

1 Genomic expansion of archaeal lineages resolved from deep Costa Rica sediments

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9 Abstract

10 Numerous archaeal lineages are known to inhabit marine subsurface sediments, although their
11 distributions, metabolic capacities and interspecies interactions are still not well understood.

12 Abundant and diverse archaea were recently reported in Costa Rica (CR) margin subseafloor
13 sediments recovered during IODP Expedition 334. Here, we recover metagenome-assembled
14 genomes (MAGs) of archaea from the CR-margin and compare them to their relatives

15 from shallower settings. We describe 31 MAGs of 6 different archaeal lineages (*Lokiarchaeota*,
16 *Thorarchaeota*, *Heimdallarchaeota*, *Bathyarchaeota*, *Thermoplasmatales* and *Hadesarchaea*) and
17 thoroughly analyze representative MAGs from the phyla *Lokiarchaeota* and *Bathyarchaeota*.

18 Our analysis suggests the potential capabilities of *Lokiarchaeota* members to anaerobically
19 degrade aliphatic and aromatic hydrocarbons. We show it is genetically possible and

20 energetically feasible for *Lokiarchaeota* to degrade benzoate if they associate with organisms
21 using nitrate, nitrite and sulfite as electron acceptors, which suggests a possibility of syntrophic

22 relationships between *Lokiarchaeota* and nitrite and sulfite reducers. The novel *Bathyarchaeota*
23 lineage possesses an incomplete methanogenesis pathway lacking the methyl co-enzyme M

24 reductase complex and encodes a non-canonical acetogenic pathway potentially coupling
25 methylophony to acetogenesis via the methyl branch of Wood-Ljungdahl pathway. These novel
26 metabolic characteristics suggest the potential of this *Bathyarchaeota* lineage to be a transition
27 between methanogenic and acetogenic *Bathyarchaeota* lineages. This work substantially expands
28 our knowledge about the metabolic function repertoire of marine benthic archaea.

29 **Introduction**

30 Marine subsurface sediments are full of diverse archaeal lineages[1], although their
31 distributions, ecological roles and adaptation strategies are still not well understood[2][3][4][5].
32 Metagenomic sequencing and single cell genomics have enabled the discovery of a great
33 number of organisms, the elucidation of new metabolisms, the expansion of known lineages and
34 the redefinition of portions of the tree of life[6][7], [8][9][10][11][12][13][14]. However, there is
35 still a paucity of genomes resolved from the deep marine subsurface, meaning the niche specific
36 adaptations in deep biosphere is not yet well understood.

37 Recently, the tree of life has been greatly expanded with the discovery of the *Asgard*
38 superphylum, a deeply-branching monophyletic group thought to be some of the closest relatives
39 to the eukaryotic branch of life[11][15]. Genome analyses of *Asgard* archaea have suggested
40 diverse metabolic functions extending from an autotrophic lifestyle, primarily dependent on
41 carbon fixation via Wood-Ljungdahl pathway and acetogenesis, to a heterorganotrophic lifestyle
42 consuming proteins and aliphatic hydrocarbons, using methyl-CoM reductase-like enzymes, to
43 recycle aliphatic hydrocarbons released from the subsurface[16][17][18]. *Asgard* members were
44 proposed to be engaged in symbiotic partnerships involving syntrophic transfers of hydrogen and
45 electrons following the ‘reverse flow model’ [16].

46 Members of another archaeal phylum in the deep subsurface, *Bathyarchaeota*, are
47 characterized by their wide metabolic repertoire enabling heterotrophic scavenging of proteins,
48 carbohydrates, short chain lipids and other reduced compounds as substrates as well as their
49 methane-metabolizing potential [19]. However, bathyarchaeotal genomes have also suggested
50 the potential for carbon fixation and acetogenesis [20]. The evolutionary path describing the
51 acquisition of both methanogenesis and acetogenesis pathways in *Bathyarchaeota* remains
52 unresolved [20][21].

53 Deep sediment from the Costa Rica (CR) margin subseafloor, sampled during the
54 International Ocean Discovery Program (IODP) Expedition 334, was recently shown to host
55 abundant archaea [22]. Here we examine in detail the archaeal genomes recovered from the
56 Costa Rica Margin and compare them to their relatives recovered from shallower sites. In this
57 study, we report 31 archaeal metagenome-assembled genomes (MAGs) belonging to six different
58 archaeal lineages (*Lokiarchaeota*, *Thorarchaeota*, *Heimdallarchaeota*, *Bathyarchaeota*,
59 *Thermoplasmatales* and *Hadesarchaea*) from the Costa Rica Margin subseafloor. We thoroughly
60 analyze representative MAGs of two novel archaeal lineages belonging to phyla *Lokiarchaeota*
61 and *Bathyarchaeota*. Our analysis suggests that *Lokiarchaeota* genomes encode for genes
62 dedicated to process and degrade aliphatic and aromatic hydrocarbon anaerobically. We also
63 describe a metabolically novel *Bathyarchaeota*, which lacks methyl co-enzyme M reductase
64 (MCR) complex and possesses a non-canonical acetogenic pathway linking methylotrophy to
65 acetogenesis via the methyl branch of Wood-Ljungdahl pathway. Lastly, we integrate genomic
66 and thermodynamic modeling to underline the ecological and physiological conditions that could
67 drive the syntrophic interactions among CR-*Asgards* and the development of non-canonical
68 acetogenesis in CR-*Bathyarchaeota*.

69

70 **Results**

71 *Overall archaeal abundance and community structure*

72 High abundances of archaea across sediment samples collected from five depths located
73 along Costa Rica Margin (2, 32 and 93 mbsf from Site 1378 and 22 and 45 mbsf from Site 1379
74 of IODP Expedition 334), were previously reported[22]. Metagenomic data from these samples
75 was assembled and examined for small subunit ribosomal genes. A total of 126 of 16S rRNA
76 gene sequences were recovered from the metagenome assemblies. Sequences affiliated to
77 archaea (31 sequences representing 25% of the total) reveal a wide diversity of lineages,
78 including *Bathyarchaeota* (14 sequences representing 11 % of the total 16S rRNA gene
79 sequences), *Thermoplasma* (5 sequences representing 4%) and *Lokiarchaeota* (4 sequences
80 representing 3%) (Figure S1).

81 We compared two million raw metagenome reads (150 bp) for each dataset to the NCBI
82 (nr) database [23]. Nearly 6-8% of the total short reads were successfully assigned to their
83 respective phylogenetic group at the phylum level, while the phylogenetic signatures were not
84 clear in the remaining reads (92-94%). The percentage of total prokaryote reads belonging to
85 archaea ranged from 5% (at 93 mbsf, 1378) to 26% (at 32 mbsf, 1378).. Overall, community
86 structure composition analysis conducted on metagenomic raw reads indicated the prevalence of
87 two archaeal phyla in the Costa Rica margin: *Lokiarchaeota* and *Bathyarchaeota* (Figure 1B).
88 *Lokiarchaeota* were most abundant among the archaea reads at the 32 mbsf samples in core
89 1378, while *Bathyarchaeota* reads were most abundant at 22 mbsf and 45 mbsf in core 1379.

