1 **Aquatic Elusimicrobia are metabolically diverse compared to gut microbiome Elusimicrobia and**
2 **some have novel nitrogenase-like gene clusters**
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Abstract

Currently described members of Elusimicrobia, a relatively recently defined phylum, are animal-associated
and rely on fermentation. However, free-living Elusimicrobia have been detected in sediments, soils and
groundwater, raising questions regarding their metabolic capacities and evolutionary relationship to animal-
associated species. Here, we reconstructed 94 draft-quality, non-redundant genomes from diverse animal-
associated and natural environments. Genomes group into 12 clades, 10 of which previously lacked
reference genomes. Groundwater-associated Elusimicrobia are predicted to be capable of heterotrophic or
autotrophic lifestyles, reliant on oxygen or nitrate/nitrite-dependent respiration of fatty acids, or a variety
of organic compounds and Rnf-dependent acetogenesis with hydrogen and carbon dioxide as the substrates.
Genomes from two clades of groundwater-associated Elusimicrobia often encode a new homologous group
of nitrogenase-like proteins that co-occur with an extensive suite of radical SAM-based proteins. We
identified similar genomic loci in genomes of bacteria from the Gracilibacteria and Myxococcus phyla and
predict that the gene clusters reduce a tetrapyrrole, possibly to form a novel cofactor. The animal-associated
Elusimicrobia clades nest phylogenetically within two groundwater-associated clades. Thus, we propose an
evolutionary trajectory in which some Elusimicrobia adapted to animal-associated lifestyles from
groundwater-associated species via genome reduction.
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Introduction

Elusimicrobia is an enigmatic bacterial phylum. The first representatives were termite gut-
associated (M. Ohkuma and Kudo 1996), although one 16S rRNA gene sequence was identified in a
contaminated aquifer (Dojka et al. 1998). Initially referred to as Termite Group 1 (TG1) (Hugenholtz,
Goebel, and Pace 1998), the phylum was renamed as Elusimicrobia after isolation of a strain from a beetle

larvae gut (Geissinger et al. 2009). Currently available sequences form a monophyletic group distinct from

Planctomycetes, Verrucomicrobia, Chlamydia, Omnitrophica, Desantisbacteria (Probst et al. 2017),

Kiritimatiellaeota (Spring et al. 2016) and Lentisphaerae (Cho et al. 2004). Together, these phyla form the

PVC superphylum.

The current understanding of Elusimicrobia mostly relies on a single taxonomic class for which the

name "Endomicrobia" has been proposed (Stingl et al. 2005). Endomicrobia comprise abundant members

of the hindgut of termites, cockroaches and other insects, as well as in rumen where they occur as

endosymbionts (Moriya Ohkuma et al. 2007), or ectosymbionts (Izawa et al. 2017) of various flagellated

protists, or as free-living bacteria (Mikaelyan et al. 2017). Of the three Endomicrobia genomes that have

been described, all belong to the genus *Endomicrobium*. One is for a free-living *E. proavitum* (H. Zheng,

Dietrich, and Brune 2017) and two are *E. trichonymphae* Rs-D17 endosymbionts, genomovar Ri2008

(Hongoh et al. 2008) and genomovar Ti2015 (Izawa et al. 2016). The fourth Elusimicrobia genome

available is for *Elusimicrobium minutum* strain Pei191^T (D. P. R. Herlemann et al. 2009), which was

isolated from a beetle larva gut (Geissinger et al. 2009). *E. minutum* forms a distinct monophyletic lineage

of gut-adapted organisms for which the name Elusimicrobiaceae was proposed (Geissinger et al. 2009).

Cultivation and genome-based studies revealed that *E. proavitum* and *E. minutum* strain Pei191^T are strictly

anaerobic ultramicrobacteria capable of glucose fermentation (Daniel P. R. Herlemann, Geissinger, and

Brune 2007; Geissinger et al. 2009; H. Zheng et al. 2016a).

Despite prior focus on gut-associated Elusimicrobia, phylogenetic analysis of environmental

sequences revealed numerous novel and distinct lineages from a wide range of habitat types, including soils,

sediments and groundwater (Daniel P. R. Herlemann, Geissinger, and Brune 2007). Here, we analyzed 94

draft-quality and non-redundant genomes from diverse environments and identified 12 lineages, including

10 that previously lacked genomic representatives. We predict numerous traits that constrain the roles of

Elusimicrobia in biogeochemical cycles, identify a new nitrogenase-like homolog, and infer the

evolutionary processes involved in adaptation to animal-associated lifestyles.

Results and Discussion

<u>Selection and phylogenetic analysis of a non-redundant set of genomes</u>

As of June 2018, 120 Elusimicrobia genomes were available at NCBI. We augmented these

genomes with 30 newly reconstructed genomes from metagenomic samples from Zodletone spring

(Oklahoma), groundwater or aquifer sediments (Serpens Ridge, California; Rifle, Colorado; Genasci dairy,

Modesto, California; Horonobe, Japan), hot spring sediments (Tibet, China), and the arsenic impacted

human gut (see Methods). Genomes with >70% completeness and <10% contamination were clustered at

≥ 99% average nucleotide identity (ANI), and 113 genomes representative of the clusters

were selected based on completeness and contamination metrics. Among them, 19 genomes missed many

ribosomal proteins and thus were excluded, resulting in a final dataset of 94 non-redundant Elusimicrobia

genomes of good quality (median completeness of 92%, 69 genomes were >90% completeness, **Table S1**).

Phylogenetic analyses based on 16 ribosomal proteins (RPs) (see Methods) confirmed that all 94

genomes belong to the Elusimicrobia phylum, which forms a supported monophyletic group (**Figure 1A**,

bootstrap: 95) with Desantisbacteria and Omnitrophica as sibling phyla (**Figure 1A**, bootstrap: 89). The

bootstrap support (75) was insufficient to confirm that Desantisbacteria or Omnitrophica are the phyla most

closely related to Elusimicrobia.

