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2 **Enduring consequences of perinatal fentanyl exposure in mice**

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4 Jason B. Alipio^{1*}, Adam T. Brockett^{2,3*}, Megan E. Fox¹, Stephen S. Tennyson^{2,3},
5 Coreylyn A. deBettencourt², Dina El-Metwally⁶, Nikolas A. Francis^{4,5}, Patrick O. Kanold^{4,5},
6 Mary Kay Lobo¹, Matthew R. Roesch^{2,3}, Asaf Keller¹

7
8 1. Department of Anatomy & Neurobiology, Program in Neuroscience, University of Maryland School
9 of Medicine, Baltimore, MD 21201

10 2. Department of Psychology, University of Maryland, College Park, MD 20742

11 3. Program in Neuroscience and Cognitive Science, University of Maryland, College Park, MD 20742

12 4. Department of Biology, University of Maryland, College Park, MD 20742

13 5. A. James Clark School of Engineering, Institute for Systems Research, University of Maryland,
14 College Park, MD 20742

15 6. Department of Pediatrics, University of Maryland School of Medicine, Baltimore, MD 21201

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17 *** The first two authors contributed equally to this study**

18
19 Corresponding Author: Asaf Keller, Department of Anatomy & Neurobiology, University of Maryland

20 School of Medicine, 20 Penn Street, Room S216, Baltimore, MD 21201

21 (410) 706-7307; akeller@som.umaryland.edu

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Abstract

25 Opioid use by pregnant women is an understudied consequence associated with the opioid
26 epidemic, resulting in a rise in the incidence of neonatal opioid withdrawal syndrome (NOWS), and
27 lifelong neurobehavioral deficits that result from perinatal opioid exposure. There are few preclinical
28 models that accurately recapitulate human perinatal drug exposure, and none focus on fentanyl, a
29 potent synthetic opioid that is a leading driver of the opioid epidemic. To more readily investigate the
30 consequences of perinatal opioid exposure, we administered fentanyl to mouse dams in their
31 drinking water throughout gestation and until litters are weaned at postnatal day (PD) 21. First, we
32 found that fentanyl-exposed dams delivered smaller litters, when compared to saccharine-exposed
33 control dams. Twenty-four hours after weaning and drug cessation, fentanyl-exposed mice exhibited
34 signs of somatic withdrawal, and sex-specific weight fluctuations that normalized in adulthood. At
35 adolescence (PD 35) they displayed elevated anxiety-like behaviors and decreased grooming,
36 assayed in the elevated plus maze and sucrose splash tests. Finally, in adulthood (PD 55) they
37 displayed impaired performance in a two-tone auditory discrimination task. Collectively, our findings
38 suggest that we have developed an effective rodent model of NOWS, with high face validity that will
39 allow studying changes associated with perinatal fentanyl exposure across the lifespan.

40

41 **Keywords:** C57BL/6, development, neonatal abstinence syndrome, opiates, postnatal, prenatal

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Introduction

44 The NIH has deemed opioid misuse a national health crisis, with a 30% increase in the rate of opioid
45 overdoses since 2016⁷⁴. The Center for Disease Control and Prevention estimates the total economic
46 burden of opioid misuse in the United States at \$78.5 billion annually¹⁴, underscoring the enormous
47 impact opioid misuse has on our health and financial well-being. The overwhelming and rapid nature
48 with which this crisis has materialized is concerning and further warrants a careful understanding of
49 the health consequences of opioid misuse.

50 Within this growing crisis, individuals between the age of 18 and 25 have exhibited the largest
51 increase in illicit opioid use (Substance Abuse and Mental Health Services Administration, 2013). It is
52 concerning that women of reproductive age show increased incidence of use, given that opioids –
53 both natural and synthetic – can readily cross the placenta and the blood-brain barrier. Indeed, *in*
54 *utero* opioid exposure is associated with deleterious effects in developing offspring, with more
55 dramatic effects on neurological function observed in infants relative to adults^{50,52}.

56 There has been an exponential increase in the distribution of the synthetic opioid, fentanyl, which is
57 now routinely incorporated into the most frequently used narcotics, such as heroin^{31,37}. Fentanyl is 50
58 to 100 times more potent than morphine and is chiefly responsible for the recent increase in
59 synthetic opioid-related overdose deaths^{65,66}. The effects that synthetic opioids have on the
60 developmental trajectory of offspring have been incompletely studied, and are needed to develop
61 effective treatment and prevention plans.