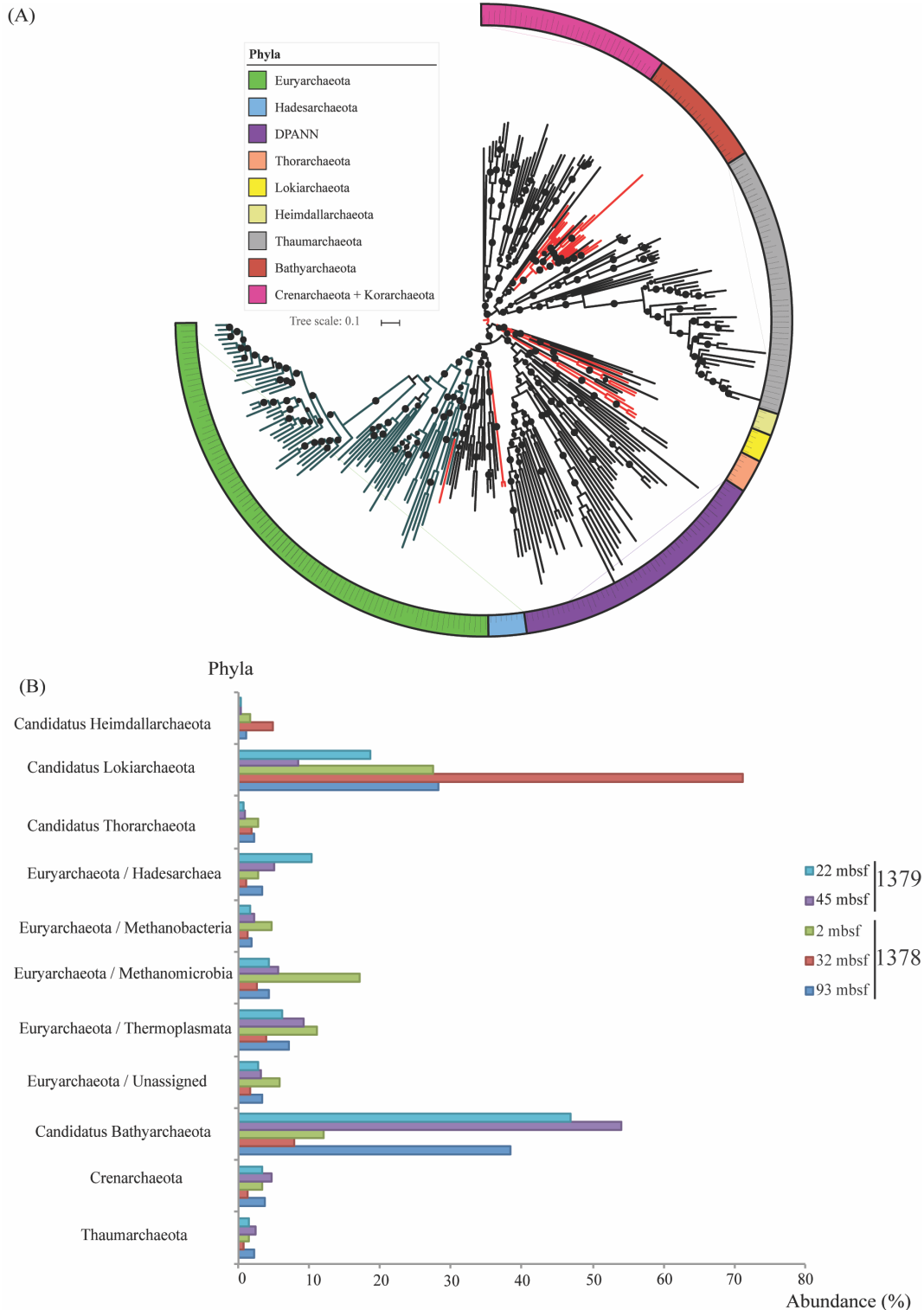
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92 ***General genomic features of the abundant archaeal lineages***

93 Across the five-depths analyzed, 31 different draft archaeal MAGs were recovered (Table
94 1). Genomic analyses were only performed on the 11 MAGs showing high completeness and low
95 contamination percentages (above 60% completeness and below 5% contamination). Overall,
96 completeness varied from 32% to 99% with an average of 50% and contamination varied from 0
97 to 10% with an average of 8% (Table 1). Genome qualities were further assessed by comparing
98 their predicted proteins against the NCBI (nr) database to evaluate the extent of phylogenetic
99 consensus within the binned genomes (Figure 2A). Overall, the taxonomic affiliations of the
100 majority of the predicted proteins (60-75%) in each genome agreed with their respective
101 phylogenetic group, except CR-12 in which only 15% of the encoded proteins assigned to
102 *Heimdallarchaeota* and was excluded from further analysis.

103 Phylogenetic placement of the draft genomes was determined using 16 ribosomal
104 proteins (Figure 1A and Figure S2)[12]. The recovered MAGs were affiliated to six different
105 phylogenetic lineages, namely, *Lokiarchaeota*, *Thorarchaeota*, *Heimdallarchaeota*,
106 *Bathyarchaeota*, *Thermoplasmatales* and *Hadesarchaea*.



107

108 **Figure 1. (A) Phylogenetic placement of the Costa Rica archaeal draft genomes (genomes of this study are**

109 **highlighted in red).** The maximum-likelihood phylogenetic tree was calculated based on the concatenation of 16

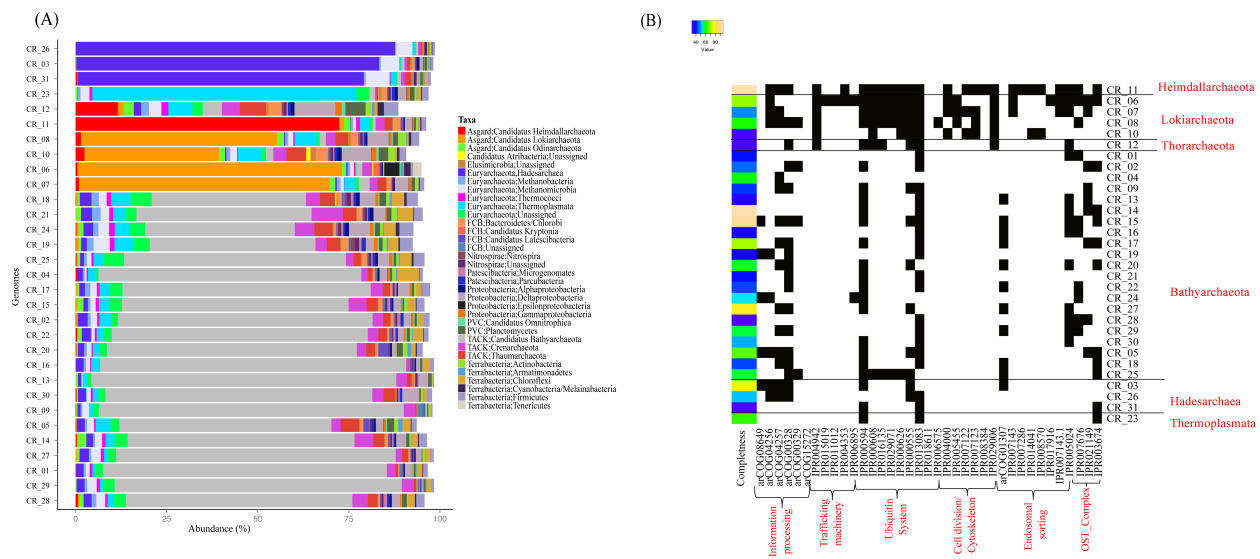
110 ribosomal proteins (L2, L3, L4, L5, L6, L14, L15, L16, L18, L22, L24, S3, S8, S10, S17, and S19) retrieved from

111 the Costa Rica archaeal genomes and 231 reference archaeal genomes representing 13 different archaeal phyla. The
 112 relationships were inferred using the best fit substitution model (VT+F+R10) and nodes with bootstrap support
 113 >80% were marked by black circles. Scale bar indicates substitutions per site. The tree is available with full
 114 bootstrap values in Newick format in the Supplementary Data.

115 **(B) Relative abundance percentages of all archaeal lineages making up > 1% of the total communities.** This
 116 graph was calculated by parsing the raw reads against NCBI (nr) database applying e-value cut off score 1e-5.

