Learning improves decoding of odor identity with phase-referenced oscillations

in the olfactory bulb

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SUMMARY

Local field potential oscillations reflect temporally coordinated neuronal ensembles—coupling distant brain regions, gating processing windows, and providing a reference for spike timing-based codes. In phase amplitude coupling (PAC), the amplitude of the envelope of a faster oscillation is larger within a phase window of a slower carrier wave. Here, we characterized PAC, and the related theta phase-referenced high gamma and beta power (PRP), in the olfactory bulb of mice learning to discriminate odorants. PAC changes throughout learning, and odorant-elicited changes in PRP increase for rewarded and decrease for unrewarded odorants. Odorant identity can be decoded from peak PRP in animals proficient in odorant discrimination, but not in naïve mice. As the animal learns to discriminate the odorants the dimensionality of PRP decreases. Therefore, modulation of phase-referenced chunking of information in the course of learning plays a role in early sensory processing in the high input dimensionality sense of olfaction.

INTRODUCTION

Animals must alter early sensory processing through top-down modulation in order to optimize performance in sensorimotor tasks such as effective navigation of their environment (Gire et al., 2013b; Pakan et al., 2018). They achieve this shaping of early signal processing by experience. This shaping involves an interplay between sensory input and variables relevant to sensory processing such as behavioral state, arousal and motor activity. Temporal patterning of neuronal activity is thought to underlie this interplay. Specifically, neuronal activity, patterned in synchronous bursts of activity reflected by local field potential (LFP) oscillations is thought to carry distinct information at different phases of each cycle (Jensen, 2001; Kepecs et al., 2006; Lisman and Jensen, 2013). For example, in the hippocampus different phases of the theta LFP (2-12 Hz) preferentially encode present and future spatial locations, illustrating temporal "chunking" of information (Amemiya and Redish, 2018; Siegle and Wilson, 2014). Furthermore, place cell preferred fields are encoded temporally through phase precession in relation to hippocampal theta oscillations (Buzsáki and Draguhn, 2004; Lisman, 2005; O'Keefe and Recce, 1993; Skaggs et al., 1996). Additionally, LFP oscillations in different frequency bandwidths can be related in a phenomenon known as cross frequency coupling, which can further enhance information carrying capacity in the brain (Canolty and Knight, 2010; Engel et al., 1999; Florin and Baillet, 2015; Fries, 2005).

One example of cross frequency coupling is PAC, wherein the amplitude (and power) of a faster oscillation (e.g. gamma, 35-95 Hz) is related to the phase of a slower

oscillation (e.g. theta, 2-12 Hz) (Chrobak and Buzsaki, 1998; Lisman and Buzsaki,

2008; Soltesz and Deschenes, 1993). PAC has been shown to take place during task

engagement in a variety of brain regions such as rodent hippocampus (Bragin et al.,

1995; Lisman and Idiart, 1995; Soltesz and Deschenes, 1993), rodent orbitofrontal

cortex (van Wingerden et al., 2014), macaque auditory cortex (Marton et al., 2019),

human hippocampus (Lega et al., 2016) and human visual cortex (Daume et al., 2017;

Seymour et al., 2017). Interestingly, Van Wingerden *et al.* (2014) described how theta-

gamma PAC strengthens in rat orbitofrontal cortex with learning for trials in which the

correct decision was made in the *olfactory* go no-go task where thirsty animals learn to

associate an odorant with a water reward.

Although PAC has been characterized in various brain regions, its study in the

earliest sensory processing brain areas is virtually non-existent. The olfactory bulb (OB)

is an ideal location to study whether this phenomenon is relevant to sensory processing

given that intrinsic oscillatory activity in this brain region is inherently linked to odorant

detection and signal processing (Doucette et al., 2011; Gschwend et al., 2012; Li et al.,

2015; Shusterman et al., 2011; Smear et al., 2011). Breathing mechanically gates

access of volatile organic molecules to the receptors in the mucosa of the olfactory

epithelium (Smear et al., 2011; Wachowiak, 2011). The rhythmic activation of olfactory

sensory neurons (OSNs) gives rise to a theta-frequency (2-12 Hz) respiratory-related

LFP in the target region of the OSNs, the OB, that is coherent with oscillations in

downstream processing areas such as piriform cortex (PC) and hippocampus

(Gourevitch et al., 2010; Heck et al., 2019; Nguyen Chi et al., 2016; Rojas-Libano et al.,

2018; Tort et al., 2018a; Tort et al., 2018b). Interestingly, PAC is present in human PC,

and the respiratory phase for presentation of stimuli modulates the ability to retrieve

episodic memory (Zelano et al., 2016). Downstream neural networks receiving olfactory

information in the OB circuit could be tuned to respond to sensory input at certain

phases of this theta LFP (Buzsaki, 2010; Jensen, 2001) and could send back

modulatory signals during other phases (Kay, 2015; Kepecs et al., 2006).

Decades of work have characterized LFP activity in the OB in various states of

wakefulness (Adrian, 1950; Chery et al., 2014; Li et al., 2012) and olfactory task

engagement (Gourevitch et al., 2010; Kay et al., 2009; Martin and Ravel, 2014).

Surprisingly, this regional LFP signal is not static and changes in response to prior

experience (Courtiol and Wilson, 2017; Gire et al., 2013a). Work in the go no-go

operant conditioning task where the animal learns to respond to the reinforced odorant

for a water reward has revealed broadband LFP power changes for the rewarded

odorant versus the unrewarded odorant as the animal learns to discriminate odorants

(Beshel et al., 2007; Martin et al., 2004; Ramirez-Gordillo et al., 2018; Stopfer and

Laurent, 1999). In addition, a modelling study of the OB circuit by David *et al.* 2015

concluded that changes in the balance of sensory and corticofugal inputs to OB

interneurons can alter timing of beta and gamma oscillatory states, suggesting that the

transmission of information from the OB is influenced by top-down signaling. Also, these

investigators suggested that slower theta oscillations gate the time window for sensory

and centrifugal inputs to ascend and descend, respectively, relative to sensory relays,

suggesting that PAC has an important role in gating information transfer. Interestingly,

PAC exists in the OB in awake, idle rats (Rojas-Libano et al., 2014). This raises the

question whether PAC changes with learning, reflecting either unsupervised Bayesian

learning, or supervised learning through top-down modulation of incoming sensory

information (Hiratani and Latham, 2019), and whether encoding of sensory information

by the power of high frequency oscillations differs as a function of the phase of the theta

LFP.

In this study, thirsty mice implanted with tetrodes in the OB learned to associate

an odorant with water reward in the go no-go task, making discriminations between two

monomolecular odorants (e.g. between isoamyl acetate vs acetophenone, IAAP) or

between a monomolecular odorant and an odorant mixture (between ethyl acetate and

a mixture of ethyl acetate and propyl acetate, EAPA) in separate sessions. LFP was

recorded as the animal learned to differentiate the odorants and was analyzed for

changes in PAC and for the ability of theta phase-referenced high frequency oscillatory

power—termed phase referenced power (PRP) hereafter—to encode for the perceived

identity of the odorant.

We demonstrate that the strength of PAC, quantified as the modulation index

(Tort et al., 2010), changes for the rewarded odorant (S+) as the animals learn to

differentiate the odorants. Interestingly, the variance of the angle of theta with the

strongest gamma oscillation (peak angle) increases for the unrewarded odorant (S-)

over the course of learning. These changes in PAC raised the question whether a

downstream observer focused on high frequency oscillations at different theta phases

would find a difference in stimulus prediction over the course of task learning. We found

that the ability to decode stimulus identity by PRP improves with task proficiency and

the dimensionality of PRP decreased as the animal learned to differentiate the odorants.

RESULTS

We report data obtained in two different sets of experiments that we name exp1 and exp2. In exp 1 mice were implanted with optetrodes in the OB and in exp 2 animals were implanted with tetrodes 0.5 mm deeper. Mice learned to discriminate between rewarded (S+) and unrewarded odorants (S-) presented in pseudorandomized order in the go no-go olfactory task (Figure 1A-C). The dataset is comprised of 172 recording sessions in 30 mice. The odorant pairs tested were different volatile compounds (or mixtures) whose nomenclature addresses the odorant names and the experimental set (e.g. IAAPexp1, see Table S1 for the nomenclature).

In the go-no go task mice must decide to either lick a waterspout at least once during each 0.5-second bin in the 2-second response area (green blocks in Figure 1B) to obtain a water reward for the rewarded odorant or refrain from licking for the unrewarded odorant (Figure 1C). We did not punish for licking for the unrewarded odorant, and mice refrain because of the effort it takes to lick. Behavioral performance was termed naïve or proficient when their performance estimated in a 20-trial window was ≤ 65% for naïve and ≥ 80% for proficient. After three 20-trial blocks of proficient performance, the session was ended; valence was reversed the next day (not shown) or another odorant was tested.

