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ABSTRACT

The movement of organisms across habitat boundaries has important consequences for populations, communities, and ecosystems. However, because most species are not well adapted to all habitat types, dispersal into suboptimal habitats could induce physiological changes associated with persistence strategies that influence community assembly. For example, high rates of cross-boundary dispersal are thought to maintain sink populations of terrestrial bacteria in aquatic habitats, but these bacteria may also persist by lowering their metabolic activity, introducing metabolic heterogeneity that buffers the population against niche selection. To differentiate between these assembly processes, we analyzed bacterial composition along a hydrological flow path from terrestrial soils through an aquatic reservoir by sequencing the active and total (active + inactive) portions of the community. When metabolic heterogeneity was ignored, our data were consistent with views that cross-boundary dispersal is important for structuring aquatic bacterial communities. In contrast, we found evidence for strong niche selection when metabolic heterogeneity was explicitly considered, suggesting that, relative to persistence strategies, dispersal may have a weaker effect on aquatic community assembly than previously thought. By accounting for metabolic heterogeneity in complex communities, our findings clarify the roles of local- and regional-scale assembly processes in terrestrial-aquatic meta-ecosystems.

Key words: metacommunity, meta-ecosystem, bacteria, dormancy, dispersal, terrestrial–aquatic linkages

36

INTRODUCTION

37 The movement of material and energy across habitat boundaries is important for the structure
38 and function of recipient ecosystems (Polis et al. 2004, Gounand et al. 2018a). These spatial
39 resource subsidies can stabilize population dynamics, alter food web structure, and modify
40 biogeochemical cycles (Polis et al. 2004, Massol et al. 2011). However, in complex landscapes
41 linked by spatial fluxes of resources and organisms, the process of community assembly remains
42 less clear (Gounand et al. 2018a). Meta-ecosystem theory predicts that poorly adapted species
43 dispersed across ecosystem boundaries will be eliminated from the recipient habitat via niche
44 selection (Massol et al. 2017, Gounand et al. 2018a), unless resource flows sufficiently
45 homogenize the landscape (Gravel et al. 2010). However, if generalist species are capable of
46 tolerating a range of environmental conditions, then cross-boundary dispersal could affect
47 community assembly in recipient habitats (Haegeman and Loreau 2014).

48 Habitats at the terrestrial-freshwater interface are ideal for addressing questions about meta-
49 ecosystem ecology (Gounand et al. 2018b). Terrestrial ecosystems export large quantities of
50 organic matter that support aquatic food webs, often through bacterial pathways (Berggren et al.
51 2010). Furthermore, many of the bacteria responsible for processing allochthonous subsidies in
52 aquatic habitats may be derived from terrestrial ecosystems via coupled transport with resource
53 flows (Ruiz-González et al. 2015b). For example, in some north temperate lakes, it is estimated
54 that nearly 10^{20} bacterial cells are transported annually from terrestrial to aquatic ecosystems
55 (Bergström and Jansson 2000). These high immigration rates should influence the composition
56 and activity of bacterial assemblages via metacommunity processes, such as source-sink
57 dynamics or mass effects that overcome niche selection (Crump et al. 2012, Lindström and
58 Langenheder 2012, Ruiz-González et al. 2015a).

59 Although cross-boundary flows have been well documented, the fate of terrestrial-derived
60 bacteria in aquatic ecosystems remains unclear (Langenheder and Lindström 2019). In part, this
61 may be because both dispersal- and selection-based perspectives overlook the range of metabolic
62 states within microbial communities. In nature, some microorganisms may respond to favorable
63 environmental conditions via rapid growth, while others may face challenging conditions that
64 limit or prevent growth (Lever et al. 2015). Many bacteria have evolved persistence strategies
65 (e.g., spores, cysts, resting stages, slow growth) that buffer against harsh environmental
66 transitions, such as those encountered when dispersed along terrestrial-aquatic flow paths
67 (Barcina et al. 1997, Lennon and Jones 2011). By weakening the strength of local niche selection
68 relative to dispersal (Nemergut et al. 2013, Locey et al. 2019, Wisnoski et al. 2019), these
69 persistence strategies may increase the apparent similarity between terrestrial and aquatic
70 bacterial communities, especially when techniques are used that lend equal weight to active, slow
71 growing, and dormant bacteria (e.g., 16S rRNA gene sequencing). As a result, the importance of
72 terrestrial-derived bacteria in aquatic community assembly may be obscured when inferred from
73 diversity patterns that do not explicitly consider the metabolic heterogeneity that exists within
74 bacterial communities.

