

1 **Title**

2 **From rare Copy Number Variations to biological processes in ADHD**

3

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18

19 **Abstract**

20 **Aim:** Attention-deficit/hyperactivity disorder (ADHD) is a highly heritable psychiatric disorder.

21 The objective of this study was to define ADHD-associated candidate genes, and their associated  
22 molecular modules and biological themes, based on the analysis of rare genetic variants.

23 **Methods:** We combined data from 11 published copy number variation (CNV) studies in 6176  
24 individuals with ADHD and 25026 controls and prioritized genes by applying an integrative  
25 strategy based on criteria including recurrence in ADHD individuals, absence in controls,  
26 complete coverage in copy number gains, and presence in the minimal region common to  
27 overlapping CNVs, as well as on protein-protein interactions and information from cross-species  
28 genotype-phenotype annotation.

29 **Results:** We localized 2241 eligible genes in the 1532 reported CNVs, of which we classified 432  
30 as high-priority ADHD candidate genes. The high-priority ADHD candidate genes were  
31 significantly co-expressed in the brain. A network of 66 genes was supported by ADHD-relevant  
32 phenotypes in the cross-species database. In addition, four significantly interconnected protein  
33 modules were found among the high-priority ADHD genes. A total of 26 genes were observed  
34 across all applied bioinformatic methods. Look-up in the latest genome-wide association study  
35 for ADHD showed that among those 26, *POLR3C* and *RBFOX1* were also supported by common  
36 genetic variants.

37 **Conclusions:** Integration of a stringent filtering procedure in CNV studies with suitable  
38 bioinformatics approaches can identify ADHD candidate genes at increased levels of credibility.  
39 Our pipeline provides additional insight in the molecular mechanisms underlying ADHD and  
40 allows prioritization of genes for functional validation in validated model organisms.



## 42 **Introduction**

43 Attention-deficit/hyperactivity disorder (ADHD) is one of the most common neuropsychiatric  
44 disorders, with a prevalence of 5–6% in children.(1) The disorder persists into adulthood in a  
45 significant proportion of affected individuals, resulting in a prevalence of 2.5–4.9% in adults.(2)  
46 The clinical symptoms of ADHD include age-inappropriate inattention, hyperactivity, and  
47 impulsivity.(3) Twin and adoption studies estimated a high heritability of 76% for ADHD.(3)

48 Identification of the genes implicated in ADHD and their molecular functions offers  
49 opportunities to understand the neurobiological mechanisms leading to ADHD and facilitates  
50 the development of diagnostic tools and new treatments. However, despite the high  
51 heritability, identification of ADHD risk genes has been difficult, mainly due to ADHD's complex  
52 genetic architecture.(2,4,5) To date, mainly genetic variants that frequently occur in the  
53 population have been investigated for their role in ADHD, either through studies of candidate  
54 genes or hypothesis-free genome-wide association studies (GWAS).(6,7) A recent GWAS meta-  
55 analysis identified the first 12 loci harbouring ADHD risk variants.(8) Another type of GWAS has  
56 focused on the association of rare copy number variants (CNVs) with ADHD. Such CNV GWASs  
57 have largely concentrated on rare events (primarily) observed in individuals diagnosed with  
58 ADHD. We analysed the 11 studies published to date that have detected rare CNVs in ADHD  
59 cases.(9,10,19,11–18) Those CNV GWASs implicated more than 2200 candidate genes in ADHD,  
60 though most have investigated rather limited sample sizes, and most of the CNVs were detected  
61 only in single patients. Based on the average mutation rate in-between individuals in the  
62 general population, single-patient rare findings have a high chance to be false positive; it is thus  
63 important to concentrate on repeatedly occurring copy number events.(20)

64 Data integration from various sources is an important strategy to move from genes to  
65 biologically meaningful modules. Examples for this come from publications on ADHD and  
66 related disorders.(21)(22) A publication on autism spectrum disorders showed how data  
67 integration enabled the identification of highly conserved gene clusters that improve our  
68 understanding of neuropsychiatric disorders.(21) Similarly, a recent study found a significant  
69 overlap of ADHD case CNVs with targets of the Fragile-X mental retardation protein (FMRP), a  
70 gene cluster involved in neurodevelopmental disorder risk.(22) In many cases, data integration  
71 currently takes place only across data modalities derived from studies in humans. This neglects  
72 the wealth of phenotypic information that can be derived from model organisms such as  
73 monkey, rat, mouse, zebrafish, and fruit fly.(7)

