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6	Level HIV Epidemic in Nigeria: Data Triangulation Analysis
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27	DISCLAIMER
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30	imply endorsement by CDC or the United States Department of Health and Human Services.

31 Abstract

32 Nigeria relies on data from periodic resource-intensive surveys such as antenatal HIV 33 seroprevalence sentinel surveys (ANC-HSS) and population-based National AIDS and Reproductive 34 Health Surveys (NARHS) for its HIV control efforts. Nigeria has not explored the use of readily available routine programmatic data (RPD) to easily inform and monitor epidemic control efforts at local settings 35 in near real time. This study aimed to determine the utility of RPDs (Prevention of Mother-To-Child 36 Transmission [PMTCT] and HIV Testing and Counseling [HTC]) as a proxy for monitoring HIV epidemic in 37 Nigeria. Using World Health Organization 12 step triangulation procedures, we compared state-level 38 39 seropositivity data from PMTCT and HTC programs to HIV prevalence data from NARHS and ANC-HSS reports in relevant pairs from 2010 to 2014 in Nigeria. The study population was pregnant women and 40 41 general population. We abstracted relevant data from PEPFAR Nigeria data source and published 42 national survey reports. We compared visual (scatterplots and maps) patterns and trends, and performed Pearson correlation and univariate linear regression models of the estimates for best 43 matched/contiguous years for which data were available. Correlation between PMTCT2014 and ANC-44 HSS2014 was positive and significant (R=0.7,p<0.001). ANC-HSS2014 and HTC2014 were slightly 45 correlated (R=0.4,p<0.05). Significant correlation was observed between ANC-HSS2010 and 46 PMTCT2013 (R=0.8,p<0.001) and between ANC-HSS2010 and HTC2013 (R=0.6, p<0.001). All RPD 47 sources and ANC-HSS indicated a decreasing trend in national HIV prevalence in Nigeria. PMTCT2014 48 49 data showed strong capability of predicting HIV prevalence in ANC-HSS2014 in regression model (B=2.09,p<0.0001). Use of routine PMTCT data in monitoring HIV prevalence among women of 50 51 reproductive age could be more valid and reliable in local settings than the use of HTC data. Use of RPD to monitor national and sub-national-level HIV epidemic in between national surveys in Nigeria could 52 53 maximize program resources, and promote a more responsive and efficient actions toward epidemic 54 control.

56 Introduction

An estimated 3.2 million people live with HIV (PLHIV) in Nigeria with 160,000 of those dying annually [1]. Additionally, the number of new infections was estimated at 220,000 in 2013 [1] which is the second highest in the African sub-region, while the disease has also rendered 1.8 million children orphans [2]. However, Nigeria has estimated a steady decline in the prevalence of HIV, from 5.8% in 2001, to 4.1% in 2010 and 3.0% in 2014 [3].

Common sources of HIV survey data are the Antenatal Care HIV Sero-Prevalence Sentinel Surveys 62 (ANC-HSS) and the National AIDS and Reproductive Health Survey (NARHS). These surveys are designed 63 64 for obtaining data for specific HIV related estimates that could be useful in population based health planning and programming. The ANC-HSS is conducted every 2 years [4] and NARHS every 4 years. ANC-65 HSS is conducted among all pregnant women who visit an antenatal facility for for anternatal services 66 67 for the first time for a confirmed pregnancy [5]. The survey was conducted in about 160 antenatal care (ANC) sentinel facilities across the 36 states and the Federal Capital Territory (FCT)[6]. The NARHS is a 68 nationally representative household survey conducted to elicit information from the general population 69 on relevant HIV, reproductive health, and knowledge and behavioral indicators [7]. Though the NARHS 70 is considered to be more nationally representative, it is more expensive and difficult to sustain in 71 72 resource-limited settings.

