Variant calling for cpn60 barcode sequence-based microbiome profiling

Sarah J. Vancuren[1], Scott J. Dos Santos, Janet E. Hill[*], and the Maternal Microbiome Legacy

Project Team[#]

Department of Veterinary Microbiology, University of Saskatchewan, Saskatoon, SK S7N5B4

[1]Current address: Department of Molecular and Cellular Biology, University of Guelph

*To whom correspondence should be addressed: Janet.Hill@usask.ca

[#]The Maternal Microbiome Legacy Project Team is Deborah Money, Janet Hill, K.S. Joseph,
Julie van Schalkwyk, Arianne Albert, Chelsea Elwood, Soren Gantt, Kirsten Grabowska,
Jennifer Hutcheon, Matthew Links, Amee Manges, Sheona Mitchell, Tim Dumonceaux, Zoe
Hodgson, Janet Lyons

ORCIDs
SJV: 0000-0002-6145-9670
SJD: 0000-0001-7793-3501
JEH: 0000-0002-2187-6277

Abstract

Amplification and sequencing of conserved genetic barcodes such as the cpn60 gene is a

common approach to determining the taxonomic composition of microbiomes. Exact sequence

variant calling has been proposed as an alternative to previously established methods for

aggregation of sequence reads into operational taxonomic units (OTU). We investigated the utility

of variant calling for cpn60 barcode sequences and determined the minimum sequence length

required to provide species-level resolution. Sequence data from the 5′ region of the cpn60 barcode

amplified from the human vaginal microbiome (n=45), and a mock community were used to

compare variant calling to de novo assembly of reads, and mapping to a reference sequence

database in terms of number of OTU formed, and overall community composition. Variant calling

resulted in microbiome profiles that were consistent in apparent composition to those generated

with the other methods but with significant logistical advantages. Variant calling is rapid, achieves

high resolution of taxa, and does not require reference sequence data. Our results further

demonstrate that 150 bp from the 5′ end of the cpn60 barcode sequence is sufficient to provide

species-level resolution of microbiota.

Keywords: cpn60, barcode, operational taxonomic unit, sequence variant, microbiome,
metabarcoding

INTRODUCTION

Microbiome profiling is the process of determining which organisms are present in an environment, and their relative abundances. Profiling can be achieved by a "metabarcoding" approach involving targeted PCR and sequencing a genetic barcode: a conserved gene that is shared by many species and can be used to distinguish one from another [1]. Barcodes that have been demonstrated to meet the criteria established by the International Barcode of Life project [2] include the cpn60 gene in bacteria [3], ITS in fungi [4] and cytochrome c oxidase subunit 1 (COI) in animals [2]. Barcodes are important tools for distinguishing species when phenotypic differences are not conclusive; this is especially useful for prokaryotes. Barcode sequences must be universally conserved, so that a wide range of species can be distinguished by the sequence. The cpn60 gene encodes the 60 kDa chaperonin protein conserved in bacteria, eukaryotes and some archaea. A 549-567 bp region of this gene (the "universal target", UT) has been shown to provide greater resolving power for bacterial species than the widely used 16S rRNA gene sequences [3]. The cpn60 UT sequence can be amplified with universal PCR primers and the chaperonin database, cpnDB, provides a curated collection of chaperonin sequences that can be used for species identification [5,6]. Sequencing of cpn60 UT amplicons from metagenomic samples has been used extensively in microbiome profiling [7–20].

Metagenomic barcode amplicon sequence analysis relies on classifying sequence reads, usually involving formation of operational taxonomic units (OTU), where sequence reads with similarity above a defined threshold are collapsed to a single unit, or other methods to 'bin' or categorize reads. De novo assembly of OTU has been particularly useful for cpn60 barcode sequences, allowing a high degree of taxonomic resolution of microbiota [12,13,21,22]. Depending on the assembly method, mapping the raw reads on to the assembled OTU sequences

can be subsequently performed to determine OTU abundances [23]. More recently, reference

mapping of cpn60 barcode sequence reads was established to speed up processing large amounts

of data from microbial communities for which a comprehensive de novo OTU assembly is

available for use as a reference, as well as to allow comparison of OTU across studies given a

common reference set of OTU. In this procedure, sequence reads are mapped on to the reference

sequence database in order to 'bin' reads into OTU and calculate their abundances [16,17,24,25].