62 Infants that are pre- and peri-natally exposed to opioids exhibit decreased birth weight and body
63 size^{3,25,27,28}. Withdrawal symptoms occur in 30-80% of neonates exposed to opioids *in utero*²², and
64 exhibit disruptions in stress reactivity, altered glucocorticoid levels, hyperactivity, impulsivity, and
65 aggression have been reported later in life⁴⁶. These findings in humans have largely been
66 corroborated and expanded upon by rodent models, which have also revealed several brain

67 abnormalities associated with opioid exposure^{2,15}. Moreover, prenatally exposed rodents have been
68 reported to show abnormalities in motor coordination⁶, anxiety^{5,68}, increased depression-like
69 symptoms^{30,39}, maze learning and memory impairments^{6,34,39,61}, as well as altered sexual behavior^{70,71},
70 drug sensitivity and analgesic responses^{18,63,64} (for review see)^{15,52}.

71 Animal models offer distinct benefits for studying the consequences of perinatal opioid exposure.
72 However, previous models have focused on the effects of prenatal morphine exposure, and only
73 during part of the gestational period that is developmentally similar to humans in the second
74 trimester⁷⁷, specifically during the rats gestation days 11 to 18^{1,32,41,51,59-61,70,71,73}. Others have used
75 continuous exposure to opioids, by way of implantable osmotic minipumps^{23,83}, a model that does
76 not mimic intermittent use in humans. Few have exposed rodents to prenatal opioids other than
77 morphine^{7,7,9,23,24,55,79,80}. Importantly, to our knowledge there exist no preclinical models of perinatal
78 *fentanyl* exposure. Here, we describe a novel model of such exposure by administering fentanyl in
79 the drinking water of pregnant mouse dams. Drug exposure continues in mouse litters until they are
80 weaned at postnatal day (PD) 21. This fentanyl exposure protocol resembles the entire human
81 gestational period⁸ and demonstrates its face validity to the human condition, in that it results in
82 postnatal, adolescent and adult phenotypes reminiscent of those in exposed humans.

83

84

Materials and methods

85 *Animals.* All procedures were conducted in accordance with the *Guide for the Care and Use of*
86 *Laboratory Animals*, as adopted by the NIH, and approved by the Institutional Animal Care and Use
87 Committees at the University of Maryland School of Medicine and at the University of Maryland
88 College Park. Unless otherwise indicated, both male and female C57BL/6J mice were used, and
89 bred in our facility. For auditory discrimination tasks we used the offspring of male CBA/C57BL/6J
90 crossed with female Thy1-GCaMP6f/C57BL/6J mice since CBA strains do not exhibit age-related
91 auditory deficits¹⁷, and readily available from other ongoing calcium imaging studies. When
92 copulatory plugs were identified, we removed the sires, and added fentanyl to the water hydration
93 pouches (see below). Controls received water with 2% saccharin. We replenished the pouches
94 weekly until litters were weaned at postnatal day (PD) 21. We then relocated the offspring and
95 housed them 2 to 5 per cage, in single sex-groups, in a temperature- and humidity-controlled
96 vivarium. Food and water were available *ad libitum*, and lights were maintained on a 12-hour cycle.

97 *Fentanyl citrate.* We used 10 µg/mL fentanyl citrate (calculated as free base) in 2% (w/v) saccharin,
98 or 2% saccharin (vehicle control) in the drinking water. This concentration was selected, given that it
99 is the optimal concentration mice will readily self-administer without resulting in motor deficits^{17,76},
100 which is well below the mouse oral LD50 of 368 mg/kg (MSDS Cayman Chemical). C57BL/6 mice
101 have an average daily water intake of 4 to 6 mL per day⁶⁹, which increases three to four times during
102 pregnancy⁴².

103 *Somatic withdrawal behavior.* We first habituated mice to the testing room for 1 hour, and scored
104 behavior in real time during a 15 minute observation period, using a modified protocol^{36,38}.
105 Withdrawal symptoms were scored as either 1 (present) or 0 (absent) and consisted of 14 distinct
106 behaviors, including unkempt coat, piloerection, persistent trembling, abnormal postures, abnormal
107 gait, wet dog shakes, paw tremors, teeth chattering, genital grooming, excessive eye blinking, ptosis

108 (orbital tightening), lacrimation, swallowing movements, and diarrhea. We computed the composite
109 score by adding the total score of all withdrawal symptoms.