Prevention-of-Mother- to-Child Transmission (PMTCT) of HIV services involves the identification of pregnant women living with HIV during ANC and the provision of appropriate Antiretroviral Therapy (ART) to ensure that the mother stays healthy and prevent HIV transmission to the child [8]. The PMTCT services are provided in most ANC clinics so the women attending ANC services are easily referred to PMTCT services in the same clinic. Techically, routine PMTCT data and ANC-HSS both reflect HIV testing among pregnant women, however PMTCT data does not cover all ANC sites. Among pregnant women, enrolment into ART is preceded by an opt-out HIV test and counseling (HTC) process that determines
the HIV status of the clients (including PMTCT clients). For the general population, HTC services are
usually the entry points into HIV programs in which the clients are referred to access other HIV services
(prevention, treatment, and care services) depending on their HIV status. Unlike the PMTCT services,
which is limited to pregnant women, the HTC services are open to the general population in the clinics
and communities through mobile community testing.

PMTCT data are primarily collected and generated at the HTC settings of the ANC clinics using 85 the national PMTCT registers. The data are summarized on monthly basis by each facility using the 86 87 Monthly Summary Forms (MSF) and transmitted manually or electronically to the next higher subnational unit reporting/data collection level for validation, collation, and further transmittion to the 88 national/central level. HTC data, sharing similar data reporting system with the PMTCT, is generated 89 from all other HTC points of service operated at other settings within the clinic (such as voluntary 90 counselling and testing, DOT-tuberculosis, out-patient-department settings etc) and community 91 92 settings, except from the ANC; thus, its population is more generalized than the PMTCT and more likened to the NARHS population that involved household (community) testing. 93

Researchers have recommended the need to validate both the use of routine programmatic data (RPD) such as PMTCT program data for surveillance purposes [6,9–11] and the suitability of the ANC-HSS among other data sources in estimating the HIV prevalence in the general population [12–15]. These estimations form the essential principles of the Joint United Nations Programme on HIV/AIDS (UNAIDS) spectrum HIV estimates for many countries [16]. Some countries such as Kenya, Ethiopia [6] and Rwanda [10] have been able to integrate the PMTCT information in the ANC forms which makes it easier to collect and compare information from both arms (RPD and Survey data) during the countries' ANC-HSS.

However, Nigeria has not been able to successfully link these two arms in ANC-HSS; hence, PMTCT data
 utility for surveillance and monitoring purposes has not been fully established in the country.

103 Rationale for the study

The government of Nigeria (GoN) and PEPFAR have supported the generation and management 104 of routine program data primarily for accountability and the monitoring of the PMTCT program, as well 105 106 as to improve the implementation of HIV programs and services in Nigeria. However, the utility of program data in monitoring the country's epidemic pattern and trend, and for timely impact assessment 107 108 of the HIV programs in Nigeria has not been previously demonstrated. In additon, producing reliable, timely and consistent surveillance and population based data to support the effective implementation 109 110 of evidence-based high-impact interventions to control HIV epidemic remains a challenge. The aim of 111 the study was to determine the potential utility of RPD in monitoring HIV epidemic among the pregnant women and general population at the national and local levels in Nigeria. The significance of the study 112 113 also shares in the benefits of effective HIV case base surveillance systems in which updated population 114 level data that are routinely collected from health records are used to inform epidemic control decisions at both local and regional levels [17]; hence, accommodating the dynamism of the epidemic. The 115 116 overarching research question was: to what extent could routine PMTCT and HTC program data sources be used to monitor HIV prevalence among women of reproductive age and in general population in the 117 sub-national settings in Nigeria? This activity was reviewed in accordance with the US Centers for Disease 118 119 Control and Prevention (CDC) human research protection procedures and was determined to be 120 research, but did not involve human subjects.

121 Objectives of the study

122	I.	To determine data concurrence and any correlation(s) between HIV seropositivity estimates
123		from RPD (PMTCT and HTC data) and prevalence estimates from the national
124		survey/surveillance data (ANC-HSS and NARHS) sources between 2010 and 2014 in Nigeria.
125	II.	To examine the trend and concurrence between the national HIV seropositivity rate from RPD
126		and national prevalence from the national survey/surveillance data (NSD).
127	III.	To determine the extent of predictive association between the HIV seropositivity estimates
128		from RPD and prevalence from the NSD sources.

