1	Variations of gut microbiome profile under different storage conditions													
2	and preservation periods: A multi-dimensional evaluation													
3	Running title: Impacts of storage conditions on gut microbial profile													
4	Junli Maª, Lili Sheng ª, Chuchu Xiª, Yu Guª, Ying Hongª, Ningning Zhengª, Linlin													
5	Chen ^a , Gaosong Wu ^a , Yue Li ^a , Juan Yan ^a , Ruiting Han ^a , Bingbing Li ^a , Huihui													
6	Qiu ^a , Jing Zhong ^{a,b} , Wei Jia ^{c,d} and Houkai Li ^{a*}													
7	^a Functional Metabolomic and Gut Microbiome Laboratory, Institute of													
8	Interdisciplinary Integrative Medicine Research, Shanghai University of Traditional													
9	Chinese Medicine, Shanghai 201203, China													
10	^b Huzhou Key Laboratory of Molecular Medicine, Huzhou Central Hospital, Affiliated													
11	Cent Hospital Huzhou University, Huzhou 313000, China													
12	°Shanghai Key Laboratory of Diabetes Mellitus and Center for Translational													
13	Medicine, Shanghai Jiao Tong University Affiliated Sixth People's Hospital,													
14	Shanghai 200233, China													
15	^d University of Hawaii Cancer Center, Honolulu, Hawaii, USA													
16	*Corresponding author													
17	Houkai Li, Functional Metabolomic and Gut Microbiome Laboratory, Institute for													
18	Interdisciplinary Medicine Sciences, Shanghai University of Traditional Chinese													
19	Medicine, Shanghai 201203, China; <u>houkai1976@126.com</u> ; +86-21-5132-2729.													
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23 ABSTRACT

Gut dysbiosis contributes to the development of various human diseases. There are 24 thousands of publications per year for investigating the role of gut microbiota in 25 26 development of various diseases. However, emerging evidence has indicated data 27 inconsistency between different studies frequently, but gained very little attention by scientists. There are many factors that can cause data variation and inconsistency during 28 the process of microbiota study, in particular, sample storage conditions and subsequent 29 30 sequencing process. Here, we systemically evaluated the impacts of six fecal sample storage conditions (including -80 °C, -80 °C with 70% ethanol (ET -80 °C), 4°C with 70% 31 ethanol (ET 4°C), and three commercial storage reagents including OMNIgene•GUT 32 OMR-200 (GT), MGIEasy (MGIE), and Longsee (LS)), storage periods (1, 2 weeks or 6 33 months), and sequencing platform on gut microbiome profile using 16S rRNA gene 34 sequencing. Our results suggested that -80°C is acceptable for fecal sample storage, and 35 36 the addition of 70% ethanol offers some benefits. Meanwhile, we found that samples in 37 ET 4 °Cand GT reagents are comparable, both introduced multi-dimensional variations. The use of MGIE resulted in the least alteration, while the greatest changes were observed 38 39 in samples stored in LS reagents during the whole experiment. Finally, we also confirmed that variations caused by storage condition were larger than that of storage time and 40 sequencing platform. 41

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IMPORTANCE

44	In the current study, we performed a multi-dimensional evaluation on the variations
45	introduced by types of storage conditions, preservation period and sequencing platform on
46	the basis of data acquired from 16S rRNA gene sequencing. The efficacy of preservation
47	methods was comprehensively evaluated by DNA yield and quality, α and β diversity,
48	relative abundance of the dominant bacteria and functional bacteria associated with SCFAs
49	producing and BAs metabolism. Our results confirmed that variations introduced by
50	storage condition were larger than that of storage periods and sequencing platform.
51	Collectively, our study provided a comprehensive view to the impacts of storage conditions,
52	storage times, and sequencing platform on gut microbial profile.
53	
54	KEYWORDS: storage conditions, storage periods, sequencing platform, microbial profile

56 **INTRODUCTION**

The mammalian gastrointestinal tract is the main site for commensal bacteria (1, 2), 57 which contains at least 100-times as many genes as host genome (3). In recent years, the 58 passion on gut microbiota-related research is overwhelming due to the involvement of gut 59 60 dysbiosis in development of various human diseases including obesity, diabetes mellitus, nonalcoholic fatty liver diseases, cardiovascular disease, and even cancers (4-7). Emerging 61 high-throughput sequencing technologies including 16S rRNA gene and metagenomics lay 62 the solid foundation for investigating the role of gut microbiota in human diseases (8, 9). 63 64 There are over thousands of microbial-related publications per year mainly by using 16S rRNA gene sequencing technology. Unfortunately, gut microbiome data usually show 65 dramatic variations and poor consistency between different reports (10-12). There are 66 various ways for introducing disturbance on the diversity and composition of microbiome 67 during the whole experimental process including sample collection, transportation, storage, 68 DNA extraction, sequencing, and biometric analysis (13-15). Data derived from 103 fecal 69 70 samples of two infant cohorts show that fecal microbial structure changes significantly during ambient temperature storage after 2 days, so immediate freezing at -80 °C or DNA 71 72 extracted within 2 days is suggested if samples were stored at room temperature (16). 73 However, another study reveals that fecal samples stored at room temperature beyond 15 minutes result in obvious variation in bacterial taxa, whereas usage of microbial nucleic 74 acid stabilizer RNAlater also causes dramatic reduction in DNA yields and bacterial 75 76 taxa(17). Generally, immediate freezing at -80 °C is supposed to be the gold standard for