110 *Elevated plus maze.* The elevated plus maze (EPM) is a reliable, canonical test for anxiety-like
111 behavior in rodents⁴⁹. We habituated mice to the testing room for 1 hour and introduced them to the
112 maze by placing them in the central area, facing one of the open arms, for a 5 minute trial. Time
113 spent in the open and closed arms was recorded using computer tracking software (TopScan,
114 CleverSys, Reston, VA).

115 *Splash test.* The splash test is a pharmacologically validated behavioral assay demonstrated to
116 parallel other affective-like behavioral assays. We habituated mice to an empty glass cylinder, before
117 spraying their dorsal coat surface 3 times with a 10% sucrose solution. We recorded time spent
118 grooming for 5 minutes.

119 *Two-tone auditory discrimination.* We trained mice daily, for seven days, in two 60 minute sessions
120 (one morning, one afternoon). Training consisted of four phases: waterspout habituation, behavioral
121 shaping, single tone training, and two tone operant task. To motivate task acquisition, we water
122 deprived the mice throughout training days and on days when the operant task was conducted. To
123 **habituate** the mice to the water spout, water was made available during 10 second trials, dripping at
124 a slow continuous rate of 15 mL/hr. We randomized inter-trial intervals (ITI) between 30 and 300
125 seconds and detected licks using the Psibox lickspout¹⁶. Waterspout habituation lasted for 6 days.
126 We then trained mice to **associate** a tone with licking and water reward delivery. Shaping trials
127 consisted of a 0.5 sec pre-stimulus silence. The target tone was then presented for 1 sec at 0 dB
128 SPL. The response window began at tone onset and ended after a 3 sec post-stimulus silence. A
129 randomized ITI of 5 to 9 s was used between trials. When animals responded at the lick spout during
130 the response window, water was delivered for 2 sec. A conditioning probability was also utilized,
131 where 20% of trials were rewarded with 0.5 sec of water, whether or not the animal responded. To

132 prevent impulsive licking, the subsequent trial was delayed until mice abstained from licking for 5
133 sec. Behavioral shaping was done for 4 days. To train in **single tone training** conditions, an early
134 window was introduced for 0.5 sec before the start of each trial; if mice responded during this early
135 window, a timeout of 20 sec was added to the ITI. During this phase, the response window was
136 shortened to 2 sec. The 20% conditioning probability was also removed, making water available only
137 on correct trials. Single tone training lasted for 22 continuous days. The experimental parameters for
138 the **two tone operant task** matched those of single tone training. We tested mice on three distinct
139 target/non-target tone pairs (11000:5000 Hz; 9000:15000 Hz; 7000:13000 Hz) with target to non-
140 target (GO/NOGO) ratios (50/50, 20/80, and 80/20) for 10, 5, and 5 days respectively. We introduced
141 a 20 sec timeout if they responded to the non-target tone during the response window. We assigned
142 mice to respond on a 50/50 target to non-target task to establish basic discrimination differences
143 between groups. We then held the target ratio at 20/80 to determine if discrimination can be
144 increased by making rewarding trials less frequent. Finally, we held the target ratio at 80/20 to
145 determine if frequent target trials would decrease discrimination due to the inability to refrain from
146 responding to infrequent non-target trials (i.e., increased false alarms).

147 All experimenters were blind to treatment conditions throughout data collection, scoring, and
148 analysis.

149 *Statistical Analyses.* Sample size was determined using G*Power software suite (Heinrich-Heine
150 Universität Düsseldorf). Power was set to 0.80 and α at 0.05. We performed statistical tests with
151 Prism 8 (GraphPad). We assumed a sex difference and included sex as a variable. If no statistically
152 significant sex difference or treatment/sex interaction was apparent, animals were grouped and
153 analyzed according to treatment conditions, per NIH recommendations for studying sex differences.
154 We used parametric tests when appropriate assumptions were met, otherwise we used
155 nonparametric tests. Cohen's d or η^2 were used for calculating effect size.