129 Materials and methods

130 Study design

The target population were the general population and pregnant women. We conducted a 131 retrospective secondary analysis of HIV seropositivity data from RPD sources and compared them with 132 those reported in NSD sources: ANC-HSS (for pregnant women) and NARHS survey (for general 133 population) reports. The comparison, in principle, adopted the 12- step triangulation model 134 recommended by WHO [18]. The model essentially involves initial identification of research questions 135 that are answerable through triangulation, then, identifying the data sources, understanding the 136 background of the data sources, collation of the data/reports, running observational analysis to 137 understand the patern and trend among the sources, and drawing conculsions through summarized 138 findings [18]. Data sources were identified based on the objectives of this study. The study variables 139 from the data sources were the HIV prevalence estimates from NSD and seropositive rates from RPD. 140

141 Data Sources

142	The relevant data sources were broadly categorized into two: NSD and RPD sources. The NSDs
143	were the ANC-HSS and NARHS survey reports while the RPDs were the PEPFAR HTC and PMTCT APR
144	data (see Table 1A below). The variables of interest from these data sources were the HIV prevalence
145	estimates (from ANC-HSS and NARHS) and seropositivity rates (from PMTCT and HTC). The ANC-HSS
146	and PMTCT data sources represent prevalence estimates among pregnant women while the NARHS
147	and HTC data sources represent that in the general population.
148	National and states HIV prevalence and seropositivity estimates were abstracted from national
149	reports and all the available data sources respectively, between 2010 and 2014. All avaibale PMTCT
150	data (irrespective of contiguity of PMTCT facilties to ANC sentinel sites) were used to determine the
151	state estimates. However, all four data sources of interest were not available for the same year due to
152	the inconsistencies in the frequency at which national surveillance/surveys were conducted in Nigeria.
153	The national surveys did not align with the years in which the RPD sources were available; thus, we
154	paired the NSDs and the RPDs based on the closest years of availability (Table 1A).
	Table 1A. Collated Data Sources for HIV Prevalence Estimates in Nigeria

Data Categories	Data Sources	Years Available				
		2010	20111	2012	2013	2014
	ANC	Yes	N/A	No	N/A	Yes
NSD	NARHS	N/A	N/A	Yes	N/A	N/A
סחס	PEPFAR APR HTC	N/A	N/A	N/A	Yes	Yes
RPD	PEPFAR APR PMTCT	N/A	N/A	N/A	Yes	Yes

Note: <u>No</u> means that the data was expected for the year but not available or not in the format useful for the triangulation analyses. <u>NA</u> means the data was not expected for the year based on the original periodic design. None of the data sources were applicable (NA) in 2011, so the year was removed from the table.

155

- 156 Hence, the data sources were batched in the order of most matched or contiguous years and
- 157 Batch 1 was most matched data sources (Table 1B).
- 158 Table 1B. Batch grouping of the HIV Data Sources by year of availability for analyses

Batch	Data S	ources
Number	Source Name	Year
1	ANC-HSS	2014

PEPFAR-HTC	2014
PEPFAR-PMTCT	2014
NARHS	2012
PEPFAR-HTC	2013
PEPFAR-PMTCT	2013
ANC-HSS	2010
PEPFAR-HTC	2013
PEPFAR-PMTCT	2013
	PEPFAR-PMTCT NARHS PEPFAR-HTC PEPFAR-PMTCT ANC-HSS PEPFAR-HTC

159

160 **Data Analysis**

Seropositive estimates were used as determined by the PEPFAR/HIV program. There were no 161 162 advanced or further statistical adjustments to the methodologies or analysis. The program simply 163 divided the number of HIV positive clients by the total number tested by states and any other sub 164 national units (SNUs). Prevalence estimates from NSD sources were collated as reported in the national reports. We do not intend to manipulate any process or methods that yielded the estimates. 165 166 Visual analyses were performed to determine the geographic distribution patterns and concurrence levels of HIV prevalence and seropositivity using maps, and the level of correlation 167 between the paired sources using the scatter plots charts. The scatter plot graphs were used to 168 visualize the extent of correlation between the absolute prevalence estimates of the RPD sources and 169 the NSD sources. A linear regression model line was fitted to show correlationship pattern as well as 170 determine the correlation factor (R^2) of the paired data sources. We used ArcMap 10.2 to generate 171 172 visual maps and geographic distribution concurrence levels, all set at quantile classifications with four classes. The distribution concurrence levels were computed as the proportion of states (between the 173 two compared data sources) that showed exactly the same HIV prevalence color patches. Technically, 174 175 this means the number of states (between the paired sources in comparison) that fall within the same HIV prevalence quartile divided by the 37 (the 36 states plus Federal Capital Territory). These analyses 176 177 were performed to respond to the first objective of the study.