75 In this study, we explored microbial community assembly along a hydrological flow path of a
76 small reservoir. In this type of system, inputs from the terrestrial landscape occur upstream in the
77 riverine zone, directional surface flow orients the passive dispersal of bacteria through the
78 lacustrine zone, and emigration occurs over an impoundment (Thornton et al. 1990; Fig. 1). We
79 hypothesized that dispersal maintains terrestrial-derived bacteria in the reservoir, promoting local
80 (α) diversity and homogenizing among-site (β) diversity at the aquatic-terrestrial interface, but
81 that these taxa may not be metabolically active. Owing to niche selection, we hypothesized that

82 only a subset of the immigrating terrestrial bacteria become metabolically active members of the
83 aquatic community.

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METHODS

86 ***Study system*** — University Lake is a meso-eutrophic reservoir located in Griffy Woods,
87 Bloomington, Indiana, USA (39.189, -86.503) (Fig. 1). Created in 1911, the 3.2 ha impoundment
88 has an operating volume of 150,000 m³. With a maximum depth of 10 m, University Lake is fed
89 by three streams that drain mature oak-beech-maple forest. The underlying geology is
90 Harrodsburg limestone on ridgetops and Borden siltstone/shale in valleys. The thin unglaciated
91 soils surrounding the reservoir are Brownstown-Gilwood silt loams.

92 ***Bacterial community structure*** — We collected surface-water samples along a longitudinal
93 transect through University Lake in July 2013, filtering epilimnetic biomass from 200 mL of
94 water onto 0.2 µm Supor Filters (47 mm diameter, Pall). We characterized composition of the
95 active and total portions of the bacterial communities by sequencing 16S rRNA genes (DNA)
96 and transcripts (RNA), respectively. While sequences recovered from the DNA pool can come
97 from active or inactive individuals, sequences from the RNA pool are commonly used to study
98 active microorganisms given that rRNA transcripts have short half-lives and that ribosomes are
99 required by growing cells for protein synthesis (Molin and Givskov 1999, Steiner et al. 2019,
100 Bowsher et al. 2019, Locey et al. 2019). Sequences were processed in *mothur* (v. 1.41.1, Schloss
101 et al. 2009) and operational taxonomic units (OTUs) were created using the OptiClust algorithm
102 (Westcott and Schloss 2017). See supplement for detailed methods.

103 ***Quantifying patterns of diversity along the flow path*** — We analyzed within sample (α) and
104 among sample (β) diversity along the flow path. We estimated α -diversity using rarefaction in the

105 ‘iNEXT’ R package (Hsieh et al. 2016), following singleton-correction for sequence data (Chiu
106 and Chao 2016). Hill numbers for a given order, q , were used to weigh common and rare species
107 using the equation ${}^qD = \left(\sum_{i=1}^S p_i^q\right)^{\frac{1}{1-q}}$, where p_i is the relative abundance of species $i = 1, \dots, S$.
108 The value qD is the number of equally abundant species that would yield the observed value of a
109 diversity metric, such as richness ($q = 0$), Shannon’s index ($q = 1$), or Simpson’s index ($q = 2$).
110 We measured β -diversity as average percent similarity (1 – Bray-Curtis) between each sample
111 using the ‘vegan’ package in R (Oksanen et al. 2019). We used indicator-variables multiple
112 regression to test for the main effects and interaction of molecule type (RNA vs. DNA) and flow-
113 path distance on α - and β -diversity.