most biological samples including feces for microbiome study (18, 19). However, obvious 77 alteration in microbial community is also observed in samples frozen at -80 °C compared 78 to that of fresh samples, suggesting that -80 °C might not be the most optimal method for 79 fecal sample storage (20). Moreover, immediate freezing at -80 °C is usually unfeasible in 80 81 many cases for field studies, or at the circumstance where the fecal samples are collected 82 at home by patients themselves. In these cases, samples are apt to be exposed at ambient temperature during collection and transportation before DNA extraction. 83 Currently, various commercial and experimental preservation reagents for fecal sample 84 85 have been developed or trialed such as the OMNIgene Gut kit, RNAlater, FTA cards, 70% or 95% ethanol, 50:50 glycerol:PBS, NOBP-based reagent and so on (21-23). Although 86 87 some comparisons between these preservation reagents have been performed, inconsistent or even contradictory results are observed. Song et al. find that the preserving effect of 95% 88 ethanol is comparable with that of FTA cards or OMNIgene Gut kit at ambient temperature, 89 but strongly caution against the use of 70% ethanol for fecal sample preservation (22). 90 91 Contrarily, Horng et al. report that the microbial composition of samples preserved with 92 70% ethanol or RNAlater closely resembles that of fresh samples, and they suggest that 93 70% ethanol is the best method for preserving canine fecal samples (20). Similarly, 94 contradictory results are also observed in the use of RNAlater. Study shows that fecal 95 samples preserved with RNA later closely resembles that of fresh samples (20), but contrary results are observed in another study, in which RNAlater-preserved samples have the 96 97 least similarity in microbial composition and abundance with fresh samples (24). In 98 addition, significant differences are also observed in microbial profile of identical samples 99 that processed and sequenced at two research centers (25, 26). Collectively, these 100 contradictory or inconsistent results highlight the significance and urgency for a further 101 systemic evaluation on the variations of gut microbiome profile that could be introduced 102 during the process of sample preparation such as different fecal storage conditions, period 103 of preservation and even different sequencing platforms.

In the current study, we systemically evaluate the variations of gut microbiome profile 104 with freshly collected and homogenized feces sample from rats that was allocated into 105 106 various replicates for observing the impacts of 3 commonly used storage conditions including -80 °C, addition of 70% ethanol at either -80 °C (ET -80 °C) or 4 °C (ET 4 °C), 107 and 3 commercial stabilizers including OMNIgene•GUT OMR-200 (GT) and MGIEasy 108 (MGIE) at ambient temperature, and Longsee (LS) at 4 °C according to their instructions. 109 Bacterial genomic DNA was extracted with same protocol at the end of the 1st week, 2nd 110 week, and 6st month respectively, as well as the fresh samples. The study design was 111 112 described in Fig. 1. All of the samples were subjected for gut microbiome profiling by using 113 16S rRNA gene sequencing. Our results demonstrated that fecal storage conditions did have dramatic impacts on gut microbiome profile including DNA quality, α or β diversity, 114 115 relative abundance of functional bacteria involved in SCFA production and bile acid metabolism. We confirmed that -80 °C was acceptable for fecal sample storage, and the 116 addition of 70% ethanol was plus for maintaining the original community composition of 117 118 the dominant phyla. Meanwhile, we found that gut microbiome profiles of samples stored

in ET_4°C and GT reagents were comparable regarding to their impacts on community α or β diversity, and the relative abundance of the dominant and functional bacteria. Our results showed that gut microbiome profile of samples stored in MGIE reagents was the closest to that of fresh samples, while LS reagents introduced the most obvious variation. Finally, we also confirmed that variations caused by ways of storage condition were larger than that of storage periods and sequencing platform.

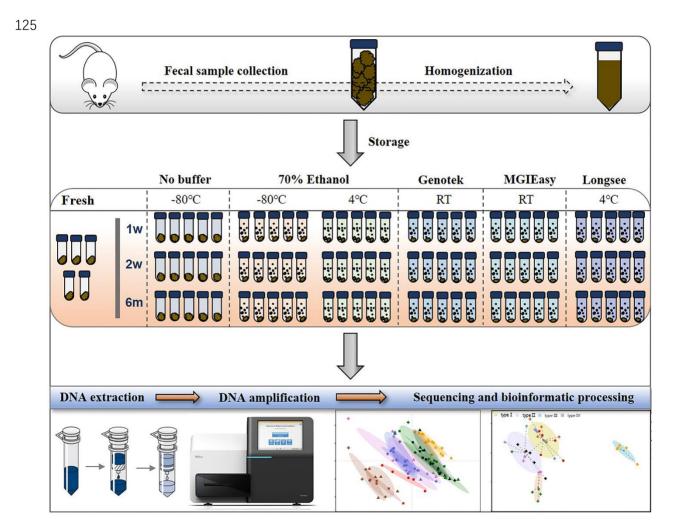


FIG 1 Diagram of experimental design. Fecal samples from 10 rats were quickly collected
 into sterile 50 ml tubes, homogenized, and then aliquoted. Next, these aliquots were treated

with a range of preservatives. Bacterial DNA were extracted at 4 time points: on the day of
sampling ("Fresh") as well as after 1 week, 2 weeks, and 6 months of storage, followed by
DNA extraction and 16S rRNA gene sequencing.

131

132 **RESULTS**

133 Do different storage conditions lead to variation in DNA yield or quality?

Oualified DNA is the basis for microbial study, which varies among different DNA 134 extraction protocols (14). To determine the impact of fecal storage conditions on bacterial 135 136 genomic DNA quality, we evaluated the concentration and purity of DNA extracted with same protocol from samples under different storage conditions. Despite obvious 137 fluctuations, our results showed that DNA concentrations were comparable among most 138 139 samples, except for the relatively higher in MGIE and lower DNA concentrations in GT 140 and LS reagents at the 3 time points of storage (Fig. 2a). Meanwhile, DNA quality was evaluated with the absorption ratio of 260/280 nm. We found that most samples showed 141 142 satisfactory value in 260/280 from 1.8 to 2.0, except for samples stored in LS reagents with 143 relatively lower 260/280 value. Notably, DNA concentration of samples stored in GT reagents showed obvious reduction with time (Fig. 2b). Taken together, our current data 144 145 suggested that most storage conditions had minor and acceptable impacts on DNA yields or quality, whereas samples in LS reagents showed relatively lower yields and quality. 146 Preservation of samples in GT reagents might result in reduction of DNA yield time-147 148 dependently.

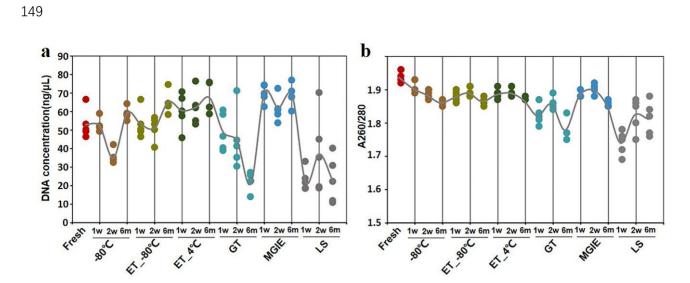


FIG 2 DNA quality under different storage conditions. (a-b) The concentration and purity
of DNA extracted from fecal samples under different storage conditions. Curves shown
were the average of five samples.