Formation of exact sequence variants ('variant calling') using a denoising algorithm, such

as DADA2 for Illumina sequence data, presents another alternative for formation of OTU, by

distinguishing sequence errors from true variant positions [26,27]. Potential advantages to this

approach include preservation of minor, but informative sequence differences among closely

related OTU. Given the resolving power of the cpn60 barcode sequence, variant calling may offer

OTU resolution similar to that achieved with de novo assembly, but more rapidly and with lower

demand on computational resources. Another significant advantage to this approach is that since

exact sequence variants are given a unique identifier based on the actual sequence, it is trivial to

recognize identical OTU (variants) across multiple studies.

The objectives of the current study were to determine the length of cpn60 barcode sequence

needed for species-level identification, and to compare variant calling to previously established

methods for cpn60 OTU generation in terms of logistics, and effects on apparent community

composition. Results of this investigation will be applied in ongoing and future studies of

microbiomes using the cpn60 barcode sequence.

METHODS

Mock community and vaginal microbiome sequencing

A synthetic microbial community (the mixed vaginal panel, MVP) was generated by

combining 20 cloned cpn60 barcode sequences as previously described [28]. Plasmid DNA was

extracted from *E. coli* with the EZ-10 Spin Column Plasmid DNA Miniprep Kit (Bio Basic Inc.,

Markham ON, Canada). Purified plasmid DNA was quantified and diluted to 1×10^9 plasmid

copies/µL with 10 mM Tris pH 8.5. Five µL of each plasmid solution was pooled to form the

synthetic community. cpn60 UT PCR was performed in duplicate with a 1:3 mixture of Illumina

adapted primers M729/M280:M1612/M1613 (Table S1) as previously described [29]. Sequence

library preparation was conducted according to the Illumina MiSeq 16S Metagenomic Sequencing

Library Preparation (Illumina Inc., San Diego, CA) protocol with some minor changes as

optimized for the cpn60 UT: in PCR clean-up steps, 32.5 µL of magnetic beads were utilized.

Libraries were sequenced on the Illumina MiSeq plaform using a 500 cycle kit with 400 cycles for

Read 1 (5´ end of the cpn60 UT) and 100 cycles for Read 2. Only Read 1 sequences were used in

downstream analysis. This asymmetric, directional sequencing approach simplifies subsequent

bioinformatics steps since the length of the UT sequence prevents complete coverage with a paired

end 2 x 250 strategy.

cpn60 UT amplicon sequence data from vaginal microbiome samples (n = 45) generated

using the method described above were selected for analysis. Samples were from pregnant women

and were selected based on the availability of 75,000 – 96,000 raw reads per sample, providing

even levels of input in the different analyses. The original collection of the human microbiome

samples was approved by the University of British Columbia Children's & Women's Research

Ethics Board (certificate no. H17-02253). All sequence data used in comparison of methods is

deposited in the National Center for Biotechnology Information (NCBI) Sequence Read Archive

and is associated with BioProject accession PRJNA625735.

Cutadapt version 1.15 [30] and trimmomatic version 0.32 (quality score 30, sliding window

of 4) [31] were used for amplification primer sequence removal and quality/length trimming,

respectively.

Variant calling

Filtered sequence reads were imported to QIIME2 [32], and DADA2 [27] with a specified

truncation length was executed. OTU (variant) sequences were exported from QIIME2 and aligned

using watered-BLAST [33] to cpnDB_nr, a non-redundant version of the chaperonin database

cpnDB [5,6] to identify the nearest neighbour (NN) for each read. cpnDB_nr consists of cpn60

UT sequences with a single record for each species, and a preference for the type strain if available

(downloaded from http://cpndb.ca). Formed OTU sequences that were over 55% identical to

anything in cpnDB_nr were considered to be cpn60 sequences and retained for further analysis

[34]. The feature table was also exported, and sequence read counts were summed for OTU with

the same NN species.

To evaluate an optimal truncation length for the cpn60 UT in terms of the quality of the

sequence classification and data retention, truncation lengths of 50, 100, 150, 200, 250 and 300

were tested with DADA2. The OTU sequences formed at each truncation length were aligned to

cpnDB_nr with watered-BLAST returning all significant hits [33]. An OTU sequence was

considered unambiguously identified if there was only one resulting match to the database that did

not tie with any other results.