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178	Bivariate simple linear regression analysis were performed to determine the measures and
179	strengths of the predictive relationships between the respective paired data sources in response to
180	the third study objective . The SPSS-IBM 21.0 was used to perform the correlations and line charts, and
181	linear regression modeling. For the linear regression, the RPD sources were used as the independent
182	variables (predictors) and NSD sources as the dependent (response) variables, and leaving the entry
183	method at "Enter". This means that all the predictor/independent variables were entered into the
184	model at once. Significance was assessed at P<0.05. Data sources from program and national surveys
185	were analyzed in pairs to facilitate clarity within batches.
186	Trend analysis was performed on the respective national estimates (median of state-level

estimates) from the data sources to determine the concurrence on their reflection of the trend of HIV

188 prevalence in the country within the study period.

189 **Results**

Analysis for Batch 1 data sources (ANC-HSS 2014, HTC 2014 and PMTCT 2014) showed that the 190 median prevalence was lowest in the PMTCT 2014 (1.3%) and highest in the HTC 2014 (3.5%). The PMTCT 191 192 data showed the most compact distribution (standard error[SE] 0.16), followed by HTC (SE 0.33) and 193 ANC-HSS (SE 0.5). Batch 2 (NARHS 2012, PMTCT 2013, HTC 2013) showed similar pattern for HTC and PMTCT median prevalence, with HTC (4.2%) more than double that of PMTCT (1.6%). The NARHS 2012 194 survey had a median prevalence of 2.3%. Again, the PMTCT data showed a similar compact distribution 195 196 (Standard deviation [SD] D 1.91) than the other data sources (NARHS: SD 3.34; HTC: SD 2.16) as with Batch 1 data sources. In Batch 3, median prevalence of ANC-HSS 2010 was 4.1%. These estimates stand 197 198 between the lowest PMTCT 2013 and highest HTC 2013 median rates.

Table 2. Summary State Level HIV Prevalence Distribution from Routine and Surveillance Data Sources in Nigeria (2010-2014) n = 37

Potential Utility of Routine Program Data in Monitoring HIV Epidemic in Nigeria: Data Triangulation_V5

		ANC-HSS	PMTCT	НТС	NARHS	РМТСТ	HTC	ANC-HSS
		2014	2014	2014	2012	2013	2013	2010
Mean	(SEM)	4.00 (0.50)	1.50 (0.16)	3.91 (0.33)	3.45 (0.55)	2.28 (0.31)	4.84 (0.35)	4.80 (0.46)
Media	an	3.0	1.28	3.47	2.30	1.62	4.20	4.10
Std. D)ev.	3.03	0.96	2.01	3.34	1.91	2.16	2.80
Min		0.9	0.3	1.4	0.2	0.6	1.8	1.0
Max		15.4	4.7	10.4	15.2	9.2	11.0	12.7
Perc.	25	1.90	.76	2.65	1.05	1.15	3.35	2.50
	75	5.45	2.10	4.92	5.45	2.35	6.40	6.55

199

200 Concurrence and Correlation Patterns

Figures 1-3 show line charts comparing states' mean HIV prevalence rates between the data sources within each batch. Batch 1 graphs (Figure 1A&B) show that the ANC-HSS 2014 has a more

203 correlated pattern with PMTCT 2014 at $R^2 = 44.0\%$ than with the HTC 2014 at $R^2 = 23.3\%$

204 Fig 1. Scatterplot of ANC-HSS 2014 and RPD sources 2014

Figure 2, compares the mean HIV prevalence rates for the NARHS 2012, a National population based survey with PMTCT 2013 and HTC 2013 seropositivity rates. The NARHS 2012 source demonstrated low correlation patterns with the respective RPD sources at R² less than 17%; however,

it shows better correlation with HTC 2013 at $R^2 = 16.5\%$ than with PMTCT 2013 at $R^2 = 14.6\%$.