Of the 94 Elusimicrobia genomes, 23 are from intestinal habitats and 71 come from other habitats,

mostly groundwater-associated (**Figure 1B**). Although some groundwater genomes are publicly available

(Anantharaman et al. 2016), none have been analyzed in detail. The Elusimicrobia genomes were further

classified into lineages, consistent with the previous classification based on 16S rRNA gene sequences

(Daniel P. R. Herlemann, Geissinger, and Brune 2007; Geissinger et al. 2009). The 16S rRNA genes were

binned for 25 of the 94 genomes, and belong to lineages I (Endomicrobia), IIc, III (Elusimicrobiaceae), IV,

V and VI. We were not able to classify four genomes based on their 16S rRNA genes due to a lack of

resolution in the basal branches (**Figure S1**). In order to improve the phylogenetic tree, we added two

additional 16S rRNA gene sequences from genomes within the 113 clusters that were not originally chosen

as representative genomes. These two sequences belong to lineages IIa and IIc. Thus, the 16S rRNA gene

sequences investigated here span 7 of the 8 previously defined lineages (**Figure S1**) (Daniel P. R.

Herlemann, Geissinger, and Brune 2007; Geissinger et al. 2009).

All of the gut-associated genomes clustered into the two known lineages, i.e., Endomicrobia

(lineage I) and Elusimicrobiaceae (lineage III). The Endomicrobia lineage formed a distinct clade (n=6,

bootstrap: 100) that includes the three earliest described Endomicrobium genomes and a new genome from

the rumen of sheep (Shi et al. 2014). Elusimicrobiaceae, which includes genomes related to *Elusimicrobium*

minutum, contains genomes from animal habitats, with the exception of one genome from palm oil mill

effluent. Of note, two newly reported Elusimicrobiaceae genomes in this group are from the gut

microbiomes of humans living in Bangladesh (Devoto et al. 2019) and in Tanzania (Rampelli et al. 2015).

This is consistent with the recent finding that Elusimicrobia genomes are present in the gut microbiomes of

non-westernized populations (Pasolli et al. 2019). As previously suggested (Geissinger et al. 2009), the

lineage III clade (n = 19) is nested within three clades comprising bacteria from groundwater environments

(lineages IV, V and VI, n = 38). Based on extrapolated genome sizes, Elusimicrobiaceae genomes were

significantly smaller than those of sibling lineages IV, V and IV (**Figure S2**). The overall placement and

short branch lengths within the Elusimicrobiaceae raise the possibility that the Elusimicrobiaceae adapted and diversified recently from ancestral groundwater genomes of lineages IV, V and VI.

Eleven genomes clustered into lineages IIa (n=2, bootstrap: 41) and IIc (n=9, bootstrap: 100). The lack of bootstrap support does not allow us to confirm the relationship between the two lineages. None of the 94 genomes were classified as lineage IIb, due to either to a true absence of genomes sequences or the presence of lineage IIb genomes in the dataset that lack 16S rRNA gene sequences. Finally, the 22 remaining genomes defined 5 new clades (VII, VIII, IX, X and XI), of which four are basal to previously reported Elusimicrobia lineages. Sixteen of these 22 genomes are from groundwater, and the other 6 genomes found in sediment, oil sand and peat.

Figure 1. Phylogenetic placement of newly reconstructed Elusimicrobia genomes. A. Relationships
between the phyla of the PVC superphylum. **B.** Placement of the 94 genomes of Elusimicrobia. The
maximum likelihood tree was constructed based on a concatenated alignment of 16 ribosomal proteins
under an LG+`gamma model of evolution. Nodes with bootstrap values ≥ 85 are`
`indicated` by red circles. The outside color bar indicates the environment of origin (blue: groundwater,
orange: animal-associated, dark green: peat, black: oil sand, green: palm oil effluent, yellow: sediment from
hot springs in Tibet). Black circles represent the four genomes described in previous studies while red
circles represent the 30 new genomes added in this study. The remaining genomes comes from the NCBI
genome database. Scale bars indicate substitutions per site.

<u>Protein clustering revealed lineage-specific genomic contents.</u>

The availability of good quality genomes allowed us to compare their gene contents. We clustered
the protein sequences for all genomes to generate groups of homologous proteins (protein families) in a
two-step procedure (see Methods) (**Figure 2**). The objective was to compare the proteomes across the
genomes. Our approach is agnostic and unbiased by preconceptions about the importance of genes, and
allows us to cluster protein sequences with no homology within annotation databases. This resulted in 6,608
protein families that were present in at least three distinct genomes. We constructed an array of the genomes
versus the protein families, and sorted the families based on profiles of genome presence/absence.
Numerous protein families group together due to co-existence in multiple genomes (**Figure 2**).

Figure 2. The distribution of 6,608 protein families across the 110 genomes. The distribution of 6,608
protein families (columns) in 110 genomes (rows). Genomes were sorted according to the phylogeny in
Figure 1. Families are clustered based on the presence (black) / absence (white) profiles (using Jaccard
distance and complete-linkage method). The colored top bar corresponds to the functional category of

families (Metabolism: red, Genetic Information Processing: blue, Cellular Processes: green, Environmental

Information Processing: yellow, Organismal systems: orange, Unclassified: grey, Unknown: white).

Specific clusters of interest are highlighted with colored boxes, discussed in the main text.

A block of 521 protein families is broadly conserved across the 94 Elusimicrobia genomes (far

right side of **Figure 2**). Unsurprisingly, the 521 families are involved in well-known functions, including

replication, transcription and translation, basic metabolism, and environmental interactions (green box in

Figure 2). Beside these widespread families, several blocks of families are fairly lineage specific. This is

particularly apparent for the gut-associated Elusimicrobiaceae lineage (lineage III) which lacks numerous

families abundant in other lineages but also contains 161 families abundant in Elusimicrobiaceae but rare

or absent in other lineages (red boxes in **Figure 2**). These families may be associated with adaptation to gut

environments (see below). Unlike lineage III, lineages IV and V encode extended sets of protein families

(blue boxes in **Figure 2**) consistent with their larger genome size (**Figure S2**). Other lineages also have

enriched group of families, although it is less apparent than for lineages III, IV and V (**Figure 2**). Overall,

the patterns of presence/absence of protein families are consistent with the lineages defined by the

ribosomal protein phylogeny (e.g., genomes from the same lineage tend to have a similar protein families

set) and may reflect different metabolic strategies.