Phase amplitude coupling analysis of the LFP recorded in the go no-go

behavioral task

Figures 1Di and ii show an example of the extracellular LFP sampled at 20 kHz

and filtered between 1-750 Hz. The raw signal (Figure 1Di) was filtered with a 20th order

Butterworth filter into different LFP frequency bands (high theta, 6-14 Hz, adapted from

(Nguyen Chi et al., 2016) and subsequently referred to as theta; beta, 15-30 Hz; or high

gamma, 65-95 Hz). Figures 1Diii and 1Dv show that the filtered theta and high gamma

LFP appear to change amplitude in a coordinated manner. We used PAC analysis (Tort

et al., 2010) to evaluate the degree of coupling of the amplitude of the envelope of the

beta or high gamma LFP on the phase of the theta LFP. Figure 1Div shows the theta

phase and the red line in Figure 1Dv shows the envelope for the amplitude of the high

gamma LFP, both calculated with the Hilbert transform as detailed in Tort et al. (2010).

Figure 1E shows the phase amplitude plot of the normalized distribution of high gamma

amplitudes in different theta phase bins. For this example there are two maxima, and

the vertical red line corresponds to the phase of theta at which the gamma amplitude is

highest (at ~95 degrees); this is called the "peak phase". Similarly, the phase with the

smallest normalized amplitude is called the "trough phase" (vertical blue line at ~5

degrees). The strength of PAC was estimated as the modulation index (MI), a measure

computed as the Kullback–Leibler (KL) distance to the uniform phase distribution

normalized to range from 0 (uniform distribution) to 1 (sharp gamma bursts at a specific

theta phase) (Tort et al., 2010). The MI for the distribution in Figure 1E is 0.018. Figure

S1 shows the LFP power spectrogram for simulated data with low gamma oscillations

that are either uniformly distributed in the theta phase (MI=0.0004, Figure S1A) or with

gamma bursts at 180 degrees of the theta oscillation (MI=0.01, Figure S1B). Recordings

in hippocampus and cortex yield MI values in the range of 0.005-0.03.

Peak angle variance decreases for S+ and/or increases for S- when the animals
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learn to differentiate odorants in the go-no go task
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Figure 2A-F illustrates PAC for all S+ and S- odorant trials in one session for a

mouse proficient in differentiating the odorants. Behavioral performance is shown in

Figure 2B, the phase amplitude plots for all the trials are shown in pseudocolor in Figure

2A, and the corresponding normalized phase amplitude plots are shown in Figure 2C,

while the mean average theta LFP is shown for one cycle in Figure 2D. There is clear

(biphasic) theta phase coupling for high gamma amplitude with maxima at ~120° and

270° for S+ (Figure 2A, left and red line in 2C). This strong PAC is reflected by an MI

with a mean of 0.02 with small trial to trial variance (Figure 2E, red circles), and small

variation in peak phase (Figure 2F, red polar histogram). In contrast, PAC displays

variable strength and phase for S- in this session (Figure 2A, right, blue line in 2E and

blue polar histogram in 2F). For S- the average theta amplitude is smaller (Figure 2E,

blue line) and the MI displays large variance ranging from 0 to 0.06 (mean MI 0.014,

Figure 2E, blue circles). The MI and peak angle variance differ significantly between S+

and S- (MI: ranksum p value < 0.001, peak angle variance: ranksum p value < 0.05, n =

50 S- trials, 49 S+ trials).

Browsing through PAC data for different sessions, plotted as in the example

shown in Figures 2A-F, raised the question whether PAC changes over the course of

learning in the go-no go olfactory discrimination task—as reported by mean MI and

peak angle variance. We noticed that in sessions where the mouse learned to

differentiate the odorants the phase of the peak angle became highly variable when the

animal became proficient. An example is shown in Figure S2 where a mouse started the

session performing close to 50% correct, and became proficient during the last portion

of the session. The phase of the peak angle is highly variable for the last 20 S- trials.

We proceeded to estimate mean MI and peak angle variance for all mice. The mean MI

and peak angle variance was calculated as the mean in all trials within either the naïve

or proficient periods for S+ and S-. Figure 2G illustrates cumulative histograms for the

mean MI (i,iii) and peak angle variance (ii,iv) for theta/beta (top) and theta/high gamma

PAC (bottom) for the APEBexp1 odorant for S+ and S- odorants for trial ranges when

the mice were naïve or proficient. The cumulative histograms for mean MI measured

per electrode (Figure 2Gi,iii) show small yet statistically significant changes in PAC

strength for both the theta/beta and theta/high gamma as the animal learns to

discriminate between odorants (generalized linear regression model, GLM analysis,

indicates that the differences in mean MI in terms of proficiency and the event type are

significant p<0.001, 896 degrees of freedom, d.f., for theta/beta and theta/high gamma,

16 electrodes per mouse, 14 mice). In addition, the circles in Figure 2Gi,iii show the per

mouse mean MI. A GLM analysis did not yield significant changes for the per mouse

average MI as a function of either event type or proficiency for theta/beta PAC (p > 0.05,

52 d.f., 14 mice). Per mouse average MI was significant for both event type and

proficiency for theta/high gamma PAC (p < 0.05, 52 d.f., 14 mice). Finally, for the

APEBexp1 odorant pair we found a substantial decrease in peak angle variance for S+

and an increase in peak angle variance for S- for both the theta/beta and theta/high

gamma PAC (Figure 2Gii,iv, GLM analysis for changes in peak angle variance for both

proficiency and event type yield p < 0.001, 892 d.f. for both theta/beta and theta/high

gamma, 16 electrodes per mouse, 14 mice). The changes were also statistically

significant when a GLM was computed for the average peak angle variance per mouse

(circles in Figures 2Gii,iv, GLM p value < 0.01 for theta/beta and p < 0.001 for theta/high

gamma for both event type and proficiency with 52 d.f.,14 mice).

Similar differences between S+ and S- and changes when the animal became

proficient were found for peak angle variance for the other odorant pairs. Figures 2Hi-iv

show the summary of changes with learning for S+ and S- odorants for MI (i, iii) and the

peak angle variance (ii,iv) for theta/beta (i,ii) and theta/high gamma (iii,iv) for per

electrode PAC for all odorant pairs. As mentioned above recordings were performed in

two sets of experiments (Table S1). Theta/beta and theta/high gamma PAC strength,

quantified as the MI calculated for LFPs recorded in each electrode, was stronger for

recordings in exp2 compared to exp1, and there was a statistically significant increase

in the MI for S+ with learning (naïve vs. proficient) (GLM analysis p value < 0.001, for

event, proficiency and location, with the exception that p < 0.01 for proficiency for

theta/high gamma, 3255 d.f., number of mice is in Table S1). Interestingly, there were

decreases in peak angle variance for S+, and/or increases in peak angle variance for S-

for theta/high gamma PAC when the animals became proficient (GLM analysis p values

< 0.001 for event type, proficiency and location for both theta/beta and theta/high

gamma, 3255 d.f., number of mice is in Table S1). For a downstream observer focused

on the LFP power at the peak of PAC for the rewarded odorant these changes in peak

angle variance may reflect a mechanism by which the unrewarded stimulus is devalued

while the rewarded odorant is valued. To our knowledge, this is the first demonstration

of dynamic cross frequency interactions changing with learning for early processing in

sensory systems.

Peak phase referenced power increases for S+ and decreases for S- over learning

The existence and evolution of PAC over learning alone does not demonstrate its

potential utility to the animal in its decision-making process. In order to assess whether

high frequency LFP power at the peak of theta oscillations changes as the animal learns

we performed wavelet analysis to extract the power of high gamma (and beta) LFP

referenced to specific PAC phases of theta—peak and trough (as defined in Figure 1E).

We term this analysis phase-referenced (high-frequency) power, or PRP. In Figures 3A-

C, we show how this PRP analysis was performed. Figure 3A shows Morlet wavelet

LFP power analysis (Chery et al., 2014; David et al., 2015) for a single S+ trial

characterized by strong, persistent theta power during and after odor delivery (related to

respiration and olfactory investigation) accompanied by higher frequency power bursts

whose periodicity reflects PAC. Figure 3B shows the high theta (6-14 Hz)-filtered

waveform (Figure 3Bi) and the Hilbert-extracted phase (Figure 3Bii, (Tort et al., 2010))

co-registered to the one-second epoch extracted from the wavelet LFP power plot

shown in Figure 3Aii. We proceeded to extract the value of the power (in decibels)

referenced to the peak or the trough of PAC measured in S+ trials (peak and trough are

defined in Figure 1E). The red line in Figure 3Ci shows a clear odor-induced increase in

the peak-referenced wavelet power for high gamma/theta PAC in this single trial

example (Figure 3Ci, red line). In contrast, there was little change in power referenced

to the trough of PAC (Figure 3Ci, blue line). Figure 3Cii shows the time course for the

average peak (red) and trough (blue) PRP calculated for all trials in this go-no go

session for a mouse that was performing proficiently in differentiation of the two

odorants.

We performed the PRP analysis for all sessions for naïve and proficient periods.