74 In this study, we surveyed and integrated data on CNVs associated with ADHD from  
75 existing publications, aiming to define robustly ADHD-associated genes, molecular modules, and  
76 biological themes underlying this disorder. We combined data from the 11 published ADHD CNV  
77 studies and applied an integrative strategy using redundancy criteria, data on protein-protein  
78 interactions, and – most innovative – employing information from cross-species genotype-  
79 phenotype annotation to prioritize candidate genes.(23,24) We classified 432 high-priority  
80 ADHD candidate genes, supported by co-expression, cross-species phenotype, and protein  
81 interaction information, with 26 genes highlighted across all approaches. Integration with data  
82 on common genetic variants showed that, amongst these 26 genes, *POLR3C* and *RBFOX1* were  
83 significantly associated with ADHD in the largest SNP-based GWAS meta-analysis to date.

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85

## 86 **Materials and Methods**

87

### 88 **Identification of genes affected by ADHD CNVs**

89 Coordinates of rare CNVs occurring in individuals diagnosed with ADHD (cases) were retrieved  
90 from 11 studies published until now (9,10,19,11–18) Discovery samples of in total 6176 ADHD  
91 cases and 25026 controls provided the bases for the analysed studies (see **Supplementary Table**  
92 **1** for study characteristics). Coordinates of 1532 CNVs were retrieved for our analysis. These  
93 were mapped to the same reference human genome (hg19) using UCSC Lift Genome  
94 Annotations; the minimal ratio of bases that must remap was set to 0.95.(25) The CNV  
95 coordinates were used to retrieve RefGene information from the UCSC MySQL database, using a  
96 Structured Query Language (SQL) query (see **Supplementary Text 1**).(26) Information retrieved  
97 included the overlap with coding sequence, transcriptional direction, the total gene and CNV  
98 size in base pairs, exact gene start/end position, and percentage of gene coding sequence (CDS)  
99 represented by the CNV (**Supplementary Table 1**, Tab 1).

100

### 101 **Selection of genes recurrently affected in ADHD CNVs**

102 Transcript variants and biotypes were extracted for each CNV through a batch NCBI nucleotide  
103 query (**Supplementary Table 2**, Tab 1 for biotypes). For gene copy-number losses, we included  
104 all those genes entirely deleted or partially truncated. We also annotated, whether the N- or C-  
105 terminal region of transcripts were affected (**Supplementary Table 2**, Tab 1). For gene copy-  
106 number gains, we considered transcripts for which both a 2kb promoter and the coding region  
107 were entirely duplicated. For overlapping CNVs, the minimal region of overlap was identified to

108 narrow down the putative region involved in ADHD. Only mRNA-coding genes affected by CNVs  
109 in at least two cases with ADHD were selected for subsequent analyses (high-priority catalogue),  
110 given interpretability and possibility to perform cluster and protein-protein interaction analyses.

111

### 112 **Co-expression network analysis**

113 The BrainSpan developmental transcriptome data set (RNA-Seq Gencode v10) was used to  
114 investigate the overrepresentation of co-expressed genes of our high priority gene-set across all  
115 brain regions and developmental time points (embryo to adult), relative to the rest of the  
116 genome.(27) The expression coefficients for each mRNA-coding gene in all time points and brain  
117 regions in the BrainSpan data set were concatenated. The co-expression correlation score was  
118 calculated for each gene-pair. Gene-pairs with correlation score  $>0.3$  were assigned to a co-  
119 expression network, each node representing a single gene and each connection representing  
120 the correlation score. The sum of the correlation scores for the investigated gene-set and for  
121 10000 random gene-sets of the same size was calculated. An enrichment score was calculated  
122 by dividing the sum of the correlation scores per gene-set by the mean of the 10000 random  
123 gene-sets. P-values were calculated by comparing how many of the 10000 correlation scores of  
124 the random gene-sets were equal or higher than those of the investigated gene-set.