<u>A novel nitrogenase-like gene may act as a cofactor</u>

A previous study reported the nitrogen fixing ability of *Endomicrobium proavitum* by an unusual

nitrogenase belonging to group IV (H. Zheng et al. 2016a) (**Figure 3**). Dinitrogenase reductase, encoded

by *nifH*, donates reducing equivalents to a complex encoded by *nifD* and *nifK*. The case reported in

Endomicrobium proavitum is the only evidence supporting the role of group IV *nifH* in N_2 fixation (H.

Zheng et al. 2016a). Canonically, N_2 fixing NifH proteins belong to groups I, II and III (Raymond et al.

2004) while group IV nitrogenase-like NifH proteins are implicated in the biosynthesis of cofactor F430,

the prosthetic group of methyl coenzyme M reductase, which catalyzes methane release in the final step of

methanogenesis (Moore et al. 2017; K. Zheng et al. 2016) NifH type, phylogenetically defined as group V,

is involved in chlorophyll biosynthesis (Burke, Hearst, and Sidow 1993). In this case, protochlorophyllide is

converted to chlorophyllide via the BchLNB complex in which BchL is the NifH homolog and BchN and BchB

are the NifD and NifK homologs, respectively.

We searched the Elusimicrobia genomes for *nifH*, *nifD* and *nifK* and identified homologues in 24

Elusimicrobia genomes from lineages I, IV and V. We also searched for accessory genes required for the

assembly of the M- and P- metallo clusters required by the nitrogenase complex. Two genomes from lineage

I, RIFOXYA2_FULL_50_26 and the previously reported *Endomicrobium proavitum*, contain both the

nitrogenase subunits and the accessory proteins. The NifH protein from RIFOXYA2_FULL_50_26 places

phylogenetically into NifH group III, and thus is plausibly associated with N_2 fixation (Raymond et al.

2004).

The other NifH proteins all belong to lineages IV and V of Elusimicrobia and place

phylogenetically outside of all previously described groups of NifH (**Figure 3**). Instead, the NifH sequences

from 22 Elusimicrobia genomes form a new group that is sibling to group V (**Figure 3**). Consistent with

the new placement, these 22 *nifH*-encoding genomes lack the accessory genes required for the assembly of

the M- and P- metallo clusters of nitrogenase. We designate these as group VI, and infer that these

nitrogenase-like proteins may have a distinct and perhaps previously undescribed biological role. The group

VI NifH proteins contain the GXGXXG consensus motif for the binding of MgATP and two Cys residues

(Cys^{97} and Cys^{132}) that bridge the two subunits through a $[Fe_4S_4]$ cluster (**Figure S3**). However, the

associated *nifD-* and *nifK-like* genes are highly divergent from true nitrogenase genes (**Figure 4**).

Importantly, they lack some conserved cysteine motifs that are involved in the attachment of the P-clusters

(Raymond et al. 2004) (**Figure S4**).

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Figure 3. Maximum likelihood phylogeny of the nitrogenase subunit NifH. The tree was inferred using

an LG+I+G4 model of evolution. The tree was made using reference sequences from (Raymond et al. 2004).

The green circles highlight the sequences present in Elusimicrobia genomes. Scale bar indicates

substitutions per site. The sequences and tree are available with full bootstrap values in Fasta and Newick

format in the Supplementary Data.

Interestingly, nitrogenase-like complexes from group IV and V each modify a tetrapyrrole molecule

by reducing a carbon-carbon double bound (Hu and Ribbe 2015; Moore et al. 2017; Burke, Hearst, and

Sidow 1993). Biosynthesis of cofactor F430 involves the sirohydrochlorin precursor and biosynthesis of

chlorophyll involves a protoporphyrin precursor, both of which derive from uroporphyrinogen III (also a

building block for cobalamin). Thus, we speculate that the novel group VI Elusimicrobia NifH-like proteins

might also reduce a tetrapyrrole molecule. We searched the Elusimicrobia genomes for evidence of

uroporphyrinogen III synthesis by looking for genes encoding porphobilinogen synthase,

hydroxymethylbilane synthase and uroporphyrinogen synthase. These three enzymes are part of the three-

enzymatic-step core pathway from 5-aminolevulinate to uroporphyrinogen III (Dailey et al. 2017). We

found indications of the capacity for tetrapyrroles production in 16 genomes (**Table S3, Figure 4**). In a

subset of genomes with the novel group IV *nifH* genes (five genomes of lineage IV, **Table S3**), we identified

the capacity to produce uroporphyrinogen III (for example, see Elusimicrobia bacterium GWA2_69_24).

The absence of precursor biosynthesis pathways in other genomes of Elusimicrobia predicted to have the

capacity to make nitrogenase clusters does not rule out an analogous function, as many bacteria scavenge

such molecules (e.g., cobalamin; (Rosnow et al. 2018) or haem (Naoe et al. 2016)).

