**Collective interactions augment influenza A virus replication in a host-dependent manner**

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**Abstract**

Infection with a single influenza A virus (IAV) is only rarely sufficient to initiate productive infection.

Here, we exploit both single-cell approaches and whole-animal systems to show that IAV reliance

on multiple infection can form an important species barrier to infection. Namely, we find that H9N2

subtype viruses representative of those circulating widely at the poultry-human interface exhibit

acute dependence on collective interactions in mammalian systems. This need for multiple

infection is greatly reduced in the natural host. Quantification of incomplete viral genomes showed

that their complementation accounts for the more moderate reliance on coinfection seen in avian

cells, but not the added reliance seen in mammalian cells. This finding suggests an additional

form of virus-virus interaction is needed to support infection in mammalian cells. Genetic mapping

implicated the PA gene segment as a major driver of this phenotype and quantification of viral

RNA synthesis indicated that both replication and transcription were affected. These findings

indicate that multiple distinct mechanisms underlie IAV reliance on multiple infection and

underscore the importance of virus-virus interactions in IAV infection, evolution and emergence.

**Introduction**

Classically, an infectious unit has been defined as a single virus particle which delivers its genome to a cell, initiates the viral reproductive program, and yields progeny viruses. The importance to infection of collective interactions among viruses is being increasingly recognized, however[1-3]. The delivery of multiple viral genomes to a cell allows antagonistic and beneficial interactions to occur, and these interactions have the potential to shape transmission, pathogenicity, and viral evolution.

Recent work has revealed several distinct mechanisms by which multiple viral genomes are co-delivered to a target cell[4-8]. Various mechanisms of direct cell-to-cell spread yield a similar outcome[9-11]. The implications of multiple infection in these diverse systems are still being explored. In a number of cases, however, collective delivery was demonstrated to increase the efficiency of infection relative to free virus particles[4,5], or to increase the rate of genetic exchange through recombination[6].

When distinct variants coinfect, beneficial effects such as compensation of deleterious mutations can increase overall fitness[12-14]. In the case of IAV, several lines of evidence point to a major role in infection for multiplicity reactivation, the process by which segmented genomes lacking one or more functional segments complement each other[15-19]. Conversely, negative interactions can also arise in which deleteriously mutated genes act in a dominant negative fashion. Defective interfering particles, which often potently interfere with the production of infectious progeny from a coinfected cell, are the most extreme example of such antagonism[20-22].

Importantly, multiple infection with identical viral genomes can also alter infection outcomes. Such cooperation was documented for VSV and HIV, where rates of transcription and replication were enhanced with increasing multiplicity of infection (MOI)[23,24]. Similarly, faster kinetics of virus production were seen at high MOI for poliovirus and IAV[19,25]. In these instances, it is thought that increased copy number of infecting viral genomes provides a kinetic benefit important in the race to establish infection before innate antiviral responses take hold. Indeed, it

has been suggested that multiple infection may be particularly relevant for facilitating viral growth

under adverse conditions, such as antiviral drug treatment[3,26].

For IAV, an important adverse condition to consider is that of a novel host environment.

IAVs occupy a broad host range, including multiple species of wild waterfowl, poultry, swine,

humans and other mammals[27,28]. Host barriers to infection typically confine a given lineage to

circulation in one species or a small number of related species[29,30]. Spillovers occur occasionally,

however, and can seed novel lineages. When a novel IAV lineage is established in humans, the

result is a pandemic of major public health consequence[31,32]. The likelihood of successful cross-

species transfer of IAV is determined largely by the presence, absence, and compatibility of host

factors on which the virus relies to complete its life cycle, and on the viruses' ability to overcome

antiviral defenses in the novel host[33-35].

Our objective herein was to assess the degree to which IAV relies on the delivery of

multiple viral genomes to a cell to ensure production of progeny. In particular, we sought to

determine whether this phenotype varies with host species. We therefore examined the

multiplicity dependence of one human and a panel of avian-origin viruses in multiple host systems.

Results from all virus/cell combinations tested confirm prior reports that cells multiply-infected with

IAV produce more viral progeny than singly-infected cells. Importantly, however, the extent to

which viral progeny production is concentrated within the multiply-infected fraction of a cell

population varies greatly with virus-host context. Two poultry-adapted H9N2 viruses (A/guinea

fowl/HK/WF10/99 (GFHK99) and A/quail/HK/A28945/88 (QaHK88)) exhibit an acute dependence

on multiple infection in mammalian systems that is greatly diminished in natural host systems.

This strong dependence on multiple infection is not seen for the human strain, influenza

A/Netherlands/602/2009 (H1N1) (NL09) virus, or for an IAV from wild birds, influenza

A/mallard/199106/99 (H3N8) (MaMN99) virus. The host-specific dependence of GFHK99 virus

on multiple infection is driven by its PA gene segment. In line with this finding, both bulk and

single-cell measurements of viral RNA showed that polymerase activity in mammalian cells is

enhanced with multiple infection. A need for complementation of incomplete viral genomes

partially accounts for this cooperative effect. Importantly, however, single-cell data indicate that

additional multiplicity-dependent mechanisms support RNA synthesis by the GFHK99 virus in

mammalian cells. Thus, our data point to an important role for multiple infection in determining

the potential for IAV replication in diverse hosts.

**Results**

***Degree of multiplicity dependence varies with strain and cell type***

To evaluate the extent to which IAV relies on multiple infection for productive infection, we

initially used reassortment and coinfection as readouts. While singly infected cells yield only

parental progeny, reassortment is highly efficient within coinfected cells[36]. Monitoring

reassortment therefore indicates the proportion of progeny in a bulk virus population that were

produced from multiply infected cells. To ensure accurate quantification of reassortment,

coinfections were performed under single-cycle conditions with homologous viruses that differ

only by a silent mutation in each segment and the presence of either an HA or HIS epitope tag

fused to the HA protein. The silent mutations allow identification of reassortant viruses. The

epitope tags enable quantification of cellular coinfection across a range of MOIs. Homologous

coinfection partners, termed WT and VAR, were generated in NL09, MaMN99 and GFHK99 strain

backgrounds.

Coinfection and reassortment were examined in canine MDCK and chicken DF-1 cells

(**Figure 1**). In MDCK cells, GFHK99 viruses showed a near linear relationship between total HA$^+$

cells and dual-HA$^+$ cells, suggesting a strict dependence on multiple infection for HA expression

(**Figure 1A**). By contrast, HA expression resulting from infection with a single strain was more

common for GFHK99 in DF-1 cells, MaMN99 in MDCK cells and NL09 in MDCK cells (**Figure**

**1A**). To give a quantitative measure of the differences among these virus/cell pairings, we

determined the degree of linearity from the regression models of each dataset (**Figure 1B**). The

more dependent expression of *any* HA protein is on coinfection, the more linear the relationship

between dual-HA$^+$ cells and HA$^+$ cells becomes. Conversely, a relationship closer to the Poisson

expectation indicates less dependence on coinfection. Among the viruses tested, we found that

only GFHK99 virus in MDCK cells exhibits strong linearity in the relationship between HA$^+$ and

dual-HA$^+$ cells (**Figure 1B**).

In line with observed levels of coinfection, GFHK99 virus exhibits high levels of

reassortment in MDCK cells, indicating that nearly all progeny virus is produced from WT-VAR$_1$

coinfected cells (**Figure 1C**). MaMN99 or NL09 viruses infecting MDCK cells show relatively lower

levels of reassortment. Moreover, reassortment of GFHK99 viruses is markedly reduced in DF-1

cells compared to MDCK cells (**Figure 1C**). These results clearly reveal differing degrees of

multiplicity dependence for different virus/cell pairings and therefore indicate that multiple infection

dependence is determined through virus-host interactions.

That all virus-cell pairings tested show evidence of multiplicity dependence is highlighted

by comparison of the experimental reassortment data to a theoretical prediction (**Figure 1C**). This

theoretical prediction was published previously[18] and is derived from a computational model in

which the number of viral progeny produced by an infected cell is constant, irrespective of the

number of input viral genomes. Because singly- and multiply-infected cells make equivalent

numbers of progeny in this model, reassortment is predicted to increase only gradually at low

levels of infection where coinfection is relatively rare. The experimental observation that

reassortment reaches high levels much more rapidly than expected indicates that viral progeny

production is enriched in the proportion of the infected cell population that is multiply infected.

Frequent reassortment and the linear relationship between overall HA expression and HA

co-expression provide two measures of multiplicity dependence for a given virus-cell pairing. To

summarize these two measures and analyze the relationships between the virus-cell pairings

tested, we conducted a hierarchical clustering analysis using the parameters of the regression

models shown in **Figure 1A** and **1C**. MaMN99 and NL09 viruses in MDCK cells, as well as

GFHK99 virus in DF-1 cells, represent one cluster, while GFHK99 virus in MDCK cells partitioned

into its own cluster (**Figure 1D**). Coinfection dependence, therefore, is evident in all virus-cell

pairings, but particularly strong for GFHK99 virus in MDCK cells.