114 To make inferences about niche selection on terrestrial bacteria, we measured changes in the
115 relative abundances of OTUs that were recovered in the DNA and RNA pools. To quantify the
116 loss rate of terrestrial-derived bacteria, we used the slope of a simple linear regression between
117 distance along the transect and the number of terrestrial OTUs present but never active in aquatic
118 samples. To determine possible contributions of soil-derived taxa to active aquatic diversity, we
119 focused on OTUs that were metabolically active across a majority of aquatic samples (we present
120 results for a 75% threshold in main text, others in supplement). All statistical analyses were
121 conducted in R (version 3.5.2, R Core Team 2018).

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RESULTS

124 Patterns of bacterial diversity along the flow path were strongly influenced by metabolic
125 heterogeneity (Fig. 2a, $R^2 = 0.84$, $p < 0.001$), as shown by significant differences in slope and
126 intercept captured by the indicator variable (Table 1). In the total aquatic bacterial community
127 (DNA), richness was highest near the terrestrial-aquatic interface and declined toward the dam.

128 In comparison, the active aquatic richness was lower and less variable along the transect.
129 Differences in α -diversity between active and total portions of the community were highest near
130 the terrestrial-aquatic interface (e.g., there were 78% fewer taxa in the active subset, Table 1).
131 Diversity differences were greatest when rare and common taxa were equally weighted ($q = 0$),
132 as might be expected if immigrant or dormant taxa are rare. When dominant taxa were weighted
133 more heavily ($q = 1, 2$), the active portion remained less diverse, but the decay rates of diversity
134 became indistinguishable between the two portions of the community (Table 1).

135 Metabolic heterogeneity also has strong effects on β -diversity (Fig. 2b). Similarity between
136 terrestrial soil and aquatic samples was highest near the terrestrial-aquatic interface and
137 decreased toward the dam ($R^2 = 0.75$, $p < 0.001$). However, maximum similarity to soils and the
138 rate of decay in similarity differed between the total and active portion of the community. Near
139 the stream inlet, similarity to soils was nearly 6 times higher in the total community than in the
140 active portion ($Intercept = 0.169$, $\beta_{RNA} = -0.138$), and similarity to soils declined linearly toward
141 the dam ($\beta_{distance} = -4 \times 10^{-4}$, $\beta_{distance \times RNA} = -3.9 \times 10^{-4}$). In contrast, the active portion remained
142 dissimilar to terrestrial soils along the entire transect (Fig. 2b).

143 We detected a small number of habitat generalists, but most terrestrial taxa did not appear to
144 colonize the aquatic community. The majority of taxa present in both soil and aquatic
145 communities were never detected in any active aquatic sample (~88% of taxa remained inactive),
146 which accounted for roughly 4.5% of all reads in the total reservoir community. The richness of
147 these taxa declined exponentially (first-order decay, $k = 2.57 \times 10^{-3}$, $r^2=0.81$, $p < 0.001$) with
148 distance from the stream inlet (Fig. 3a). However, 8% of taxa present in soils were detected at
149 least once in the active aquatic community. Of the soil-derived taxa detected in at least 75% of

150 active aquatic samples, 18 declined along the transect, but 11 were maintained at high relative
151 abundances in the active aquatic community (Fig. 3; see supplement for list of taxa).

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153 **DISCUSSION**

154 Our results demonstrate that the importance of dispersal for community assembly across
155 ecosystem boundaries depends on the metabolic activity of dispersers in the meta-ecosystem.