125

### 126 **Integrated cross-species phenotype and protein-protein interaction network**

127 We used the Monarch Initiative cross-species phenotype database to retrieve genes associated  
128 with an ADHD-related phenotype.(28) We defined core phenotypes, by selecting terms based  
129 on attention-deficit, hyperactivity, and impulsivity (**Supplementary Table 3**). The genes

130 connected to the cross-species core phenotypes of ADHD were subsequently superimposed to  
131 inter-species BioGRID protein interaction data. The interaction plot was visualised with  
132 Cytoscape 3.4.0.(29)

133

#### 134 **Identification of enriched-protein interaction modules**

135 Networks of physical interactions in the gene-set were assessed with DAPPLE 0.17(30,31) based  
136 on InWeb data with the following parameter settings: Number of permutations: 1000 with  
137 adaptive permutation function, Plot: true, Seed File: genes. A set of 432 high-priority genes  
138 formed the input for this analysis. The modules were visualised with Cytoscape 3.4.0.(29)

139

#### 140 **Gene-based and gene-set association analyses of prioritized modules with ADHD risk**

141 We used data from the recent meta-analysis of genome-wide association studies (GWAS) of  
142 20,183 patients with ADHD and 35,191 controls as performed by the Psychiatric Genomics  
143 Consortium (PGC) ADHD Working Group and the Danish iPSYCH Initiative.(8) Details on the  
144 samples and quality control can be found in the **Supplementary Methods** and in Demontis et  
145 al..(8)

146 Gene-based association analyses were performed using the Multi-marker Analysis of GenoMic  
147 Annotation (MAGMA) software package (version 1.05; for details see **Supplementary Text**  
148 **1**).(32) Genes were considered gene-wide significant if they reached the Bonferroni correction  
149 threshold-adjusted for the number of genes tested ( $p < 0.05/26$ ).

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153

## 154 **Results**

### 155 **Identification of genes located in ADHD-associated CNVs and definition of high-priority gene-** 156 **set**

157 We extracted data from the 11 studies reporting rare CNVs in a total discovery sample of 6176  
158 ADHD cases and 25026 controls (see **Supplementary Table 1** for study characteristics).  
159 Coordinates of 1532 CNVs were retrieved, containing 2241 mRNA-coding candidate genes.

160 To identify the genes with an increased likelihood of contributing to ADHD pathology, we  
161 removed all genes duplicated with an incomplete promoter or coding sequence, or those  
162 aberrations found in controls across all studies (**Figure 1**). Genes identified in at least two rare  
163 CNVs were placed among the high-ranking candidates, due to their recurring nature. In addition,  
164 we calculated the minimal region common to overlapping CNVs, to narrow down the region of  
165 interest. Together, this resulted in a high-ranking list of 432 genes (**Supplementary Table 2,**  
166 **High-priority gene list**). The remaining 1316 genes, observed in only a single patient, were  
167 considered low-ranking (**Supplementary Table 2, Low-priority gene list**).

168

### 169 **High-priority ADHD candidate genes show increased co-expression in the brain**

170 It has been shown that proteins encoded by genes implicated in a genetically heterogeneous  
171 disorder tend to operate in common molecular pathways and processes.(22,33–36) To evaluate  
172 biological coherence of high-priority ADHD candidate genes in an unbiased way, we assessed  
173 their co-expression, a prerequisite for genes to jointly act in biological and developmental

174 processes, during the development of the most relevant tissue, the brain. We used the  
175 BrainSpan data set to test for gene co-expression and found a significant enrichment (E) of co-  
176 expressed genes in the high-priority gene-list ( $n=432$ ;  $E=1.04$ ,  $p=0.0044$ ). The low-priority genes  
177 ( $n=1316$ ;  $E=1.01$ ,  $p=0.28$ ) did not show significant co-expression enrichment.

178

### 179 **Cross-species phenotypes link a network of 66 high-priority candidate genes to ADHD core** 180 **symptoms**

181 We used the Monarch Initiative cross-species genotype–phenotype database, which contains  
182 phenotypic information from 58 species, to i) evaluate our gene prioritisation, ii) retrieve  
183 independent evidence for the relevance of our high-priority candidate gene-set for core ADHD  
184 features, and iii) identify functionally associated networks of high-priority genes with the ADHD  
185 core symptoms hyperactivity, attention-deficit, and impulsivity (for exact search terms see  
186 **Supplementary Table 3**).(28) Eighteen of the 432 high-priority ADHD CNV candidate genes were  
187 associated with cross-species terms related to attention and hyperactivity: attention-  
188 deficit/hyperactivity disorder, hyperactivity, increased vertical activity, hyperactive, and  
189 abnormally increased process quality locomotory exploration behaviour (**Figure 2**).