153

154 **Do different storage conditions affect bacterial diversity?**

155 Bacterial diversity is the main character for investigating the role of gut microbiota in disease. First, we compared the bacterial α diversity with Shannon and Simpson indices, as 156 well as Chao1 and Shannon's evenness estimators under different storage conditions. Our 157 results showed that the bacterial a diversity was differently altered in samples stored at -158 80 °C for 1 or 2 weeks, and 6 months, ET -80 °C and ET 4 °C for 1 week, as well as GT 159 and MGIE reagents for 1 week, characterizing as significant lower Shannon and higher 160 161 Simpson diversity indices compared with fresh samples. Notably, samples stored in LS reagents showed higher Shannon and lower Simpson diversity indices at the 3 time points 162 compared with fresh samples (Fig. 3a-b). Although the operational taxonomic unit (OTU) 163

164 richness did not differ significantly among storage conditions, considerable variation in 165 evenness was observed. In addition, samples in LS reagents showed the most significant 166 variation in α diversity. (Fig. 3c-d).

Next, Principal Coordinate Analysis (PCoA) based on Weighted UniFrac and 167 168 Bray curtis were performed, which showed obvious variation in microbial community 169 under different storage conditions. Generally, samples from the same storage condition for 170 different periods were clustered together compared to storage conditions, suggesting that impacts of storage conditions are larger than storage periods. Specifically, samples stored 171 172 at -80 °C, ET -80 °C and MGIE reagents were clustered closely to fresh samples, and followed by those in GT or ET 4 °C, while samples in LS showed the worst clustering with 173 fresh samples (Fig. 3e-f). Collectively, these results suggested that storage conditions had 174 dramatic impact on α or β diversity of gut microbiota to different extent compared with 175 their fresh control, and the impact of storage condition superseded that of storage periods. 176

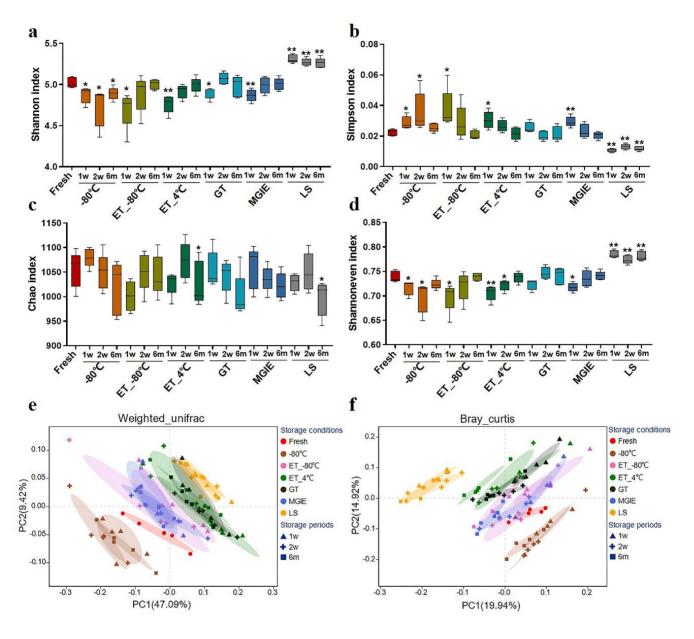


FIG 3 The effects of preservation methods on community structure. Community α diversity was measured by (a) Shannon diversity index and (b) Simpson diversity index. Community richness and evenness were evaluated by (c) Chao1 estimator and (d) Shannon index-based measure of evenness. Community β diversity was measured by PCoA plots based on (e) Weighted UniFrac and (f) Bray-Curtis. * p < 0.05, **p < 0.01, compared with fresh group.

183

184 How do different storage conditions affect the abundance of dominant bacteria?

Given the observed impacts of storage conditions on bacterial α or β diversity, we further 185 investigated the variations in the relative abundance of the dominant bacteria by comparing 186 187 the top 60 OTUs from Firmicutes (30 OTUs), Bacteroidets (28 OTUs) and Proteobacteria (2 OTUs) phyla under different storage conditions which accounted for about 65% of 188 coverage. As the heatmap showed in Fig. 4, we found that different storage conditions 189 resulted in dramatic changes to different extent in abundance of most OTUs compared to 190 191 fresh samples. By contrast, we found that the numbers of altered OTUs in samples at -80 °C, ET -80 °C, and MGIE reagents were relatively smaller than those in ET 4 °C, GT or LS 192 reagents. Interestingly, the majority of altered OTUs in samples stored at -80 °C showed 193 decreased abundance, while addition of 70% ethanol could balance the ratio of up- or 194 down-regulated bacteria at -80 °C. It was also notable that the OTUs abundance under 195 196 different storage conditions were changed either time-dependent or -independently. For example, the samples stored at -80 °C, ET 4 °C and MGIE resulted in time-dependent 197 increase in number of up-regulated bacteria, however, the number of down-regulated 198 bacteria was much random under most conditions. In addition, much more universal 199 200 alteration was observed in OTUs belonging to Firmicutes phylum under different storage conditions than that of Bacteriodetes. 201

202 We next evaluated the change in relative abundance of the 3 dominant phyla, Firmicutes,

203 Bacteroidets, and Proteobacteria, as well as genera under different storage conditions by

comparing with fresh controls individually. In comparison with fresh controls, -80 °C 204 storage resulted in obvious increase in Bacteroides and decrease in Firmicutes time-205 dependently, but not Proteobacteria. Interestingly, addition of 70% ethanol at -80 °C (ET -206 80 °C), but not 4 °C (ET 4°C) storage showed benefit for keeping the abundance of both 207 208 Firmicutes and Bacteroidets close to fresh control at the 3 time points, except for 209 Proteobacteria. Samples stored in either GT or LS reagents resulted in obvious increase in Firmicutes and decrease in Bacteroidetes time-dependently, as well as decreased abundance 210 of Proteobacteria only in LS reagents. Notably, the relative abundance of the 3 dominant 211 212 phyla was well maintained at the 3 time-points in MGIE reagents storage compared to fresh control (Fig. 5a). 213