117 ***Distribution of Eukaryotic signature protein (ESP) homologs in Costa Rica archaea MAGs***

118 All archaeal MAGs recovered from Costa Rica sediments encoded eukaryotic signature
 119 proteins (ESPs). These ESPs include protein homologs dedicated for information processing,
 120 trafficking machineries, ubiquitin system, cell division and cytoskeleton formation. The *Asgard*
 121 archaea genomes recovered from CR, e.g. *Heimdallarchaeota* and *Lokiarchaeota* MAGs
 122 (CR_06, CR_11), showed significantly higher numbers of eukaryotic homologs and covered
 123 broader classes of ESPs (Figure 2b). However, ESPs were also detected in the *Bathyarchaeota*,
 124 *Hadesarchaeota* and *Thermoplasmata* MAGs recovered from CR (Figure 2b). Yet, only the
 125 *Asgard* genomes have the homologs for cell division and cytoskeleton.



126
 127 **Figure 2. (A) Phylogenetic distributions of the predicted proteins encoded by the archaeal MAGs, the**
 128 **phylogenetic assignments were performed through comparing the proteins to the NCBI (nr) proteins**

129 **database via the DarkHorse software[23]. (B) Heat map shows the presence (black) /absence (white)**
130 **patterns of eukaryotic homologs in the recovered archaea MAGs.** The color along the left side shows the
131 completeness of the genome bin, as less complete bins would be expected to contain fewer homologs. Only the
132 *Asgard* archaea contain cell division/cytoskeleton homologs.

133 ***Genomic evidence of CR_Asgard hydrocarbon utilization***

134 We screened all of the MAGS, assembled metagenomes and raw reads for the presence
135 of genes encoding for MCR complex to assess the role of the archaeal members in mediating the
136 degradation of hydrocarbon compounds (Figure 3A) that are abundant in Costa Rica (CR)
137 margin [24]. MCR complex genes (*mcrABCDG*) were completely absent from both the MAGs
138 and the entire metagenomes, which indicates that short chain alkanes are not oxidized using
139 MCR complex in CR sediments. All metagenomic reads and MAGs were screened for possible
140 alternative hydrocarbon degradation pathways using custom HMM searches specifically
141 targeting key metabolic genes for aliphatic and aromatic hydrocarbons degradation pathways
142 (Figure 3A). Multiple pathways were successfully identified including glycyl-radical enzymes
143 (GREs) related genes coupled with *n*-alkane succinate synthase (AssA) and benzylsuccinate
144 synthase (BssA), which activates *n*-alkanes and mono-aromatic compounds, respectively, by
145 forming C-C bond between these compounds and fumarate to form hydrocarbon adducts
146 [25][26]. Benzylsuccinate synthesis is the initial step for aromatic hydrocarbon mineralization, in
147 which benzylsuccinate is converted to benzoyl-CoA[25]. Interestingly, the capability of ATP-
148 dependent Benzoyl-CoA reductase (BCR) complex utilization was identified in some CR *Asgard*
149 members (*Lokiarchaeota*, *Thorarchaeota* and *Heimdallararchaeota*) (Figure 3). This reaction
150 can dearomatize the benzoyl-CoA to dienoyl-CoAs as the first step in aromatic hydrocarbon
151 degradation and then couple this reaction with a beta-oxidation pathway to ultimately produce
152 acetyl-CoA, similar to the mechanism previously reported in the denitrifying bacteria *Thauera*

153 *aromatica* [27]. Since *Lokiarchaeota* CR_06 had the least contamination levels <2%, we used
154 this MAG to verify the presence of aromatic hydrocarbon degradation function mediated by
155 BCR complex in *Asgards*. Phylogenetic analysis of the BCR subunit B recovered from
156 *Lokiarchaeota* CR_06 showed their affiliation to class Bzd, which is composed of four subunits
157 (BzdONPQ) (Figure 3C). This BCR type was originally discovered in *Betaproteobacteria*,
158 *Azoarcus evansii* and the ones detected in CR_06 is closely related to BCRs detected in different
159 β , δ *proteobacteria*, and other archaeal lineages (e.g. *Lokiarchaeota*, *Bathyarchaeota* and
160 *Archaeoglobus*) (Figure 3C)[28]. Subunits P and Q have ATP binding/ATPase functional
161 domains and are linked together by a [4Fe-4S] cluster. Reduced ferredoxins transfer electrons to
162 the CoA- ester-binding domains (subunits O and N), which catalyzes the cleavage of benzoyl-
163 CoA aromatic ring and yields dienoyl CoA product (Figure 3A) [28]. In CR_06, the four
164 subunits of BCR complex were located in one contiguous operon (CR_06- contig-100_3495),
165 however due to the high fragmentation of the genomes no reliable phylomarker genes were
166 found in the adjoining genomic neighborhoods. A plausible explanation for the high
167 fragmentation levels of the CR_06 (number of scaffolds= 1110), even though the genome
168 exhibited high coverage levels >200x, is that there are high levels of intra-lineage strain
169 heterogeneity. This is confirmed by the ANI values (ANI=70-80%) between MAGs of the same
170 phylogenetic group and disparities in their coverage levels (table 1 and table S3).

171 The affiliations of the BCR complex related proteins, BCRA and BCRB in
172 *Lokiarchaeota* CR_06 were confirmed by the following observations: 1) contigs encoding for
173 the four subunits of BCR complex fall within the same coverage and GC% range of the rest of
174 the contigs in the same MAG (Figure S3); 2) phylogenetic trees of BzdO and Q protein
175 sequences detected in the CR_06 were placed as siblings to BCR sequences belonging to

185 molecules are shown in dark green, metabolite and amino acid transporters are shown in light green, and cation
186 transporters are shown in dark blue. **(B) Distribution patterns of the hydrocarbon degradation pathways among
187 the constructed CR-Archaea genomes.** Genomes were clustered based on presence (black) /absence (white)
188 profiles using Euclidean distance and average linkage method. X axis represents enzymes included in the analysis,
189 bottom bars are the pathways that these enzymes represent and y axis includes bin names/phylogenetic affiliations.
190 **(C) Maximum likelihood tree of the benzoyl-CoA reductase subunit B.** The tree was calculated using the best fit
191 substitution model (VT+F+R7) that describes the evolutionary relationships between BCRB families. The tree was
192 made using reference sequences under the KEGG entry (K04113) collected from AnnoTree[30] and branch location
193 was tested using 1000 ultrafast bootstraps and approximate Bayesian computation, branches with bootstrap support
194 >80% were marked by black circles. Blue and Red clades highlight sequences belong to Bzd_O and BCR_B
195 subfamilies, respectively. Scale bar indicates substitutions per site. Sequences from CR_*Lokiarchaeota* bins was
196 marked with red circles, CR_*Thorarchaeota* bin was marked with light blue and CR_*Heimdallarchaeota* was
197 marked with green. Candidate novel clades present in the Costa Rica metagenomic datasets were marked with
198 purple stars. The tree is available with full bootstrap values in Newick format in the Supplementary Data.