190 Based on the 18 genes validated by the cross-species approaches, we mined the cross-  
191 species Biological General Repository for Interaction Datasets (BioGRID) for interactors. This  
192 approach connected 48 additional genes of our high-priority catalogue to the cross-species  
193 terms (**Figure 2**).

194

195 **CNV-derived high-priority ADHD candidate genes form molecular modules implicating specific**  
196 **biological processes in ADHD pathology**

197 In addition to the cross-species approach, we also used the DAPPLE algorithm to identify  
198 significantly connected proteins among the 432 high-priority genes, based on the integration of  
199 human data from protein–protein, genetic, and pathway interactions.<sup>(30)</sup> This algorithm  
200 depicted 17 modules of connected proteins, each comprising of 2–15 ADHD high-priority  
201 candidates that directly interacted with each other (**Figure 3**). Taking both direct and indirect  
202 interactions into account, the hubs with significant connectivity contained 20 proteins (**Figure 3**  
203 and **Supplementary Table 4**). Of those, eight significant proteins were found in four direct  
204 protein-interaction modules (**Figure 3, Module 1–4**). Of those modules, ten proteins, WWOX,  
205 PPM1F, PARK2, TUBA3C, MAPK1, MYC, SEPT5, POLR2B, POLR1A, and POLR3C were found  
206 connected to cross-species core ADHD phenotypes (**Figure 2**).

207

208 **Two genes were found significantly associated with ADHD in common variant GWA studies**

209 A set of 26 genes amongst the original 432 high-priority CNV-derived ADHD candidate genes  
210 was consistently observed in all the different approaches we employed (**Figure 4, Table 1**). We  
211 performed gene-based association analyses for all 26 genes to evaluate whether these are also  
212 implicated in ADHD risk through common genetic variants, using the largest meta-analytic  
213 GWAS data for ADHD currently available ( $n=55374$ , PGC-iPSYCH ADHD working groups). Two  
214 genes were significantly associated with ADHD after correction for multiple testing. These genes  
215 were *POLR3C* ( $p= 0.000020373$ ) and *RBFOX1* ( $p= 0.00018202$ ) (see **Supplementary Table 5 and**

216 **Supplementary Figure 1**). Interestingly, the *POLR3C* gene was among the top 0.43% of the most  
217 strongly associated genes (rank 80 out of 18411).

218

## 219 **Discussion**

220 Here we present an integrated analysis of ADHD-associated CNVs identified in the first 11  
221 published studies. The limited power of the individual studies has been a crucial bottleneck in  
222 the definition of high priority ADHD candidate genes for further studies. From the 1532 CNVs  
223 described in the 11 studies, using strict criteria, we extracted 2241 mRNA-coding genes; this  
224 number is likely to contain many false positives due to the individually low occurrence of the  
225 rare CNVs (20), but the number is too large to allow each CNV to be studied in (animal) models  
226 for validation and mechanistic insights. Here, we aimed to prioritize genes linked to ADHD  
227 among the 2241 based on robustness of findings across different bioinformatics approaches  
228 used in an integrative manner and including both human and animal model-derived data. For  
229 this, we selected only those genes that were recurrently affected by CNVs in the patients and  
230 focussed on the minimal overlapping region of different CNVs in a region. Furthermore, we  
231 removed all genes that were affected by a CNV in healthy controls of other studies, and those  
232 that were only partially duplicated (lacking a full coding sequence and promoter). Those  
233 stringent criteria substantially reduced the number of candidate genes from the CNVs to one  
234 fifth of the original number. We showed that the selected 432 high-priority genes were  
235 significantly more co-expressed in the developing brain in comparison to random gene groups;  
236 this is evidence that our selection enriches for biologically coherent genes that are expressed at

237 the same time in the same tissue, a prerequisite for them to be involved in the same biological  
238 processes and cause similar phenotypes when disturbed.