The imbalanced ratio of Firmicutes to Bacteroidetes (F/B) was frequently observed in 214 many diseases (27-30), therefore, the evaluation of F/B ratio is of significance for 215 investigating the role of gut microbiota in disease development or drug efficacy. Our results 216 showed that F/B ratios of samples in ET -80 °C and MGIE reagents were of little difference 217 218 to that of fresh samples, whereas increased F/B ratios were observed in ET 4 °C, GT and LS reagents, but decreased in -80 °C (Fig. S1a). Consistent with previous results at phylum 219 level, further analysis of the top 20 genera (85% of coverage) showed that samples in -220 221 80 °C, ET -80 °C, and MGIE reagents introduced the minimum biases, followed by ET 4 °C and GT reagents, whereas LS reagents caused the most obvious variation with up to 40 222 altered genera in total. Interestingly, we found that the majority of altered genera in -80 °C 223 224 are decreased abundance at 3 time points, while addition of 70% ethanol at -80 °C made 13

the number of increased or decreased genera relatively balanced. On the contrary, 225 alterations introduced by ET 4 °C, GT and LS reagents were mainly increased. It was 226 found that most of the variable genera are belonging to Gram-positive *Ruminococcaceae*. 227 such as Ruminococcaceae UCG-005, Ruminiclostridium 9, and Oscillibacter, whereas 228 229 bacteria in genera of Prevotellaceae NK3B31 group, Alloprevotella and Bacteroides were 230 of minor change under different conditions, which are belonging to Gram-negative Prevotellaceae, and Bacteroidaceae. Nevertheless, we did not observe the time-dependent 231 impacts of storage periods on number of altered genera under all of the storage conditions 232 233 (Fig. 5b). In addition, correlation analysis between observed conditions and fresh samples was performed with Spearman's correlation coefficient (SCC). Our results showed that 234 samples in -80 °C and ET -80 °C have the highest correlation with fresh samples ranging 235 from 0.85 to 0.9, followed by ET 4 °C, GT, and MGIE reagents ranging from 0.75 to 0.85. 236 237 Samples stored in LS reagents exhibited the worst similarity to fresh samples, in which the SCC was less than 0.7(Fig. S1b). Collectively, our results indicated that samples in ET -238 239 80 °C and MGIE reagents kept the dominant phyla relatively stable including Firmicutes, 240 Bacteroidetes, and Proteobacteria at 3 time points. Samples in LS reagents showed relatively higher variations at all levels. Moreover, the impacts of short- or long-term 241 242 storage were not very significant under the same condition.

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FIG 4 The impact of preservation methods on the top 60 OTUs. Heatmaps shown was the 244 differences in relative abundance among groups of the top 60 OTUs, which accounted for 245 about 65% of coverage, as well as their taxa information including genus, family, and 246 phylum. The red and green entries indicate the number of OUT that were significantly more 247 or less abundant under different storage conditions relative to fresh samples respectively. * 248 p < 0.05, compared with fresh group. 249

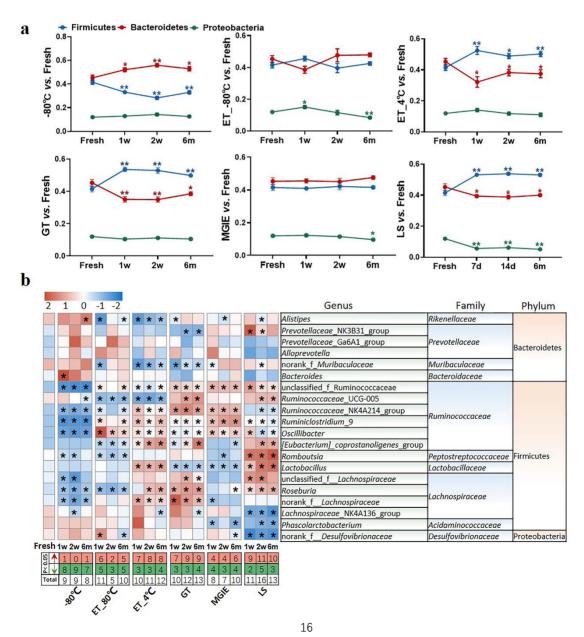


FIG 5 The effect of preservation methods on the dominant bacteria. (a) The effect of storage conditions on the relative abundance of the dominant phyla. (b) The heatmap shown was the differences in relative abundance among groups of the top 20 genera, which accounted for about 85% of coverage, as well as their taxa information including family and phylum. The red and green entries indicate the number of genus that were significantly more or less abundant under different storage conditions relative to fresh samples respectively. p < 0.05, **p < 0.01, compared with fresh group.

257

258 Do different storage conditions alter the relative abundance of functional bacteria?

Increasing evidence have confirmed that gut microbiota play critical roles in maintaining 259 human health or disease development by producing microbial metabolites like bile acids or 260 SCFAs that serve as signaling factors or energy substrates (31). Therefore, we evaluated 261 the relative abundance ratio (stored samples / fresh samples) of bacteria at genus level at 3 262 time points that are reported to be involved in SCFA-producing including genera of 263 264 Lachnospiraceae NK4A136 group, Roseburia, Prevotella 9, and Blautia, as well as that are involved in both SCFA-producing and bile acid metabolism including Bacteroides, 265 Lactobacillus and Ruminococcus 1 (32, 33). First of all, we found that even -80 °C storage 266 267 from the sample collection caused some changes of these bacteria at genus level such as obvious reduction of Lachnospiraceae NK4A136 group, Roseburia and Blautia, and 268 increasing of Prevotella 9 and Bacteroides, and no time-dependent impacts were observed. 269 270 Addition of 70% ethanol at -80 °C produced similar effect with -80 °C, except for some