209 Fig 2. Scatterplot of NARHS 2012 and RPD sources 2013

Figure 3 shows that the correlation patterns of batch 3 paired data sources were similar to that

of batch 2; however, with a stronger correlation factors between the paired ANC-HSS and RPD sources

212 ($R^2 = 55.7\%$ between ANC-HSS 2010 and PMTCT 2013 and $R^2 = 35.9\%$ between ANC-HSS 2010 and HTC

213 2013).

Fig 3. Scatterplot of ANC-HSS 2010 and RPD sources 2013

215

216 Geographic Distribution Patterns of HIV Prevalence / Seropositivity rates

- 217 The geographic HIV prevalence patterns of the batch 1 data sources were similar (Fig 4). All show
- common higher HIV prevalence in the lower South-West, South-South, South-East, eastern part of the
- 219 North-Central, and part of North-East regions of the country. ANC-HSS 2014 and PMTCT 2014 data from
- 220 batch 1 had 40.5% (n=15)¹ concurrence, and ANC-HSS 2014 and HTC 2014 data had 35.1% (n=13)²
- 221 concurrence. Generally, four states (Akwa-Ibom, Benue, Nassarawa, and Rivers States) had consistent
- 222 prevalence patterns across the three data sources

223 Fig 4. Map Geographic Distribution Pattern of HIV Prevalence from Batch 1 Data Sources.

- Batch 2 data sources showed a similar regional pattern as in batch 1 (see Fig 5). The distribution
- 226 concurrence between NARHS 2012 and PMTCT 2013 was 40.5% (n = 15)³ and 37.8% (n=14)⁴ for NARHS
- 227 2012 and HTC 2013.

224

Fig 5. Map Geographic Distribution Pattern of HIV Prevalence from Batch 2 Data Sources.

- 229 Comparing ANC-HSS 2010 to PMTCT 2013 and HTC 2013, the concurrence between ANC-HSS 2010 and
- 230 PMTCT 2013 was 46% (n=17)⁵ and 37.8% (n=14)⁶ with HTC 2013 (Figure 6).

Fig 6. Map Geographic Distribution Pattern of HIV Prevalence from Batch 3 Data Sources.

232 Analysis of Correlations and Associations between the Data Sources

- 233 In batch one, there was a significant correlation between mean HIV prevalence from PMTCT2014
- program data and ANC-HSS 2014 surveillance report (R = 0.7, p < 0.01); and between the HTC2014
- program HIV prevalence data and ANC-HSS 2014 surveillance report (R = 0.4, p< 0.05) as shown in table

¹ ANC-HSS 2014:PMTCT 2014 states with similar pattern (Kebbi, Zamfara, Jigawa, Yobe, Oyo, Ekiti, Bayelsa, Rivers, Akwa Ibom, Ebonyi, Benue, Nasarawa, FCT, Enugu and Adamawa).

² ANC-HSS 2014:HTC 2014 states with similar pattern (Kebbi, Zamfara, Jigawa, Bauchi, Oyo, Edo, Delta, Bayelsa, Rivers, Akwa Ibom, Benue, Nasarawa and Ogun).

³ NARHS 2012:PMTCT 2013 states with similar prevalence patterns (FCT, Nassaraw, Akwa Ibom, Rivers, Taraba, Cross-River, Osun, Jigawa, Kwara, Enugu, Bauchi, Katsina, Zamfara, Kebbi, and Ebonyi).

⁴ NARHS 2012:HTC 2013 states with similar prevalence patterns (Katsina, Zamfara, Kebbi, Osun, Ekiti, Edo, Kogi, Enugu, Enonyi, Cross River, Rivers, Akwa Ibom, Taraba, and Plateau)

⁵ ANC-HSS 2010:PMTCT 2013 states with similar prevalence patterns (FCT, Nassaraw, Benue, Akwa Ibom, Rivers, Abia, Borno, Kogi, Lagos, Niger, Oyo, Ogun, Yobe, Bauchi, Katsina, Zamfara, and Kebbi)

⁶ ANC-HSS 2010:HTC 2013 states with similar prevalence patterns (Benue, Akwa Ibom, Abia, Bayelsa, Kaduna, Lagos, Niger, Ogun, Delta, Katsina, Zamfara, Kebbi, Kwara, and Ekiti)