156 Along a terrestrial-aquatic flow path, the influence of terrestrial bacteria on aquatic bacterial α -
157 and β -diversity was highest near the terrestrial-aquatic interface. This pattern, consistent with
158 terrestrial immigration playing an important role in aquatic community assembly (i.e., mass
159 effects), was only detected when metabolic heterogeneity was not considered. In contrast, α -
160 diversity and similarity to soils were substantially lower in the metabolically active portion of the
161 aquatic community (Table 1; Fig. 2), suggesting a hidden role for niche selection in the aquatic
162 habitat that was only apparent when incorporating metabolic information. In fact, most
163 terrestrial-derived taxa were not detected in the active aquatic community and decayed
164 exponentially away from the terrestrial-aquatic interface (Fig. 3a). Altogether, our findings are
165 consistent with our hypotheses that most terrestrial-derived taxa fail to colonize aquatic habitats
166 and that only a small number of habitat generalists may be able to colonize aquatic environments
167 from nearby terrestrial landscapes. Our study also suggests the potentially overlooked role of
168 metabolic heterogeneity in spatially heterogeneous metacommunities and meta-ecosystems.

169 ***Metabolic heterogeneity informs aquatic community assembly*** — Inferring community
170 assembly processes from diversity patterns is challenging because species can be present in a
171 habitat for reasons other than habitat suitability (e.g., high dispersal, persistence traits).

172 Accounting for metabolic heterogeneity helps distinguish favorable from suboptimal habitats by

173 detecting the responses of actively growing organisms (e.g., Muscarella et al. 2016), providing
174 insight into the fate and potential functions of dispersers in recipient ecosystems. The frequent
175 detection of terrestrial bacteria in aquatic ecosystems has elevated the role of dispersal for
176 structuring aquatic diversity, but our results suggest that local aquatic environments can still
177 impose harsh biotic or abiotic filters on the metabolically active subset of the aquatic community
178 (Fig. 2). Thus, the strength of niche selection against terrestrial-derived bacteria in aquatic
179 habitats may increase with metabolic activity levels of cross-boundary dispersers.

180 ***Exponential decay of soil-derived bacteria in aquatic ecosystems*** — Dispersing across an
181 ecosystem boundary is likely a harsh transition for many bacteria. Although most active aquatic
182 taxa were also detected in nearby soils, only a minority of taxa present in soils were common in
183 the active aquatic community (Fig. 3). Consistent with previous terrestrial-aquatic meta-
184 ecosystem studies (Mariadassou et al. 2015, Monard et al. 2016), our results suggest that active
185 abundance is highest in preferred habitat types. The exponential decay of metabolically inactive
186 terrestrial taxa away from the terrestrial-aquatic interface also resembles diversity declines near
187 river margins (Power et al. 2004). This exponential loss could be due to physical factors (e.g.,
188 settling or volumetric dilution) or biotic interactions (e.g., consumption, competition, or lysis
189 following reactivation) that are not offset by reproduction. Future studies that differentiate
190 activities at a finer resolution (e.g., slow growing, dormant with the potential to reactivate, or
191 even dead) (Carini et al. 2016, Lennon et al. 2018) could further illuminate the fate of cross-
192 boundary dispersers in meta-ecosystems. In general, the exponential decay suggests that
193 terrestrial influences on aquatic bacterial diversity may be localized near ecosystem boundaries.

194 Nevertheless, a subset of taxa detected in soils were active in the aquatic community. Some
195 became less common along the transect, which could reflect a riverine-to-lacustrine

196 environmental gradient, or a reduction in mass effects (Fig. 3b). These decaying taxa included
197 representatives from the Actinobacteria (*Arthrobacter*, *Micrococcus*, *Solirubrobacter*),
198 Bacteroidetes (*Flavobacterium*, *Pedobacter*), Proteobacteria (α : *Bradyrhizobium*, *Sphingomonas*;
199 β : *Duganella*, *Comamonas*; and γ : *Pseudomonas* sp.), some of which are abundant and
200 ubiquitous in soils (Delgado-Baquerizo et al. 2018). In contrast, taxa maintained in the active
201 aquatic community may have wide niche breadths allowing them to be habitat generalists, or they
202 may be of aquatic origin (e.g., dispersed by floods, animals, or wind, but our soil sampling
203 locations were chosen to minimize this possibility). These potential habitat generalists included
204 taxa belonging to the Actinomycetales, Bacteroidetes (order Sphingobacteriales), Proteobacteria
205 (α : order Rhizobiales, β : family Comamonadaceae, γ : *Acinetobacter*), and Verrucomicrobia
206 (class Spartobacteria). In sum, most terrestrial-derived bacteria may possess persistence strategies
207 that allow them to persist on the periphery of aquatic ecosystems, but habitat generalists that
208 cross ecosystem boundaries could influence aquatic bacterial community assembly.