benefits in Prevotella 9, Bacteroides, and Lachnospiraceae NK4A136 group which were 271 closer to fresh samples. However, storage at ET 4 °C caused dramatic variations in most 272 of these bacteria at a time-dependent way, except for *Bacteroides*. There were also obvious 273 variations in abundance ratio of these bacteria in the 3 commercial stabilizers. Interestingly, 274 275 the change trends were much more similar between GT and MGIE such as bacteria in 276 Blautia, Lactobacillus and Ruminococcus 1, while samples stored in LS reagents showed dramatic differences in majority of the observed bacteria, especially the unique change in 277 Lachnospiraceae NK4A136 group, Blautia, Lactobacillus and Ruminococcus 1 (Fig. 6 278 279 a-b). We also found that Bacteroides and Lachnospiraceae NK4A136 group were relatively stable under these storage conditions. Thus, our data suggested that different 280 storage conditions could cause diversified fluctuations in the relative abundance of 281 functional bacteria in a time-dependent or -independent way. 282 In addition, to further confirm the effect of storage conditions on the functional bacteria, 283 we qualified the abundance of bacteria involved in BAs metabolism and SCFAs 284 285 metabolism (Lactobacillus, Faecalibacterium prausnitzii, Enterococcus faecalis, Clostridium Cluster IV, Bifidobacterium, Bifidobacteria) with qPCR in samples stored for 286

287 6 months. We found that different storage conditions resulted in dramatic alterations to

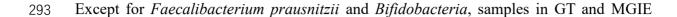
288 different extent in abundance of most bacteria compared to fresh samples. Detailly, -80 °C

289 storage caused alterations in Lactobacillus, Faecalibacterium prausnitzii, and

290 Bifidobacteria. Addition of 70% ethanol at -80 °C produced similar effect with -80 °C in

291 Faecalibacterium prausnitzii and Bifidobacteria, and provided the benefit in Lactobacillus,

292 while caused the increased abundance of *Enterococcus faecalis* and *Clostridium Cluster* IV.



294 reagents introduced comparable variations in Lactobacillus and Clostridium Cluster IV.

295 Samples storage at ET_4 °C and in LS reagents caused dramatic increased abundance in

all of the tested bacteria (Fig. 6 c).

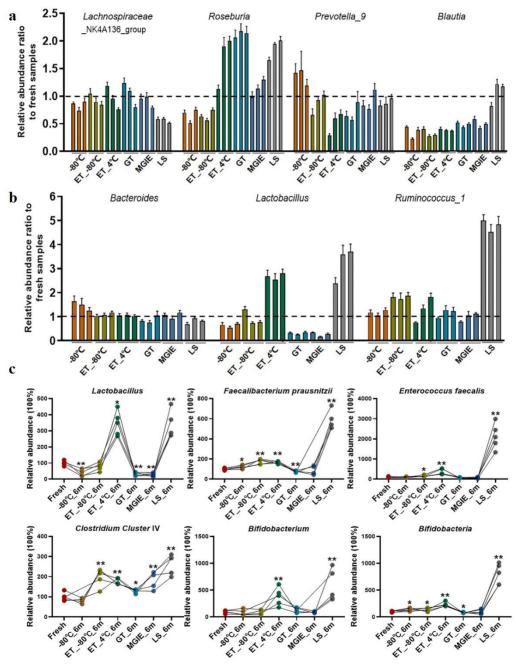


FIG 6 Relative abundance ratio of bacteria involved in SCFA-producing and bile acid metabolism on genus levels. (a-b) Relative abundance ratio (storage samples / fresh samples) of bacteria involved in SCFA-producing on genus levels, and three columns with the same color represent 1 week, 2 weeks, and 6 months from left to right respectively. (c) Qualification of the functional bacteria relative to fresh samples using QPCR. p < 0.05, **p< 0.01, compared with fresh group.

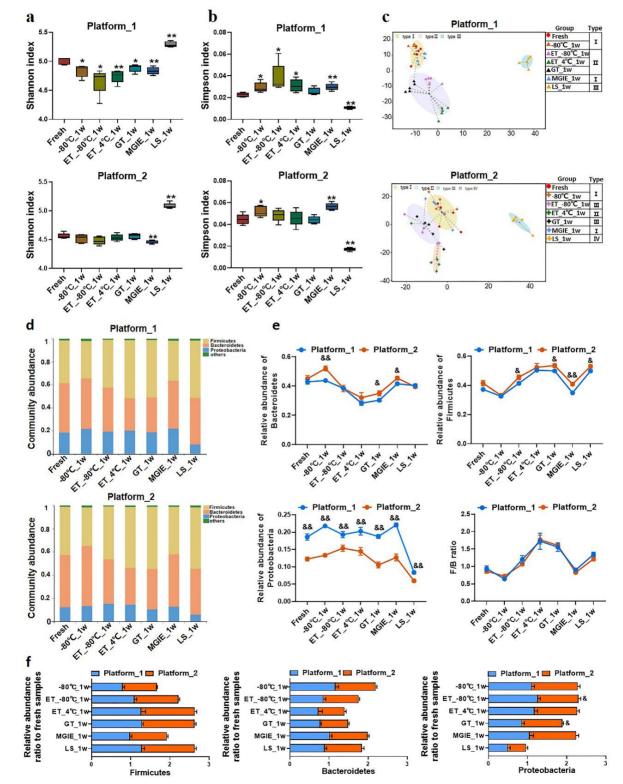
303

304 Do different sequencing platforms generate consistent results of identical samples?

305 Given that most of the microbiome results were obtained from different studies on different sequencing platforms, the consistency of microbiome data is largely overlooked 306 in the context of different analyzing platforms. To test whether different sequencing 307 platforms will introduce biases in microbiome data, replicated DNA samples extracted 308 from same feces were shipped to two certified microbiome sequencing companies for 309 sequence analysis on 16S rRNA gene according to their well-established protocols in our 310 311 current study. The generated data were processed and analyzed by same researcher with same methods. The comparisons between the data from two sequencing platforms included 312 α and β diversities, intestinal typing and community composition at phylum level. First, 313 314 although our results indicated that the values of Shannon and Simpson indices from the two sequencing platforms were slightly varied under the same storage condition, the general 315 change trends of α diversity under different storage conditions was consistent between the 316 317 two platforms (Fig. 7a-b).