De novo assembly

Trinity version 2.4.0 [35] with kmer size of 31 was used to assemble quality filtered reads

into OTU. OTU sequence frequencies were determined by mapping quality filtered reads on to the

assembled OTU sequences using Bowtie2 version 2.3.3.1 [36]. A feature table including the OTU

frequency (read count) in each sample was created by processing the SAM file output from

Bowtie2 using components of mPUMA [23]. Assembled OTU sequences were aligned to

cpnDB_nr to determine nearest neighbours (NN, best sequence match). For community

membership analyses, read counts for individual OTU with the same nearest neighbour species

were combined.

Reference mapping

Filtered reads were mapped to cpnDB_nr or the VOGUE Reference Assembly with

Bowtie2 version 2.3.3.1 [36]. The VOGUE Reference Assembly is a curated set of OTU

previously generated from a de novo assembly of sequence reads from 546 vaginal microbiomes

[14] and labeled according to nearest neighbour in cpnDB_nr. The mock community (MVP) was

also mapped on to the 20 cpn60 UT sequences comprising the MVP. Following Bowtie2 mapping

to the selected reference database, a feature table containing OTU names and read abundances was

created as previously described [17].

Microbial community composition analysis

Alpha diversity metrics Shannon diversity and Chao1 estimated species richness were

calculated for each sample as mean values over 100 subsamples with a sequence depth of 25000,

using QIIME2 [32]. Alpha rarefaction plots were visualized to confirm that adequate sampling

depth was achieved. The Kruskal-Wallis test by ranks was used to compare diversity and richness

metrics resulting from the three methods, and if significant differences were found, *post hoc*

pairwise comparisons were done using the Wilcoxon rank sum test.

For initial comparison and visualization of the relationships among microbiome profiles

resulting from each OTU generation method in terms of species presence and abundance, a Jensen-

Shannon distance matrix was calculated in R using a custom distance function that calculates the

square root of the Jensen-Shannon divergence [37]. Hierarchical clustering was conducted using the hclust function in R with the Ward 2 linkage method. Vaginal Community State Type (CST) classification for vaginal samples was based on previously published definitions [14,38,39].

Relationships among microbiome profiles generated for the vaginal samples were also examined by PERMANOVA analysis. Feature tables containing read counts were first subject to centre log-ratio (CLR) transformation to estimate the likelihood of true zero values in the dataset (recommended for sparse, compositional datasets like microbiome profiles) using the aldex.clr function of ALDEx2 [40]. Only OTU present in at least 10% of samples were retained for downstream analysis. CLR-transformed data were converted to a propr object using the aldex2propr function of ALDEx2 and principle component analysis (PCA) was performed on the resulting propr object. PCA data were plotted as compositional biplots (ggbiplot) to visualise similarity of microbiome profiles. To test for significant differences in microbiome profiles by OTU generation method and between samples, the adonis function of vegan R package was used to construct a Euclidean distance matrix from the CLR-transformed data and perform a PERMANOVA on the resultant matrix. As PERMANOVA is sensitive to differences in dispersion of data within groups, it assumes a homogenous within-group dispersion; therefore, we checked this assumption with the betadisper and permutest functions of vegan. The former determines dispersion of data within each group while the latter permutes the dispersion data to test for significant variability of within-group dispersion. Finally, post-hoc testing of permutation data from permutest was performed using the TukeyHSD function to determine where differences in dispersion lay.

RESULTS AND DISCUSSION

Optimal length for variant calling

Variant calling using the DADA2 denoising algorithm in QIIME2 employs a truncation length parameter to which all input sequence reads are trimmed uniformly. If a read is under that length then it is not included in the process [27]. It was therefore desirable to determine an optimal truncation length to use with the cpn60 UT sequences, given input reads of up to 400 bp and the trade-off between minimum length requirement, and proportion of reads retained in variant calling.

To investigate the optimal truncation length for cpn60 variant calling with DADA2 without the confounding effect of diminishing read numbers retained as the truncation length requirement increases, we generated a single set of input reads from the vaginal microbiome sequence data that were all at least 300 bp in length after quality filtering. The Trimmomatic quality filtering step was performed so that only reads above 300 bp with a quality threshold of 30 over a 4 bp sliding window would be used as a consistent input for DADA2 (n = 346526 reads). As expected, there were more variants (OTU) produced with the greater sequence truncation lengths (Table 1). This was expected given the way in which DADA2 determines variants; longer lengths mean the algorithm has more chances to detect differences. The OTU identified at each truncation length corresponded to a decreasing number of nearest neighbours as more, lower-quality matches were replaced with fewer, higher quality matches. This observation was also reflected in the increasing proportion of OTU with a single best match. The proportion of OTU unambiguously identified reached a plateau at ~90% starting at a truncation length of 150 bp (Table 1). Given the protocol with which the samples were sequenced, all reads start from the 5´ end of the cpn60 UT. Any OTU aligned in the reverse direction are therefore considered nonsense and cannot be interpreted for species identification. By 150 bp, 100% of the OTU were aligned in the correct direction (Table