209 ***Metabolic heterogeneity in metacommunities and meta-ecosystems*** — Our work provides
210 empirical evidence that accounting for metabolic heterogeneity may improve our understanding
211 of metacommunity and meta-ecosystem processes (Massol et al. 2017, Wisnoski et al. 2019).
212 Cross-boundary dispersal can expose organisms to harsh environmental conditions, against
213 which they may be buffered through metabolic flexibility (e.g., slow growth, dormancy). While
214 generalists may be able to colonize a range of habitat types in meta-ecosystems (Haegeman and
215 Loreau 2014), specialist dispersal may require coupling with resource subsidies or persistence
216 strategies that buffer against suboptimal conditions. Metabolically explicit community assembly
217 also has implications for ecosystem functioning in a spatial context. While high dispersal is
218 predicted to impede ecosystem functioning by creating species-environment mismatches

219 (Leibold et al. 2017), these effects may be reduced if dispersers are metabolically inactive. Thus,
220 metabolic heterogeneity may be an important link between individuals, communities, and
221 ecosystems across spatial scales.

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227 (<https://github.com/LennonLab/ReservoirGradient>).

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TABLES

325 **Table 1** — Linear model coefficients of active and total α -diversity along the transect examined
 326 at different levels of q , which represents equal weighting of rare and common taxa ($q = 0$),
 327 proportional weighting ($q = 1$), and biased weighting toward common taxa ($q = 2$). In these
 328 models, intercepts represent estimates of diversity at each order near the terrestrial-aquatic
 329 interface, with the RNA term capturing the reduced diversity in the active subset. With increasing
 330 order, the distance \times RNA interaction becomes weaker, signifying that diversity decays at similar
 331 rates in the active and total communities as common taxa are increasingly weighted.

Order (q)	Diversity	Term	Estimate	Std. Error	Statistic	p-value
0	Richness	Intercept	1497	100.6	14.88	$<10^{-4}$
0	Richness	Distance	-3.176	.4976	-6.381	$<10^{-4}$
0	Richness	RNA	-1170	142.3	-8.222	$<10^{-4}$
0	Richness	Distance \times RNA	2.985	.7003	4.263	.0003
1	Shannon	Intercept	153.7	19.41	7.921	$<10^{-4}$
1	Shannon	Distance	-.2941	0.096	-3.062	0.0053
1	Shannon	RNA	-123.9	27.46	-4.513	.0001
1	Shannon	Distance \times RNA	0.2457	.1352	1.818	0.0815
2	Simpson	Intercept	55.44	6.47	8.57	$<10^{-4}$
2	Simpson	Distance	-.0783	.032	-2.446	0.0221
2	Simpson	RNA	-36.78	9.151	-4.019	0.0005
2	Simpson	Distance \times RNA	.0402	.045	0.8918	0.3813

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FIGURE LEGENDS

Fig. 1. University Lake, Indiana University Research and Teaching Preserve, Bloomington, Indiana, USA. Points indicate sampling locations along the terrestrial-aquatic transect, from upstream soils, through the stream inlet, across the lacustrine zone, and over the dam. Image source: Google Earth.

Fig. 2. Terrestrial influence on aquatic microbial diversity. (a) Estimated alpha diversity (richness, 1D) in the active (light gray circles) and total (dark gray triangles) aquatic communities along the reservoir transect. (b) The average percent similarity to the soil samples for active and total aquatic communities declines with distance away from the terrestrial-aquatic interface (0 m).

Fig. 3. Fate of terrestrial-derived taxa in the reservoir. (a) Number of taxa detected in soils but never detected in active aquatic samples declines exponentially away from the terrestrial-aquatic interface with a first-order decay constant $k = 2.57 \times 10^{-3}$. (b) Taxa detected in at least 75% of active aquatic samples either decay in abundance along the transect or are maintained. We used local polynomial regression (LOESS) to visualize relative abundances for each OTU along the transect.