We examined the genomic neighborhoods for insights into the function of the novel group VI

nitrogenase-like proteins in Elusimicrobia from lineages IV and V. The lineage IV genomes encode several

copies of *nifK*, *nifD* and *nifH* whereas most genomes of lineage V genomes have only one copy of each

subunit (**Figure S5**). Strikingly, many adjacent genes encode radical S-adenosylmethionine (SAM)

proteins. Radical SAM proteins have many functions, including catalysis of methylation, isomerization,

sulfur insertion, ring formation, anaerobic oxidation, and protein radical formation, and also in the

biosynthesis of cofactors, DNA and antibiotics (Sofia et al. 2001; Mehta et al. 2015). The copy number of

radical SAM genes varies greatly across the genomes, from no radical SAM genes to 13 copies in close

proximity to the nitrogenase-like gene cluster in the genome of GWC2_Elusimicrobia_56_31. Several

radical SAM genes are fused with B12-binding domains (SR-2 Biohub_180515 Elusimicrobia_69_71,

GWC2_Elusimicrobia_56_31 and Elusimicrobia bacterium GWF2_62_30) and/or HemN_C domain

(Elusimicrobia bacterium GWA2 _69_24) (**Figure S5**). The B12-binding domain is involved in binding

cobalamin while the HemN_C domain has been suggested to bind the substrate of coproporphyrinogen III

oxidase (Layer et al. 2003). Both substrates have tetrapyrrole structures, which is consistent with the

phylogenetic position of the NifH near the groups IV and V NifH clades (**Figure 3**). Other evidence

suggesting that the substrate of the NifH reductase is a tetrapyrrole includes the presence of two *cbiA* genes

in the genome 400_ZONE1_2014_IG3401_Elusimicrobia_69_35. The *cbiA* gene encodes an amidase that

is involved in amidation within the cobalamin pathway. Two genomes (Elusimicrobia bacterium GWC2 65

9 and Elusimicrobia bacterium RIFOXYA12 FULL 57 11) also encode a corrin/porphyrin methylase near

the nitrogenase subunits.

Finally, we constructed a Hidden Markov Model using the new group VI Elusimicrobia NifH

sequences and searched for homologous sequences in genomes from other phyla. Interestingly, we found

homologs of the group VI NifH in two genomes of Gracilibacteria, a phylum within the bacterial Candidate

Phyla Radiation (Brown et al. 2015), and in fourteen myxococcales genomes from marine and freshwater

environments (**Figure 3, Table S2**). Together, these observations suggest that the enzymes likely do not

perform nitrogen fixation, but have an alternative function that may be related to the biosynthesis of a

tetrapyrrole cofactor similar to chlorophyll or F430 cofactors.

<u>Overall metabolic potential in Elusimicrobia genomes</u>

Groundwater-associated Elusimicrobia display substantial metabolic versatility, with the genomic

potential for both autotrophic and heterotrophic-based lifestyles (**Figure 4**). Most of the groundwater-

associated genomes may have the capacity for sugar fermentation to acetate, butyrate and/or ethanol, which

generates ATP via substrate phosphorylation (**Table S3**). We also identified a large variety of membrane-

bound, cytoplasmic [NiFe] and [FeFe] hydrogenases (**Table S3**), some of which may be electron

bifurcating. Other electron bifurcating complexes, such as the transhydrogenase (NfnAB) or the Bcd/EtfAB

complex are also present in these genomes (**Table S3**), suggesting the ability to minimize free energy waste

and to optimize energy conservation (Peters et al. 2016). This large repertoire of metabolic capacities differs

from their animal habitat-residing counterparts (lineages I and III), which rely only on fermentation

(Hongoh et al. 2008; D. P. R. Herlemann et al. 2009; H. Zheng, Dietrich, and Brune 2017; H. Zheng et al.

2016b) (**Figure 4**).

Figure 4. Schematic representation of the relationship of the Elusimicrobia lineages and the distribution of selected metabolic features across the different members of the phylum (see Table S3 for more details). Grey scale of the filled circles represents the prevalence of a function within a given lineage, with black = 100% of genomes and white = 0% of genomes.

Diverse respiratory strategies in groundwater-associated Elusimicrobia

Oxidative phosphorylation and the TCA cycle are commonly encoded in genomes from lineages IIa, IIc and IV, V and VI (**Figure 5A**). However, lineage V is different in terms of the completeness of the electron transport chain (ETC). Lineage V genomes encode a canonical NADH dehydrogenase (complex I with 14 subunits) and succinate dehydrogenase (complex II) that link electron transport to oxygen as a terminal electron acceptor via the high-affinity oxygen cytochrome *bd* oxidase (complex IV). Surprisingly, these genomes consistently lack a complex III (bc_1-complex, cytochrome c reductase).

Group V Elusimicrobia typically have a set of different types of hydrogenases, but hydrogenases are sparsely distributed in other lineages. Some groundwater-associated lineage V genomes have trimeric group A [FeFe] hydrogenases directly downstream from a monomeric group C hydrogenase, related to those seen in Ignavibacterium album and Caldithrix abyssi (Greening et al. 2016) (Figure S6). In general, [FeFe] hydrogenases can either use or produce H_2 whereas the group C hydrogenases are co-transcribed with regulatory elements and are predicted to sense H_2 (Shaw, Hogsett, and Lynd 2009). In most group C hydrogenases this sensing occurs via a Per-ARNT-Sim (PAS) domain (Calusinska et al. 2010; Greening et

al. 2016). However, the Elusimicrobia hydrogenase seems to be fused to a histidine kinase HATPase domain, probably fulfilling this sensory function. Additionally, the genomic region in the vicinity of these hydrogenases includes several regulatory genes encoding proteins such as Rex, which is known to sense NADH to NAD^+ ratios for transcriptional regulation (Y. Zheng et al. 2014).

Groundwater-associated genomes of lineage V also encode genes for different types of [NiFe] hydrogenases. Seven genomes encode cytoplasmic group 3b (NADP-reducing) [NiFe] hydrogenases that are likely bidirectional (also known as sulfhydrogenase). Four other genomes also have cytoplasmic group 3c (methyl viologen-reducing) [NiFe] hydrogenases (seen in one other genome in this clade), probably involved in H_2 utilization (**Figure S7**). Additionally, most genomes in lineage V encode a membrane-bound group 4 [NiFe] hydrogenases of the Mbh-Mrp type likely involved in H_2 production (**Figure S8**). Membrane-bound hydrogenases are known to oxidize reduced ferredoxin, and the presence of antiporter-like subunits suggests that they may be involved in ion translocation across the membrane and the generation of a membrane potential (Schut et al. 2013). These genomes also encode hydrogenase-related complexes (Ehr), the role of which is still unknown, although it has been suggested that they may interact with oxidoreductases and quinones and may be involved in ion translocation across the membrane (Marreiros et al. 2013; Carnevali et al. 2019).

