed the role of the basal dendrites in the NMDA-depended generation of multiple

trajectories, since the spatial arrangement along the dendrites influences synaptic integration in

non-linear ways (Losonczy & Magee, 2006; Poirazi, Brannon, & Mel, 2003a, 2003b; Polsky, Mel,

& Schiller, 2004). We evaluated how many distinct trajectories can be supported when varying the

dendritic locations of recurrent synapses between PC pairs and of dendritic inhibitory inputs

(n=10). These manipulations resulted in a total of 100 simulated conditions: 10 trials X 10 synaptic

arrangements for a given connectivity matrix.

An example of the population activity trajectories for different synaptic arrangements, given a

specific connectivity matrix, is shown in Figure 3D. In line with our hypothesis that dendritic

integration is a key player, responses in the PCA space vary considerably when changing the

synaptic arrangement, giving rise to several distinct activity robust trajectories. Note that these

different trajectories emerge in response to stimulation of the same neurons in a given network

(fixed connectivity matrix) with the exact same stimuli. The only difference between trials is the

dendritic location of the recurrent excitatory connections and inhibitory ones, indicating that

dendritic integration is a key player in the observed phenotype.

The different synaptic arrangements could support the same or different trajectories; in other

words, given two conditions that support the same number of trajectories, are these identical in the

PCA state space? To evaluate this we pooled the responses from the 10 synaptic arrangements and

the 10 trials (Figure 4B). This revealed that the different synaptic arrangements induce

qualitatively different trajectories. This indicated that for a given connectivity matrix and input,

the arrangement of recurrent synapses enables the network to engage in different subsets of PCA

state space effectively expanding the flexibility of the network.

We further investigated the generalization of our results by identifying the number of trajectories

found for different connectivity matrices (n=4) and per synaptic arrangements (n=10, thus

amounting to 40 cases). We found that the probability of a network with a given connectivity and

synapse arrangement to express more than a single activity robust trajectory was 0.625 (Figure 4C,

blue).

Structured connectivity exploits NMDA nonlinearities to increase memory

Given the above results, we sought to identify whether structural connectivity can selectively

exploit the NMDA non-linearities to induce more than one memory robust trajectory. To assess

the role of connectivity (both in terms of recurrent connections and the clustering coefficient) in

the network's ability to hold multiple memoranda, we ran the same simulations when each PC pair

was independently connected with a fixed probability (4 random connectivity matrices, for 10

recurrent synapse arrangements and 10 trials). Both the structured and the random networks

exhibited the same average connection probability (~0.12), to ensure a fair comparison. In the

presence of the NMDA conductance, the random network produced fewer robust trajectories

compared to the structured one (mean of control $CV_{post-stimulus}$=0.64±0.46, mean of random CV_{post-}

$_{stimulus}$= 0.56±0.42, p value=0.0001, Mann Whitney U test, mean of control ISI$_{post-}$

$_{timulus}$=52.9±115.3ms, mean of random ISI$_{post-stimulus}$= 34.7±77.6, p value=0.0001, Mann Whitney

U test) (Figure 4F, Figure S2D). The probability of expressing more than one memory trajectory

was 0.375. These findings indicate that structured connectivity can better exploit NMDA

nonlinearities for increased network memory dimensionality.

Robust trajectories correspond to different, dynamically recruited neuronal assemblies

We showed that the same network model can robustly encode, multiple memoranda, during the

post-stimulus period, utilizing a dynamic thresholding mechanism. How is this mechanism

changing the effective connectivity between neurons, to create distinct activity patterns? Are these

associated with specific neuronal assemblies and, if yes, how are these assemblies recruited during

the post-stimulus period? To answer these questions, we utilized the non-negative matrix

factorization (NNMF) approach (D. D. Lee & Seung, 1999), which allows us to approximate any

neuronal activity pattern over time as a linear combination of a "basis" set. This "basis" set

consists of neuronal activity profiles realized in the network, as opposed to PCA components

which do not directly map onto specific neurons (Figure 5A, B). Therefore, resulting factor

matrices contain coherent parts of the original network activation enabling both active assemblies

and their activity amplitude to be monitored. We applied the NNMF on the network responses for

the same network connectivity matrix and synaptic arrangement as used for Figure 3 for different

trials. Population activity can be decomposed to the sum of activities of different neuronal

assemblies, whereby the contribution of each assembly has a different weight (i.e. assembly

activity) (Figure 5C). Note that assembly recruitment can also be transient, yet each trial can be

identified by its assembly composition. To identify whether different combinations of assemblies

map to different robust trajectories, we visualized the activity for different trials, color-coded by

the trajectory, where same trajectories appear to be represented by the same assembly

combinations (Figure 5D). The engagement of the assemblies in a trajectory can change over time,

yet their combination appears distinct between the different trajectories. Correlation analysis of

number of trajectories and optimal number of assemblies, for the structured network connectivity,

shows interesting results. First, that there is a correlation (r=0.37 p=0.017) of optimal number of

assemblies with optimal number of robust trajectories and there are not fewer assemblies than

trajectories (Figure 5E). This reveals that trajectories/memories stored in the network tend to be

represented by different populations, rather than different firing activation of the same population

i.e. stimulus selectivity of the network is mixed both in terms of firing activity and population,

rather than same neurons that vary their activity for multiple stimuli in a linear manner (Figure

5D). This is ideal for linearly separating these representations down-stream in complex cognitive

tasks. Second, there is not always a one-to-one correspondence of number of robust trajectories

and assemblies. This is shown by the identification of more assemblies than robust trajectories for

a given network instance (Figure 5E). This observation can be interpreted as having multiple

assemblies, encoding a single robust trajectory (Figure 5D, red colored trajectory), and this is

interesting, since it could mean that there are assemblies that are reusable across different

memoranda and not necessarily linked with representing just a single memorandum. These results

suggest that PFC networks, incorporating NMDA-dependent synaptic integration and structured

connectivity, result in activity expressed by different active neuronal assemblies, thus increased

dimensionality of the memory epoch state space and affecting also the overall compositionality of

a single network, during working memory tasks.

DISCUSSION

It is not well understood how information entering the prefrontal cortex (PFC) can be utilized for flexible decision making: how does a single network can represent and support distinct tasks in a rapid, flexible manner (Mante et al., 2013)? Experimental evidence indicates that network connectivity can sustain changes in synaptic efficacies faster than classical plasticity, enabling it to rapidly switch between different activity states, based on the input (Fujisawa et al., 2008; Stokes et al., 2013). To this direction we evaluated the hypothesis that nonlinear dendritic activation and integration, specifically the NMDA conductance, plays an important role in this dynamic network connectivity (Arnsten, Paspalas, Gamo, Yang, & Wang, 2010; Stokes, 2015).

Towards this goal we used a state of the art modeling approach that enables us to dissect the essential mechanisms that drive these highly dynamic phenomena. We built and validated a PFC network model against experimental data that reproduces a large number of experimental observations that are key in understanding dynamic coding in the PFC. More specifically single neuron responses are irregular, yet together create a coherent low dimensional trajectory. Network activity shifts from encoding the noisy stimulus, towards a low energy state that represents the robust memorandum for the specific task at hand, something first time replicated by a biophysical model.

This allowed us to pose key questions regarding the mechanisms that support this behavior. We show that a mechanism responsible for intra-trial, fast, but temporary changes in dynamic connectivity is the NMDA nonlinear integration. This mechanism can rapidly shift synaptic efficacies and enable the network to differentially integrate information for short periods of time (Stokes, 2015). Maximal exploitation of NMDA non-linearities is achieved by connecting subsets

of neurons in a clustered, hyper-reciprocal manner, as evidenced in the PFC anatomy (Y. Wang et al., 2006). The above mechanisms/conditions enable the emergence of functionally connected neuronal assemblies allowing the robust, yet flexible encoding of multiple memoranda in a not per se optimized network model, during WM simulations. During this post-stimulus period patterns of activity of single neurons appear as variable, yet across the network activity assumes a smooth and stable form, with variability mostly vanished and near orthogonal to a linear readout, downstream mechanism, maintaining the separation of the memoranda, as reported experimentally (Murray et al., 2017).

Our findings are consistent with a new experimental view of neurons exhibiting persistent activity of strong temporal dynamics and heterogeneity, that yet retain stability and robustness of WM (Murray et al., 2017)(Meyers et al. 2008; Stokes et al. 2013, Miller, Erickson, and Desimone 1996, J Duncan 2001; John Duncan and Miller 2002). Most importantly, and to our knowledge, this is one of the first studies that show how dendritic integration can be exploited by the structured connectivity profile, already shown to be beneficial (Brunel, 2016). There are several lines of evidence that indicate that NMDA receptors are important for PFC function (M. J. Wang et al., 2013).

Neuronal mixed selectivity does not contrast the classical single neuron selectivity, with neurons able to display gradings of mixed selectivity to stimuli. Yet these experimental observations point away from the belief: single neuron = single variable, thus increasing the capacity and signal to noise ratio (Fusi, Miller, & Rigotti, 2016) of the network, as the distributed specialization encoding over many neurons helps scale memoranda in a single network, yet doesn't induce completely redundant/overlapped responses. This evidence, generalized, adheres to a concept of neuronal ensemble compositionality, where different (specialized) neuronal ensembles of similarly

'mixed/tuned' single neurons can be utilized together, as reusable memory units. This

compositionality principle can be time saving in acquiring new tasks, since the connectivity does

not need to be modified completely, just find/activate a new combination of already learned

components, something incredibly important for the computational capabilities of the PFC, given

its immediate behavioral importance (X.-J. Wang, Song, Yang, Joglekar, & Newsome, 2019). Our

work contributes towards this direction, showing that such a configurability can indeed be

supported in a biophysical model network of the PFC and such a clustered configuration is indeed

optimal in terms of WM storage, contrasted with an unspecific, random projection between

neurons, that compromises the compositionality of the network (Barak, Rigotti, et al., 2013).