3. The correlation between the HTC2014 program data and ANC-HSS 2014 data was statistically 236 significant; however, it was rather weaker than the PMTCT2014 - ANC-HSS2014 correlation. In batch 237 238 two, the individual correlations between the mean HIV prevalence of the two program data sources (PMTCT 2013 and HTC 2013) and the population based surveillance report (NARHS2012) were positive 239 and statistically significant. PMTCT2013 and NARHS2012 was correlated as R = 0.38 (p< 0.05). HTC2013 240 and NARHS2012 was correlated at R = 0.41 (p< 0.05). The pattern of correlations among the paired 241 sources in batch 3 were guite similar to that of batch 1. However, batch 3 correlations showed overall 242 stronger statistically significant correlations between the 2013 RPD sources and ANC2010 report at the 243 244 individual paired levels. The correlation between PMTCT2013 and ANC2010 was stronger (R = 0.75, p < 0.750.001) compared to that between HTC2013 and ANC2010 (R = 0.60, p < 0.001). 245

Overall Comparative National HIV Prevalence Trend from Routine and Program Data Sources in Nigeria

On further review of the data sources to understand the HIV prevalence trend in the last three 248 249 years among the three data sources with more than one time point for comparison (ANC-HSS, PMTCT and HTC), all suggested a steady decline in the HIV prevalence from 2012 to 2014 for PMTCT and HTC, 250 and 2010 to 2014 for ANC-HSS (no middle year estimate was available) (Figure 7). However, the 251 252 prevalence estimates from the HTC program data were consistently higher, while the prevalence estimates from the PMTCT program data were lower prevalence over the period. The ANC-HSS 253 254 estimates, though interpolated on two extreme estimates, takes the middle values with HTC estimates 255 providing the upper range and PMTCT providing the lower range along the trend.

Fig 7. Trend Pattern of HIV Prevalence Estimates between 2010 and 2014 from the three data sources.

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260 **Predictive Association between Paired Data Sources**

261	Table 3 also shows that the predictive association between PMTCT2014 program data and the
262	ANC2014 HIV prevalence data in batch 1 was statistically significant at β = 2.09, (Confidence Interval [CI]
263	1.28, 2.90; R ² =43%), and the association between the HTC 2014 and ANC-HSS 2014 prevalence estimates
264	was also statistically significant at β = 0.6, (Cl 0.10, 1.06; R ² =12%). In batch 2, the association between
265	NARHS2012 and the individual RPD sources were β = 0.67 (Cl 0.11, 1.22; R ² =12%) for PMTCT2013 and β
266	= 0.63 (CI 0.14, 1.11; R ² =14%) for HTC2013. In batch 3, the PMTCT2013 showed statistically significant
267	association with ANC2010 at β = 1.09 (Cl 0.76, 1.42; R ² =57%) and HTC2013 showed similar association

268 with ANC2010 but at β = 0.78 (Cl 0.43, 1.13; R²=35%).

Table 3. Correlation and Regression Models of the paired RPD and PMTCT data sources

Batch #	Dependent variable	Model (Predictors)	Pearson Correlation	β beta coeff. (Std.Error)	R2	95% Confidence Interval for β	
		(**************************************				Lower	Upper
						Bound	Bound
1	ANC-HSS	PMTCT2014	0.66***	2.09*** (0.39)	43%	1.28	2.90
	2014	HTC2014	0.38**	0.58* (0.24)	12%	0.10	1.06
2	NARHS	PMTCT2013	0.38*	0.67* (0.27)	12%	0.11	1.22
	2012	HTC2013	0.41**	0.63** (0.24)	14%	0.14	1.11
3	ANC-HSS	PMTCT2013	0.75***	1.09*** (0.16)	57%	0.76	1.42
	2010	HTC2013	0.60***	0.78*** (0.17)	35%	0.43	1.13

n = 38. p <= 0.001***, p <=0.01**, p <= 0.05*

269

270 **Discussion**

271	Our findings show that PMTCT data sources aligned more closely with the ANC-HSS data sources
272	than HTC data sources did with the same ANC-HSS. This alignment pattern is understandable considering
273	the fact that the ANC-HSS and PMTCT share similar population, which is pregnant women. On the other
274	hand, though the PMTCT2013 and HTC2013 data demonstrated no appreciable correlation with the
275	NARHS2012 source, and similar wideness to their fitted lines (R-squared < 17%), their patterns showed

a positive relationships with the NARHS data. No clear differences in terms of individual visual alignments
could be observed between the two RPD sources and NARHS; however, the ANC-HSS data seem to show
a better visual alignment with PMTCT data sources than HTC sources .