Groundwater and peat-associated lineages IIa, IIc, IV, and VI have somewhat distinct respiratory capacities compared to the groundwater-associated lineage V Elusimicrobia. All have complex I lacking the diaphorase N-module (*nuoEFG* genes), which is hypothesized to use reduced ferredoxin instead of NADH (Battchikova, Eisenhut, and Aro 2011). In addition to complex I without the N-module, lineage IV also have complex I with an N-module. All genomes from these lineages have complex II (succinate dehydrogenase) and complex IV (cytochrome c oxidase, type A; **Figure S9**). This lineage IV is also different in that it encodes an alternative complex III and a few genomes contain a canonical complex III (cytochrome c reductase). Unlike lineage V, which has signature hydrogenases, some of these genomes have a set of hydrogenases and others do not (**Table S3**).

The genomes of some members of the groundwater and peat-associated lineages IIa, IIc, IV, V and VI have nitrogen metabolic capacities that are rare in other lineages. Specifically, they encode a nitrite/nitrate oxidoreductase (NXR, **Figure S10**) or nitrate reductase (**Figure S10**). We also identified other enzymes involved in the nitrogen cycle, such as quinol-dependent nitric-oxide reductase (qNOR, **Figure S9**), cytochrome-c nitrite reductase (NfrAH), copper and/or ferredoxin nitrite reductase(s) (NirK and NirS) (**Table S3**). In summary, across the phylum, Elusimicrobia may use oxygen or nitrogen compounds as terminal electron acceptors for energy conservation.

Figure 5. Overview of the metabolic capabilities of non-gut-associated Elusimicrobia. A. Lineage IV and V, (B). Lineage IX. Abbreviations not defined in the text: GHS, glycoside hydrolases; TCA, tricarboxylic acid cycle; 2-oxo, 2-oxoglutarate; Suc-CoA, succinyl-CoA; DH, dehydrogenase; HYD, hydrogenase; PPi, pyrophosphate; Pi, inorganic phosphate; PRPP, Phosphoribosyl pyrophosphate; G3P, glyceraldehyde-3-phosphate; PEP, phosphoenolpyruvate; OAA, oxaloacetate; MEP pathway, 2-*C*-methyl-D-erythritol 4-phosphate/1-deoxy-D-xylulose 5-phosphate pathway; IPP, isopentenyl pyrophosphate; DMAPP, dimethylallylpyrophosphate; FeS, FeS oxidoreductase; ETF, electron transfer flavoprotein; ETF:QO, Electron-transferring flavoprotein dehydrogenase/ubiquinone oxidoreductase; BCD/ETFAB, butyryl-CoA dehydrogenase/electron-transfer flavoprotein complex; 3HB-CoA, 3-hydroxybutyryl-CoA dehydrogenase; 3-H-CoA, 3-hydroxyacyl-CoA; 3-keto-CoA, 3-ketoacyl-CoA; H+ -PPase, proton-translocating pyrophosphatase; Cx V, ATP synthase; Cx I, NADH dehydrogenase; Cx II, succinate dehydrogenase/fumarate reductase; Alt Cx III, alternative complex III; Cx IV, cytochrome c oxidase or cytochrome bd oxidase; NorBC, nitric-oxide reductase; NirS, cytochrome cd1-type nitrite reductase; cyt c, c-type cytochrome; NapA, periplasmic nitrate reductase; NxR, nitrite/nitrate oxidoreductase; NrfAH, nitrite reductase; Mrp, multi-subunit Na^+/H^+ antiporter; WL pathway, Wood–Ljungdahl pathway; THF, tetrahydrofolate; Rnf, Ferredoxin:NAD+-Oxidoreductase.

RNF-dependent acetogenesis in groundwater-associated Elusimicrobia

Other groundwater-associated Elusimicrobia in lineages VII, VIII, IX, X and XI lack the capacity to reduce oxygen or nitrate. Instead, lineages VII, VIII and IX encode the Wood-Ljungdahl pathway (WLP) for the reduction of carbon dioxide to acetyl-coenzyme A (CoA) and energy conservation (**Figure 5B**). The coupling of the WLP with cytochromes and quinones is often used to generate a proton potential across the membrane for the generation of ATP via an ATP synthase in acetogens (Schuchmann and Müller 2016). However, cytochromes and quinones are missing in these lineages. Instead, these genomes encode the Rnf complex, a sodium-motive ferredoxin:NAD oxidoreductase. The Rnf complex generates a sodium ion potential across the cell membrane in *Acetobacterium woodii* (Poehlein et al. 2012). These observations suggest that lineages VII, VIII and IX are capable of autotrophic growth with molecular hydrogen and carbon dioxide as substrates.

Members of lineages VII, VIII, IX, XI, and a few genomes in lineage I have membrane-bound group 4 [NiFe] hydrogenases of the Mbh-Mrp-oxidoreductase type (**Figure S8**). The function of this oxidoreductase is unknown, though it has been seen before in other organisms such as the Thermococcales (Schut et al. 2013) and Saganbacteria (Carnevali et al. 2019). Both RNF complexes and group 4 NiFe membrane-bound hydrogenases could extrude ions across the membrane and contribute to the creation of a membrane potential.