239 Studies in model organisms can provide a wealth of phenotypic information, due to the  
240 high level of functional conservation across species. For ADHD, several model organisms have  
241 been shown to provide valid phenotypes. Those include monkey, rat, mouse, zebrafish, and fruit  
242 fly, where relevant phenotypes can be observed upon genetic manipulation or drug  
243 administration.(7) To identify biological processes underlying the selection of genes, we  
244 therefore took a novel approach that has not yet been applied to the field of neuropsychiatric  
245 disorders. We mined the Monarch Initiative database, which integrates genotype–phenotype  
246 relations across species, and found that 18 of the 432 high-priority genes, when manipulated in  
247 animal models, cause phenotypes that are face-valid to the ADHD core phenotypes attention-  
248 deficit, hyperactivity, and impulsivity. It is highly likely that the identified 18 genes whose  
249 protein-products form functional biological connections with genes/proteins for which detailed  
250 functional characterization, in particular information on ADHD-related phenotypes, is still  
251 lacking. We therefore retrieved direct interactors with the products of the 18 genes and  
252 identified an interconnected network of 66 proteins linked directly or indirectly to disease-  
253 relevant phenotypes (**Figure 2**). We also tested, whether our high-priority gene list itself formed  
254 protein-interaction modules with significantly interconnected proteins, which could provide us  
255 with information on biological processes. Indeed, we identified four modules comprising  
256 significantly connected proteins from our selection. Of these, the major modules, module 1 and  
257 module 4, connected to and were supported by ADHD-related phenotypes across species,  
258 showing the added value of cross-species analysis (**Figure 2**).

259           Module 1 contained two significantly linked proteins: WW-domain containing  
260 oxidoreductase (WWOX) and DNA-directed RNA polymerase I subunit RPA1 (POLR1A). WWOX,  
261 involved in autosomal recessive cerebellar ataxia-epilepsy-intellectual disability syndrome,(37)  
262 but no direct connections with ADHD are known. However, WWOX interacts with Protein  
263 phosphatase 1F (PPM1F), a Ser/Thr protein phosphatase that modulates RhoA and  
264 Ca<sup>2+</sup>/calmodulin-dependent protein kinase II pathways.(38,39) Other members of this  
265 phosphatase protein family are involved in mediating dopaminergic signalling via G-protein-  
266 coupled receptors.(40) In addition, miss-expression of PPM1F in the substantia nigra in  
267 Parkinson's patients may implicate PPM1F more directly in dopaminergic biology.(41) Dopamine  
268 signalling pathways have been found altered repeatedly in ADHD patients and form the basis for  
269 the most widely used pharmacological treatment approach.(42,43) As we can directly connect  
270 PPM1F to the cross-species term hyperactivity and indirectly link *WWOX*, *POLR1A*, *POLR2B*, and  
271 *POLR3C* to ADHD core phenotypes, we can extend the network with genes potentially regulating  
272 dopaminergic signalling (**Figure 2**). The RNA polymerase II subunits (POLR1A, POLR2B, and  
273 POLR3C) are involved in the regulation and finetuning of transcription.

274           Module 2 clusters proteins required for blood–brain barrier formation, which function in  
275 cell–cell junctions and communication. This module contains one significantly connected  
276 protein: Catenin alpha-3 (CTNNA3). This adherence junction protein, also known to be  
277 associated with ASD, likely modulates cerebral and ependymal regions through GABA-A  
278 receptor activation.(44) Tight junction protein ZO-1 (TJP1) forms the connection to the other  
279 three proteins in this module. This gene is affected by CNVs in 28 ADHD cases, being the most  
280 frequently occurring gene affected by copy number alterations in our survey of the ADHD CNV

281 studies (**Supplementary Table 1**). TJP1, together with Claudin-5 (CLDN5, affected in 12 ADHD  
282 cases) represents an important constituent of the blood–brain barrier.(45,46) Protein kinase C  
283 eta type (PRKCH) regulates TJP1.(47) Genes regulating neuronal cell adhesion are also  
284 significantly associated with ASD, schizophrenia, and bipolar disorder, raising the hypothesis  
285 that this mechanism plays a role across different neuropsychiatric disorders.(48,49) Due to the  
286 high number of ADHD cases with a CNV in this module, we postulate that cell–cell junctions play  
287 an important role in ADHD.

288         Module 3 contains two proteins that directly interact with each other: the significantly  
289 interconnected Density-regulated protein (DENR) and the Eukaryotic elongation factor 2 kinase  
290 (EEF2K), both involved in regulation and initiation of translation.(50) Regulation and initiation of  
291 translation has been linked to neuropsychiatric disorders including ADHD, through the  
292 regulation of brain-derived neurotrophic factor (BDNF).(51–53) Based on the repeated  
293 association and the described functional work, we suggest the involvement of transcriptional  
294 regulation as one of the mechanisms that modulate the ADHD risk.