Although this work strives to simulate as many variables as possible, the degrees of freedom in

biophysical networks are very high, leading eventually to the simulation of the very prominent

ones only. We took great care in simulating the extensive connectivity patterns found in

experimental PFC works and the diverse pyramidal dendritic apparatus, both excitatory and

inhibitory, that we claim to make such a difference. Also, the NMDA is targeted as the mechanism

able to shape PFC output. It is definite that this mechanism can be altered by a multitude of

parameters, essentially shaping its responses (Arnsten et al., 2010). Although in this work we

address the PFC network as a whole, so we abstract NMDA activation over the network response.

The specific mechanisms that can alter its performance it is a thought for later work, aiming at

further validating this schema. Though the above are just the most prominent characteristics of the

network. Multiple number of dendritic inputs per neuron, as well as a more detailed circuit with

diverse inhibitory population, might constitute a continuation of this work. Yet more complexity

might need different approaches to analyze and reason about the results.

This work also points out the NMDA conductance as a necessary mechanism to dynamic network

connectivity. This can also explain vulnerabilities to cognitive dysfunction with the same

mechanism. It is found that PFC connectivity state can be altered with advancing age, genetic

insults, during stress or psychiatric disorders (M. Wang et al., 2007). Yet this is something that

might not be visible in vitro/in vivo, unless the network is activated in a cognitive operation. Our

work enables us to focus on the target mechanism, NMDAr conductance, in order to shed light in

the magnitude of is performance in best/worst case scenario with results aligned with experimental

predictions (less performance/memory) (M. Wang et al., 2007). This is something difficult to be

addressed completely in an experimental way, yet.

Star Methods

KEY RESOURCES TABLE

REAGENT or RESOURCE	SOURCE	IDENTIFIER
Software and Algorithms		
Algorithms and analysis	This paper	https://github.com/stamatiad/prefrontal_analysis
Model of Pyramidal neuron	Model DB	accession number: 155057
Model of Fast Spiking Interneuron	Model DB	accession number: 168310

CONTACT FOR REAGENT AND RESOURCE SHARING

Further requests for resources should be directed to and will be fulfilled by Stefanos Stamatiadis
(stamatiad.st@gmail.com).

METHOD DETAILS

DETAILS OF MODEL NEURONS

Here we provide the details of the model neurons.

The Pyramidal Neuron Model (PC)

The model of the PC was adapted from (Papoutsi, Sidiropoulou, Cutsuridis, & Poirazi, 2013)

(Model DB accession number: 155057). In brief, it consisted of five compartments: the soma, the

axon, the basal dendrite, the proximal apical dendrite and the distal apical dendrite (apical tuft).

The somatic, proximal and distal apical dendritic compartments included Hodgkin–Huxley-type

transient and persistent Na^+ currents, voltage-dependent K^+ currents (delayed-rectifier, A-type

and D-type) , a fast and slow Ca^{2+} -dependent K^+ current, a hyperpolarization-activated non-

specific cation current (h current), and the N-, R-, L- and T- type voltage-dependent

Ca^{2+}currents (I_{caN}; I_{caR}; I_{caL}; I_{CaT}). The basal dendrite included transient and persistent Na^+

currents, a delayed K^+ rectifier current, an A-type K^+ current, a D-type K^+ current, a N-type Ca^{2+}

current and a h current. The axon included a transient Na^+ current and a delayed rectifier K^+

current. Indicative electrophysiological properties of the PC model are shown in Table S1. For

detailed description see (Papoutsi et al., 2013, 2014). We scaled membrane capacitance on

dendrites to account for spine additional membrane area.

Fast-Spiking interneuron model (FS)

The FS interneuron model was adapted from (Konstantoudaki, Papoutsi, Chalkiadaki, Poirazi, &

Sidiropoulou, 2014) (Model DB accession number: 168310). In brief, the FS interneuron model

consisted of a somatic, a dendritic and an axonic compartment. The somatic compartment

included mechanisms for the slow A-Type K^+ current, the N-type high-threshold activated Ca^{2+}

current and h current. Indicative electrophysiological properties of the FS model are shown in

Table S1. For detailed description see (Konstantoudaki et al., 2014).

Synaptic Properties

The AMPA and NMDA currents of PCs were tuned to match the experimental data of (Nevian,

Larkum, Polsky, & Schiller, 2007), as per (Papoutsi et al., 2013). The AMPA and NMDA EPSC

kinetics of the FS interneuron model were constrained against data from fast spiking layer 5 PFC

interneurons of (H.-X. Wang & Gao, 2009), as per (Konstantoudaki et al., 2014). The

conductance of the GABA$_A$ mechanism was set so that the amplitude of the mIPSC was 10 pA at

a holding potential of -65mV, as per (Woo et al., 2007). Finally, we implemented the slow

inhibitory synaptic current (iGABA$_B$). The respective synaptic parameters are listed in Table S2

and detailed description of the synaptic models have been published in (Konstantoudaki et al.,

2014; Papoutsi et al., 2013).

DETAILS OF NETWORK CONNECTIVITY

Here we describe the details of connecting model neurons, both in terms of the connectivity matrix as

well as the specific somatic/dendritic projection patterns implemented. Vectors are denoted by lowercase

bold letters, matrices by uppercase bold letters.

Network Connectivity

The network model consisted of 250 PCs (75%) and 83 FSs (25%) (Beaulieu, 1993;

Dombrowski, Hilgetag, & Barbas, 2001). We implemented an experimentally constrained

connectivity by treating the biophysical network as a directed graph and its connectivity as a

square, binary, connectivity matrix $\mathbf{A} \in \mathbb{R}^{(M+F)}$, where M is the number of PCs and F is the

number of FSs. In this matrix, the rows represented neurons' outgoing connections (efferents)

and the columns their incoming connections (afferents), for each neuronal type respectively, with

PCs preceding FSs (for connectivity matrix index i, $i \leq M$ corresponds to PCs and $M < i \leq M +$

F corresponds to FSs). Although this matrix contains the connectivity for the whole network, for

brevity we will refer to the first M by M part of **A**, as its *excitatory* part, since it contains the PC-

PC connectivity only. We implemented two different connectivity configurations between the

PCs: one highly non-random (structured) and one random. In the structured configuration, a) PC

pair connection probability was distance dependent, b) almost half connections were reciprocals,

as reported in the PFC (Y. Wang et al., 2006) and c) the PC pair connection probability was re-

adjusted to be local-clustering dependent, based on experimental data (Perin, Telefont, &

Markram, 2013; Song et al., 2005). In the random configuration, each pair was connected

independently with a fixed probability. Both types of configurations exhibited the same average

probability ($P_{average}$) of connection (~0.12), measured as described below.

In the structured configuration, to implement the distance dependent connectivity constraints,

somata of PCs were regarded as points randomly scattered in a 180x180x180 μm^3 cubic space

(Figure S1A) using a method similar to (Perin et al., 2011), resulting in a somatic density of

6×10^4 neurons/mm^3 (Schuz & Palm, 1989). Distances between these points were calculated by

wrapping the cube dimensions in order to eliminate edge effects (Packer & Yuste, 2011; Perin et

al., 2011). Each unique unordered PC pair $\{i, j\}$ (from now on referred to as pair), could be

connected in two ways: either a) unidirectionally ($i \rightarrow j$ or $j \rightarrow i$) or b) reciprocally ($i \leftrightarrow j$). These

disjoint events followed the experimentally reported probabilities as a function of distance in

layer 5 (Perin et al., 2011). In order to reproduce the hyper-reciprocity reported in the PFC, we

set the ratio of the reciprocal and unidirectional connections to be approximately 1. Specifically,

we implemented the following probability density functions (pdf):

438
$$g^{overall}(x) = 0.22 \, e^{-0.0052 \, x} \quad (1)$$

$$g^{reciprocal}(x) = 0.12 \, e^{-0.0085 \, x} \ (2)$$

with $g^{overall}(x)$ being the pdf of having any connection and $g^{reciprocal}(x)$ is the pdf of having

reciprocal connection, both as a function of the distance x. We derived the unidirectional

function from their difference,

$$g^{unidirectional}(x) = g^{overall}(x) - g^{reciprocal}(x) \ (3)$$

with $g^{unidirectional}(x)$ being the pdf of having non reciprocal connection as a function of the

distance x (Figure S1B). Following, for each pair its reciprocal or unidirectional probability of

connection, $P_{\{i,j\}}^{reciprocal}$ and $P_{\{i,j\}}^{unidirectional}$ respectively was:

$$P_{\{i,j\}}^{unidirectional} \equiv P((i \to j) \cup (j \to i)) - P((i \to j) \cap (j \to i)) = g^{unidirectional}(\, d(i,j) \,) \ (4)$$

$$P_{\{i,j\}}^{reciprocal} \equiv P((i \to j) \cap (j \to i)) = g^{reciprocal}(\, d(i,j))(5)$$

where, $d(i,j)$ is the pair's intersomatic distance. For each pair the probabilities sum up to the

unit:

$$1 = P_{\{i,j\}}^{unidirectional} + P_{\{i,j\}}^{reciprocal} + \left(1 - P_{\{i,j\}}^{overall}\right)(6)$$

The excitatory part of the connectivity matrix **A** was created by rejection sampling for each pair.