199 ***Fate of the degraded aromatic hydrocarbons and syntrophic interactions***

200 CR_*Lokiarchaeota* (CR_06) as well as other *Asgard* in the CR margin could potentially grow
201 heterotrophically on aromatic hydrocarbons. Though, the absence of genes encoding for different
202 types of cytochrome oxidase and anaerobic respiration from the genome content of the *Asgard*
203 MAGs (CR_06, 07, 08, 11 and 12) indicate their inability to completely mineralize these
204 hydrocarbons to CO₂ and H₂O, which would allow a high energy yield. They encode for the
205 genes mediating the fermentation of these organic macromolecules to acetate and other reduced
206 products, which is thermodynamically unfavorable under CR conditions with a positive $\Delta rG'$
207 value ($\Delta rG' = 196.3$ [kJ/mol]) (Figure 3 and table S1), suggesting that these genes are maintained
208 due to a thermodynamically favorable force. Due to the genome incompleteness (86% complete),
209 we cannot rule out the possibility for the presence of complete aromatic hydrocarbon

210 mineralization pathways using one or more of oxidized substrates as electron sinks (Table 1).
211 More likely, however, *Lokiarchaeota* are gaining energy through syntrophic interactions with
212 partners capable of oxidizing the biodegradation intermediates.
213 Here, we inferred the identity of the potential syntrophic partners under marine subsurface
214 conditions by comparing all the possible metabolic and thermodynamic scenarios, gauging each
215 scenario based on the presence of the metabolic pathways in our metagenomic datasets and the
216 thermodynamic feasibility under each condition (Figure 3B, Table S1 and S6). We calculated the
217 Gibbs free energy of coupled reactions under a wide range of substrate concentration conditions:
218 Reaction 1-5 (Table S1, Figure S5). Best conditions suggest that the degradation of benzoate (the
219 central metabolic intermediate in aromatic hydrocarbon degradation pathways) potentially occurs
220 under the following metabolic conditions (a, b, and c): (a) benzoate mineralization to CO₂ and
221 H₂O coupled with nitrite reduction to ammonia ($\Delta rG' = -1206.3$ [kJ/mol]); (b) benzoate
222 mineralization to CO₂ and H₂O coupled with sulfite reduction to hydrogen sulfide ($\Delta rG' = -373.6$
223 [kJ/mol]); and (c) benzoate mineralization to CO₂ and H₂O coupled with nitrate reduction to
224 nitrite ($\Delta rG' = -119.9$ [kJ/mol]).

225 The type and complexity of the exchanged substrates are another key factor that may
226 shape the syntrophic relationship and the identity of the syntrophic partners of *Lokiarchaeota*..
227 The presence of genes encoding for membrane bound electron bifurcating classes of [NiFe]
228 hydrogenases, groups 3b and 3d, which couple the oxidation of NADH⁺ and NADPH⁺ with H₂
229 evolution (Figure S6)[31] also suggests there is syntrophic exchange of hydrogen between
230 *Asgard* and their partners. Additionally, we located genes encoding for β -oxidation enzymes
231 (enoyl-CoA hydratase, acyl CoA dehydrogenase, and acetyl CoA acetyl transferase) and various
232 fermentation pathways (acetate and formate)) in *Lokiarchaeota* CR_06, which suggests that

233 short chain fatty acid and different fermentation products could also be syntrophically exchanged
234 (Table S4). The diverse nature of substrates could facilitate the interactions between a broader
235 range of partners of diverse metabolic capabilities and support the conclusions driven from our
236 thermodynamic calculations.

237 It is worth noting that efficient substrate and electron exchange between syntrophic partners
238 require the presence of either biological conduits (e.g. type IV pili or flagella) or some sort of
239 electron shuttles allowing extracellular electron transfers (e.g. multiheme cytochromes) [32][33].
240 Hence, we screened CR_06 for these mechanisms and identified two candidate mechanisms for
241 interspecies substrate and electron exchange. First, flagellar proteins are encoded by the CR_06,
242 suggesting flagella as a potential structure mediating inter-species interactions. Second, CR_06
243 harbors a gene encoding for an oxidoreductase belonging to electron transfer flavoprotein-
244 quinone oxidoreductase (CR_06_contig-100_4953_2), ETF-QO/FixC family, which potentially
245 mediating the transfer of electrons across membranes.

246 ***Other metabolic features of Lokiarchaeota (CR_06)***

247 The genomic analysis of *Lokiarchaeota* (CR_06) MAG also suggests versatile catabolic
248 capacities potentially targeting detrital proteins and short chain fatty acids (e.g. propanoate,
249 butyrate), which are abundant in benthic marine sediments. CR_06 MAG has a relatively large
250 number of peptidases encoding genes (92 peptidases/1Mbp) with diverse catalytic residues (e.g.
251 aspartic, metallo, serine, etc.), which potentially degrade detrital proteins (Figure S7). It also
252 contains genes encoding for various classes of facilitated and active transporters, which are
253 dedicated to shuttle oligo/di-peptides and single amino acids (e.g. polar and branched chain
254 amino acids) across the cell membrane. Also, CR_06 encoded for enzymes enabling the

255 utilization of wide range of amino acids (e.g. aspartate, threonine, alanine, glycine, serine,
256 cysteine, histidine, glutamine, and lysine), channel them to the central metabolic pathways and
257 ultimately produce energy via fermentation (Figure 3A).

258 CR_06 MAG suggested the capacity to break down short chain fatty acids e.g.
259 propanoate, oxo-butanoate as other potential substrates. Both propanoate and oxo-butanoate are
260 converted to propanoyl CoA via formate acetyl transferase and acetyl synthase, respectively.
261 Then, the resulting propanoyl CoA is converted to acetyl-CoA via malonyl-CoA pathway.
262 CR_06 as well as other *Asgards* showed different autotrophic capacities enabling carbon fixation
263 to complex organic carbon compounds using both the Wood-Ljungdahl (WL) pathway and the
264 reverse tricarboxylic acid (rTCA) cycle (Figure 3A).

265 ***Metabolic features of CR-Bathyarchaeota***

266 The other main lineage of Archaea in these sediments is *Bathyarchaeota*. *Bathyarchaeota*
267 CR_14 is described in details since it has the highest quality at >95% complete and 4%
268 contaminated. Phylogenomic analysis of *Bathyarchaeota* MAGS showed that CR_14 is clustered
269 together with other CR_ *Bathyarchaeota* in two distinct clades within *Bathyarchaeota* phylum
270 (Figure S2). Also, relatively low similarity scores were observed between CR_14 and other
271 reference *Bathyarchaeota* MAGs, which suggest that CR_14 belongs to a novel *Bathyarchaeota*
272 class (Table S2).

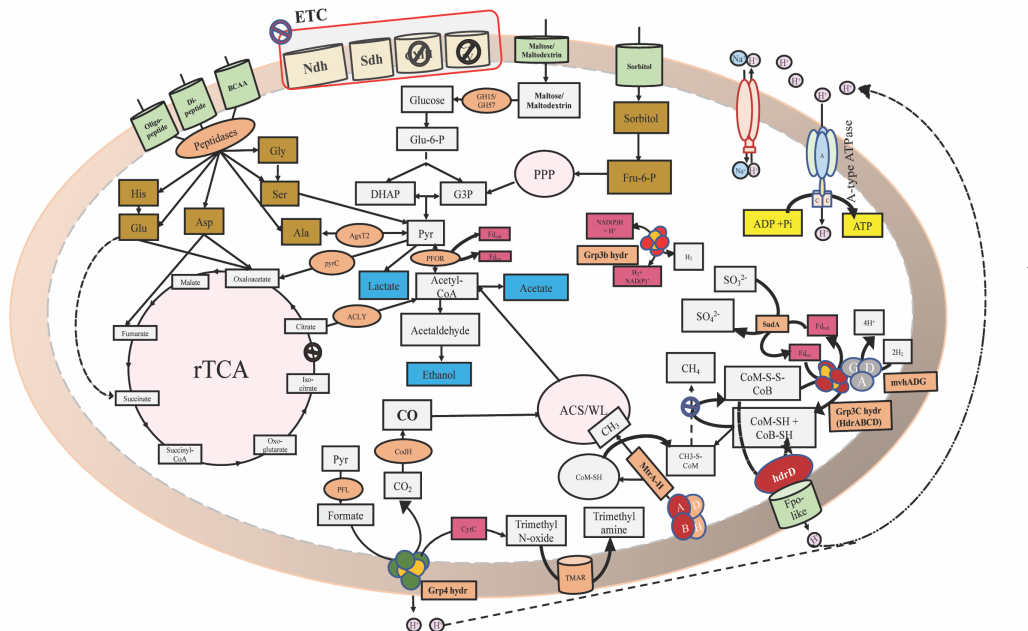
273 Metabolic analysis revealed that CR_14 harbors genes encoding for incomplete
274 methylotrophic methanogenesis pathway, yet is missing the key genes encoding for MCR
275 complex (*mcrABCDG*). The absence of this complex suggests that CR_14 is incapable of
276 methanogenesis and methane metabolic genes may be rewired to perform different functions,