Results

156

157 **Withdrawal Signs**

158 To test the prediction that cessation of perinatal fentanyl exposure induces somatic withdrawal
159 behavior, we compared somatic withdrawal scores between fentanyl exposed and vehicle control
160 mice 24 hours after weaning (PD 22, Fig. 1). We assessed a variety of previously established
161 measures of withdrawal, including unkempt coat, piloerection, persistent trembling, abnormal
162 postures, abnormal gait, wet dog shakes, paw tremors, teeth chattering, genital grooming, excessive
163 eye blinking, ptosis (orbital tightening), lacrimation, swallowing movements, and diarrhea. The
164 somatic withdrawal score is a composite of all withdrawal symptoms that were scored as either a 1
165 (present) or 0 (absent). Binary scoring was used to avoid score inflation by outlier instances of
166 stereotypy^{36,38}.

167 Fentanyl exposed mice (median = 2, 95% CI = 1.79 to 3.15, $n = 17$) exhibited higher withdrawal
168 scores ($p < 10^{-4}$, Mann-Whitney U = 13.50) compared to vehicle controls (median = 0, 95% CI =
169 -0.03 to 0.43, $n = 15$), with a large effect size ($d = 2.31$), suggesting that mice exposed to perinatal
170 fentanyl exhibit withdrawal behaviors 24 hours after weaning.

171 **Litter Size**

172 Dams exposed to fentanyl throughout pregnancy until weaning yielded smaller litter sizes (Fig. 2).
173 Dams exposed to fentanyl (median = 4 pups, 95% CI = 3.93 to 5.34, $n = 30$) had fewer live pups per
174 litter ($p < 10^{-4}$, t test) relative to vehicle controls (median = 7 pups, 95% CI = 6.22 to 8.39, $n = 20$),
175 with a large effect size ($d = 1.26$). These data suggest that dams exposed to fentanyl during
176 pregnancy and throughout gestation have fewer live pups per litter compared to controls.

177 **Animal Weights**

178 To test the prediction that perinatal fentanyl exposure affects body weight across development, we
179 compared the weights at weaning (PD 21), early adolescence (PD 35), and adulthood (PD 55),
180 between fentanyl-exposed and saccharine exposed (vehicle control) mice.

181 Figure 3 depicts the weight, in grams, of mice exposed to fentanyl or vehicle across development. At
182 weaning (Fig. 3A), fentanyl exposed male mice weighed less than controls. There was an interaction
183 between sex and drug exposure ($F_{(1, 44)} = 18.83, p < 10^{-4}$), and post hoc comparisons indicate that
184 fentanyl-exposed male mice (median = 7.1, 95% CI = 7.04 to 7.75; $n = 12$) weighed less ($p < 10^{-4}$,
185 Tukey) than vehicle controls (median = 8.7, 95% CI = 8.27 to 9.01, $n = 12$), with a medium effect size
186 ($\eta^2 = 0.09$). There was no difference ($p = 0.99$, Tukey) in weight between fentanyl-exposed female
187 mice (median = 6.4, 95% CI = 6.18 to 6.60, $n = 12$) and vehicle controls (median = 6.4, 95% CI =
188 6.17 to 6.70, $n = 12$).

189 By early adolescence (Fig. 3B), both male and female fentanyl-exposed mice weighed more than
190 their respective sex control. There was no interaction between sex and drug exposure ($F_{(1, 44)} = 1.27$,
191 $p = 0.27$). Tukey's post-hoc multiple comparisons indicate that fentanyl-exposed male mice (median
192 = 19.9, 95% CI = 19.06 to 20.51, $n = 12$) weighed more ($p = 0.03$) than vehicle controls (median =
193 18.2, 95% CI = 16.87 to 19.03, $n = 12$), with a large effect size ($\eta^2 = 0.25$). Fentanyl-exposed female
194 mice (median = 18.1, 95% CI = 16.67 to 18.35, $n = 12$) weighed more ($p = 3^{-4}$) than vehicle controls
195 (median = 14.7, 95% CI = 13.44 to 15.89, $n = 12$), with a large effect size ($\eta^2 = 0.25$).

196 By early adulthood (Fig. 3C), there were no weight differences between fentanyl-exposed mice and
197 controls ($p > 0.05$). These data suggest that male mice exposed to perinatal fentanyl weigh less than
198 vehicle controls at weaning. By adolescence, both fentanyl-exposed male and female mice weigh
199 more than controls. This weight difference is no longer present once these mice reach early
200 adulthood.