353

FIGURES

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355 **Figure 1.**

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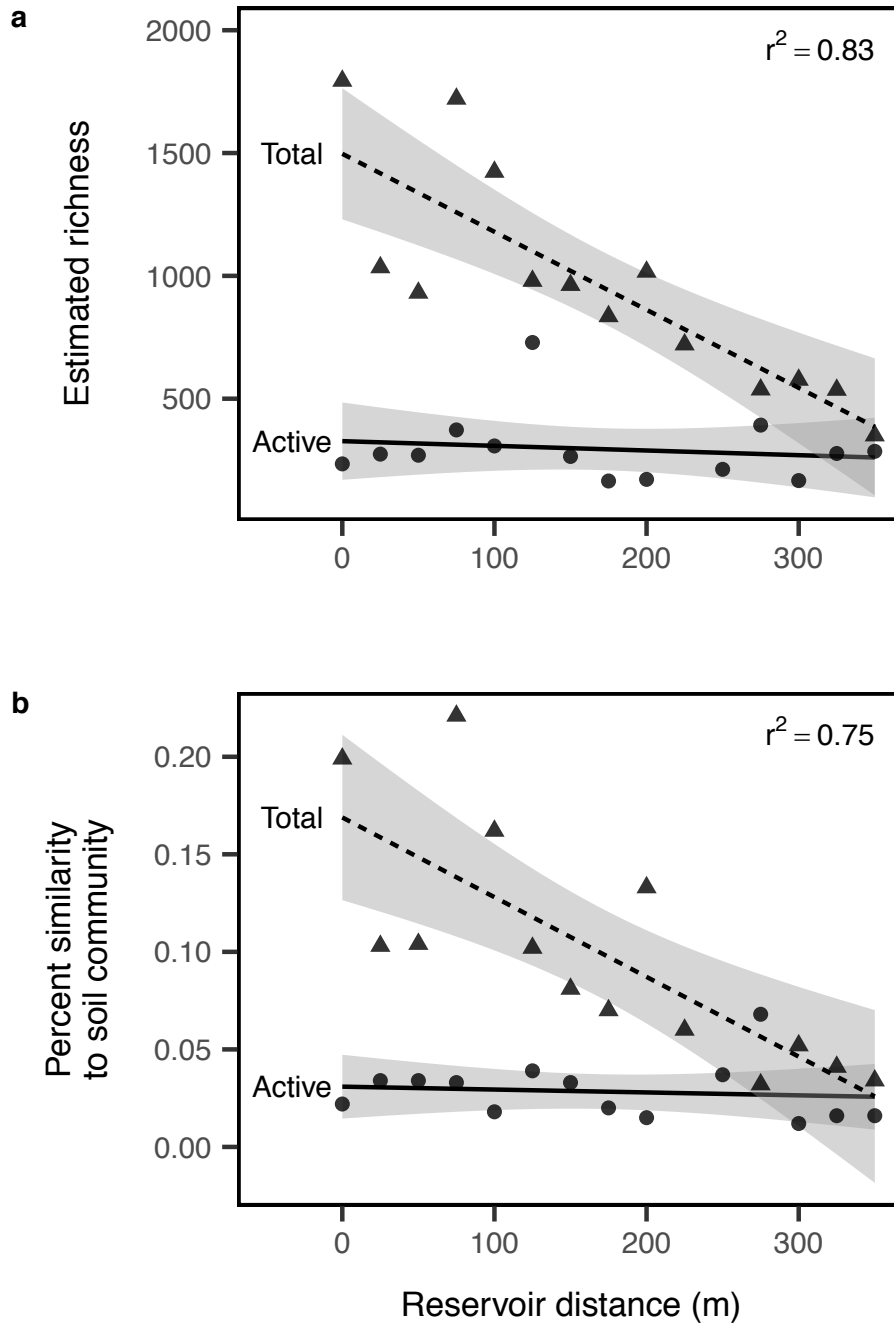
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359 **Figure 2.**