Figure 3D shows cumulative histograms for the peak and trough PRP for all mice for the

odor period for all go-no go sessions with the IAAPexp2 odorant pair for theta/high

gamma PAC. Over the course of learning, peak-related high gamma power increases

for S+ and decreases for S- (Figure 3D top). In contrast, the changes elicited by

learning in trough PRP are smaller (Figure 3D bottom). The differences were statistically

significant between peak and trough, S+ and S- and naïve and proficient (GLM p values

< 0.001, 1016 d.f.). The summary of PRP for peak and trough for theta/high gamma

PAC for the odor period are shown for all odorant pairs in Figure 3E (peak PRP) and 3F

(trough PRP). For all odorant pairs peak PRP increases for S+ over learning and

decreases for S-. Trough PRP is weaker yet follows the same trend as the animal

learns to discriminate the odorant as peak PRP (GLM p value < 0.001, 6386 d.f. for S+

vs. S-, peak vs. trough, naïve vs. proficient). In addition, the learning-induced changes

in PRP are larger for exp2 (GLM p value < 0.001 for location, 6386 d.f.). Similar

changes were found for PRP for theta/beta PAC, but there was no difference between

peak and trough (not shown).

Linear discriminant analysis classifies stimuli using PRP

The finding that the LFP power referenced to the peak of the theta oscillation

increases for S+ and decreases for S- when the animal learns to differentiate the odors

(naïve vs. proficient) raises the question whether a downstream reader evaluating peak

power could decode which stimulus is presented. To assess decoding of olfactory

stimuli using PRP, a linear discriminant analysis (LDA) was used to set a decision

boundary hyper-plane between binary stimulus classes (S+ versus S-) (Vizcay et al.,

2015) using PRP data referenced to the peak (or the trough) of theta/beta or theta/high

gamma PAC. LDA was trained with PRP from each electrode for each mouse (16

electrodes per mouse) for all trials except one (the training dataset) and then the

missing trial (test data) was classified as S+ or S- using the PRP data from that trial.

This was performed separately for trials where the mouse was naïve or proficient to

odorant discrimination, for each time point during the trial and was repeated for all trials.

As a control we shuffled the identity of trials in the training set. In addition, we performed

a complementary principal component analysis (PCA) of the PRP to visualize the odor-

induced divergence in the time course of the first principal component (PC1).

Figures 4 A and B show PC1 for the PCA (Figure 4A) and the performance of the

LDA (Figure 4B) calculated with the PRP for 16 electrodes for one example odorant pair

(APEBexp1). In the naïve state PC1 diverged after water reinforcement (Figure 4A, left)

and the LDA could not classify stimulus identity using peak or trough PRP for either

theta/beta or theta/high gamma (Figure 4B, left). However, when the animal became

proficient PC1 diverged between S+ and S- shortly after odorant addition (Figure 4A)

and the precision of the LDA classifier increased from chance to values above 70%,

significantly higher than the 95% confidence interval of the LDA performed with shuffled

trials during the odor delivery epoch for both theta/beta and theta/high gamma (Figure

4B; GLM analysis for learning p value < 0.001, 104 d.f.). In theta/beta, both peak and

trough PRP performed significantly better than shuffled data at classifying stimulus

class via LDA (GLM p value < 0.001, 104 d.f.). However, in theta/high gamma (Figure

4B, bottom) LDA discriminated stimulus class significantly more effectively using peak

PRP than trough PRP (GLM p value < 0.001, 104 d.f.). The LDA performance difference

between peak and trough PRP was evaluated by calculating the area under the

performance curve (AUC) during the odor application window (0.5-2.5 sec after odorant

valve opening). AUC was normalized and it ranged from zero (random decoding at

50%, Figure S3 Ai) to 1 (100% decoding during the odor period, Figure S3 Aii). AUC is

plotted for all odorant pairs for peak and trough PRP in Figure 4C for theta/beta and

theta/high gamma for naïve and proficient conditions. In the proficient state, AUC for

peak PRP LDA for high gamma was significantly higher than AUC for trough PRP LDA

(Figure 4C, bottom, GLM for AUC peak vs. trough p value < 0.001, 104 d.f.). AUC for

beta PRP LDA did not differ between peak and trough (Figure 4C, top). Finally, Figure

4D shows that the AUC was larger for exp2 (GLM p value < 0.001 for location, 6386

d.f.). To our knowledge, this is the first demonstration of high gamma phase-referenced

LFP power developing differential information carrying capacity in early sensory

systems with learning.

Decision-making takes place at the same time for peak PRP via LDA and licks

After learning that LDA using peak PRP can decode stimulus identity, we

wondered how early in the time course of the trial there is a statistically significant

difference between behavioral responses (licks at the waterspout) compared to correct

classification of the stimulus by LDA. We estimated the p value for decision-making by

computing the ranksum test for the difference between licks (scored 0 for no lick vs. 1

for lick) and for the LDA (scored 1 for correct prediction vs. 0 for incorrect prediction).

Figures 5A-B show an example of licks by a mouse in one session (for the EAPAexp2

odorant pair). Figure 5A shows an example of the licks detected at the waterspout for

25 S+ trials when the animal was performing proficiently while Figure 5B shows the lick

traces for 25 S- trials in the same session.

Next, we analyzed lick vs. PRP LDA decision making times after pooling data for

all mice for each odor pair. An example of the time course of the p values for lick and

PRP LDA performing the go-no go task for EAPAexp2 is displayed in Figure 5C for

theta/high gamma PAC. At the left, the time-course for performance of decoding

stimulus identity for the peak PRP LDA (top) appears to be faster and more accurate

compared to the time course of LDA performance evaluated with trough PRP (bottom).

Figure 5C (right) shows the p value for LDA performance using the ranksum test. Before

odorant presentation the p value fluctuates and sometimes falls below 0.05 transiently,

while after odor presentation the p values for peak PRP and lick fall below 0.05 sharply

and continuously, while trough PRP decoding performance is significantly worse. We

defined decision making time as the time point when the p value falls and stays below

0.05 after odor application. For the pooled data for all mice performing EAPAexp2, peak

PRP and lick p values fall below 0.05 earlier than for trough PRP. Both peak PRP and

lick perform similarly in LDA decoding of stimulus identity in this example. Figure S3 E

and F show that for both lick (E) and PRP peak (F) the decision time is faster when data

are pooled for all mice. Lick decision times (Figure S3E) were significantly faster with

pooled data (GLM p value < 0.01, 21 d.f.) as were peak PRP values (Figure S3F, GLM

p value < 0.01, 21 d.f.). LDA performance as measured with AUC was significantly

better with pooled vs. per-mouse data as well (Figure S3B, GLM p value < 0.001, 21

d.f.). Because of this we did the comparison of decision times using pooled animal data.

Analysis of LDA decoding performance via peak PRP and lick for all odorant

pairs for pooled mouse data is shown in Figure 5D. Decision times were significantly

reduced with task learning in theta/beta (GLM p values < 0.001, 27 d.f.) and in

theta/high gamma (GLM p values < 0.001, 27 d.f.). However, lick vs. PRP LDA

(including peak and trough) did not differ between naïve and proficient states (GLM p

values > 0.05, 20 d.f.).

Dimensionality of PRP LFP space decreases when the animal learns to

discriminate the odorants

Figure 6A shows the change in the scatter pattern of the first two principal

components (PCs) of the theta/high gamma peak PRP calculated for pooled data from

all mice as the animals learn to differentiate the two odorants for the EAPAexp1 odorant

pair. Figure 6Ai shows twenty trials of the 2D PCA spatial pattern before and during

odorant application (S+, red and S-, blue) when the mice are naïve, while Figure 6Aii

shows that the PCA patterns become clearly separable after the animals become

proficient. There appears to be a change in the geometrical arrangement of the points in

principal component space suggesting that there is a change in dimensionality as the

animal learns to differentiate the odorants (Litwin-Kumar et al., 2017).

In order to provide a more nuanced analysis of whether the spatial arrangement

of the PRP LFP data measured by the 16 electrodes changes as the animals learn to

discriminate between odorants we calculated a quantitative measure that characterizes

the dimensionality in the PRP LFP space (see methods). The 16 electrodes are closely

spaced (12.5 μm diameter electrodes in the same tetrode are directly abutted against

each other and tetrodes are separated by 100-200 μm in both preparations: exp1 with

optetrodes and exp2 with tetrodes). Because of this close proximity the extracellular

field potentials would not be expected to differ greatly (Gold et al., 2006) and therefore

the dimensionality of the PRP LFP space would be expected to be close to one.

Interestingly, when the dimensionality was calculated on a per mouse basis where the

maximum dimensionality (M) is 16 we found that the dimensionality for electrodes in

exp2 was indeed close to one, but it was significantly higher (2-4) for theta/high gamma

PRP LFP in exp1, with the highest dimensionality for PRP LFP trough (Figure S4Aii,

GLM p value < 0.001 for peak vs. trough and location, 379 d.f.). We found similar

differences in dimensionality between exp1 and exp2 for theta/beta PRP LFP, but in this

case there was not a difference between peak and trough dimensionality (Figure S4Ai,

GLM p value < 0.001 for location and >0.05 for peak vs. trough, 379 d.f.). Furthermore,

the dimensionality decreased after addition of the odorant (Figure S4A, GLM p value <

0.001 for pre-odor vs odor, for theta/beta, GLM p value < 0.05 for pre-odor vs odor, for

theta/high gamma, 379 d.f.). However, statistical analysis of dimensionality when

assayed on a per-mouse basis (Figure S4B) does not yield a significant change in

dimensionality of PRP LFP as the mouse learned to differentiate the odorant for either

theta/beta or theta/high gamma PRP LFP (GLM p value > 0.05 for proficiency, 379 d.f.).