201 **Anxiety-like Behavior**

202 Adolescent (6 to 13 year old) children exposed to perinatal opioids exhibit anxiety and aggression¹¹.

203 Therefore, we tested the prediction that perinatal fentanyl exposure also influences affective
204 behaviors during adolescence in rodents. We compared the ratio of time fentanyl exposed and
205 control mice spent in open/closed arms of an elevated plus maze (Fig. 4). Male exposed mice
206 displayed more anxiety-like behaviors compared to controls. There was no interaction between sex
207 and treatment ($F_{(1, 62)} = 3.45, p = 0.06$), however, there was a sex difference ($F_{(1, 62)} = 10.56, p = 0.001$).
208 Tukey's post hoc comparisons indicate that male exposed mice (median = 0.13, 95% CI = 0.09 to
209 0.21, $n = 9$) had a lower open/closed arm time ratio ($p = 0.01$) than vehicle controls (median = 0.32,
210 95% CI = 0.25 to 0.56, $n = 12$), with a medium effect size ($\eta^2 = 0.13$). We observed no difference ($p =$
211 0.60) in the ratio between female exposed mice (median = 0.28, 95% CI = 0.26 to 0.39, $n = 23$) and
212 vehicle controls (median = 0.34, 95% CI = 0.29 to 0.48, $n = 22$). These data suggest that adolescent
213 male mice exposed to perinatal fentanyl exhibit increased anxiety-like behavior.

214 We analyzed the time fentanyl exposed and control mice spent grooming themselves during a
215 sucrose splash test (Fig. 5). Female exposed mice spent less time grooming themselves compared
216 to controls. There was no interaction between sex and treatment ($F_{(1, 73)} = 0.19, p = 0.66$), however,
217 there was a sex difference ($F_{(1, 73)} = 6.24, p = 0.01$). Tukey's post hoc comparisons indicate that
218 female exposed mice (median = 86.81 sec, 95% CI = 84.3 to 112.6, $n = 31$) spent less time
219 grooming themselves ($p = 0.04$) than vehicle controls (median = 118.8 sec, 95% CI = 112.0 to 133.4,
220 $n = 22$), with a small effect size ($\eta^2 = 0.07$). There was no difference ($p = 0.57$) between male exposed
221 mice (median = 71.95 sec, 95% CI = 56.96 to 106.7, $n = 12$) and vehicle controls (median = 107.5
222 sec, 95% CI = 86.91 to 111.1, $n = 12$). Together, these data suggest that adolescent mice exposed
223 to perinatal fentanyl exhibit aberrant affective behaviors.

224 **Auditory Discrimination**

225 Prenatal exposure to opioids impairs inhibitory control, the voluntary and effortful regulation of
226 avoidance and approach processes, in 2 year old children³³, and is associated with lower
227 performance on perceptual measures of visual, tactile, and auditory tests in 3 to 6 year old children⁷⁸.
228 Here, we tested the prediction that perinatal fentanyl exposure in mice impairs auditory
229 discrimination and inhibitory control.

230 To test if fentanyl exposure alters sensory perception, we compared performance of exposed and
231 control adult mice on a positive reinforcement auditory discrimination task (Fig. 6). Adult mice were
232 trained and tested on a 50/50 (GO/NOGO) ratio task consisting of a target to non-target tone (see
233 Methods). Next, we examined whether manipulations of the target ratio to non-target ratio
234 differentially impacted tone sensitivity in exposed and control mice. Mice were tested on a target to
235 non-target ratio of 20/80 which we predicted would enhance sensitivity, as well as a target to non-
236 target ratio of 80/20 which we predicted would diminish sensitivity, due to frequent presentation of
237 the target tone which leads to elevated false alarms⁷². We compared the average hits (correctly
238 licked when the target tone was presented), correct rejections (refrained from licking when the non-
239 target tone was presented), total responses (the sum of average hits and false alarms), and d'
240 sensitivity index (a ratio of the hit rate to false alarm rate). Perinatal exposure to fentanyl permanently
241 impaired auditory discrimination and task engagement.