***Strain- and host-specific phenotypes are also evident* in vivo**

To determine whether host-dependent reliance on multiple infection extended to *in vivo*

models, we performed coinfections in guinea pigs and quail. GFHK99, MaMN99, and NL09

viruses were tested in guinea pigs and GFHK99 virus was examined in quail. To ensure use of

comparable effective doses for each virus/host pairing, the 50% infectious dose ($ID_{50}$) of each

virus mixture was first determined experimentally in the animal models used. Guinea pigs were

then infected intranasally with $10^2$ $GPID_{50}$ of each WT/VAR mixture and nasal washes were

collected daily. Quail were infected with $10^2$ $QID_{50}$ of the GFHK99 virus mixture via an oculo-naso-

tracheal route and tracheal swabs were collected daily. To evaluate the frequency of

reassortment, plaque isolates from these upper respiratory samples were genotyped for each

animal on each day. Because multicycle replication *in vivo* allows the propagation of reassortants,

analysis of genotypic diversity rather than percent reassortment is more informative for these

experiments. Thus, the effective diversity (Hill's $N_2$) was calculated for each dataset and plotted

as a function of time post-inoculation (**Figure 1E, 1F**). The viruses collected from GFHK99-

infected guinea pigs show much higher genotypic diversity throughout the course of infection than

viruses isolated from MaMN99- or NL09-infected guinea pigs (**Figure 1E**) or GFHK99-infected

quail (**Figure 1F**). These data indicate that the virus-host interactions which determine

dependence on multiple infection in cell culture extend to *in vivo* infection.

***Multiple infection enhances viral growth***

The abundant reassortment observed with GFHK99 viruses in mammalian systems

suggests that multiple infection plays a major role in determining the productivity of an infected

cell. We therefore hypothesized that increasing MOI would augment the viral output of infected

cells and that the magnitude of this effect would be greater for GFHK99 virus in MDCK cells than

for GFHK99 virus in DF-1 cells or MaMN99 and NL09 viruses in MDCK cells. To test this

prediction, we infected over a range of MOIs and then measured PFU produced per cell under

single-cycle conditions. The input MOIs for this series of experiments were based on PFU as

determined in MDCK cells. Which MOI conditions were saturating was determined empirically by

flow cytometry in MDCK and DF-1 cells (**Supplementary Figure 1**). MOIs < 1 PFU/cell were

found to be non-saturating. Under these non-saturating conditions, increasing MOI resulted in

accelerated viral growth and higher burst size for all virus/cell pairings (**Figure 2A–D**). As

predicted, however, increasing the MOI of GFHK99 virus in MDCK cells resulted in a further

enhancement of viral amplification (**Figure 2G**). Thus, the benefit conferred by multiple infection

was greater for GFHK99 virus in MDCK cells compared to the other virus-cell pairings tested.

We reasoned that the cooperative effect observed might result from i) complementation

of incomplete viral genomes or ii) a benefit of increased viral genome copy number per cell. In an

effort to differentiate between these possibilities, we measured growth of GFHK99 virus in MDCK

and DF-1 cells infected over range of saturating MOIs. Note that plaque assays in MDCK cells

underestimate the infectious potential of GFHK99 virus, and increasing viral MOI beyond 1

PFU/cell did not lead to increased levels of HA+ cells, indicating saturation (**Supplementary**

**Figure 1**). Under these conditions, incomplete viral genomes are unlikely to be prevalent and any

benefit of increasing MOI would be attributable to increasing genome copy numbers per cell. In

both cell types, MOIs between 1 and 20 PFU per cell result in similar peak viral titers (**Figure 2E,**

**F, H**). This saturation of cooperation at higher MOIs suggests diminishing returns from additional

genome copies above a certain threshold. Whether this threshold is imposed by a need for

complementation or another mechanism sensitive to saturation remained unclear.

***Multiple infection enhances viral RNA replication***

To test whether multiple infection was beneficial to the virus at the level of genome

replication, we measured WT viral RNA synthesis in the absence and presence of increasing

amounts of a homologous coinfecting virus. We also broadened the panel of viruses examined to

include two additional strains: A/duck/HK/448/78 (H9N2) (DkHK78), a wild bird isolate that

predates the establishment of H9N2 viruses in poultry, and A/quail/HK/88 (H9N2) (Qa/HK/88), an

early isolate of the H9N2 poultry lineage[37]. To evaluate host specificity, we measured WT viral

RNA synthesis in both mammalian and avian cells (**Figure 3**). Cells were infected with low MOI

of WT virus to ensure receipt of a single copy of the WT virus genome. Concurrently, cells were

infected with increasing doses of a VAR virus. Droplet digital PCR (ddPCR) was then used to

quantify replication of WT genomes using primer/probe sets that do not detect VAR templates.

The results for NL09 and MaMN99 viruses show little to no increase in WT vRNA

production with VAR virus addition, in all cell types tested (**Figure 3A and B**). DkHK78 virus

exhibits an intermediate phenotype, with up to 7-fold increases in WT vRNA levels seen with VAR

virus addition in MDCK and NHBE cells. For this virus in avian DF-1 cells, only a 1.6-fold increase

is observed (**Figure 3C**). GFHK99 and QaHK88 viruses show much stronger enhancement of WT

vRNA replication with VAR virus coinfection: in MDCK and NHBE cells, respectively, GFHK99

virus show increases of 60-fold and 20-fold, while QaHK88 virus shows increases of more than

2000-fold and 200-fold. In contrast, these two poultry isolates show markedly less enhancement

in WT vRNA replication with VAR coinfection in avian DF-1 cells (**Figure 3D and E**). Of note,

addition of VAR at high doses suppresses WT vRNA production in several virus/cell pairings,

likely indicating competition for limited resources in the cell.  This antagonistic interaction is

stronger in systems where little benefit of coinfection is detected.

In summary, for both GFHK99 and QaHK88 viruses, the introduction of coinfecting VAR

virus reveals a cooperative effect acting at the level of RNA synthesis, which is particularly potent

in mammalian cells. That the replication of NL09 and MaMN99 viruses is not detectably enhanced

by the addition of VAR virus suggests a reduced reliance on multiple infection, consistent with

reassortment and dual-HA positivity data presented above.

***The viral PA segment is a major determinant of multiple infection dependence***

To identify viral genetic determinants of multiple infection dependence, we mapped

segments responsible for the high reassortment phenotype of GFHK99 virus in MDCK cells. One

or more genes from GFHK99 virus was placed into a MaMN99 virus background. For each

chimeric genotype, WT and VAR strains were generated to allow tracking of homologous

reassortment. Coinfections were performed in MDCK cells and HA expression and reassortment

were measured as in **Figure 1**. Two viruses are similar to the parental GFHK99 virus in the levels

of dual HA positivity observed: MaMN99:GFHK99 3PNP and MaMN99:GFHK99 PA (**Figure 4A–**

**B**). Quantification of reassortment revealed that several chimeric viruses reassort at a higher

frequency than MaMN99 parental strains, but that the MaMN99:GFHK99 3PNP and

MaMN99:GFHK99 PA viruses show the highest reassortment, comparable to that seen for the

parental GFHK99 genotype (**Figure 4C-D**). A clustering analysis similar to the one shown in

**Figure 1D** revealed that only these two genotypes cluster with GFHK99 parental virus, while each

of the other genotypes clusters with MaMN99 virus (**Figure 4E**). Thus, while other viral genes

may contribute, PA is the primary genetic determinant of the high reassortment exhibited by

GFHK99 virus in MDCK cells and defines a need for cooperation between coinfecting viruses. To

verify the contribution of the PA segment by a second approach, we evaluated the impact of VAR

virus coinfection on WT vRNA synthesis for the MaMN99:GFHK99 PA chimeric strain in MDCK

and DF-1 cells. The results confirm that the PA segment of GFHK99 virus increases reliance on

multiple infection, specifically in mammalian cells (**Supplementary Figure 2**).

***Multiple infection accelerates viral replication and transcription***

Because mapping of the GFHK99 high reassortment phenotype implicated the viral PA,

we tested the effects of multiple infection on viral replication and transcription by measuring vRNA

and mRNA over time following low or high MOI infection (**Figure 5**). GFHK99 virus was examined

in DF-1 and MDCK cells and MaMN99 virus was tested in MDCK cells. To ensure viral genomes

were supplied as low or single copies, a dose of 0.5 RNA copies per cell was used for low MOI

infection. Under these low MOI conditions in MDCK cells, GFHK99 genomic RNA levels remain

low throughout the time course. GFHK99 mRNA increases early, perhaps reflecting robust

primary transcription, then accumulates at a relatively slow rate from 2 h post-infection onward

(**Figure 5A**). Both viral RNA species accumulate at a significantly higher rate for GFHK99 virus

in DF-1 cells and MaMN99 virus in MDCK cells (**Figure 5B, C, G**). For the high MOI dose, we

used 3.0 HA-expressing units per cell, as determined by flow cytometry (**Supplementary Figure**

**3**). This measure of infectivity gives a functional readout for polymerase activity, and therefore

ensures the vast majority of cells carry an active viral polymerase. At this high MOI, accumulation

of GFHK99 mRNA and vRNA in MDCK cells occurs at a similar rate to that seen for GFHK99

virus in DF-1 cells or MaMN99 virus in MDCK cells (**Figure 5D–F, H**). Thus, a host-specific defect

in GFHK99 polymerase activity that affects both replication and transcription is seen at low MOI.