Then, the classification of dominant bacterial populations was performed with typing 318 analysis based on Jensen-Shannon Distance. Samples from platform 1 were annotated into 319 3 types. Samples of fresh, -80°C and MGIE reagents were clustered type I, and samples of 320 ET -80 °C, ET 4 °C, and GT reagents in type II, except for those in LS reagents in type 321 322 III. Although samples from platform 2 were annotated into 4 types, the cluster patterns 323 were similar with platform 1, except for a sub-clustering within type II, in which ET 4 °C was differently classified with ET -80 °C and GT reagents (Fig. 7c). The following 324 community abundance analysis revealed the compositional structure of the dominant phyla 325 326 between the same storage conditions including Firmicutes, Bacteroidetes, and Proteobacteria was comparable in data from the 2 sequencing platforms, even though the 327 absolute values were of much difference, especially in Proteobacteria (Fig. 7d). 328 Interestingly, similar to that of α diversity, the fluctuating trend of the dominant phyla under 329 different storage conditions was consistent between the two platforms, which was clearly 330 demonstrated by the overlapped F/B ratio under the 2 sequencing platforms (Fig. 7e). 331 332 Finally, to assess the alteration of the dominant phyla introduced by sequencing platforms more accurately, we compared the relative abundance ratio (stabilized samples / fresh 333 334 samples) of Firmicutes, Bacteroidetes, and Proteobacteria under the 2 sequencing platforms. 335 No significant variation in the abundance of the dominant bacteria between two platforms, except for ratio of Proteobacteria in ET -80°C and GT reagent (Fig. 7f). 336 Altogether, our results indicated that variations introduced by sequencing platform led 337 338 to alterations of bacterial abundance, however, the trend of fluctuation is highly consistent

339 under different storage conditions, but regardless of sequencing platforms. Thus, the



340 variations introduced by storage conditions surpassed types of sequencing platforms.

FIG 7 The impacts of different sequencing platforms on gut microbial profile. The 341 community α diversity in platform 1 and platform 2 were analyzed by (a) Shannon 342 diversity index and (b) Simpson diversity index. (c) The typing analysis on OTU level 343 based on Jensen-Shannon Distance in platform 1 and platform 2. (d) Microbial 344 communities under different storage conditions in platform 1 and platform 2. (e) The 345 346 relative abundance of phyla Firmicutes, Bacteroidetes, Proteobacteria and the ratio of Firmicutes to Bacteroidetes under different platforms. (f) The relative abundance ratio 347 (stabilized samples to fresh samples) of the dominant phyla in different platforms. p < p348 349 0.05, compared with fresh group; & p < 0.05, && p < 0.01, compared with platform 1.

350

351 **DISCUSSION**

In the current study, we systemically evaluated the impacts of various fecal sample storage conditions, storage periods, and sequencing platforms on gut microbial profile based on 16S rRNA gene sequencing. Our results highlighted that gut microbiome profile varied to different extent under different sample storage conditions, which surpassed the impacts of storage periods and sequencing platforms.

There are huge amount of publications each year in the research on gut microbiota and human diseases (5, 34-36), however, data of gut microbiome usually exhibited dramatic variations and even inconsistency between studies (37). Generally, biases may be introduced during the whole experiment such as sample collection, transportation, storage, DNA extraction and so on (14, 38, 39). Commercial stabilizer for preserving fecal samples

at room temperatures are usually proposed due to the fact of sampling without possibility 362 for immediate freezing at -20 °C or even below and transportation in clinical study, 363 especially in remote areas. Although the efficacy of some commercial stabilizers on 364 maintaining the original status of gut microbiome has been compared, the uncertainty still 365 366 exists because of the inconsistent observations among some reports (24, 26). Although 367 previous studies suggested that inter-individual variation from donors superseded that introduced by storage conditions (25, 40, 41), the impacts of different storage conditions 368 on microbiome profile need systemic evaluation. Therefore, in our current study, a multi-369 370 dimensional comparison among different storage conditions was performed by using a mixture of homogenized feces from rats to minimize the probable artifacts during sampling 371 process. DNA extraction is also a critical step for introducing variation of microbiome data 372 if different protocols or extraction kits were used(14). The genomic DNA of all samples 373 was extracted by one experimenter with same protocol in our current study. Furthermore, 374 many studies evaluated the effectiveness of preservation methods by using -80 °C or GT 375 376 reagents stored samples as controls, whereas the efficacy of these preservation conditions are still inconclusive (20). Thus, a part of fecal sample divided from the homogenized 377 mixture on-site was used as the fresh control for comparison with other storage conditions. 378 379 Stabilization of fecal samples with ethanol is an easy and economical way, and therefore different concentrations of ethanol were used for fecal sample preservation in previous 380 reports including 100% ethanol for spider monkey (24), 95% ethanol for human or dog 381 382 (22), and 70% ethanol for canine fecal sample storage (20). However, inconsistent results 24

were observed in different studies. For instance, Song et al. found that the preserving effect 383 of 95% ethanol is comparable with FTA cards and OMNIgene Gut kit at ambient 384 temperature, and they also strongly cautioned against the use of 70% ethanol (22), while 385 Horng et al. reported that samples stored in 70% ethanol showed closest similarity with 386 387 that of fresh samples, and therefor 70% ethanol was suggested as the best method for preserving canine fecal samples (20). These inconsistent conclusions might be associated 388 with different fecal sample donors or different definitions for the "Fresh" samples, for 389 example, Horng et al. processed canine feces for DNA extraction after 2 h of temperature 390 391 treatment, whereas Song et al. extracted DNA of human and dog feces on the day of donation. Our current study concluded that -80 °C was suitable for rat samples preservation 392 although some variations were observed, while storing samples at -80 °C with 70% ethanol 393 showed advantages in long term storage. Notably, we found that samples at 4 °C with 70% 394 ethanol showed low similarity to that of fresh samples, which is different from observations 395 from Horng, K. R. et al. 396

Meanwhile, given its "excellent" performance in sample storage, GT reagent was usually considered to be an effective preservation methods and even used as control for evaluating the efficiency of other preservation methods (21, 22, 42). However, to the best of our knowledge, the similarity of microbiome profile between samples stored in GT reagents and fresh samples was unclear. Thus, in this study, we systemically evaluated the performance of three commonly used commercial stabilizers including GT, MGIE, and LS by comparing to fresh samples. Our results suggested that GT reagents might not be the 25 404 most cost-effective reagents for fecal storage given its comparable performance in 405 maintaining the original status of microbiome profile with other conditions such as MGIE, 406 but relatively higher cost. On the contrary, the microbiome profile of samples in MGIE 407 reagents was more similar with the fresh samples, whereas storage of samples in LS 408 reagents introduced substantial variations in many aspects.