279 The alignment pattern analysis of the state prevalence estimates in the different data batches were further supported by the comparative geographic distribution map analysis of the paired data 280 sources. On comparing the geographic distribution pattern and concurrence levels of the RPD and NSD 281 282 sources among the sub-national units, the PMTCT RPD and the corresponding NSD sources demonstrated higher concurrence levels across the three batches than seen with the HTC RPD sources. 283 284 For example, the PMTCT RPD source concurrence level from batch 1 to 3 were 40.5%, 40.5%, and 46% compared to 35.1%, 37.8%, and 37.8% with HTC RPD sources. The map review, in addition, showed 285 similarities in the general regional distribution pattern in HIV prevalence estimates between the paired 286 data sources. They demonstrated strong evidence that the higher burden of the disease may reside at 287 the South-Eastern, Central-Eastern, and extreme North-Eastern Nigeria. This further demonstrated 288 289 that the PMTCT data could provide similar regional estimates of the disease burden than the HTC data with reference to the ANC HIV sero-prevalence sentinel survey estimates. In all, the map analysis 290 strengthens the similarities and utility of RPD in monitoring the country prevalence using four 291 classification levels of the prevalence. 292

In all instances, PMTCT estimates had stronger significant association with both ANC-HSS and NARHS estimates compared with HTC, but more with ANC-HSS than NARHS. This could be explained by the common target population from which the ANC-HSS and PMTCT programmatic data sources were generated, and similar in methods and settings. These findings were consistent with the findings observed in similar studies in India, [19] Rwanda, [10] and Mozambique [11]. However, the utility of PMTCT data in substituting ANC-HSS data had been questioned, largely due to perceived poor quality in

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the testing and data management practices at PMTCT program sites [12-14]. Bolu et al., however, suggested that despite these reservations, the PMTCT still has better utility in HIV program management when used in conjunction with the ANC-HSS data [6].

Our findings demonstrated a decreasing trend in the national seropositivity estimates from 2012 302 303 to 2014. This trend is similar to that of the national HIV prevalence observed in ANC-HSS source from 304 2010 to 2014; however, only two estimates in 2010 and 2014 were available. The trend alignment is 305 explained by the higher to lower mean seropositivity rate / prevalence derived from these sources. The trend from NARHS could not be ascertained because only one prevalence estimate in 2012 was available. 306 307 Furthermore, the relatively low prevalence estimates from the PMTCT data sources (compared to ANC-308 HSS) in this study were similar to those reported in the literature. Earlier studies found that the PMTCT prevalence data were general lower than that of the ANC-HSS [6,10,11] except Seguy et al. who found 309 the PMTCT prevalence (14%) a little higher than the ANC-HSS prevalence (13%) [9]. Our results suggested 310 that PMTCT prevalence estimates may be lower than the national survey prevalence while the HTC 311 312 prevalence estimates are higher than the national surveys; thus, PMTCT prevalence may form the lower range of the national surveys while the HTC estimates forms the upper range limits. 313

Although our study data sources did not include sufficient population-based survey data, the 314 315 results concur with the spectrum suggested that the ANC-HSS may overestimate the prevalence in the 316 general population but could still reliably estimate the HIV prevalence trend in the general population. 317 The suggestion is consistent with the conclusions from similar comparative studies in 26 countries with 318 generalized epidemics, [12] in Zimbabwe, [13] in sub-Saharan Africa [14] and in Uganda [15] in which the 319 ANC-HSS estimated prevalence were slightly higher than the prevalence observed in the populationbased surveys. In their detailed review of the correlations, Gouws et al. suggested that the ANC-HSS 320 data be multiplied by 0.8 to adjust for epidemic trend in the general population [12]. There is an essential 321

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difference between the NARHS and ANC-HSSs, either in their respective primary target populations or
 the methodology or both.