This defect is, however, resolved under conditions where multiple infection is prevalent.

***Single-cell mRNA sequencing reveals a need for cooperation***

To evaluate the heterogeneity of viral RNA synthesis at the single-cell level, we infected DF-1 or

MDCK cells with GFHK99 virus under single-cycle conditions and collected cells at 8 h post-

infection for mRNA barcoding on the 10x Genomics Chromium platform prior to sequencing. Cells

in which no viral mRNA was detected were excluded from further analysis. In addition, we used

a thresholding approach to exclude cells that were unlikely to be truly infected, but rather may

carry small amounts of viral mRNA owing to lysis of neighboring cells (**Supplementary Figure**

**4**). Following these two exclusion steps, the number of cells remaining ranged between 110 and

440 per infection condition. Next, to allow comparison between cell types and between

experiments, each cell's total transcript abundance was normalized to the median number of

transcripts per cell in the relevant sample. The normalized and $\log_{10}$ transformed counts of viral

transcripts per cell were then compared across conditions. We found that the amount of detected

GFHK99 viral mRNA varies widely between individual DF-1 cells, which is consistent with

previous observations[38-40]. In contrast, GFHK99 viral mRNA levels are uniformly low in MDCK

cells, especially at the lower MOIs tested (**Figure 6A**, left facet).

To evaluate whether low transcript abundance corresponded with the failure to detect one

or more polymerase-encoding segments, we stratified the data based on detection of all four

segments necessary to support transcription (PB2, PB1, PA and NP). Consistent with prior

reports[38], viral transcript levels are higher in cells that contained PB2, PB1, PA, and NP transcripts

compared to those in which one or more of these transcripts was not detected (**Supplementary**

**Figure 5**). We noted that the PB2, PB1, and PA mRNAs were markedly less abundant than the

other viral transcripts, however. Thus, the correspondence between failure to detect polymerase

transcripts and low viral mRNA abundance may simply be due to the higher likelihood of these

mRNAs falling below the limit of detection in cells that have low overall viral transcription.

To measure the impact of coinfecting viruses in individual cells, we repeated the single-

cell sequencing experiment with the addition of two variants of GFHK99 virus, GFHK99 mVAR$_1$

and GFHK99 mVAR$_2$. Cells were inoculated with GFHK99 WT and GFHK99 mVAR$_1$ viruses in a

1:1 ratio. Coinfection with GFHK99 mVAR$_2$ virus was performed simultaneously and this virus

was used at the concentration found to give optimal support of WT viral RNA replication in **Figure**

**3D**: 0.1 PFU per cell in DF-1 cells and 1.0 PFU per cell in MDCK cells. After mRNA sequencing,

only cells in which transcripts from all eight mVAR$_2$ virus segments were detected were analyzed

further. Cells that likely obtained WT or mVAR$_1$ viral RNA through lysis were excluded

(**Supplementary Figure 4**). Between 64 and 217 cells per infection condition met both criteria to

be included in further analysis. As expected, no significant difference was detected between WT

and mVar$_1$ transcript levels (p > 0.05, linear mixed effects model) (**Supplementary Figure 5**). No

differentiation is therefore made between strains in further analysis. In cells infected with both WT

and mVAR$_1$ viruses, viral transcript abundance is calculated separately for each, so that

coinfected cells contribute two distinct measurements to the dataset. The viral transcript levels

per cell detected in this second experiment are shown in **Figure 6A** alongside data from the first

experiment. In comparing the two infections, we observe that total viral transcript abundance is

markedly lower in MDCK cells compared to DF-1 cells in the first infection, but this effect is almost

entirely mitigated by the presence of mVAR$_2$ virus in the second infection. This reduction in the

disparity between DF-1 and MDCK cells resulted from the fact that mVAR$_2$ virus increased

transcript abundance by 149% in DF-1 cells, but 258% in MDCK cells (p < 10$^{-4}$, linear mixed

effects model) (**Figure 6A**). These data underscore the significance of viral collective interaction

to ensure productive infection in diverse hosts.

Because only a subset of a cell's transcripts is captured and therefore reliably detected[41],

the 10x platform does not allow a robust determination of segment presence or absence in a cell.

The addition of mVAR$_2$ virus improves the sensitivity with which WT transcripts can be detected,

however, and – as noted above – levels the playing field between MDCK and DF-1 cells. We

therefore evaluated the number of distinct viral gene segments detected per cell, as indicated by

the presence of the corresponding mRNAs. Failure to detect a subset of the eight segments in a

given cell is very common in our datasets, as with others[38,40]. The addition of mVAR$_2$ virus

increases the number of segments per cell detected, however, suggesting that failure to detect is

often a result of transcript levels falling below the limit of detection of the assay (**Figure 6B**).

Importantly, in the presence of mVAR$_2$ virus, the number of segments detected per cell was

comparable between MDCK and DF-1 cells across all MOIs tested (**Figure 6B**). This result

suggests that the frequency with which incomplete GFHK99 viral genomes are expressed is

comparable between these mammalian and avian cell lines.

***Frequency of incomplete GFHK99 genomes in MDCK cells is moderate***

Given the limitations of single-cell mRNA sequencing for the detection of relatively rare transcripts, we employed a second single-cell approach to quantify the frequency with which fewer than eight vRNAs are replicated in GFHK99 infected MDCK cells[19]. MDCK cells were coinfected with a low MOI of GFHK99 WT virus and a high MOI of GFHK99 $VAR_2$ virus. The GFHK99 $VAR_2$ virus acts to ensure propagation of the WT virus gene segments, even when less than the full WT viral genome is available for transcription and replication. Following inoculation, single cells were sorted into wells which contain a naïve cell monolayer and multicycle replication was allowed for 48 h. To determine which viral gene segments were present in the initially sorted cell, RT-qPCR with primers that differentiate WT and $VAR_2$ gene segments was applied. As detailed in the Methods, the results were used to calculate the probability that a cell infected with a single WT virus contains a given segment. We termed the resultant parameter Probability Present ($P_P$). The experimentally determined $P_P$ values vary among the segments, with a range of 0.56 to 0.8 (**Figure 7A**). The product of the eight $P_P$ values gives an estimate of the proportion of singular infections in which all eight segments are available for replication. This estimate is 3.2% for GFHK99 virus in MDCK cells.

To evaluate whether incomplete viral genomes account for the focusing of GFHK99 virus production within multiply infected cells, we used our previously published computational model of IAV coinfection and reassortment[18]. In this model, the frequency of successful segment replication is governed by eight $P_P$ parameters and an infected cell only produces virus if at least one copy of all eight segments are replicated. Importantly, in this model the amount of virus produced from productively infected cells is constant – there is no additional benefit to multiple infection. When the eight experimentally determined $P_P$ values for GFHK99 virus in MDCK cells are used to parameterize the model, the theoretical prediction of reassortment frequency is much lower than that observed experimentally for GFHK99 viruses in MDCK cells, but a good match with the reassortment seen in DF-1 cells (**Figure 7B**). Clearly, the frequency with which GFHK99

segments fail to be replicated cannot fully account for the high reassortment seen in the

mammalian MDCK cell system, but can fully account for the more moderate reliance on multiple

infection seen in DF-1 cells. Thus, in mammalian cells, a full GFHK99 viral genome is necessary

but not sufficient to support robust replication.

**Discussion**

Our data reveal that moderate reliance of IAV on multiple infection is the norm. An exceptionally

high need for multiple infection can, however, occur when an IAV infects a new host species.

Thus, our findings identify increased reliance on multiple infection as a novel component of IAV

host restriction. Since not all mismatched virus-host combinations show a high reliance on

multiple infection, the data indicate that a need for collective interactions is a potential

manifestation of IAV host restriction, not a universal feature. Dependence on multiple infection is

of particular interest as a barrier to cross-species transfer for two reasons: first, it can be overcome

in the absence of genetic adaptation, through high dose infection; and second, it leads to high

levels of reassortment, which in turn can facilitate adaptation to a new host.