277 where they recycle methyl groups from different methylated compounds and replace the
278 functions of methyl branch in Wood-Ljungdahl pathway (Figure 4-A). CR_14 showed the
279 potential capability to use formate as electron and hydrogen donor through using Group 4
280 hydrogenases (formate hydrogenlyases) (Figure S6)[31], and these electrons reduce
281 trimethylamine N-oxide (TMAO) to trimethylamine via trimethylamine-oxide reductase or
282 anaerobic dimethyl sulfoxide reductase (TMAO/DMSO reductase)[34]. Together the presence of
283 genes encoding for trimethylamine-specific corrinoid protein as well as diverse classes of
284 methyltransferases, CoB—CoM heterodisulfide reductase/ F420 nonreducing hydrogenase
285 (hdrABCD and mvhADG) suggest the capability of CR_14 to recycle coenzyme M (CoM) and
286 coenzyme B (CoB) and transfer the methyl group from trimethylamine to CoM-SH[20][35]. We
287 located genes encoding the tetrahydromethanopterin S-methyltransferase (mtrA-H), suggesting
288 that mtrA protein transfers the methyl group from CoM to 5,6,7,8-Tetrahydromethanopterin
289 (H₄MPT) and assimilates the methyl group into acetyl-CoA via the beta subunit of CODH/ACS
290 complex, replacing the function of the methyl branch of Wood-Ljungdahl pathway. This agrees
291 with our finding that only the genes encoding for the carbonyl branch of Wood-Ljungdahl coupled
292 with acetate fermentation genes (acetogenesis) were present in CR_14 and the genes encoding
293 for methyl branch were completely missing for the same pathway. These collective metabolic
294 features in *Bathyarchaeota* CR_14 suggest this genome may be a *Bathyarchaeota* lineage that
295 bridges the gap between methanogenic and acetogenic *Bathyarchaeota* through adopting a non-
296 canonical acetogenic life style (Table S5) [20][21].

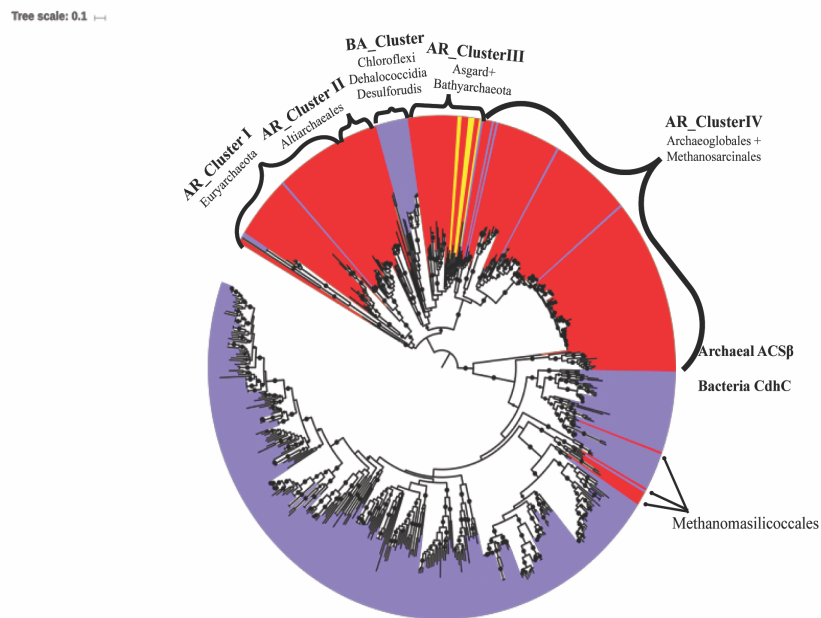
297 Although the proposed scenario where CR_14 performs acetogenesis instead of
298 methanogenesis is metabolically feasible, it is not clear why CR_14 invests a large amount of
299 energy to maintain genes for methane metabolism. A plausible explanation for expressing

300 methanogenesis-related genes is the lack of dedicated methyltransferases that could transport
301 methyl groups directly between methylated compounds (e.g. trimethylamine) to
302 Tetrahydromethanopterin (H₄MPT). Therefore, encoding genes mediating the synthesis and
303 cycling of CoM is necessary to use it as intermediate carrier to transport methyl groups from/to
304 H₄MPT. Also, the phylogenetic tree of the acetyl-CoA synthase beta subunit (AcsB) showed that
305 CR_14 AcsB genes clustered together with genes recovered from other *Bathyarchaeota* lineages,
306 *Asgards*, *Chloroflexi* and *Altiarchaeales* (Fig 4-B). The uniqueness of that clade stemmed from
307 the previous hypothesis that *Altiarchaeales* members possess an ancient version of AcsB [36].
308 Likewise, members of *Chloroflexi* encoded for this archaeal version of ACS β subunit similar to
309 the ones present in *Bathyarchaeota*, *Asgards*, and *Altiarchaeales* [37][38]. Finally, this ACS type
310 supports the hydrogen dependent, autotrophic life style of *Asgards* [16]. Accordingly, we
311 hypothesize that *Bathyarchaeota* possess the type of ACS capable of incorporating methyl
312 groups into acetyl-CoA utilizing different carrier proteins (CoM, H₄MPT and may be other
313 unknown carriers), allowing *Bathyarchaeota* to assimilate methyl groups originated from various
314 methylated compounds.

(A)



(B)



315

316 **Figure 4. (A) Metabolic reconstruction of the Bathyarchaeota bin CR_14.** Central metabolic pathways found in
 317 the genome (glycolysis, carbonyl branch of Wood-Ljungdahl, and methanogenesis related genes) are shown in gray
 318 boxes, carbon fixation pathways (ACS/WL, PPP and rTCA cycles) are shown in pink, electron transport chain

319 (ETC) proteins are shown in yellow, fermentation products are shown in blue boxes, amino acids are shown in
320 brown boxes, enzymes and enzyme complexes are shown in orange circles, energy carriers are shown in red,
321 metabolite and amino acid transporters are shown in light green. **(B) Maximum likelihood tree of the acetyl CoA
322 synthase β subunit (ACS β /CdhC).** The tree was calculated using the best fit substitution model (LG + R9) that
323 describes the evolutionary relationships between ACS families. The tree was made using reference sequences under
324 the KEGG entry (K00193) collected from AnnoTree[30] and branch location was tested using 1000 ultrafast
325 bootstraps and approximate Bayesian computation, branches with bootstrap support >80% were marked by black
326 circles. Blue and Red clades highlight sequences belong to bacterial (CdhC) and archaeal (ACS β) versions,
327 respectively. Scale bar indicates substitutions per site. Sequences from CR_*Lokiarchaeota* and CR_*Bathyarchaeota*
328 bins were shaded with yellow. The tree is available with full bootstrap values in Newick format in the
329 Supplementary Data.

330

331 **Discussion**

332 In this study, we employed a metagenomics-enabled genomics approach to recover
333 representative MAGs from the abundant archaeal phyla inhabiting deep sediments of Costa Rica
334 margin and elucidate their potential ecological roles. A total of 31 MAGs belonging to archaeal
335 phyla (*Lokiarchaeota*, *Thorarchaeota*, *Heimdallarchaeota*, *Bathyarchaeota*, *Thermoplasmatales*
336 and *Hadesarchaea*) were successfully recovered from five metagenomic datasets representing
337 five different samples. Only 11 MAGs met our completion and contamination thresholds, >60%
338 complete and <10% contamination, to be considered for the detailed genomic analyses and
339 metabolic reconstruction. More than 90% of the high-quality genomes were affiliated to
340 *Bathyarchaeota* and *Asgard* and the phylogenetic affiliations of the predicted proteins in each
341 MAG confirmed the proper quality of the MAGs considered in this study. Remarkably, all the
342 CR archaeal MAGs were enriched with ESP encoding genes. The wide distribution of these

343 eukaryotic homologs indicates that ESPs are more ubiquitous in anaerobic archaea than
344 previously recognized.