295         Module 4 contains four significantly connected proteins: BIRC6, RAB15, SEPT5, and  
296 PHKB. Baculoviral IAP repeat-containing protein 6 (BIRC6 or Bruce) is an inhibitor of apoptosis  
297 involved in prostate cancer progression, but also acts in neuronal protection against  
298 apoptosis.(54,55) Ras-related protein Rab-15 (RAB15) is a direct connector of BIRC6, it plays a  
299 role in regulating synaptic vesicle membrane flow in nerve terminals.(56,57) Septin-5 (SEPT5) is  
300 involved in the binding of SNARE complexes, inhibiting synaptic vesicle exocytosis.(58) Recent  
301 studies have shown that manipulation of this gene in mice leads to altered social interaction and  
302 altered affective behaviours.(59,60) Phosphorylase b kinase regulatory subunit beta (PHKB) is

303 involved in glycogen metabolism and has been linked to neuronal plasticity.(61) Other proteins  
304 in the hub link to the cross-species phenotype term hyperactivity and attention-  
305 deficit/hyperactivity disorder: MAPK1 is directly connected, and SEPT5, PARK2, MYC, and  
306 TUBA3C are indirectly connected. They are thus prime candidates for further evaluation in  
307 functional assays.

308         While this study started from rare CNVs, we found corroborating evidence for several of  
309 the genes implicated in ADHD also in studies of common genetic variants. Among the 26 CNV-  
310 affected genes most consistently observed across the different bioinformatics approaches  
311 applied in this study, we also found *RBFOX1* and *POLR3C* to be associated with the disorder in  
312 the largest SNP-based GWAS meta-analysis to date. In a recent study of Lee et al., *RBFOX1* was  
313 discovered to be the second most pleiotropic locus of the genome-wide meta-analysis amongst  
314 eight psychiatric disorders.(62) *RBFOX1* encodes a splice regulator which is regulating several  
315 genes involved in neuronal development and which is mainly expressed in the brain.(62–65)  
316 Animal models have shown that *RBFOX1* is involved in mouse corticogenesis and aggressive  
317 behaviours.(62,64,66,67) *POLR3C* is included in a well-known small CNV located at 1q21.1,  
318 which contributes to a broad spectrum of phenotypes in addition to ADHD, including  
319 morphological features and autism spectrum disorders (ASD).(68,69) Genes listed in **Table 1**  
320 may be viewed as having, individually, the highest credibility as ADHD candidate genes. We  
321 therefore recommend to prioritize these genes in future studies searching for rare (single  
322 nucleotide) variants in ADHD and for functional characterization of gene-disease pathways.  
323 **Table 1** shows that also among these 26 genes, common biological themes are present, such as



324 transcription (already highlighted by the module analyse), mitochondria biology, mRNA  
325 metabolism, and cytoskeleton.

326 Our study should be viewed in the light of some strengths and limitations. We show that  
327 filtering based on recurrent CNVs restricted to ADHD cases in conjunction with complementary  
328 bioinformatics methods bares great potential to prioritise ADHD candidate genes. For the first  
329 time, cross-species phenotypes were used to identify candidate genes linked to ADHD core  
330 phenotypes, pointing to high-priority candidate genes. Several of those form significantly  
331 connected protein networks characterized by shared functions. The selection of genes analysed  
332 in this study is extensive, but is by no means exhaustive. First, it is important to note that by  
333 only analysing recurrent CNVs and focussing on the minimal regions of overlap amongst CNVs in  
334 the same region, genes with relevance for ADHD may be overlooked; on the other hand, the  
335 stringent evidence-based filtering holds high potential to uncover the most ADHD-relevant  
336 biological pathways. In addition, neither the surveyed CNV studies nor our study consider  
337 effects of CNVs onto the surrounding genetic landscape. A duplicated CNV translocation, for  
338 example, can have an impact on the expression of genes in the chromosomal region at the site  
339 of insertion, which alone or together with the duplicated genes can contribute to ADHD  
340 phenotypes.(70) Lastly, the criteria used for selection of CNVs were not identical across the 11  
341 source studies.

342 In conclusion, our study shows that stringent filtering in CNV studies in combination with  
343 a complementary battery of bioinformatics approaches can identify ADHD candidate genes at  
344 increased levels of credibility. We suggest that testing genes operating in the identified modules  
345 and those 26 consistently found in all approaches employed here in animal models like rat,

346 mouse, zebrafish, and fruit fly,(7) for their ability to modulate behaviour will provide further  
347 insights into the mechanistic pathways and biology of ADHD.