An important consequence of viral genome segmentation is the potential for replication of

incomplete genomes[2]. Complementation is therefore a major class of collective interaction for

viruses with segmented genomes. The relevance of complementation for a given virus species

likely depends on the extent to which packaging, delivery and replication of genome segments is

coordinated[42]. For IAV, we and others have demonstrated that a subset of segments fails to be

replicated or expressed in infected cells with high frequency[16,19,38,40]. Specifically, for influenza

A/Panama/2007/99 (H3N2) virus in MDCK cells, we found that delivery of a single viral genome

results in replication of all eight segments only 1.2% of the time[19]. Data reported herein for

GFHK99 virus indicate that a somewhat higher proportion of replicated viral genomes are

complete – namely, 3.2%. Thus, GFHK99 virus is partially dependent on complementation for

productive infection. However, the high levels of reassortment seen between GFHK99 WT and

VAR viruses in mammalian cells indicate that additional cooperative interactions are at play. This

is clear from the discrepancy between observed GFHK99 virus reassortment in MDCK cells and

the reassortment levels expected if complementation is the only cooperative effect considered.

These data point to a model in which the presence of not just complete genomes, but rather

multiple copies of the viral genome, are needed to overcome host-specific barriers to GFHK99

infection in mammalian systems (**Figure 8**).

Insight into the nature of this second cooperative interaction is gleaned from the

observation that the amount of viral RNA produced from GFHK99 WT viral genomes is

significantly increased with the addition of a homologous virus. From these data, we can conclude

that the coinfecting virus functions in *trans* to support WT virus RNA replication in mammalian

cells. In addition, our genetic data implicate the viral PA in defining an acute reliance on

cooperation. The PA gene segment encodes two proteins: PA and PA-X[43]. Together with PB2

and PB1, PA is an essential component of the trimeric polymerase complex[44]. PA carries the

endonuclease activity required for cap-snatching from nascent Pol II transcripts, which is essential

for viral transcription[45]. It also comprises much of the interface for viral polymerase dimerization,

which is needed for viral replication[46]. PA-X shares its N-terminus with PA but has a unique 41 or

61 amino acid C-terminus[43,47]. PA-X contributes to the shut-off of host protein synthesis by

targeting host Pol II transcripts for destruction[48,49]. Alignment of PA and PA-X proteins encoded

by GFHK99 and MaMN99 viruses reveals 29 differences in PA and 9 differences in PA-X

(**Supplementary Figure 6**). Three-dimensional modeling of the GFHK99 and MaMN99 PA

proteins based on the recently reported structure of the A/NT/60/1968 (H3N2) virus polymerase[46]

did not predict marked differences. Nevertheless, the polymorphisms noted in and near the

dimerization loop suggest a model in which the reliance of GFHK99 virus on multiple infection

may result from an inefficiency of polymerase dimerization and consequent inefficiency of vRNA

synthesis[46]. This model is attractive based on the results of Fan et al., who reported that aberrant

*in vitro* initiation of vRNA synthesis by a dimerization mutant was ameliorated with increased

polymerase concentration[46]. We speculate that multiple infection could lead to a similar effect

within the infected cell. If this speculation is correct, it would suggest a role for host factors in viral

polymerase dimerization, since the observed GFHK99 reliance on multiple infection is specific to

mammalian cells. Finer mapping of the phenotype within PA and targeted functional studies are

needed to test this model and explore other possible mechanisms.

Of note, high reliance on multiple infection in mammalian cells does not extend to all avian

IAVs. Our experiments indicate that this phenotype is a feature of H9N2 subtype avian IAV

prevalent at the poultry-human interface, while two avian IAV derived from wild birds, MaMN99

and DkHK78 viruses, showed no increase or a modest increase in reliance on multiple infection

in mammalian relative to avian systems. Whether a need for multiple infection in mammalian cells

extends to other poultry-adapted lineages is an interesting question for future study. H9N2

subtype viruses are, however, highly relevant in the context of zoonotic infection due to their

prevalence at the poultry-human interface. Sporadic human infections with poultry-adapted H9N2

viruses have been reported, including with strains closely related to GFHK99 virus. These H9N2

viruses furthermore share several related genes with H5N1 and H7N9 subtype viruses that have

caused hundreds of severe human infections[50-55]. The G1 lineage to which the GFHK99 virus

belongs circulates widely in the poultry of Southeast Asia, the Middle East, and North Africa and

reassorts frequently with other poultry adapted IAVs[56-59]. Our comparison of reassortment in

guinea pigs and quail indicates that reassortment could be particularly prevalent in the context of

zoonotic infection of mammals. This phenotype of high reassortment in mammals is expected to

extend to the H5N1 and H7N9 subtype viruses of public health concern, which carry polymerase

genes related to those of the GFHK99 virus[53-55]. While reassortment is typically deleterious[60,61],

high frequencies increase opportunity for fit genotypes to arise and adapt, and should therefore

be considered in assessing the risk of emergence posed by non-human adapted IAVs.

Our data reveal novel concepts in both virus-host and virus-virus interactions. In the

context of virus-host interactions, the extent of IAV reliance on cooperation is determined in part

by interactions with the host cell, such that infection of novel hosts may be characterized by an

acute dependence on multiple infection. In the context of virus-virus interactions, our data show

that cooperation is not limited to complementation; rather, interactions among homologous

coinfecting viruses can be highly biologically significant. Viral dependence on multiple infection is

expected to have a strong impact on viral fitness and evolution by modulating the efficiency of

spread within and between hosts and by changing how a virus population samples sequence

space[62-65]. Thus, multiple infection dependence is likely to play an important role in determining

the outcomes of IAV infection and evolution in diverse hosts.

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**Author Contributions**

KLP contributed to the conception of work, experimental design, data acquisition and analysis,

interpretation of data; KG, NTJ and C-YL contributed to experimental design, data acquisition and

analysis and interpretation of data; SC contributed to data acquisition; MM and MCW contributed

to data acquisition and analysis; BEP contributed to data analysis and interpretation; GST

contributed to conception of the work, data analysis and interpretation; LMF contributed to data

acquisition; DRP contributed to experimental design, data analysis and interpretation; ACL

contributed to conception of work, experimental design and data analysis and interpretation. All

authors contributed to the writing of the manuscript.

**Conflict of interest statement**

The authors declare no conflicts of interest.

**Data availability**

10x Genomics single-cell sequencing data is available on the GEO database with the accession

number XXXXXXXX. Other data are available from the corresponding author upon reasonable

request.

**Code availability**

Code used for 10x Genomics analysis is available at:

https://github.com/njacobs627/GFHK99_Multiplicity. Code used to run the agent-based model on

influenza A virus reassortment was reported previously[18,19] and is also available at

https://github.com/njacobs627/GFHK99_Multiplicity.

**Methods**

***Cells and cell culture media***

Madin-Darby canine kidney (MDCK) cells, a gift from Peter Palese, Icahn School of Medicine at

Mount Sinai, were used in all experiments. MDCK cells from Daniel Perez at University of Georgia

were used for plaque assays as this variant of the MDCK line was found to yield more distinct

plaques for the GFHK99 strain. Both MDCK cell lines were maintained in minimal essential

medium (MEM; Gibco) supplemented with 10% fetal bovine serum (FBS; Atlanta Biologicals),

penicillin (100 IU), and streptomycin (100 μg per mL) (PS; Corning). 293T cells (ATCC CRL-3216)

and DF-1 cells (ATCC CRL-12203) were maintained in Dulbecco's minimal essential medium

(DMEM; Gibco) supplemented with 10% FBS and PS. Duck embryo cells (ATCC CCL-141) were

maintained in Eagle's minimum essential medium (EMEM; ATCC) supplemented with 10% FBS

and PS. Normal human bronchial epithelial (NHBE) cells were acquired from Lonza and were

amplified and differentiated into air-liquid interface cultures as recommended by Lonza and

described by Danzy et al.[66]. All cells were cultured at 37°C and 5% $CO_2$ in a humidified incubator.

Medium for culture of IAV in each cell line (virus medium) was prepared by supplementing the

appropriate media with 4.3% bovine serum albumin and penicillin (100 IU), and streptomycin (100

μg per mL). Ammonium chloride-containing virus medium was prepared by the addition of HEPES

buffer and $NH_4Cl$ at final concentrations of 50 mM and 20 mM, respectively.

***Viruses***

All viruses were generated by reverse genetics[67]. For avian viruses, 293T cells transfected with

reverse genetics plasmids 16–24 h prior were injected into the allantoic cavity of 9–11 day old

embryonated chicken eggs and incubated at 37°C for 40–48 h. The resultant egg passage 1

stocks were used in experiments. For NL09-based viruses, 293T cells transfected with reverse

genetics plasmids 16–24 h prior were co-cultured with MDCK cells at 37°C for 40–48 h.