324 The HTC data is generated from across the entire population groups by age and sex but only from those who visited the health and mobile community testing facilities, unlike the NARHS data that is 325 generated across the entire population groups from the households and through scientific sampling. This 326 327 could explain the wide differences in the similarity of the program data with the NARHS, however, in all, 328 NARHS data showed a slightly closer data fitting with the HTC than the PMTCT data. We observed that the HTC data overestimated the ANC-HSS prevalence, in contrast to the under-estimation of the PMTCT 329 330 data. The declining trend pattern observed across the ANC, HTC, PMTCT prevalence estimates further shows that the HIV epidemic in Nigeria may be on the decrease. The three data sets all agree on this 331 pattern and further strengthen the potential use of the raw programmatic data for monitoring of the 332 country's HIV epidemic trend. 333

The observed correlations agree with our modelled results to a large extent. Our finding 334 335 suggests that PMTCT program data could reflect or closely monitor state level epidemic pattern obtainable with the ANC-HSS data, but may not produce exact point estimates. Our findings suggest that 336 the PMTCT seropositivity may be multiplied by 2.0 to adjust for ANC-HSS prevalence estimates. 337 Generally, the findings agree with that of most previous related studies, pulling more weight to the 338 339 usefulness of PMTCT data. This further reveals the potential direction of research toward the exploration 340 of the use of other RPD from other indicators and disease programs for local health surveillance. Such future studies could be a break-through for less-expensive, easy, and fairly reliable m for health 341 surveillance in resource-limited countries. 342

343 **Limitations**

This study is subject to at least four limitations. First, except for batch 1 data sources, other 344 batch data sources were not from the same year; thus, their prevalence estimates may not be a perfect 345 346 match. Secondly, the RPD were generated from the health facilities across the country; however, the 347 facility distribution pattern of the country was not determined in this study, hence, could not determine the representativeness of the RPD to inform the appropriateness of estimating population 348 349 based surveys such as NARHS and DHS [7,20]. The implication of this is that the potential utility of the 350 RPD for monitoring national HIV epidemic pattern may change with change in the distribution of the facilities that generate these data. These findings assume that facilities were fairly distributed all over 351 352 the country. In addition, the quality of the respective methodologies, HIV testing services in the facilities, and data managements of the data sources could not be determined in this study and may 353 improve the correlation analyses of the data sources. Lastly, the concurrence analysis that quantified 354 355 the geographic distribution accounted for the exact concurrence. This means that a state with level 4 and 3 prevalence between two data sources were considered as non-concurrence in the analysis 356 regardless of the closeness. Such closeness was considered same as level 4 and 1 between the data 357 358 sources. However, direct visual review of the maps could help resolve this limitation.

359 **Conclusions**

The study findings suggest that the RPD sources could be significantly correlated and associated with the NSD sources in monitoring the HIV prevalence in the country. The RPD sources could reliably demonstrate the trend in HIV epidemic at national and state levels. As expected, PMTCT data tend to underestimate the ANC-HSS HIV prevalence while the HTC data showed indication of overestimation of the epidemic trend among the reproductive female population. The potential use of routine PMTCT programmatic data may be more reliable and favorable than HTC program data in estimating the national HIV epidemic among women of reproductive age in Nigeria. The implication of the finding is

- 367 that, PMTCT could largely be a more promising source for HIV surveillance in the local settings in Nigeria
- 368 and other resource-limited countries, particularly in settings where no monitoring information exists or
- 369 periodic population-based surveys are infrequent.

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437 Supporting information

- 438 S1 Fig. Scatterplot of ANC-HSS 2014 and RPD sources 2014
- 439 S2 Fig. Scatterplot of NARHS 2012 and RPD sources 2013
- 440 S3 Fig. Scatterplot of ANC-HSS 2010 and RPD sources 2013
- 441 S4 Fig. Map Geographic Distribution Pattern of HIV Prevalence from Batch 1 Data Sources
- 442 S5 Fig. Map Geographic Distribution Pattern of HIV Prevalence from Batch 2 Data Sources
- 443 S6 Fig. Map Geographic Distribution Pattern of HIV Prevalence from Batch 3 Data Sources
- 444 S7 Fig. Trend Pattern of HIV Prevalence Estimates between 2010 and 2014 from the three data
- 445 sources.
- 446 S1 Table. Study dataset