We proceeded to analyze the dimensionality of PRP LFP space when the data

were pooled for all mice. Figure 6B shows the time course for dimensionality of the PRP

LFP space for two odorant pairs (Figure 6Bi is APEBexp1 and Figure 6Bii is

EAPAexp2). The red trace is the dimensionality for the peak PRP LFP while the blue

trace is the dimensionality for the trough PRP LFP. Because the measure of

dimensionality shown in Figure 6B was calculated for the pooled mouse data the

maximum dimensionality was the product of the number of animals x 16 electrodes (the

number of animals per odorant pair is shown in Table S1). Thus, for the pooled animal

measure of PRP LFPs the dimensionality could range from 1 to M where M is 224 for

Figure 6Bi (14 mice x 16 electrodes) and 144 for Figure 6Bii (9 mice x 16 electrodes). In

these examples, for the proficient mice there is a clear decrease in dimensionality for

peak PRP after the odorant is applied (as indicated by the horizontal black bar), and the

dimensionality in the presence of the odorant appears to change when the animal

becomes proficient. Figure 6C summarizes theta/beta (Figure 6Ci) and theta/high

gamma (Figure 6Cii) peak/trough pooled mouse PRP LFP dimensionality estimates for

all odorant pairs. Both exp1 and exp2 were included in these plots where we normalized

the dimensionality to the dimensionality before addition of the odorant. There is a clear

decrease in dimensionality after odorant addition for proficient mice for theta/beta PRP

(both peak and trough), and for high gamma/theta peak PRP. For theta/beta PRP

dimensionality the GLM yielded significant changes for time course and for the

interaction of time course x learning ($p < 0.001$, 1696 d.f.), but there were no differences

for peak vs. trough ($p > 0.05$). For theta/high gamma the GLM yielded significant

changes for peak vs trough ($p<0.001$), learning ($p < 0.01$) and for the interaction of peak

vs. trough x learning x time course ($p < 0.001$, 1696 d.f.). Therefore, for the pooled

animal PRP LFP space there is a decrease in dimensionality as the animal learns to

discriminate between odorants. This is evidence for a learned tightening of

dimensionality for the odor representation manifold.

DISCUSSION

We asked whether information carried by high frequency oscillations in brain

regions involved in early sensory processing changes when observed at different

phases of theta frequency oscillations. Specifically, we asked whether information on

odorant identity conveyed by LFP power of high gamma and beta frequency oscillations

of the OB differs when the downstream observer filters the input through different theta

LFP phase windows. We focused on the OB where it has been suggested that

amplitude modulation may be used by higher-order processing centers such as PC to

decode stimulus identity (Freeman and Schneider, 1982; Heck et al., 2019). We found

that identity could not be decoded from beta or high gamma OB LFP power when the

mouse was naïve to the identity of the reinforced odorant – at the start of the session

where the animal is learning to discriminate odorants in the go-no go task (left panels in

Figure 4B). However, the identity of the odorant could be decoded from the PRP after

the animal learned to discriminate between the odorants (right panels in Figure 4B).

Furthermore, decoding was more accurate when the high gamma LFP power was

observed at the peak of the theta oscillation, but it was similar at both the peak and

trough phases for beta LFP oscillations. Linear discriminant analysis correctly identified

the stimulus with a time course for decision making similar to the behavioral readout

(licking on the water-delivery spout, Figure 5). Thus, stimulus representation by theta

phase-referenced beta and high gamma oscillations of the OB evolves over the course

of learning. To our knowledge this is the first demonstration that decoding of stimulus

identity from theta phase-referenced high gamma oscillations, reflecting synchronized

neuronal firing, changes with learning in brain areas involved in early sensory

processing.

The importance of PAC in information processing is based on the notion that

different information is carried at different phases of the slow carrier oscillation. This

discretization or "chunking" of information in oscillatory phases is a potential mechanism

for encoding short-term memories (Lisman and Idiart, 1995) and more broadly as a

neural communication protocol known as the theta-gamma neural code (Hopfield, 1995;

Lisman and Jensen, 2013). In the hippocampus, circuit activation at theta frequency

was discovered to be essential for long-term potentiation in the rabbit hippocampus

(Bliss and Lomo, 1973), arguing that neural information transfer may go beyond mean

rate codes and involve spike timing with respect to oscillatory timekeepers in the brain.

Subsequent studies indicated that temporal windows set by the theta cycles allow for

local circuit interactions and thus a considerable degree of computational independence

in subdivisions of the entorhinal cortex-hippocampal loop (Mizuseki et al., 2009). For

hippocampal CA1, it was hypothesized that theta partitions processes related to the

encoding of new information versus the retrieval of stored information (Hasselmo et al.,

2002). Indeed, optogenetic recruitment of fast spiking inhibition in CA1 delivered at

specific theta phases altered the behavioral performance of mice undergoing a spatial

navigation task (Siegle and Wilson, 2014). When the mice were encoding a location,

triggering of inhibition of CA1 at theta peaks improved performance for memory

encoding, whereas inhibition at theta troughs improved performance during the retrieval

phase. Recently, age related cognitive decline in working memory in humans was

reversed temporarily via transcranial alternating-current stimulation frequency-tuned to

the endogenous theta peak frequency of each individual's frontotemporal network

(Reinhart and Nguyen, 2019). Evidence therein and elsewhere supports the hypothesis

that working memory is undergirded by theta-gamma PAC and that inter-regional

communication is facilitated by theta phase coherence (Daume et al., 2017; Reinhart

and Nguyen, 2019). Thus, the theta LFP which has been cited as one of the most

prominent, global oscillations in the brain acts as a timekeeper (Siegle and Wilson,

2014) that is coherent across numerous cortical and subcortical structures arguing for

its role in transfer of discrete chunks of information (Buzsaki, 2002).

Here we asked whether odor identity information was carried at different phases

of theta. Our study of PAC in the OB involved learning of odor discrimination in the go-

no go task. In orbitofrontal cortex, Van Wingerden *et al.* (2014) showed that the

olfactory go no-go task elicited strongest theta/gamma PAC during the odor sampling

period preceding correct decision-making, which they attributed to temporal

coordination between cell assemblies responsible for stimulus representation and

reward association. The circuit in the OB is characterized by beta and gamma

oscillations nested in a slower theta carrier wave pertaining to respiration (Heck et al.,

2019; Kay, 2005; Kepecs et al., 2006; Rebello et al., 2014; Rojas-Libano et al., 2014).

However, Granger directionality analysis shows that the theta oscillation in the OB is

also influenced by hippocampal slow oscillations indicating that the origin of OB slow

carrier oscillations is complex, involving both respiration and centrifugal input from

downstream brain regions whose relative contribution likely changes under different

behavioral states (Nguyen Chi et al., 2016). Cyclical activation of OSNs through

breathing/sniffing (Adrian, 1950; Bressler, 1988; Rosero and Aylwin, 2011; Zhuang et

al., 2019) provides input to mitral/tufted (M/T) cells, and coordinated activity by M/T cells

in the OB caused by mitral cell-granule cell reciprocal dendrodendritic interactions

augmented by gap junctions establishes gamma oscillations (Arnson and Strowbridge,

2017; Osinski and Kay, 2016; Pouille and Schoppa, 2018; Schoppa, 2006; Stopfer et

al., 1997)—see review by Heck *et al.*, 2019. Gamma LFP is thought to represent the

stimulus identity (Bathellier et al., 2006; Beshel et al., 2007; Li and Cleland, 2017;

Rojas-Libano and Kay, 2008) and beta LFP oscillations (15-35 Hz) have been linked to

learned reward (Martin et al., 2006). However, the classification of these two

bandwidths as carrying learning vs. odor identity information is not always appropriate,

and Frederick et al. (2016) proposed that they represent different cognitive states that

vary depending on particular behavioral demands. PAC is present in the OB (Rojas-

Libano et al., 2014) and it may be of great albeit relatively unexplored utility. We

contributed to the understanding of which information is carried by different frequency

oscillations by asking whether the high gamma and beta oscillations carry different

information when they are observed within different phase windows of the theta carrier

wave (Figure 4). We hypothesized that PAC may be used to convey olfactory stimulus

information to theta phase-synchronized downstream regions like PC (Kepecs et al.,

2006; Lisman and Buzsaki, 2008).