Supernatants were then propagated in MDCK cells from low MOI to generate NL09 working

stocks. Defective interfering segment content of PB2, PB1, and PA segments was confirmed to

be minimal for each virus stock, as described previously[68] (**Supplementary Figure 7)**. All plaque

assays were performed in MDCK cells; viruses were also titered by flow cytometry and/or RT

qPCR-based methods where indicated. The reverse genetics system for influenza A/guinea

fowl/Hong Kong/WF10/99 (H9N2) virus was reported previously[69,70]. This strain has been referred

to as WF10 in previous publications[69-71]. For consistency with other strains used in the present

manuscript, it is referred to herein as GFHK99. A low passage isolate of influenza

A/mallard/Minnesota/199106/99 (H3N8) virus, referred to herein as MaMN99, was obtained from

David Stallknecht at the University of Georgia[72]. The virus was passaged once in eggs and then

the eight cDNAs were generated and cloned into the pDP2002 vector[73]. A low passage isolates

of influenza A/duck/Hong Kong/448/1978 (H9N2) and A/quail/Hong Kong/A28945/1988 (H9N2),

referred to herein as dkHK78 and QaHK88, were passaged once in eggs and then the eight

cDNAs were generated and cloned into the pDP2002 vector. To increase the recovery efficiency

of viruses containing polymerase components from the MaMN99 virus, pCAGGS support

plasmids encoding PB2, PB1, PA, and NP proteins of the A/WSN/33 (H1N1) strain were supplied.

GFHK99 WT, MaMN99 VAR and NL09 VAR viruses were engineered to contain a 6XHis

epitope tag plus GGGS linker at the N-terminus of the HA protein following the signal peptide.

GFHK99 $VAR_1$, MaMN99 WT and NL09 VAR viruses contain similarly modified HA genes, with

an HA epitope tag plus a GGGS linker inserted at the N-terminus of the HA protein[36]. All assays

except the single-cell mRNA sequencing used viruses carrying these epitope tags.

Silent mutations were introduced into VAR viruses by site-directed mutagenesis to allow

genotyping of WT and VAR segment origin. The specific changes introduced into all VAR viruses

used here are listed in **Supplementary Table 1.** Mutations introduced into GFHK99 $VAR_1$,

MaMN99 VAR, and NL09 VAR viruses enable detection by high-resolution melt analysis or probe-

based droplet digital PCR. Mutations introduced into the GFHK99 $VAR_2$ strain were designed to

confer unique primer binding sites relative to GFHK99 WT virus. Viruses used for single-cell

mRNA sequencing, GFHK99 $mVAR_1$ and GFHK99 $mVAR_2$, carry mutations near the 3' end of

each transcript to allow detection by sequencing following oligo-dT priming[38].

***Synchronized, single-cycle infection conditions***

Conditions designed to synchronize viral entry and prevent propagation of progeny viruses were

used for all cell culture-based infections with the exception of those performed for measurement

of $P_P$ values. Synchronized, single-cycle infections were performed as follows: Cell monolayers

were washed three times with PBS and placed on ice. Chilled virus inoculum was added to each

well and incubated at 4°C for 45 minutes with occasional rocking to allow attachment without

entry[74]. Inoculum was aspirated and cell monolayer was washed three times with cold PBS before

addition of warm virus medium lacking trypsin. Cultures were incubated at 37°C. At 3 h post-

infection, virus medium was replaced with ammonium chloride-containing virus medium. Addition

of ammonium chloride to the medium prevents acidification of endosomes, thereby blocking

further IAV infection[75]. Cultures were returned to 37°C for the remainder of the incubation time.

***Infection of cultured cells for quantification of coinfection and reassortment***

MDCK or DF-1 cells were seeded at a density of 4 x$10^5$ cells per well in 6-well dishes 24 h before

inoculation. Virus inoculum was prepared by combining WT and VAR viruses at high titer in a 1:1

ratio based on PFU titers, and then diluting in PBS to achieve MOIs ranging from 10 to 0.01 PFU

per cell. Synchronized, single cycle infection conditions were used. In addition, owing to the low

yield of IAV in DF-1 cells, acid inactivation of inoculum virus was performed at 1 h post-infection

for this cell type. This procedure is needed if residual inoculum virus would otherwise comprise

an appreciable proportion of the virus sampled at 12 or 16 h post-inoculation. For acid inactivation,

media was aspirated and replaced with 500 µL of PBS-HCl, pH 3.00 and incubated 5 min at 37°C.

Cells were then washed once with PBS before the addition of virus medium.  GFHK99 virus-

infected cells were harvested at 12 h post-infection due to high amounts of cytopathic effects at

later time points. Cells infected with MaMN99 virus and MaMN99:GFHK99 chimeric viruses were

harvested at 16 h post-infection. NL09 virus reassortment data shown in Figure 1C were reported

previously[76] and are included here to allow comparison to the avian strains used.

***Determination of infection and coinfection levels based on HA surface expression.*** To

enumerate infected cells, surface expression of HIS and HA epitope tags was detected by flow

cytometry (**Supplementary Figure 8**). This method was previously described in detail[36]. Cells

were fixed following staining by resuspending in FACS buffer and 1% paraformaldehyde. The

percentage of cells positive for either or both epitope tags is expressed as % cells $HA^+$. The

percentage of cells positive for both epitope tags is expressed as % cells dual-$HA^+$. The

relationship between these two parameters was evaluated by plotting % cells dual-$HA^+$ against %

cells $HA^+$ and regressing the resultant curve as a polynomial:

*% cells dual-HA$^+$ = $\beta_2$\* Expectation(% cells HA$^+$) + $\beta_1$\*(% cells HA$^+$),*

where $\beta_2$ and $\beta_1$ are genotype-specific. For a given fraction of cells expressing HA, the expected

fraction of cells expressing both HA proteins is derived from the Poisson distribution with

$\lambda$ = *–ln*(1 – *% cells HA$^+$*):

562
$$\sum_{v=1}^{\infty} \frac{e^{-\lambda}\lambda^v}{v!}(1 - 2 * 0.5^v)$$

564     From the regression models, we then quantified the degree of linearity using the equation:

565
$$\% \ linearity \ = \ \frac{|\beta_1|}{|\beta_1|+|\beta_2|}.$$

***Animal models and reassortment* in vivo**

Ethics Statement. All animal experiments were conducted in accordance with the Guide for the

Care and Use of Laboratory Animals of the National Institutes of Health. Studies were conducted

under animal biosafety level 2 (ABSL-2) containment and approved by the IACUC of the

University of Georgia (protocols A201506-026-Y3-A2 and A201506-026-Y3-A5) for quail studies

or the IACUC of Emory University (protocol PROTO201700595) for guinea pig studies. Animals

were humanely euthanized following guidelines approved by the American Veterinary Medical

Association (AVMA).

Quail eggs obtained from the College of Veterinary Medicine, University of Georgia, were

hatched at the Poultry Diagnostic and Research Center, University of Georgia. Two days before

virus inoculation, quail sera were confirmed to be seronegative for IAV exposure by NP ELISA

(IDEXX, Westbrook, ME). At 3 weeks of age, birds were moved into a HEPA in/out BSL2 facility

and each group divided into individual isolator units.

Groups (n=6) of 3 week old Japanese quail (Coturnix Japonica) were used to determine

the 50% quail infectious dose of the 1:1 GFHK99 WT and GFHK99 $VAR_1$ virus mixture. Each

quail was inoculated with 500 µl by oculo-naso-tracheal route of virus mixture in PBS, at

increasing concentrations of $10^0$ to $10^6$ $TCID_{50}$ per 500 µL. Tracheal and cloacal swab specimens

were collected daily from each bird in brain heart infusion media (BHI). Swab samples were

analyzed by $TCID_{50}$ assay and titers of tracheal swabs collected at 4 d post-inoculation were used

to determine the $QID_{50}$ by the Reed and Muench method[77]. Virus was not detected in cloacal

swabs. $QID_{50}$ was found to be equivalent to 1 $TCID_{50}$.

To quantify reassortment in quail, samples collected from quail (n=6) infected with the $10^2$

$TCID_{50}$ dose of the 1:1 GFHK99 WT and GFHK99 $VAR_1$ virus mixture were used. These were the

same birds as used to determine $QID_{50}$. Virus shedding kinetics were determined by plaque assay

of tracheal swab samples and samples from days 1, 3, and 5 were chosen for genotyping of virus

isolates.

Female Hartley strain guinea pigs weighing 250–350 g were obtained from Charles River

Laboratories (Wilmington, MA) and housed by Emory University Department of Animal

Resources. Prior to intranasal inoculation and nasal washing, guinea pigs were sedated by

intramuscular injection with 30 mg per kg ketamine/ 4 mg per kg xylazine. The $GPID_{50}$ of GFHK99

WT/$VAR_1$ and MaMN99 WT/VAR virus mixtures were determined as follows. Groups of four

guinea pigs were inoculated intranasally with virus mixture in PBS at doses of $10^0$ to $10^5$ PFU per

300 µL inoculum. Daily nasal washes were collected in 1 mL PBS and titered by plaque assay.