348

349

350 **Legends to figures**

351

352 **Figure 1. CNV processing scheme to establish high- and low-priority ADHD candidate genes.**

353 (a) Collection: CNV coordinates were extracted from 11 different studies reporting rare CNVs in  
354 ADHD cohorts (see **Supplementary Table 1**). (b) Cleaning: CNVs identified in controls and copy-  
355 number gains with an incomplete promoter and coding sequences were excluded. (c)  
356 Prioritisation: a high-priority gene list was generated by excluding genes found only in a single  
357 individual with ADHD and genes not present in the minimal overlapping region.

358

359 **Figure 2. Cross-species phenotypes link an interaction network of 66 high-priority candidate**  
360 **genes to ADHD core symptoms**

361 Of the 432 high-priority ADHD CNV candidate genes, 18 were found in the Monarch Initiative  
362 database to have a core ADHD phenotype relating to hyperactivity, attention deficit, and  
363 impulsivity. These 18 genes were used as seeds to create a BioGRID interaction network, using  
364 cross-species information on direct protein–protein interactions, genetic interactions and  
365 predicted interactions. We found a highly interconnected network with 48 secondary  
366 interactors from the high-priority gene list. Dashed lines show whether a connection was found  
367 once (·) or multiple times (--) in the BioGRID database.

368

369 **Figure 3. DAPPLE interaction network identifies four molecular modules with significantly**  
370 **connected proteins.**

371 A DAPPLE analysis was performed to identify highly related protein–protein interaction clusters  
372 based on direct- and indirect connectivity. Four modules containing significantly connected  
373 proteins were identified.

374

375 **Figure 4. Visual representation of the gene-selection process.**

376 A summary of the analysis process undertaken in the paper, starting from 2241 genes prioritised  
377 to 432 high-priority candidates (**Figure 1**), followed by a cross-species phenotype and BioGRID  
378 analysis of 66 genes (**Figure 2**) and DAPPLE analysis with 62 candidates (**Figure 3**). In total 26  
379 candidates overlap across analyses (**Table 1**).

380

381 **Table 1.** The 26 genes consistently identified across all bioinformatics approaches employed in  
382 the current study.

383

384 **Supplementary Figure 1:** Regional association of genes most strongly associated with ADHD.  
385 Regional association plots showing association signal for ADHD in the PGC-iPSYCH GWAS meta-  
386 analysis data for the two most strongly associated high priority ADHD candidate genes, including  
387 flanking regions of 100kb. (A) *POLR3C* locus with the top-SNP (rs376814422) indicated by the  
388 black arrow. (B) *RBFOX1* locus with the top-SNP (rs6500945) indicated by the purple dot. Results  
389 are shown as  $-\log(p\text{-value})$  for genotyped and imputed SNPs. The colour of each marker reflects  
390 its LD ( $r^2$ ) with the SNP indicated by the purple dot. The recombination rate is plotted in blue.  
391 cm/Mb, centimorgan/megabase. Chr, chromosome.

392 **Supplementary Table 1:** Full lists of raw input data points extracted from 11 ADHD CNV studies  
393 (Tab 1), high-priority gene selection (Tab 2) and low-priority gene selection (Tab 3).

394  
395 **Supplementary Table 2:** Full list of all selected ADHD core phenotype labels in the Monarch  
396 Initiative database query.

397 **Supplementary Table 3:** Overview of all included studies reporting rare CNVs in an ADHD  
398 cohort.

399 **Supplementary Table 4:** Significant connected genes of the high-priority gene list after DAPPLE  
400 analysis and the assigned modules in **Figure 3**.

401 **Supplementary Table 5:** Results MAGMA analysis of the 26 genes consistently observed in all  
402 the different approaches

403 **Supplementary Methods 1:** SQL query script for the UCSC database, GWAS meta-analyses data  
404 set for ADHD and Gene-based association analyses for ADHD GWAS meta-analyses data

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406

407

408 **Author contribution**

409 BH: performed data analysis and created interaction networks, contributed to manuscript  
410 writing. MV: conceived the study, performed data analysis, processed CNVs using SQL, curated  
411 cross-species Monarch Initiative and BioGRID information, contributed to supervision and  
412 manuscript writing. MK: Performed gene-based association analyses and helped with data  
413 interpretation. PC and MF: performed the co-expression analysis. BF: contributed to supervision  
414 and manuscript writing. AS: conceived the study, contributed to supervision and manuscript  
415 writing. All authors proof-read the manuscript.