Specifically, we sampled from a uniform random distribution once for each pair and the

excitatory part of the connectivity matrix **A** was determined as:

$$a_{i,j} = 1, a_{j,i} = 1, if \ r_{\{i,j\}} < P_{\{i,j\}}^{reciprocal}$$

$$a_{i,j} = 1, a_{j,i} = 0, if \ P_{\{i,j\}}^{reciprocal} \le r_{\{i,j\}} < P_{\{i,j\}}^{reciprocal} + \frac{P_{\{i,j\}}^{unidirectional}}{2}$$

$$a_{i,j} = 0, a_{j,i} = 1, if \ P_{\{i,j\}}^{reciprocal} + \frac{P_{\{i,j\}}^{unidirectional}}{2} \le r_{\{i,j\}} < P_{\{i,j\}}^{reciprocal} + P_{\{i,j\}}^{unidirectional}$$

$$a_{i,j} = 0, a_{j,i} = 0, if \ P_{\{i,j\}}^{reciprocal} + P_{\{i,j\}}^{unidirectional} \le r_{\{i,j\}} < 1$$

(7)

where $r_{\{i,j\}}$ is a random number on the interval [0,1] and $a_{i,j}$ the elements of **A** matrix. The

resulting $P_{average}$ was ~0.12.

To implement the local-clustering dependent constrains we used the common neighbor rule using

an iterative approach. According to this rule, pairs sharing increasing number of common

neighbors are more probable to be connected (Perin et al., 2011, 2013). A common neighbor is

defined as a third PC that receives from or projects to a PC pair. Starting from the above

distance-dependent excitatory part of connectivity matrix $\boldsymbol{A}$ we iteratively recreated the

connectivity to reproduce the common neighbor rule. Specifically, in each iteration we calculated

the number of common neighbors for all pairs of the network, creating the symmetric matrix $\mathbf{C_N}$.

465
$$\mathbf{C_N} = \mathrm{E} \times \mathrm{E}^T \ (8)$$

466   , with E was the undirected (and thus symmetric) binary, connectivity matrix of the graph of the

467   excitatory part of $\mathbf{A}$. Following, we normalized the matrix of common neighbors

468
$$\widehat{\mathbf{C_N}} = \frac{\mathbf{C_N}}{\|\mathbf{C_N}\|_{max}} \ (9)$$

469   so that the pair of neurons sharing the maximal number of common neighbors is assigned a

470   factor of connection $\widehat{\mathbf{C_N}}_{i,j} = 1$ and pairs sharing no common neighbors a factor of $\widehat{\mathbf{C_N}}_{i,j} = 0$

471   (Perin et al., 2013). The updated connection probability of a pair ($\mathrm{P}^{cn}_{\{i,j\}}$) is its $\mathrm{P}^{overall}_{\{i,j\}}$, multiplied

472   with $\widehat{\mathbf{C_N}}$.

474
$$\mathrm{P}^{cn}_{\{i,j\}} = \mathrm{P}^{overall}_{\{i,j\}} \ \widehat{\mathbf{C}\text{-}_N}_{i,j} (10)$$

475   Then, an updated overall connection probability is calculated from the $\mathrm{P}^{cn}_{\{i,j\}}$ so that the $\mathrm{P}_{average}$

476   remains constant:

477
$$\mathrm{P}^{updated\ overall}_{\{i,j\}} = \mathrm{P}^{cn}_{\{i,j\}} \frac{\sum_{i\in\{1,...,M\},j\in\{1,...,M\},i\neq j} \mathrm{P}^{overall}_{\{i,j\}}}{\sum_{i\in\{1,...,M\},j\in\{1,...,M\},i\neq j} \mathrm{P}^{cn}_{\{i,j\}}} \ (11)$$

This updated overall connection probability is then used to calculate the unidirectional and

reciprocal connection probabilities. Towards this, we calculated the distance estimate $\mathrm{d}'(\mathrm{i},\mathrm{j})$,

based on the $P_{\{i,j\}}^{updated\ overall}$ for each pair. The unidirectional (and respective the reciprocal)

connection probabilities were then calculated using this distance estimate and the excitatory part

of **A**, was recreated with rejection sampling as above.

$$P_{\{i,j\}}^{unidirectional} \equiv P((i \rightarrow j) \cup (j \rightarrow i)) - P((i \rightarrow j) \cap (j \rightarrow i)) = g^{unidirectional}(\ d'(i,j)\ )\ (12)$$

$$P_{\{i,j\}}^{reciprocal} \equiv P((i \rightarrow j) \cap (j \rightarrow i)) = g^{reciprocal}(\ d'(i,j))(13)$$

This algorithm ended after 1000 iterations, where the average clustering coefficient of the

network plateaus as in (Perin et al., 2011) (Figure S1C). The clustering coefficient (Watts &

Strogatz, 1998) for a single PC was defined as

$$C_m = \frac{k_m^{available}}{k_m(k_m - 1)/2}, m = 1, \ldots, M (14)$$

where k_m is the number of neighbors (undirected edges to other neurons) that neuron m have and

$k_m^{available}$ are the (undirected) edges that exist between these neighbors.

In the case of the randomly connected network, pyramidal neurons were connected with the

same independent probability for each pair, irrespective of distance, computed so that the two

networks (structured and random) have the same $P_{average}$:

$$P_{\{i,j\}}^{random} = (\sum_{i=1}^{M} \sum_{j=1}^{M} \mathbf{A}_{i,j}\ )\ /\ (M^2 - M) \qquad (15)$$

where **A** is the excitatory part of the connectivity matrix of the structured configuration, M is the

number of pyramidal cells of the network. The respective connectivity matrix for the random

configuration was created by rejection sampling.

We stimulated a total of n=4 differently network instances for each case (4 structured and 4

random network instances), characterized by their respective connectivity matrix. These

connectivity instances were then translated in the synaptic connectivity of the detailed

biophysical models respecting, existing anatomical and neurophysiological data.

Connectivity between PFC FSs and PCs was made in a dense, non-selective manner and in a

distance dependent way (Packer & Yuste, 2011) as reported experimentally. Due to the lack of

data for the distance-dependent connectivity of L5 PFC FS interneurons with nearby PCs we

used the distance dependent pdf between L5 somatosensory neurons from (Packer & Yuste,

2011). Connectivity properties are summarized in Table S4. The connectivity between FSs and

PCs is contained in connectivity matrix **A**. Specifically, we began by defining a FS-PC overall,

distance dependent connection pdf:

$$g^{FS-PC overall}(x) = e^{-x/180} \ (16)$$

511 similar to what is reported for somatosensory L5 (Packer & Yuste, 2011). Then we proceeded in

512 creating reciprocal and unidirectional pdfs, based on the FS-PC overall pdf:

$$g^{FS-PC reciprocal}(x) = g^{FS-PC overall}(x) \ 0.283 \ (17)$$

$$g^{PC-FS}(x) = g^{FS-PC overall}(x) \ 0.035 \ (18)$$

$$g^{FS-PC}(x) = g^{FS-PC overall}(x) \ 0.014 \ (19)$$

respecting the percentages reported in (Otsuka & Kawaguchi, 2009). Because the overall

connection probability reported was conflicting (Otsuka & Kawaguchi, 2009; Packer & Yuste,

2011), we averaged the overall FS-PC connection probability to 0.46, with the above pdfs

summing up to this probability for zero distance. The PC-FS connections were added to the

connectivity matrix **A** by rejection sampling for each pair, as previously, where the pair $\{i, j\}$ now

refers to FS-PC neurons ($M * F$ pairs in total). Specifically, we sampled from a uniform random

distribution once for each pair and the respective elements of the connectivity matrix, $a_{i,j}$ were

determined as:

$$a_{i,j} = 1, a_{j,i} = 1, if\ r_{\{i,j\}} < P_{\{i,j\}}^{FS-PCreciprocal}$$

$$a_{i,j} = 1, a_{j,i} = 0, if\ P_{\{i,j\}}^{FS-PCreciprocal} \le r_{\{i,j\}} < P_{\{i,j\}}^{FS-PCreciprocal} + P_{\{i,j\}}^{FS-PC}$$

$$a_{i,j} = 0, a_{j,i} = 1, if\ P_{\{i,j\}}^{FS-PCreciprocal} + P_{\{i,j\}}^{FS-PC} \le r_{\{i,j\}} < P_{\{i,j\}}^{FS-PCreciprocal} + P_{\{i,j\}}^{FS-PC} + P_{\{i,j\}}^{PC-FS}$$

$$a_{i,j} = 0, a_{j,i} = 0, if\ P_{\{i,j\}}^{FS-PCreciprocal} + P_{\{i,j\}}^{FS-PC} + P_{\{i,j\}}^{PC-FS} \le r_{\{i,j\}} < 1$$

$$(20)$$

where $r_{\{i,j\}}$ is a random number on the interval [0,1] and $a_{i,j}$ the elements of **A** matrix. FS-PC

connectivity elements of **A**, lie on its part with index $i, M < i \le M + F$ and $j, j \le M$ with i

corresponding to FS index and j corresponding to PC index and its symmetric (indices can be

used interchangeably between neuronal types, since the pairs are unordered).