242 Across weeks 1 (Fig. 6A) and 2 (Fig. 6B) of 50/50 training, fentanyl exposed mice exhibited fewer
243 correct licks when the target tone was presented, compared to vehicle controls. Exposed mice
244 (week 1: median = 0.31, 95% CI = 0.14 to 0.48; week 2: median = 0.40, 95% CI = 0.24 to 0.51; $n =$
245 9) had lower average hits (week 1: $F_{(1, 59)} = 914.82$, $p = 0.0004$; week 2: $F_{(1, 59)} = 6.79$, $p = 0.01$) than
246 vehicle controls (week 1: median = 0.66, 95% CI = 0.45 to 0.76; week 2: median = 0.57, 95% CI =
247 0.45 to 0.68; $n = 9$), with a large effect size (week 1: $\eta^2 = 0.61$; week 2: $\eta^2 = 0.52$).

248 Average correct rejections across weeks 1 (Fig. 6C) and 2 (Fig. 6D) were indistinguishable between
249 groups in refraining from licking when the non-target tone was presented. Fentanyl exposed mice
250 (week 1: median = 0.74, 95% CI = 0.59 to 0.85; week 2: median = 0.69, 95% CI = .62 to 0.82; $n = 9$)
251 had no difference in correct rejections (week 1: $F_{(1, 59)} = 3.99$, $p = 0.05$; week 2: $F_{(1, 59)} = 0.53$, $p = 0.47$)
252 than vehicle controls (week 1: median = 0.57, 95% CI = 0.48 to 0.71; week 2: median = 0.69, 95% CI
253 = 0.61 to 0.75; $n = 9$).

254 On average, total responses across weeks 1 (Fig. 6E) and 2 (Fig. 6F) were lower in fentanyl exposed
255 mice compared to vehicle controls. Exposed mice (week 1: mean = 23.87, 95% CI = 14.89 to 32.85;
256 week 2: mean = 29.83, 95% CI = 23.38 to 36.29; $n = 9$) made fewer responses (week 1: $F_{(1, 59)} =$
257 31.11, $p = 10^{-4}$; week 2: $F(1, 59) = 7.42$, $p = 0.009$) compared to vehicle controls (week 1: median =
258 52.83, 95% CI = 44.42 to 56.38; week 2: median = 40.50, 95% CI = 37.63 to 47.63; $n = 9$), with a
259 large effect size (week 1: $\eta^2 = 0.85$; week 2: $\eta^2 = 0.70$).

260 d' sensitivity measures across weeks 1 (Fig. 6G) and 2 (Fig. 6H) were lower in fentanyl exposed
261 mice. Across both weeks 1 and 2, exposed mice (week 1: median = 0.09, 95% CI = -0.10 to 0.31;
262 week 2: median = 0.29, 95% CI = 0.22 to 0.43; $n = 9$) had decreased sensitivity (week 1: $F_{(1, 59)} = 9.5$,
263 $p = 0.004$; week 2: $F_{(1, 59)} = 3.73$, $p = 0.02$) relative to vehicle controls (week 1: mean = 50.40, 95% CI
264 = 0.44 to 0.79; week 2: mean = 42.63, 95% CI = 0.69 to 0.96; $n = 9$), with a large effect size (week 1:
265 $\eta^2 = 0.78$; week 2: $\eta^2 = 0.89$). No interactions were observed across the two weeks of 50/50 sessions
266 ($p > 0.05$).

267 We also analyzed d' sensitivity measures across the 20/80 to 80/20 (target/non-target) ratios in mice
268 performing the two-tone operant task (Fig. 6I). d' measures were higher in all mice during 20/80
269 sessions compared to 80/20 sessions ($F_{(1, 97)} = 12.26$, $p = 0.0007$, $\eta^2 = 0.29$). This effect was driven by
270 an increase in false alarms (Fig. 6J, $F(1, 97) = 30.07$, $p = 10^{-4}$) but not in hits ($F(1, 97) = 1.82$, $p =$
271 0.18). During 20/80 sessions, fentanyl exposed mice made fewer responses compared to controls

272 ($F(1, 59) = 4.84, p = 0.03$). We found no difference ($p > 0.05$) between fentanyl exposed and vehicle
273 control mice in d' sensitivity index nor in false alarm rate during 20/80 or 80/20 sessions.
274 Collectively, this data suggests that when the target ratio was held at 50/50, fentanyl exposed mice
275 made fewer correct responses, fewer overall responses, and exhibited impaired discrimination
276 abilities compared to controls. When we manipulated the frequency of target tones, during 20/80
277 sessions, exposed mice exhibited fewer responses, however, during 80/20 sessions, it was more
278 difficult for the mice to inhibit licking behavior when the non-target tone was presented. However, no
279 difference between groups was observed in 80/20 sessions. These data suggest that perinatal
280 exposure to fentanyl results in enduring deficits to sensory perception in mice.