Results from day 2 nasal washes were used to determine the $GPID_{50}$ by the Reed and Muench

method[77]. The $GPID_{50}$ of GFHK99 virus was found to be 2.1 x $10^3$ PFU; that of MaMN99 virus

was 2.1 x $10^1$ PFU. The GPID50 of NL09 virus was previously determined to be 1 x $10^1$ PFU (ref[78]

and data not shown).

To evaluate reassortment kinetics in guinea pigs, groups of six animals were infected with

$10^2$ x GPID$_{50}$ of the aforementioned GFHK99 WT / VAR$_1$, MaMN99 WT / VAR or NL09 WT / VAR

virus mixtures. Virus inoculum was given intrasnasally in a 300 μL volume of PBS. Nasal washes

were performed on days 1–6 post-inoculation and titered for viral shedding by plaque assay. HRM

genotyping was performed on samples collected on day 1, 3, and 5 for each guinea pig.

### Quantification of reassortment and effective diversity

Reassortment was quantified for *in vitro* coinfection supernatants, guinea pig nasal washes, and

quail tracheal swabs as described previously[36]. Briefly, plaque assays were performed in 10 cm

dishes to isolate virus clones. 1 mL serological pipettes were used to collect agar plugs into 160

μl PBS. Using a ZR-96 viral RNA kit (Zymo), RNA was extracted from the agar plugs and eluted

in 40 μl nuclease free water (Invitrogen). Reverse transcription was performed using Maxima RT

(Thermofisher) according to the manufacturer's protocol. The resulting cDNA was diluted 1:4 in

nuclease free water and each cDNA was combined with segment specific primers

(**Supplementary Table 2**) and Precision Melt Supermix (Bio-Rad) and analyzed by qPCR in a

CFX384 Touch real-time PCR detection system (Bio-Rad) designed to amplify a ~100 bp region

of each gene segment which contains a single nucleotide change in the VAR virus. The qPCR

was followed by high-resolution melt (HRM) analysis to differentiate WT and VAR amplicons[79].

Precision Melt Analysis software (Bio-Rad) was used to determine the parental virus origin of

each gene segment based on melting properties of the cDNAs and comparison to WT and VAR

controls. Each individual plaque was assigned a genotype based on the combination of WT and

VAR genome segments, with 2 variants on each of 8 segments allowing for 256 potential

genotypes.

Reassortment data was used to calculate viral genotypic diversity; that is, the diversity of

the 256 possible WT/VAR genotypes present in a given sample. Diversity was quantified as

reported previously[80], by calculating Simpson's Index, given by $D = \text{sum}(p_i^2)$, where $p_i$ represents

the proportional abundance of each genotype[81]. Simpson's Index accounts for both the raw

number of species and variation in abundance of each, and is sensitive to the abundance of

dominant species. These features are important to ensure that overrepresentation of a single

reassortant genotype does not cause a viral population to appear more diverse than it actually is.

Because Simpson's Index does not scale linearly, each sample's Simpson's Index value was

converted to a corresponding Hill number to derive its effective diversity, $N_2 = 1/D$[82], which is

defined as the number of equally abundant species required to generate the observed diversity

in a sample community. Because it scales linearly, Hill's $N_2$ allows a more intuitive comparison

between communities (i.e., a community with $N_2 = 10$ species is twice as diverse as one with $N_2 = $

5) and is suitable for statistical analysis by basic linear regression methods[83]. Robust linear

models of $N_2$ vs. time were regressed using the R package *robustlmm*.

***Hierarchical clustering analysis***

To compare the behavior of multiple viruses during *in vitro* coinfections, a hierarchical clustering

algorithm was used. In these experiments, multiplicity dependence was measured by analyzing

HA co-expression and reassortment as a function of the percentage of cells expressing HA. Each

of these regression models contain two parameters (*% cells dual-HA⁺* $= \beta_2 *$ Expectation(*% cells*

*HA⁺*) $+ \beta_1 *$(*% cells HA⁺*), and *% reassortment* $= \beta_0 + \beta_1 * ln$(*% cells HA⁺*). The behavior of a given

virus can therefore be expressed as a set of four parameters. These parameters were used to

calculate the distance between each pair of viruses, and Ward's method of aggolomerative

hierarchial clustering was then used to organize viruses into clusters based on these distances[84].

Briefly, this algorithm combines a nearby pair of elements into a cluster with a new position that

is halfway between the original individuals. This process is repeated until all elements have been

incorporated into one cluster. The R package *pvclust* was used to calculate statistical support for

the existence of each node by multiscale bootstrap resampling, with nodes appearing in 95% of

trials being deemed statistically significant. Each dendrogram was then divided into two clusters

to determine whether the behavior of GFHK99 virus in MDCK cells represented a true outgroup

(**Figure 1**), or to determine whether each GFHK99:MaMN99 chimeric virus was more similar to

GFHK99 or MaMN99 parent virus (**Figure 4**).

### *Single-cycle viral growth kinetics*

DF-1 or MDCK cells were seeded at $4 \times 10^5$ cells per well in 6 well dishes 24 h prior to infection.

Virus was serially diluted using PBS. Synchronized, single cycle infection conditions with acid

inactivation of inoculum virus as described above were used. At each time point, 120 µl

supernatant was collected. Viral titers for each sample were assessed by plaque assay in MDCK

cells. Each MOI condition was used in 5–6 wells in parallel infections. Three wells served as

technical replicates for growth curve sampling while the remaining wells were harvested at 24 h

post-infection to enumerate HA-expressing cells via flow cytometry. Flow cytometry data for NL09

in MDCK cells are not included owing to low sensitivity of the assay for this virus. In cases where

acid inactivation was inefficient, the replicate was eliminated, and data are plotted in duplicate.

### *Effect of increasing multiple infection on viral RNA replication*

For DF-1 and MDCK cell experiments, 12-well plates were seeded with $3 \times 10^5$ cells per well 24 h

prior to infection. For NHBE cells, cells were cultured at an air-liquid interface as previously

described[66]. Cell surfaces were washed three times with PBS prior to inoculation. Triplicate wells

were then inoculated with increasing doses of VAR virus, plus 0.005 PFU per cell of WT virus in

DF-1 and MDCK cells or 0.05 PFU per cell of WT virus in NHBE cells. VAR viruses used were

GFHK99 VAR$_2$, MaMN99 VAR, NL09 VAR, MaMN99-Anhui-PA VAR, MaMN99-GFHK99-PA

VAR, dkHK78 VAR, and QaHK88 VAR. After 55 minutes at 37°C, inoculum was aspirated, cells

were washed three times with PBS and 1 ml per well virus medium was added. Media was

exchanged for ammonium chloride-containing media 3 h later. At 12 h post-infection, virus media

was removed and cells were harvested using RNAprotect Cell Reagent (Qiagen). RNA was

extracted using RNeasy columns (Qiagen) and then reverse transcribed with universal influenza

primers[85] and Maxima RT per protocol instructions. Droplet digital PCR (ddPCR) was performed

on the resultant cDNA. For GFHK99 virus, QX200™ ddPCR™ EvaGreen Supermix (Bio-Rad)

was used with a combination of PB2, M, and NS primers specific for the GFHK99 WT virus (final

primer concentration of 200 nM) (**Supplementary Table 3**). For MaMN99, MaMN99-Anhui-PA,

MaMN99-GFHK99-PA, dkHK78, QaHK88 and NL09 viruses, QX200™ ddPCR™ Supermix for

Probes (Bio-Rad) was used with NP specific primers and probes (**Supplementary Table 3**).

***Strand-specific quantification of viral RNA species over time***

Viruses used for this experiment were the same GFHK99 WT/VAR$_1$ or MaMN99 WT/VAR

virus mixtures used to measure reassortment, but in this case each mixture was considered as a

single virus population (i.e. the RT ddPCR assay outlined below to quantify viral m/vRNA does

not differentiate between WT and VAR genotypes). Doses used were 0.5 RNA copies per cell for

the low MOI and 3.0 HA-expressing units/cell for the high MOI. RNA copy numbers of virus stocks

were determined by ddPCR targeting at least four segments (mean values were used). HA-

expressing units per mL was determined by counting HA$^+$ cells by flow cytometry in the relevant

cell type. Specifically, cells were infected with serial dilutions of virus under synchronized, single

cycle conditions. At 24 h post-infection, cells were harvested and flow cytometry was performed

targeting His and HA epitope tags. HA expressing units per mL for each virus / cell combination

was calculated based on the linear range of %HA$^+$ cells plotted as a function of volume of virus

added to cells[86] (**Supplementary Figure 3**).

12-well plates were seeded with 2x10$^5$ cells per well of MDCK or DF-1 cells and incubated

at 37$^o$C for 24 h. Synchronized, single cycle infection conditions were used, as described above.