345 Notably, the sediments used in this study were collected from much deeper sites
346 compared to the sediment where previously reported *Bathyarchaeota* and *Lokiarchaeota* were
347 found. As such, our analysis was focused on MAGs belonging to *Bathyarchaeota* and
348 *Lokiarchaeota* to try to understand their ecological potentials under these deep marine sediment
349 conditions.

350 ***Community interactions and Lokiarchaeota metabolic interdependencies***

351 Deep marine sediments are rich in aliphatic and aromatic hydrocarbons that provide the
352 associated microbes with a significant portion of their energy and carbon needs [39][40].
353 Previous studies showed that hydrocarbon degradation is restricted to limited bacterial and
354 archaeal phyla (e.g. *Aminicenantes*, *TA06*, *Aerophobetes*, *Atribacteria*, *Helarchaeota* and
355 *Bathyarchaeota*) [18][20] [41]. However, the full spectrum of archaea involved in the
356 hydrocarbon degradation processes and the nature of their interactions are not fully elucidated. In
357 the light of the above evidence, this study provides new views regarding the ecological roles and
358 potential metabolic capacities of *Lokiarchaeota*. CR_*Lokiarchaeota* genome analysis expanded
359 the range of metabolic features encoded by this phylum and predicts metabolic functions
360 enabling the utilization of aliphatic and aromatic hydrocarbons as carbon and energy sources.
361 Considering the high energy demands for aromatic hydrocarbon breakdown under the energy
362 limited conditions of subseafloor sediments [28], it was unexpected to find the ATP-dependent
363 BCR complex (class Bzd) in CR-*Asgards*/CR-*Lokiarchaeota* genomes while they have
364 fermentation and/or acetogenic life styles. This strongly suggests that acetogenesis or acetate
365 fermentation cannot be the ultimate fate of the aromatic hydrocarbon degradation process due to

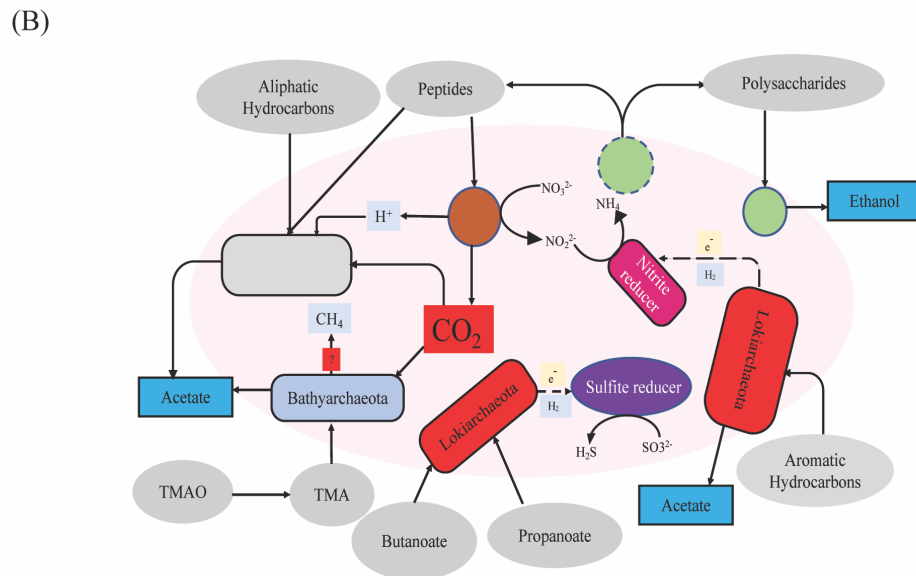
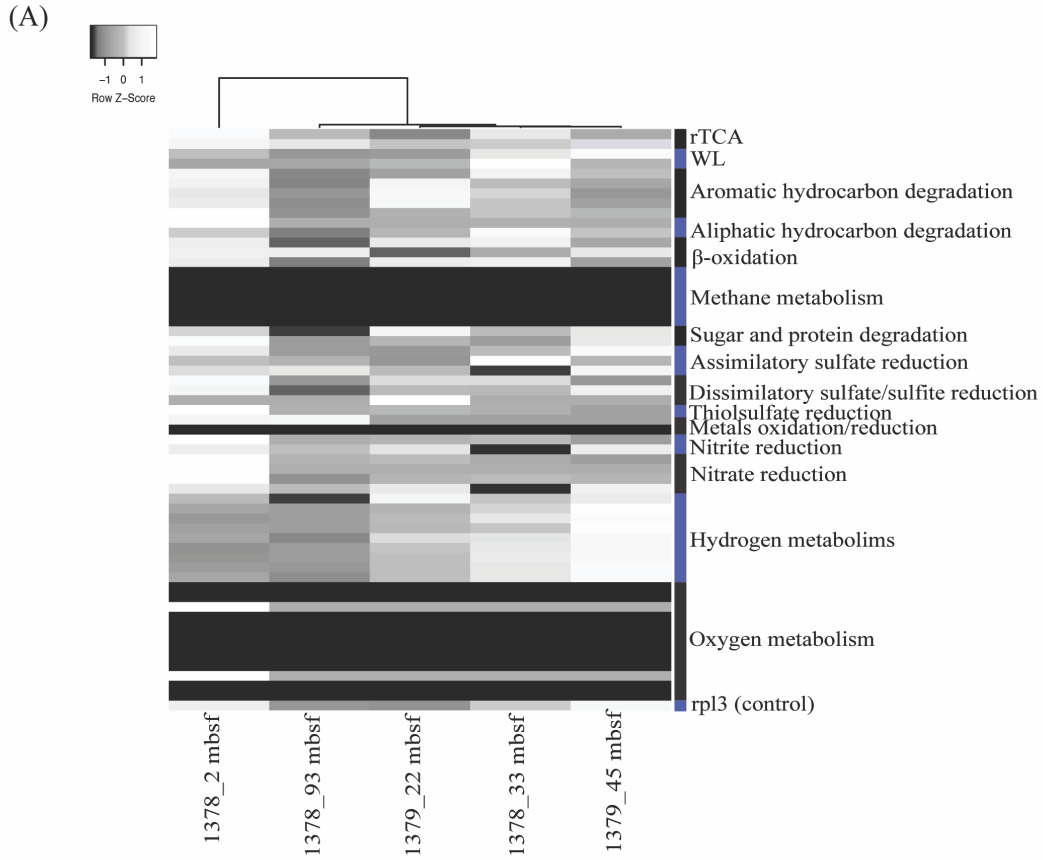
366 the low energy yields of these pathways. Under these circumstances, we propose that the CR-
367 *Lokiarchaeota* members have the capacity of completely mineralizing aromatic hydrocarbons in
368 syntrophy with microbes capable of using nitrite, sulfite and nitrate as electron sink under the
369 subsurface settings to increase their energy budgets and sustain their energy requirement. After
370 testing all possible partnership scenarios based on the presence/absence profiles of the candidate
371 pathways and the thermodynamic feasibility (Figure 5 A-B), we found many potential partners
372 for *Lokiarchaeota* belong to diverse metabolic groups (e.g. nitrate reducers, nitrite reducers,
373 sulfate reducers, sulfite reducers and thiosulfate reducers), however only sulfite, nitrate and
374 nitrite reducers are thermodynamically favored. In the same context, a diverse group of
375 syntrophs might require sharing substrates of different qualities (e.g. acetate, propanoate, and
376 other short chain fatty acids). Accordingly, this suggests the presence of *Lokiarchaeota*
377 syntrophic partners capable of acetate oxidation and short chain fatty acid oxidation in addition
378 to the hydrogenotrophic ones as previously proposed [16]. Therefore, we hypothesize that
379 thermodynamic favorability and potential diversity of the shared metabolites will push the
380 *Lokiarchaeota* to syntrophy, beyond individual metabolite exchange.