1). Taken together, these results indicate that 150 bp from the 5′ end of the cpn60 barcode sequence

is sufficient for microbiome profiling with species-level resolution, consistent with the known

features of the cpn60 barcode sequence [3]. Using truncation lengths >150 bp could be desirable

depending on the amount of data available, but the obvious trade-off between minimum length and

number of reads retained for analysis should be carefully considered. The effect of loss of shorter

reads is that the overall sequencing depth of the samples is reduced, and thus the chance of

detecting rare organisms, which likely outweighs the benefit of the small increase in resolution

that would be achieved using greater lengths. Shorter read lengths could also decrease the cost of

sequencing.

Additional support for the 150 bp length was obtained when we examined the pairwise

percent identities calculated from multiple sequence alignments of bacterial sequences from

cpnDB_nr (n = 5974), trimmed to lengths ranging from 50 bp to >500 (full length) from the 5′ end

(Figure S1). The mean and median percent identities of the pairwise comparisons for alignments

of 150 and 200 bp were significantly lower than for longer (or shorter) regions of the cpn60

barcode. This finding is consistent with that of a previous investigation demonstrating relatively

higher inter-species sequence differences in the 5′ end of the cpn60 barcode sequence relative to

the 3′ end [3].

Considering all the trade-offs of data retention, cost of sequencing, and species resolution,

a DADA2 truncation length of 150 bp was used for the subsequent comparison of methods of OTU

generation.

Accuracy of OTU generation methods from synthetic community sequence data

The MVP synthetic community was used to determine accuracy of the three methods of

OTU generation. Following amplification primer removal and quality trimming, 54702 and 40128

reads were available for analysis from the duplicate sequencing runs, respectively. The number of

OTU produced from de novo assembly, variant calling (truncation length 150), and reference

mapping to either the VOGUE reference assembly or MVP sequences was determined (Figure 1).

Reference mapping directly to the MVP gave the expected result of 20 OTU in both library

duplicates, confirming that all constituents of the mock community were amplified and sequenced.

When the MVP OTU were aligned to cpnDB_nr using watered-BLAST to identify nearest

neighbours, they aligned to only 19 different species. This difference was not unexpected since

two of the cloned cpn60 barcode sequences in the MVP (corresponding to *Lactobacillus gasseri*

and *L. johnsonii*) are 97% identical. Reference mapping to the VOGUE reference assembly was

included in the comparison to determine the effect that a larger and more diverse mapping database

could have on the results, and we found that far more OTU and NN were generated from the MVP

sequence libraries using this approach (Figure 1). Further analysis of the profiles showed that many

of the 'extra' OTU that were produced were the result of reads mapping to highly similar sequences

in the reference database. This result illustrates a limitation of the reference mapping approach,

which is dependent on the Bowtie2 algorithm to determine the best match for each read. Bowtie2

alignments close in score may be chosen over one anther unpredictably, or if the program's search

limit has been reached it may not determine a better alignment if one exists, which may lead to

changes in resulting profiles [36].

Variant calling and de novo assembly of MVP derived sequence reads both produced more

OTU than the 20 expected, up to 29 in the first replicate analysed by variant calling (Figure 1).

Additional OTU were most likely formed as a result of minor sequence difference due to PCR

errors in the barcode sequence amplification, which was not done with a proof-reading polymerase.

Support for this explanation is also found in the observation that the 'extra' OTU corresponded to

the expected number of nearest neighbours in the second replicate. These observations are typical

for descriptions of microbial communities based on barcode amplicon sequencing. OTU formed,

especially rare ones, may be either real biological entities or artefacts due to PCR and sequencing

errors or the bioinformatic processes used to designate and identify them [41]. We reported all

OTU in this analysis regardless of abundance, but a variety of approaches have been applied to

curation of OTU, including establishment of cut-offs based on abundance or degree of co-

occurrence among samples [42].