We demonstrate that PAC changes in the OB during olfactory discrimination

associative learning (Figure 2). This phenomenon is not static, with coupling strength

(quantified by the modulation index) increasing for the rewarded stimuli and peak angle

variance increasing for the unrewarded odorants as the animal learns. The theta phase-

referenced PRP of the high gamma and beta LFPs also follows this trend (Figure 3),

increasing for S+ and decreasing with S- over learning. The changes in PRP correlating

with learning may reflect contrast enhancement that facilitates the discrimination

between similar stimuli by a downstream observer. Neuronal adaptation to facilitate

contrast enhancement has been shown at the level of the olfactory receptor neurons

(Haney et al., 2018), the glomerular layer (Cavarretta et al., 2016), and the granule cell

layer (Adams et al., 2019) of the OB. We speculate that the increase in PRP for S+

(concurrent with decrease in PRP for S-) reflects adaptational mechanisms in the OB to

enhance contrast between similar stimuli with different associated values.

In order to quantify whether these changes in PAC increased the ability to

discriminate between odorants using PRP we asked whether the stimulus that was

delivered could be decoded from PRP data. Previous investigations have decoded

olfactory stimuli from spike counts aligned to sniffing in the mouse (Cury and Uchida,

2010; Gschwend et al., 2012), in the antennal lobe of the locust (Stopfer and Laurent,

1999), and mitral cell neural activity assayed through calcium transients in mice (Chu et

al., 2016), but trial classification via PAC remains unexplored. In this work, we

performed linear discriminant analysis on PRP data to determine whether a single

hyperplane could differentiate between stimuli as the animals learned the discrimination

task. Figure 4B indicates that the identity of the stimuli cannot be decoded from PRP

recorded in the naïve mice that start learning to discriminate the odorant, but that the

stimuli can be decoded when the mice learn to discriminate the odorants. Furthermore,

decoding the stimuli from peak high gamma PRP is significantly better than trough PRP,

and decoding does not differ between peak and trough for beta PRP. Thus, decoding of

the odorant identity by downstream observer using a phase window for the slow carrier

oscillation would be able to detect the identity of the odorants after the animal learns to

differentiate between odors in the go-no go task. Finally, this study contributes to the

mounting evidence that the OB is an important locus for learning-related activity

changes (Abraham et al., 2014; Chu et al., 2016; Doucette et al., 2011; Doucette and

Restrepo, 2008; Koldaeva et al., 2019; Li et al., 2015).

We wondered how timing for decision-making would relate comparing stimulus

decoding with PRP with decision-making estimated from the behavioral readout of the

olfactory-driven decision in the go no-go task (differential licking between the two

odorants). The time course for the behavioral decision, reflected in the graph of p value

for differential licks, is overlaid by the p value time course for peak PRP decoding

(Figure 5C, right) with trough PRP lagging behind. Neither beta nor high gamma peak

PRP p values are discriminable from lick p values in terms of decision time in this task.

As shown in Figure 5D, for proficient animals licking differs between odorants within

about 0.5 seconds from stimulus onset, at the same time that PRP decoding becomes

significant. This is slower than discrimination times for odorant responses recorded in

mice performing two alternative forced choice odorant discrimination or receiving odors

passively where discrimination takes place within the first respiratory cycle (~250 ms)

after stimulus onset (Cury and Uchida, 2010; Shusterman et al, 2011, Short and

Wachowiak, 2019). However, the 0.5 sec decision-making time is consistent with

studies with the go-no go task where there is not a strong motivation for the animal to

stop licking for the unrewarded odorant (Abraham et al., 2004; Doucette and Restrepo,

2008; Jordan et al., 2018; Rinberg et al., 2006)—with the exception of rapid detection of

optogenetic activation of glomerular input in the go no-go task (Smear et al., 2011).

Thus, we conclude that PRP is an accurate reflection of decision-making in olfactory

discrimination.

Finally, we turn to the issue of dimensionality. First, the measurements in the

experiments presented here are inherently low dimensional because: 1) The animal is

discriminating between two odors, and the behavior is constrained by the go-no go task

(Gao et al., 2017) and 2) While the number of independent degrees of freedom for

inputs to the OB is potentially large (~2000 glomeruli), the four tetrodes are close

together and they should sample a small subset of these input dimensions. Indeed,

because the tetrodes are within 200 μm of each other (and electrodes are adjacent

within tetrodes), the LFP recorded from each electrode is likely to be similar, assuming

that the cellular milieu around the electrodes does not separate the inputs (Gold et al.,

2006). Interestingly, the dimensionality measured from the 16 electrodes (four tetrodes)

varies between 1 and 6 suggesting that subsets of electrodes are detecting different

signals (Figure S6 B and C). However, as expected, when the mice learn to discriminate

the dimensionality decreases in the presence of the odorant (Figures 6 and S6).

Furthermore, the dimensionality does not decrease for high gamma trough PRP. This

likely reflects experience-dependent, selective attention (Cañas and Jones, 2010; Niv et

al., 2015). In the naïve state, relevant (rewarded) dimensions are unknown to the

mouse. After learning to lick the waterspout for a certain time in the presence of an

odorant stimulus in the training sessions, they lick indiscriminately for both S+ and S-

trial after trial. However, reward prediction error accumulates when they are repeatedly

unrewarded for working (licking) for S-. Attention, guided by this reward prediction error,

biases the learning by constraining it to the relevant dimensions (Leong et al., 2017)—in

this task, odor identity.

The changes in signal processing elicited by learning, habituation, and passive

odorant exposure in the OB, the earliest processing center in the olfactory system, are

remarkable. Why is processing in this circuit so plastic and influenced by centrifugal

input from downstream targets, such as PC, and from a variety of neuromodulatory

centers (Gire et al., 2013a; Linster and Cleland, 2016)? Likely the reason is that this

processing center receives massive parallel glomerular input and projects MC output of

high dimensionality to downstream brain processing areas (Chae et al., 2019).

Furthermore, unsupervised learning modifying cellular interactions in the bulb would

increase dimensionality (Hiratani and Latham, 2019). Therefore, odors must be

identified in the midst of a high dimensionality cocktail party effect (Rokni et al., 2014).

Based on the data presented here showing a large increase in the accuracy for

decoding of odor identity from the PRP as the animal learns to differentiate the odorants

we speculate that when a subset of the degrees of freedom of the sensory input

become behaviorally relevant, centrifugal feedback elicits supervised learning that

results in a decrease in dimensionality of the output from the OB to downstream brain

areas. This would result in more accurate discrimination of the input channels that are

relevant to the current task. Whether (and how) supervised learning-induced OB circuit

plasticity results in a decrease in dimensionality of the output remains to be determined.

Acknowledgments: We thank Nicole Arevalo for animal husbandry, Dnate' Baxter for

laboratory support, and Arianna Gentile-Polese for immunohistochemical assays. This

research was supported by NIDCD 1F31DC016483-01A1 to J.L. and NIDCD

5R01DC000566-30 to D.R.

Author contributions: J.L., D.R-G., and D.R. designed all experiments, while J.L. and

D.R-G. performed them. J.L. and D.R. set up the Intan recording system for LFP data.

D.R. wrote all programs for data collection and analysis. J.G. shared code with D.R.

regarding dimensionality and influenced the development of the dimensionality work.

J.L., D.R-G., and D.R. analyzed the data. J.L. and D.R. generated the figures. All

authors discussed the results. J.L. and D.R. wrote the manuscript, and all authors

participated in commentary and revision of the manuscript.

Declaration of interests: The authors declare no competing interests.

METHOD DETAILS

Animals

The study was performed in two sets of experiments. For the first set of experiments (exp1), DBH-eNpHR3.0 mice were used as per Ramirez-Gordillo et al., 2018. These mice express halorhodopsin under the dopamine beta hydrolase promoter (DBH-Cre eNpHR3.0) in LC-NA neurons and were produced by crossing DBH-Cre mice (032081-UCD, Mutant Mouse Resource and Research Center) with mice expressing halorhodopsin in a Cre-dependent fashion [eNpHR3.0, 129S Gt(ROSA)26Sortm39(CAG-hop/EYFP) Hze/J, Jackson labs]. C57BL/6 mice (Jackson stock number: 000664) were used for the second set of experiments (exp2). All animal procedures were performed under approval from the Institutional Animal Care and Use Committee (IACUC) at the University of Colorado Anschutz Medical Campus under guidelines from the National Institutes of Health. The number of mice used in each experiment is shown in Table S1.

(Op)tetrode implantation

Surgery was performed under approval from the Institutional Animal Care and Use Committee (IACUC) at the University of Colorado Anschutz Medical Campus, using aseptic technique. As per Li *et al.*, 2015, tetrode boards (EIB-16, Neuralynx) with optional fiber optic cannula for photostimulation (optetrodes) were populated with four tetrodes consisting of four 12.5 µm nichrome wires coated with polyimide (Sandvik RO800). For optetrode fabrication the tetrode boards received the addition of a fiber optic cannula 105 µm in diameter (Thorlabs FG105UCA) with 1.25 mm OD ceramic

ferrule (Precision Fiber Products) for coupling to a DPSS laser (473 nm, Shanghai

Laser and Optics Century). The optogenetic experiments of Ramirez-Gordillo et. al.