381 ***CR-Bathyarchaeota bridge the gap between acetogenic and methanogenic lineages***

382 *Bathyarchaeota* have been shown to have both methanogenic and acetogenic lifestyles [20][21],
383 yet the evolutionary trajectory and the ecological context driving the switch between lifestyles
384 are not fully understood. Generally, *Bathyarchaeota* lineages are widely distributed in different
385 benthic marine habitats compared to methanogenic ones [19], which could be explained by their
386 acetogenesis capacity that enables the degradation of wide range of organic compounds under
387 thermodynamic favorable conditions [42].

388 Interestingly, phylogenomic analysis revealed that CR_ *Bathyarchaeota* MAGs are
389 potentially affiliated to novel class that are significantly divergent from previously reported
390 acetogenic and methanogenic lineages. In these CR sediments, distinct environmental conditions
391 may have captured the intermediate transition between acetogenic and methanogenic lifestyles.
392 We propose that these environmental conditions include the presence of high levels of
393 methylated compounds (e.g. methylated amines) in deep-sea environments [43] and the absence
394 of dedicated pathways/carriers necessary to recycle these methylated compounds and directly
395 shuttle the extracted methyl groups to the Wood-Ljungdahl pathway. Also, there is a relatively
396 high redox potential and substantial abundance of oxidized substrates that could serve as
397 terminal electron acceptors in CR sediments, this may not favor the occurrence of full
398 methanogenesis and the associated methanogenic archaea, as indicated by the absence of *mcrA*
399 genes at the sampled depths (Figure 5A).

400 In summary, this study presents a large dataset of subsurface archaeal MAGs, some of
401 which are high completeness and quality. Numerous archaeal MAGs host eukaryotic signatures,
402 yet, only the *Asgard* genomes have the homologs for cell division and cytoskeleton. We also
403 report a metabolically novel *Lokiarchaeota* lineage capable of aliphatic and aromatic
404 hydrocarbon degradation with a putative partnership with metabolically diverse syntrophic
405 organisms. Also, we revealed the presence of intermediate stage between acetogenic and
406 methanogenic *Bathyarchaeota* that could convert methylated amines to acetate through linking
407 methylotrophy to acetogenesis.



409 **Figure 5. (A) Heat map depicts the distribution patterns of the key metabolic pathways across all sampled**
410 **metagenomic datasets.** Metagenomes were clustered based on presence (black) /absence (white) profiles using
411 Euclidean distance and average linkage method. Y axis represents pathways included in the analysis and x axis
412 includes metagenomic datasets analyzed. **(B) Hypothetical models for the microbial interactions in the Costa**
413 **Rica sediments.** The potential substrates degraded by *Lokiarchaeota*, *Bathyarchaeota* and other microbes in the
414 environment were colored in grey, potential metabolic products (in blue), potential interactions between
415 *Lokiarchaeota* and their syntrophic partners and possible shared metabolites

416 **Materials and Methods**

417 *Site information and Sample collection*

418 Samples used in this study were collected under aseptic conditions from Sites U1378 and
419 U1379 of Costa Rica Margin during IODP Expedition 334. The sample depths are in the range of
420 2 – 93 meters below seafloor (mbsf). Detailed site descriptions were previously reported in the
421 IODP Proceedings for Expedition 334 [44][22].

422 *DNA Extraction and Sequencing and genomes binning*

423 DNA extraction and metagenomic sequencing have been described previously [22]and
424 data have been deposited at NCBI GenBank SRA under project PRJEB11766. Metagenomic
425 reads were quality trimmed using Nesonl following default parameter and applying q20 for
426 quality score (www.vicbioinformatics.com/software.nesonl.shtml). Quality-controlled reads in
427 individual samples were assembled separately using IDBA-UD[45] with default settings. Contigs
428 longer than 1 kb were binned into MAGs using MaxBin V2.2.7[46]and further curated manually
429 using VizBin[47]and through filtering outlier scaffolds not falling within the same GC% and
430 differential coverage levels across different Costa Rica datasets. The quality and completeness of
431 the MAGs were assessed using CheckM (v.1.0.7) [48]. MAGs from all give samples were de-
432 replicated using dRep (version v2.0.5 with ANI > 99%)[49] and most complete MAG per taxon
433 was selected for downstream analyses. Archaeal bins were further analyzed to determine their
434 phylogenetic placements through the analysis of single copy marker genes using Phylosift[50]
435 and 16 ribosomal proteins (see description below). Assembled contigs larger than 1kb were
436 annotated using PROKKA[51]. Encoded proteins were predicted using Prodigal v2.6.3 with the
437 default translation table (table 11) was applied[52].

438 *Concatenated ribosomal protein phylogeny*

439 A maximum-likelihood tree was calculated based on the concatenation of 16 ribosomal proteins
440 (L2, L3, L4, L5, L6, L14, L15, L16, L18, L22, L24, S3, S8, S10, S17, and S19) using IQ-
441 Tree[53] (located on the CIPRES web server)[54]. Reference sequences used were collected
442 [12] with the addition of more representatives of the *Bathyarchaeota* and *Asgard* lineages.
443 Evolutionary distances were calculated based on best fit substitution model (VT+F+R10), and
444 single branch location was tested using 1000 ultrafast bootstraps and approximate Bayesian
445 computation[55][53], branches with bootstrap support >80% were marked by black circles.

446 ***Metabolic reconstruction and Functional annotation***

447 Predicted proteins from all MAGs were screened using HMMsearch tool against custom
448 HMM databases representing the key genes for specific metabolic pathways [56]. The
449 completion of the pathways was assessed through querying the predicted proteins against KEGG
450 database using BlastKoala tool[57]. Carbohydrate-active enzymes (CAZymes) were identified
451 using dbCAN-fam-HMMs (v6) database[58]. Cellular localizations of predicted proteins were
452 identified using SignalP v5.0[59]. Proteases, peptidases, and peptidase inhibitors were identified
453 using USEARCH-ublast tool[60] against the MEROPS database v12.1[61]. Transporters were
454 identified using USEARCH-ublast tool[60] against the TCDB database[62]. Eukaryotic signature
455 proteins were detected using Interpro v75.0[63]. Phylogenetic distributions of the predicted
456 proteins in each bin were detected through comparing these proteins against the NCBI (nr)
457 protein database via the DarkHorse software[23].

458 ***Functional proteins-based trees***

459 All functional proteins-based trees were built by aligning the query proteins sequences to the
460 reference sequences belonging to the same protein family using Muscle v3.8.31[64]. Reference
461 sequences were collected from AnnoTree[30] using the corresponding KEGG entry as search

462 keyword. Aligned sequences were manually curated using Geneious v9.0.5
463 (<https://www.geneious.com>). The phylogenetic trees were computed using IQ-TREE (v1.6.6)
464 [53], through the CIPRES web server[52] and the evolutionary relationships were described
465 using the best fit model. Branch locations were tested using 1000 ultrafast bootstraps and
466 approximate Bayesian computation[55][53].

467 ***Thermodynamic calculations***

468 Initial Gibbs free energy ($\Delta_r G'$) calculations were performed for the redox reactions proposed in
469 the CR *Lokiarchaeota* and their potential partners using eQuilibrator[65] applying pH 8 similar
470 to the approach reported in[42]and reactant concentrations 1mM each.