Given that gut microbiota plays critical roles in maintaining human health or disease 409 development by producing microbial metabolites like bile acids or SCFAs (43, 44), the 410 enrichment or depletion in the abundance of the functional bacteria that are SCFAs-411 412 producing or involved in bile acids metabolism is of great significance for investigating the microbial mechanism. However, very few attention has been paid to the variation that may 413 be introduced during sample collection, storage condition or DNA extraction before 414 sequencing up to now. In our current study, we specifically evaluated the abundance change 415 of bacteria that are involved in SCFA-producing and/or bile acid metabolism using either 416 16S rRNA gene sequencing or qPCR. Our data suggested that different storage conditions 417 418 could cause diversified fluctuations in the relative abundance of functional bacteria in a time-dependent or -independent way, and we also found that Bacteroides and 419 Lachnospiraceae NK4A136 group were relatively stable under these storage conditions. 420 421 Moreover, the exact abundance of 6 functional bacteria in different storage conditions was qualified with samples stored for 6 months. We demonstrated that conditions of -80 °C, 422 ET -80 °C, MGIE or GT reagents introduced comparably less variations, while conditions 423 424 of ET 4 °C and LS reagents introduced higher variations, especially the striking increase 26

of these bacteria in LS reagents. Consistently, the comparable storage effects between GT
and MGIE were previously reported(21). It is the first time to visualize the impacts of
different storage conditions on these functional bacteria quantitatively. Our current results
highlight the importance of sample storage conditions, which may lead to dramatic biases
in explaining the role of functional bacteria in disease, if different storage conditions were
used.

Previous studies usually evaluated the impacts of short-term or long-term storage on gut 431 microbial profile using samples stored ranging from 24h to 8 weeks (18, 40, 45). In our 432 433 current study, the impacts of storage periods under different conditions were further evaluated in a multi-dimensional way after 1 or 2 weeks, and 6 months respectively. Our 434 results showed that the dominant OTUs abundance of samples stored at -80 °C, ET 4 °C 435 and MGIE reagents resulted in time-dependent alterations. Meanwhile, in comparison with 436 fresh controls, samples stored at -80 °C, in either GT or LS reagents resulted in obvious 437 variations in the dominant phyla time-dependently, while similar conclusion were not 438 439 observed in the dominant genera. Actually, in most of the indicators, although some variations under different storage periods were observed, throughout the experiment, we 440 found that variations between stabilized samples and fresh samples were larger than that of 441 442 samples stored for different periods under the same storage conditions, indicating that variations caused by ways of storage condition were larger than that of storage period. 443 Additionally, although variations in gut microbiome were mainly caused by the difference 444 445 between individuals, sequencing is also a source for introducing variations in microbial 27

data (25). Our current results showed, although α diversity and the relative abundance at phylum level varied between different platforms, the change trends between two sequencing platforms were still consistent among different storage conditions, indicating that biases introduced by sequencing platforms were less than that introduced by storage conditions.

451

452 CONCLUSION

In the current study, we performed a multi-dimensional evaluation on the variations 453 454 introduced by types of storage conditions, preservation period and sequencing platform on the basis of data acquired from 16S rRNA gene sequencing on rat fecal samples. Our results 455 suggested that, compared to fresh control, the impacts on genomic DNA quality and yields 456 are LS>GT>MGIE> ET 4 °C>-80 °C> ET -80 °C in a time-independent way. Similarly, 457 the impacts on α diversity are LS>-80 °C> ET -80 °C>ET 4 °C> MGIE>GT in a time-458 independent way. The impacts on β diversity are LS>ET 4 °C>GT >-80 °C> ET -80 °C> 459 MGIE time-dependently. The impacts on abundance of functional bacteria are LS>ET 4 °C> 460 ET -80 °C>-80 °C>GT> MGIE. In addition, the impacts of storage conditions>storage 461 periods>sequencing platforms. Therefore, our current results underpin that the storage 462 463 conditions for fecal samples should be consistent to minimize the deviation that would influence the final readouts during the microbiome study, while same storage periods and 464 protocols are also suggested. 465

467 METHODS

468 Animals

Male wistar rats were provided by Shanghai Slack Laboratory Animal Co., Ltd., The animal experiments were conducted under the Guidelines for Animal Experiment of Shanghai University of Traditional Chinese Medicine and the protocol was approved by the institutional Animal Ethics Committee.

473 Commercial kits

474 Three commercial kits were used in the current study, including the Genotek

475 OMNIgene · GUT OM-200 (DNA Genotek Inc., Canada), MGIEasy fecal sample collection

476 kit (Shenzhen Huada Zhizao Technology Co., Ltd., China), and Longsee (Guangdong

477 Nanxin Medical Technology Co., Ltd., China).

478 Fecal sample collection and processing

Fecal samples from 10 rats were quickly collected into sterile 50 ml tubes and 479 homogenized as much as possible. Next, these samples were aliquoted immediately, and 480 481 aliquots were preserved using the following conditions: immediate freezing at -80°C with or without 70% ethanol (ET -80°C), refrigerating at 4 °C with 70% ethanol (ET 4°C), the 482 use of OMNIgene GUT (GT), MGIEasy (MGIE) and Longsee (LS) according to the 483 484 manufacturer's instructions and stored for 1week, 2 weeks, and 6 months. Notably, according to the instructions, OMNIgene GUT and MGIEasy samples were stored at room 485 temperature, while Longsee samples were refrigerated at 4°C prior to DNA extraction. In 486 487 addition to extracting DNA on the day of collection (fresh), extractions of other storage 488 condition samples were conducted after 1week, 2weeks, and 6 months of storage,489 respectively.