Discussion

281

282 **Opioid Exposure**

283 Animal models of neonatal opioid withdrawal vary greatly in terms of treatment protocols, species,
284 strain, type of opioid, route of administration, drug dose, drug concentrations, and resulting
285 behavioral alteration. Only a small number of studies, including the present study, model opioid
286 exposure in humans throughout pregnancy by exposing pregnant dams throughout gestation and
287 weaning^{10,56,57,81}. Almost all previous studies focused on the effects of perinatal exposure to morphine.
288 To our knowledge, ours is the first description of a preclinical model of perinatal *fentanyl* exposure.
289 Fentanyl is more potent, has a faster onset and shorter duration of action, and has a higher abuse
290 potential compared to morphine^{40,65}. Although overall overdose deaths might be slightly decreasing,
291 those from fentanyl continue to rise⁹. Therefore, it is important to develop and validate preclinical
292 models of perinatal fentanyl exposure

293 We chose to administer fentanyl in the drinking water of pregnant dams to better recapitulate the
294 human condition of intermittent opioid use. Exposure to fentanyl through ingestion is not
295 uncommon, as it is responsible for more than half of fentanyl-related overdose deaths⁴⁴.

296 Furthermore, we wanted to avoid chronic stress involved with repeated injections and handling in
297 mice⁵⁴, as this might influence behaviors in offspring⁶². We also wanted to avoid continuous
298 administration with pumps and pellets, as these do not mimic intermittent use, and they require
299 surgery. Thus, the intermittent nature of dams self-administering fentanyl better models human
300 scenarios.

301 **Opioid withdrawal in exposed pups**

302 Perhaps the most dramatic and distressing consequence of prenatal opioid exposure in humans is
303 the withdrawal behavior displayed by neonates, collectively referred to as neonatal opioid withdrawal

304 syndrome (NOWS)^{12,26,43}. Commonly observed symptoms include irritability, high- pitched crying,
305 tremors, hypertonicity, vomiting, diarrhea, and tachypnea¹³.
306 We reasoned that a valid animal model of NOWS should result in a corresponding complement of
307 signs of withdrawal. To our knowledge, few studies assess withdrawal in experimental animals
308 exposed to opioids during the perinatal period^{57,67}, and none to fentanyl. Here, we demonstrate that
309 perinatal fentanyl exposure results in a large increase in somatic withdrawal scores, consistent with
310 the animals exhibiting a NOWS-like phenotype.

311 **Decreased litter size in exposed dams**

312 Opioid use during pregnancy is associated with premature births, increased risk of spontaneous
313 abortion, and sudden infant death syndrome⁷⁵. Rat dams exposed to morphine before and during
314 pregnancy display hormonal imbalances and irregular estrous cycles that are associated with
315 increased litter morbidity^{56,57}. Consistent with these findings, we demonstrate that dams exposed to
316 fentanyl during pregnancy have fewer live pups per litter.

317 **Lower birth weights in exposed pups**

318 Human babies exposed to opioids during pregnancy also have lower birth weights^{27,35,75}, a finding
319 recapitulated in rats⁵⁷ Here we show that perinatal fentanyl exposure is associated with lower
320 weights in males at weaning, and *higher* weights in adolescents of both sexes. By early adulthood
321 weights were similar to those of controls. The transient weight increase in adolescence might be
322 specific to fentanyl exposure, the method of exposure we used, or other, not yet known factors.

323 **Lasting affective deficits in exposed pups**

324 Perinatal exposure to opioids in humans results in complications that continue through to
325 adolescence, including increased risk of developing attention deficit hyperactivity disorder⁴⁷,
326 autism⁵³, autonomic dysregulation⁴⁸, and poor performance on standardized high school testing⁴⁵.

327 Children ages 6 to 13 that were exposed to prenatal opioids exhibit affective behavioral deficits¹¹.
328 Analogous outcomes are present in rodent models of early opioid exposure with offspring displaying
329 hyperactivity⁵⁸, cognitive deficits⁷, depressive- and anxiety-like behavior^{5,30}. In our model, perinatal
330 fentanyl exposure resulted in aberrant affective behaviors persisting into adolescence, evidenced by
331 their performance on the splash test and the elevated plus maze apparatus.