Gut associated Elusimicrobia

The genomes and general metabolic characteristics of gut-related Elusimicrobia (lineages I and III) have been well described previously (D. P. R. Herlemann et al. 2009; H. Zheng, Dietrich, and Brune 2017; Hongoh et al. 2008; Izawa et al. 2016). However, here we extend prior work by detailing the hydrogenases of these bacteria to enable comparison to groundwater-associated Elusimicrobia (**Figure 4**). In brief, prior work indicates that gut-associated Elusimicrobia rely on fermentation (Daniel P. R. Herlemann, Geissinger, and Brune 2007; Geissinger et al. 2009; H. Zheng et al. 2016b; H. Zheng, Dietrich, and Brune 2017; Hongoh et al. 2008). Most gut-related Elusimicrobia have [FeFe] hydrogenases in the 'ancestral' group B, which are typically found in anaerobic bacteria (*e.g.,* Clostridia, Actinobacteria, and Bacteroidetes) that inhabit gastrointestinal tracts and anoxic soils and sediments (Calusinska et al. 2010; Greening et al. 2016) (**Figure S6**). The majority of [FeFe] hydrogenases are part of group A, and are involved in fermentative metabolism. Group B [FeFe] hydrogenases remain largely uncharacterized. It has been suggested that they could couple ferredoxin oxidation to H_2 evolution, because fermentative organisms rely on ferredoxin as a key redox equivalent (Greening et al. 2016). In general, group B enzymes are monomeric. Many of the gut associated Elusimicrobia genomes also harbor a trimeric group A [FeFe] hydrogenase. Group A [FeFe] hydrogenases contain NADH-binding domains in the beta subunit and are known to be involved in electron bifurcation or the reverse reaction, electron confurcation (**Figure S6**). In the case of electron bifurcation, [FeFe] hydrogenases oxidize H_2 by coupling the exergonic reduction of NAD^+ with the endergonic reduction of oxidized ferredoxin. In electron confurcation, these [FeFe] hydrogenases produce H_2 by using the exergonic oxidation of ferredoxin with protons (H^+) to drive the endergonic oxidation of NADH with H^+ (Schuchmann, Chowdhury, and Müller 2018; Müller, Chowdhury, and Basen 2018; Buckel and Thauer 2018). Thus, we conclude that many gut-associated Elusimicrobia can potentially both produce and use H_2.

Conclusions

The Elusimicrobia phylum was previously represented by just four genomes from gut-associated habitats. We expanded the genomic sampling of this phylum with new sequences, mainly from groundwater environments. We predicted novel nifH-like proteins that co-occur with an extensive suite of radical SAM-based proteins in groundwater-associated Elusimicrobia from lineages IV and V. Based on gene context and protein phylogeny, we predict that the nifH-like proteins reduce a tetrapyrrole. Given the functions of related clades of genes, the nifH-like protein may synthesize a novel cofactor, but further investigations are needed to determine the product and its function.

Our phylogenetic results suggest that gut-associated Elusimicrobia of lineages I and III evolved
from two distinct groups of groundwater-associated ancestors, lineages IV, V and group VII, respectively.
Based on extant members of groundwater groups, the ancestors of gut-associated Elusimicrobia that likely
had larger repertoires of metabolic capacities. The gut-associated lineages likely underwent strong genome
reduction following the transition from nutrient-poor (groundwater) to nutrient-rich (gut) environments.
Genome reduction following formation of a long-term association with another organism is generally well
documented for many endosymbionts (for example of insects; (McCutcheon and Moran 2011) or in lineage
I; (H. Zheng, Dietrich, and Brune 2017)). Here we report the genome reduction and the habitat shifts of
free-living organisms. This is particularly interesting as Elusimicrobia of lineage III have been recently
found in human gut (Pasolli et al. 2019).
381

Methods

Elusimicrobia genomes collection

Six genomes were obtained from groundwater samples from Genasci Dairy Farm, located in Modesto, CA

and from Serpens Ridge, a private property located in Middletown, CA. Over 400 L of groundwater were

filtered from monitoring well 5 on Genasci Dairy Farm, located in Modesto, CA, over a period ranging

from March 2017 to June 2018. Over 400 L of groundwater were filtered from Serpens Ridge, a private

property located in Middletown, CA in November 2017. DNA was extracted from all filters using Qiagen

DNeasy PowerMax Soil kits and ~10 Gbp of 150 bp, paired end Illumina reads were obtained for each

filter. Scaffolds were binned on the basis of GC content, coverage, presence of ribosomal proteins,

presence/copies of single copy genes, tetranucleotide frequency, and patterns of coverage across samples.

Bins were obtained using manual binning on ggKbase (Raveh-Sadka et al. 2015), Maxbin2 (Wu, Simmons,

and Singer 2016), CONCOCT (Alneberg et al. 2014), Abawaca1, and Abawaca2

(https://github.com/CK7/abawaca), with DAS Tool (Sieber et al., n.d.) used to choose the best set of bins

from all programs. All bins were manually checked to remove incorrectly assigned scaffolds using

ggKbase.

Three genomes were obtained from sediment samples of Tibet hot springs in 2016. The samples were

collected as previously described (Song et al. 2013), followed by genomic DNA extraction using the

FastDNA SPIN kit (MP Biomedicals, Irvine, CA, United States) according to the manufacturer's

instructions. The metagenomic sequencing was performed by an Illumina HiSeq2500 platform with PE150

bp kits. Quality filter, *de novo* assembly, genome binning and modification were detailed in (Chen et al.

2019).

One genome was obtained from sediment samples from Zodletone spring in California. Sediment samples

were collected from of Zodletone spring, an anaerobic sulfide and sulfur-rich spring in Western Oklahoma.

Detailed site descriptions and metagenomic sequencing were previously reported in (Youssef et al. 2019).

Metagenomic datasets can be located at NCBI GenBank SRA under project PRJNA364990. Metagenomic

datasets were assembled using MegaHit (Li et al. 2015) applying default parameters. Scaffolds were binned

using ggKbase (Raveh-Sadka et al. 2015), Maxbin2 (Wu, Simmons, and Singer 2016), and CONCOCT

(Alneberg et al. 2014). Genomic bins generated from all binning tools were de-replicated, aggregated and

best ones were selected using DAStool (Sieber et al., n.d.).