Chilled virus was added at a volume of 125 µL per well. At 0, 1, 2, 4, 6, 8, and 10 h post-infection,

virus medium was aspirated and cells were harvested using 400 µL of RNAprotect Cell Reagent

(Qiagen). RNA was extracted using the Qiagen RNAeasy Mini kit. Two reverse transcription

reactions per sample were set up with primers targeting mRNA or vRNA of the NS segment, each

containing different nucleotide barcode tags (**Supplementary Table 4**). Maxima RT was used

according to the manufacturer's instructions and combined with 300 ng MDCK or 150 ng DF-1

RNA. Absolute copy number of cDNA was determined by ddPCR. Forward and reverse primers

for vRNA or mRNA of NS at a total concentration of 200 nM were combined with diluted cDNA

and QX200™ ddPCR™ EvaGreen Supermix (Bio-Rad). Primer sequences are given in

**Supplementary Table 4**. Thermocycler protocol was 95°C for 5 min, [95°C for 30s, 57°C for 60s]

repeat 40x, 4°C for 5 min, 90°C for 5 min, 4°C hold. Copy number was normalized to RNA input

to give final results in units of copy number per ng RNA. We did attempt to measure cRNA in this

assay but found that primers designed to be specific for cRNA cross-primed on viral mRNA.

***Single-cell mRNA sequencing***

For this assay, viruses were titered in DF-1 cells using flow cytometry with anti-NP antibody. DF-

1 cells were used because they give more sensitive detection of GFHK99 virus infection than

MDCK cells. Cells were infected with serial dilutions of virus under synchronized, single-cycle

conditions. At 24 h post-infection flow cytometry was performed targeting NP: cells were

processed with the BD Cytofix/Cytoperm™ Kit (catalog no. 554714) and stained with anti-NP

antibody (Abcam, clone 9G8, catalog no. ab43821) followed by donkey anti-Mouse IgG Alexa

Fluor 488 (Invitrogen catalog no. A-21202). NP expression units per were calculated based on

the linear range of % cells NP$^+$ plotted as a function of volume of virus added to cells[86]

(**Supplementary Figure 3**).

To perform single-cell mRNA sequencing, MDCK and DF-1 cells were seeded into 6-well

plates at $5 \times 10^5$ cells per well. At 24 h post seeding, cells were infected with synchronized, single

cycle conditions. In the first experiment, MDCK or DF-1 cells were inoculated with GFHK99 WT

virus at an MOI of 0.07, 0.2, 0.6, or 1.8 NP units per cell. In the second experiment, MDCK or DF-

1 cells were inoculated with a 1:1 ratio of GFHK99 WT virus and GFHK99 mVAR$_1$ virus that

amounted to a MOI of 0.02, 0.07, 0.2 or 0.6 NP units per cell. GFHK99 mVAR$_2$ virus was added

to MDCK and DF-1 cell infections at MOIs of 1 PFU per cell and 0.1 PFU per cell, respectively.

Infected cells were incubated for 8 h at 37$^{\circ}$C. Culture media was then aspirated and cells washed

once with 1X PBS. Cells were then trypsinized with 200 µL of 0.25% Trypsin EDTA until all cells

came off the plate and were mono-dispersed. To each well, 0.5 mL of virus medium was added

and replicates were pooled (2 wells per MOI). Cells for each sample were counted. Samples were

spun at 150 rcf for 3 minutes and washed with 0.5 mL of 1X PBS/0.04% BSA. Washings were

performed two more times. Finally, cells were resuspended with 1X PBS/0.04% BSA to give 7 x

$10^5$ cells per mL. Preparation for single-cell transcriptomic sequencing followed the protocol for

10x Genomics Chromium Single Cell platform.

Analysis of viral transcripts from single cells was performed with the sequencing data from

all experiments using Cell Ranger software. Briefly, the Cell Ranger software assigns each read

to individual cells and transcripts based on two sets of unique molecular identifiers (UMIs) that

are ligated prior to amplification. This approach allows the quantification of amplification bias at

both the cellular and transcript levels. The first step of the analytical workflow was to map the

reads to concatenated transcriptomes of GFHK99 virus with the transcriptomes of dog or chicken

to analyze MDCK and DF-1 cell infections, respectively. Protein coding regions for the dog and

chicken transcriptomes were identified in the GTF file associated with genome builds

CanFam3.1.98 (NCBI accession number GCA_000002285.2) and GRCg6a (NCBI accession

number GCA_000002315.5), respectively, while GFHK99 virus coding regions were extracted

from the reverse-complement sequences of the GFHK99 strains. The aligned sequencing data is

available on the GEO database with the accession number XXXXXXXX. Cell Ranger output in

the form of gene and barcode counts were then analyzed in R using the package *CellrangerRkit*.

To account for the issue of cellular lysis, which can allow uninfected cells to acquire viral RNA

from the supernatant and thus appear infected, a preliminary analysis was conducted to exclude

cells that were likely false positives. This analysis was informed by the reasoning that 1)

contaminated cells were likely to contain less viral RNA than truly infected cells, and 2) the amount

of viral RNA present in contaminated cells should be less consistent than the amount present in

truly infected cells. Within each infection, the proportion of each cell's transcriptome that was

comprised of viral RNA was calculated, and cells were ordered according to this proportion so

that the marginal gain in % viral RNA from one cell to the next could be calculated. Excluding cells

with no marginal gain (indicating two cells with the same % viral RNA), the marginal gain vs. %

viral RNA was plotted for each infection, which showed that this marginal gain is initially a rapidly

decreasing function of % viral RNA. The first local minimum of a local regression was derived for

each infection, to determine the point at which marginal gain became more consistent and less

affected by % viral RNA, and cells with % viral RNA below that threshold were excluded from

further analysis (**Supplementary Figure 4**). To enable comparisons between samples, the

median number of UMIs per cell was calculated for each infection, and the UMI counts of each

cell within that infection were normalized to this median value (e.g. if the total number of UMIs in

a cell was 50% of that detected in the median cell, all of its UMI counts were multiplied by 2). The

number of viral RNA transcripts per cell was then calculated and $\log_{10}$-transformed.

***Single-cell sorting assay for measurement of $P_P$ values***

Segment-specific $P_P$ values were determined as previously described for influenza

A/Panama/2007/99 (H3N2) virus[19], and as follows. $4 \times 10^5$ MDCK cells were seeded into each well

of a 6-well dish. 24 h later, cells were washed 3x with PBS and inoculated with 0.018 PFU per

cell of GFHK99 WT virus and 1 PFU per cell of GFHK99 $VAR_2$ virus in 250 µL of PBS. Virus was

allowed to attach at 37°C for 1 h. Inoculum was then removed and cells were rinsed 3x with PBS

and 2 mL of virus medium was added to the well. After 1 h at 37°C, medium was removed and

cells were washed 3x with PBS and harvested by addition of Cell Dissociation Buffer (Corning).

Cells were resuspended in complete medium and washed 3x with 2 mL FACS buffer (2% FBS in

PBS). A final resuspension step was performed in PBS containing 1% FBS, 10 mM HEPES, and

0.1% EDTA. Cells were strained through a cell strainer cap (Falcon) and sorted on a BD Aria II

cell sorter. Gating was performed to remove debris and multiplets and one event per well was

sorted into each well of a 96-well plate containing MDCK monolayers at 30% confluency in 50 µl

virus medium supplemented with 1 ug per mL TPCK-treated trypsin. Following the sort, an

additional 50 µl of virus medium plus TPCK-treated trypsin was added to each well and plates

were centrifuged at 1,800 rpm for 2 minutes to promote cell attachment. Plates were incubated at

37°C for 48 h to allow propagation of virus from the sorted cell.

RNA was extracted from infected cells in the 96-well plate using a ZR-96 Viral RNA Kit

(Zymo Research) per manufacturer instructions. Extracted RNA was converted to cDNA using

universal influenza primers[85] and Maxima RT according to manufacturer instructions. After

conversion, cDNA was diluted 1:4 with nuclease-free water and used as template (4 µL per

reaction) for segment-specific qPCR using SsoFast EvaGreen Supermix (Bio-Rad) in 10 µl

reactions, with 200 nM final primer concentration. Primers employed targeted each segment of

GFHK99 WT virus, as well as the PB2 and PB1 segments of GFHK99 VAR$_2$ virus. Primer

sequences are listed in **Supplementary Table 3**.

Given the MOI of GFHK99 WT virus used in the experiments, an appreciable number of

wells are expected to receive two or more viral genomes, and so a mathematical adjustment is

needed to estimate the probability of each genome segment being delivered by a single virion.