Finally, the connectivity between FSs is contained in connectivity matrix **A**, along with previous

connections. For this neuronal type no distance dependent pdfs were used. Specifically, we set

the connection probabilities as: $P_{\{i,j\}}^{FS-FSreciprocal} = 0.045$ and $P_{\{i,j\}}^{FS-FSunidirectional} = 0.068$,

resulting in 0.18 overall connection probability as reported in (Galarreta & Hestrin, 1999) (Table

S4, Figure S1). FS to FS connections were added to the connectivity matrix **A** by rejection

sampling for each pair, as previously, where the pair $\{i,j\}$ now refers to FS-FS neurons, with

$(F^2 - F)/2$ pairs in total. Specifically, we sampled from a uniform random distribution once for

each pair and the respective elements of the connectivity matrix, $a_{i,j}$ were determined as:

$$a_{i,j} = 1, a_{j,i} = 1, if\ r_{\{i,j\}} < P_{\{i,j\}}^{FS-FSreciprocal}$$

$$a_{i,j} = 1, a_{j,i} = 0, if\ P_{\{i,j\}}^{FS-FSreciprocal} \le r_{\{i,j\}} < P_{\{i,j\}}^{FS-FSreciprocal} + \frac{P_{\{i,j\}}^{FS-FSunidirectional}}{2}$$

$$a_{i,j} = 0, a_{j,i} = 1, if\ P_{\{i,j\}}^{FS-FSreciprocal} + \frac{P_{\{i,j\}}^{FS-FSunidirectional}}{2} \le r_{\{i,j\}} < P_{\{i,j\}}^{FS-FSreciprocal} + P_{\{i,j\}}^{FS-FSunidirectional}$$

$$a_{i,j} = 0, a_{j,i} = 0, if\ P_{\{i,j\}}^{FS-FSreciprocal} + P_{\{i,j\}}^{FS-FSunidirectional} \le r_{\{i,j\}} < 1$$

$$(21)$$

where $r_{\{i,j\}}$ is a random number on the interval [0,1] and $a_{i,j}$ the elements of **A** matrix. FS-FS

connectivity elements of **A**, lie on its last F-by-F part with index $i, M < i \le M + F$ and $j, M <$

$j \leq M + F$ with indices corresponding to FS interchangeably, since the pairs are unordered.

Synaptic connectivity properties

The number and percentage of synapses per type (inhibitory/excitatory) of connection is

summarized in Table S3 and Table S4 respectively. Individual neuron connectivity properties

including the location and the number of synaptic contacts, as well as the latencies between pairs

of neurons, were based on anatomical and electrophysiological data. Specifically, the recurrent

connections between pyramidal neurons were at the basal dendrite through 5 synapses, as

reported in (Markram, Lübke, Frotscher, Roth, & Sakmann, 1997). Synaptic latencies were

drawn from a Gaussian distribution with $\mu = 1.7$ms and $\sigma = 0.9$ according to (Thomson & Lamy,

2007). The precise location of the connecting synapses was random with uniform probability

across the basal dendrite of each pyramidal neuron. These locations were constant across trials,

but reshuffled when the network model underwent a synaptic rearrangement. PCs connected to

FSs via 2 synaptic contacts (Buhl et al., 1997), with the corresponding latencies drawn from a

Gaussian distribution with $\mu = 0.6$ms and $\sigma = 0.2$ (Angulo, Rossier, & Audinat, 1999). FSs

project to PCs both to the soma and the basal dendrites as reported in (Kubota et al., 2015). Their

location was varied randomly with uniform probability between the synaptic rearrangements.

The conductance was calculated by exponentially interpolating the reported values in (Kubota et

al., 2015) (Table S2, Figure S3). FS interneurons project to FS with a single GABAa synapse of

1.7nS (TableS2/S3) as in (Galarreta & Hestrin, 1999). Synaptic latencies were drawn from a

Gaussian distribution with $\mu = 1.76$ms and $\sigma = 0.07$ according to (Bacci, Huguenard, & Prince,

2003).

NETWORK STIMULATION AND MANIPULATION

Here we describe the stimulation protocols and any manipulations made to the default synaptic

mechanism state described above.

Stimulation

In each trial we stimulated 50 randomly selected (with uniform probability) PCs with

uncorrelated Poisson inputs (λ=0.06, resulting in about 60Hz afferent spiking input) for 5sec.

The incoming stimulus (40 AMPA and NMDA excitatory synapses), was provided to the

proximal apical dendrites of the selected PCs (Kuroda, Yokofujita, & Murakami, 1998). For each

connectivity matrix (n=4), 10 synaptic arrangements (defined as randomly positioning the

excitatory recurrent PC-PC connections and inhibitory connections along the basal dendrite)

were implemented, thus resulting in a total of 40 cases. We repeated for a total of T=10 times the

stimulation (trials, h) of each of the network case, whereby the 50 stimulated PCs and the

stimulus timings were varied. We did not include in the analysis the trials with no activity from

any pyramidal neuron in the last second of the post-stimulus epoch.

Synaptic manipulations

In order for the network to produce post-stimulus epoch activity we made compensations to the

overall recurrent excitation and feedback inhibition, by scaling respectfully the synaptic

conductances. Specifically, for the structured configuration a baseline was achieved by

augmenting the recurrent excitation (g_{NMDA} and g_{AMPA}) with a x1.75 factor and the feedback

inhibition (g_{GABAa} and g_{GABAb}) with a factor of x3. The following compensations are derived

from this baseline. To compensate for changes in excitability in simulations where g_{NMDA}

conductance was set to zero, the g_{AMPA} was augmented 50 times to saturate to the same somatic

EPSP with increasing the number of pair-pulse inputs at 50Hz (Figure S2A). Feedback inhibition

(g_{GABAa} and g_{GABAb}) was adjusted to one third, in order to allow for post-stimulus activity.

We further manipulated the properties of the NMDA receptors. Specifically for the g_{NMDA} , it

was simulated as in (Polsky, Mel, & Schiller, 2009)

588
$$I_{NMDA} = g(V - E_{rev}) \quad (22)$$

589
$$I_{Ca^{+2}} = \frac{I_{NMDA}}{10} \quad (23)$$

590
$$g_{NMDA} = \frac{A - B}{1 + ne^{-gamma\, v}} \quad (24)$$

591
$$\frac{dA}{dt} = \frac{-A}{tau_a} \quad (25)$$

592
$$\frac{dB}{dt} = \frac{-B}{tau_b} \quad (26)$$

593   , where $E_{rev} = 0mV$, $tau_a = 90\ ms$, $tau_b = 5\ ms$, $gamma = 0.08\ mV^{-1}$, $n = 0.25mM^{-1}$.

594   In order to remove the characteristic non-linear 'jump' from the PCs, we removed the exponent

595   from equation (24) that simulated Mg^{2+} blockage:

596
$$g_{NMDA} = (A - B) \quad (27)$$

resulting in equation (27) and the saturating depolarization response of Figure S2B. No other

compensations of excitation or inhibition were needed in this case to observe post-stimulus

period activity.

In simulations with the random network connectivity we adjusted the inhibition to half of the

baseline, for the network to produce post-stimulus period activity.

NETWORK ANALYSIS

Here we describe how we proceed in annotating and analyzing network activity. We start by

defining network activity states and metrics. We continue with grouping these activity states in

robust trajectories and with how we can detect active assemblies in the network, along with each

method validation practices. Vectors are denoted by lowercase bold letters, matrices by

uppercase bold letters.

Network states

Population activity was considered as discrete vectors composed of neuronal activity (number of

spikes) binned across time in a temporal window q, tracing trajectories in the neuronal activity

state space. We constructed the instantaneous network state (INS) $\boldsymbol{u} \in \mathbb{R}^M$ using the binned

activity of each $m = 1, \dots, M$ pyramidal neurons. We used non-overlapping windows q = 50ms

and this resulted in $M = simulation\ time\ (ms)/q$ INSs. For a given trial h, we calculated the

neuronal activity state space trajectories of the N INSs, resulting in a matrix $\boldsymbol{B}^h =$

$[\boldsymbol{u}_1, \boldsymbol{u}_2, \dots, \boldsymbol{u}_N], \boldsymbol{B}^h \in \mathbb{R}^{M \times N}$. We analyzed the population activity, across the trials $h = 1, \dots, T$,

thus resulting in a total of $L = T * N$ INSs. This resulted in a M -by- L matrix of the

concatenated INS, $\boldsymbol{D}^i = \left[\boldsymbol{B}^1, \boldsymbol{B}^1, \dots, \boldsymbol{B}^T\right], \boldsymbol{D}^i \in \mathbb{R}^{M \times L}$. The latter is referred to as **network**

instance state matrix and characterized the activity of each network instance (same network

connectivity and synaptic arrangement, different trials).

Cross-correlation

622   Cross correlation between INSs was calculated using the Pearson correlation

623
$$\boldsymbol{R}_{ij} = \frac{\boldsymbol{S}_{ij}}{\sqrt{\boldsymbol{S}_{ii}\boldsymbol{S}_{jj}}}, \forall i, j \in N \tag{28}$$

624   where $\boldsymbol{S}_{ij}$ is the covariance of INS $\vec{\boldsymbol{u}}_i$ with INS $\vec{\boldsymbol{u}}_j$.