Taken together, the results of analysis of the MVP synthetic community sequence data

demonstrates that while variant calling and de novo assembly produced the expected community

composition, results of reference mapping were heavily influenced by the qualities of the database

used and could lead to a significant over-estimation of the number of species in the community.

Effects of OTU generation method on overall microbial community profiles

Microbial community profiles derived from barcode amplification and sequencing include

both an inventory of the distinct OTU present as well as their relative abundance based on sequence

read numbers. Various statistical approaches and clustering methods can then be applied to

investigate beta-diversity within collections of samples with the goal of identifying similarities

and differences among samples that may be associated with defined groups in a study, for example

pre- and post-treatment groups, or subjects with different clinical outcomes or phenotypes. To

determine the effects of the different OTU formation, identification and frequency calculation

methods on overall microbiome profiles, we exploited previously generated data sets derived from

the vaginal microbiome (n = 45, 3.8 million raw reads). After quality filtering (q30, minimum

length 150), 2 million reads were available for analysis with an average of 44600 reads per sample.

For de novo assembly and variant calling, sequence read counts for OTU with identical nearest

neighbours in cpnDB_nr were combined to facilitate merging of the resulting read count tables

with the output from reference mapping. Results of de novo assembly, reference mapping, and

variant calling (using a 150 bp truncation length) were then compared to determine if the methods

produce different results in terms of community composition or different conclusions regarding

the relationships among samples (alpha and beta diversity).

There was no difference in diversity (Shannon) of the vaginal microbiome profiles

determined with the three OTU generation methods (Kruskal-Wallis, $P > 0.05$), but there was a

significant difference in richness (Chao1) (Wilcoxon rank sum test, all pairwise comparisons $P <$

0.02) (Figure 2), which was not unexpected given the characteristics of the methods. The relative

overestimation of community richness resulting from the reference mapping approach that we

observed in the synthetic community sequencing experiment was also observed here. Richness of

communities based on OTU generated with variant calling was lower overall than when OTU were

generated by de novo assembly, which may reflect the removal of variants determined by the

DADA2 denoising algorithm to be the result of sequencing error [26].

The microbiome profiles of each sample determined with each method were subjected to

cluster analysis to determine if the OTU formation method affected the overall microbiome profile

and interpretation of the relationships among samples. In most cases, the three profiles for each

sample clustered together regardless of OTU generation method (Figure 3). Clusters of samples

corresponding to previously defined vaginal microbiome "community state types" (CST) were

identified based on visual inspection of proportional abundance of key taxa (Table S2). CST

identified were similar to those reported in previous cpn60-based studies of vaginal microbiota of Canadian women: CST I (*Lactobacillus crispatus* dominant), CST II (*Lactobacillus gasseri* dominant or co-dominant), CST III (*Lactobacillus iners* dominant or co-dominant), CST V (*Lactobacillus jensenii* co-dominant), CST IVa (variable, heterogenous mix) and CST IVc (*G. swidsinskii* and/or *G. leopoldii*) [14]. Most (43/45) samples received the same CST classification regardless of the OTU generation method used, demonstrating that one would not make a different conclusion regarding CST affiliation using any of the three methods tested in this study (Figure 3, Table S2). The exceptions were sample numbers 19 and 29. In the results of reference mapping and variant calling for these samples, the most abundant OTU (accounting for 35% and 37% of these samples, respectively) was identified as *Gardnerella leopoldii,* and the second most abundant OTU (29% or 27%) was identified as *Lactobacillus jensenii,* consistent with CST V. Although the proportional abundance of *L. jensenii* was the same in the de novo assembly results for either sample, the most abundant OTU that accounted for 34% and 36% of the microbiome profiles was identified as *G. swidsinskii* (Table S2). The cpn60 sequences of *G. leopoldii* and *G. swidsinskii* are 97% identical to each other. It is surprising that this difference alone would result in a change in the CST identification for these samples and so it is likely that differences in detection of other lower abundance species also contributed.

Additional support for the lack of effect of OTU generation method on beta diversity was observed when PERMANOVA analysis was performed. Given that this method is sensitive to group dispersion effects in unbalanced study designs, we also tested for homogeneity of variance. PERMANOVA reported no significant clustering of the vaginal samples by OTU generation method ($P = 0.986$) (Figure 4A); however vaginal microbiome profiles significantly clustered based on sample number ($P < 0.001$) (Figure 4B), meaning that for each sample, the assembly

method used to generate the profile did not significantly affect the community composition. No

differences in dispersion between groups were seen for either assembly method or sample number

($P = 0.738$ and 0.930, respectively).