Using the relationship between MOI and the fraction of cells infected from Poisson statistics, i.e.,

$f = 1 - e^{-\text{MOI}}$, the probability of the $i$th segment being present in a singly infected cell, or $P_{P,i}$ can

be calculated from the 96-well plate using the following equation:

$$P_{P,i} = \frac{\text{MOI}_i}{\text{MOI}_{\text{wt}}} = \frac{-\ln{(1 - f_i)}}{-\ln{(1 - f_{\text{wt}})}} = \frac{\ln{(1 - \frac{C_i}{A})}}{\ln{(1 - \frac{B}{A})}}$$

where $A$ is the number of $VAR_2^+$ wells, $B$ is the number of $WT^+$ wells (containing any WT segment),

and $C_i$ is the number of wells positive for the WT segment in question. Wells that were negative

for $VAR_2$ virus segments were excluded from analysis.

**Figure Legends**

**Figure 1. Coinfection and reassortment frequencies indicate that IAV multiplicity**

**dependence varies with virus strain and host species.** A–D) MDCK or DF-1 cells were

coinfected with homologous WT and VAR viruses of either GFHK99, MaMN99, or NL09 strain

backgrounds at a range of MOIs. The relationship between % cells HA$^+$ and % cells dual-HA$^+$ (A)

varies with strain and cell type, resulting in curves of differing % linearity (B). GFHK99, MaMN99,

and NL09 viruses exhibit different reassortment levels in MDCK cells, but all show high

reassortment relative to a theoretical prediction in which singly infected and multiply infected cells

have equivalent burst sizes (C). GFHK99 virus reassortment levels differ in MDCK and DF-1 cells,

but again reassortment in DF-1 cells remains high relative to the theoretical prediction in which

multiple infection confers no advantage (C). Clustering analysis of reassortment and HA co-

expression regression models determines that GFHK99 virus exhibits unique behavior in MDCK

cells compared to DF-1 cells or other viruses in MDCK cells (D). Yellow circles indicate nodes

with >95% bootstrap support. In guinea pigs (n=6), GFHK99 WT and VAR$_1$ viruses exhibit higher

reassortment than MaMN99 or NL09 WT and VAR viruses, as indicated by increased genotypic

diversity (E). The GFHK99 WT and VAR$_1$ viruses exhibit higher reassortment in guinea pigs than

in quail (n=5) (F). Guinea pig data shown in panels E and F are the same. NL09 virus reassortment

data shown in (C) were reported previously[76]. Shading represents 95% CI.

**Figure 2. Increasing MOI increases viral productivity at sub-saturating, but not saturating**

**MOIs.** MDCK or DF-1 cells were infected under single-cycle conditions at a range of MOIs in

triplicate wells for each MOI. A–F) Viral titers observed at the indicated MOIs are plotted against

time post-infection for GFHK99 virus in MDCK cells (A), GFHK99 virus in DF-1 cells (B), MaMN99

virus in MDCK cells (C), NL09 virus in MDCK cells (D), GFHK99 virus in MDCK cells (E), and

GFHK99 virus in DF-1 cells (F). G) Fold change in amplification (maximum PFU output / PFU

input) relative to the MOI=0.01 PFU per cell condition is plotted for each virus-cell pairing. H) Burst

size, calculated as maximum PFU output / number of HA$^+$ cells detected by flow cytometry, is

plotted for each virus-cell pairing tested in the higher MOI range. MOIs shown are in units of PFU

per cell, as determined in MDCK cells. Error bars represent mean ± standard error.

**Figure 3. Coinfection enhances GFHK99 vRNA synthesis in a dose and host dependent**

**manner.** Cells were coinfected with WT virus and increasing doses of VAR virus. WT virus MOI

was 0.05 PFU per cell in NHBE cells and 0.005 PFU per cell in all other cell types. The fold change

in WT vRNA copy number, relative to that detected in the VAR virus, is plotted for NL09 virus in

MDCK and NHBE cells (A), MaMN99 virus in MDCK and duck embryo (DE) cells (B), dkHK78

virus in MDCK, NHBE, and DF-1 cells (C), GFHK99 virus in MDCK, NHBE, and DF-1 cells (D)

and QaHK88 virus in MDCK, NHBE, and DF-1 cells (E). n=3 cell culture dishes per condition.

Error bars represent mean ± standard deviation.

**Figure 4. Coinfection and reassortment of chimeric viruses reveal a major role for the viral**

**PA gene segment.** Reverse genetics was used to place one or more genes from GFHK99 virus

into a MaMN99 background. Coinfections with homologous WT and VAR strains were performed

in MDCK cells as in Figure 1. The relationship between % cells HA positive and % cells dually HA

positive (A, B) and reassortment levels (C, D) are shown for each genotype. Experimental results

are compared to a theoretical prediction based on Poisson statistics and in which singly infected

and multiply infected cells have equivalent burst sizes (Prediction). Clustering analysis of the

regression models shown in (A-D) is used to partition the behavior of each chimeric genotype into

one of two clusters, denoting closer similarity to GFHK99 or MaMN99 (E). Yellow circles indicate

nodes with >95% bootstrap support. Data shown for GFHK99 and MaMN99 viruses are the same

as those displayed in Figure 1.

**Figure 5. High multiplicity of infection is needed for robust GFHK99 polymerase activity in**

**MDCK cells.** Dishes of MDCK or DF-1 cells (n=3) were infected with GFHK99 or MaMN99 virus

at low (0.5 RNA copies per cell) or high (3 HA-expressing units per cell) MOI. NS segment vRNA

and mRNA was quantified at the indicated time points (A–F). The average fold change from initial

(t=0) to peak RNA copy number is plotted for low MOI infections (G) and high MOI infections (H).

Error bars represent standard deviation. Significance was assessed by multiple unpaired t-tests

with correction for multiple comparisons using the Holm-Sidak method, with alpha = 5.0%. Each

row was analyzed individually, without assuming a consistent SD: *p < 0.05, **<0.01, ***<0.001.

ns = not significant.

**Figure 6. Homologous coinfecting virus boosts GFHK99 viral transcription in single cells**

**and reveals comparable rates of segment detection in MDCK and DF-1 cells.** DF-1 or MDCK

cells were infected with GFHK99 virus (left facet) at MOIs of 0.07, 0.2, 0.6, or 1.8 NP-expressing

units per cell, or a 1:1 mixture of GFHK99 WT and GFHK99 mVAR$_1$ viruses at four different total

MOIs (0.02, 0.07, 0.2, 0.6 NP-expressing units per cell) and a constant amount of GFHK99

mVAR$_2$ virus (0.1 PFU per cell in DF-1 cells, 1.0 PFU per cell in MDCK cells) (right facet). Violin

plots show the distribution of total viral RNA (A) or distinct viral genome segments (B) per cell in

each cell-MOI infection condition. Vertical lines denote the median of each distribution. UMI =

unique molecular identifier.

**Figure 7. Incomplete GFHK99 virus genomes are present but not sufficiently abundant to**

**account for observed reassortment in MDCK cells.** Incomplete viral genomes were quantified

experimentally by a single-cell assay which relies on the amplification of incomplete viral genomes

of GFHK99 WT virus (0.018 PFU per cell) by a genetically similar coinfecting virus, GFHK99

VAR$_2$. Based on the rate of detection of GFHK99 WT virus segments in this assay, the probability

that a given segment would be present and replicated in a singly infected MDCK cell is reported

as $P_P$. A) Summary of experimental $P_P$ data. n = 4 biological replicates, distinguished by color. Horizontal bars and shading represent mean ± standard deviation. Mean $P_{P,i}$ values for each segment are indicated at the bottom of the plot area. Average $P_P$ for each experiment was calculated as the geometric mean of the eight $P_{P,i}$ values, and the mean ± standard deviation of those four summary $P_P$ values is shown at the top of the plot area. B) Experimentally obtained $P_{P,i}$ values in A were used to parameterize a computational model[18]. Levels of reassortment predicted using the experimentally determined parameters are shown with colors corresponding to points in (A). Levels of reassortment predicted if $P_P$=1.0 are shown with the dashed line. Observed reassortment of GFHK99 WT and VAR viruses in MDCK cells are shown with blue circles. Observed reassortment of GFHK99 WT and VAR viruses in DF-1 cells are shown with pink circles. Observed data are the same as those plotted in Figure 1.

**Figure 8. Model: complementation of incomplete viral genomes is necessary but not sufficient for robust progeny production from mammalian cells infected with GFHK99 virus.** Cells infected with single virions often replicate incomplete viral genomes and therefore do not produce viral progeny (A). In mammalian cells, complementation of incomplete viral genomes through coinfection may allow progeny production (B), but robust viral yields require the delivery of additional genomes to the cell, beyond the levels needed for complementation (C). Horizontal bars within cells represent viral gene segments successfully delivered and replicated.

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# Figure 1

# Figure 2

# Figure 3

**Relative copy number of WT vRNA in infected cells**

**A** NL09 **B** MaMN99 **C** dkHK78 **D** GFHK99 **E** QaHK88

MDCK

HTBE

DF-1/DE

N.D.

Multiplicity of VAR virus infection (PFU/cell)

# Figure 4

# Figure 5

# Figure 6

# Figure 7

**Figure 8**