2018 are not included in this study. In the rest of the text we refer to the inserts as

(op)tetrode with the understanding that optetrodes were implanted for exp1 while

tetrodes were implanted for exp2. Electrode tips were electroplated to 0.2-0.4 MΩ

impedance.

Two-month-old male mice were anesthetized with 5% isoflurane in oxygen.

Intraperitoneal ketamine/xylazine (100 mg/kg and 10 mg/kg respectively) was then

administered along with 100 μl of 2% lidocaine injected subcutaneously over the skull.

After the mouse was found to be unresponsive to a toe pinch, the animal's head was

then secured in the stereotaxic apparatus (Narishige SR-5M-HT) and the skull was

leveled (≤ 50 μm difference DV between bregma and lambda). Gentamycin ophthalmic

ointment was applied to the eyes to maintain hydration. After incising the skin overlaying

the skull, the periosteum was cleared with 15% H_2O_2. A manipulator (Sutter MP-285)

was zeroed at bregma and midline and the target location for OB implantation was

marked with respect to bregma (exp1: AP +4.28 mm, ML +0.4 mm, exp2: AP +4.28 mm,

ML +0.5 mm).

A craniotomy performed at this site (Marathon III drill) exposed dura mater which

was removed prior to implantation. Another craniotomy was performed more caudally

for implantation of one ground screw (Plastics1 00-96 x 1/16). The (op)tetrode was

positioned above the craniotomy over the OB while the ground wire was wrapped

around the ground screw with the connection coated in silver paint (SPI Flash-Dry silver

conductive paint). After securing the ground screw to the skull, the (op)tetrodes were

lowered into position at the rate of 1 mm/minute (exp1: AP +4.28 mm, ML +0.4 mm, DV

0.53 mm, exp2: AP +4.28 mm, ML +0.5 mm, DV 1.0 mm). After reaching the target

depth, the optetrode was adhered to the skull with C&B Metabond, followed by Teets

'Cold Cure' dental cement. After curing (10 minutes), the (op)tetrode was detached from

the manipulator, the animal was removed from the stereotax and received

subcutaneous injections of carprofen (10 mg/kg) and buprenorphine (0.05 mg/kg) and

recovered on a heating pad kept at 37°C. The mice were monitored daily and received

additional carprofen injections daily for the first two days postoperatively.

Go-no go behavioral task

Mice were water deprived until they reached 80% normal body mass. Then they

were placed into the Slotnick olfactometer (Bodyak and Slotnick, 1999; Li et al., 2015)

chamber where they could move freely. All mice were first trained to lick the water spout

to obtain water in the presence of odor (1% isoamyl acetate in mineral oil, v/v) in the

"begin" task (Slotnick and Restrepo, 2005), then to lick for 1% isoamyl acetate (S+)

versus mineral oil (S-) in the "go no-go" task (Doucette et al., 2011; Li et al., 2015). Mice

self-initiated trials by poking their head into the odor delivery port, breaking a

photodiode beam (Figure 1A). During odor delivery (lasting 2.5 seconds) they must lick

a water delivery spout at least once during each of four 0.5 second-long response areas

in order to register the decision as a lick (Figure 1B), detected as electrical connectivity

between the water spout and the ground plate on which they stand (Slotnick and

Restrepo, 2005). If the mice licked during a rewarded odorant trial, they received ~10 μl

water reinforcement (Figure 1C). The mice learn to refrain from licking for the

unrewarded odorant due to the unrewarded effort of sustained licking. Performance was

evaluated in blocks of 20 trials, with 10 S+ and 10 S- trials presented at random.

Animals performed as many as 10 blocks per session. Sessions were terminated when

animals demonstrated satiety/disengagement from the task or when they performed at

or above 80% correct discrimination in three or more blocks in a session. Once criterion

was reached, the next session had reversed valence, meaning that the previous S+ was

S- and the previous S- was S+. This reversal disambiguates the identity of the odor from

its valence (reversed session data are not shown). Data were analyzed within two

performance windows: when the animal was performing below 65% (naïve) or above

80% (proficient). The data were also analyzed in the 65-80% performing window, and

the results fell between the naïve and proficient windows (data not shown).

Odor stimulus delivery time was measured with a photoionization detector

(miniPID, Aurora Scientific). The time difference between valve opening and detection

of odor at the odor port was between 66 – 133 ms, depending on which olfactometer

was used.

Neural recording

Extracellular potentials from the four tetrodes were captured and digitized at 20

kHz on the RHD2216 amplifier of the Intan RHD2000 Evaluation System with a 1-750

Hz bandpass filter. Information on behavioral events (valve times, mouse presence at

the odor port) was sent through a digital line from the Slotnick olfactometer to the Intan

board. Licks detected by the Slotnick olfactometer were recorded as an analog signal by

the Intan board.

PAC

PAC data were processed using the Hilbert transform method described by Tort

et al., 2010. Briefly, data were bandpass filtered with a 20th order Butterworth filter using

Matlab's filtfilt function with zero phase shift to extract LFP in the low frequency

oscillation used for phase estimation (theta, 2-14 Hz, Figure 1Diii) and the high

frequency oscillation used for estimation of the amplitude of the envelope (either beta,

15-30 Hz, or high gamma, 65-95 Hz, Figure 1v). Hilbert transform established the theta

phase (Figure 1Div) and, separately, the envelope for beta or high gamma (red line in

Figure 1Dv). A plot of the distribution of beta/high gamma amplitude in 51 bins of the

phase of theta (PAC) was plotted to gauge the strength of PAC (Figure 1E). To quantify

the strength of PAC we calculated the MI estimating the KL distance to quantify the

difference between the observed beta/high gamma amplitude distribution along the

phase of theta from a uniform distribution. If PAC is non-existent, MI = 0, meaning the

mean amplitude is distributed uniformly over theta phases, and if PAC is a delta function

MI = 1. MI for signals measured in brain areas such as the hippocampus typically fall

between 0-0.03 (Tort et al., 2010).

Phase-referenced LFP power

Beyond the mere existence of PAC that has been documented in numerous brain

regions, we sought to evaluate whether the information carried by beta or high gamma

power at different theta phases could be used to discriminate between olfactory stimuli.

To accomplish this analysis, we developed the PRP approach using custom Matlab

code. Briefly, PAC was calculated using the approach documented by Tort *et al.*, 2010,

as described above and summarized in Figure 1. Peak and trough theta phases are

defined as the phase for maxima and minima of the PAC distribution measured for the

S+ trials (red plot in Figure 2C). A continuous Morlet wavelet transform was used to

estimate the power for the high frequency oscillations. PRP was estimated as the power

of the high frequency oscillations (beta or high gamma) measured at the peak or trough

of PAC (Figure 4). The Matlab code used for data analysis has been deposited to

https://github.com/restrepd.

Statistical analysis

Statistical analysis was performed in Matlab. PAC parameters and PRP were

calculated separately per electrode (16 electrodes per mouse) for all electrodes per

mouse. Statistical significance for changes in measured parameters for factors such as

learning and odorant identity was estimated using a GLM, with post-hoc tests for all

data pairs corrected for multiple comparisons using false discovery rate (Curran-Everett,

2000). The post hoc comparisons between pairs of data were performed either with a t-

test, or a ranksum test, depending on the result of an Anderson-Darling test of

normality. 95% confidence intervals (CIs) shown in the figures as vertical black lines

were estimated by bootstrap analysis of the mean by sampling with replacement 1000

times.

PAC Simulation

To validate our analysis of PAC, we performed simulations with uncoupled

oscillations (no PAC) with a low frequency cosine oscillation at 8 Hz and a high

frequency cosine oscillation at 40 Hz (Figure S1A) or with coupled oscillations where

high frequency bursts took place at 180 degrees for the low frequency cosine oscillation

(Figure S1B). The bursts were generated by a cosine oscillation at the high frequency

multiplied by gaussians with a full width half maximum of (1/8 Hz)/2 centered at 180

degrees of the low frequency cosine wave. Top panels in both S1A and S1B are

pseudocolor Morlet wavelet power time courses, while the bottom panels show the PRP

of the 40 Hz oscillation. For the case with no PAC (Figure S1A), peak and trough PRP

are equal. However, for the PAC example (Figure S1B) the peak PRP is significantly

higher than trough PRP.

Linear discriminant analysis

Classification of trials using PRP was accomplished via LDA in Matlab whereby

PRP for every trial except one were used to train the LDA, and the missing trial was

classified by its fit into the pre-existing dataset. This was repeated for all trials and was

performed separately for peak and trough PRP, and for analysis where the identity of

the odorants was shuffled.

Dimensionality

Following Litwin-Kumar et al. (2017) we defined the dimension of the system

(dim) with *M* inputs as the square of the sum of the eigenvalues of the covariance matrix

of the measured PRP LFP (*pLFP*) divided by the sum of each eigenvalue squared:

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$$\dim(pLFP) = \left(\sum_{i=1}^{M} \lambda_i\right)^2 \bigg/ \left(\sum_{i=1}^{M} \lambda_i^2\right)$$

where λ_i are the eigenvalues of the covariance matrix of *pLFP* computed over the

distribution of PRP LFP signals measured in the OB. If the components of *pLFP* are

independent and have the same variance, all the eigenvalues are equal and dim(*pLFP*)

= *M*. Conversely, if the *pLFP* components are correlated so that the data points are

distributed equally in each dimension of an *m*-dimensional subspace of the full *M*-

dimensional space, only *m* eigenvalues will be nonzero and dim(*pLFP*) = *m*.