Sparsity

627   To assess the sparseness of network response we used the measure proposed by (Rolls, 1997)

628   subtracted from unity, so that higher values correspond to more sparse activity:

629
$$S_{TR} = 1 - \frac{\left(\sum_m \frac{\hat{r}_m}{M}\right)^2}{\sum_m \frac{\hat{r}_m^2}{M}}, \forall m \in M \tag{29}$$

630   Where $\hat{r}_m$ is the average firing rate of neuron $m \in \mathbb{R}^M$.

631   **Population multidimensional velocity**

632   Network multidimensional velocity V_{MD} was calculated as the gradient of the activity with

633   respect to time:

634
$$V_{MD}(n) = \frac{d(\vec{u}_n, \vec{u}_{n+1})}{\Delta t}, n = 1, \dots, N \tag{30}$$

Where $d(\vec{u}_n, \vec{u}_{n+1})$ is the Euclidean distance in $\mathbb{R}^M$ of the two adjacent in time INS, converted

in Hz, for a $\Delta t = 50 \ ms$. The per trial average was used.

Population energy velocity

We define the vector containing the average firing frequency of the neurons as the energy vector

of the network, per INS. We define the rate of change of this vector as the *Energy Velocity* V_E

i.e. the change in the overall energy level of the system, across the duration of one trial.

641
$$V_E(n) = \sum_1^M r_m^n, n = 1, \dots, N \tag{31}$$

Where r_m is the firing rate of neuron $m \in \mathbb{R}^M$ in state n.

Principal component analysis

INSs was transformed to *instantaneous PCA network states* (IPS) using PCA to examine the

neuronal dynamics in the lower dimensional space. The *network instance state matrix* $\boldsymbol{D}^i$ was

transformed with PCA to $\boldsymbol{T}^i$, $\boldsymbol{T}^i \in \mathbb{R}^{M \times L}$. Following we split the L principal component loadings

to the respective T trials, representing the *network state space trajectories*, per trial. For plotting,

raw PCA data were used. The prominent principal components were the ones with eigenvalues

above unity.

We evaluated the emergence of multiple state space trajectories using the k-means clustering

method and validation with the Bayesian Information Criterion (BIC) (Wit, van den Heuvel, &

Romeijn, 2012). This is a way to discern the optimal number of discrete robust trajectories, since

it amounts in separable network activity by a probabilistic metric for the last second of memory

epoch that has $G = \frac{1sec}{q}$ INSs. From the network state space trajectories (matrix $\boldsymbol{T}^i$) we kept the

last second of each trial in the matrix $\boldsymbol{L}^h$, $\boldsymbol{L}^h \in \mathbb{R}^{M_{PCA} \times G}, h = 1, \dots, T$, keeping only M_{PCA}

principal components as described above. Each trajectory was smoothed prior to clustering with

a Savitzky–Golay filter with of window size 31 and of polynomial order 3. We initialized the

centroids of k-means using the mean-shift algorithm over all trajectory data (columns of $\boldsymbol{L}^h$) and

across all trials. We used mean-shift to locate the 'density points' in network state space i.e.

points with more overall activity across all trials. We initialized mean-shift with T Gaussian

kernels, that could converge to an equal or smaller number of local maxima. Subsequently we

utilized only K of the latter as the k-means initial centroids (with $1 \leq K \leq T$).

Specifically, we compute the mean of each network state space trajectory across time, for each

trial

$$\boldsymbol{v}_i^h = \frac{1}{G} \sum_{j=1}^{G} \boldsymbol{L}_{i,j}^h, \forall i, i = 1, \dots, M_{PCA} \tag{32}$$

667 getting T points on network state space $\boldsymbol{v^h} \in \mathbb{R}^{M_{PCA}}$.

668 The mean-shift evolve these points iteratively, computing a local average centered to each point

669 with a gaussian kernel. The weighted mean of the density at these points is determined by

670 Gaussian kernel window K_{RBF} as:

$$671 \qquad \bar{\mu}(\boldsymbol{v^h}) = \frac{\sum_{\boldsymbol{q}_j \in Z} K_{RBF}(\boldsymbol{q}_j, \boldsymbol{v^h}) \boldsymbol{q}_j}{\sum_{\boldsymbol{q}_j \in Z} K_{RBF}(\boldsymbol{q}_j, \boldsymbol{v^h})} \qquad (33)$$

672   across neighboring IPS $\boldsymbol{q}_j$ (pooled all trajectory points) where Z is the neighborhood of $\boldsymbol{v^h}$: a set

673   of points for which $K_{RBF}(\boldsymbol{q}_j, \boldsymbol{v^h}) \le 0.1$. The K_{RBF} is defined as:

$$674 \qquad K_{RBF}\left(\boldsymbol{q}_j, \boldsymbol{v^h}\right) = e^{\left(-\frac{\left\| \boldsymbol{q}_j - \boldsymbol{v^h} \right\|^2}{2\sigma^2}\right)}, \qquad (34)$$

with $\boldsymbol{q}_j$ being a trajectory point close to $\boldsymbol{v^h}$ and setting the variance σ^2 equal to the average

variance across runs.

Then the resulting density centroids $\bar{\mu}(\boldsymbol{v^h})$ are used as the new Gaussian kernel centers $\boldsymbol{v^h} :=$

$\bar{\mu}(\boldsymbol{v^h})$ in the next iteration. The iteration continues until centers converge to non-moving

positions.

Next, mean-shift density centroids taken in pairs were merged, starting from those with minimal

Euclidean distance, until only K remained.

The k-means algorithm is initialized from the latter and minimized the average intra-cluster

Mahalanobis distance, Because the variance in each PCA was different:

$$684 \qquad D_M(\boldsymbol{x}_h) = \sqrt{(\boldsymbol{x}_h - \boldsymbol{\mu}_k)^T \boldsymbol{S}_k^{-1} (\boldsymbol{x}_h - \boldsymbol{\mu}_k)} \qquad (35)$$

685   where $\boldsymbol{\mu}_k$ is cluster $k = 1, \dots, K$ centroid, $\boldsymbol{x}_h$ the average activity across time of trial h that

686   belongs to cluster k and $\boldsymbol{S}_k^{-1}$ is the inverse of the covariance matrix of the data of cluster k. K-

687   means used the Euclidean distance between $\boldsymbol{\mu}_k$ and $\boldsymbol{x}_h$ only once, during initialization, to label

688   clusters, since no information about covariance $\boldsymbol{S}_k^{-1}$ for each cluster exist in the first iteration.

689   Clustering evaluation was done utilizing the Bayesian Information Criterion (BIC):

$$690 \qquad BIC(k) = \ln(T)k - 2\ln(\hat{L}) \qquad (36)$$

691   where $\widehat{L}$ is the value of the log-likelihood function across all T data points (one for each trial),

692   clustered in K clusters.

693
$$L^h = \frac{e^{\frac{-D_M(x_h)}{2}}}{\sqrt{(2\pi)^{M_{PCA}} \det(\boldsymbol{S})}}$$

$$\widehat{L} = \sum_{h=1}^{T} \ln(L^h) \tag{37}$$

694   where $D_M(\boldsymbol{x_h})$ is the Mahalanobis distance of point $\boldsymbol{x_h}$ from its cluster centroid and $\boldsymbol{S}$ the cluster

695   centroid covariance matrix.

696   Since minimizing the BIC corresponds to maximizing the posterior model probability for the

697   given observations, we choose the optimal BIC using the model with the smaller BIC value.

698
$$K^* = \arg\min_{k=1\ldots K} BIC(k) \tag{38}$$

699   In cases where network activity resulted in a single cluster of network state space trajectories, the

700   k-means algorithm will start partitioning within that single cluster and the above methodology

701   will overfit the BIC model, designating optimal K^* strongly biased from individual run's

702   distribution (and variance). To avoid this overfitting we set an upper bound on K^*, K^*_{max} by

703   setting a lower bound on the inter-cluster distance:

704
$$K^*_{max} = \arg\min_{k=1\ldots K} (d < \min_{k,k' \in \{1\ldots K\}} (\|\boldsymbol{\mu}_k - \boldsymbol{\mu}_{k'}\|_d)) \tag{39}$$

705   where we set the Mahalanobis distance threshold to $d = 3$ between cluster centroids $\boldsymbol{\mu}_k$ and $\boldsymbol{\mu}_{k'}$

706   and we search the minimum k, avoiding partitioning data further.

708   **Non-Negative Matrix Factorization**

709   To identify the active neuronal population, we used nonnegative matrix factorization (NNMF) as

710   in (D. D. Lee & Seung, 1999). This method utilizes an approximate factorization of the form:

711
$$\boldsymbol{D^i} \approx \boldsymbol{W^i H^i} \tag{40}$$

were $\boldsymbol{W^i} \in \mathbb{R}^{M \times K}$ and $\boldsymbol{H^i} \in \mathbb{R}^{K \times L}$ matrices across cases $i = 1, \dots, 40$. The matrix $\boldsymbol{W^i}$ corresponds

to K basis patterns (columns of $\boldsymbol{W^i}$, neuronal assemblies, Figure 5A) and the rows of the matrix

$\boldsymbol{H^i}$contain the activity of each of the assemblies over the L INSs. Following we split the L

assembly activity coefficients to the respective T trials.