490 **DNA extraction**

DNA extraction was performed using QIAamp Power Fecal DNA Kit (QIAGEN, Germany). For samples immersed in solution, aliquots were centrifuged at 13,000 g for 5 min, and the supernatant was discarded. The pellet was washed with PBS, and centrifuged again at 13,000 g for 10 min. Subsequent DNA extraction steps were performed according to the manufacturer's instructions. In addition, DNA concentration and the A260/280 ratio

496 were tested by Colibri Microvolume Spectrometer (TIRERTEK BERTHOLD, Germany).

497 **16S rRNA gene Sequencing**

In our current study, DNA samples extracted at day 0, 1week, 2weeks, and 6 months of 498 storage were applied to amplify the V3-V4 region of 16S rRNA gene using the universal 499 "ACTCCTACGGGAGGCAGCAG" primers 338F 806R 500 and "GGACTACHVGGGTWTCTAAT". The sequencing was performed by the Illumina 501 502 MiSeq PE300 system (Illumina, San Diego, USA) of Shanghai Meiji Biomedical Technology Co., Ltd., which is defined as platform 1 in the following experiments. Raw 503 data files were demultiplexed, quality-filtered and merged by FLASH, and sequences 504 505 whose overlap longer than 10 bp were merged using FLASH. The reads were clustered to OTUs with 97% similarity cutoff using UPARSE (version 7.1 http://drive5.com/uparse/) 506 and chimeras were removed using UCHIME. The taxonomy of each sequence was 507 508 analyzed by Ribosomal Database Project (RDP) Classifier algorithm

509 (http://rdp.cme.msu.edu/) against the 16S rRNA gene database Silva (SSU123) using
510 confidence threshold of 70%.

In addition, to investigate impacts of different sequencing platforms on gut microbial 511 profile, identical samples extracted at 1week were analyzed using the Illumina Hiseq 512 513 PE300 system (Illumina, USA) of BGI-shenzhen Co., Ltd, the V3-V4 region was amplified using the primers 341F "ACTCCTACGGGAGGCAGCAG" and 806R 514 "GGACTACHVGGGTWTCTAAT", which is defined as platform 2 in subsequent 515 analysis. In order to obtain more accurate and reliable results in subsequent bioinformatics 516 517 analysis, the raw data was filtered (46) and merged sequences whose overlap longer than 15 bp using FLASH (47). The tags were clustered to OUTs by scripts of software 518 USEARCH (v7.0.1090) with a 97% threshold by using UPARSE (48), and chimeras were 519 filtered out by using UCHIME (v4.2.40). OTU representative sequences were 520 taxonomically classified using RDP Classifier v.2.2 against 521 the database 522 Greengene 2013 5 99, using confidence threshold of 0.6. Raw fastq files of this study 523 were deposited in Sequence Read Archive database (accession number PRJNA561903).

524 **Quantitative RT-PCR**

525 QRT–PCR was performed using SYBR Green (A25777, Thermo Fisher Scientific, USA),

526 96-well plates and the CFX connect Real-Time System. Each well was loaded with a total

- 527 of 20 μl containing 2 μl of DNA, 0.5 μl of target primers, 7.5 μl of water and 10 μl of SYBR
- 528 Select Master Mix. Hot-start PCR was performed for 40 cycles, with each cycle consisting
- of denaturation for 15 s at 94°C, annealing for 30 s at 60°C and elongation for 30 s at 72°C.

Relative quantification was done using the 2- $\Delta\Delta$ CT method. Expression was normalized 530

- against universal primer of bacteria. Mean abundance of fresh samples were set as 100%. 531
- The primers used are shown in supplementary table S1. 532
- **Statistical analysis** 533

Alpha diversity was determined using Shannon's diversity index, Simpson's diversity 534 index, Chao's diversity index and Shannon's evenness index, that calculated by mothur 535

- (version v.1.30.1). The Principal Coordinate Analysis (PCoA) based on weighted UniFrac, 536
- unweighted unifrac, Bray curtis and euclidean was conducted to evaluate similarity of 537

538 microbial community. The classification of dominant bacterial populations under different

- storage conditions was studied mainly by typing analysis based on Jensen-Shannon 539
- Distance. 540

In our current study, statistical analyses were performed using the GraphPad PRISM 541 version 8.0.1. Data obtained from experiments were shown as means±SEM, and 542 differences between groups were assessed using two-tailed Student's t test. Statistically 543 significant differences were shown as follows: * p < 0.05, **p < 0.01, *** p < 0.001544 compared with fresh group and & p < 0.05, && p < 0.01, &&& p < 0.001 compared with 545 platform 1. 546

547

SUPPLEMENTAL MATERIAL 548

FIG S1 The effect of preservation methods on the community composition. (a) The ratio 549 550 of Firmicutes to Bacteroidetes under different storage conditions. (b) Spearman correlation

- 551 coefficients between the stored samples and corresponding freshly extracted ones on genus
- level. p < 0.05, **p < 0.01, compared with fresh group.
- 553 **TABLE S1** Primer sequences used in this study for microbial abundance.
- 554

555 AUTHOR CONTRIBUTIONS

- Junli Ma conducted the experiments, data analysis and manuscript writing; Lili Sheng
- 557 revised the manuscript; Chuchu Xi helped in fecal sample collection and storage; Yu Gu
- and Ying Hong helped in data analysis; Ningning Zheng helped in uploading the data of
- 16s rRNA gene sequencing; Linlin Chen, Gaosong Wu, Yue Li, Juan Yan, Ruiting Han,
- 560 Bingbing Li, Huihui Qiu and Jing Zhong helped in subsequent experiments. Wei Jia
- 561 participated in the design of this study; Houkai Li supervised the project and revised the
- 562 manuscript.

563 gro.cmuyn@gnahZ.gnoseuX

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- 570 Program for Professor of Shuguang Scholar
- 571 <u>Xuesong.Zhang@nyumc.org</u> <u>yjywan@ucdavis.edu</u>

572 **DECLARATIONS OF INTERESTS**

- 573 The authors disclose no conflicts.
- 574

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FIG S1 The effect of preservation methods on the community composition. (a) The ratio of Firmicutes to Bacteroidetes under different storage conditions. (b) Spearman correlation coefficients between the stored samples and corresponding freshly extracted ones on genus level. *p*

< 0.05, **p < 0.01, compared with fresh group.

Table S1 Primer sequences used in this study for microbial abundance.