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Figure 1. Behavioral task and PAC analysis.

(A) Behavioral apparatus. Mouse self-initiates trials by breaking a photodiode beam.

Odorants and water are delivered according to the timeline in B and the decision tree in

C.

(B) Timeline for a single trial. When the animal enters the port the air flow is diverted by

turning the final valve output to the exhaust and the odorant valve is turned on. At this

time the odor builds up to steady-state concentration flowing away from the animal for

1-1.5 seconds. At time 0 the final valve air flow is turned towards the odor port for 2.5 s

resulting in odor onset 100 ms after the valve is actuated. In order to trigger water

reward for the rewarded odorant the mouse must lick at least once during each 0.5 s

block for four blocks in the response area. If the stimulus is rewarded and the animal

licked appropriately, a water reward is delivered.

(C) Decision tree for each stimulus. Green check mark means the correct decision was

made. Red "X" mark indicates an incorrect decision. Water reward is represented by the

water droplet symbol in the case of a hit.

(D) PAC data analysis of the LFP. For each electrode, raw signal collected at 20 kHz (i)

is bandpass filtered to 1-100 Hz for broadband LFP (ii) or filtered into different frequency

bands as needed (e.g. theta 6-14 Hz in iii or high gamma 65-95 Hz in v, blue line).

Hilbert transform is used to calculate the theta phase (iv) and the amplitude envelope of

higher oscillations such as high gamma (65-95 Hz) (red line in v). Theta phase and the

envelope of the amplitude of high gamma are then used to calculate the probability for

high gamma amplitude at specific phases of theta (PAC, shown in E).

(E) Probability for high gamma amplitude at specific phases of theta. In this example,

PAC is strongest at ~100° and 270°. The coupling strength is quantified by the

modulation index, MI. Peak phase (the phase of high theta with the highest amplitude in

high gamma) is indicated with a red line and trough phase is indicated with a blue line.

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1128 Figure 2. The peak angle variance of PAC changes as the animal learns to

discriminate odorants.

(A) Pseudocolor plots showing the phase amplitude relationship for S+ (left) and S-
(right) for an example go no-go session. PAC between theta (6-14 Hz) phase and high
gamma (65-95 Hz) amplitude was more variable for S- compared to S+.

(B) Behavioral performance for this session. Over 100 trials, this trained animal
discriminated between isoamyl acetate and acetophenone with 95-100% accuracy.

(C) Theta phase distribution for high gamma amplitude (mean $\pm$ CI) for S+ (red) and S-
(blue).

(D) Mean theta LFP (mean $\pm$ CI) for one cycle for S+ (red) and S- (blue).

(E) MI per trial for S+ (red) and S- (blue) as a function of trial number. The difference in
MI between S+ and S- is statistically significant (ranksum p value < 0.001, n=50 S-
trials, 49 S+ trials).

(F) Polar histograms for high gamma peak theta angles for S+ (red) and S- (blue).

(G) Cumulative histograms showing the differences in mean MI (i,iii) and peak angle
variance (ii,iv) for theta/beta PAC (i,ii) and theta/high gamma PAC (iii,iv) for the APEB
odorant in location 1 for the S+ and S- odorants for naïve and proficient trial ranges.
Peak angle variance for S+ displays a substantial decrease with learning, while mean
MI shows small changes. Changes with learning and between events for mean MI and
peak angle variance are statistically significant (GLM p value < 0.001, 896 d.f. for
theta/beta and theta/high gamma, 16 electrodes per mouse, 14 mice). Blue and red
arrows in Gi are MIs derived from single-session example in C.

(H) Bar graph displaying differences in mean MI (i,iii) and peak angle variance (ii,iv)

between naïve and proficient trial ranges and S+ and S- events for all sessions for all

the odorants. For all odorants GLM yielded significant p values (< 0.001) for both mean

MI and peak angle variance. These parameters are shown for theta/beta (i,ii) and

theta/high gamma PAC (iii,iv). GLM analysis p value was <0.001, for event, proficiency

and location, with the exception that p < 0.01 for proficiency for theta/high gamma, 3255

d.f., number of mice is in Table S1. Asterisks denote a significant post-hoc difference

evaluated with either a t-test or a ranksum test (p < pFDR, for the number of mice per

odorant, see Table S1). Changes in mean MI were variable for different odorants. MI

displays differences between events and learning states that differ in magnitude

between odorant pairs. Peak angle variance (at right) increases with task proficiency for

S- and decreases for S+ for all odorants.

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Figure 3. PRP increases for S+ and decreases for S- over learning.

(A) Example wavelet broadband LFP spectrogram for one S+ trial. Right: Pseudocolor

scale for LFP power (in dB). Black bar represents the odor stimulation epoch (2.5 s). Ai:

Full trial length demonstrating pre-odor baseline LFP, odor driven LFP, and reward

epoch. Aii: One-second epoch during odor stimulation.

(B) Bi: Theta waveform (6-14 Hz) during odor stimulation. Bii: High theta phase,

extracted with Hilbert transform.

(C) Ci: Single trial wavelet power referenced to either peak (108°, red) or trough (0°,

blue) of the high gamma amplitude distribution along the theta phase. Cii: PRP (± CI)

averaged across the entire session for S+ and S-. Peak PRP for S+ displays the largest

odor-induced increase in power that is sustained after the end of odor stimulation.

(D) The thin lines are cumulative histograms for PRP for the LFP recorded in each

electrode averaged for theta/high gamma for all proficient or naïve trials for mice

performing to differentiate odorants in the IAAPexp2 odorant pair. As the animals learn

the task, peak PRP (top panels) increases for S+ and decreases for S-, whereas trough

PRP (bottom panels) is relatively stable. The differences were statistically significant

between peak and trough, S+ and S- and naïve and proficient (GLM p values < 0.001,

1016 d.f.). Circles are per mouse averages for PRP.

(E-F) Odor-induced changes in high gamma PRP averages for all odorant pairs

evaluated per electrode during the odor delivery epoch (0.5 – 2.5 s). Peak PRP (E)

increases for S+ and decreases for S- when the mice become proficient. Trough PRP

changes (F) are markedly weaker, yet they follow a similar trend (GLM p value < 0.001,

6386 d.f. for S+ vs. S-, peak vs. trough, naïve vs. proficient). In addition, the learning-

induced changes in PRP are larger in location 2 (GLM p value < 0.001 for location, 6386

d.f.).

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Figure 4. Linear discriminant analysis classifies stimuli using peak PRP.

(A-B) PCA (in panel A) and linear discriminant analysis (LDA; in panel B) of averaged

PRP data for one example odorant pair, APEBexp1.

(A) PCA of PRP data from naïve to proficient—theta/beta on top, theta/high gamma on

bottom. Both PRPs for S+ and S- are discriminable with learning.

(B) LDA of PRP data over learning, with theta/beta on top, theta/high gamma on bottom.

In the proficient state, LDA can decode stimulus identity using both PRPs (theta/beta

PRP GLM naïve-proficient p value < 0.001, 104 d.f.; theta/high gamma PRP GLM

naïve-proficient p value < 0.001, 104 d.f.). Peak and trough carry similar information for

theta/beta; both peak- and trough-referenced beta power can be used to decode

1211 stimulus identity with learning. In theta/high gamma, peak PRP is significantly better at

1212 decoding the stimulus identity than trough PRP (GLM p value < 0.001, 104 d.f.), which

1213 is still better than shuffled data.

1214 (C) Area under the curve (AUC) for peak versus trough PRP over task learning for all

1215 odorant pairs and locations. Top: Theta/beta PRP. Bottom: Theta/high gamma PRP.

1216 Generalized linear model statistics show that peak AUC is significantly higher than

1217 trough (p value < 0.001 for 5/6 odorant pairs) and that there are significant changes

1218 over learning (p value < 0.001 for 5/6 odorant pairs). These data suggest that peak PRP

1219 decodes stimulus identity better than trough PRP.

1220 (D) Average peak AUC ($\pm$CI) for all odorant pairs for theta/beta and theta/high gamma

1221 PRP for the two experimental settings. The learning-induced changes in PRP are larger

1222 in exp2 (GLM p value < 0.001 for location, 6386 d.f.).

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Figure 5. Decision making time does not differ between licks and peak PRP LDA

decoding of odorant identity

(A) Lick time course for 25 S+ trials in one session of EAPAexp2 for one proficient

mouse. Horizontal black bar indicates odor delivery epoch for all time course subpanels.

(B) Lick time course for 25 S- trials in the same session as in A. Mouse refrains from

licking in the presence of the unrewarded odorant.