The optimal number of assemblies $K^*_{assemblies}$ was calculated with a K-fold Cross-Validation,

across multiple K. The network activity (observation sample) is randomly partitioned into $K_{CV} =$

20 equally sized subsamples to be used in equal number of K-fold iterations. Of these a single

subsample is retained as the *testing data set* for the NNMF (model) and the remaining $K_{CV} - 1$

subsamples are used as the *training data set*, for each K-fold iteration. Specifically, we divided

the network instance state matrix, $\mathbf{D^i}$ in K_{CV} random partitions to be used throughout a single K-

fold Cross-Validation. Towards this, we defined a binary mask matrix $\mathbf{O}$, with each of its

elements indicating a left out data point $\mathbf{O}^k_{i,j} = 0$ (testing data), or a data point in the training

data set $\mathbf{O}^k_{i,j} = 1$, for K-fold iteration k=1,…, K_{CV}. This matrix changes for each K-fold Cross-

Validation iteration, as predefined by the initial random partition, reflecting the different testing

and training datasets. Following, we calculated the $\mathbf{W}, \mathbf{H}$ for each k. Because with this

manipulation the matrix $\boldsymbol{D^i}$ has missing elements, we performed gradient descent to estimate the

NNMF factor matrices $\mathbf{W}, \boldsymbol{H}$ as in (D. Lee & Seung, 2001):

Algorithm 1: NNMF with missing elements

1: Initialize W, H randomly

2: **for** t = 1 to 1000 **do**

3:    $W_{ij} \leftarrow W_{ij} \dfrac{((O \circ D)H^T)_{ij}}{((O \circ WH)H^T)_{ij}}$

4:　　$H_{ij} \leftarrow H_{ij} \dfrac{(W^T(O \circ D))_{ij}}{(W^T(O \circ WH))_{ij}}$

5: **end for**

729　　where $\circ$ denotes the Hadamard product. We then evaluated the performance (error) of the model

730　　using the testing data set in each K-fold iteration k

731
$$D_{NNMF}^k = \frac{\left\| (\mathbf{1} - \mathbf{O}^k) \circ (\boldsymbol{W^i H^i} - \boldsymbol{D}^i) \right\|_F}{J} \quad (41)$$

where $(\mathbf{1} - \mathbf{O}^k)$ selects the testing data, $\boldsymbol{W^i H^{iT}}$ are the NNMF factor matrices of the training

data and M and L are the number of rows and columns of $\boldsymbol{D}^i$ respectively, for K-fold iteration k.

The error is normalized with J that is the number of zero elements of $\mathbf{O}^k$ when calculated for the

testing data and the number of its nonzero elements when calculated for the training data. After

all K-fold iterations are completed, we take the mean error as the Cross-Validation error for the

NNMF with complexity K. Calculating this error for different number of assemblies, K creates a

'bias-variance' type of error curve, with its minimum indicating the optimal number of

assemblies that **D** can be divided, avoiding overfitting i.e. choosing large K for the NNMF

model. Due to noise from the partition procedure, the cross-validation procedure was repeated

n=50 times for different K (number of assemblies). We define the optimal number of assemblies

as the value of K where D_{NNMF}^k is minimum.

743
$$K_{assemblies}^* = \arg \min_{k=1\ldots K} \left(D_{NNMF}^k \right) \quad (42)$$

DATA AND SOFTWARE AVAILABILITY

The microcircuit model was implemented in the NEURON (version 7.4) simulation environment

(Hines & Carnevale, 2001) and simulations were executed on a 312 core cluster, exploiting the

parallelization offered for the multiple cores. Software was written in the Python

(http://python.org) programming language. Implementations of algorithms used to compute

quantities presented in this study are available at: https://github.com/stamatiad/prefrontal_analysis.

Acknowledgements

Author Contributions

Conceptualization, S.S.S., P.A., P.P.; Data curation, S.S.S., P.A.; Formal analysis, S.S.S.;

Funding acquisition, P.P.; Investigation, S.S.S.; Methodology, S.S.S.; Project administration,

P.P.; Resources, P.P.; Software, S.S.S; Supervision, P.A., P.P.; Validation, S.S.S.; Visualization,

P.A., P.P.; Writing – original draft, S.S.S, P.A., P.P.

Declaration of Interests

The authors declare no competing interests.

References

Angulo, M. C., Rossier, J., & Audinat, E. (1999). Postsynaptic glutamate receptors and

integrative properties of fast-spiking interneurons in the rat neocortex. *Journal of*

Neurophysiology, *82*(3), 1295–1302.

Arnsten, A. F. T., Paspalas, C. D., Gamo, N. J., Yang, Y., & Wang, M. (2010). Dynamic network

connectivity: A new form of neuroplasticity. *Trends in Cognitive Sciences*, *14*(8), 365–375.

https://doi.org/10.1016/j.tics.2010.05.003

Bacci, A., Huguenard, J., & Prince, D. (2003). Functional autaptic neurotransmission in fast-

spiking interneurons: a novel form of feedback inhibition in the neocortex. *The Journal of*

Neuroscience, *23*(3), 859–866. Retrieved from

http://www.jneurosci.org/content/23/3/859.short

Barak, O., Rigotti, M., & Fusi, S. (2013). The Sparseness of Mixed Selectivity Neurons Controls

the Generalization-Discrimination Trade-Off. *Journal of Neuroscience*, *33*(9), 3844–3856.

https://doi.org/10.1523/JNEUROSCI.2753-12.2013

Barak, O., Sussillo, D., Romo, R., Tsodyks, M., & Abbott, L. F. (2013). From fixed points to

chaos: Three models of delayed discrimination. *Progress in Neurobiology*, *103*, 214–222.

https://doi.org/10.1016/j.pneurobio.2013.02.002

Barak, O., Tsodyks, M., & Romo, R. (2010). Neuronal population coding of parametric working

memory. *The Journal of Neuroscience : The Official Journal of the Society for*

Neuroscience, *30*(28), 9424–9430. https://doi.org/10.1523/JNEUROSCI.1875-10.2010

Beaulieu, C. (1993). Numerical data on neocortical neurons in adult rat, with special reference to

the GABA population. *Brain Research*, *609*(1–2), 284–292. https://doi.org/10.1016/0006-

8993(93)90884-P

Bernacchia, A., Seo, H., Lee, D., & Wang, X.-J. (2011). A reservoir of time constants for

memory traces in cortical neurons. *Nature Neuroscience*, *14*(3), 366–372.

https://doi.org/10.1038/nn.2752

Bouchacourt, F., & Buschman, T. (2019). A flexible model of working memory, 1–41.

https://doi.org/10.32470/ccn.2018.1231-0

Brody, C. D., Hernández, A., Zainos, A., & Romo, R. (2003). Timing and Neural Encoding of

Somatosensory Parametric Working Memory in Macaque Prefrontal Cortex. *Cerebral*

Cortex, *13*(11), 1196–1207. https://doi.org/10.1093/cercor/bhg100

Brunel, N. (2016). Is cortical connectivity optimized for storing information? *Nature*

Neuroscience, *19*(5). https://doi.org/10.1038/nn.4286

Buhl, E. H., Tamás, G., Szilágyi, T., Stricker, C., Paulsen, O., & Somogyi, P. (1997). Effect,

number and location of synapses made by single pyramidal cells onto aspiny interneurones

of cat visual cortex. *The Journal of Physiology*, *500 (Pt 3*, 689–713.

Buonomano, D. V, & Maass, W. (2009). State-dependent computations: spatiotemporal

processing in cortical networks. *Nature Reviews. Neuroscience*, *10*(2), 113–125.

https://doi.org/10.1038/nrn2558

Dombrowski, S. M., Hilgetag, C. C., & Barbas, H. (2001). Quantitative architecture

distinguishes prefrontal cortical systems in the rhesus monkey. *Cerebral Cortex (New York,*

N.Y. : 1991), *11*(10), 975–988.

Doron, M., Chindemi, G., Muller, E., Markram, H., & Segev, I. (2017). Timed Synaptic

Inhibition Shapes NMDA Spikes, Influencing Local Dendritic Processing and Global I/O

Properties of Cortical Neurons. *Cell Reports*, *21*(6), 1550–1561.

https://doi.org/10.1016/j.celrep.2017.10.035

Durstewitz, D., Seamans, J. K., & Sejnowski, T. J. (2000). Neurocomputational models of

working memory. *Nature Neuroscience*, *3 Suppl*(november), 1184–1191.

https://doi.org/10.1038/81460

Fujisawa, S., Amarasingham, A., Harrison, M. T., & Buzsáki, G. (2008). Behavior-dependent

short-term assembly dynamics in the medial prefrontal cortex. *Nature Neuroscience*, *11*(7),

823–833. https://doi.org/10.1038/nn.2134

Funahashi, S., Bruce, C. J., & Goldman-Rakic, P. S. (1989). Mnemonic coding of visual space in

the monkey's dorsolateral prefrontal cortex. *Journal of Neurophysiology*, *61*(2), 331–349.

Fusi, S., Miller, E. K., & Rigotti, M. (2016). Why neurons mix: High dimensionality for higher

cognition. *Current Opinion in Neurobiology*, *37*, 66–74.

https://doi.org/10.1016/j.conb.2016.01.010

Fuster, J. M., & Alexander, G. E. (1971). Neuron activity related to short-term memory. *Science*,

173(997), 652–654.