Practical considerations and recommendations

All three approaches to OTU generation use open source software so there is no cost factor

to consider. When the real time for all processes in each OTU formation method applied to the 45

vaginal samples (conducted on a Dell PowerEdge R910 with 250 GB RAM running CentOS Linux

7) were summed, reference mapping was the most time-consuming method (58.7 min), followed

by de novo assembly (23.7 min) and variant calling (16.1 min). Times for reference-mapping are

dramatically increased relative to the other approaches as the size of the reference database

increases (data not shown).

The reference mapping approach can be efficient if a reference database customized for the

environment under study, but such reference sequence databases are not available for all bacterial

communities. The de novo assembly method has been used extensively for cpn60-based

microbiome profiling, but is slower, and requires more software dependencies than variant calling.

Taken together, the results of the current study suggest that both of these approaches, especially

reference mapping, may overestimate microbial community richness. Variant calling was the

fastest method we tested, and truncation lengths of at least 150 bp resulted in species level

identification of OTU. The use of denoising methods for variant calling has also been suggested

for detection of otherwise unobserved 16S rRNA sequence variants [26]. This approach is

particularly attractive for users with limited experience with data analysis, since it can be easily

installed and accessed within the QIIME2 package [32]. Another significant benefit of variant

calling is that unique identifiers are assigned to each variant making it simple to compare detection

of variants across studies.

The choice of method for sequence classification from barcode sequence-based studies of

microbial communities is influenced by a number of factors including pre-existing knowledge of

the environment under study, numbers of samples, sequencing depth, desired level of taxonomic

resolution, computational resources, and the need to pool results from independent studies. Exact

sequence variant calling with DADA2 using 150 bp from the 5′ end of the cpn60 barcode

sequencing is a recommended strategy for rapid, relatively simple to execute, high-resolution

profiling of microbiomes that facilitates the comparison of microbiome profiles across studies.

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AUTHOR CONTRIBUTIONS

Conceived the study: JEH, SJV

Conducted the experiments: SJV, SJD

Analysed the data: SJV, SJD, JEH

Wrote the manuscript: SJV, SJD, JEH

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Table 1: Variant calling results for vaginal microbiome data with different truncations lengths and
consistent input of 346526 quality filtered reads.

Truncation length	Number of OTU	Number of NN	% OTU single hit to cpnDB_nr	% NN alignments in the correct direction
50 bp	189	150	71.4	83.6
100 bp	186	121	83.9	95.7
150 bp	189	107	90.5	100
200 bp	197	105	92.9	100
250 bp	206	105	91.3	100
300 bp	208	99	93.8	100

Figure 1: Number of operational taxonomic units (OTU) and nearest neighbour species (NN) from each method applied to the MVP mock community of 20 vaginal bacteria. The synthetic community was sequenced in duplicate in separate runs. For each pair of duplicates, the lighter bar represents the first run and the darker bar the replicate. Methods: 1 = de novo assembly, 2 = reference mapping to MVP, 3 = reference mapping to VOGUE reference assembly, 4 = variant calling.

Figure 2: Comparison of alpha diversity metrics. Shannon diversity (A) and Chao1 (B) values were
calculated for each vaginal microbiome sample (n = 45) and each method of OTU formation. *P* values are
indicated above the bars, * = *P* < 0.02, ** = *P* < 0.001, ns = not significant.

Figure 3: Comparison of microbiome profiles resulting from three methods of OTU generation.
Clustering of profiles from vaginal samples based on nearest neighbour species abundance with Jensen-Shannon distance calculation and hclust in R. In cases where the sample profiles from all methods cluster together, only the sample number is shown. RM = reference mapping, DN = de novo assembly, VC = variant calling. Clusters corresponding to CST I-IV are indicated, and are described in the text.

Figure 4: Assembly method does not affect final microbiome composition: Centre log-ratio
transformation (CLR) was performed on read count data from all 45 vaginal microbiome profiles
generated using three different methods (n = 135). PCA plots grouped by assembly method (A) or sample
number (B) were generated and Euclidean distance matrices of CLR-transformed data were analysed by
PERMANOVA. Significant clustering of vaginal microbiomes by sample, but not assembly method was
evident from PCA plots and supported by PERMANOVA results ($P < 0.001$ and $P = 0.986$, respectively).