(C) Decoding performance and decision making time for licks and for PRP LDA using

data pooled for all mice performing odorant discrimination with the EAPAexp2 odorant

pair. Left: LDA decoding performance appears to be faster and more accurate using

peak PRP (top) vs. trough PRP (bottom). Right: p value for the ranksum test compares

the time-course for decision-making using licks for S+ vs. S- (green) or LDA prediction

of odorant identity with peak (red) or trough (blue) PRP. This example illustrates that

that peak PRP LDA prediction and lick divergence perform similarly, while LDA

prediction with trough PRP is significantly slower in stimulus decoding. Horizontal red

line indicates p = 0.05.

1251 (D) Decision times for licks and for pooled animal peak theta/beta and theta/high

1252 gamma PRP LDA in naïve (left) and proficient (right) mice. Decision times were

1253 significantly reduced with task learning for theta/beta PRP LDA, theta/high gamma PRP

1254 LDA and licks (GLM p values < 0.001, 27 d.f.). Lick vs. PRP LDA (including peak and

1255 trough) did not differ between naïve and proficient states (GLM p values > 0.05, 20 d.f.).

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Figure 6. Dimensionality changes with learning and during the time course of the trial.

(A) Scatter plot showing the first two principal components for twenty trials of a PCA performed with peak theta/high gamma PRP recorded in mice learning to differentiate the odorants in the EAPAexp1 odorant pair calculated for pooled animal data. The input to the PCA was the peak PRP recorded in 16 electrodes. Principal components are

intermingled between S+ (red) and S- (blue) trials in the naïve state (left) in pre-odor

and odor-delivery epochs, yet they separate during odor delivery upon task acquisition

(right). i. Naïve animals. ii. Proficient animals.

(B) Examples of the within-trial time course for theta/high gamma dimensionality

calculated for pooled animal peak (red) and trough (blue) PRP LFP for mice learning to

differentiate the odorants in the APEBexp1 (i) and EAPAexp2 (ii) odorant pairs. The

dimensionality of peak PRP in the presence of the odorant decreases when the animal

becomes proficient.

(C) Within-trial peak (red) and trough (blue) PRP dimensionality time course for data

pooled across mice and experiments 1 and 2, normalized to pre-trial dimensionality. (i)

Theta/beta PRP dimensionality did not differ between peak and trough yet decreased

significantly during odorant delivery with learning. For theta/beta PRP dimensionality the

GLM yielded significant changes for time course and for the interaction of time course x

learning ($p < 0.001$, 1696 d.f.), but there were no differences for peak vs. trough

($p>0.05$). (ii) Peak theta/high gamma PRP dimensionality decreased significantly during

the odorant delivery epoch in proficient animals in both experiments. Peak PRP

dimensionality was significantly smaller than trough. For theta/high gamma the GLM

yielded significant changes for peak vs trough ($p < 0.001$), learning ($p < 0.01$) and for

the interaction of peak vs. trough x learning x time course ($p < 0.001$, 1696 d.f.).

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SUPPLEMENTAL INFORMATION

Experiment	Device implanted	Recording coordinates	Odor pair	Odor name	Concentration (v/v)	Number of mice
			APEBexp1	Acetophenone	1%	
				Ethyl benzoate	1%	14
			EAPAexp1	Ethyl acetate	0.1% EA	
				Propyl acetate	0.05% EA + 0.05% PA	11
Exp1	Optetrodes	+4.25 mm AP, +0.4 mm ML, -0.53 mm DV	IAMOexp1	Isoamyl acetate	1%	
				Mineral oil	100%	9
			EAPAexp2	Ethyl acetate	1%	
				Propyl acetate	1%	9
			IAAPexp2	Isoamyl acetate	1%	
				Acetophenone	1%	6
			IAMOexp2	Isoamyl acetate	1%	
Exp2	Tetrodes	+4.28 mm AP, +0.5 mm ML, -1.0 mm DV		Mineral oil	100%	4

Table S1. Odorant pairs and electrode locations

Odorant identities for each pair are listed along with concentrations represented as volume/volume dilutions in mineral oil. In the case of EAPAexp1, odorant A is 0.1% ethyl acetate and odorant B is a mixture of 0.05% ethyl acetate + 0.05% propyl acetate. The two recording locations (exp1 and exp2) are listed in millimeters with respect to bregma. The number of mice per odorant pair experiment (e.g. EAPAexp2) is also listed at the right.

Figure S1. Simulation: PRP wavelet analysis for theta-gamma waves with/without PAC.

LFP data were generated by sinusoids supplemented with gaussian random noise. The data was analyzed for PRP as described in the text.

(A) Example with no theta/gamma PAC generated by input of two continuous cosine waves of 8 Hz and 40 Hz. Top: Morlet wavelet spectrogram shows a continuous increase in power at the two frequencies. Bottom: peak (red) and trough (blue) PRP do not differ. MI = 0.0004.

(B) Example of theta/gamma PAC generated with input of 40 Hz gamma bursts placed at the 180° phase of an 8 Hz theta sine wave (the bursts take place at the peak of PAC). Top: Morlet wavelet spectrogram shows discrete increases of gamma power and a continuous increase in power at 8 Hz. Bottom: peak (red) PRP shows a large increase in power while trough PRP (blue) does not increase. MI = 0.01.

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Figure S2. Example of PAC changes over learning in one session.

(A) PAC for all S+ trials (left) and S- trials (middle), with high γ amplitude color bar

quantified at right.

(B) Behavioral performance. Mouse starts the session performing at chance, then

learns the task, acquiring proficiency by the end. Blue: naïve, performance ≤ 65%. Red:

proficient, performance ≥ 80%.

 (C) PAC quantified as mean MI for S+ (red) and S- (blue). With learning, PAC

increases for S+ and decreases for S-.

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Figure S3. Decision times are faster for peak PRP LFP LDA computed when data

are pooled across mice.

(A) Simulated data illustrating the time course for decoding performance for LDA for

discrimination of odor identity with: i, The area under the curve (AUC) = 0, LDA was not

able to identify the stimuli, and ii, AUC = 1, perfect identification of the stimulus after

odor application. AUC was calculated as the area under the curve during odorant

stimulation normalized to 1.

(B) AUC estimates of PRP LDA proficiency for theta/beta and theta/high gamma. The

data compare AUC values obtained for a peak PRP LDA estimated separately for each

mouse using LFP recordings from all electrodes (per mouse, light red) vs. AUC

estimates for peak PRP LDA obtained using LFP recordings from all electrodes from all

mice (pooled mice, red). Data are presented for all odorant pairs. i: Naïve mice, ii:

proficient mice. There is a significant difference between per-mouse and pooled mouse

estimates (GLM p values < 0.001, 27 d.f.), and there is no statistically significant

difference between theta/beta and theta/high gamma (GLM p values > 0.05, 27 d.f.).

(C) i. Decoding performance time course for LDA of PRP recorded with all 16 electrodes

for one mouse discriminating odorants in the EAPAexp2 odorant pair (per-mouse PRP

LFP LDA). LDA decoding precision is shown for peak (i, top) and trough (i, bottom)

PRP. ii. p value for the ranksum test yielding information on the time-course for

decision-making using licks for S+ vs. S- (green) or LDA prediction of odorant identity

with peak (red) or trough (blue) PRP.

(D) i. Decoding performance time course for LDA of PRP estimated with all electrodes

recording the LFP in nine mice discriminating odorants in the EAPAexp2 odorant pair

(pooled animal PRP LFP LDA). ii. Decision making, measured as a sustained drop of

the p value below 0.05, occurs at the same time for peak PRP and licks, while trough

PRP is significantly slower.

(E) Comparison of lick decision times between per mouse (light green) vs. pooled

mouse (dark green) p value estimates. GLM p value for the difference in lick decision

times between per-mouse vs. pooled GLM < 0.01, 20 d.f.

(F) Decision times for peak PRP decoding of stimulus identity for the per mouse (light

red) vs. pooled mouse (red) LDA for naïve (i) vs. proficient (ii) mice. Vertical black bars

represent the 95% bootstrap confidence interval of the data in each condition; they are

shifted to the left to better display the mean and individual odorant pair values. For both

theta/beta and theta/high gamma decoding of stimulus identity via peak PRP is faster

using data pooled across mice than the per mouse estimate (GLM p values < 0.001, 20

d.f.). There is no difference in decision making times between theta/beta and theta/high

gamma (GLM p values > 0.05, 20 d.f.).

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Figure S4. PRP dimensionality estimated per mouse.

(A) Example of the per mouse dimensionality time course for mice learning to

discriminate odorants for the odorant pair IAAPexp2. i: Theta/beta PRP in naïve (left)

and proficient (right) mice. ii. Theta/high gamma PRP in naïve (left) and proficient (right)

mice.

(B) Per mouse theta/beta PRP dimensionality summary for all six odorant pairs. i:

During the odor delivery epoch, ii: before odorant delivery. GLM p value < 0.001 for

location and > 0.05 for peak vs. trough, 379 d.f.

(C) Per mouse theta/high gamma PRP dimensionality summary for all six odorant

pairs. i: During the odor delivery epoch, ii: before odorant delivery. GLM p value < 0.001

for peak vs. trough and location, 379 d.f.

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