Galarreta, M., & Hestrin, S. (1999). A network of fast-spiking cells in the neocortex connected

by electrical synapses. *Nature*, *402*(6757), 72–75. https://doi.org/10.1038/47029

Hines, M. L., & Carnevale, N. T. (2001). NEURON: a tool for neuroscientists. *The*

Neuroscientist : A Review Journal Bringing Neurobiology, Neurology and Psychiatry, *7*(2),

123–135. Retrieved from http://www.ncbi.nlm.nih.gov/pubmed/11496923

Jun, J. K., Miller, P., Hernández, A., Zainos, A., Lemus, L., Brody, C. D., & Romo, R. (2010).

Heterogenous population coding of a short-term memory and decision task. *The Journal of*

Neuroscience, *30*(3), 916–929. https://doi.org/10.1523/JNEUROSCI.2062-09.2010

Konstantoudaki, X., Papoutsi, A., Chalkiadaki, K., Poirazi, P., & Sidiropoulou, K. (2014).

Modulatory effects of inhibition on persistent activity in a cortical microcircuit model.

Frontiers in Neural Circuits, *8*(January), 7. https://doi.org/10.3389/fncir.2014.00007

Kubota, Y., Kondo, S., Nomura, M., Hatada, S., Yamaguchi, N., Mohamed, A. A., …

Kawaguchi, Y. (2015). Functional effects of distinct innervation styles of pyramidal cells by

fast spiking cortical interneurons. *ELife*, (July 2015), 1–27.

https://doi.org/10.7554/eLife.07919

Kuroda, M., Yokofujita, J., & Murakami, K. (1998). An ultrastructural study of the neural circuit

between the prefrontal cortex and the mediodorsal nucleus of the thalamus. *Progress in*

Neurobiology, *54*(4), 417–458. Retrieved from

http://www.ncbi.nlm.nih.gov/pubmed/9522395

Lee, D. D., & Seung, H. S. (1999). Learning the parts of objects by non-negative matrix

factorization. *Nature*, *401*(6755), 788–791. https://doi.org/10.1038/44565

Lee, D., & Seung, S. (2001). Algorithms for Non-negative Matrix Factorization. *Advances in*

Neural Information Processing Systems 13, (1), 556–562.

Losonczy, A., & Magee, J. C. (2006). Integrative properties of radial oblique dendrites in

hippocampal CA1 pyramidal neurons. *Neuron*, *50*(2), 291–307.

https://doi.org/10.1016/j.neuron.2006.03.016

Mante, V., Sussillo, D., Shenoy, K. V, & Newsome, W. T. (2013). Context-dependent

computation by recurrent dynamics in prefrontal cortex. *Nature*, *503*(V).

https://doi.org/10.1038/nature12742

Markram, H., Lübke, J., Frotscher, M., Roth, A., & Sakmann, B. (1997). Physiology and

anatomy of synaptic connections between thick tufted pyramidal neurones in the developing

rat neocortex. *The Journal of Physiology*, *500 (Pt 2*, 409–440.

Meyers, E. M., Freedman, D. J., Kreiman, G., Miller, E. K., & Poggio, T. (2008). Dynamic

population coding of category information in inferior temporal and prefrontal cortex.

Journal of Neurophysiology, *100*(3), 1407–1419. https://doi.org/10.1152/jn.90248.2008

Morishima, M., & Kawaguchi, Y. (2006). Recurrent connection patterns of corticostriatal

pyramidal cells in frontal cortex. *The Journal of Neuroscience : The Official Journal of the*

Society for Neuroscience, *26*(16), 4394–4405. https://doi.org/10.1523/JNEUROSCI.0252-

06.2006

Morishima, M., Morita, K., Kubota, Y., & Kawaguchi, Y. (2011). Highly differentiated

projection-specific cortical subnetworks. *The Journal of Neuroscience : The Official*

Journal of the Society for Neuroscience, *31*(28), 10380–10391.

https://doi.org/10.1523/JNEUROSCI.0772-11.2011

Murray, J. D., Bernacchia, A., Roy, N. A., Constantinidis, C., Romo, R., & Wang, X.-J. (2017).

Stable population coding for working memory coexists with heterogeneous neural dynamics

in prefrontal cortex. *Proceedings of the National Academy of Sciences*, *114*(2), 394–399.

https://doi.org/10.1073/pnas.1619449114

Nevian, T., Larkum, M. E., Polsky, A., & Schiller, J. (2007). Properties of basal dendrites of

layer 5 pyramidal neurons: a direct patch-clamp recording study. *Nature Neuroscience*,

10(2), 206–214. https://doi.org/10.1038/nn1826

Otsuka, T., & Kawaguchi, Y. (2009). Cortical inhibitory cell types differentially form

intralaminar and interlaminar subnetworks with excitatory neurons. *The Journal of*

Neuroscience : The Official Journal of the Society for Neuroscience, *29*(34), 10533–10540.

https://doi.org/10.1523/JNEUROSCI.2219-09.2009

Packer, A. M., & Yuste, R. (2011). Dense, unspecific connectivity of neocortical parvalbumin-

positive interneurons: a canonical microcircuit for inhibition? *The Journal of Neuroscience :*

The Official Journal of the Society for Neuroscience, *31*(37), 13260–13271.

https://doi.org/10.1523/JNEUROSCI.3131-11.2011

Papoutsi, A., Kastellakis, G., & Poirazi, P. (2017). Basal tree complexity shapes functional

pathways in the prefrontal cortex. *Journal of Neurophysiology*, *118*(4), 1970–1983.

https://doi.org/10.1152/jn.00099.2017

Papoutsi, A., Sidiropoulou, K., Cutsuridis, V., & Poirazi, P. (2013). Induction and modulation of

persistent activity in a layer V PFC microcircuit model. *Frontiers in Neural Circuits*, *7*, 161.

https://doi.org/10.3389/fncir.2013.00161

Papoutsi, A., Sidiropoulou, K., & Poirazi, P. (2014). Dendritic Nonlinearities Reduce Network

Size Requirements and Mediate ON and OFF States of Persistent Activity in a PFC

Microcircuit Model. *PLoS Computational Biology*, *10*(7), e1003764.

https://doi.org/10.1371/journal.pcbi.1003764

Perin, R., Berger, T. K., & Markram, H. (2011). A synaptic organizing principle for cortical

neuronal groups. *Proceedings of the National Academy of Sciences of the United States of*

America, *108*(13), 5419–5424. https://doi.org/10.1073/pnas.1016051108

Perin, R., Telefont, M., & Markram, H. (2013). Computing the size and number of neuronal

clusters in local circuits. *Frontiers in Neuroanatomy*, *7*(February), 1.

https://doi.org/10.3389/fnana.2013.00001

Poirazi, P., Brannon, T., & Mel, B. W. (2003a). Arithmetic of subthreshold synaptic summation

in a model CA1 pyramidal cell. *Neuron*, *37*(6), 977–987. https://doi.org/10.1016/S0896-

6273(03)00148-X

Poirazi, P., Brannon, T., & Mel, B. W. (2003b). Pyramidal neuron as two-layer neural network.

Neuron, *37*(6), 989–999. https://doi.org/10.1016/S0896-6273(03)00149-1

Polsky, A., Mel, B. W., & Schiller, J. (2004). Computational subunits in thin dendrites of

pyramidal cells. *Nature Neuroscience*, *7*(6), 621–627. https://doi.org/10.1038/nn1253

Polsky, A., Mel, B. W., & Schiller, J. (2009). Encoding and decoding bursts by NMDA spikes in

basal dendrites of layer 5 pyramidal neurons. *The Journal of Neuroscience : The Official*

Journal of the Society for Neuroscience, *29*(38), 11891–11903.

https://doi.org/10.1523/JNEUROSCI.5250-08.2009

Renart, A., Song, P., & Wang, X.-J. (2003). Robust spatial working memory through

homeostatic synaptic scaling in heterogeneous cortical networks. *Neuron*, *38*, 473–485.

Retrieved from http://www.sciencedirect.com/science/article/pii/S0896627303002551

Rigotti, M., Barak, O., Warden, M. R., Wang, X.-J., Daw, N. D., Miller, E. K., & Fusi, S. (2013).

The importance of mixed selectivity in complex cognitive tasks. *Nature*, *497*(7451), 585–

590. https://doi.org/10.1038/nature12160

Rolls, T. T. (1997). Neural Networks and Brain Function, 428. Retrieved from

http://book.douban.com/subject/11752244/

Schuz, A., & Palm, G. (1989). Density of neurons and synapses in the cerebral cortex of the

mouse. *Journal of Comparative Neurology*, *455*, 442–455.