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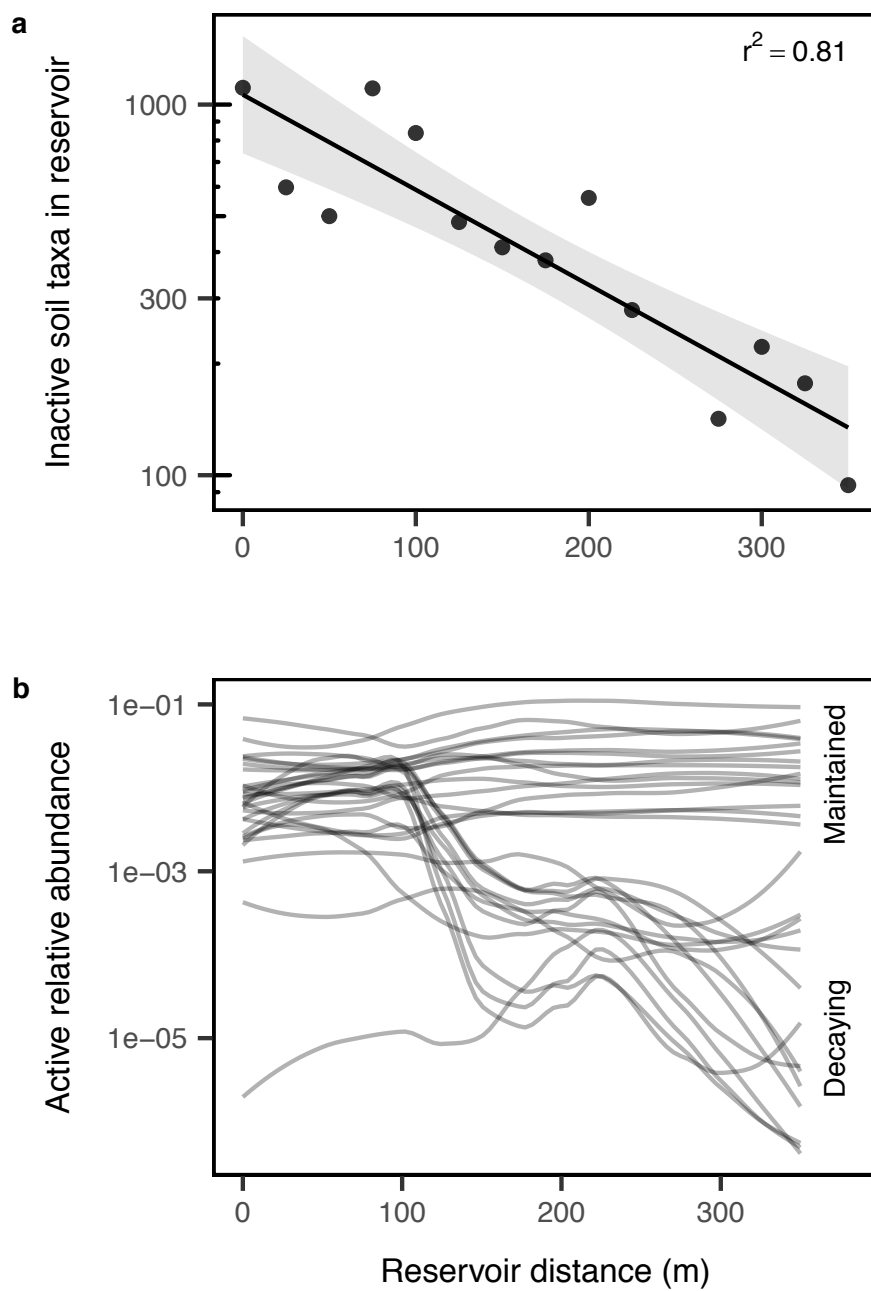
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364 **Figure 3.**



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