Seven genomes were obtained from groundwater samples collected from the Mizunami and the Horonobe
Underground Research Laboratories (URL) in Japan. For sampling refer to (Ino et al. 2018) and (Hernsdorf
et al. 2017), and for DNA processing and sequencing methods refer to (Hernsdorf et al. 2017). In brief,
Over 400 L of groundwaters were collected from boreholes at 200 and 400 m deep of Mizunami URL and
15 to 43 L of groundwaters were collected from boreholes at 140 and 250 m deep of Horonobe URL, by
filtration through 0.22 m GVWP filters. Genomic DNA was extracted using an Extrap Soil DNA Kit Plus
version 2 (Nippon Steel and Sumikin EcoTech Corporation), libraries prepared using TruSeq Nano DNA
Sample Prep Kit (Illumina), and 150 bp paired-end reads with a 550 bp insert size were sequenced by
Hokkaido System Science Co. using Illumina HiSeq2500 (Hernsdorf et al. 2017). All sample data were
assembled de novo using IDBA-UD (Peng et al. 2012) with the following parameters: -mink 40, -maxk
100, -step 20 and -pre_correction. Assembled scaffolds >1000 bp were binned by a combination of
phylogenetic profiles, read coverage and nucleotide content (that is, GC proportion and tetranucleotide
signatures) within the binning interface of ggKbase (Raveh-Sadka et al. 2015).
429
430 Thirteen additional genomes were also assembled from raw data of previous studies following the methods
431 described in (Devoto et al. 2019) (**Table S1**).
432
Genome completeness assessment and de-replication.
Genome completeness and contamination were estimated based on the presence of single-copy
genes (SCGs) as described in (Anantharaman et al. 2016). Genome completeness was estimated using 51
SCGs, following (Anantharaman et al. 2016). Genomes with completeness > 70% and contamination <
10% (based on duplicated copies of the SCGs) were considered as draft-quality genomes. Genomes were
de-replicated using dRep (version v2.0.5 with ANI > 99%) (Olm et al. 2017). The most complete genome
per cluster was used in downstream analyses.
440
16S rRNA gene phylogeny
To construct a comprehensive 16S ribosomal RNA gene tree, all gene sequences assigned to the
Elusimicrobia in the SILVA database (Quast et al. 2013) were exported. Sequences longer than 750 bp
were clustered at 97% identity using uclust, and the longest representative gene for each cluster included in
the phylogenetic tree. All 16S rRNA genes associated with a binned Elusimicrobia genome were added to
the SILVA reference set, along with an outgroup of Omnitrophica, Planctomycetes, Verrucomicrobia, and
Chlamydiae rRNA gene sequences from organisms with published genomes (37 outgroup sequences total).
The final dataset comprised 700 sequences, which were aligned together using the SILVA SINA alignment
algorithm. Common gaps and positions with less than 3% information were stripped from the alignment,

for a final alignment of 1,593 columns. A maximum likelihood phylogeny was inferred using RAxML
(Stamatakis 2014) version 8.2.4 as implemented on the CIPRES high performance computing cluster
(Miller, Pfeiffer, and Schwartz 2010), under the GTR model of evolution and the MRE-based bootstopping
criterion. A total of 408 bootstrap replicates were conducted, from which 100 were randomly sampled to
determine support values.

Concatenated 16 ribosomal proteins phylogeny

A maximum-likelihood tree was calculated based on the concatenation of 16 ribosomal proteins
(L2, L3, L4, L5, L6, L14, L15, L16, L18, L22, L24, S3, S8, S10, S17, and S19). Homologous protein
sequences were aligned using Muscle (Edgar 2004), and alignments refined to remove regions of ambiguity
by removing columns with less than 3% information and manually removing abberrent N and C-terminal
extensions. The protein alignments were concatenated, with a final alignment of 147 genomes and 2,388
positions. The tree was inferred using RAxML (Stamatakis 2014) (version 8.2.10) (as implemented on the
CIPRES web server (Miller, Pfeiffer, and Schwartz 2010)), under the LG plus gamma model of evolution,
and with the number of bootstraps automatically determined via the MRE-based bootstopping criterion. A
total of 108 bootstrap replicates were conducted, from which 100 were randomly sampled to determine
support values.

Protein clustering

Protein clustering into families was achieved using a two-step procedure as previously described
in (Méheust et al. 2018). A first protein clustering was done using the fast and sensitive protein sequence
searching software MMseqs2 (version 9f493f538d28b1412a2d124614e9d6ee27a55f45) (Steinegger and
Söding 2017). An all-vs-all search was performed using e-value: 0.001, sensitivity: 7.5 and cover: 0.5. A
sequence similarity network was built based on the pairwise similarities and the greedy set cover algorithm
from MMseqs2 was performed to define protein subclusters. The resulting subclusters were defined as
subfamilies. In order to test for distant homology, we grouped subfamilies into protein families using an
HMM-HMM comparison procedure as follows: the proteins of each subfamily with at least two protein
members were aligned using the result2msa parameter of mmseqs2, and, from the multiple sequence
alignments, HMM profiles were built using the HHpred suite (version 3.0.3) (Soding 2005). The
subfamilies were then compared to each other using hhblits (Remmert et al. 2011) from the HHpred suite
(with parameters -v 0 -p 50 -z 4 -Z 32000 -B 0 -b 0). For subfamilies with probability scores of $\geq$ 95% and
coverage $\geq$ 0.50, a similarity score (probability $\times$ coverage) was used as weights of the input network in
the final clustering using the Markov Clustering algorithm (Enright, Van Dongen, and Ouzounis 2002),
with 2.0 as the inflation parameter. These clusters were defined as the protein families.

Functional annotation

Protein sequences were functionally annotated based on the accession of their best Hmmsearch
match (version 3.1) (E-value cut-off 0.001) (Eddy 1998) against an HMM database constructed based on
ortholog groups defined by the KEGG (Kanehisa et al. 2016) (downloaded on June 10, 2015). Domains
were predicted using the same Hmmsearch procedure against the Pfam database (version 31.0) (Punta et al.
2012). The domain architecture of each protein sequence was predicted using the DAMA software (version
1.0) (default parameters) (Bernardes et al. 2016). SIGNALP (version 4.1) (parameters: -f short -t gram-)
(Petersen et al. 2011) was used to predict the putative cellular localization of the proteins. Prediction of
transmembrane helices in proteins was performed using TMHMM (version 2.0) (default parameters)
(Krogh et al. 2001). The transporters were predicted using BLASTP (version 2.6.0) (Altschul et al. 1997)
against the TCDB database (downloaded on February 2019) (keeping the best hit, e-value cut-off 1e-20)
(Saier et al. 2016).