416

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430 **Conflict of interest**

431 Barbara Franke has received educational speaking fees from Medice. None of the other authors  
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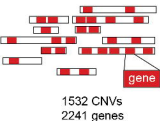


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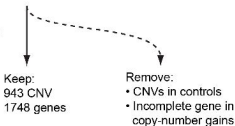
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688

Figure 1

**a Collection of CNVs from 11 studies**

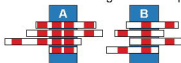


**b Cleaning**



**c Prioritization**

1. Identified in  $> 1$  individual
2. In minimal region of overlap



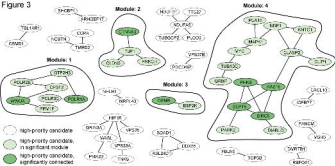
432 high-priority genes

1316 low-priority genes

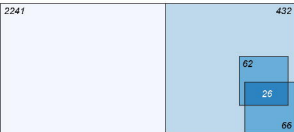




Figure 3



# Figure 4



- 2241 genes within ADHD CNVs
- 432 high-priority ADHD candidate genes
- 66 candidates associated to ADHD core cross-species phenotypes
- 62 DAPPLE module proteins
- 26 candidates overlap across analyses

Table 1

Gene symbol	Full gene name	Gene function	Biological themes
COPA	coatamer protein complex subunit alpha	protein transport between the endoplasmic reticulum and Golgi compartments	
CPSF2	cleavage and polyadenylation specific factor 2	pre-mRNA 3-end formation	mRNA metabolism
CSMD1	CUB And Sushi Multiple Domains 1	unknown	
DMRTB1	DMRT Like Family B With Proline Rich C-Terminal 1	transcription factor	transcription
MAPK1	Mitogen-Activated Protein Kinase 1	wide range of cellular processes like proliferation, differentiation, transcription regulation and development	
MSH3	MutS Homolog 3	DNA mismatch repair	
MYC	MYC Proto-Oncogene, BHLH Transcription Factor	transcription factor	transcription
NCSTN	Nicastrin	type I transmembrane glycoprotein	
NDUFA5	NADH:Ubiquinone Oxidoreductase Subunit A5	subunit of complex I of the mitochondrial respiratory chain	mitochondria
NDUFB1	NADH:Ubiquinone Oxidoreductase Subunit B1	subunit of complex I of the mitochondrial respiratory chain	mitochondria
PARK2	Parkin RBR E3 Ubiquitin Protein Ligase	component of a multiprotein E3 ubiquitin ligase complex, degradation of defective mitochondria	mitochondria
PDCD6IP	Programmed Cell Death 6 Interacting Protein	functions within the ESCRT pathway	
PEA15	Phosphoprotein Enriched In Astrocytes 15	negative regulator of apoptosis	
PLOD3	Procollagen-Lysine,2-Oxoglutarate 5-Dioxygenase 3	homodimeric enzyme in the rough endoplasmic reticulum	
POLR1A	RNA Polymerase I Subunit A	subunit of the RNA polymerase I complex	mRNA metabolism
POLR2B	RNA Polymerase II Subunit B	subunit of the RNA polymerase II complex	mRNA metabolism
POLR3C	RNA Polymerase III Subunit C	subunit of the RNA polymerase III complex	mRNA metabolism
PPM1F	Protein Phosphatase, Mg2+/Mn2+ Dependent 1F	Ser/Thr protein phosphatase	
RBFOX1	RNA Binding Protein, Fox-1 Homolog 1	regulates tissue-specific alternative splicing	
SEPT5	Septin 5	regulation of cytoskeletal organization and SNARE complexes	cytoskeleton
TBL1XR1	Transducin Beta Like 1 X-Linked Receptor 1	F-box-like protein involved in the recruitment of the ubiquitin/19S proteasome complex to nuclear receptor-regulated transcription units.	transcription
TTC27	Tetratricopeptide Repeat Domain 27	unknown	
TUBA3C	Tubulin Alpha 3c	major component of microtubules	cytoskeleton
TUBGCP2	Tubulin Gamma Complex Associated Protein 2	microtubule nucleation at the centrosome	cytoskeleton
WASL	Wiskott-Aldrich Syndrome Like	Regulates actin polymerization	cytoskeleton
WWOX	WW Domain Containing Oxidoreductase	WW Domain Containing Oxidoreductase	

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