471 We further confirmed if the coupled redox reactions proposed for the novel CR *Lokiarchaeota*
472 genomes were feasible, we calculated the Gibbs free energy of five redox reactions (Table S1)
473 under the near in situ conditions at CR core U1378 and U1379, following the method described
474 in LaRowe and Amend (2015)[66]. Gibbs free energy was calculated using the equation:

$$475 \Delta G_r = \Delta G_r^0 + RT \ln Q_r$$

476 where ΔG_r^0 and Q_r refer to the standard molar Gibbs energy and the reaction quotient of the
477 indicated reaction, respectively, R represents the gas constant, and T denotes temperature in
478 Kelvin. In this study, ΔG_r^0 was calculated using the thermodynamic data of standard Gibbs free
479 energy of formation of each species and corrected to near *in situ* pressure and temperature (4°C),
480 using the R package *CHNOSZ* [67]. Q_r stands for the reaction quotient, which can be calculated
481 with the relation

$$482 Q_r = \prod (a_i^{v_i})$$

483 where a_i is the activity of species i and v_i is its stoichiometric coefficient. a_i is the product of
484 chemical species concentration $[i]$ and its activity coefficient γ_i , which was computed as a function
485 of temperature and ionic strength by using an extended version of the Debye-Huckel equation[68].

486 Because most of the reactant concentrations were hard to measure or were below detection limits,
487 we assumed 0.1 μM for the concentration of NO_3^- , NO_2^- , SO_3^{2-} , and H_2S . We considered a wide
488 range of concentrations for benzoate ($\text{C}_7\text{H}_5\text{O}_2^-$) (0.0001 – 100 μM), to explore the feasibility of
489 these reactions over a wide range of substrate concentration changes.
490

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497 # XXX.

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499 **Author contributions**

500 J.B, I.F and R.L.Z. conceived the study. R.L.Z recovered the genomes from the metagenomic
501 datasets. I.F, R.L.Z analyzed the genomic data. A.M and C.H collected the samples. R.Z revised
502 the thermodynamic calculations and I.F., J.B and R.L.Z. wrote the manuscript with input from all
503 authors. All documents were edited and approved by all authors.

504 **Competing interests**

505 The other authors declare no competing interests.

506 **Materials and Correspondence**

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509 **Data availability**

510 The genomes of this study have been made publicly available on GenBank under BioSample
511 accessions (SAMN12695919- SAMN12695949). Metagenomes can be located at NCBI GenBank
512 SRA under project PRJEB11766.

513

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Table 1. Details of the genomes constructed from the Costa Rica Margin

Bin_ID	Dataset	Marker lineage	Phylogenetic affiliation	Completeness	completeness_metric	Contamination	Strain heterogeneity	Genome size (Mbp)	# scaffolds	GC	GC std (scaffolds > 1kbp)	Coverage
CR_01	1378_2mbsf	k_Archaea (UID2)	Bathyarchaeota	38.71	partial	10.09	59.09	0.62	335	39	6.02	155.6
CR_02	1378_2mbsf	k_Archaea (UID2)	Bathyarchaeota	45.64	partial	5.61	16.67	1.05	225	38.7	0	29.9
CR_03	1378_2mbsf	p_Euryarchaeota (UID3)	Hadesarchaea	74.86	substantial	10.67	50	0.98	333	40.2	6.68	19.3
CR_04	1378_2mbsf	k_Archaea (UID2)	Bathyarchaeota	60.62	moderate	10.08	5.88	1.09	368	42.7	4.79	16.05
CR_05	1378_2mbsf	k_Archaea (UID2)	Bathyarchaeota (RPL2)	65.2	moderate	10.26	0	1.92	900	40.9	0	11.4
CR_06	1378_32mbsf	k_Archaea (UID2)	Asgard (Lokiarchaeota)	86.78	substantial	1.87	33.33	2.41	1110	42.7	11.06	216.64
CR_07	1378_32mbsf	k_Archaea (UID2)	Asgard (Lokiarchaeota)	47.66	partial	5.43	63.64	1.525	829	41.9	0	72.54
CR_08	1378_32mbsf	k_Archaea (UID2)	Asgard (Lokiarchaeota)	61.06	moderate	9.65	20	3.54	693	42.9	8.76	71.06
CR_09	1378_32mbsf	k_Archaea (UID2)	Bathyarchaeota	41.64	partial	0	0	3.6	89	39.3	0	30.63
CR_10	1378_32mbsf	k_Archaea (UID2)	Asgard (Lokiarchaeota)	32.81	partial	10.6	23.33	2.91	1865	43.3	6.55	24.53
CR_11	1378_32mbsf	k_Archaea (UID2)	Asgard (Heimdallarchaeota)	94.39	near	9.06	0	3.47	167	38.6	7.88	23.11
CR_12	1378_32mbsf	k_Archaea (UID2)	Asgard (Thorarchaeota)	32.05	partial	1.08	0	1.49	1019	38.8	0	10.48
CR_13	1379_22mbsf	k_Archaea (UID2)	Bathyarchaeota	35.05	partial	0	0	0.3	118	39.2	0	116.39
CR_14	1379_22mbsf	k_Archaea (UID2)	Bathyarchaeota	95.64	near	4.15	0	2.49	140	39.4	7.77	77.12
CR_15	1379_22mbsf	k_Archaea (UID2)	Bathyarchaeota	92.61	near	3.12	0	2.11	130	39	5.31	47.44
CR_16	1379_22mbsf	k_Archaea (UID2)	Bathyarchaeota	36.14	partial	0	0	2.99	59	39.4	7.03	47.78
CR_17	1379_22mbsf	k_Archaea (UID2)	Bathyarchaeota	71.03	substantial	6.54	0	0.88	28	39.4	7.51	26.16
CR_18	1379_22mbsf	k_Archaea (UID2)	Bathyarchaeota (RPL2)	42.3	partial	5.61	0	1.13	103	40.8	6.46	24.29
CR_19	1379_22mbsf	k_Archaea (UID2)	Bathyarchaeota	35.84	partial	5.99	0	1.03	340	41	0	13.83
CR_20	1379_22mbsf	k_Archaea (UID2)	Bathyarchaeota	58.35	moderate	2.8	0	1.01	184	41	6.38	18.65
CR_21	1379_22mbsf	k_Archaea (UID2)	Bathyarchaeota	38.51	partial	1.94	0	0.58	249	41.8	5.06	17.31
CR_22	1379_22mbsf	k_Archaea (UID2)	Bathyarchaeota	42.88	partial	9.88	15.38	1.31	344	38.6	3.12	19.48

CR 23	1379 22mbsf	p__Euryarchaeota (UID3)	Thermoplasmata	62.44	moderate	2.4	0	1.96	284	43.1	5.55	19.88
CR 24	1379 22mbsf	k__Archaea (UID2)	Bathyarchaeota	53.74	partial	6.31	0	2.36	556	41.8	3.38	17.98
CR 25	1379 22mbsf	k__Archaea (UID2)	Bathyarchaeota (RPS3)	56.65	moderate	1.94	0	1.68	531	39.6	3.35	13.92
CR 26	1379 22mbsf	k__Archaea (UID2)	Hadesarchaea	50.96	moderate	7.79	0	0.58	329	39.6	0	14.9
CR 27	1379 45mbsf	k__Archaea (UID2)	Bathyarchaeota	79.77	substantial	5.49	33.33	1.24	404	39.8	4.19	63.85
CR 28	1379 45mbsf	k__Archaea (UID2)	Bathyarchaeota	32.78	partial	6.15	0	1.25	296	39.1	8.11	45.38
CR 29	1379 45mbsf	k__Archaea (UID2)	Bathyarchaeota	56.96	moderate	0.97	100	0.7	154	37.7	7.52	40.92
CR 30	1379 45mbsf	k__Archaea (UID2)	Bathyarchaeota	49.54	partial	3.4	28.57	4	191	40	0	21.23
CR 31	1379 45mbsf	p__Euryarchaeota (UID4)	Hadesarchaea	31.55	partial	0.64	33.33	3.75	176	40.2	0	25.74