Phylogenetic analyses of individual protein sequences.

Each individual tree was built as follows. Sequences were aligned using MAFFT (version 7.390)
(--auto option) (Katoh and Standley 2016). Alignment was further trimmed using Trimal (version 1.4.22)
(--gappyout option) (Capella-Gutiérrez, Silla-Martínez, and Gabaldón 2009). Tree reconstruction was
performed using IQ-TREE (version 1.6.6) (as implemented on the CIPRES web server (Miller, Pfeiffer,
and Schwartz 2010)) (Nguyen et al. 2015), using ModelFinder (Kalyaanamoorthy et al. 2017) to select the
best model of evolution, and with 1000 ultrafast bootstrap (Hoang et al. 2018).

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Author contributions

J.F.B., L.A.H and R.M. conceived the study. C.J.C., P.M.C., L.A.H. and R.M. analysed the genomic data. R.M. and L.A.H. performed the phylogenetic analyses. I.F. performed the Cazy analysis. L-X.C., Y.A., C.H., I.F. provided the new genomes. R.M., J.F.B, C.J.C and P.M.C. wrote the manuscript with input from all authors. All documents were edited and approved by all authors.

Competing interests

J.F.B. is a founder of Metagenomi. The other authors declare no competing interests.

Materials and Correspondence

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Data availability

The genomes of the herein analysed Elusimicrobia have been made publicly available on NCBI and on the ggkbase database (https://ggkbase.berkeley.edu/non_redundant_elusimicrobia_2018/organisms). Detailed annotations of the metabolic repertoire are provided in Supplementary Table S3 accompanying this paper. Raw data files (phylogenetic trees and fasta sequences) are made available via figshare under the following link: https://figshare.com/articles/Elusimicrobia_analysis/8939678.

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Figure S1. Phylogenetic classification of 27 Elusimicrobia genomes based on their 16S rRNA gene

sequences. Reference sequences from the SILVA database anchor the tree. Scale bar indicates substitutions

per site.

Figure S2. Number of genes and size (in base pairs) of the 94 Elusimicrobia genomes. Each genome is

represented by a dot of the color of the lineage it has been classified to (see Figure 1 for color scheme).

Figure S3. Phylogenetic tree of the nifH genes of Elusimicrobia and the nitrogenases of other bacterial species, and the conserved amino acids around the crucial cysteine residues that coordinate the [Fe₄S₄] ligands in the Fe protein and for the binding of MgATP. The cysteine residues, based on *A. vinelandii* numbering, are indicated with vertical arrows. Scale bar indicates substitutions per site.

830 Figure S4. A. Conservation of crucial cysteine residues in NifD (alpha) and NifK (beta) in Elusimicrobia

831 and homologs in groups I, II, III and VI. The P-cluster ligands, based on *A vinelandii* numbering, are

832 indicated with vertical arrows.

Figure S5. Genomic neighborhood of nitrogenase in genomes from lineages I, IV and V.

Figure S6. Phylogenetic tree of the [FeFe] hydrogenase (Maximum likelihood tree under an LG+I+G4

model of evolution). Elusimicrobia have group A, B and C [FeFe] hydrogenases. Elusimicrobia sequences

are indicated with colored dots. Scale bar indicates substitutions per site. The tree was made using sequences

from (Carnevali et al. 2019; Greening et al. 2016). The sequences and tree are available with full bootstrap

values in Fasta and Newick formats in Supplementary Data.

Figure S7. Phylogenetic tree of the groups 1, 2 and 3 of [NiFe] hydrogenase (Maximum likelihood tree

under a LG+G4 model of evolution). Elusimicrobia have group 1, group 3b, 3c and 3d [NiFe] hydrogenases.

Elusimicrobia sequences are indicated with colored dots. Scale bar indicates substitutions per site. The tree

was made using sequences from (Carnevali et al. 2019). The sequences and tree are available with full

bootstrap values in Fasta and Newick format in Supplementary Data.

Figure S8. Phylogenetic tree of the group 4 [NiFe] hydrogenase (Maximum likelihood tree under a

LG+I+G4 model of evolution). Elusimicrobia have Mbh-Mrp, Mbh-Mrp-Oxidoreductase, Ech and

Hydrogenase-related complex [NiFe] hydrogenases. They also have NADH dehydrogenase. Elusimicrobia

sequences are indicated with colored dots. Scale bar indicates substitutions per site. The tree was made

using sequences from (Carnevali et al. 2019). The sequences and tree are available with full bootstrap values

in Fasta and Newick format in Supplementary Data.

Figure S9. Phylogenetic tree of the catalytic subunit I of heme-copper oxygen reductases (Maximum

likelihood tree under a LG+F+I+G4 model of evolution). Elusimicrobia have an aa$_3$-type heme-copper

oxygen reductase (Type A) and nitric oxide reductase that uses quinol as the electron donor (qNOR).

Elusimicrobia sequences are indicated with colored dots. The tree was made using sequences from

(Carnevali et al. 2019). Scale bar indicates substitutions per site. The sequences and tree are available with

full bootstrap values in Fasta and Newick format in Supplementary Data.

Figure S10. Phylogenetic analysis of the dimethyl sulfoxide (DMSO) reductase superfamily (Maximum

likelihood tree under a LG+F+G4 model of evolution). Elusimicrobia have an alternative complex III, a

tetrathionate reductase (TtrA), cytoplasmic and periplasmic nitrite oxidoreductase (NXR), formate

dehydrogenase and nitrate reductase (NapA and NasA). Elusimicrobia sequences are indicated with colored

dots. Scale bar indicates substitutions per site. The tree was made using sequences from (Castelle et al.

2013; Carnevali et al. 2019). The sequences and tree are available with full bootstrap values in Fasta and

Newick format in Supplementary Data.

887 Table S1. List of the 94 genomes used in this study.

888 Table S2. List of Myxococcales and Gracilibacteria genomes with the group VI nifH.

889 Table S3. Metabolic capacities across the 94 Elusimicrobia genomes.

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