Shafi, M., Zhou, Y., Quintana, J., Chow, C., Fuster, J., & Bodner, M. (2007). Variability in

neuronal activity in primate cortex during working memory tasks. *Neuroscience*, *146*(3),

1082–1108. https://doi.org/10.1016/j.neuroscience.2006.12.072

Song, S., Sjöström, P. J., Reigl, M., Nelson, S. B., & Chklovskii, D. B. (2005). Highly

nonrandom features of synaptic connectivity in local cortical circuits. *PLoS Biology*, *3*(3),

e68. https://doi.org/10.1371/journal.pbio.0030068

Stokes, M. G. (2015). 'Activity-silent' working memory in prefrontal cortex: a dynamic coding

framework. *Trends in Cognitive Sciences*, 1–12. https://doi.org/10.1016/j.tics.2015.05.004

Stokes, M. G., Kusunoki, M., Sigala, N., Nili, H., Gaffan, D., & Duncan, J. (2013). Dynamic

coding for cognitive control in prefrontal cortex. *Neuron*, *78*(2), 364–375.

https://doi.org/10.1016/j.neuron.2013.01.039

Thomson, A. M., & Lamy, C. (2007). Functional maps of neocortical local circuitry. *Frontiers in*

Neuroscience, *1*(1), 19–42. https://doi.org/10.3389/neuro.01.1.1.002.2007

Van Kerkoerle, T., Self, M. W., & Roelfsema, P. R. (2017). Layer-specificity in the effects of

attention and working memory on activity in primary visual cortex. *Nature*

Communications, *8*, 1–12. https://doi.org/10.1038/ncomms13804

Wang, H.-X., & Gao, W.-J. (2009). Cell type-specific development of NMDA receptors in the

interneurons of rat prefrontal cortex. *Neuropsychopharmacology : Official Publication of*

the American College of Neuropsychopharmacology, *34*(8), 2028–2040.

https://doi.org/10.1038/npp.2009.20

Wang, M. J., Yang, Y., Wang, C.-J., Gamo, N. J., Jin, L. E., Mazer, J. a, … Arnsten, A. F. T.

(2013). NMDA receptors subserve persistent neuronal firing during working memory in

dorsolateral prefrontal cortex. *Neuron*, *77*(4), 736–749.

https://doi.org/10.1016/j.neuron.2012.12.032

Wang, M., Ramos, B. P., Paspalas, C. D., Shu, Y., Simen, A., Duque, A., … Arnsten, A. F. T.

(2007). Alpha2A-adrenoceptors strengthen working memory networks by inhibiting cAMP-

HCN channel signaling in prefrontal cortex. *Cell*, *129*(2), 397–410.

https://doi.org/10.1016/j.cell.2007.03.015

Wang, X.-J. (1999). Synaptic basis of cortical persistent activity: the importance of NMDA

receptors to working memory. *The Journal of Neuroscience : The Official Journal of the*

Society for Neuroscience, *19*(21), 9587–9603.

Wang, X.-J., Song, H. F., Yang, G. R., Joglekar, M. R., & Newsome, W. T. (2019). Task

representations in neural networks trained to perform many cognitive tasks. *Nature*

Neuroscience, *22*(2), 297–306. https://doi.org/10.1038/s41593-018-0310-2

Wang, Y., Markram, H., Goodman, P. H., Berger, T. K., Ma, J., & Goldman-Rakic, P. S. (2006).

Heterogeneity in the pyramidal network of the medial prefrontal cortex. *Nature*

Neuroscience, *9*(4), 534–542. https://doi.org/10.1038/nn1670

Watts, D. J., & Strogatz, S. H. (1998). Collective dynamics of "small-world" networks. *Nature*,

393(6684), 440–442. https://doi.org/10.1038/30918

Wimmer, K., Nykamp, D. Q., Constantinidis, C., & Compte, A. (2014). Bump attractor

dynamics in prefrontal cortex explains behavioral precision in spatial working memory.

Nature Neuroscience, (November 2013). https://doi.org/10.1038/nn.3645

Wit, E., van den Heuvel, E., & Romeijn, J. W. (2012). 'All models are wrong. ': An introduction

to model uncertainty. *Statistica Neerlandica*, *66*(3), 217–236.

https://doi.org/10.1111/j.1467-9574.2012.00530.x

Woo, R.-S., Li, X.-M., Tao, Y., Carpenter-Hyland, E., Huang, Y. Z., Weber, J., … Mei, L.

(2007). Neuregulin-1 enhances depolarization-induced GABA release. *Neuron*, *54*(4), 599–

610. https://doi.org/10.1016/j.neuron.2007.04.009

Figures

Figure 1 | PFC network model reproduces single neuron dynamics.

(A) Left: Non-linear NMDA responses are generated in the basal dendrites of the pyramidal

neurons as in (Nevian et al., 2007). Orange: voltage response of the basal dendrite while

increasing the number of activated synapses (2 events at 50Hz, activation of 1 to 21 synapses,

with step 5). Blue: Corresponding somatic response. Right: Cartoon morphology of the

pyramidal model. Orange: basal dendritic compartment, blue: somatic compartment, black:

apical dendritic compartments (B) Schematic representation of the network model. Fifty

randomly selected PCs (n=10 trials) were stimulated. PCs and FSs connectivity profile was

based on experimental data (see also Figure S1). (C) Exemplar voltage responses of PCs (top

three) and FSs (bottom three) in a single trial. Shaded region indicates stimulus presentation

(1sec). Arrow: PC that received stimulation. (D) Histograms of inter-spike intervals (ISIs) (top)

and Coefficient of Variation of ISIs (CV, bottom) for PCs. Data are pooled for different

structured network instances and trials for the stimulus period (green) and post-stimulus period

(black). Distribution means are indicated with vertical lines.

Figure 2 | Dynamic population activity following stimulation.

(A) Top: Raster plot of network activity to a 1 sec stimulus (shaded green area) for pyramidal

neurons and interneurons. Bottom: Same trial's smoothed (temporal window: 11ms) firing

frequencies of each pyramidal, showing the different and highly dynamic responses over time.

Plotted are PCs with average firing frequency across stimulus and post-stimulus epochs above

10Hz. (B) Cross correlation of network states (activity of each pyramidal neuron in a temporal

window q=50ms) across the stimulus and post-stimulus epochs (aligned on stimulus onset,

stimulus duration: 1sec). Color bar indicates the correlation coefficient, re-aligned to 0.7-1 range.

(C) Network response energy (mean firing rate) velocity, aligned on stimulus epoch onset. (D)

Multidimensional velocity of network response, aligned on stimulus epoch onset. C,D: shaded

region corresponds to stimulus epoch.

Figure 3 | NMDA nonlinearities create distinct stable trajectories.

(A) Population activity (n=10 trials) reduced in the first two principal components over time.

Stimulus epoch is colored green. Different colors (yellow, red, magenta, blue) correspond to

different clusters of post-stimulus trajectories, as dictated by k-means analysis. Color map

luminosity (brighter to darker) denotes time progression. (B) As before, when removing the

NMDA synaptic conductance and compensating by increasing AMPA conductance. This results

in the formation of one trajectory for different stimuli. Inset: non-linear response at the soma

(black) for the control condition (NMDA and AMPA). Both the non-linear jump and the

depolarizing plateau are eliminated (blue), for increasing synaptic drive in the single neuron

when NMDA conductance is removed (basal dendrite stimulation, 2 events at 50Hz, activation

of 1 to 21 synapses, see also Figure S2A,B). (C) As before, after removal of the Mg^{2+} blockage.

This again results in the formation of a single trajectory. Inset: By removing the Mg^{2+} blockage,

the non-linear jump is removed (black control, blue Mg^{2+} blockage), while the depolarizing

plateau is retained (basal dendrite stimulation, 2 events at 50Hz, activation of 1 to 21 synapses,

see also Figure S2A,C).

1011

1012

Figure 4 | Network activity states in response to same inputs, showcasing network re-

configurability. (A,B,C) Population activity in PCA space for the same structured network

instance, but over three synaptic rearrangements. Clusters of trajectories are color coded. It is

evident that the number of trajectories produced, changes due to the synaptic rearrangements.

The same neurons are stimulated in each trial between rearrangements. (D) Same network

instance, but for n=10 synaptic rearrangements, showcasing that trajectories in large part do not

overlap (10 trials, 10 synaptic rearrangements). Color code by synaptic rearrangement . (E)

Histogram of optimal number of clusters (K*) after k-means for all n=4 structured network

instances of n=10 synaptic rearrangements, for n=10 trials each. (F) In the presence of NMDA

nonlinear synaptic integration, a randomly connected network produces fewer trajectories

compared to the structured one (see also Figure S2D).

1025

Figure 5 | Different neuronal assemblies are active for each population activity trajectories.

(A) Top: Smoothed single neuron activities for the last 0.5 sec of stimulus (green area) and the

initial 0.5 sec of the post-stimulus epoch of a given trial. Bottom: Same activity, but now reduced

with NNMF to 3 components (assemblies). The dynamic and highly irregular single neuron

activity, appears smooth in the assembly space and the shift in the representation from the

stimulus to post-stimulus epoch is evident. The order of operations to go from the assembly

space to the original is overlaid. Left: toy examples of assemblies created by 4 neurons. Each

neuron can participate in more than one assembly. Each assembly is expressed as a vector of

participating neurons. Right: each of the assemblies is multiplied (outer product) with its activity

vector over time. Population activity is reconstructed by the summation of the respective

matrices across assemblies. (B) Post-stimulus epoch activity in 9 trials (9 out of 10 with PC

activity up until the last second of the simulation) reduced with NNMF to three assemblies and

presented each time as the activity of each assembly. Note that assembly activity is dynamic

across time. Colors correspond to the different trials. (C) Same data as in B, color coded per the

trajectories identified in Figure 3A and plotted in the assembly space. It is evident that

trajectories that cluster in state space (similar colors), appear to correspond to the activity of

specific assemblies/assembly combinations. Note that assemblies are quasi-orthogonal (a neuron

can be engaged in more than one assembly) and orthonormal axis are just for visualization

purposes. This is expected due to the existence of neurons with mixed selectivity to memoranda.

(D) Correlation analysis of optimal number of trajectories and assemblies shows that there is

correlation (r=0.37 p=0.017), yet a single trajectory might not necessarily be created from only

one assembly.

1048

1049