332 **Lasting sensory deficits in exposed pups**

333 Complications do not end when an exposed baby leaves the intensive care unit. Neonates born with
334 NOWS may display disruptions in the development of somatosensory networks²⁹, and may develop
335 lasting visual²¹, motor⁴, and cognitive deficits²⁰. Similarly, rats exposed to neonatal morphine at a
336 time equivalent to the third trimester of gestation, have lasting sensory aberrations, including deficits
337 in mechanical and thermal nociception, as well as diminished morphine and stress-induced
338 analgesia⁸². Prenatal morphine exposure altered pyramidal neuron morphology in the visual cortex,
339 specifically small dendritic length, fewer branch numbers, and spine density⁴¹. Here, we demonstrate
340 that exposed mice have impaired auditory discrimination and lower levels of task engagement in
341 auditory tasks. Surprisingly, perinatal fentanyl exposure did not appear to impact the ability to refrain
342 responding on non-target trials when there was a prepotency to do so.

343 These deficits might reflect altered frontal-striatal circuits important for task performance and/or
344 abnormal auditory processing. Impaired auditory processing cannot solely account for the observed
345 differences in engagement because our auditory target and non-target stimuli were loud and highly
346 discriminable, and both groups were impacted by altered ratio schedules in that discrimination
347 improved during 20/80 sessions and worsened during 80/20 sessions. Further, in humans, prenatal
348 opioid exposure is *not* associated with hearing impairment, at least in infancy^{19,29}.

349 Perinatal fentanyl exposure may also impair basic learning mechanisms: Human toddlers with opioid
350 exposure fail to show trial to trial improvements on the 3-box working memory task³³. If learning

351 deficits are at play in our study, they appear to persist even with extended testing (20 sessions);
352 discrimination ability did not differ over days in week 2 during 50/50 testing, and discrimination was
353 still impaired during the last week of testing when ratio manipulations were performed.

354 **Conclusions**

355 We describe a preclinical, rodent model of perinatal fentanyl exposure that recapitulates key aspects
356 of the human condition. This model may allow mechanistic studies of the lasting consequences of
357 perinatal exposure to this potent and widely-used opioid, to enable the development of approaches
358 to prevent or ameliorate these consequences.

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362

363

Author contribution

364 AK, MKL, MRR, POK were responsible for the study concept and design. JBA, ATB, MEF, SST, and

365 CAd conducted the experiments. JBA, ATB, and MEF performed data analysis. JBA, ATB, MRR, and

366 AK drafted the manuscript. DE, NAF, POK, MRR, and AK provided critical revision of the manuscript

367 for content. All authors critically reviewed content and approved the final version for publication.

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Figure Legends

Figure 1. A, Perinatal fentanyl exposure induces somatic withdrawal behavior 24 hours after cessation. Fentanyl exposed mice have higher somatic withdrawal scores, compared with vehicle controls. **B**, Dams exposed to fentanyl during pregnancy have smaller litters, compared to controls. **C - E**, Perinatal fentanyl exposure influences weight across development. **C**, Male exposed mice weigh less than vehicle controls at weaning (PD 21). **D**, By adolescence (PD 35), both male and female exposed mice weigh more than controls. **E**, This weight difference is no longer present once these mice reach early adulthood (PD 55). Data presented are medians with 95% confidence intervals.

Figure 2. A, Perinatal fentanyl exposure significantly increases anxiety-like behavior in adolescent male mice. Male mice exposed to perinatal fentanyl spend less time in open arms of a maze, compared to vehicle controls. **B**, Perinatal fentanyl exposure decreases grooming behavior in adolescent female mice, as assayed with the splash test. Data presented are medians with 95% confidence intervals.

Figure 3. Perinatal fentanyl exposure impairs auditory discrimination and task engagement in adult mice. **A/B**: Fentanyl exposed mice make fewer correct licks when the target tone is presented. **C/D**: There is no difference between groups in refraining from licking when the non-target tone is presented. **E/F**: Exposed mice have fewer responses than vehicle controls. **G/H**: Exposed mice show lower discrimination sensitivity index, compared to vehicle controls. **I**: All mice have significantly higher d' measurements during 20/80 sessions than 80/20 sessions, and there are no differences between treatment groups. **J**: All mice have higher false alarms during 80/20 sessions

than 20/80 sessions, and show no difference between treatment groups. Data presented are medians with 95% confidence intervals.





